



# Kaons @ CERN: Recent Results and Propects

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on behalf of the NA62 and NA48/2 Collaborations



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# Outline

- Kaon physics at CERN → NA48/n & NA62 brief history
- This talk → two main topics:
- Chiral Perturbation Theory tests
  - $K^\pm \rightarrow \pi^\pm \gamma \gamma$  decay **NEW result**
- Tests of SM and search for New Physics
  - The  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  decay
- Summary and Outlook

## The NA62 Collaboration:

Birmingham, Bratislava, Bristol, CERN, Dubna, Fairfax, Ferrara, Firenze, Frascati, Glasgow, Liverpool, Louvain, Mainz, Merced, Moskow, Napoli, Perugia, Pisa, Protvino, Roma I, Roma II, San Luis Potosi, SLAC, Sofia, Torino

## The NA48/2 Collaboration:

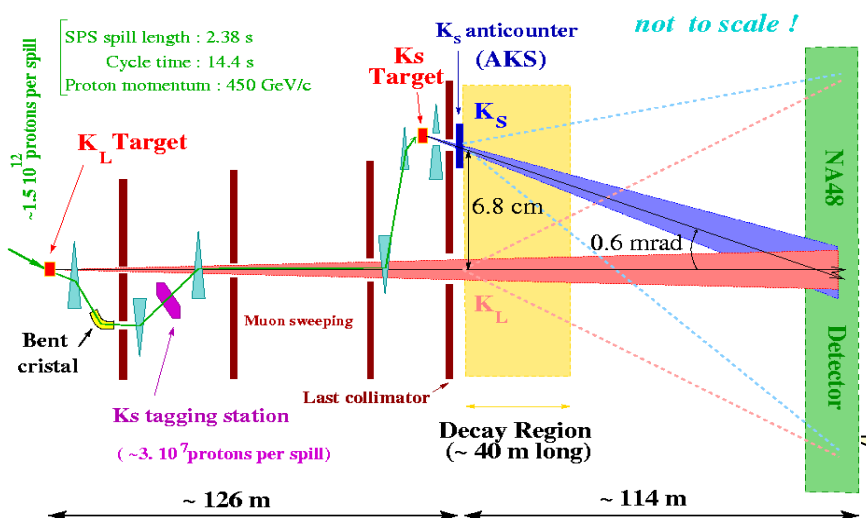
Cambridge, CERN, Chicago, Dubna, Edinburgh, Ferrara, Firenze, Mainz, Northwestern, Perugia, Pisa, Saclay, Siegen, Torino, Vienna



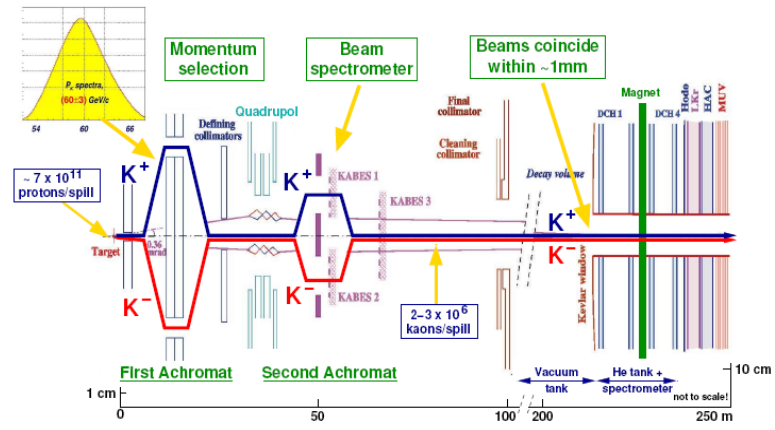
# NA48/n history

**NA48**  
Main goal: Search for direct CPV  
Measurement of  $\epsilon'/\epsilon$   
Beams:  $K_L + K_S$

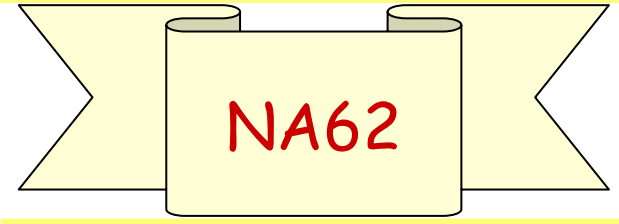
**NA48/1**  
Main goal: Rare  $K_S$  decays and  
 hyperon decays, CPV tests  
Beams:  $K_S$



- 1997
- 1998
- 1999
- 2000
- 2001
- 2002
- 2003
- 2004
- ⋮
- 2005
- ⋮
- 2013
- ⋮
- ⋮



**NA48/2**  
Main goal: Search for direct CPV  
 Charge asymmetry measurement  
Beams:  $K^+ + K^-$




**NA62**  
Main goal: Measurement  
 of the decay  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$   
Beam:  $K^+$

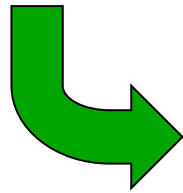
# High energy scales

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Flavour sector  probing extremely high energy scales:  
precision frontier *complementary* to LHC energy frontier

Study processes suppressed in SM, sensitive to New Physics



## RARE DECAYS

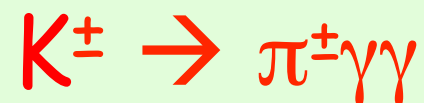
### Four main reasons

- 1) study explicit Violations of SM, such as LFV
- 2) probe the flavour sector by means of FCNC
- 3) test of fundamental symmetries such as CP and CPT
- 4) study of strong interaction at low energy in exclusive processes





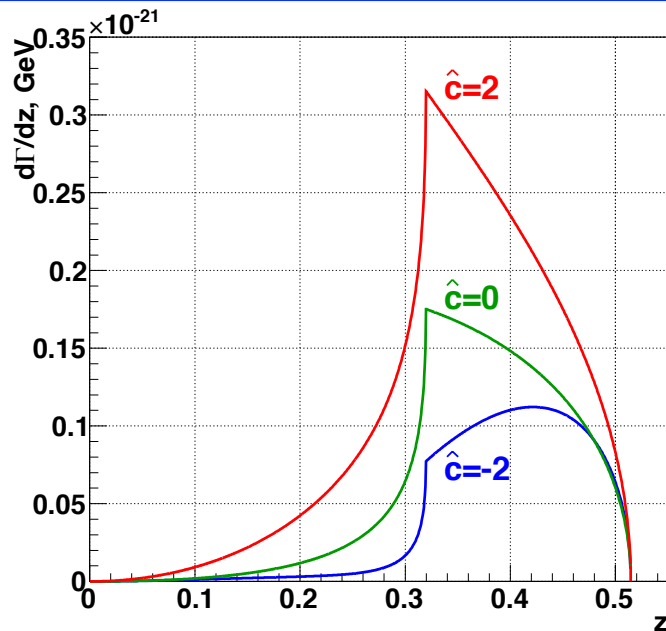
NA48/2 and NA62  
Chiral Perturbation Theory tests



# $K^\pm \rightarrow \pi^\pm \gamma\gamma$ - introduction

- Decay spectrum and rate strongly depend on the single  $\hat{c}$  parameter  $O(1)$
- The  $M_{\gamma\gamma}$  spectrum has a pronounced cusp-like behaviour at  $2m_\pi$  threshold

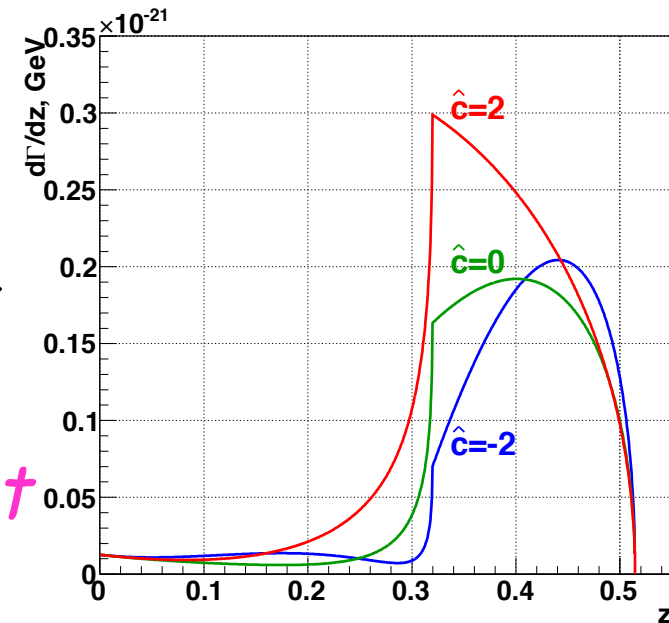
- Unitarity corrections effects can increase the BR at low  $\hat{c}$  with a non-zero rate at  $m_{\gamma\gamma} \rightarrow 0$



$O(p^4)$

$O(p^6)$

Stringent test of ChPT



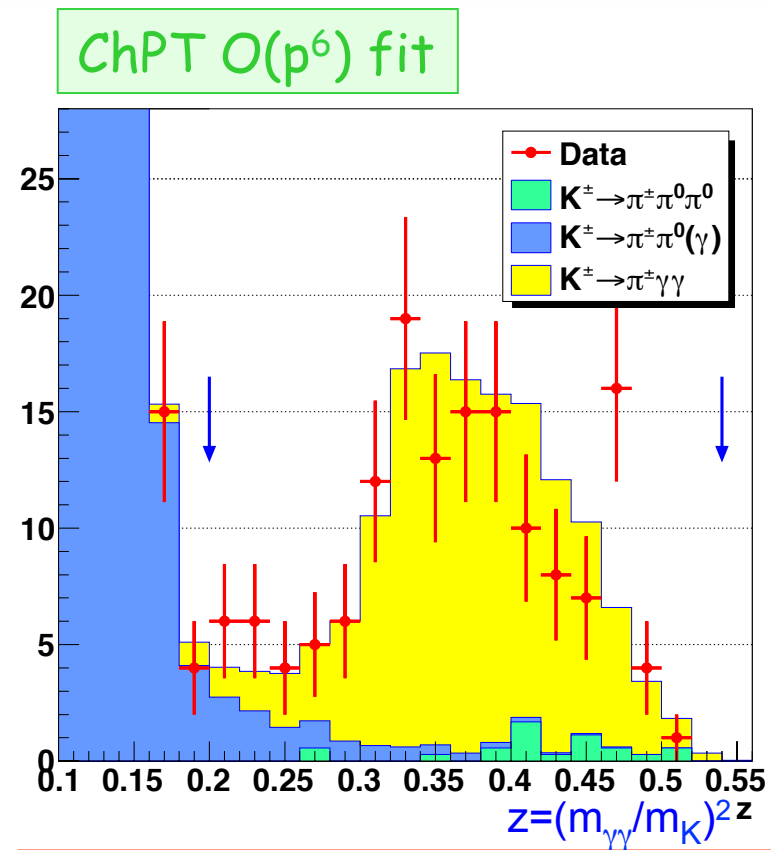
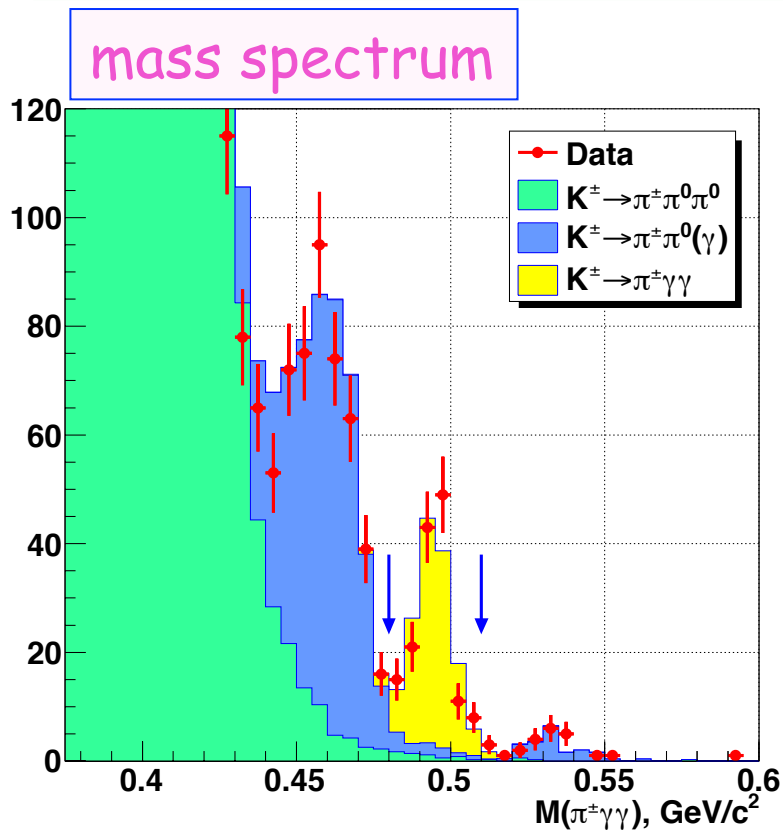
[Ecker, Pich, de Rafael, Phys. NPB 303 (1988), 665]

[D'Ambrosio and Portolés, PLB 386 (1996), 403]

## Experimental status

- BNL E787: 31 candidates,  $BR = (1.10 \pm 0.32) \times 10^{-6}$  (full kinematic range)
- New measurement  $\longrightarrow$  NA48/2 and NA62 [PRL79 (1997) 4079]

# NA48/2 Data set - 2004 (3 days)



$K_{\pi\gamma\gamma}$ candidates	147
$K_{2\pi(\gamma)}$ background	$11.0 \pm 0.8$
$K_{3\pi}$ background	$5.9 \pm 0.7$
$K_{\pi\gamma\gamma}$ signal	$130 \pm 12$

preliminary

ChPT  $O(p^4)$ :

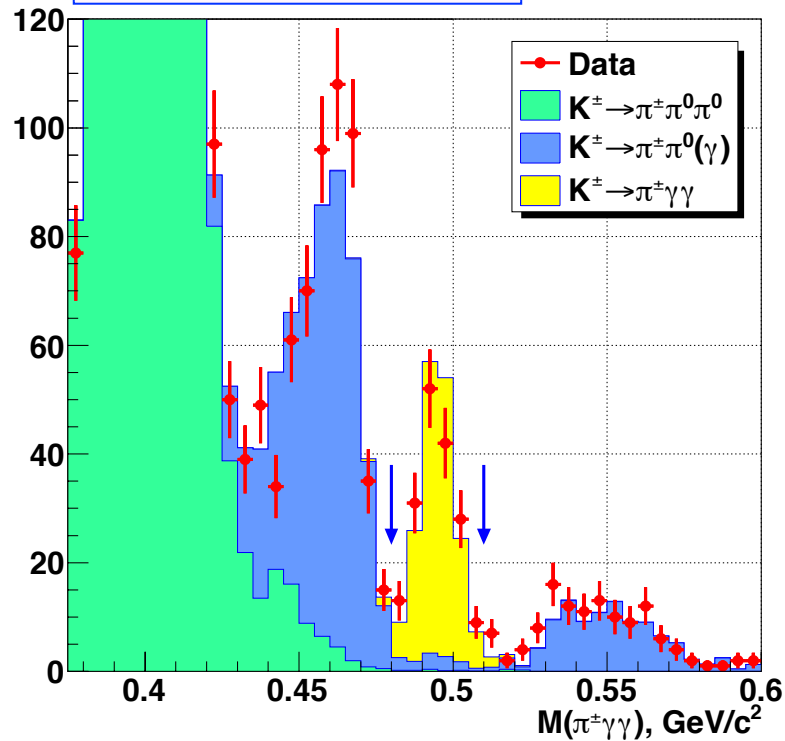
$$\hat{c} = 1.36 \pm 0.33_{\text{stat}} \pm 0.07_{\text{syst}} = 1.36 \pm 0.34$$

ChPT  $O(p^6)$ :

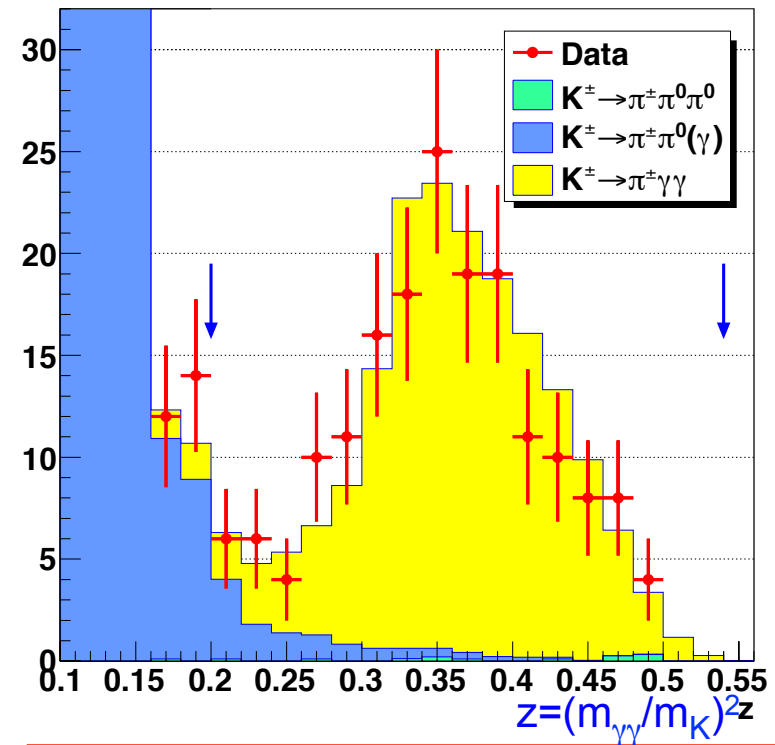
$$\hat{c} = 1.67 \pm 0.39_{\text{stat}} \pm 0.09_{\text{syst}} = 1.67 \pm 0.40$$

# NA62 Data set - 2007 (3 months / downscaled trigger)

mass spectrum



ChPT  $O(p^6)$  fit



$K_{\pi\gamma\gamma}$ candidates	175
$K_{2\pi(\gamma)}$ background	$11.1 \pm 1.0$
$K_{3\pi}$ background	$1.3 \pm 0.3$
$K_{\pi\gamma\gamma}$ signal	$163 \pm 13$

preliminary

ChPT  $O(p^4)$ :

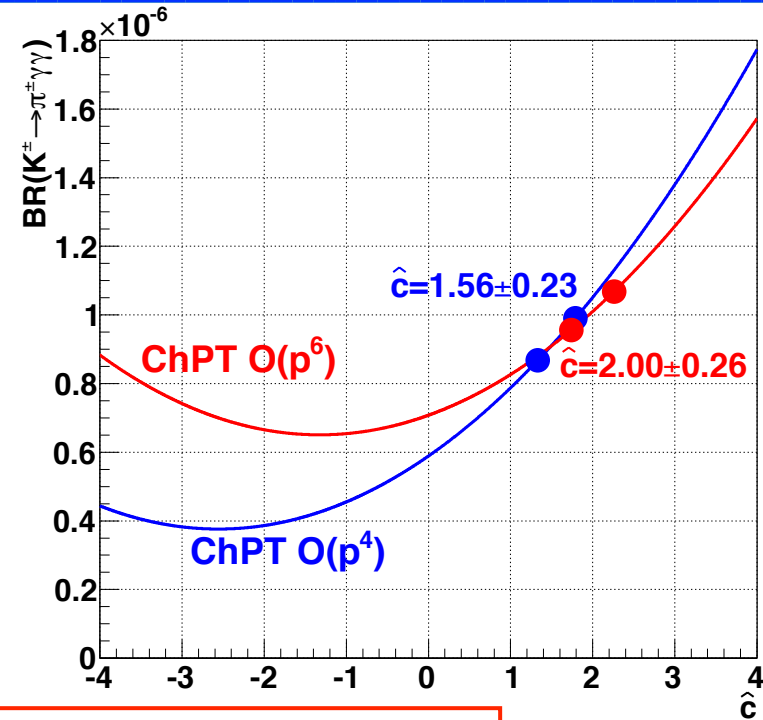
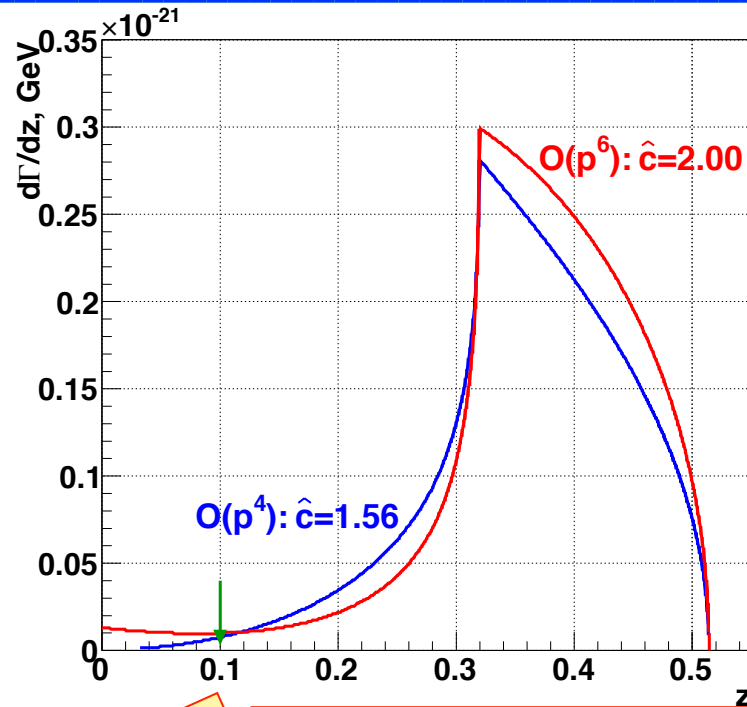
$$\hat{c} = 1.71 \pm 0.29_{\text{stat}} \pm 0.06_{\text{syst}} = 1.71 \pm 0.30$$

ChPT  $O(p^6)$ :

$$\hat{c} = 2.21 \pm 0.31_{\text{stat}} \pm 0.08_{\text{syst}} = 2.21 \pm 0.32$$



# Combined 2004 & 2007 - Fit results



preliminary

$$\text{ChPT } O(p^4): \hat{c} = 1.56 \pm 0.22_{\text{stat}} \pm 0.07_{\text{syst}} = 1.56 \pm 0.23$$

$$\text{ChPT } O(p^6): \hat{c} = 2.00 \pm 0.24_{\text{stat}} \pm 0.09_{\text{syst}} = 2.00 \pm 0.26$$

$$BR = (1.01 \pm 0.06) \times 10^{-6}$$

model dependent

- very low systematic uncertainties
- ChPT  $O(p^4)$  vs  $O(p^6)$  models cannot be discriminated within the current experimental sensitivity

# From NA48/2.....

## ✓ Precision measurement of $K^\pm \rightarrow \pi^0 |^\pm \nu$ ( $K_{l3}$ ) form factors

- provide the most accurate and theoretically cleanest way to access  $|V_{us}|$

$2.5 \times 10^6 K_{\mu 3}^\pm$  candidates selected

$4.0 \times 10^6 K_{e 3}^\pm$  candidates selected

Quadratic ( $\times 10^{-3}$ )	$\lambda'_+$	$\lambda''_+$	$\lambda_0$
$K_{\mu 3}^\pm K_{e 3}^\pm$ combined	$26.98 \pm 1.11$	$0.81 \pm 0.46$	$16.23 \pm 0.95$
Pole (MeV/c <sup>2</sup> )	$m_V$		$m_S$
$K_{\mu 3}^\pm K_{e 3}^\pm$ combined	$877 \pm 6$		$1176 \pm 31$

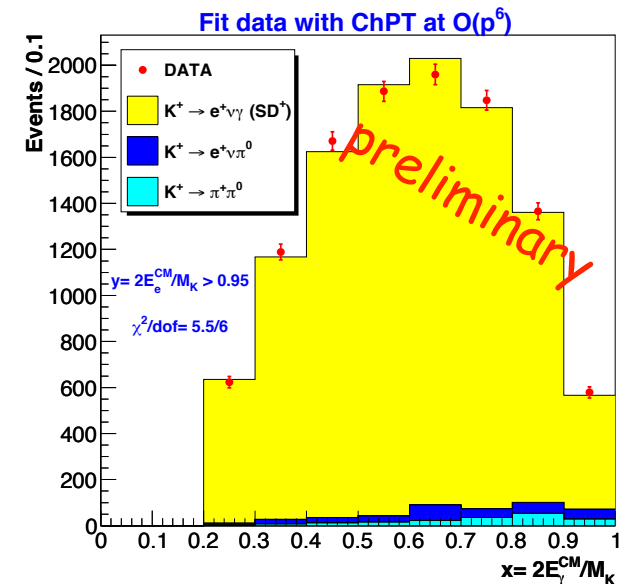
- NA48/2 is the first experiment which measured both  $K_{e3}$  and  $K_{\mu 3}$ .
- high precision preliminary results, competitive with other measurements
- Results for  $K_{e3}$  and  $K_{\mu 3}$  from NA48/2 in good agreement.

## ✓ First observation of the decay $K^\pm \rightarrow \pi^\pm \pi^0 e^+ e^-$

- Sensitivity to CPV and NP,
- $\sim 4500$  events in the signal region

## ✓ Measurement of the $K^+ \rightarrow e^+ \nu \gamma$ (SD+) decay

- ChPT test to NLO in ChPT [ $O(p4)$  and  $O(p6)$ ]
- Model-independent form factor extraction allows comparison with theoretical predictions
- $K^+ \rightarrow e^+ \nu \gamma$  (SD+) candidates  $\sim 10000$



# .....towards NA62

## NA62 ( $R_K$ phase - 2007) Test of $\mu$ - $e$ universality

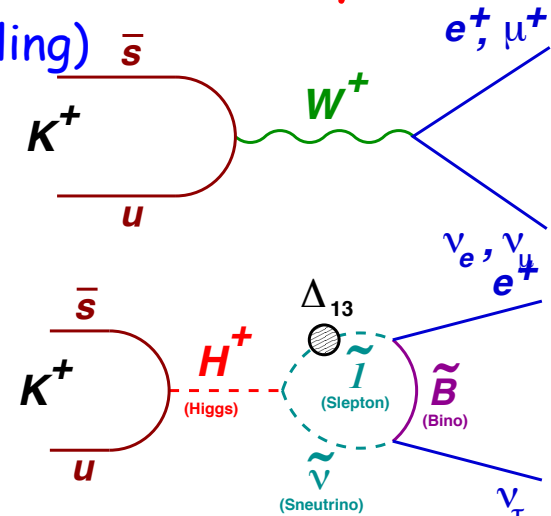
❖ In the SM  $K_{e2}$  strongly helicity suppressed (V-A coupling)

❖ measure: 
$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu_e)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu_\mu)} = (2.477 \pm 0.001) \cdot 10^{-5}$$

[V. Cirigliano and I. Rosell, PRL99 (2007) 231801]

❖ Beyond the SM the presence of LFV terms, charged Higgs mediated, introduces extra contribution to the SM amplitude, enhancing the decay rate

[Masiero, Paradisi, Petronzio, PRD 74 (2006) 011701]



### NA62 final result

$$R_K = (2.488 \pm 0.010) \cdot 10^{-5}, \delta R_K / R_K = 0.40\%$$

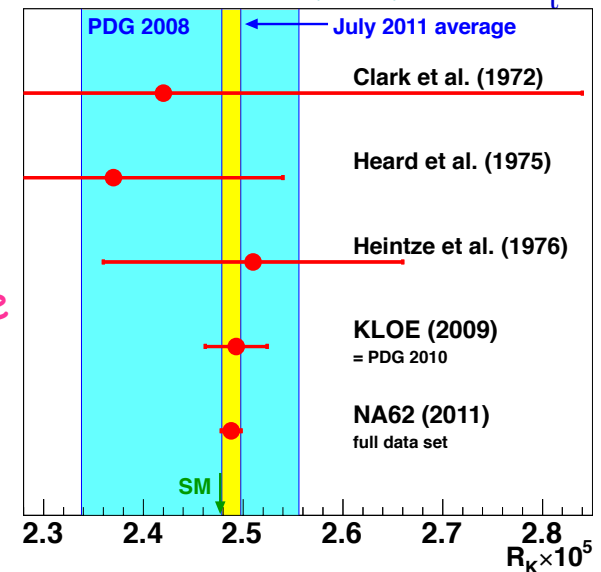
World  $Ke2$  statistics increased by 1 order of magnitude  
In agreement with SM expectation, but  $\sim 1 \sigma$  above



further precision  $R_K$  measurements

- Partial data set (40%): PLB 698 (2011) 105

- Full data set: paper close to be submitted



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NA62  
Measurement of the ultra-rare  
decay  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$



# Ultra-rare kaon decays & CKM

The Unitarity Triangle describes in the  $(\rho, \eta)$  plane the CKM matrix

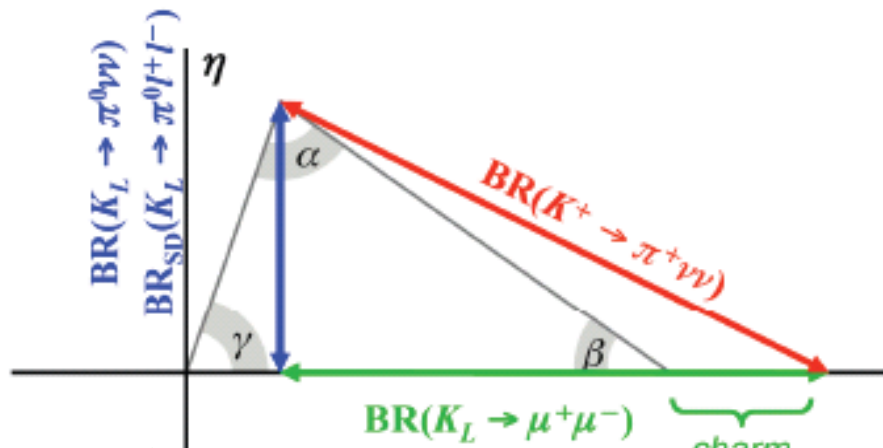
$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\bar{\rho} - i\bar{\eta}) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 & 1 \end{pmatrix}$$

The “Standard” Unitarity Triangle

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$

The “Kaon” Unitarity Triangle

$$V_{us}^* V_{ud} + V_{cs}^* V_{cd} + V_{ts}^* V_{td} = 0$$



$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

$$|V_{ts}^* V_{td}|$$

$$K_L \rightarrow \pi^0 \nu \bar{\nu}$$

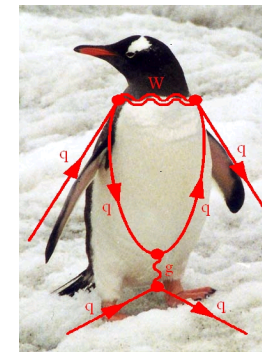
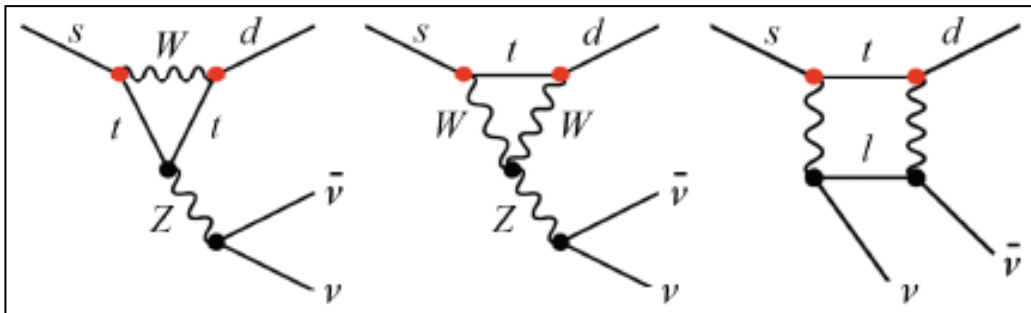
$$\text{Im}(V_{ts}^* V_{td}) \propto \eta$$

the holy grail

Alternative way to measure the Unitarity Triangle parameters with smaller theoretical uncertainty

# $K \rightarrow \pi \nu \bar{\nu}$ in the SM . . .

- FCNC process forbidden at tree level  $\rightarrow$  room for NP up to 10xSM
- Short distance contribution dominated by Z penguin and W box diagrams
- "Super-clean" theoretically
  - hadronic matrix element can be extracted from measured quantities(Ke3)
- Very small BR due to the CKM top coupling
  - $A \sim (m_t/m_W)^2 |V_{ts}^* V_{td}| \approx \lambda^5$
- Measurement of  $|V_{td}|$  complementary to those from B-B mixing and  $B \rightarrow \rho \gamma$
- $\delta BR/BR=10\%$   $\rightarrow$   $\delta |V_{td}|/|V_{td}|=7\%$ .

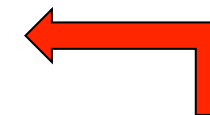


BR $\times 10^{10}$	SM Prediction	Experiments
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$	$0.781 \pm 0.075 \pm 0.029$ [1]	$1.73^{+1.15}_{-1.05}$ [2]
$K^0 \rightarrow \pi^0 \nu \bar{\nu}$	$0.243 \pm 0.039 \pm 0.006$ [1]	$< 260$ (@90% CL) [3]

[1] Brod, Gorbahn, Stamou: PRD83(2011) 034030, arXiv 1009.0947

[2] BNL E787/E949: PRL101 (2008) 191802, arXiv 0808.2459

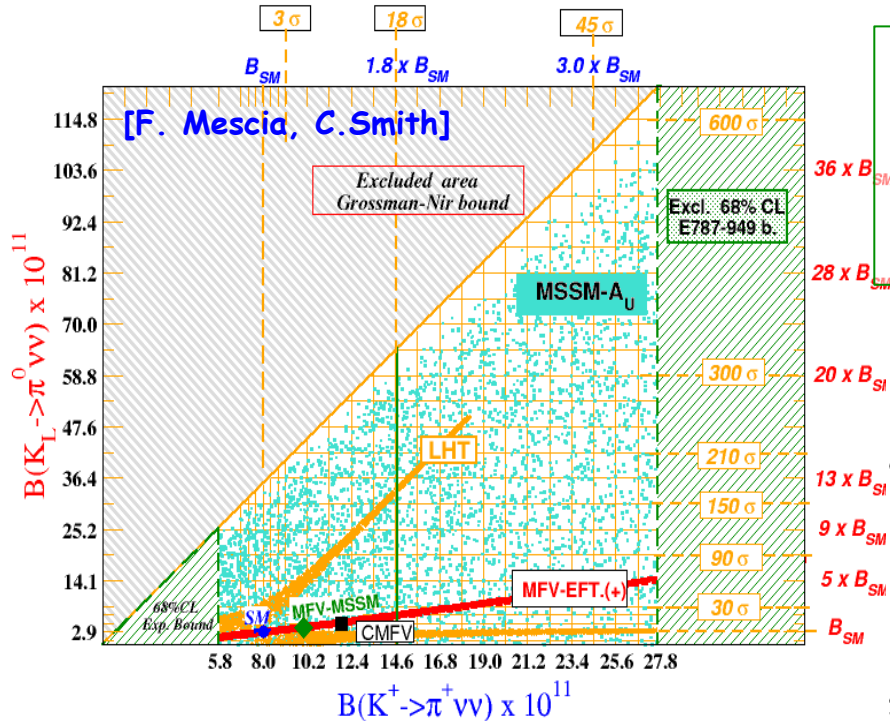
[3] KEK E391a: PR D81 (2010) 072004, arXiv 0911.4789



7 events: twice as large as, but still consistent with SM expectation

# ... and beyond the SM

Several SM extensions predict sizable deviations for the BR  
 → Possibility to distinguish among different models

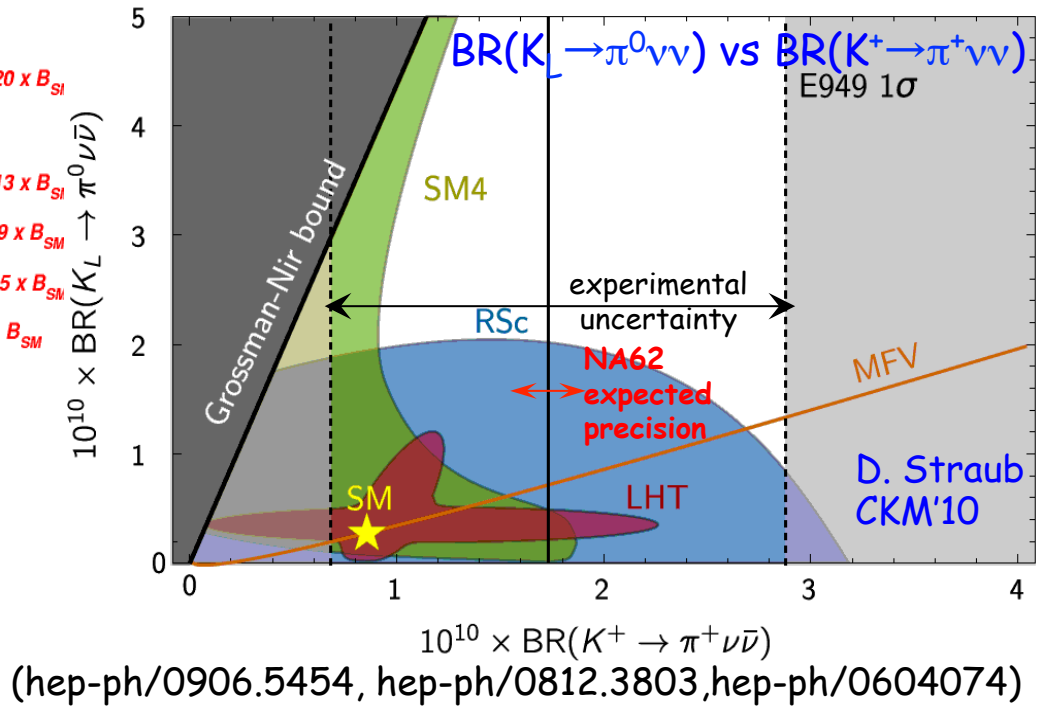


Possibility to distinguish among different models

Chargino /  $H^\pm$  loops (MSSM at low/large  $\tan\beta$ ),  
 R-parity violation (non MFV), enhanced EW Penguins,  
 Little Higgs, extra dimensions, 4th generation, .....

Concrete NP models predicting high deviations from MFV

Randall-Sudrum,  
 Littlest Higgs with T-parity,  
 SM 4<sup>th</sup> generation



# NA62 - Experimental principles

- ❖ Goal  $\longrightarrow$  10% precision Branching Ratio measurement
- ❖  $O(100)$   $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  events in two years of data taking

$\rightarrow$  Statistics

- $\triangleright$  BR(SM)  $\sim 7.8 \times 10^{-11}$
- $\triangleright$  Acceptance: 10%
- $\triangleright$  K decays:  $10^{13}$

$\rightarrow$  Systematics

- $\triangleright$   $\geq 10^{12}$  background rejection
- $\triangleright$   $\leq 10\%$  precision on background measurement

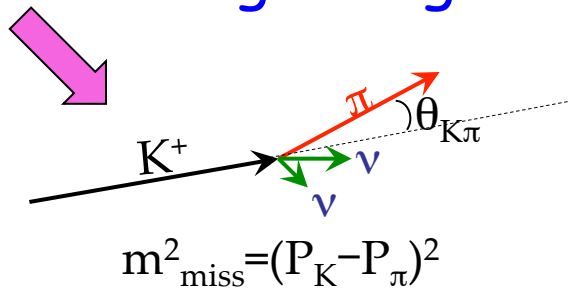
Kaon intensity & signal efficiency

Signal purity & detector redundancy

High momentum  $K^+$  beam

Decay in-flight technique

Very challenging experiment  
Weak signal signature



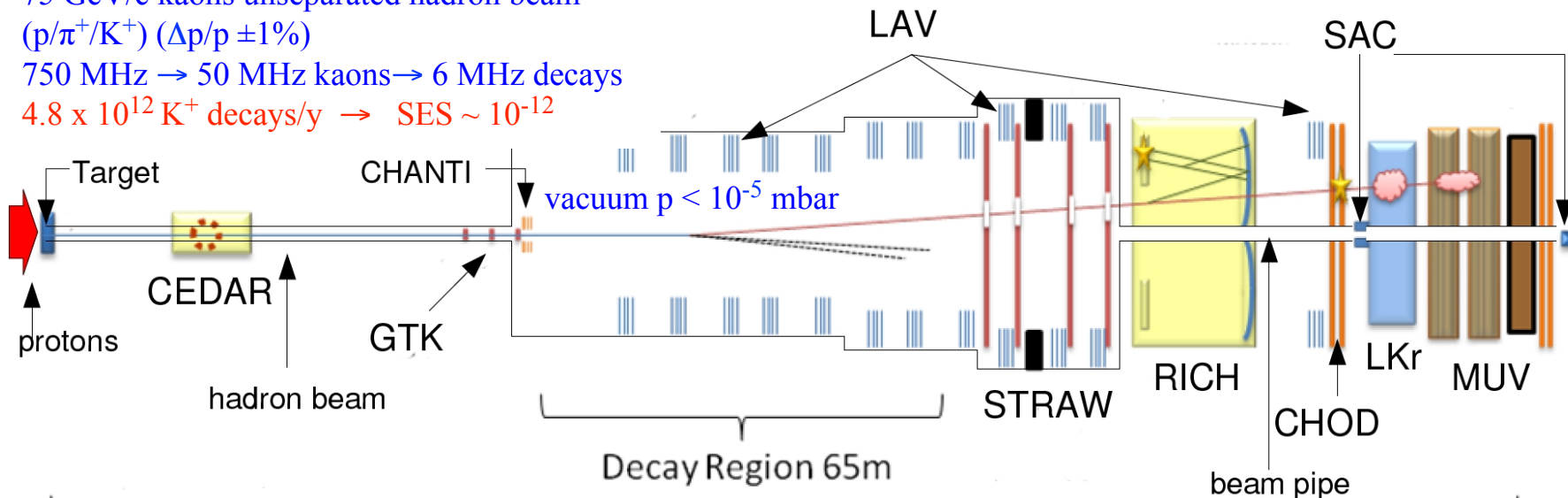
Huge background

Decay	BR
$\mu^+ \nu$ ( $K_{\mu 2}$ )	63.5%
$\pi^+ \pi^0$ ( $K_{\pi 2}$ )	20.7%
$\pi^+ \pi^+ \pi^-$	5.6%
$\pi^0 e^+ \nu$ ( $K_{e 3}$ )	5.1%
$\pi^0 \mu^+ \nu$ ( $K_{\mu 3}$ )	3.3%



# Experiment layout & sensitivity

- 400 GeV/c SPS primary protons
- 75 GeV/c kaons unseparated hadron beam
- $(p/\pi^+/K^+)$  ( $\Delta p/p \pm 1\%$ )
- 750 MHz  $\rightarrow$  50 MHz kaons  $\rightarrow$  6 MHz decays
- $4.8 \times 10^{12} K^+$  decays/y  $\rightarrow$  SES  $\sim 10^{-12}$



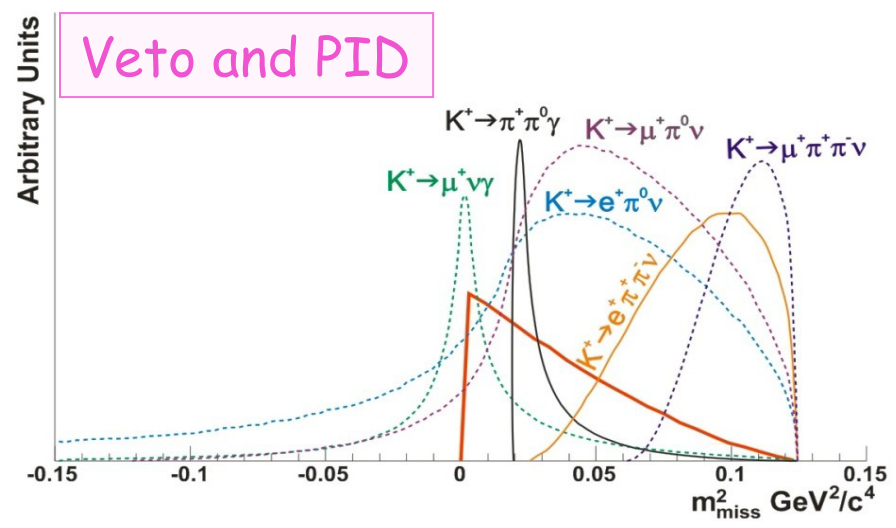
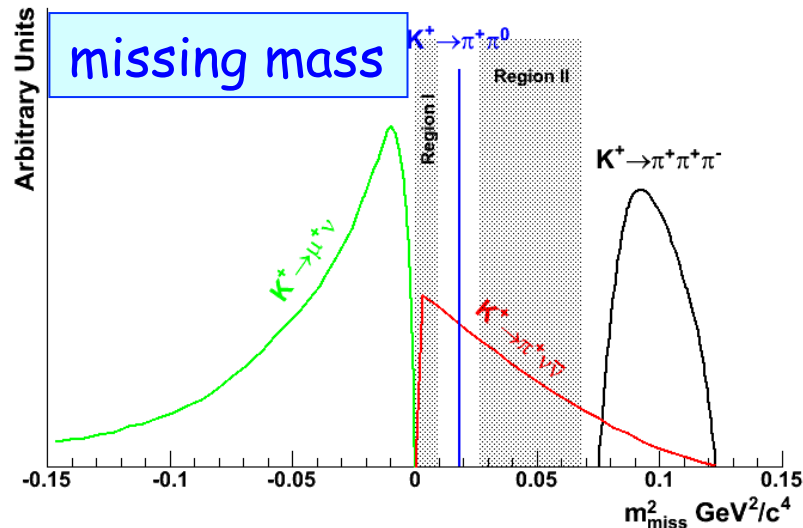
Total Length 270m

Signal	45 evt/y
$K^+ \rightarrow \pi^+\pi^0$	4.3%
$K^+ \rightarrow \mu^+\nu$	2.2%
$K^+ \rightarrow \pi^+\pi^+\pi^-$	$< 4.5\%$
$K^+ \rightarrow \pi^+\pi^0\gamma$	$\sim 2\%$
$K^+ \rightarrow \mu^+\nu\gamma$	0.7%
total background	$< 13.5\%$

# Background and kinematics

92% Bkg separated from signal by kinematic cuts

8% not separated



$m^2_{\text{miss}} = (P_K - P_\pi)^2$  defines low bkg signal regions separated by  $K^+ \rightarrow \pi^+ \pi^0$

extend in the signal region kinematics doesn't help

- ✓ high resolution  $m^2_{\text{miss}}$  reconstruction
- ✓ measure precisely kaon and pion momenta
- ✓ keep multiple scattering as low as possible

- ✓ Suppress  $K^+ \rightarrow \pi^+ \pi^0$  background
- ✓ Reject offline decays with  $\gamma$
- ✓  $K^+$  identification in the had beam
- ✓  $10^{-3}$   $\pi$ - $\mu$  separation

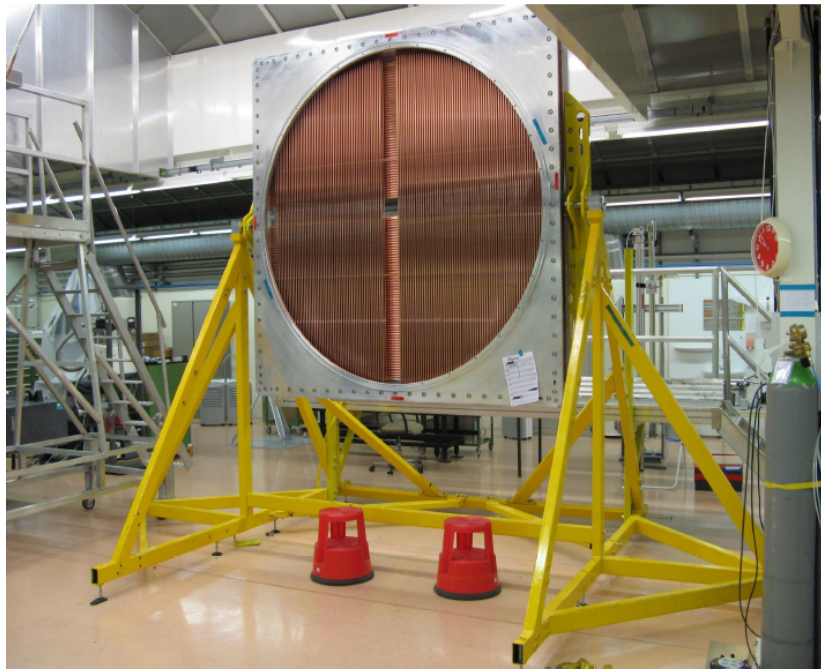
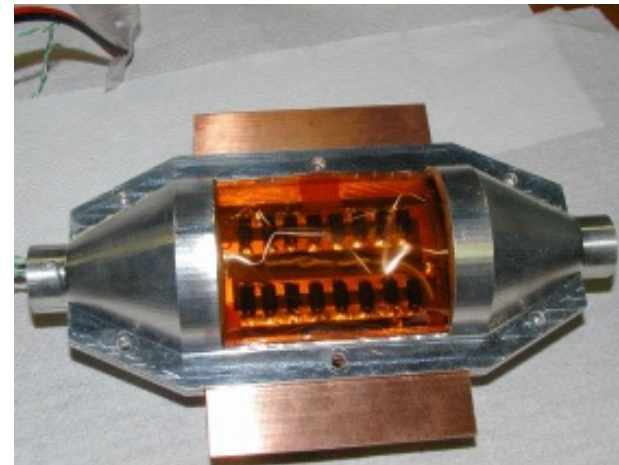
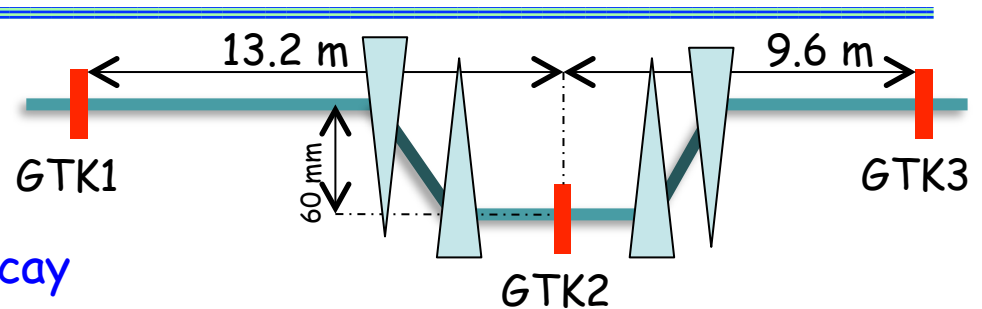
Gigatracker (Kaon)  
Straw chambers (pion)

Photon veto system  
Particle Identification

# Tracking detectors

## Gigatracker

- measurement of time, coordinates and momentum of individual particles
- three Si-pixel station before the decay volume
- $\sigma(t) \sim 150$  ps on single track (test beam)



## Straw chamber spectrometer

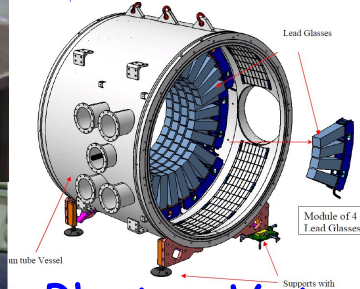
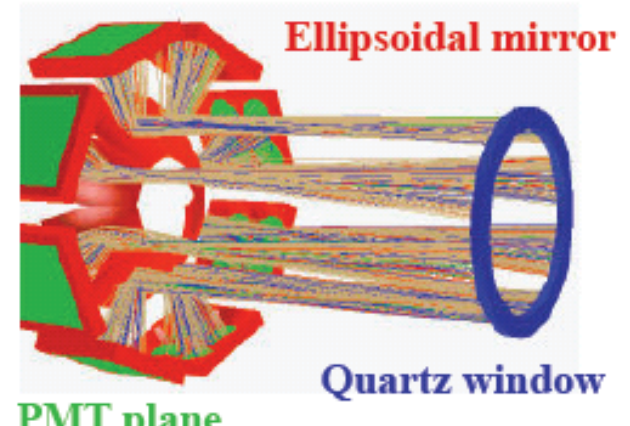
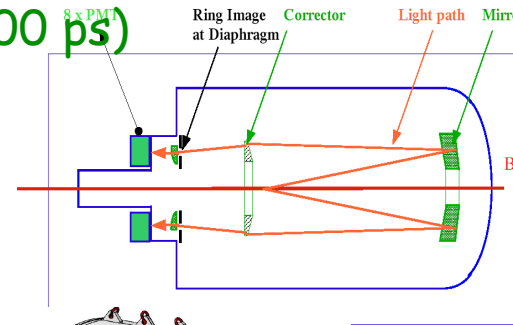
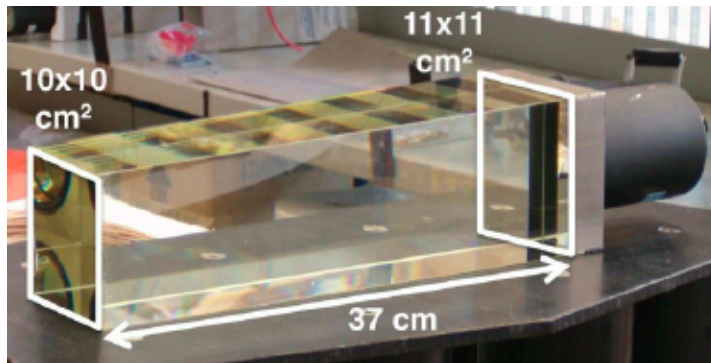
- measurement of coordinates and momentum of charged particles originating from decay
- 4 chambers + magnet
- $\sigma(P_\pi)/P_\pi \sim 0.3\% \oplus 0.007\% \times P_\pi$  (GeV/c)
- $\sigma(dX/dZ)/(dX/dZ) \sim 45-15$   $\mu$ rad



# Veto & PID detectors/1

## CEDAR - Differential Cherenkov counter

- Filled with Hydrogen gas
- Positive identification of Kaons in a 800 MHz hadron beam
- Excellent time resolution  $O(100 \text{ ps})$
- Sustain rate  $O(\text{MHz}/\text{mm}^2)$



## Photon Veto System

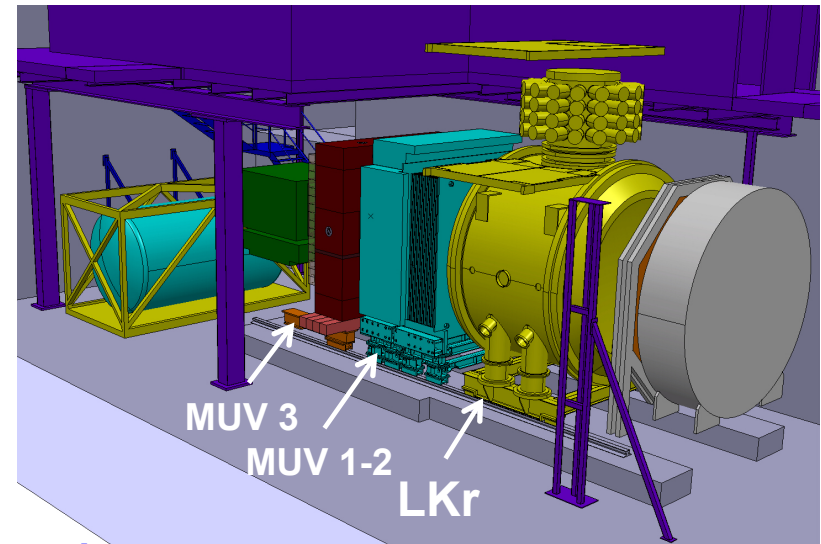
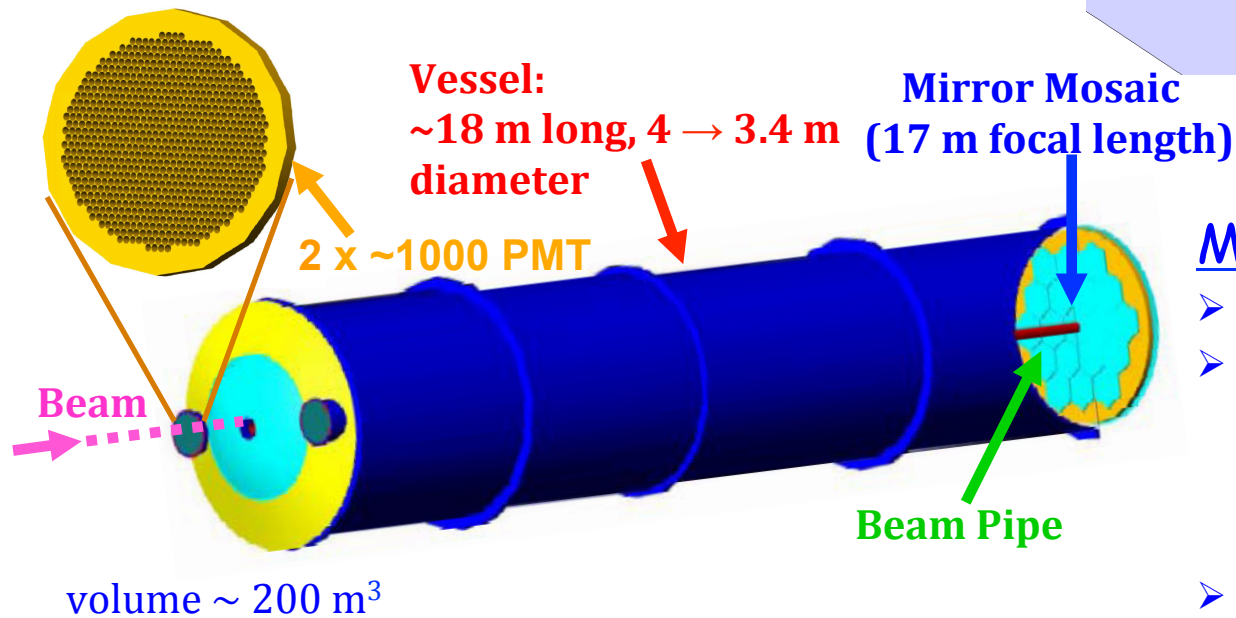
- several subsystems, among them:
- Large angle (8.5-50 mrad) Lead glass blocks
- Inefficiency  $< 10^{-4}$  for  $100 \text{ MeV} < E_\gamma < 35 \text{ GeV}$
- Small angle (1-8 mrad) "shashlyk" calorimeters
- Inefficiency  $< 10^{-3}$  for  $E_\gamma > 10 \text{ GeV}$



# Veto & PID detectors/2

## RICH - Ring Imaging Cherenkov counter

- Filled with Neon at atm pressure
- Separate  $\pi$ - $\mu$  in  $15 < p < 35$  GeV/c with a  $\mu$  suppression factor better than  $5 \times 10^{-3}$
- Measure pion crossing time with a resolution  $< 100$  ps
- Provide a LO trigger for charged tracks



## Muon detector

- 3 planes MUV 1,2,3 + iron
- MUV 1+MUV 2 reach a factor of  $10^6$  in muon rejection (combined with the RICH)
- MUV 3 for trigger purposes

# Summary and outlook

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Precision physics **complementary** to high-energy approach for NP search

- ❖ New measurement of the  $K^+ \rightarrow \pi^+ \gamma \gamma$  from NA48/2 and NA62
  - new precise experimental data on **ChPT parameters**
  - ChPT  $O(p^4)$  vs  $O(p^6)$  models cannot be discriminated within the current experimental sensitivity
- ❖ The  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  decay **→** very challenging experiment
  - collect  **$O(100)$**  events & provide a **10% BR** measurement
  - key points: excellent resolutions, hermetic coverage, PID
  - construction well advanced, first **technical run in October 2012**
  - **2013 - complete detector and installation**
  - **2014 (?) data taking with full detector (CERN accelerator schedule)**
  - The high performances of the detectors can also be the building blocks for a further physics program
- ❖ Many other results at the frontier of precision physics

**A very rich program in the near future**



# NA62 Penguins at work

