

# Experimental High-Energy Astroparticle Physics

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# Content:

## 1. Introduction in HEAP

- source-acceleration-transport
- short history of cosmic ray research
- extensive air showers

## 2. High-Energy Cosmic Rays

- KASCADE, KASCADE-Grande and LOPES

## 3. Extreme Energy Cosmic Rays

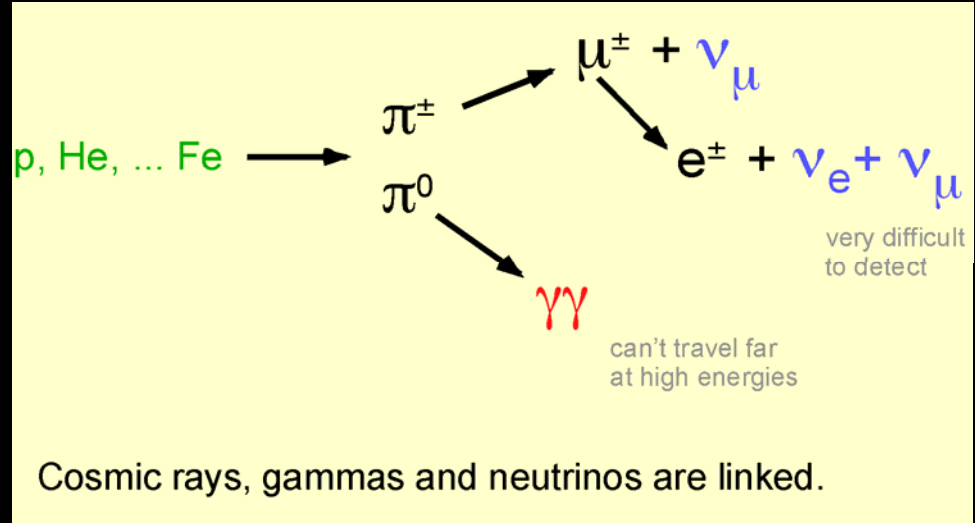
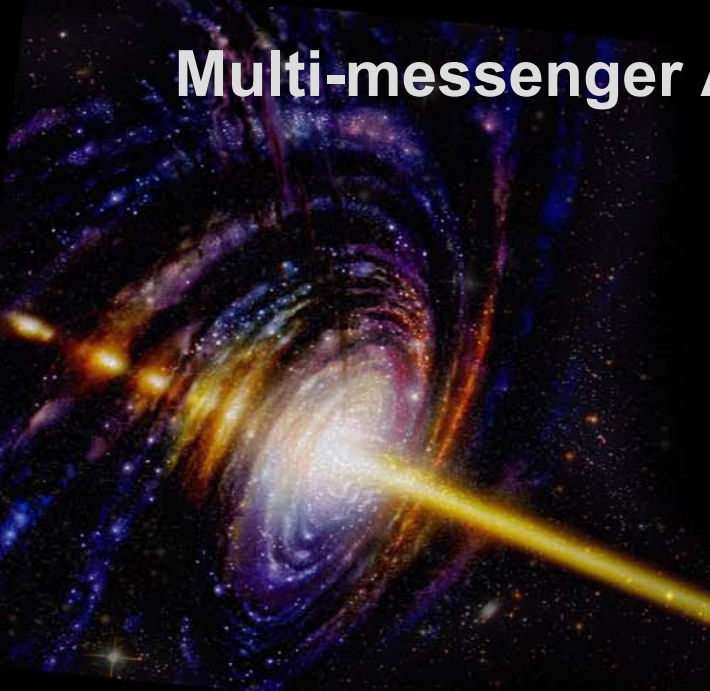
- Pierre Auger Observatory, JEM-EUSO

## 4. TeV-Gamma-rays & High-energy Neutrinos

- TeV gamma rays  
H.E.S.S., MAGIC, CTA
- high-energy neutrinos  
IceCube and KM3Net



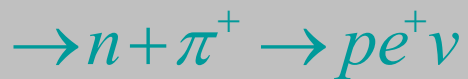
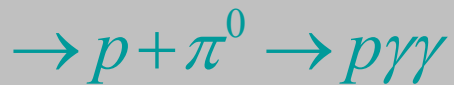
# Multi-messenger Approach in Astroparticle Physics



**P,He,...Fe**

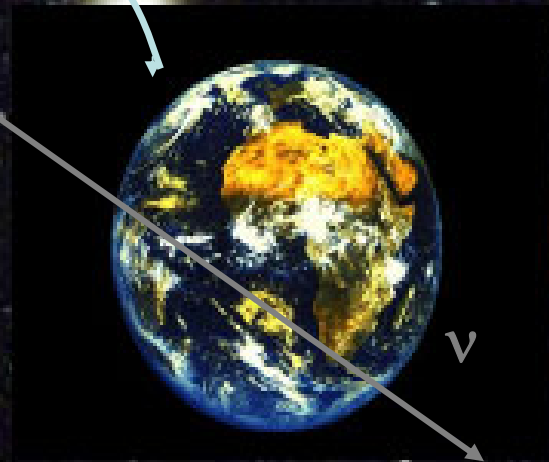


# GZK-Cutoff

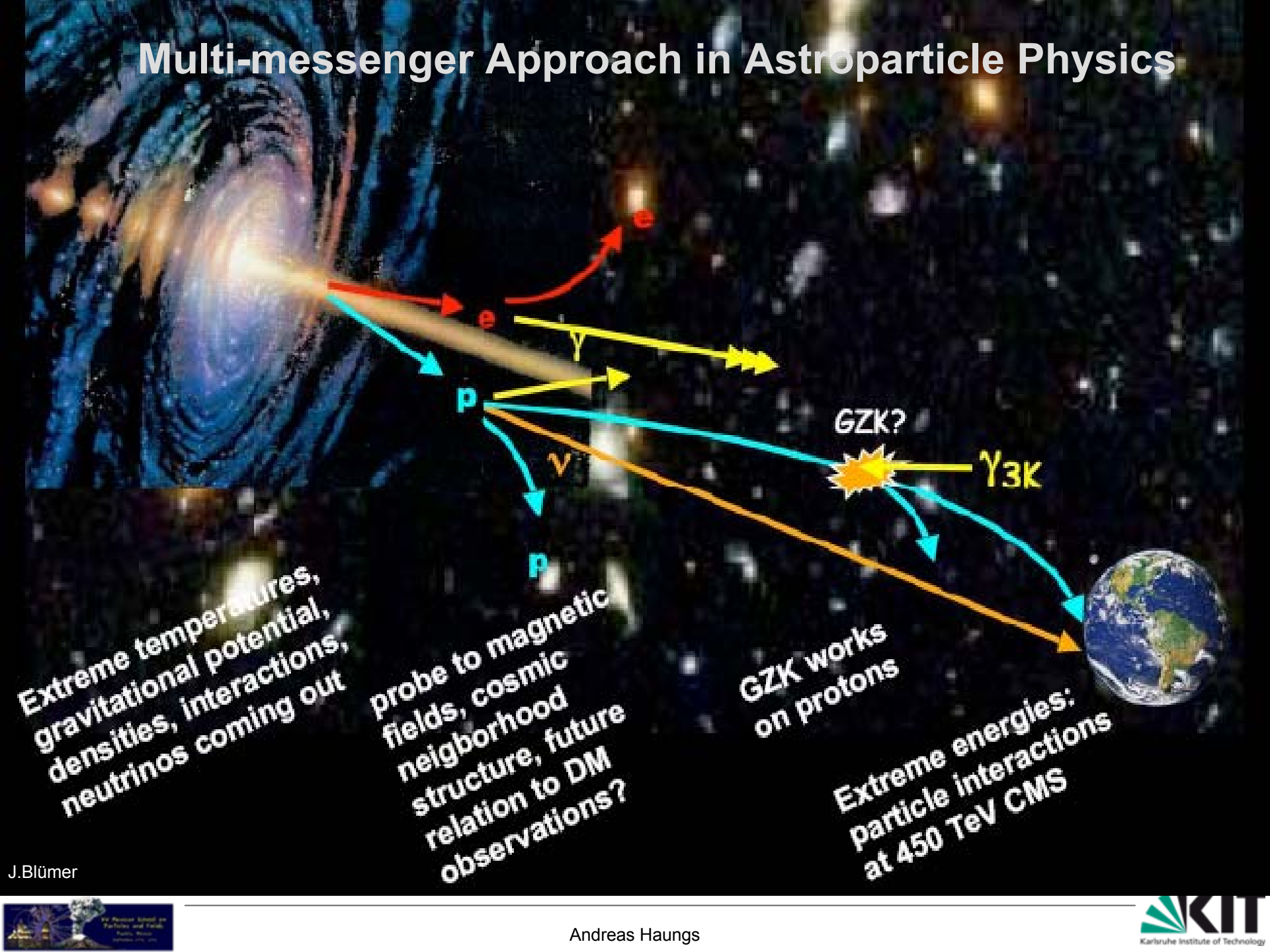


→ high-energy  
 $\gamma$  and  $\nu$

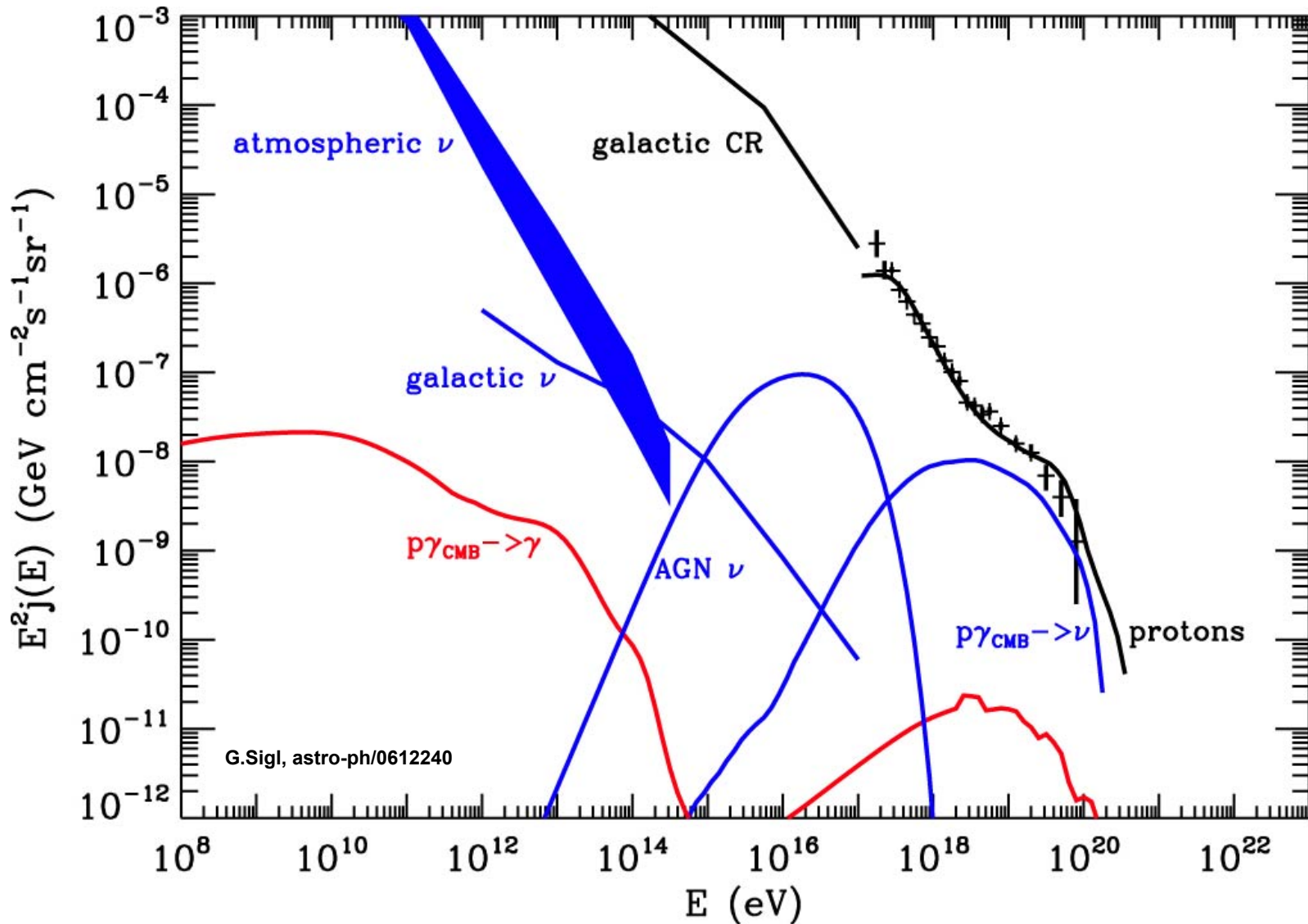
$\gamma_{3K} (400 \text{ cm}^{-3})$



# Multi-messenger Approach in Astroparticle Physics

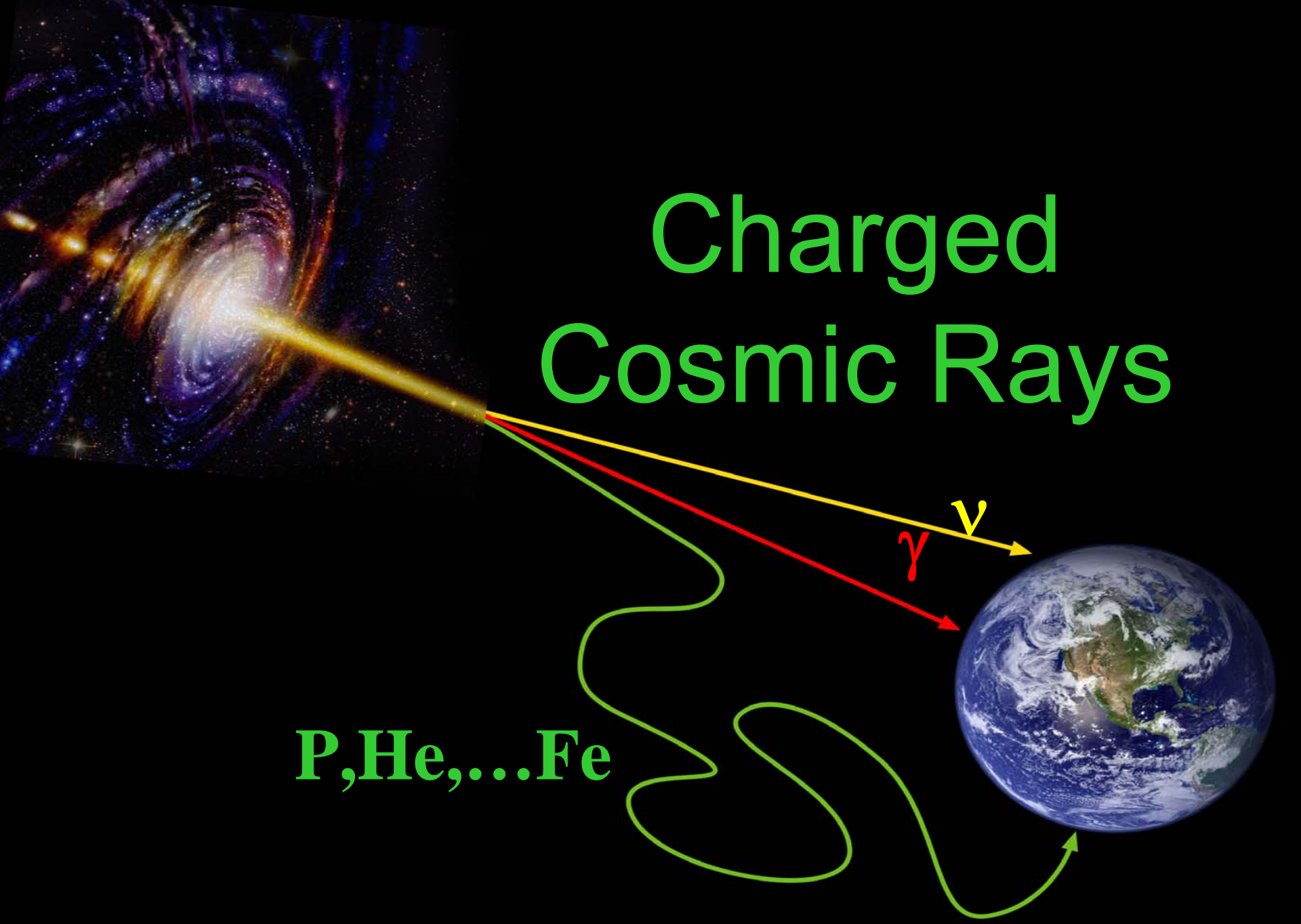


# High Energy Universe: nuclei, $\gamma$ 's, and $\nu$ 's



# Charged Cosmic Rays

P, He, ... Fe



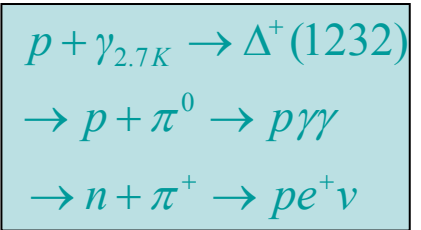
# Cosmic Rays at highest energies

Source, acceleration, and mass of the particles unknown – but they exist !

Measurements by  
or

large particle detector arrays (AGASA → no cutoff)  
fluorescence telescopes (HiRes → cutoff)

**GZK cutoff  $E > 5 \cdot 10^{19} \text{ eV}$  expected**

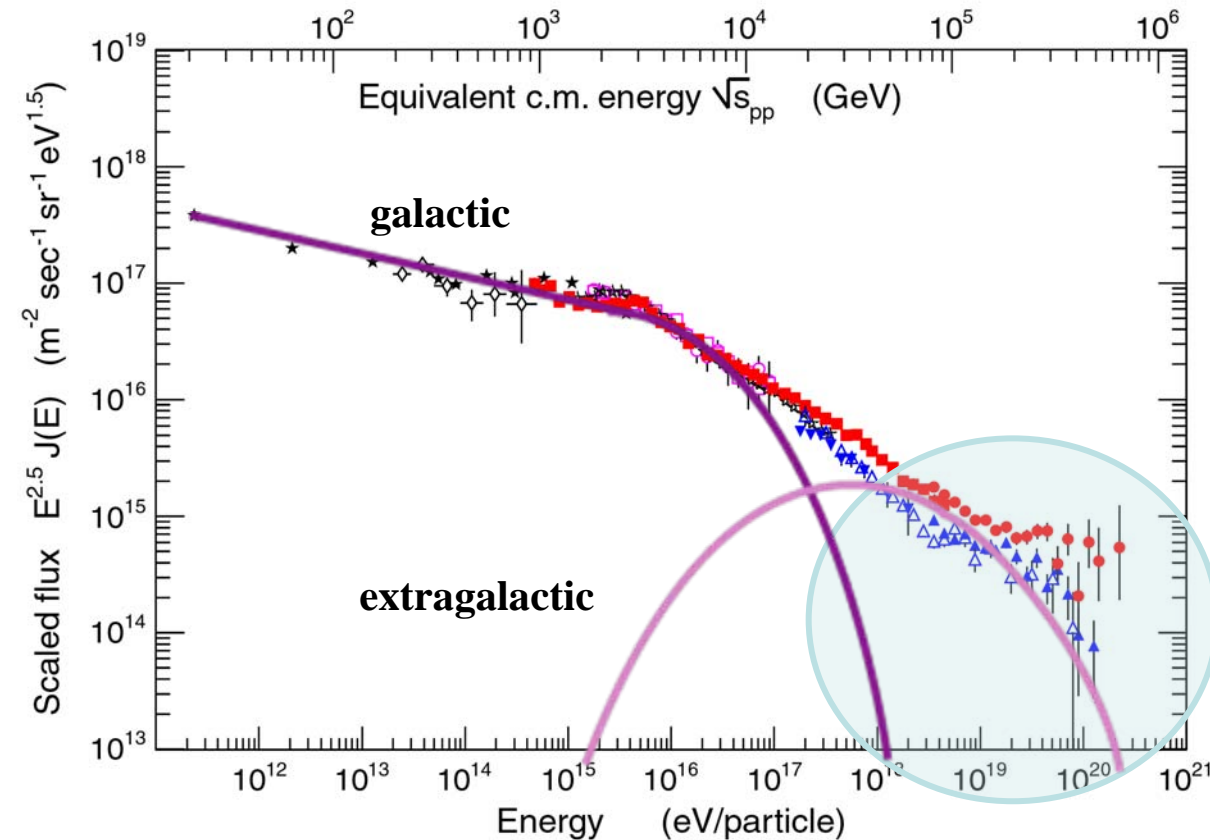


• **If no GZK:**

- Nearby sources:  
GUT fossils (TD, DM, ...)
- Propagation effects:  
violation of Lorentz invariance  
Z-Bursts, ...

• **Near sources should be identified by point source astronomy**

High magnetic rigidity of the primaries (charged particle astronomy)

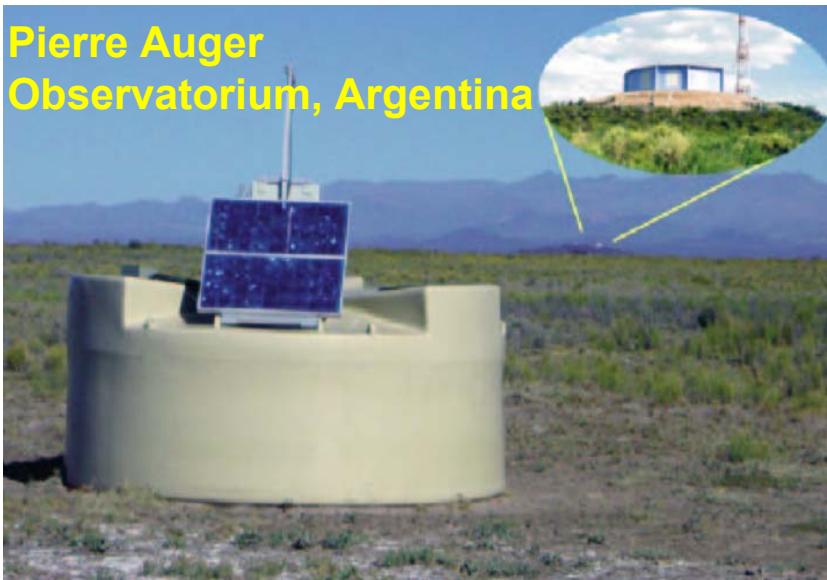




# Cosmic Ray Experiments



Telescope Array, Utah, US



Pierre Auger Observatorium, Argentina



JEM-EUSO, ISS

# Cosmic Rays at highest energies

## Ultra High Energy Cosmic Ray Investigations with the Pierre Auger Observatory



# Pierre Auger Observatory: Science Objectives

- **understand the nature, origin and propagation of UHECR**
    - point sources?
    - An-/Isotropy of arrival directions?
    - GZK cut-off or continuing spectrum or other structures?
    - primary particle mass, type?
    - acceleration or decay of exotics?
  - **measure cosmic rays with high statistics and quality**
    - aperture  $> 7\,000 \text{ km}^2\text{sr}$  @  $10^{19}\text{eV}$
    - $\sim$  degree angular resolution, zenith angle  $\theta^\circ \dots 90^\circ$
    - primary particle discrimination (light, heavy,  $\gamma$ ,  $\nu$ )
    - calorimetric energy calibration
- ➔ **hybrid design:**
- surface detectors and fluorescence telescopes**
    - measurement of direction, energy and composition of primaries



# Auger Observatory

Fluorescence  
telescope

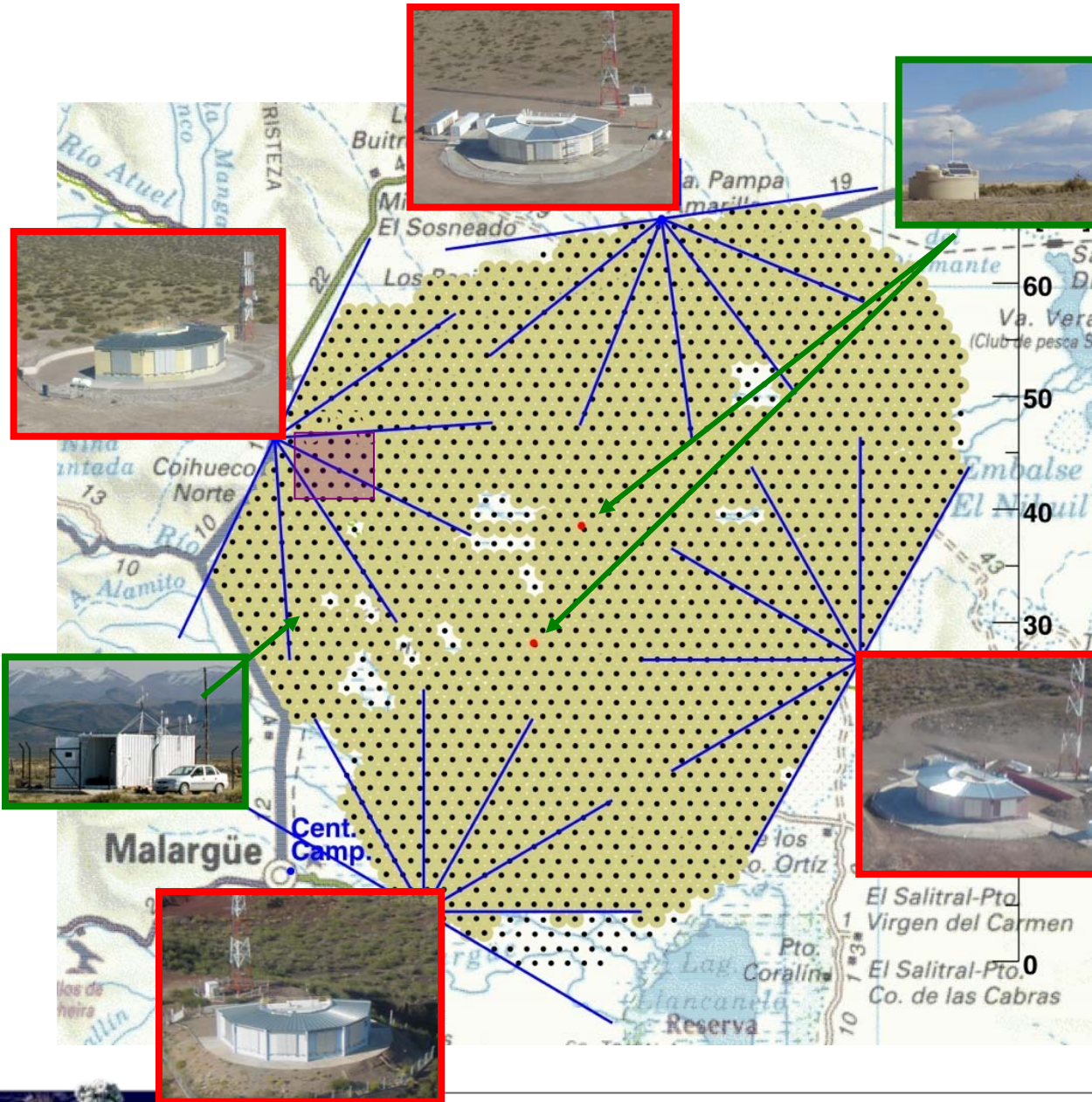


sensitive detector area:  
c. 3000 square kilometers

Water-Cherenkov-Tanks



# The Pierre Auger Observatory: completed July 2008



**1600 surface detector stations: water-Cherenkov tanks (triangular grid of 1.5 km)**

**4 fluorescence detectors (24 telescopes in total)**

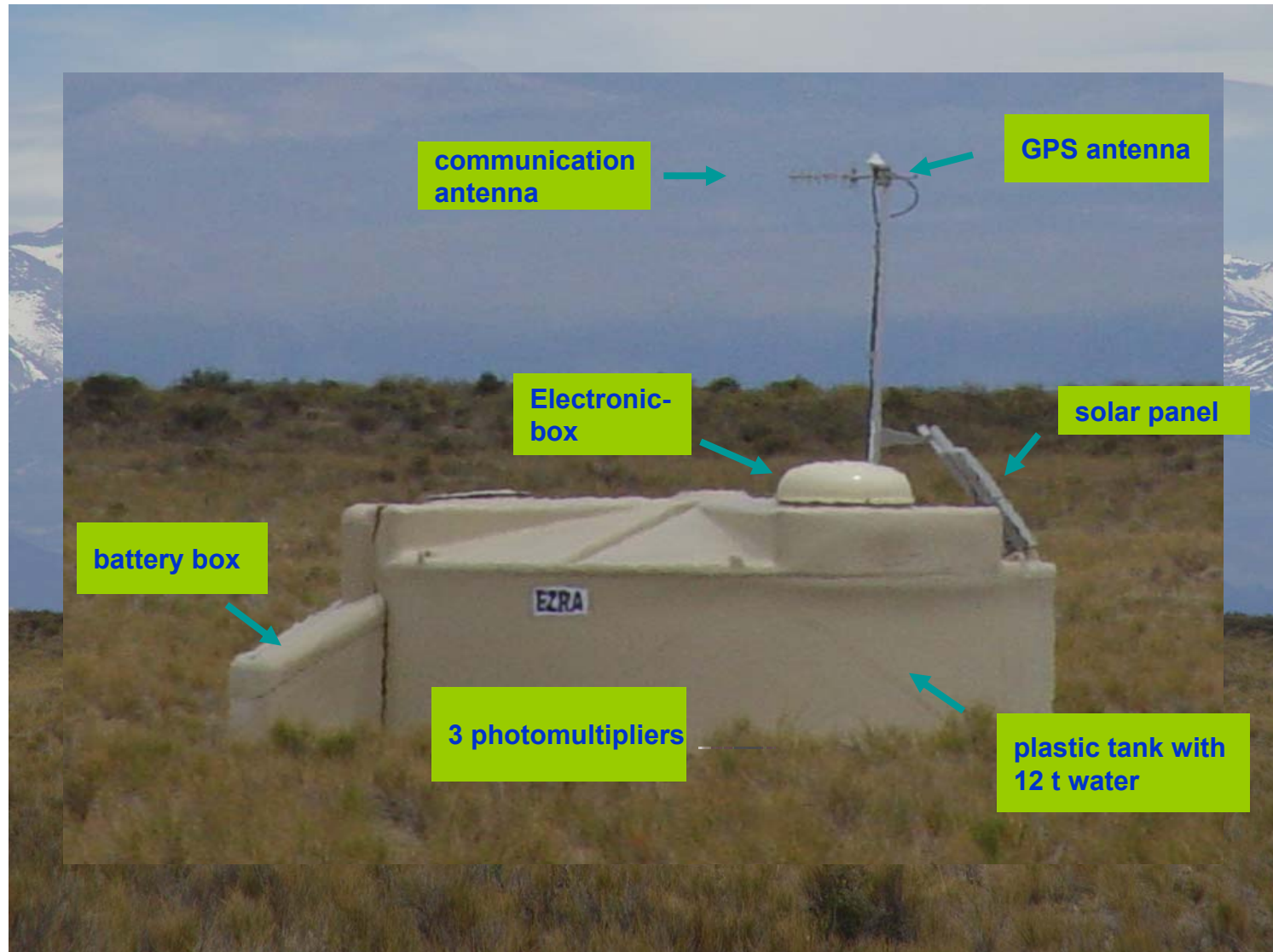
**2 laser stations  
balloon station**

**~25km<sup>2</sup> infill area  
HEAT, AMIGA, AERA**

# Surface array in the Argentinean Pampa



# Water Cherenkov Detector

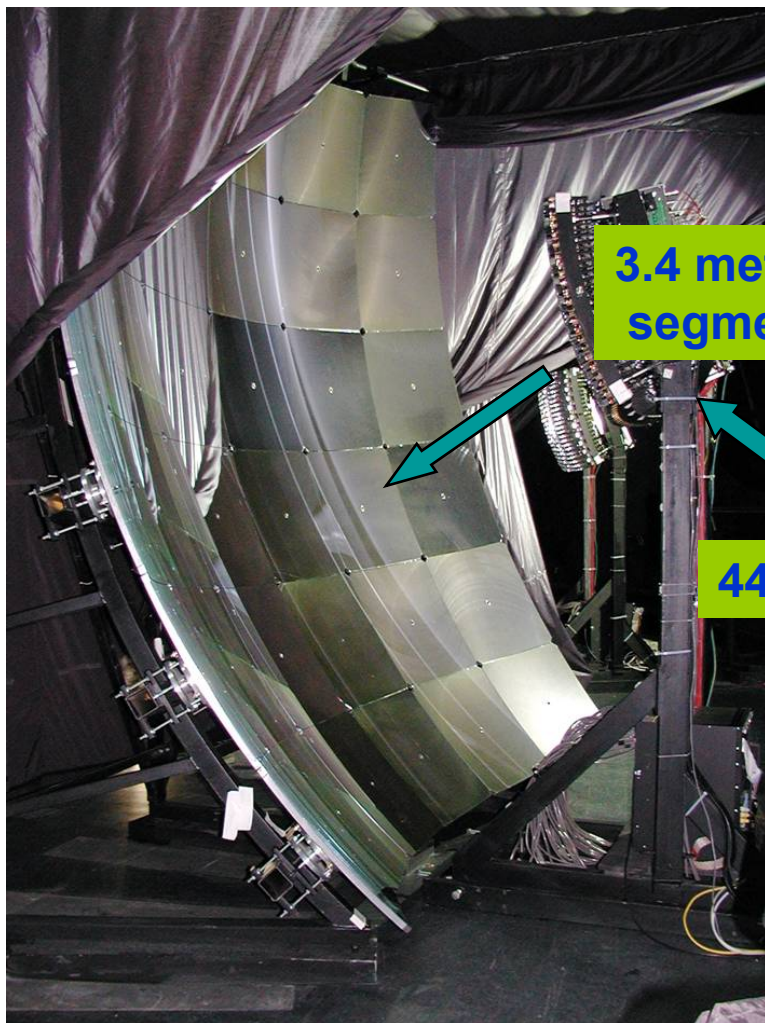


# Fluorescence Telescopes

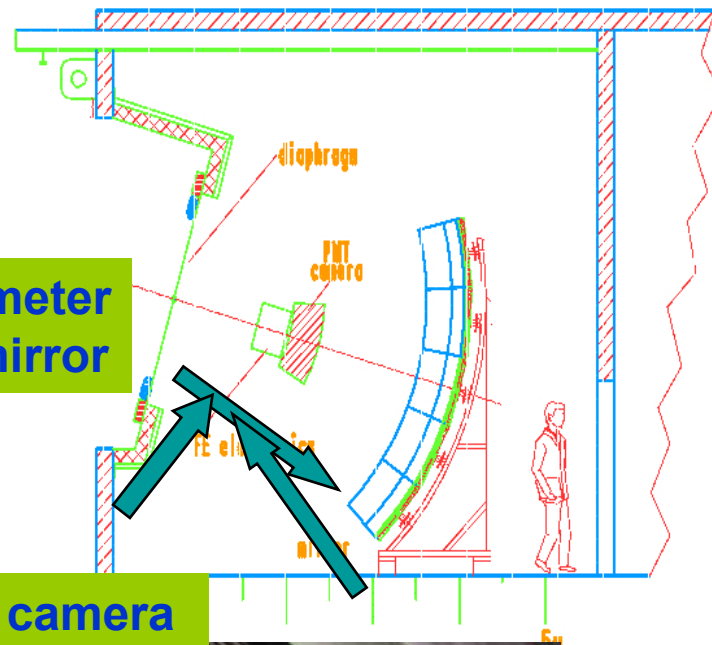




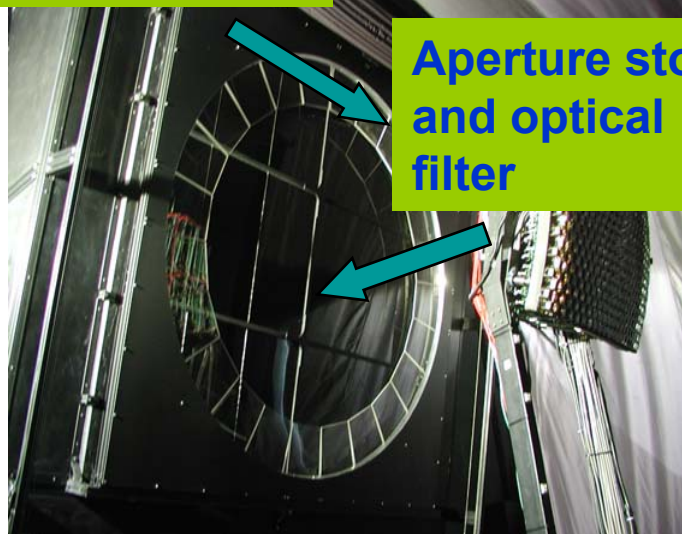
# The Fluorescence Detector



3.4 meter diameter segmented mirror



440 pixel camera



Aperture stop and optical filter

# Atmospheric Monitoring and Calibration

## Atmospheric Monitoring

Central Laser Facility



Lidar at each fluorescence eye



Atmospheric radio sounding measurements

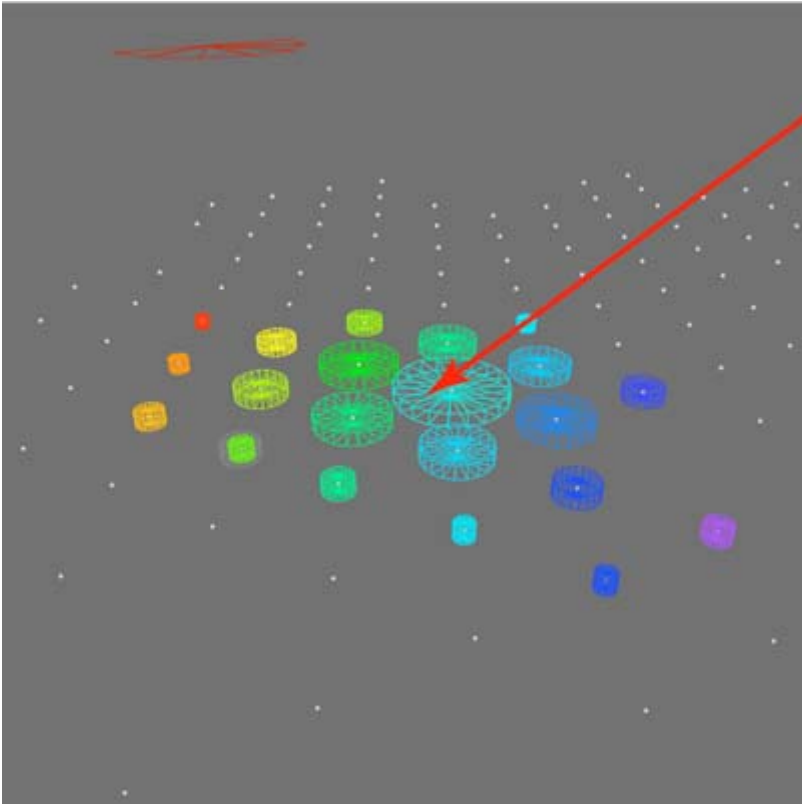
## Absolute Calibration



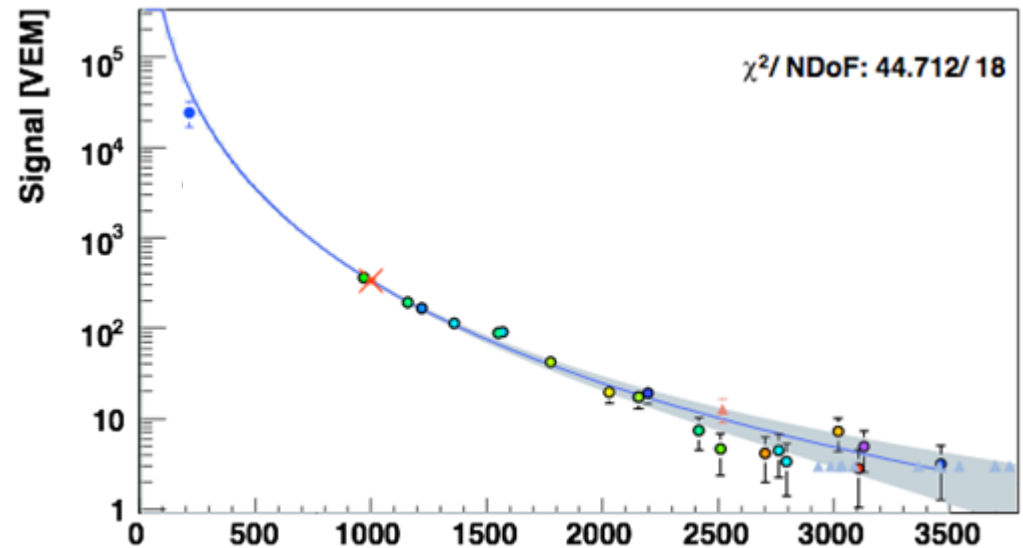
Drum for uniform camera illumination:  
end to end calibration

# Surface detector events

More than 2,000,000 events

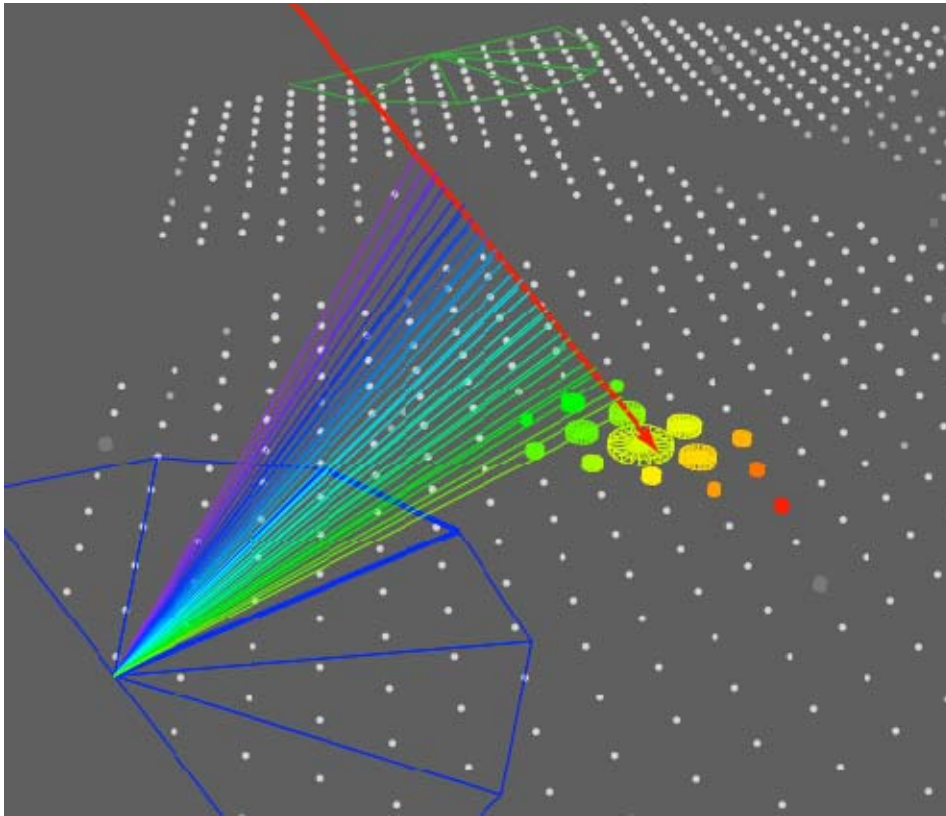


Example:  $E > 10^{20}$  eV,  $\theta \approx 45^\circ$

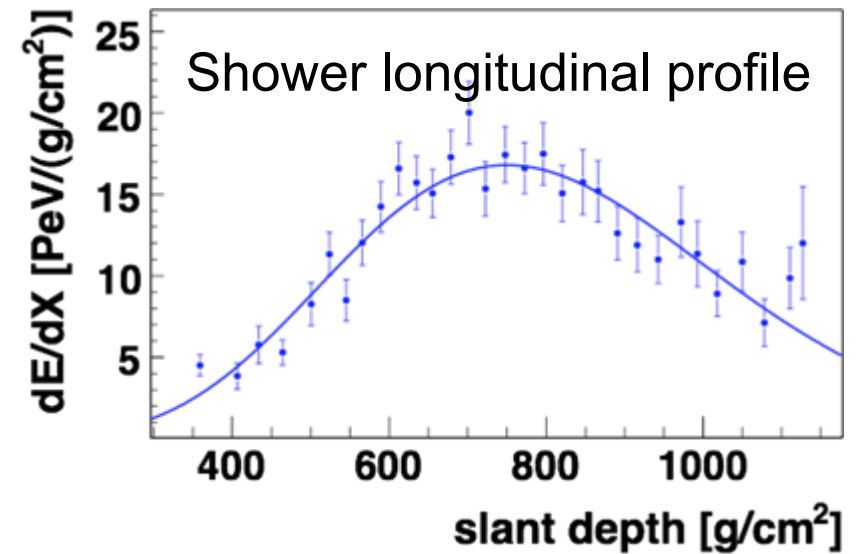
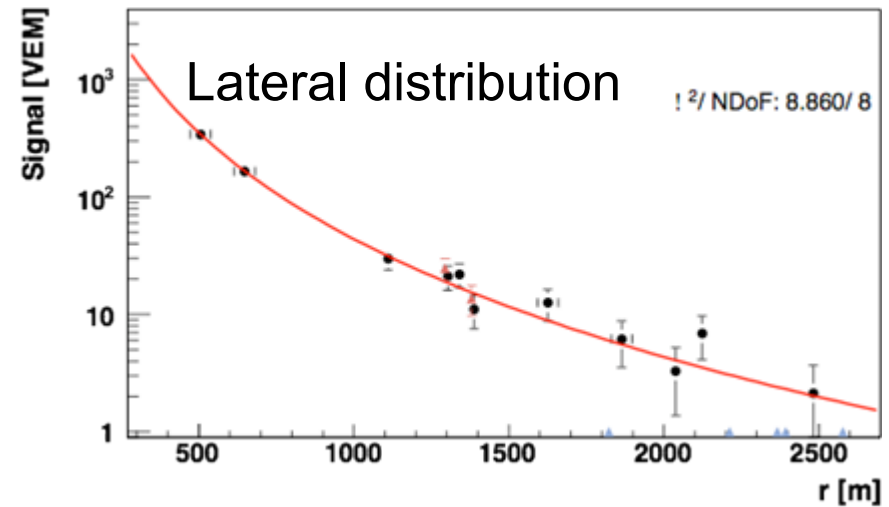


Tank signal in units of the signal of a vertical muon

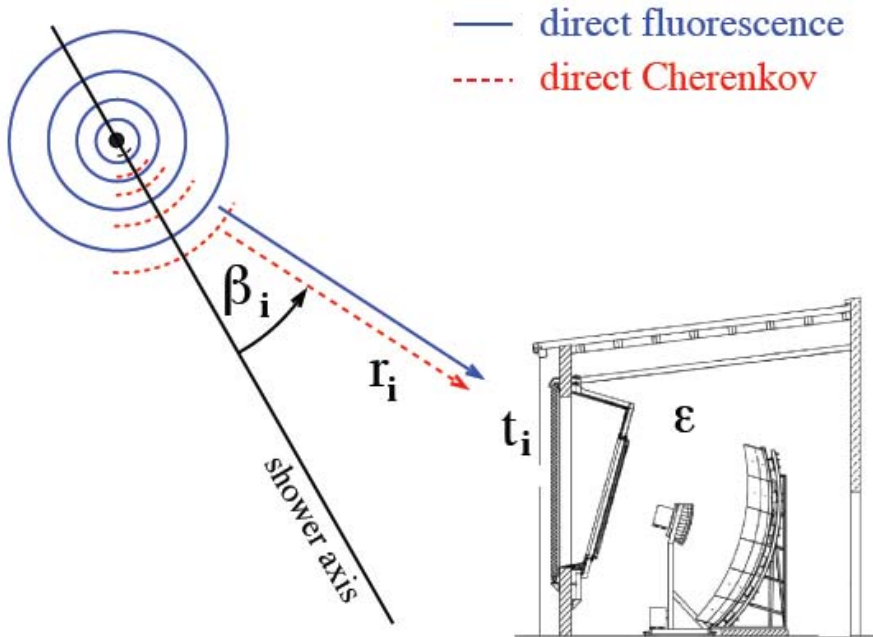
# Golden hybrid events



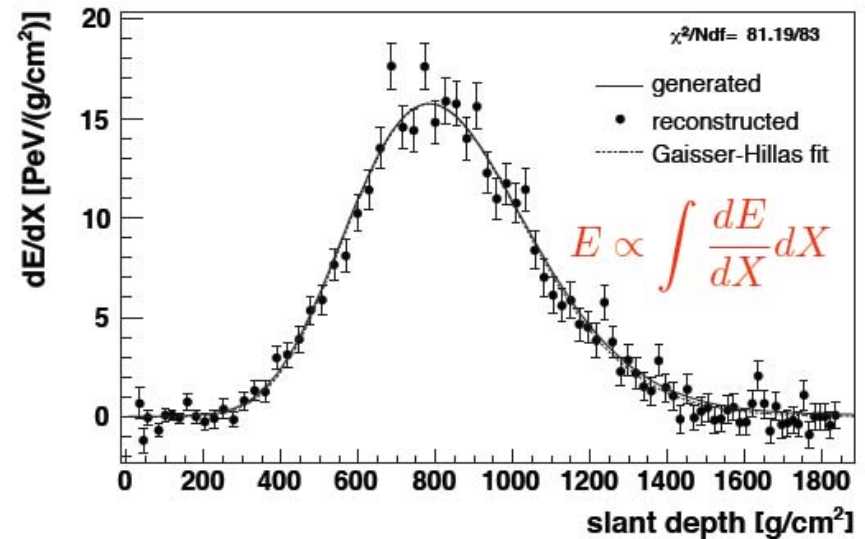
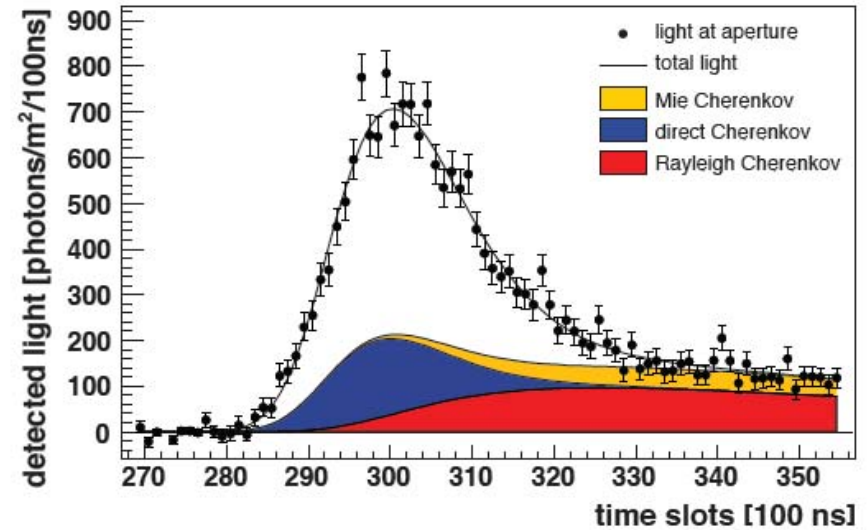
Hybrid events ~120,000  
Golden hybrid events ~ 15,000



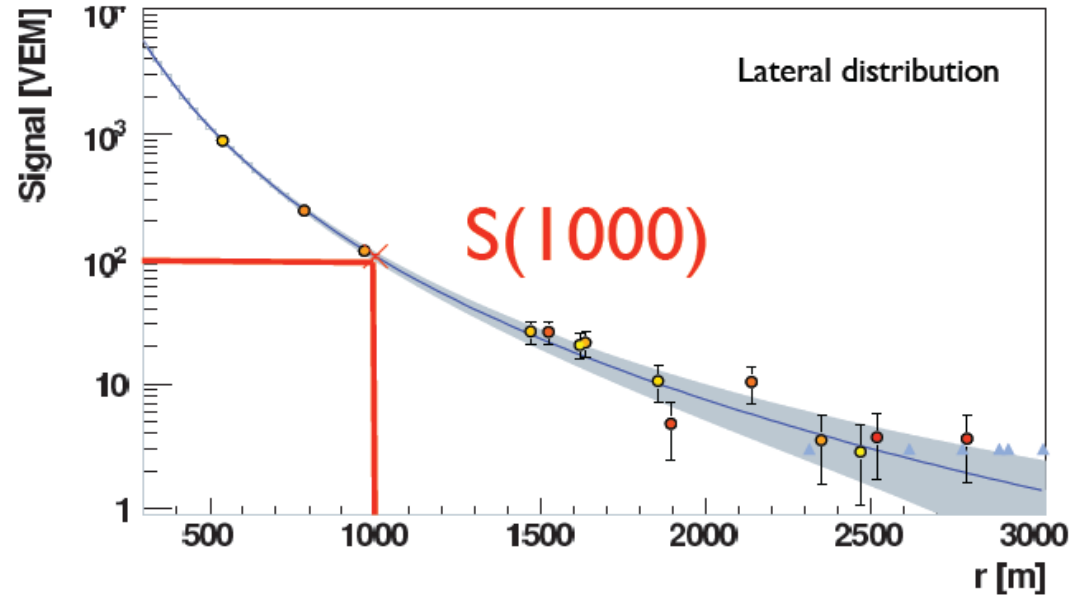
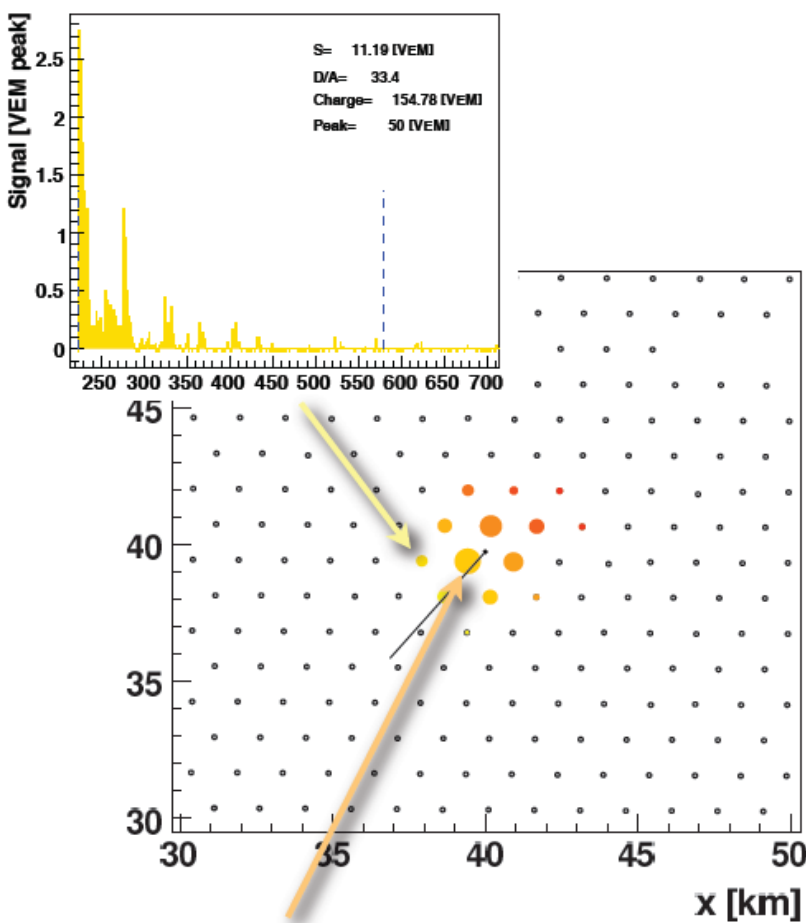
# FD: energy reconstruction



M. Unger et al.  
 NIM A: 588, 2008, p. 433

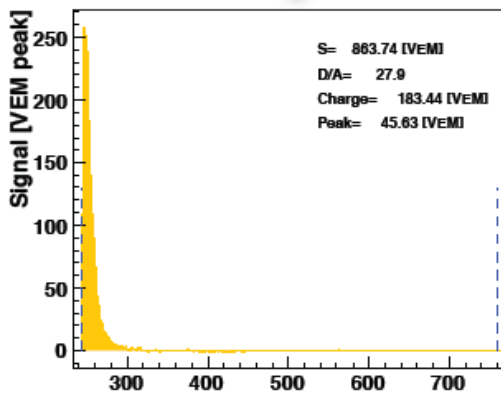


# SD: S(1000) reconstruction

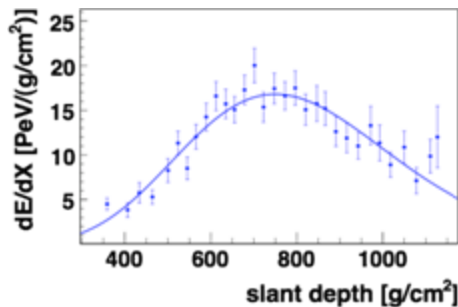
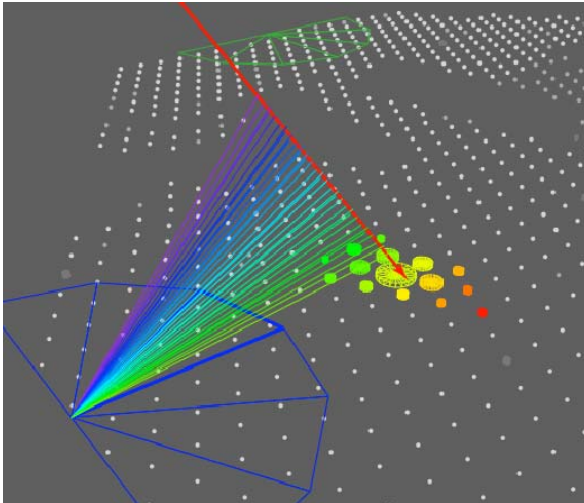
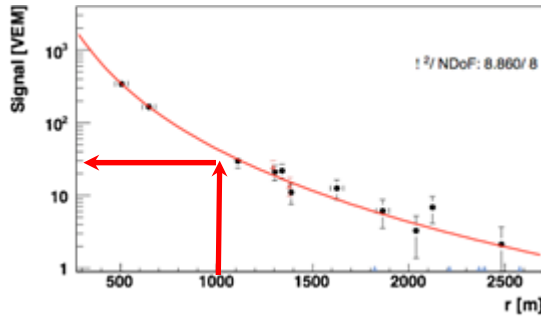


- Detector signal at 1000 m from shower core
- $S(1000)$
  - determined for each surface detector event

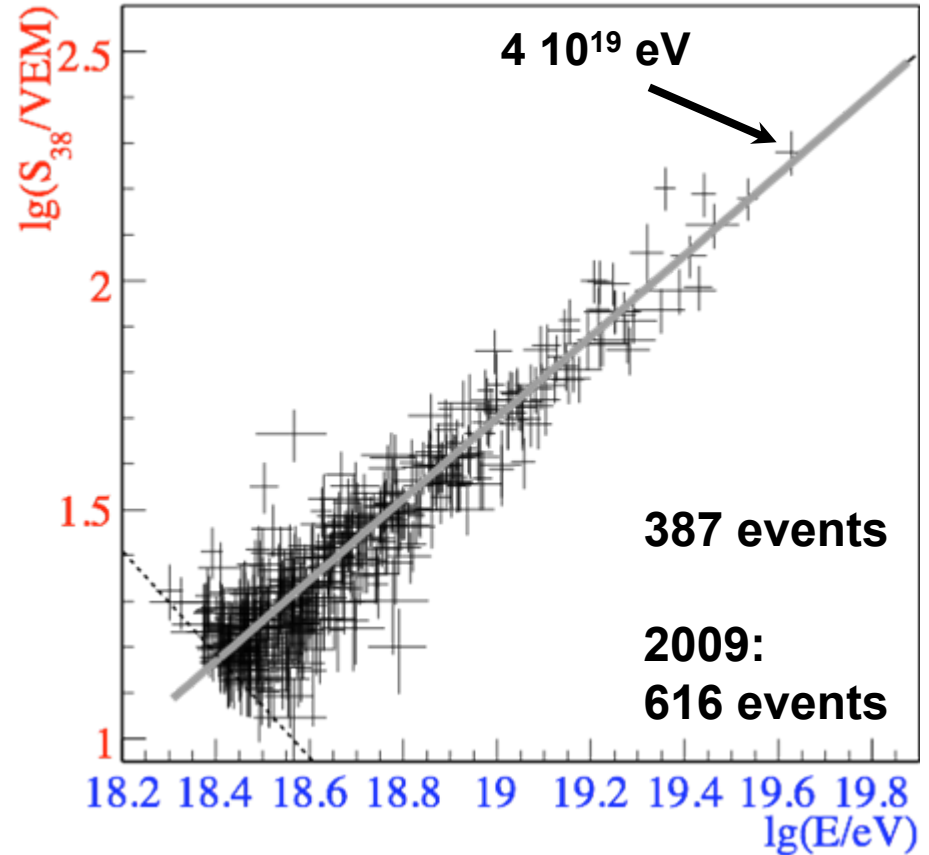
$S(1000) \sim E$



# Energy calibration of surface detector by Hybrid events



Shower size at 1000m and  $\theta=38^\circ$



Fluorescence detector  
energy

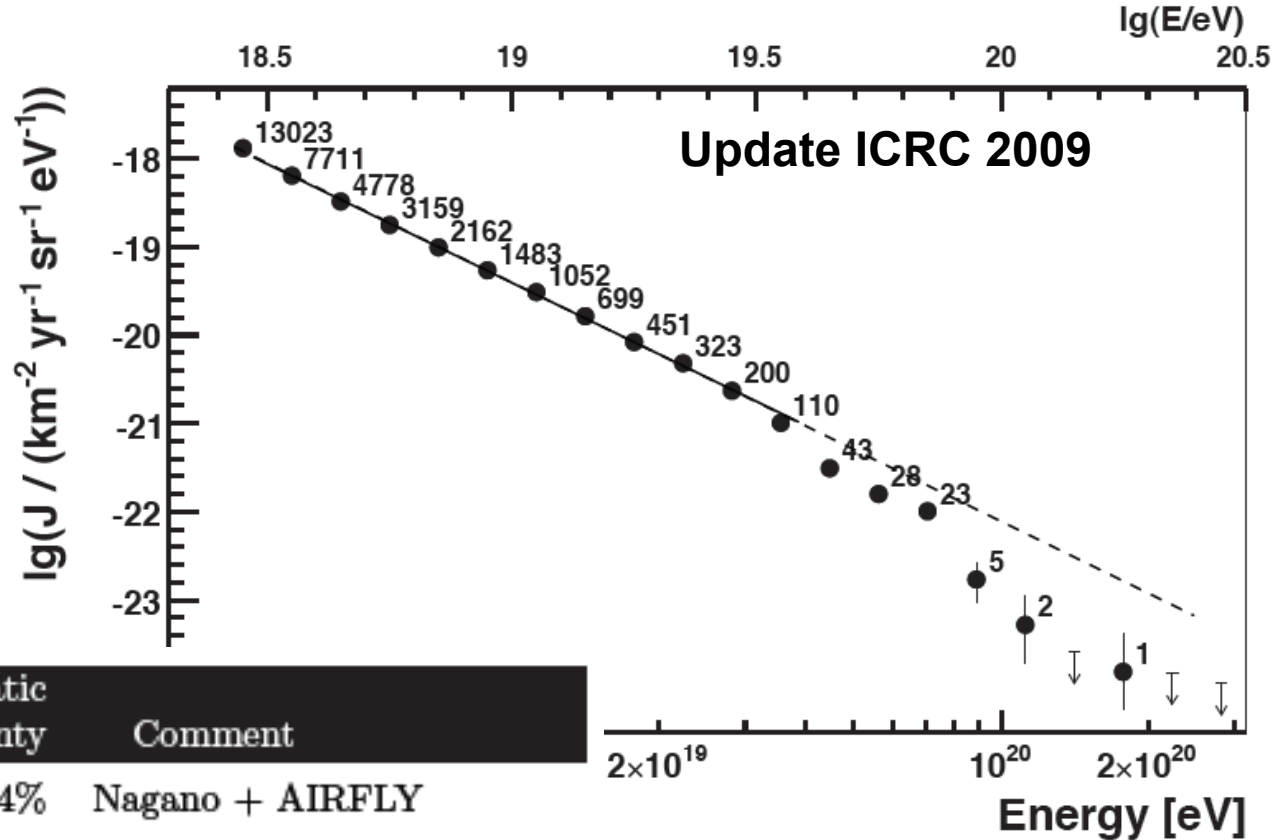
$$E_{\text{prim}} = f_{\text{corr}} \cdot \int \frac{dE_{\text{ion}}}{dX} dX$$



# Energy spectrum

Corrected for energy resolution by a forward folding procedure

- energy dependent
- less than 20% over the full range

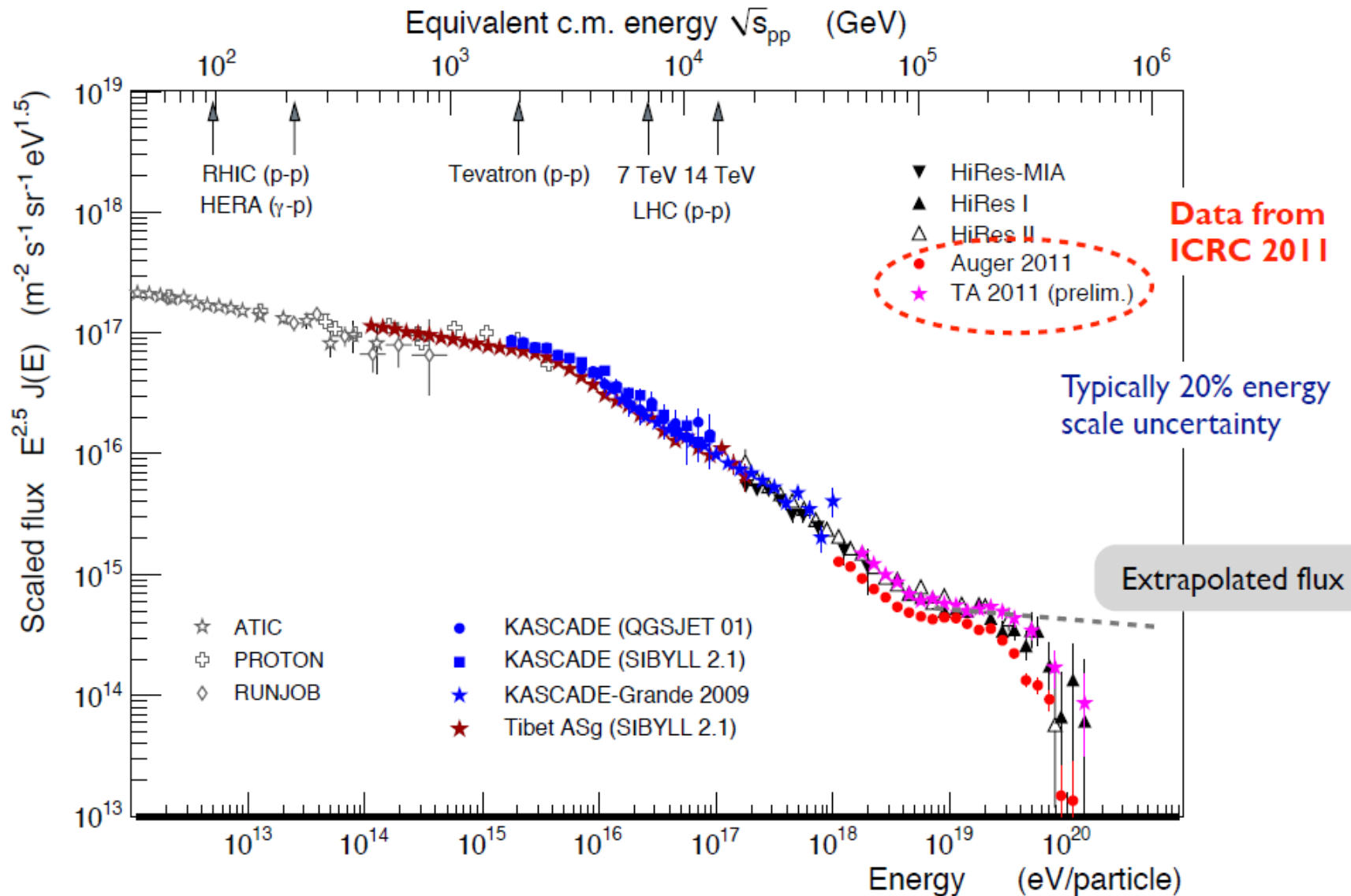


| Source                            | Systematic uncertainty | Comment                      |
|-----------------------------------|------------------------|------------------------------|
| Fluorescence yield                | 14%                    | Nagano + AIRFLY              |
| P,T and humidity effects on yield | 7%                     |                              |
| Calibration                       | 9.5%                   | Calib. source, laser         |
| Atmosphere                        | 4%                     |                              |
| Reconstruction                    | 10%                    | Optical spot, Lat. Ch. dist. |
| Invisible energy                  | 4%                     | Model dependence             |
| <b>Total</b>                      | <b>22%</b>             |                              |

→ **Suppression is there!**



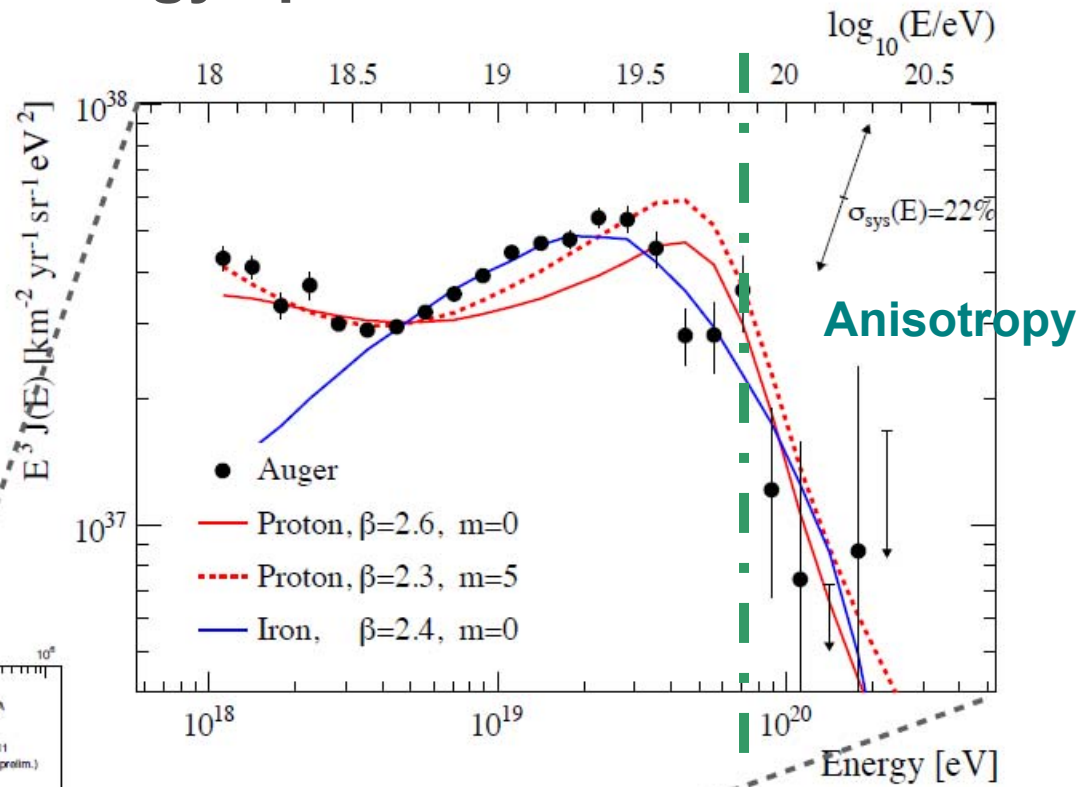
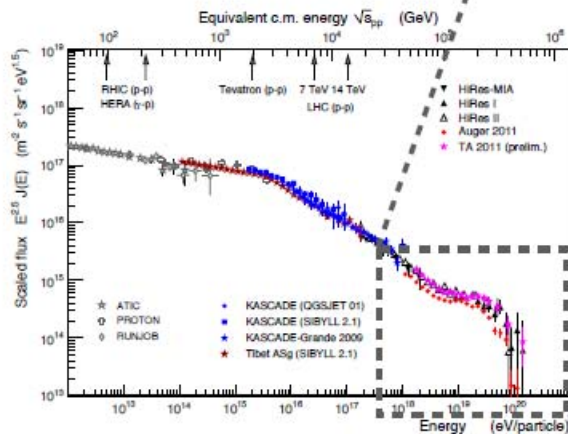
# Energy spectrum



# Energy spectrum

**Proton dominated flux**  
 Ankle:  $e^+e^-$  pair production  
 Suppression: delta resonance

(Dip model of Berezhinsky et al.)



**Iron dominated flux**  
 Ankle: transition to galactic sources  
 Suppression: giant dipole resonance

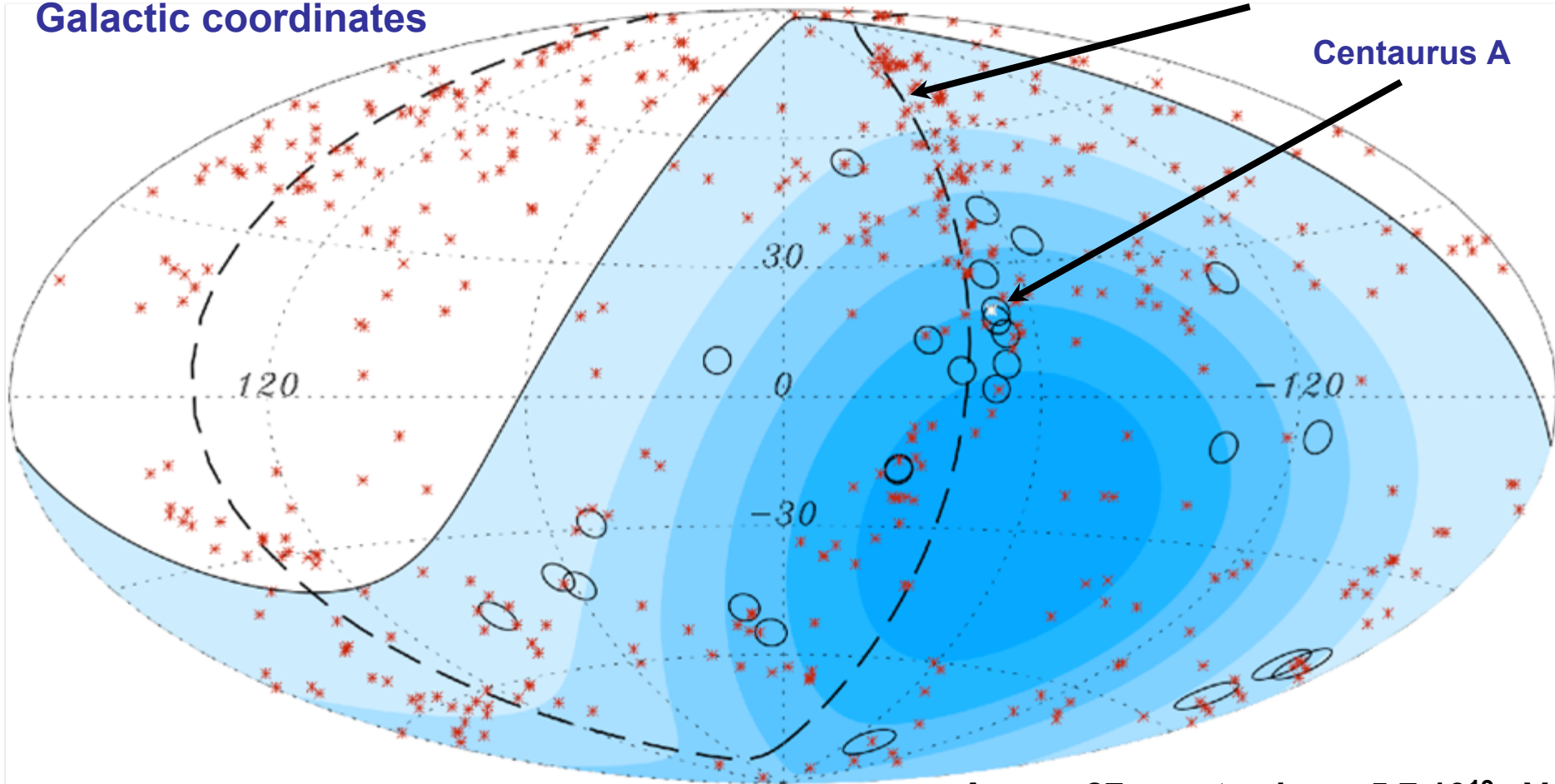
- ? Observed flux suppression is due entirely to GZK effect
- ? Observed flux suppression is signature of maximum acceleration energy
- ? Observed flux suppression is due to both source cutoff and GZK effect

# Anisotropy of ultra-high energy cosmic rays

Galactic coordinates

Supergalactic plane

Centaurus A



**Veron-Cetty:** 472 AGN ( $z < 0.018$ ,  $\sim 75$  Mpc)  
318 in field of view of Auger

**Auger:** 27 events above  $5.7 \cdot 10^{19}$  eV,  
20 correlated within  $3.1^\circ$ ,  
5.7 expected

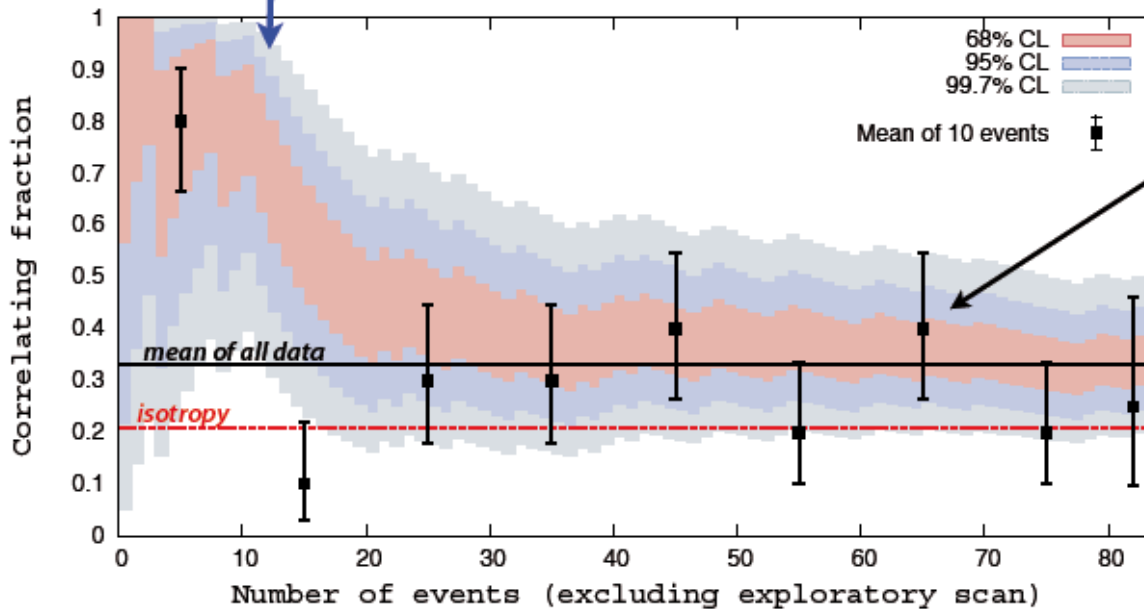
The Pierre Auger Collaboration (9 November 2007) *Science* 318 (5852), 938



# Current status of correlation with AGNs

## Auger Observatory (2011)

Science publication: 9/13 events ~69% correlated, expectation for isotropy 21%

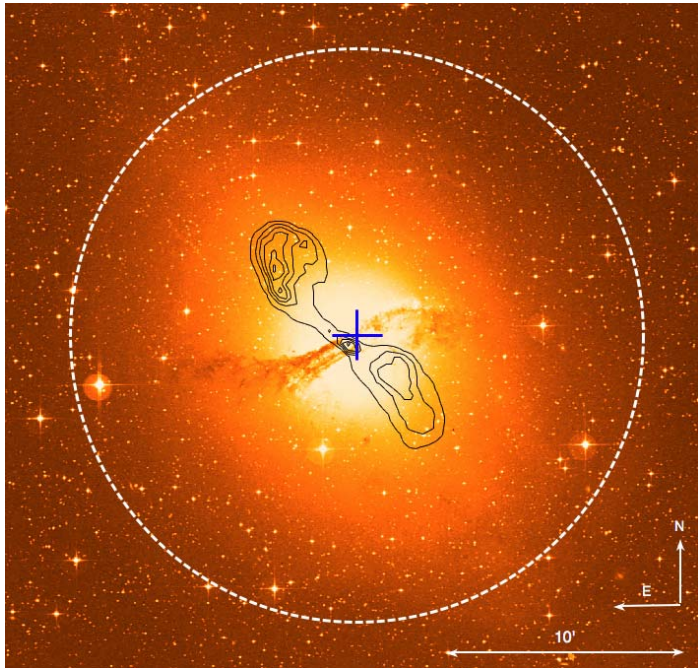


Differential estimate every 10 events

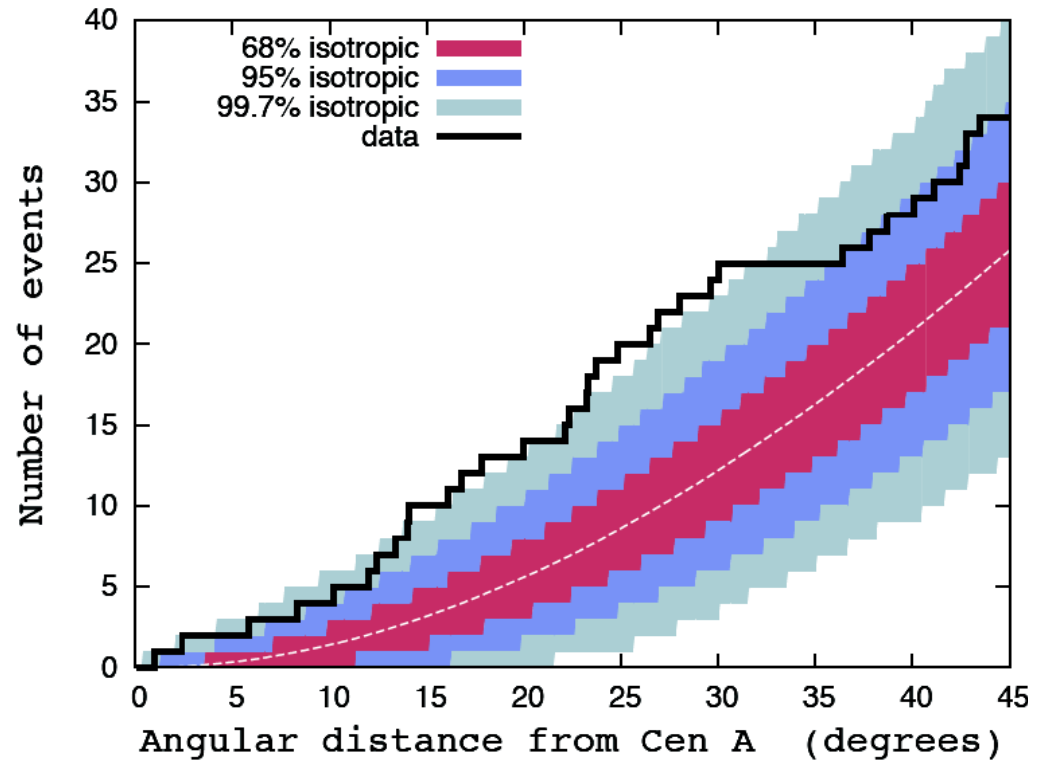
June 2011: 28 out of 84 correlated estimate now  $33 \pm 5\%$  ( $P = 0.006$ )

## Indications for weak anisotropy

# Special case: Centaurus A



**CEN A:**  
optical image plus  
radio contours (VLA),  
Arxiv 0903.1582v1

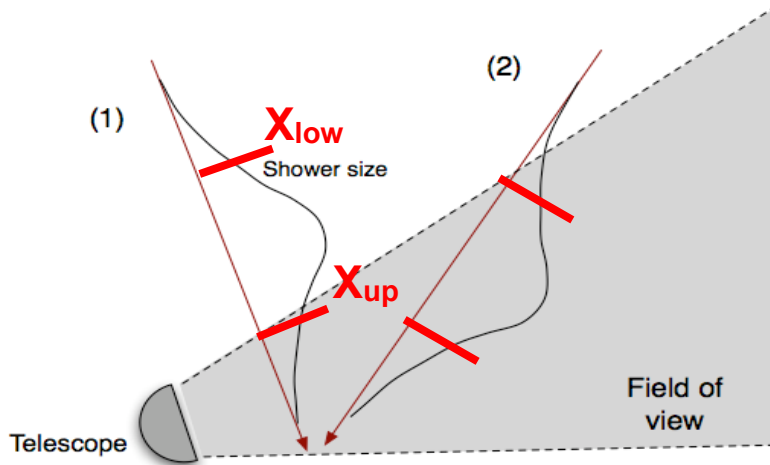


**Cumulative number of events with energy  $E \geq 55$  EeV as a function of angular distance from the direction of Cen A.**

**Maximum deviation from isotropy at 24°!  
19 observed vs 7.6 expected → LiMa 3.3 sigma**

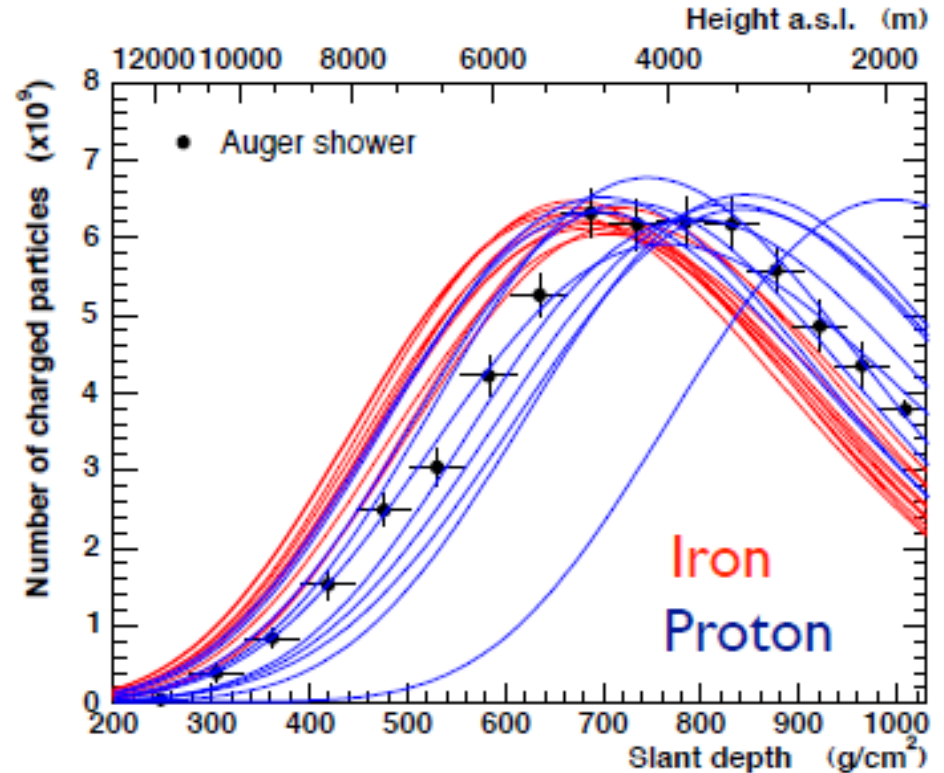
Astropart. Phys. 34 (2010) 314

# Composition: measurement of longitudinal profile



Field of view bias needs to be accounted for

$X_{low}$ ,  $X_{up}$  are determined from data, no simulation needed

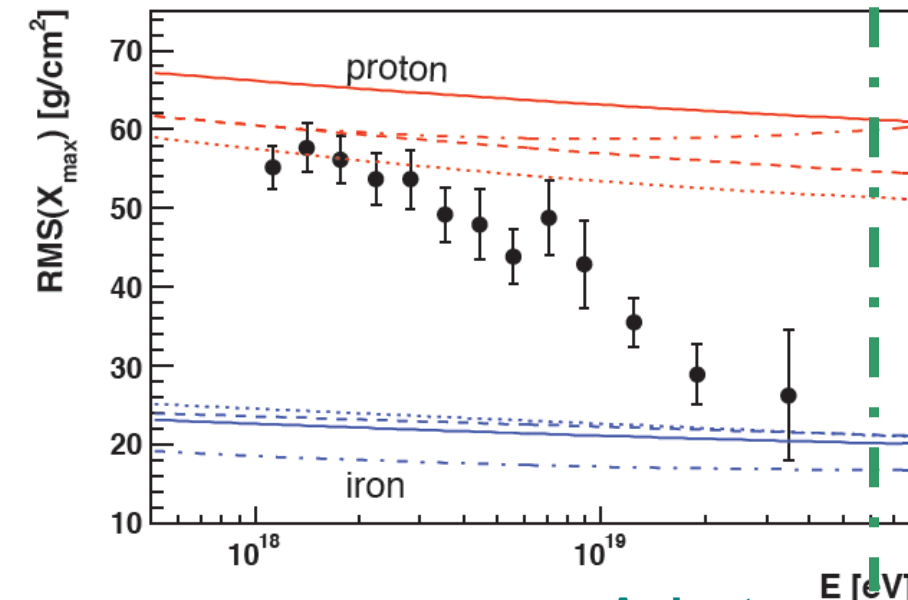
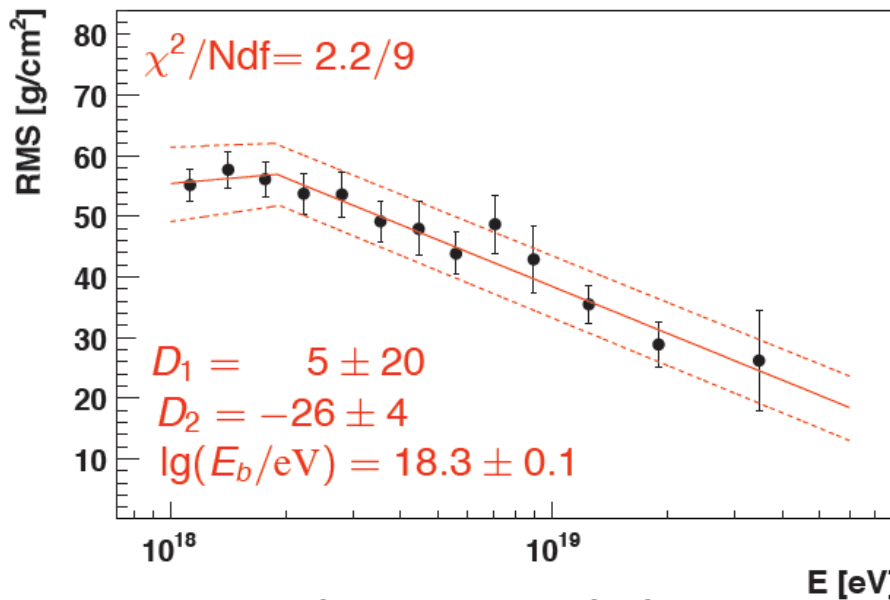
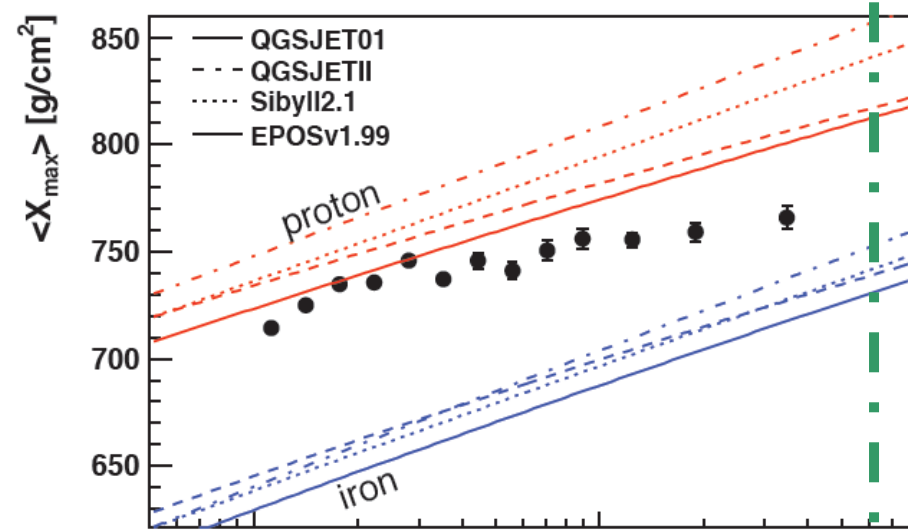
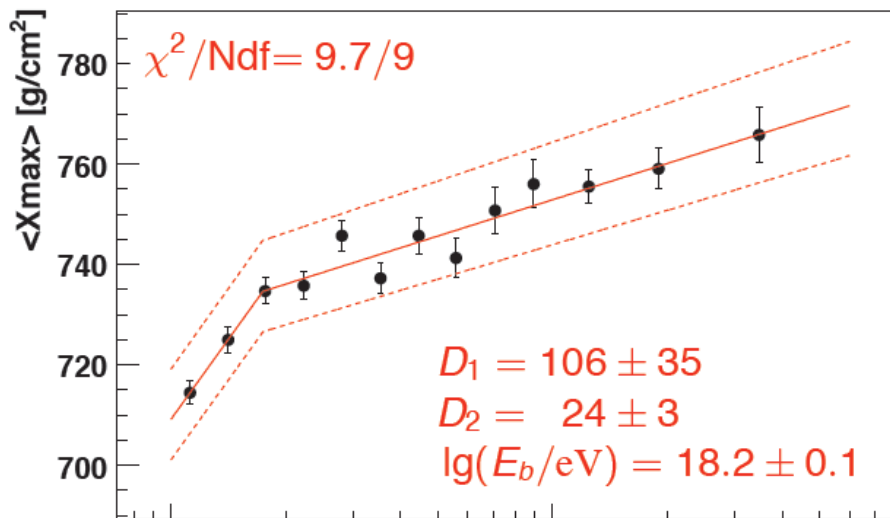


Mean depth of shower profiles and shower-to-shower fluctuations as measure of composition

(Unger et al., ICRC 2007)

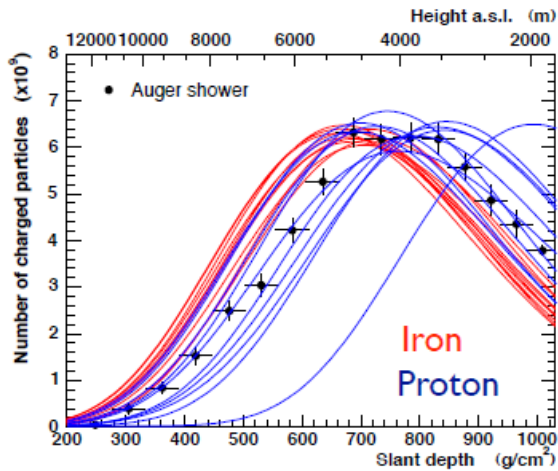


# Composition: mean depth and rms of shower maximum

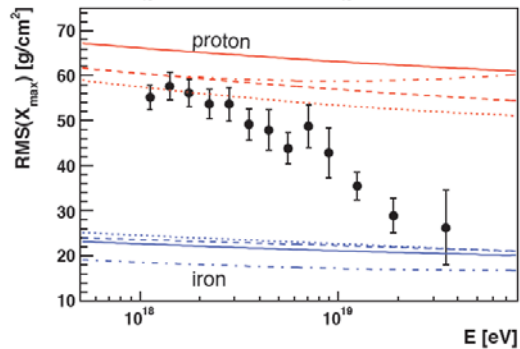
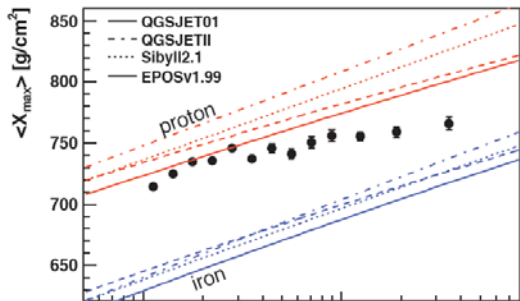
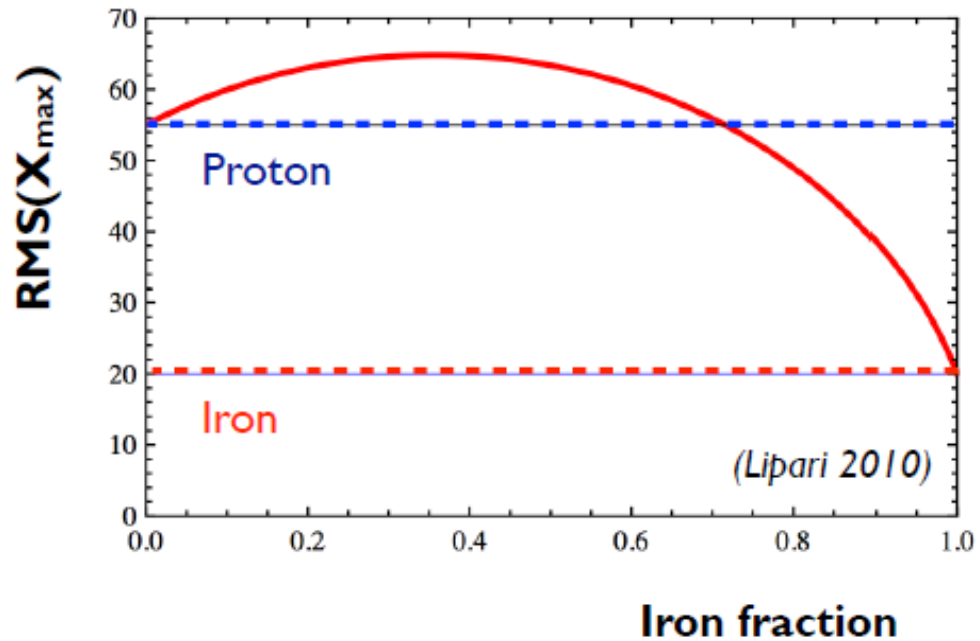


J. Bellido-Auger Collaboration, ICRC09

# Composition: be careful with RMS



Fluctuations of depth of shower maximum



**RMS value is not linearly composition dependent!**

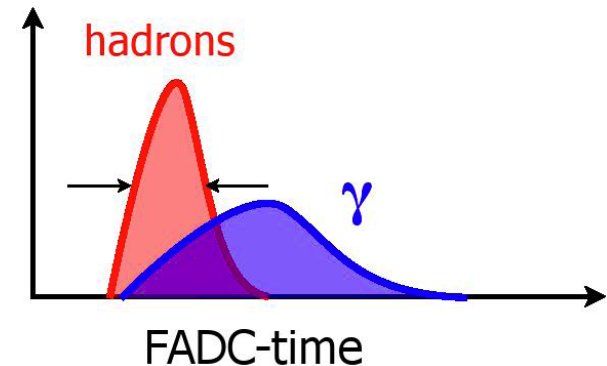
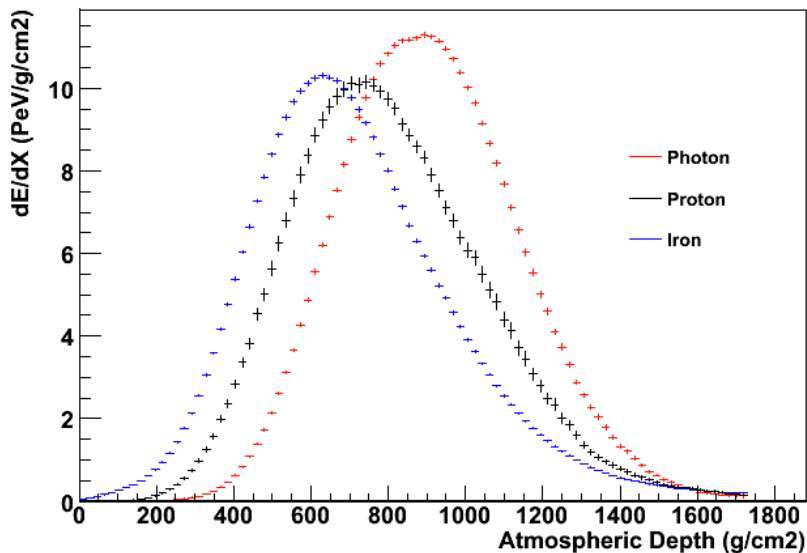




# Photon search: muon poor EAS

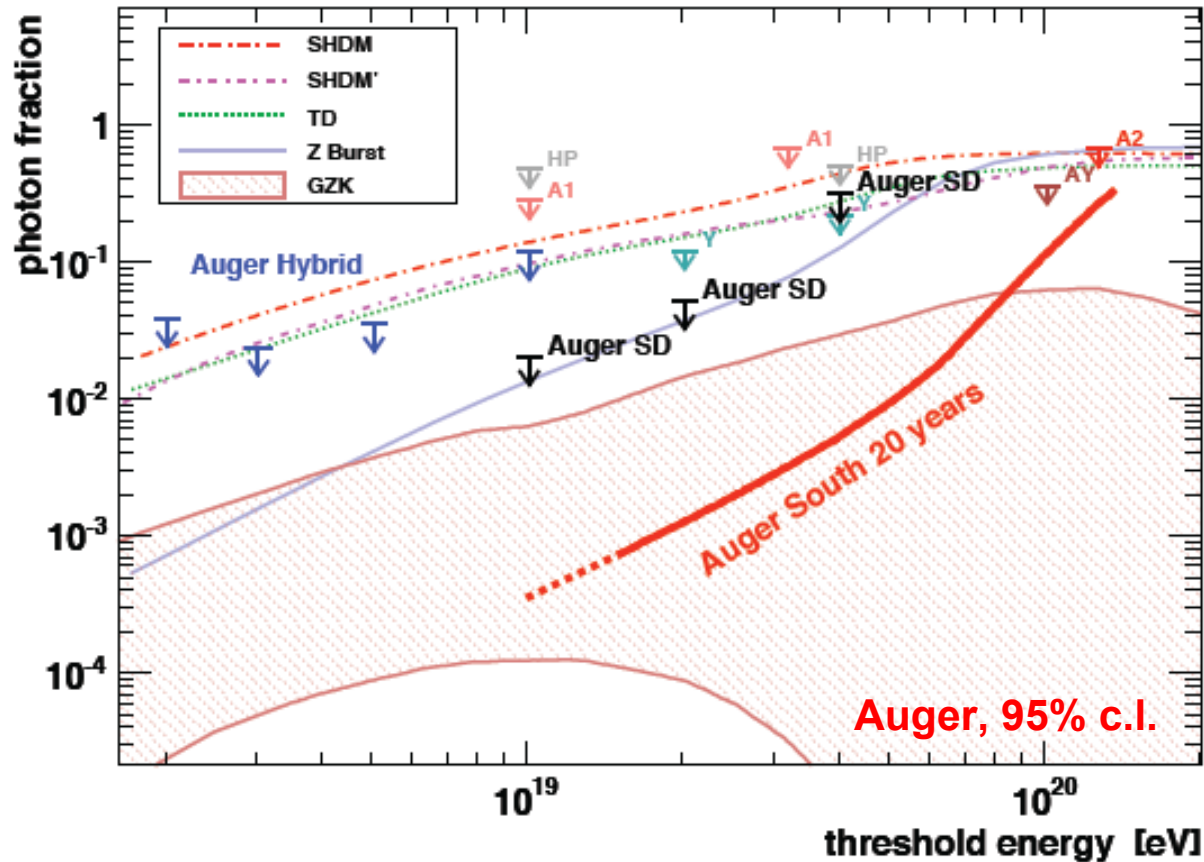
Photon initiated showers penetrate deeper in the atmosphere  
→ higher  $X_{\max}$  (FD)

Photon initiated showers are pure electromagnetic EAS  
→ less muons, different signal shape in particle detector (SD)



# Limit on fraction of photons in UHECR flux

Photons penetrate deeper in Atmospheres and have less muons

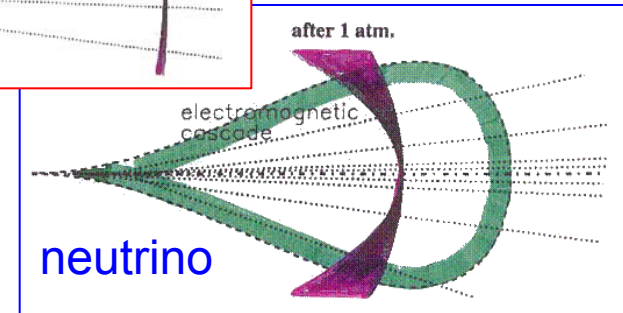
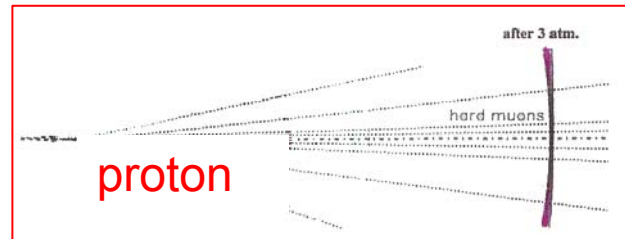
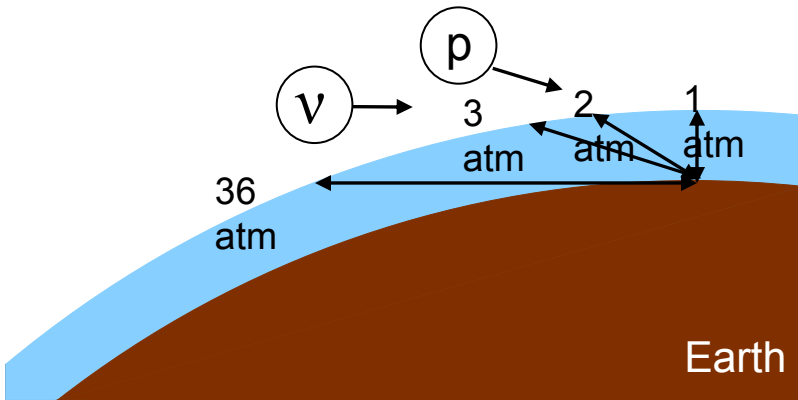
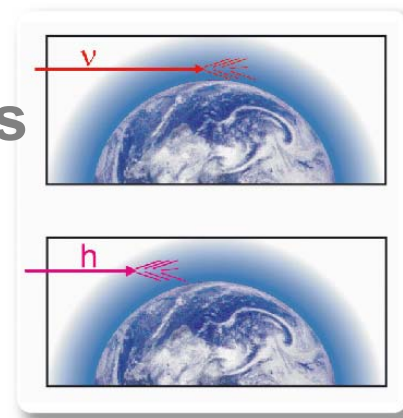


*Astropart. Phys.* 29 (2008) 243  
*Astropart. Phys.* (2009), arxiv 0903-1127

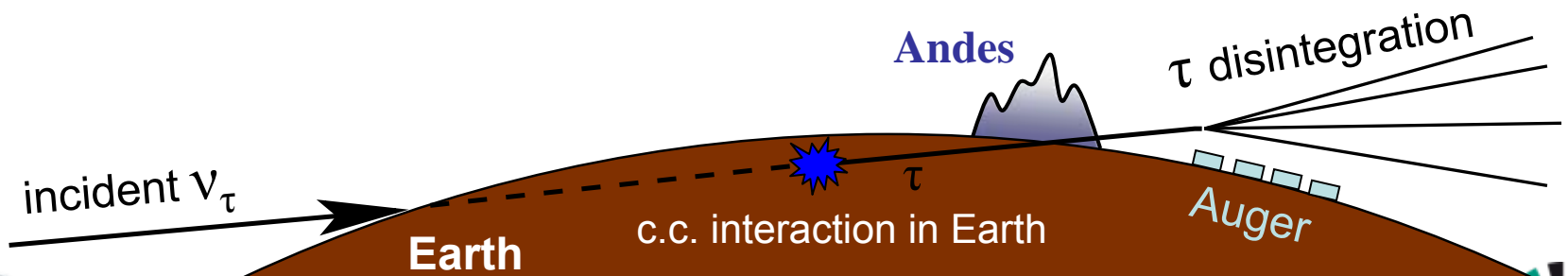
Many exotic source scenarios excluded

# Neutrino search: horizontal air showers

nearly horizontal air showers from extremely high energy  $\nu_e$  or  $\nu_\mu$  neutrinos

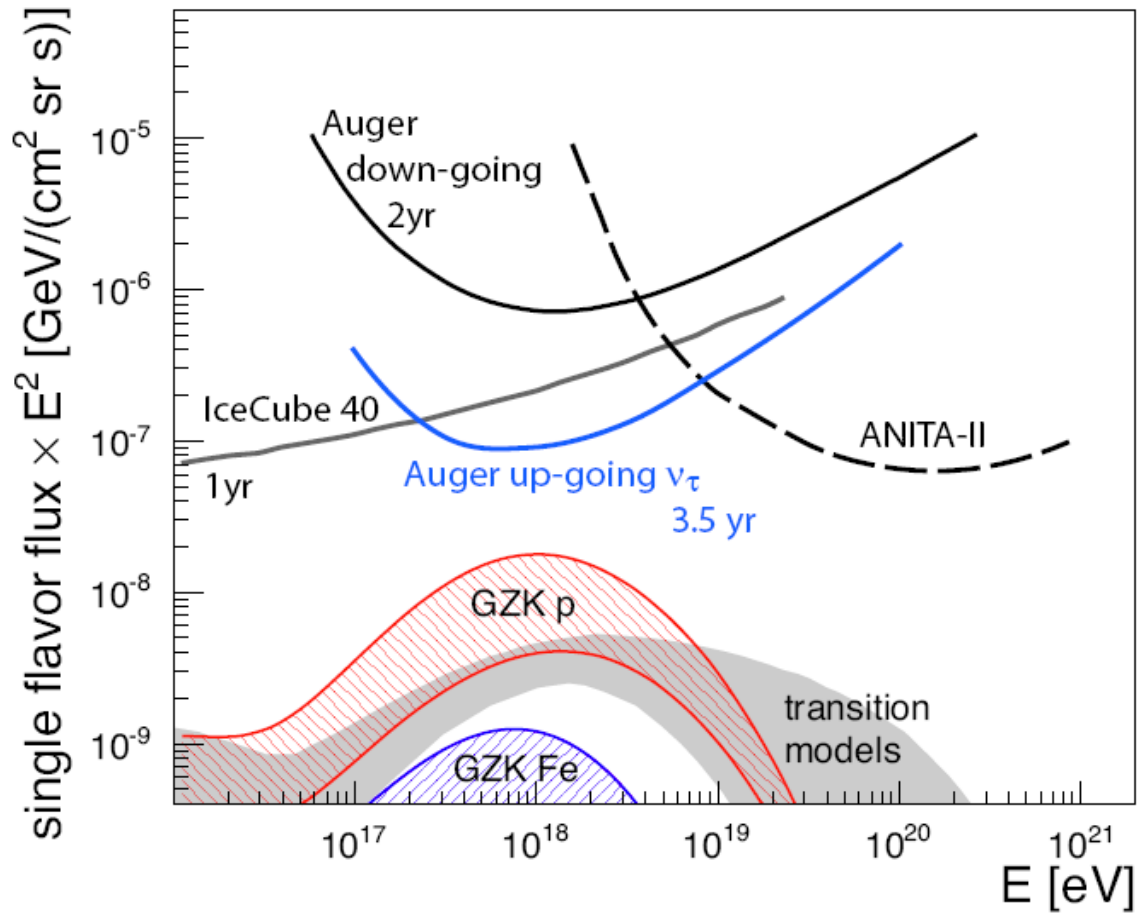


air showers from skimming  $\nu_\tau$  neutrinos



# Limit on flux of neutrinos in UHECR flux

Horizontal or Earth skimming EAS with electromagnetic component



PRL 100 (2008) 211101  
ApJ (2012) accepted

**No Neutrinos detected**

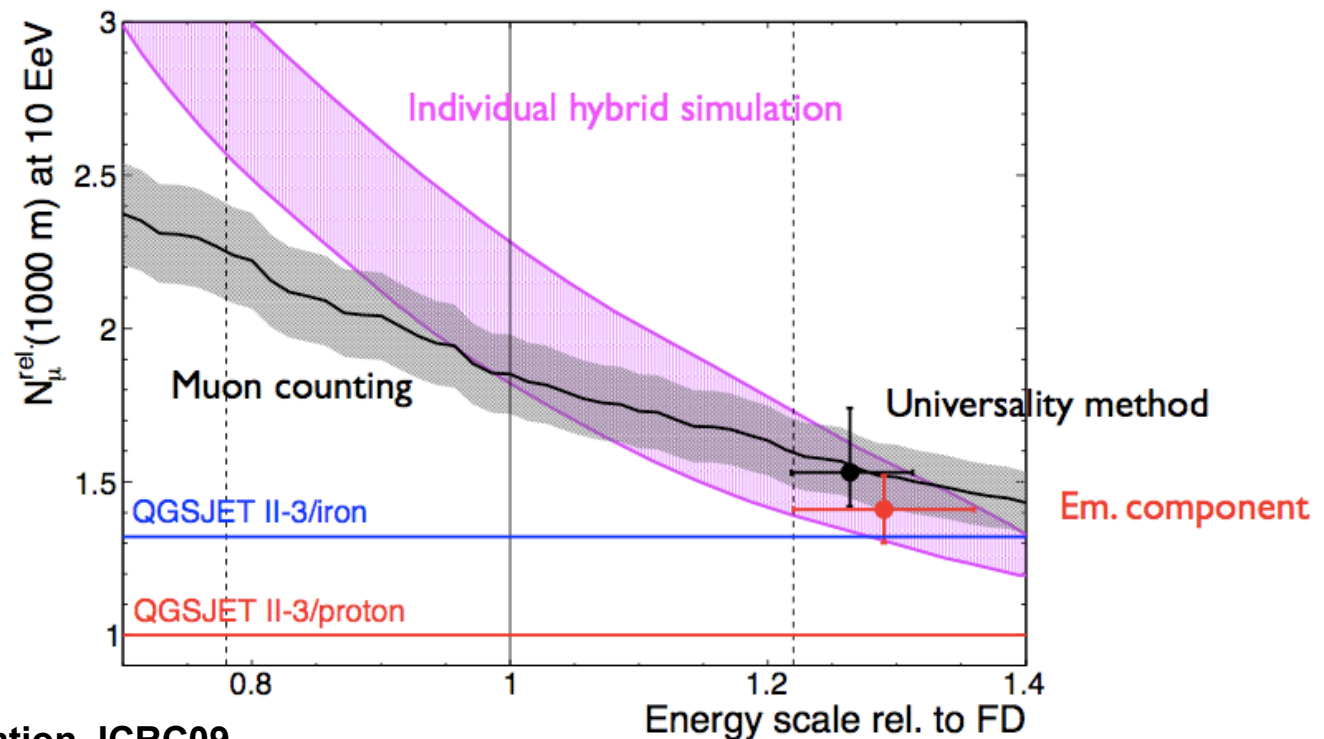
# Validity of hadronic interaction models

A self consistent description of the Auger data is obtained only with a number of muons **1.3 to 1.7 times higher**

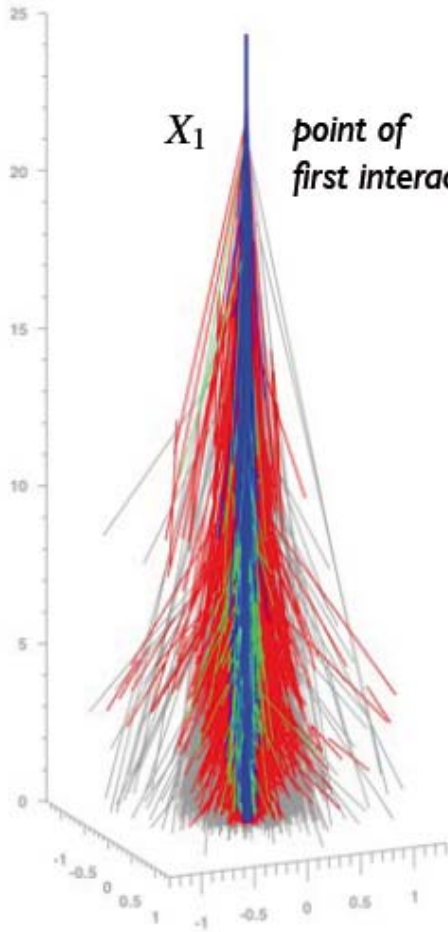
than that predicted by QGSJET-II for protons at an energy **25-30% higher** than that from FD calibration

The results are marginally compatible with the predictions of QGSJET-II for Iron primaries

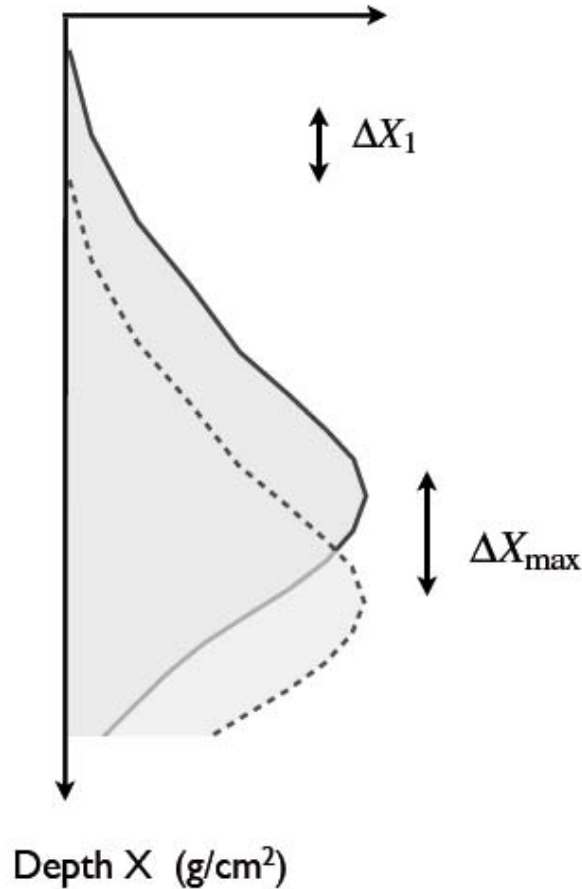
**Discrepancy:  
shower profile  
and muons  
at ground**



# Particle Physics: Cross section



Number of charged particles



$$\frac{dP}{dX_1} = \frac{1}{\lambda_{\text{int}}} e^{-X_1/\lambda_{\text{int}}}$$

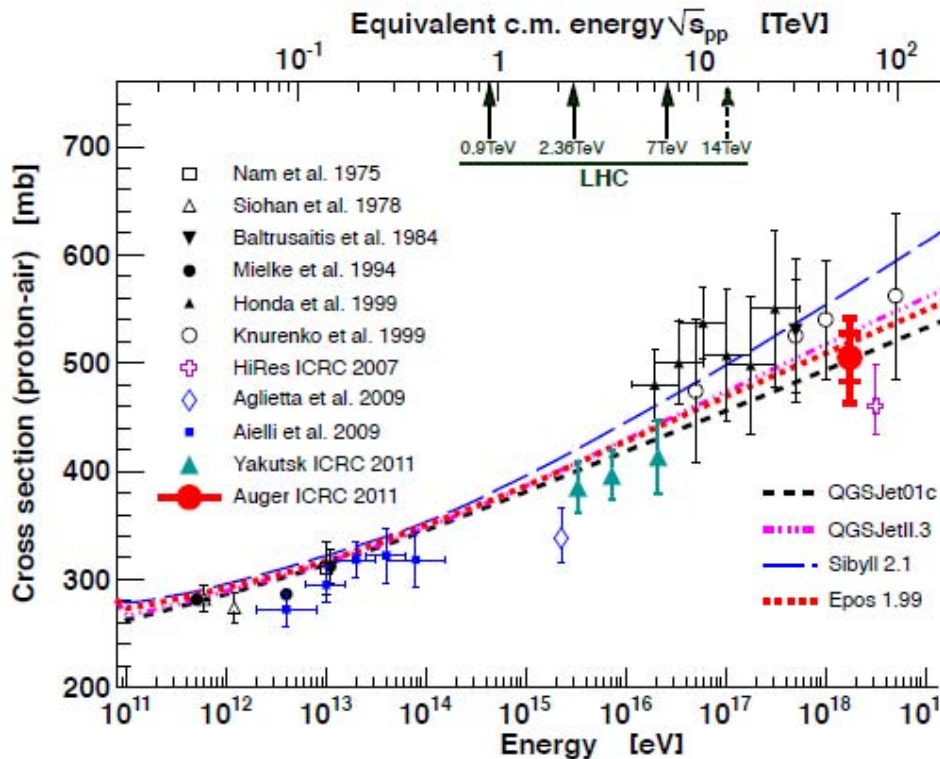
$$\text{RMS}(X_1) = \lambda_{\text{int}}$$

$$\sigma_{\text{p-air}} = \frac{\langle m_{\text{air}} \rangle}{\lambda_{\text{int}}}$$

## Difficulties

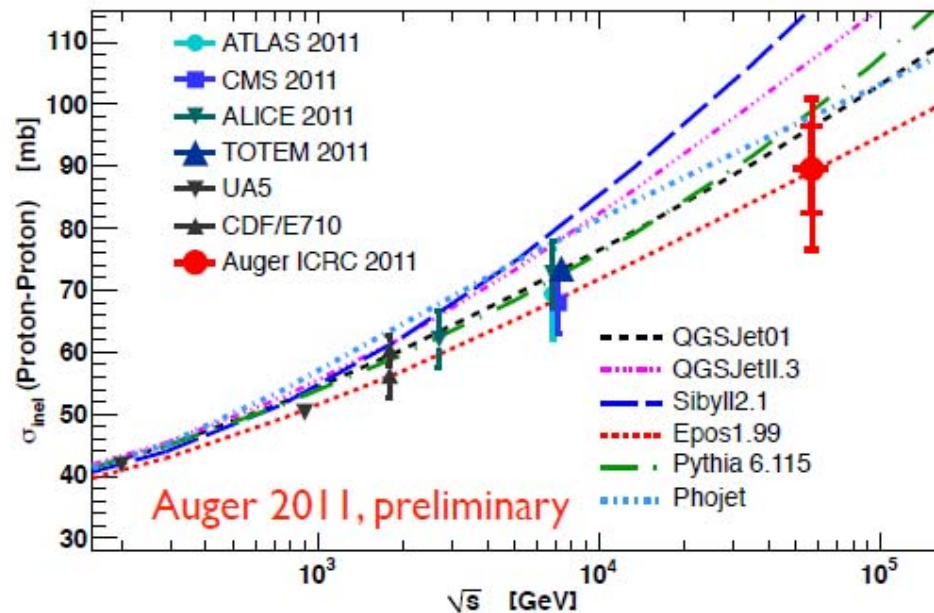
- mass composition
- fluctuations in shower development (model needed for correction)  
 $\text{RMS}(X_1) \sim \text{RMS}(X_{\text{max}} - X_1)$
- experimental resolution  $\sim 20 \text{ g/cm}^2$

# Cross section



Conversion from p-air to p-p cross section always model-dependent

*Glauber model*



Cross section independent of LHC data,  
very good agreement with extrapolated data

Physical Review Letters, in press, 2012

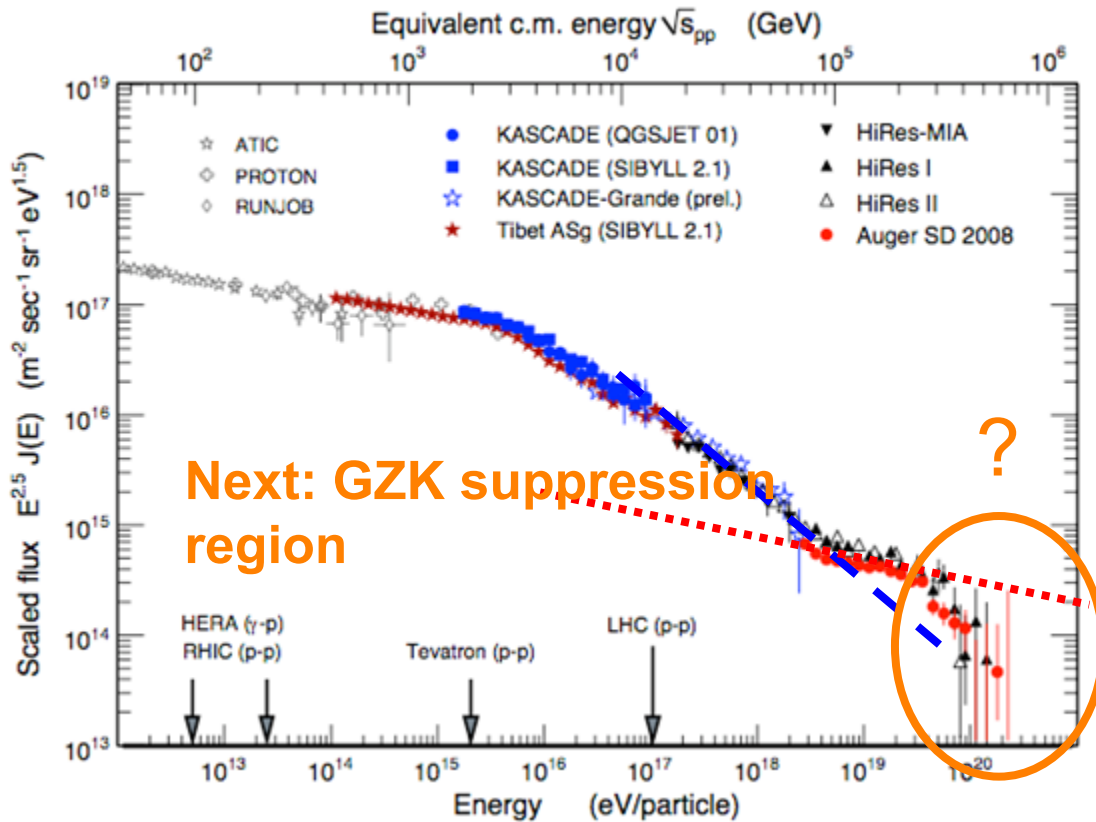
(Pierre Auger Collab. I 107.4804)



|   |   |  |   |
|---|---|--|---|
| <br>Argentina      | <br>Australia      | <br>Bolivia | <br>Brasil   |
| <br>Czech Republic | <br>France         | <br>Germany | <br>Italy    |
| <br>Mexico         | <br>Netherlands    | <br>Poland  | <br>Slovenia |
| <br>Spain          | <br>United Kingdom | <br>USA     | <br>Vietnam  |
| <br>Portugal       | <br>Croatia        |  |   |



# The future: Go for highest energies



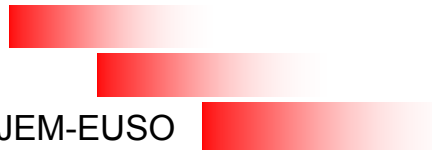
## Auger + TA results:

- Suppression of flux (like GZK effect)
- Anisotropy  $E > 6 \times 10^{19}$  eV (?)
- Mixed cosmic ray comp. at lower energy
- Trend to heavy composition  $> 10^{19}$  eV (?)
- Problems with hadronic interaction models
- Photon fraction small
- Neutrino flux low

Auger Enhancements

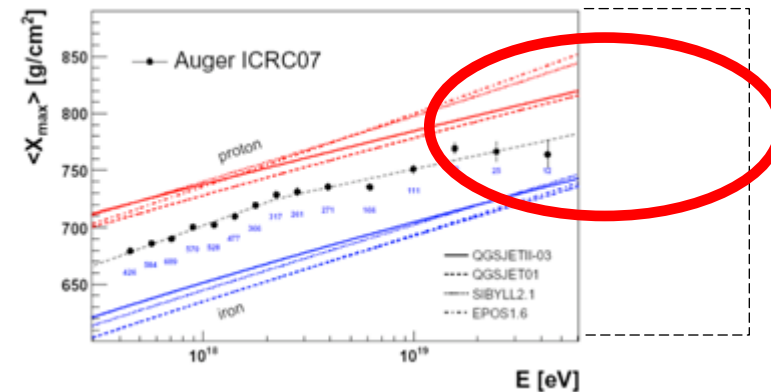
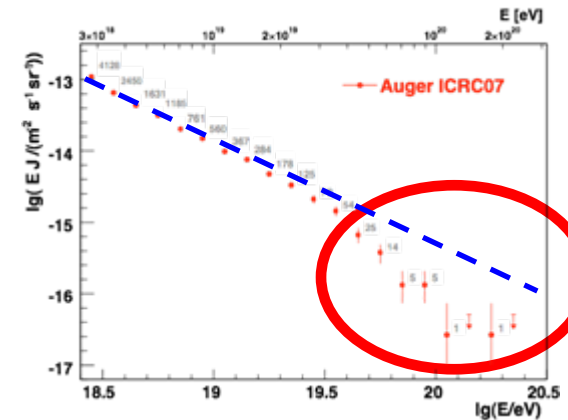
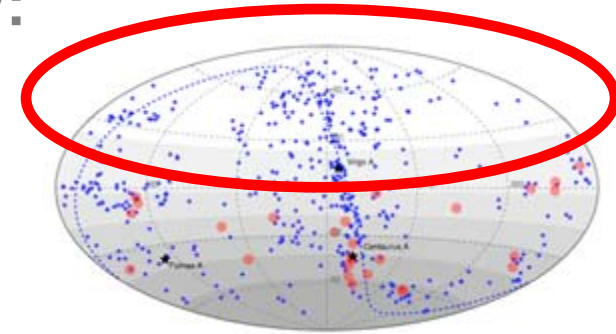
Auger + TA

Next generation + JEM-EUSO



# Future generation Cosmic Ray Array: Motivation and aims

- **The sources of UHECR**
  - Anisotropy  $\Rightarrow$  correlations  $\Rightarrow$  source classes
  - Study individual sources with spectra and composition on the whole sky
- **The acceleration mechanism**
  - Composition evolves from source to here
  - Proton beam !? calibration !
  - $E \gg 10^{20}$  eV still difficult;  $E_{\max}$  ?
- **Propagation and cosmic structure**
  - Map galactic B-field
  - Matter within 100 Mpc
  - Extragalactic B-field small ?
- **Particle physics at 350 TeV**
  - Mass and  $X_{\max}$
  - Had. interactions, cross sections ?
  - New physics, Lorentz invariance
- **Multi-messenger astrophysics**
  - Combine the data from photons, neutrinos and charged particles !
  - Sources within field of view of IceCube

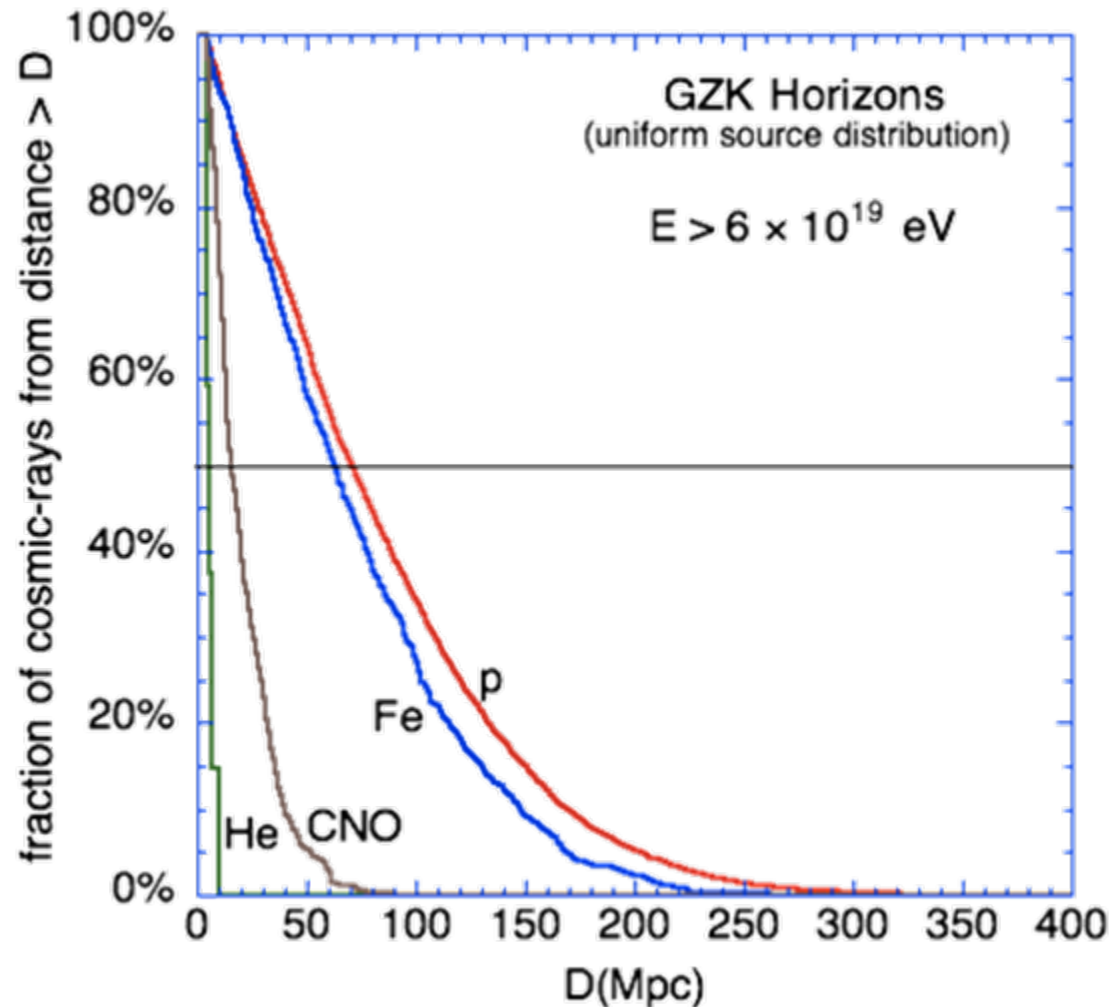


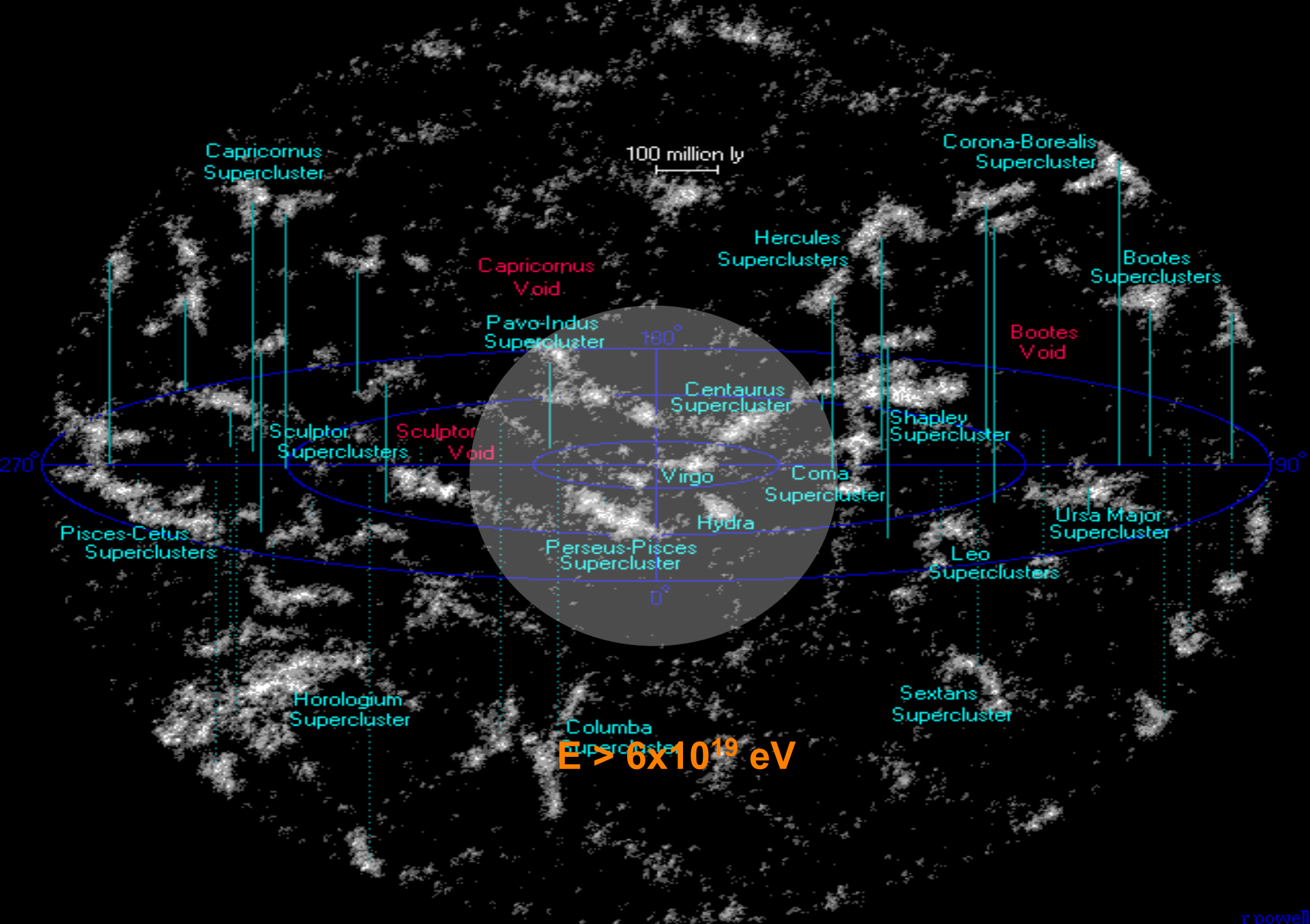
# Highest energies: Trans GZK composition is simpler

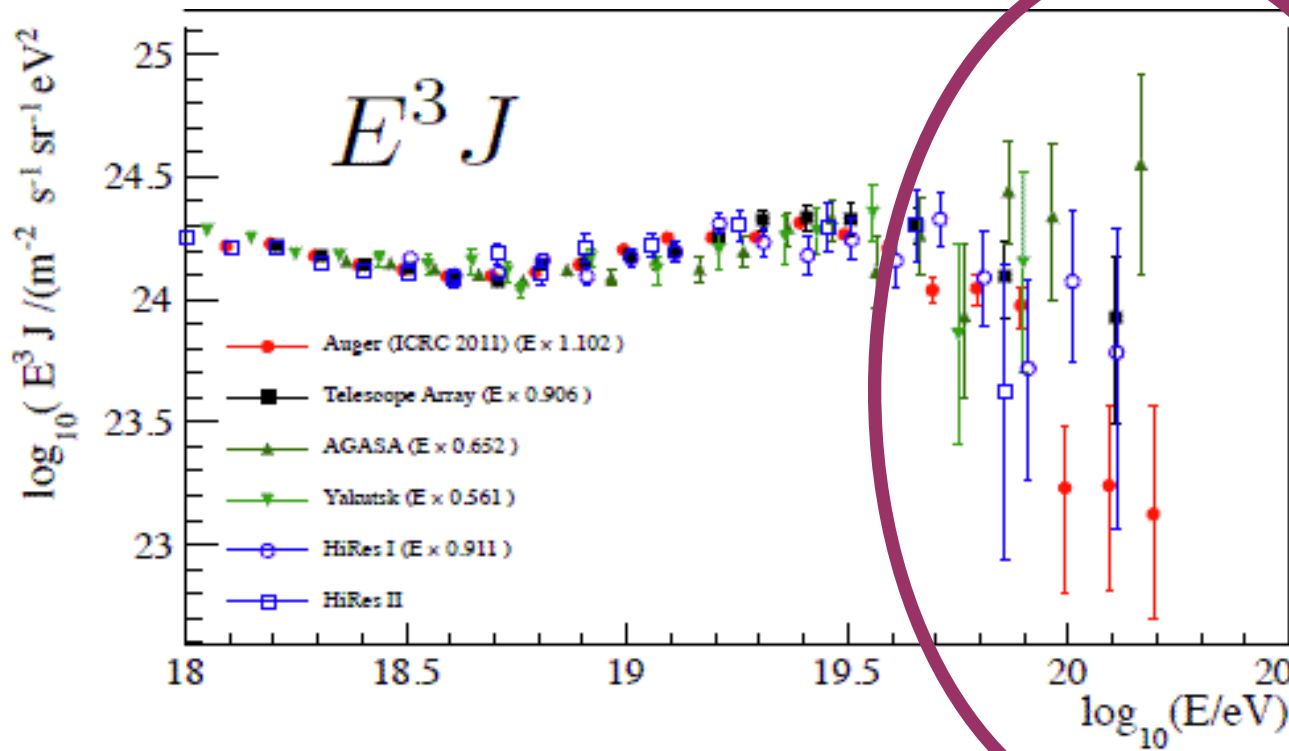
Light and intermediate nuclei photodisintegrate rapidly.

Only protons and/or heavy nuclei survive more than 20 Mpc distances.

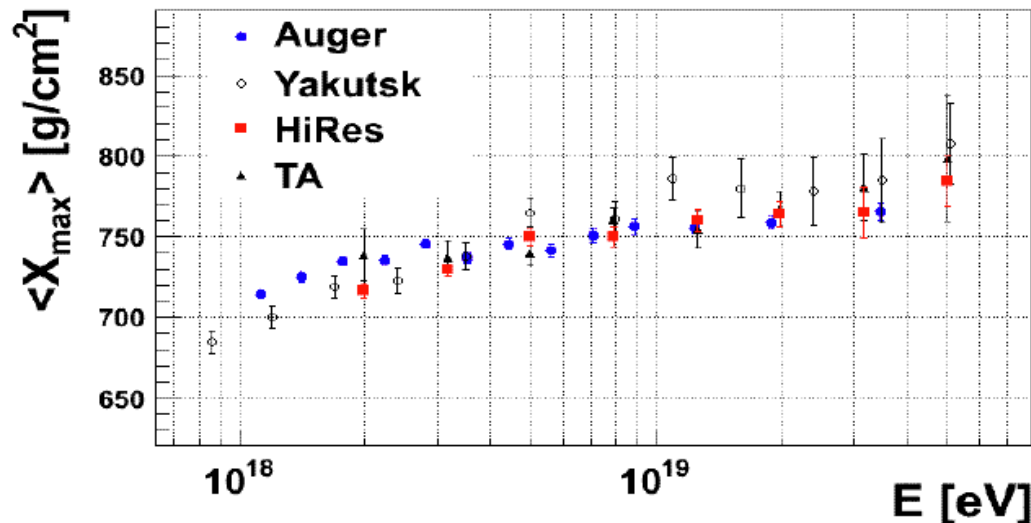
Cosmic magnetic fields should make highly charged nuclei almost isotropic.







→ Energy range of particle Astronomy (if these are at least partly protons)



Need for the future

→ more statistics at highest energies

M.Fukushima: CERN Symp, Feb2012

# go for future

## *Results from Auger + Telescope Array have shown*

- *that the spectrum has a characteristic break-off at c. 50 EeV;*
- *that (at least a part) of events with highest energy arrive anisotropic;*
- *that CR at highest energies are most probably not build up by Hydrogen only.*

## → requirements for the future:

- observatories need to be considerably increased in exposure;
- observatories need a good sensitivity to composition;
- observatories should cover the full sky.

## Steps to the future:

- Auger + Telescopes upgrade...
- R&D studies for next generation ground based array
- Validate measurements from space

**AugerNext**

**JEM-EUSO**



**AStroParticle ERAnet ASPERA is a network of national government agencies responsible for Astroparticle Physics**



The ASPERA calls:

-Targeted R&D and design studies in view of the realization of future Astroparticle infrastructures

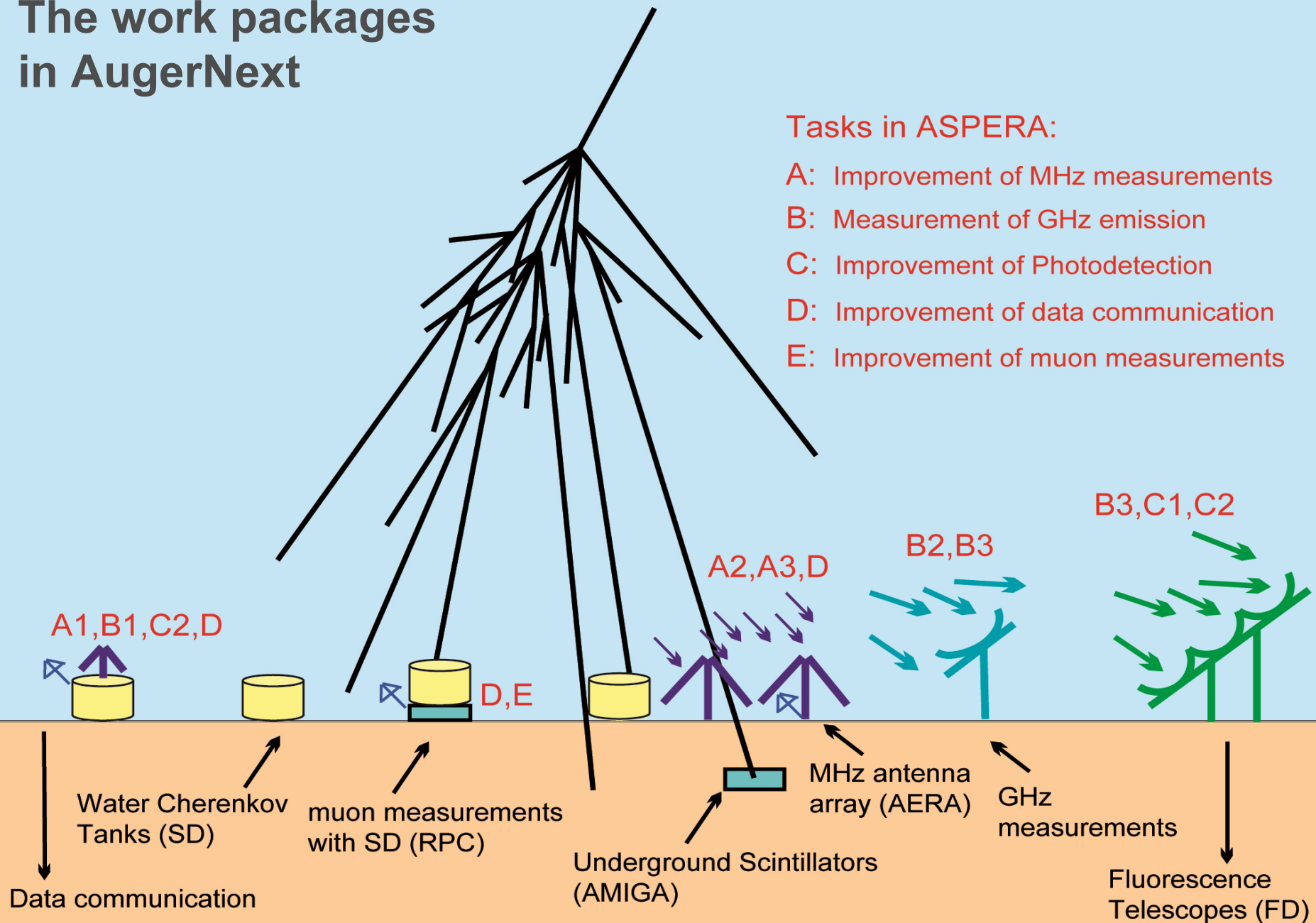
➔ **AugerNext**  
Innovative Research Studies for the Next Generation Ground-Based Ultra-High Energy Cosmic-Ray Experiment

Roadmap from scientists for Funding Agencies!

# The work packages in AugerNext

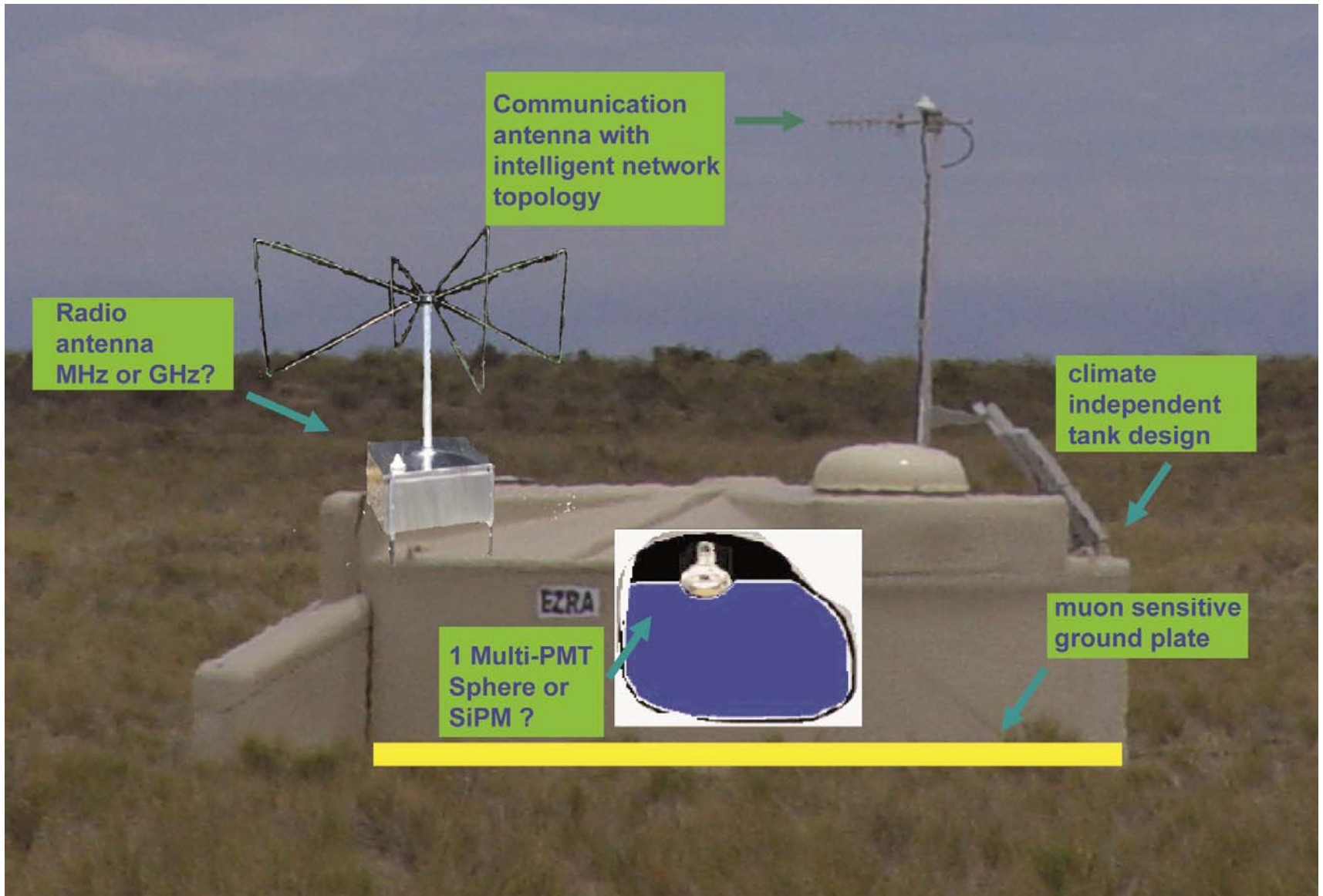
## Tasks in ASPERA:

- A: Improvement of MHz measurements
- B: Measurement of GHz emission
- C: Improvement of Photodetection
- D: Improvement of data communication
- E: Improvement of muon measurements





# Future (next generation) surface detector:



# AugerNext

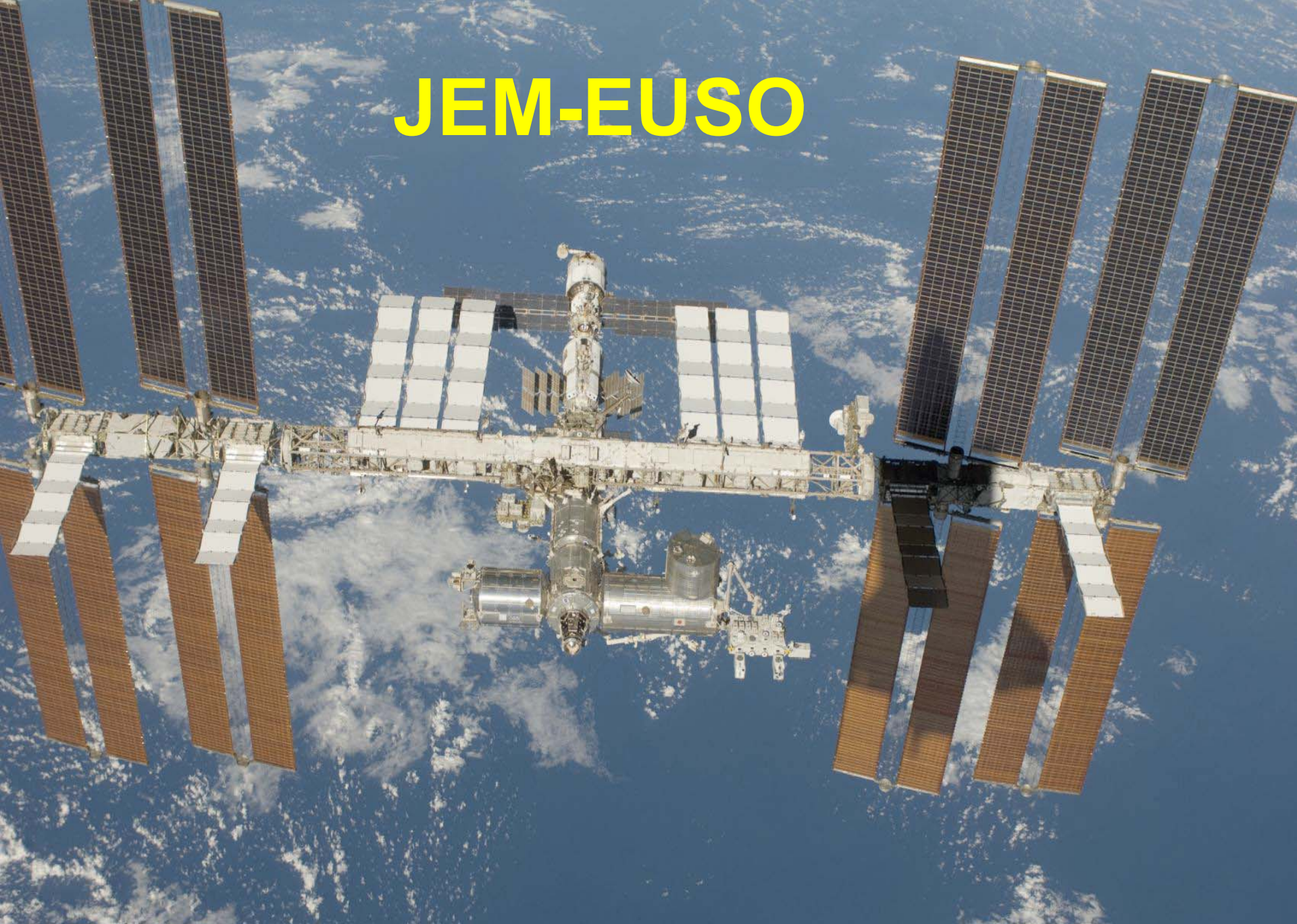
*Innovative Research Studies  
for the Next Generation  
Ground-Based Ultra-High  
Energy Cosmic-Ray Experiment*



**rich R&D program  
and on-site activities  
at the Pierre Auger Observatory  
for the next 5 years.**

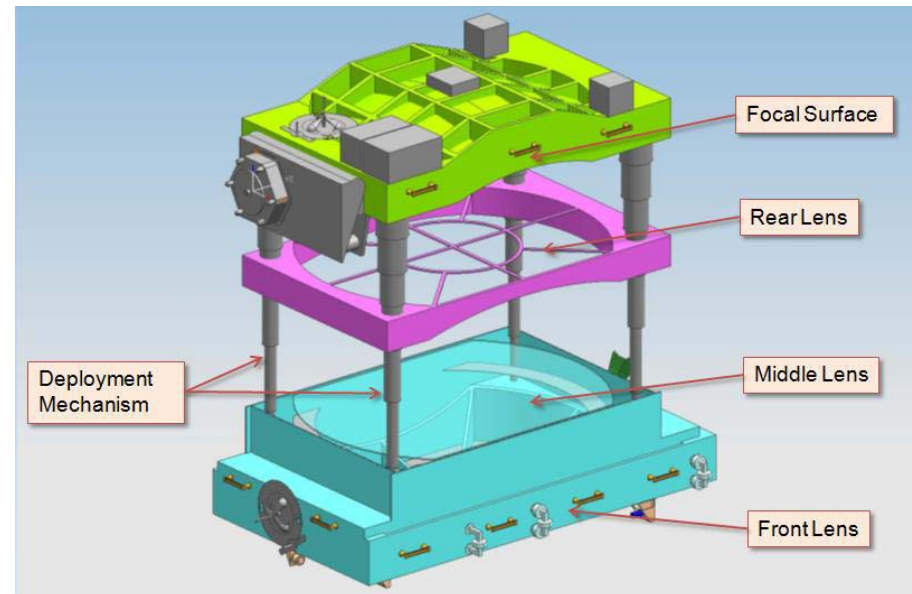
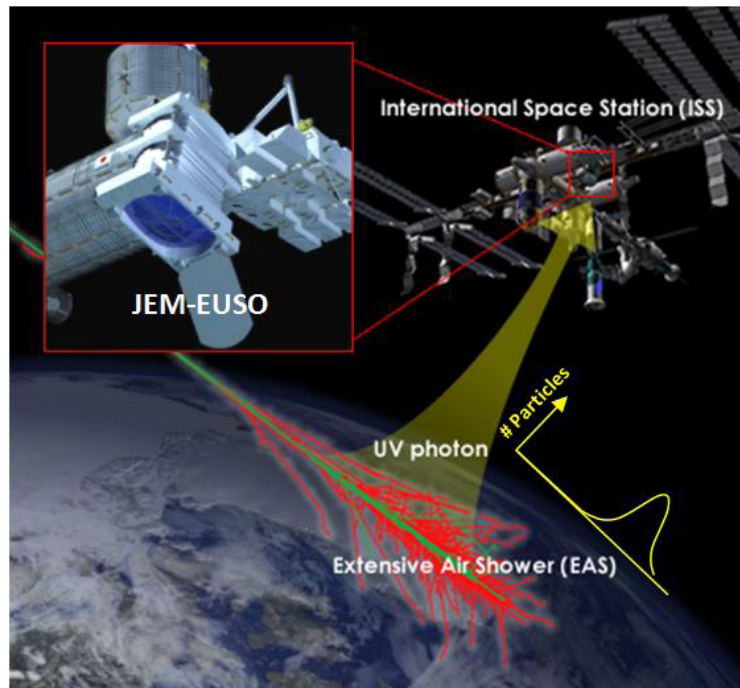


# JEM-EUSO

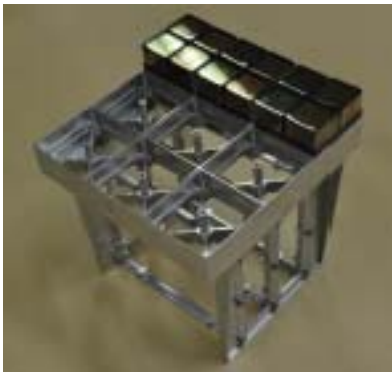
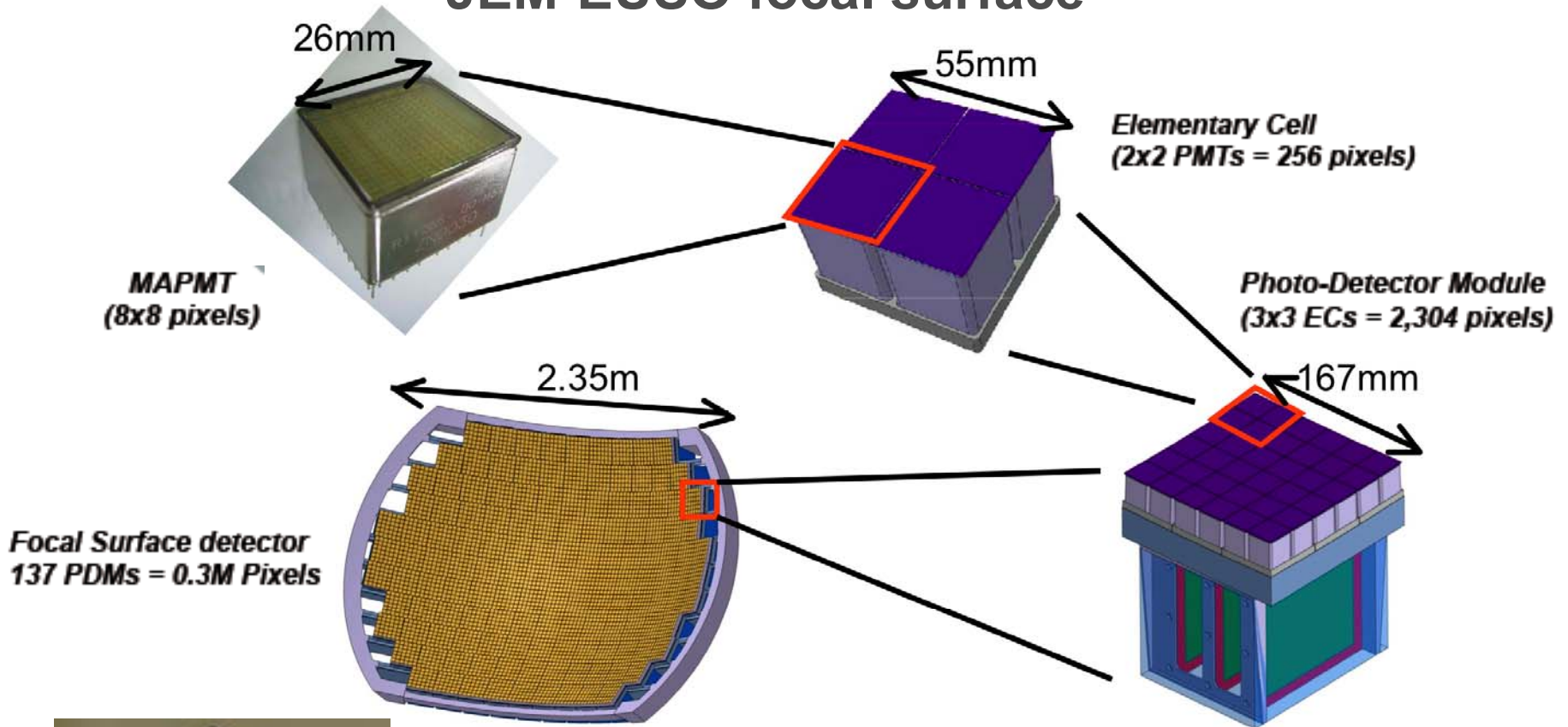


# JEM-EUSO main features

- Method:** fluorescence (full calorimetric)
- Large field of view:**  $\pm 30^\circ$  thanks to double sided spherical Fresnel lenses
- At 400 km (ISS):**  $2 \cdot 10^5 \text{ km}^2$  (nadir mode) up to  $10^6 \text{ km}^2$  (tilted mode)
- No need for stereo:**  $400 \text{ km} \gg$  shower length (TPC with a drift velocity = c)



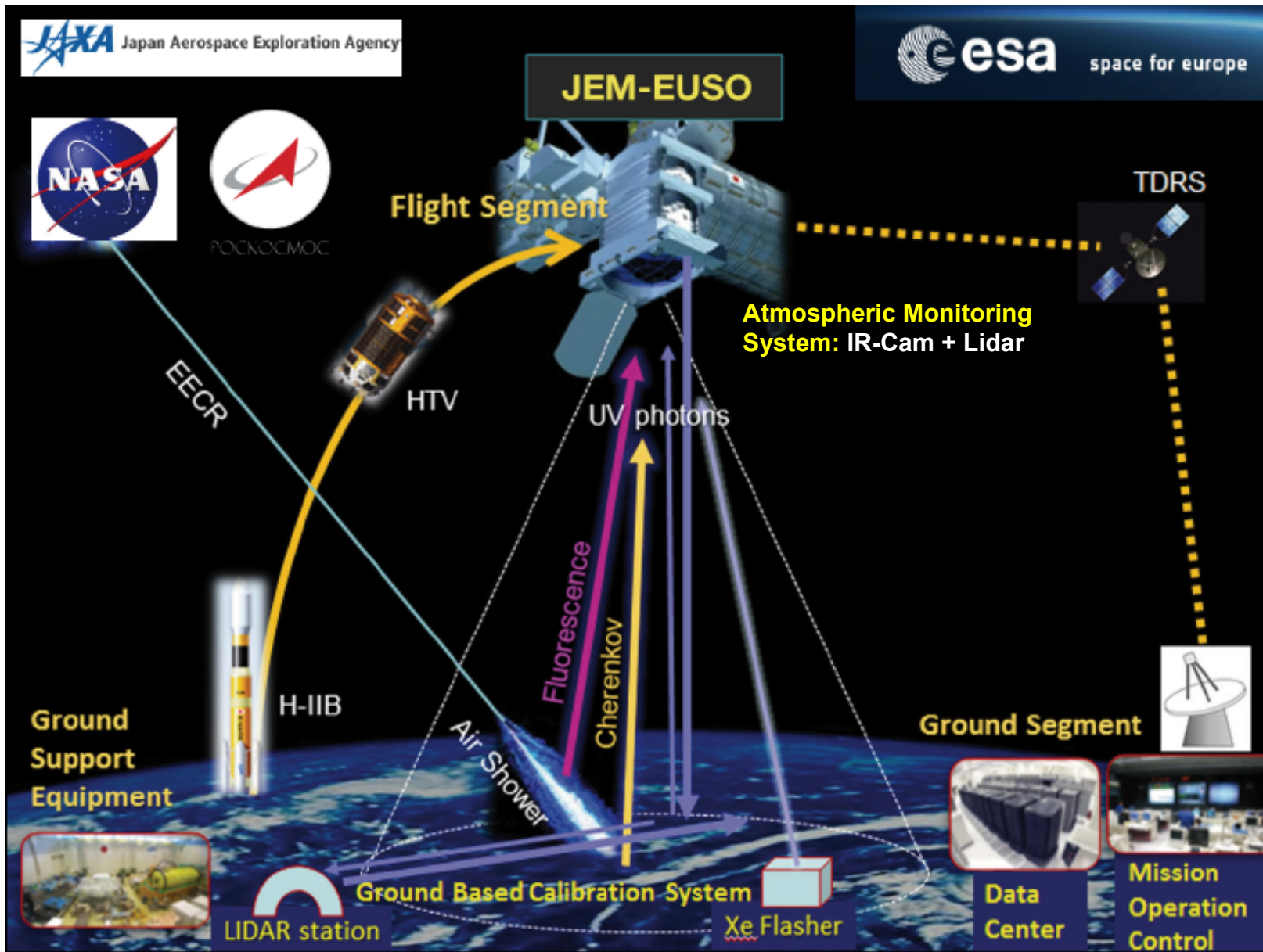
# JEM-EUSO focal surface



## Focal surface:

- prototypes of PDM in preparation
- FoV of 1 PDM =  $27 \times 27 \text{ km}^2$

# JEM-EUSO: the full machine



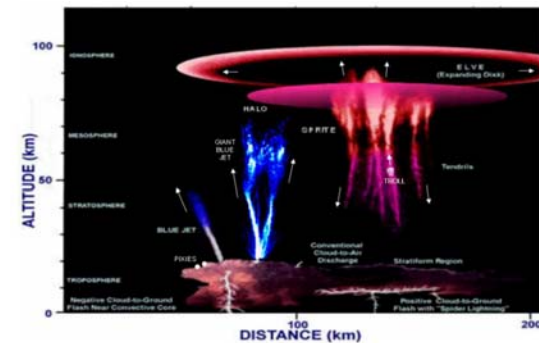
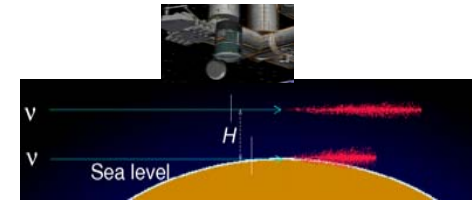
# Physics Program

## Main scientific objectives

- Measurement of Ultra-high energy Cosmic Rays  
→ Astronomy and Astrophysics through the particle channel  
= Physics and Astrophysics at  $E > 5. \times 10^{19} \text{eV}$

## Exploratory scientific objectives

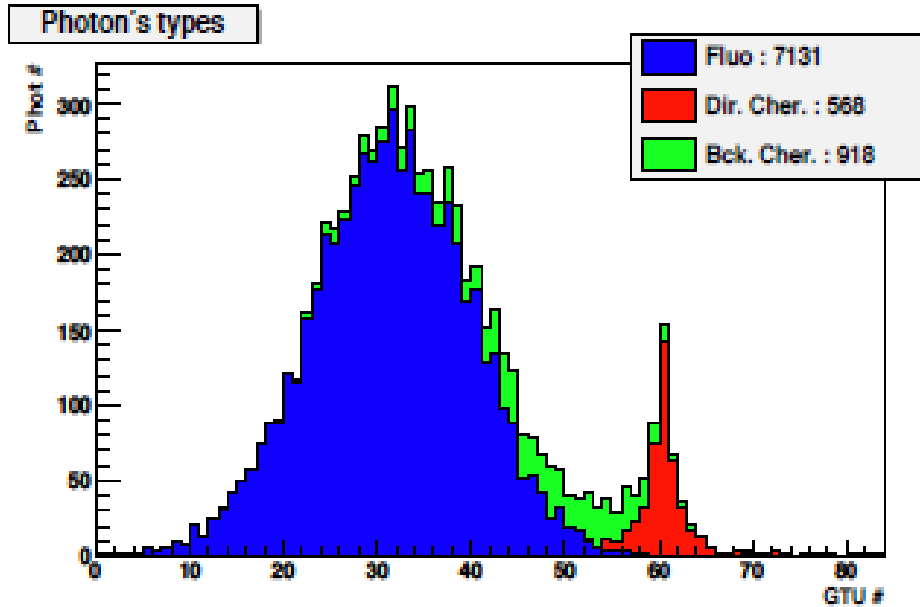
- Exploratory Objectives: new messengers
    - Discovery of UHE neutrinos  
discrimination and identification via  $X_0$  and  $X_{\text{max}}$
    - Discovery of UHE Gammas  
discrimination of  $X_{\text{max}}$  due to geomagnetic and LPM effect
  - Exploratory Objectives: magnetic fields
  - Exploratory Objectives: Atmospheric science
    - Nightglow
    - Transient luminous events
    - Space-atmosphere interactions
    - climate change
- ← with the fast UV monitoring of the Atmosphere



(Elaboration of figure by Lyons et al. 2000)



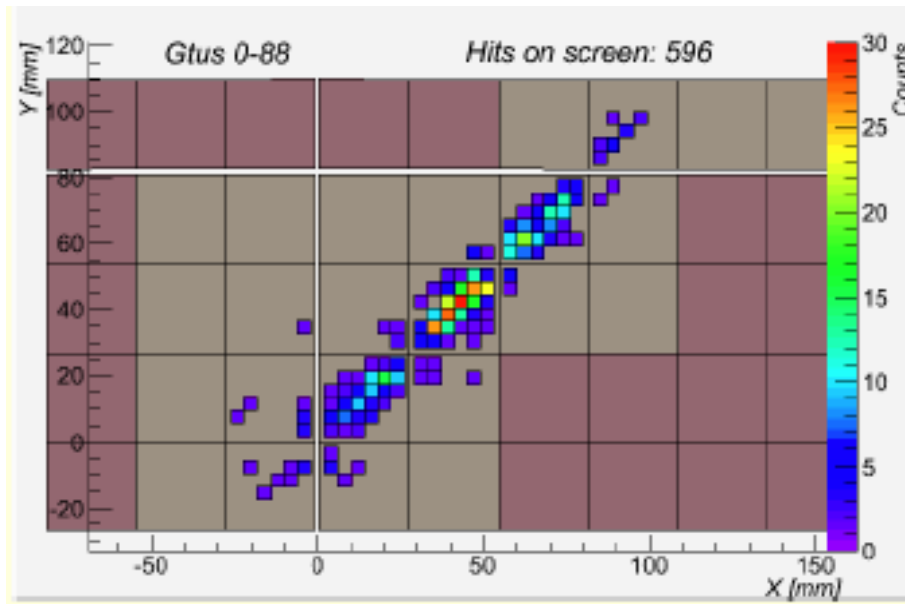
# The observation technique



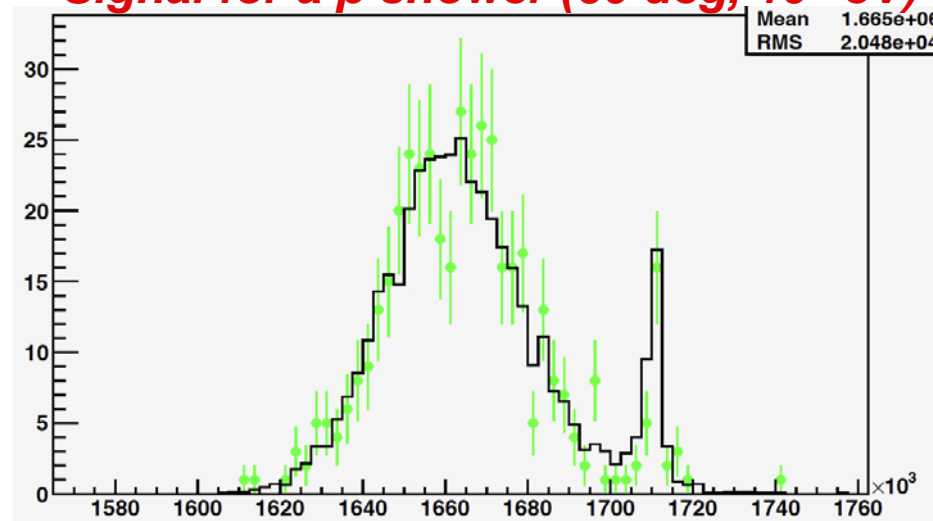
1 GTU = 2.5 $\mu$ s

Background = 500 ph / m<sup>2</sup> sr ns  
(from Tatiana satellite)

Fast signal: ~50-150 $\mu$ s



Signal for a p shower (60 deg, 10<sup>20</sup> eV)



$\Delta E/E < 30\%$  for ~90% of events



# JEM-EUSO Performance: Annual Exposure

Depends on zenith angle and energy ...  
and is determined by four factors:

$$TA \times \eta \times \kappa \times l$$

$TA \rightarrow$  *Trigger Aperture* **Determined by the trigger efficiency**

$\eta \rightarrow$  *duty cycle* **Determined by the background (and operation)**

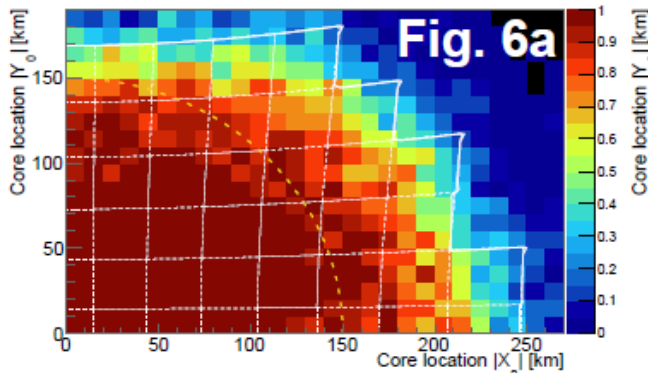
$\kappa \rightarrow$  *cloud impact* **Determined by the cloud coverage**

$l \rightarrow$  *citylights & lightnings* **Local effects which limit the aperture**

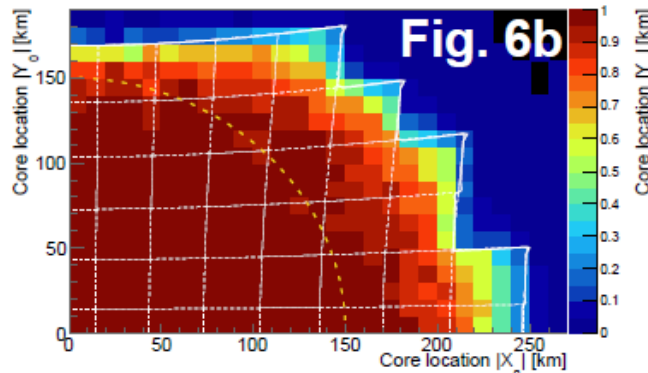


# JEM-EUSO Performance: Efficiency

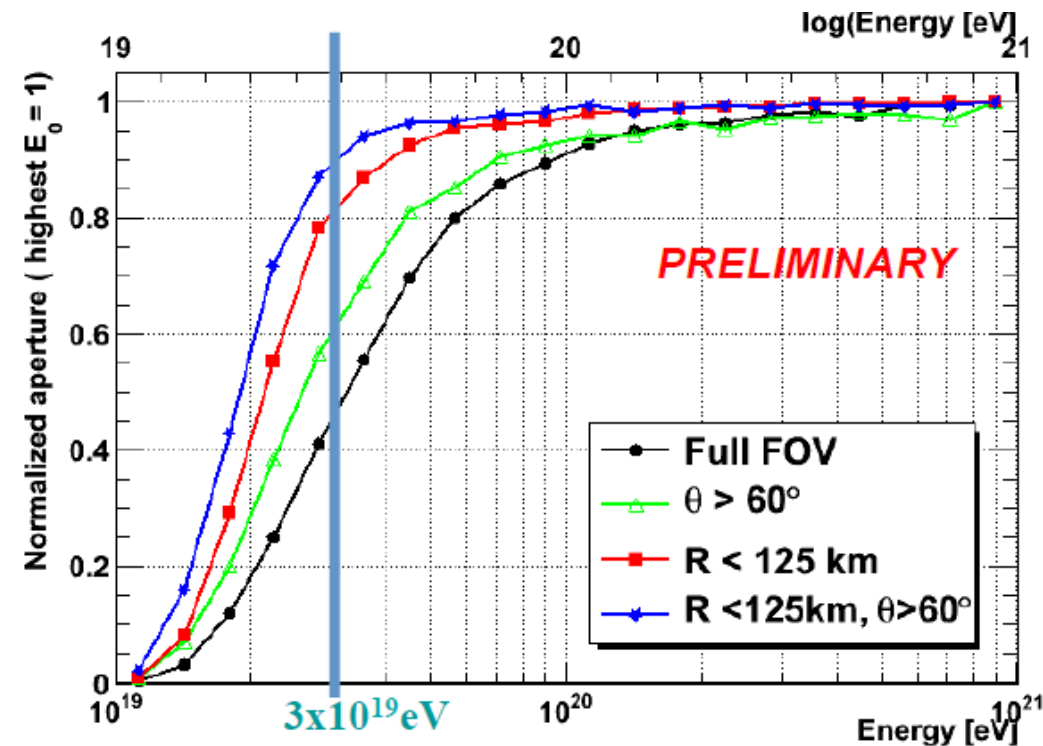
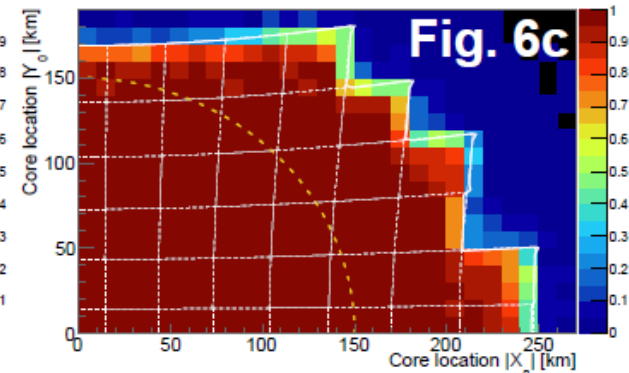
$E > 4 \cdot 10^{19} \text{eV}; \Theta > 60^\circ$



$E > 5.5 \cdot 10^{19} \text{eV}$



$E > 10^{20} \text{eV}$



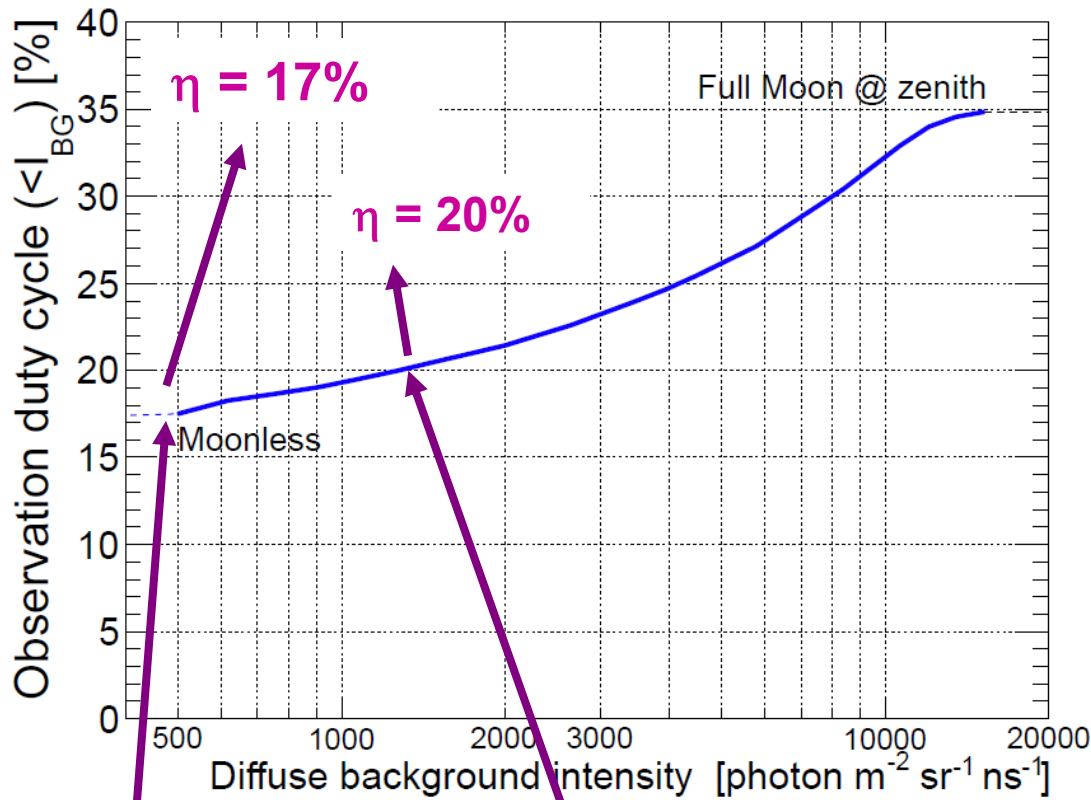
## Trigger Efficiency

- 100% at  $E = 4 \cdot 10^{19} \text{eV}$  when  $\Theta > 60^\circ$  and  $R < 150 \text{ m}$
- 90% at  $E = 10^{20} \text{eV}$  when full FoV

Including bg = 500 ph / m<sup>2</sup> sr ns (Tatiana satellite)



# JEM-EUSO Performance: duty cycle



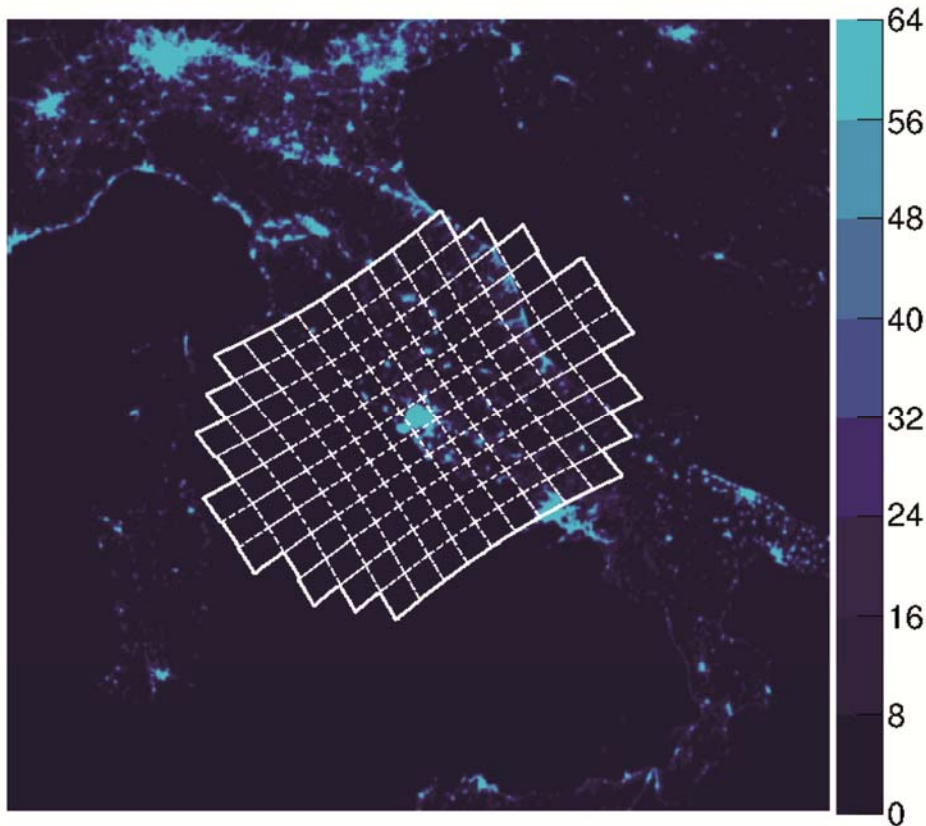
## Duty Cycle

- No moon: ~17%
- Accepting little moon light: ~20.5%  
(from analytical calculations)

**Night glow background:**  
500 ph / m<sup>2</sup> sr ns

**acceptable moon background:**  
1500 ph / m<sup>2</sup> sr ns

# JEM-EUSO Performance: city lights & lightnings



**CITY LIGHTS:**

~ 7% (DMSP data)

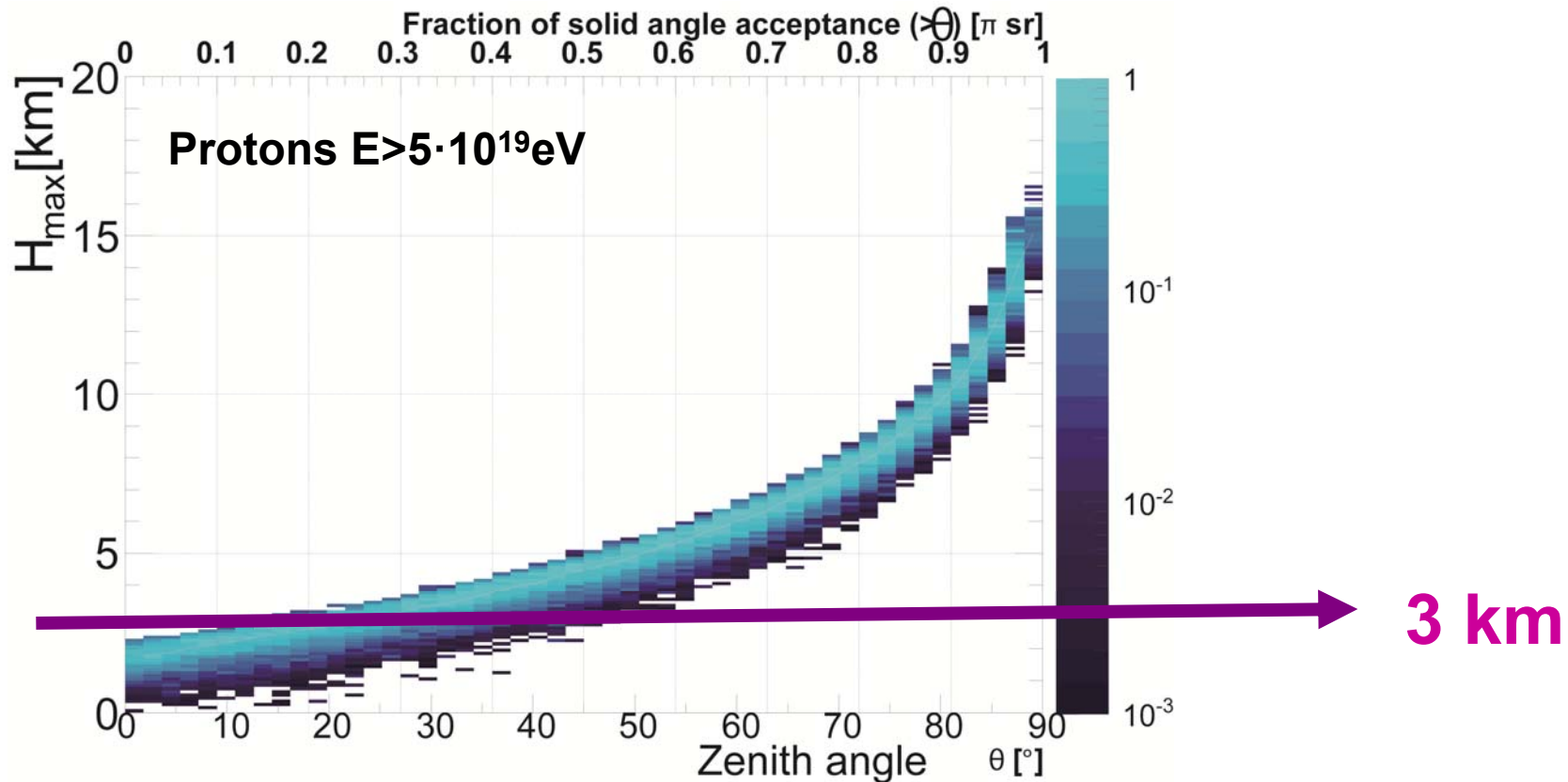
**LIGHTNINGS:**

~ 2% (Tatiana data)

→  $l = 91\%$

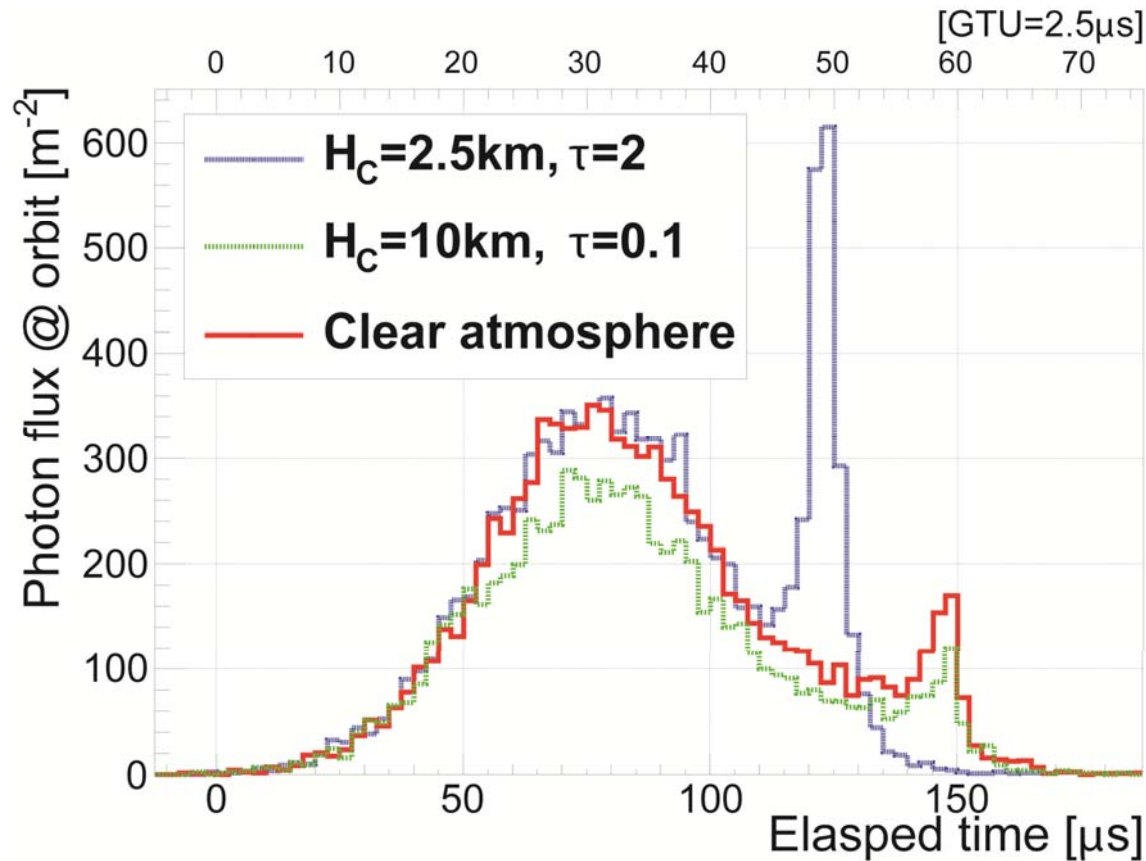
$l \rightarrow \text{citylights \& lightnings}$

# JEM-EUSO Performance: cloud impact



→ Most EAS relevant for JEM-EUSO reach maximum above the typical cloud altitudes!

# JEM-EUSO Performance: reconstruction with clouds



- shower profiles are attenuated for optically thin clouds (eg. cirri).
- optically thick clouds (eg. strati) block photons emitted below cloud
- cloud reflected Cherenkov light improves the reconstruction

# JEM-EUSO Performance: cloud coverage

Clear sky ~ 31%

Green band ~ 60%

*Cloud top*

|                  | <3.2 km | 3.2-6.5 km | 6.5-10 km | >10 km |
|------------------|---------|------------|-----------|--------|
| <b>OD&gt;2</b>   | 16      | 5.9        | 8.6       | 5.0    |
| <b>OD:1-2</b>    | 6.0     | 3.0        | 4.2       | 2.5    |
| <b>OD:0.1-1</b>  | 6.5     | 2.0        | 3.2       | 5.0    |
| <b>OD&lt;0.1</b> | 31      | <0.1       | <0.1      | 1.2    |

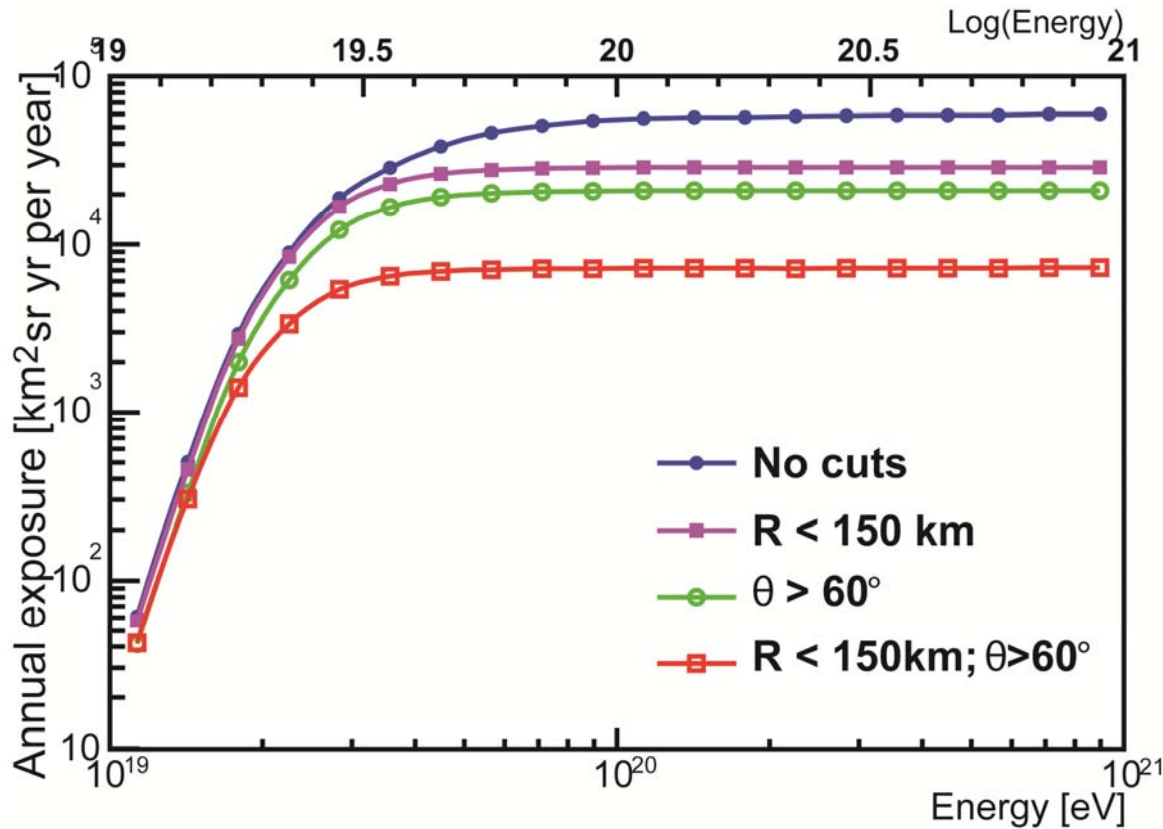
*Optical Depth*

- Occurrence of clouds (in %) between 50° N and 50° S on TOVS database (Confirmed by ISCCP, CACOLO & MERIS database)

➔ In ~72% of the cases the UV track including  $X_{\max}$  is observable



# JEM-EUSO Exposure (...Nadir mode)



60,000 km<sup>2</sup>sr yr

7,000 km<sup>2</sup>sr yr

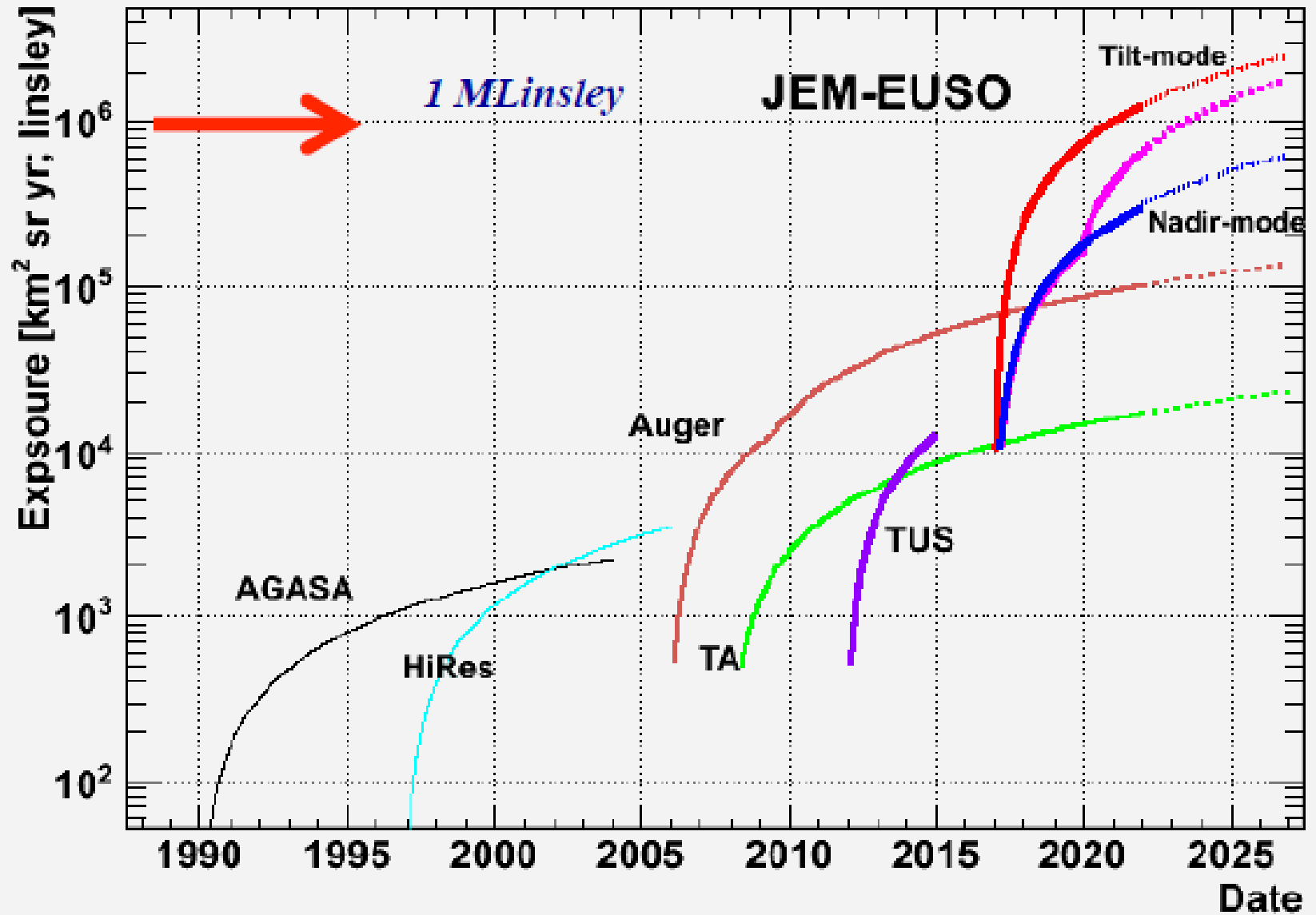
$$TA \times \eta \times k \times l$$

- With tight geometrical cuts a direct comparison with ground-based observatories possible
- full FOV provides about one order higher exposure than Auger at higher energies
- When accepting higher BG level improvements possible

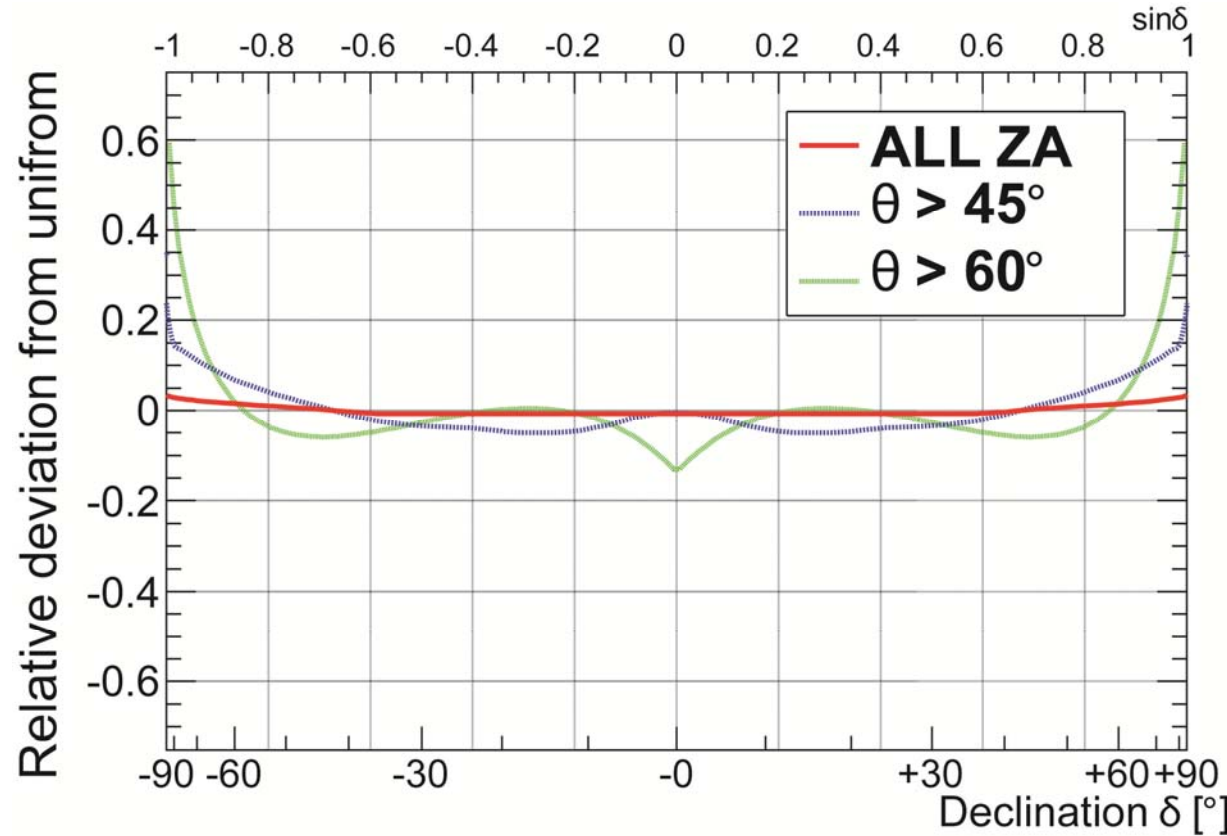




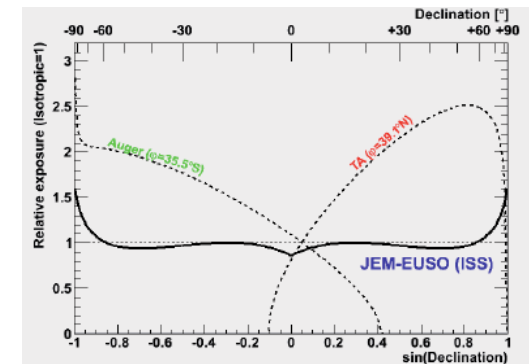
# JEM-EUSO Exposure



# JEM-EUSO: aperture

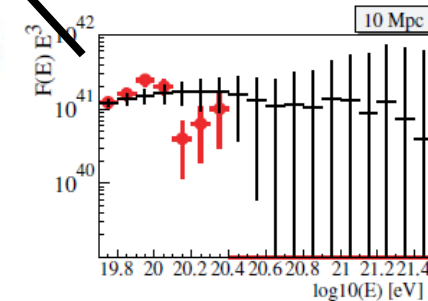
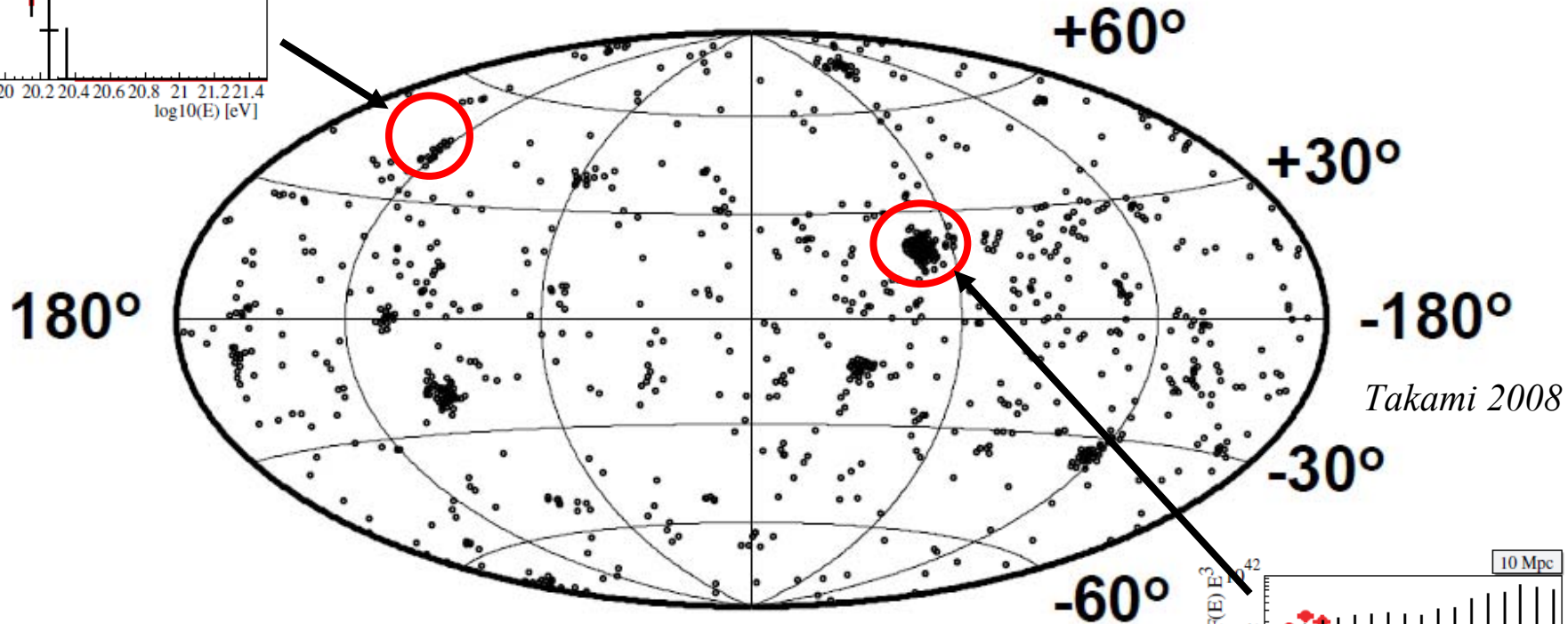
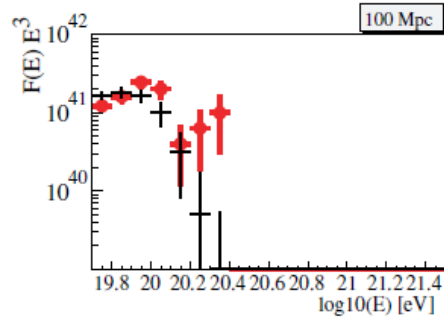


• Uniform coverage of both hemispheres!



# JEM-EUSO sky

Forecast in case of 1,000 events  
Brightness of particles  $\propto$  X-ray (AGN)



- More than 1,000 events:  $E > 7 \times 10^{19} eV$
- We expect to discover several dozens of clusters
- Can observe the whole sky



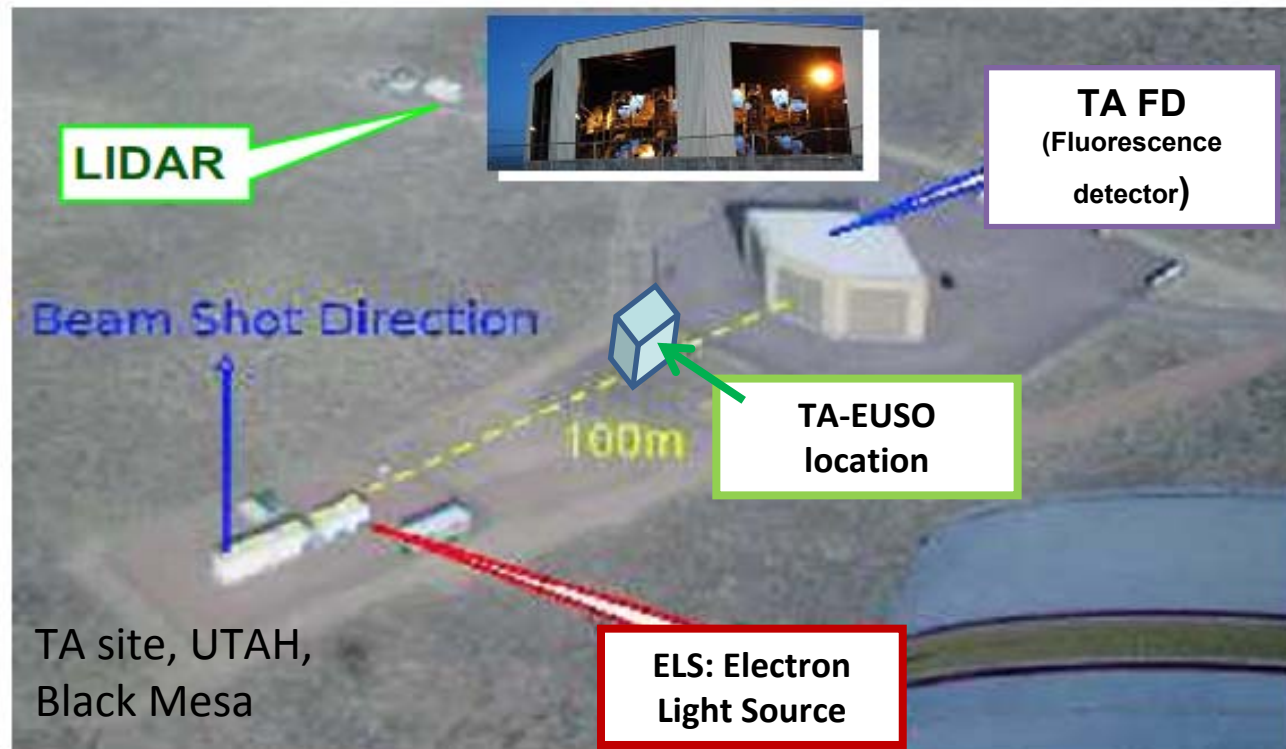
# TA-EUSO

## Cross-calibration tests at Telescope Array site, Utah

Main purpose: calibration using existing FD telescope

- Lidar and electron beam → absolute calibration
- Few showers in coincidence with TA
- Later repeat also at the Pierre Auger Observatory

Operation early 2013!



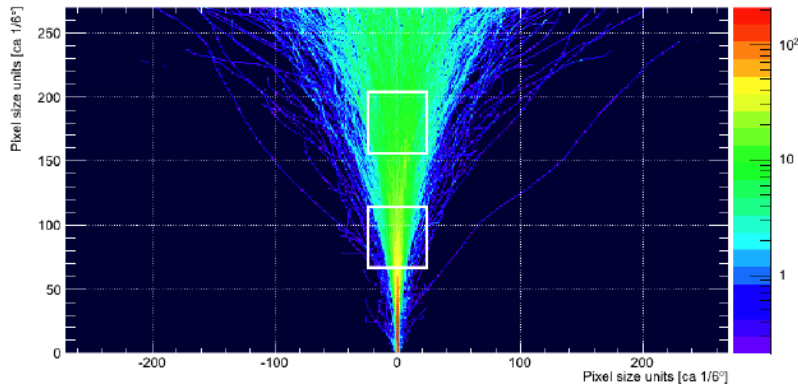
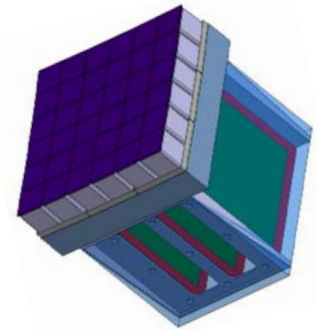
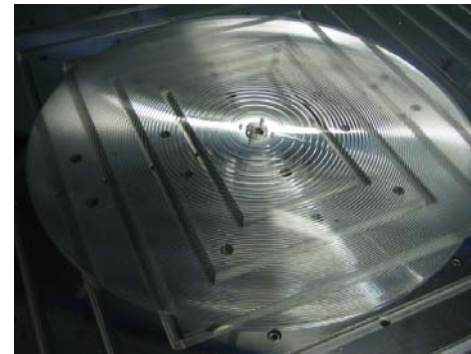
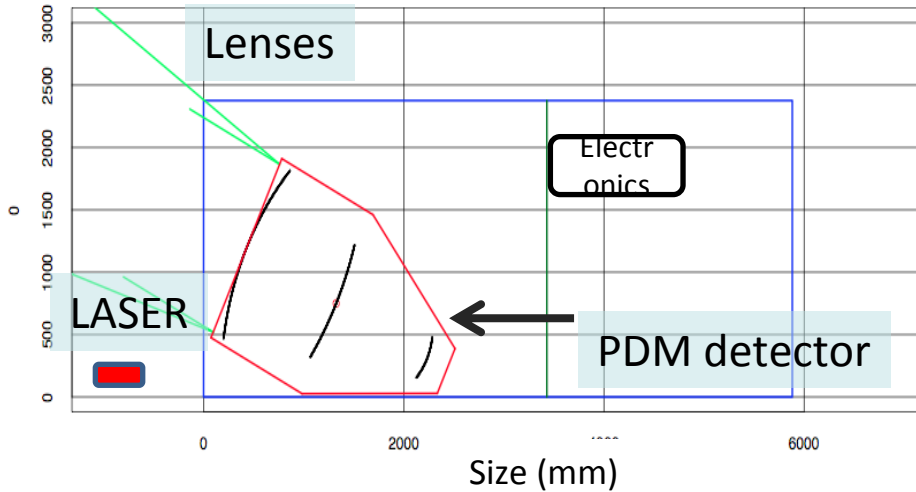
# TA-EUSO

## Cross-calibration tests at Telescope Array site, Utah

- 2 (squared 1 m<sup>2</sup>) Fresnel Lenses → FoV = 8 degree
- focal surface: 1 PDM (36 MAPMT, 2304 pixels)



Elev. ang = 26.25 deg  
FOV= 16.0 deg



Simulation of UV photons of TA ELS  
Squares: FoV of the EUSO-Ground telescope.

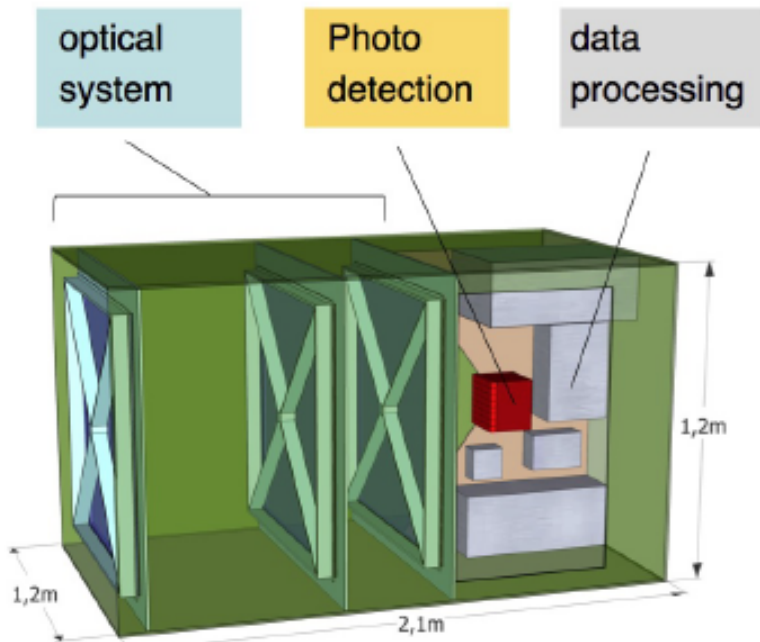
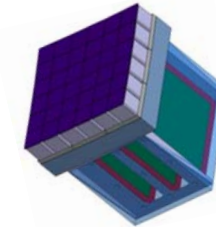
# EUSO-Balloon

## JEM-EUSO prototype at 40km altitude

Main purpose: Background measurements and engineering tests

- Engineering test
- UV-Background measurement
- Air shower observations from 40 km altitude

First flight: 2014!

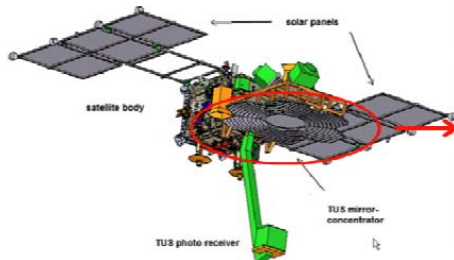
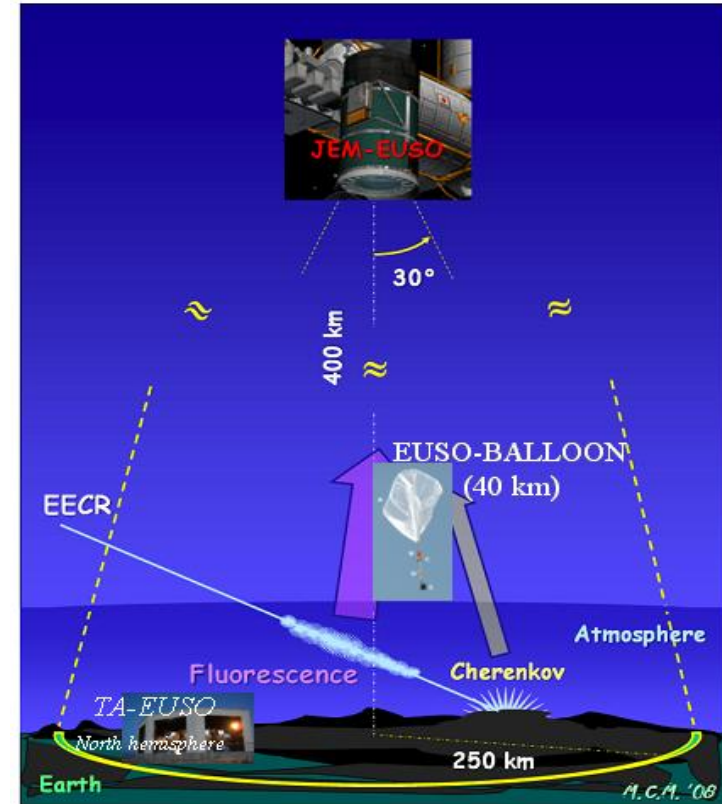


# Summary JEM-EUSO

- **Study of EECR from**

- **Ground (Utah) → early 2013**
- **Balloon (40 km) → 2014-15**
- **Space (ISS) → launch 2017**

- TUS/KLYPVE on Lomonosov satellite launch 2012/13
  - technical pathfinder of EUSO
  - survey of UV-background





# JEM-EUSO Collaboration



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# Discussion / Question / Exercise

- **why neutrino and photon observations are important?**
  - **pointing to the source**
  - **GZK signature**
  - **multi-messenger astronomy**
- **how to distinguish GZK-suppression from max. acceleration?**
  - **anisotropy**
  - **composition**
  - **photon and neutrinos**
- **what JEM-EUSO could be do better than Auger?**
  - **statistics**
  - **energy spectrum north and south hemisphere**
  - **interdisciplinary physics**

