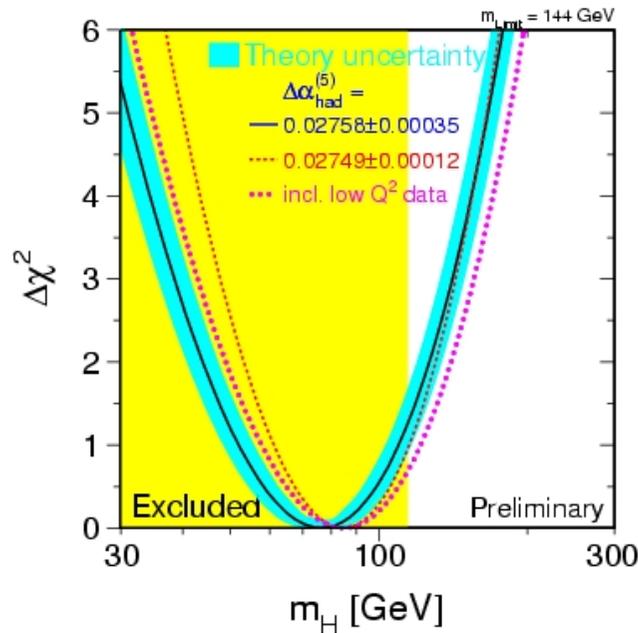


Neutrinos and Dark Matter

Manfred Lindner



SM works perfect & Higgs Mass Range is converging



Search for the Higgs Particle

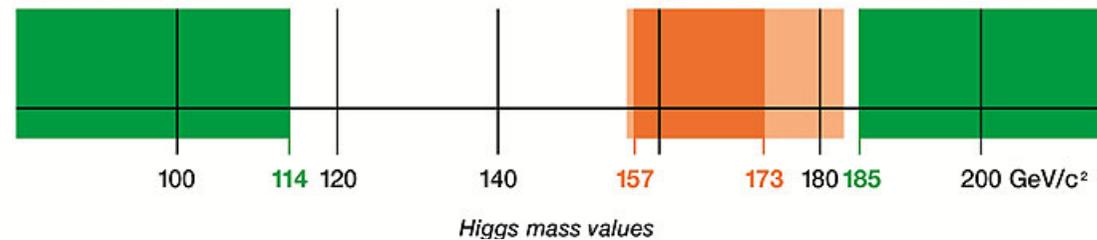
Status as of March 2011

Excluded by
LEP Experiments
95% confidence level

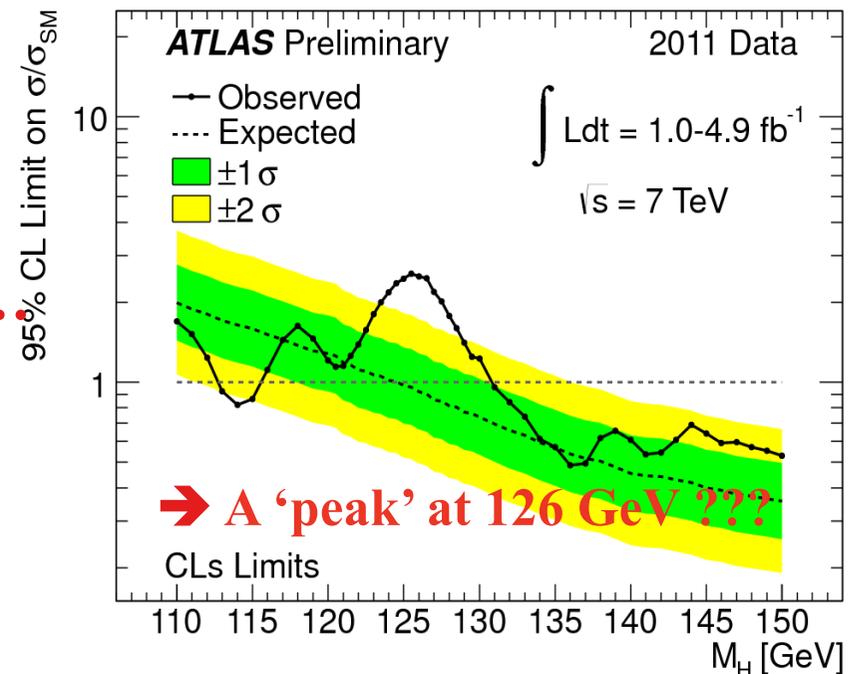
90% confidence level
95% confidence level

Excluded by
Tevatron
Experiments

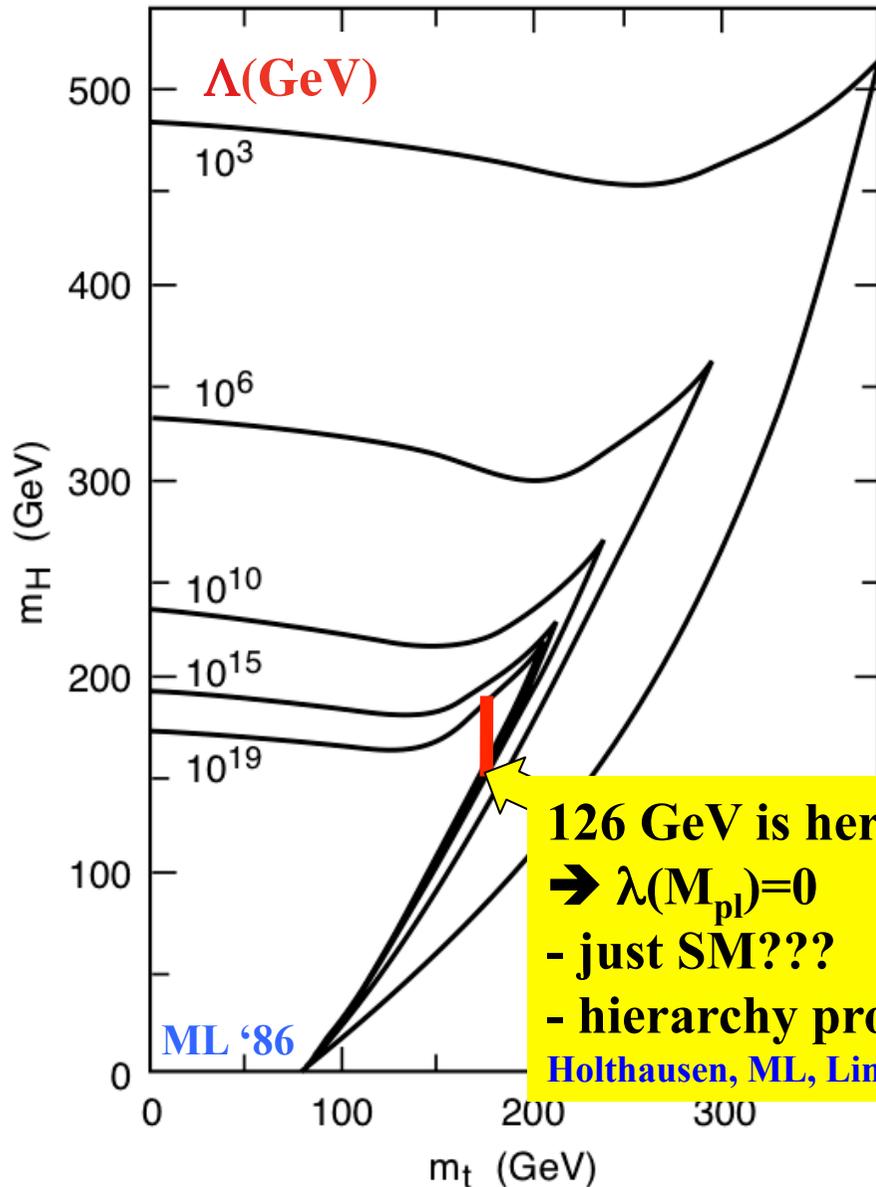
Excluded by
Indirect Measurements
95% confidence level



- allowed mass range is shrinking..
- if SM Higgs exists → light
- no (clear) signs for anything else
- just the SM?
- Dark Matter?

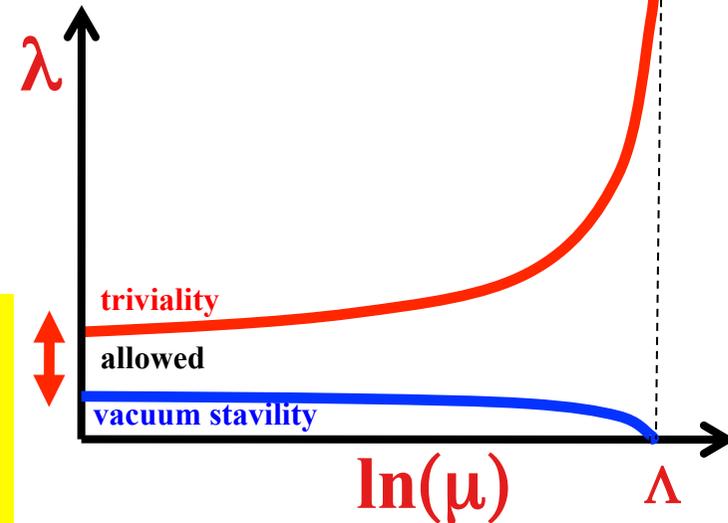


Triviality and Vacuum Stability



$$126 \text{ GeV} < m_H < 174 \text{ GeV}$$

SM does not exist w/o embedding
- U(1) coupling, Higgs self-coupling



126 GeV is here!
→ $\lambda(M_{pl})=0$
- just SM???
- hierarchy problem
Holthausen, ML, Lim

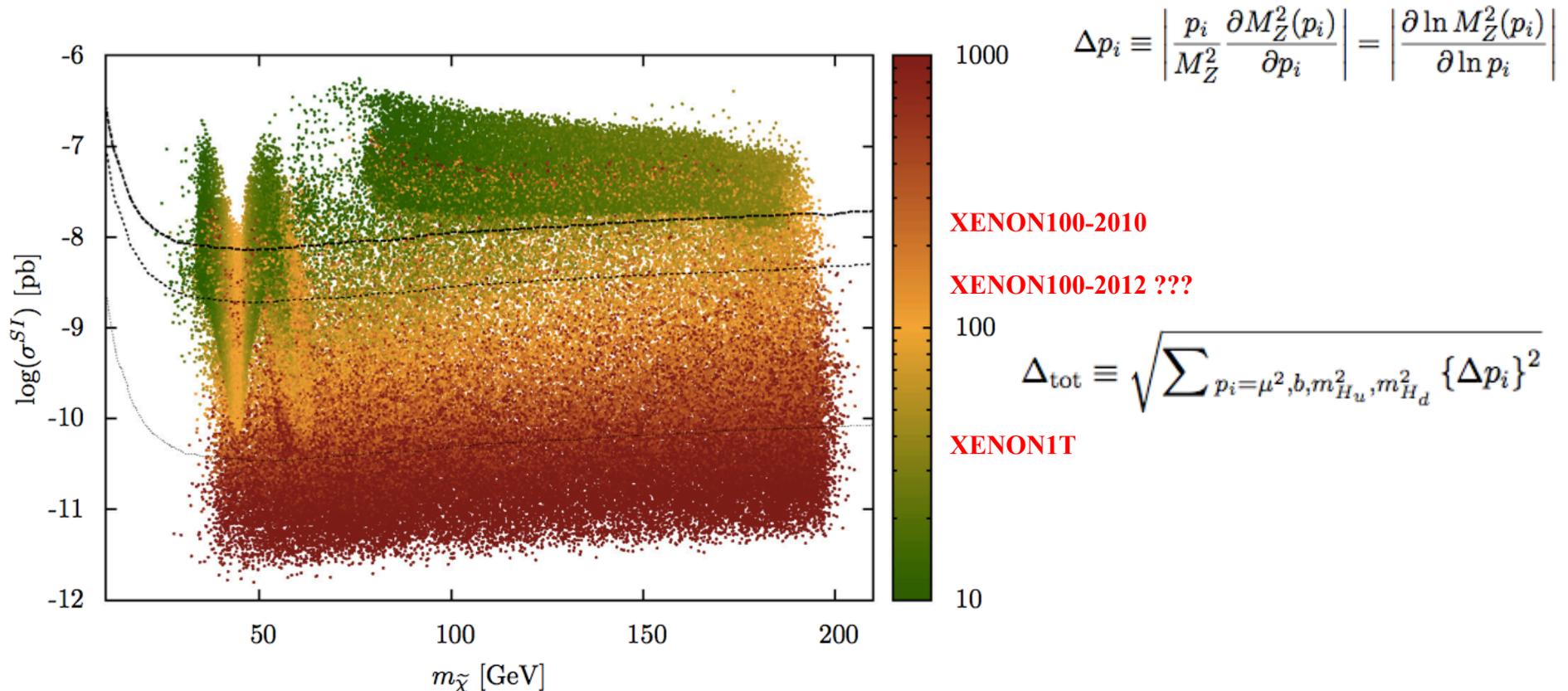
→ RGE arguments seem to work
→ we need some embedding

The SM must be extended....

- **Hierarchy problem**
 - separation of two scalar scales is unstable... SUSY, TeV physics
 - Planck scale physics: New concepts ... ???
- **SM cannot explain Baryon Asymmetry of the Universe (BAU)**
 - massive neutrinos require SM extension → SM+
 - leptogenesis = one of the best BAU explanations
 - nothing else needed!
- **Dark Matter**
 - an extra particle is needed which is DM
 - particles connected to the hierarchy problem

Most favoured Dark Matter: WIMPs

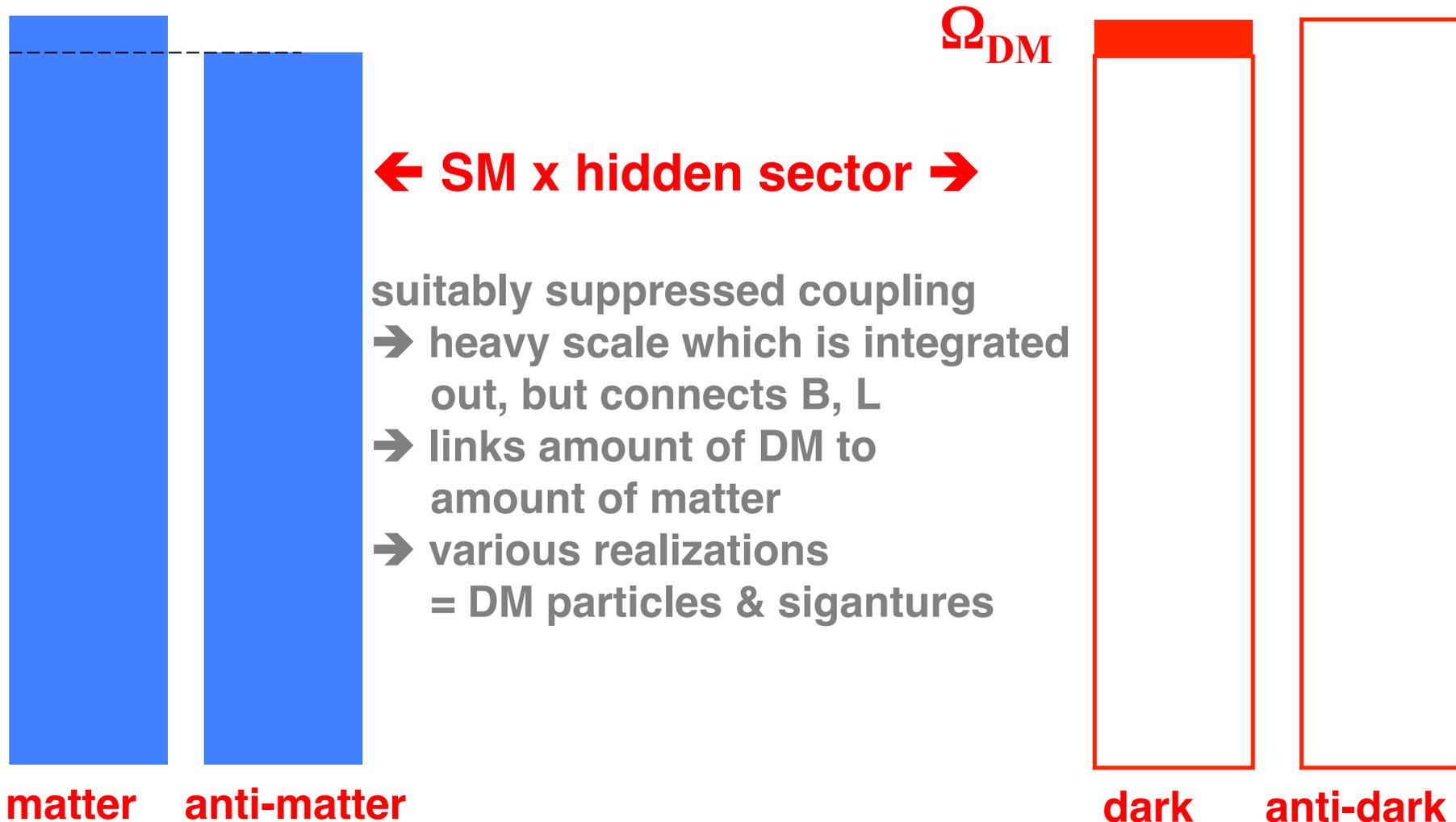
- Candidates in BSM models \leftrightarrow hierarchy problem
- WIMP miracle \rightarrow correct abundance
- Direct searches \leftrightarrow **neutralino & fine-tuning** $\rightarrow \Delta_{\text{tot}}$



P. Grothaus, ML, Y. Takanishi - to appear very soon

Other Ideas: Asymmetric Dark Matter

→ Why is $\Omega_{\text{DM}} \simeq 5 * \Omega_{\text{baryonic}}$? (a factor 5 or 500?)



Could Neutrinos be Dark Matter?

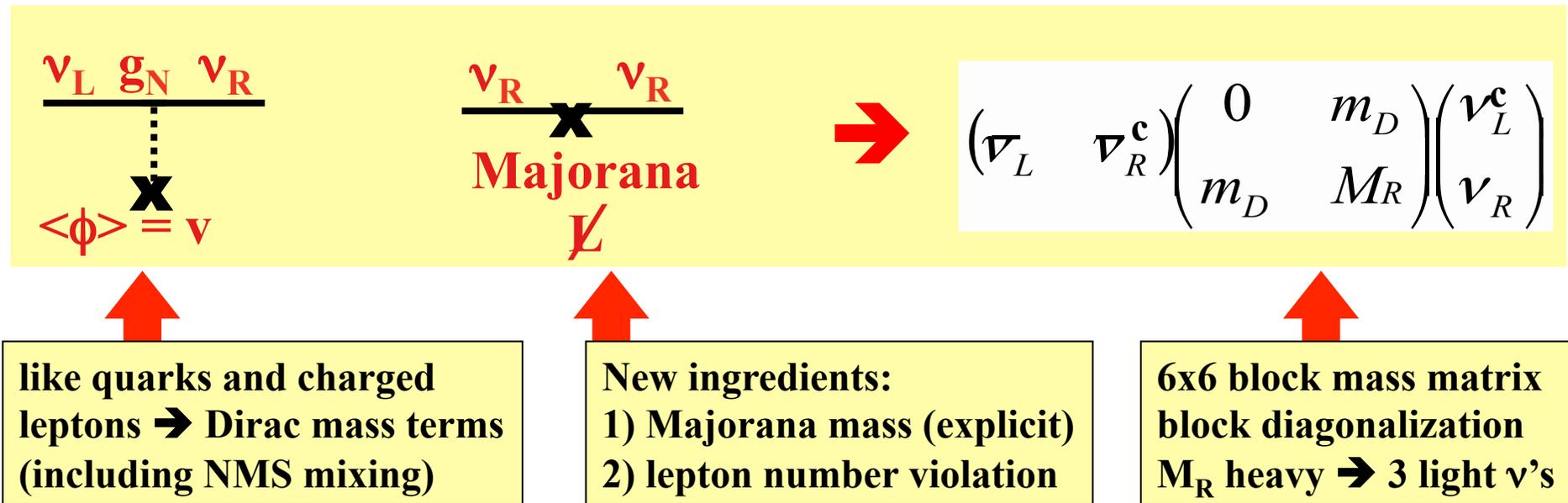
- **Massive neutrinos required by experiment**
→ some new physics to explain masses
- **Neutrino masses \leftrightarrow BAU: leptogenesis**
- **Could neutrinos also be Dark Matter?**
→ **sterile neutrinos are a perfect
Warm Dark Matter Candidate**

New Physics: Neutrino Mass Terms

Mass terms $\sim m\bar{L}R = (2,1)$

→ Simplest possibility:
add 3 right handed neutrino fields

Field	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$
$L_Q = \begin{pmatrix} l_u \\ l_d \end{pmatrix}$	3	2	1/3
r_u	3	1	4/3
r_d	3	1	-2/3
$L_L = \begin{pmatrix} l_\nu \\ l_e \end{pmatrix}$	1	2	-1
$r_\nu ???$	1	1	0
r_e	1	1	-2



NEW ingredients, 9 parameters → SM+ and sea-saw

Evidences for Light Sterile Neutrinos

Particle Physics:

Reactor anomaly, LSND, MiniBooNE, MINOS, Gallex...

→ evidences for light sterile ν 's?

→ New and better data / experiments are needed to clarify the situation

→ maybe something exciting around the corner?

→ but eV scale and sizable mixings

CMB: extra eV-ish neutrinos J. Hamann et al., ...

BBN: extra ν 's possible: $N_\nu \simeq 3.7 \pm 1$

E. Aver, K. Olive, E. Skillman (2010), Y. Izotov, T. Thuan(2010)

Astrophysics:

Effects of keV-ish sterile ν 's on pulsar kicks, PN star kicks, ...

Kusenko, Segre, Mocioiu, Pascoli, Fuller et al., Biermann & Kusenko, Stasielak et al., Loewenstein et al., Dodelson, Widrow, Dolgov, ...

Most likely not all of them are correct! → consequences?

Sterile Neutrino Spectrum

The standard picture:

3 heavy sterile neutrinos typ. $\geq 10^{13}$ GeV

→ leptogenesis, role in GUTs, ...

Some mechanism which makes
1 or 2 heavy sterile neutrinos light?

→ keV sterile neutrino

→ tiny heavy-light mixing expected

$$\theta^2 < O(m_\nu/m_s)$$

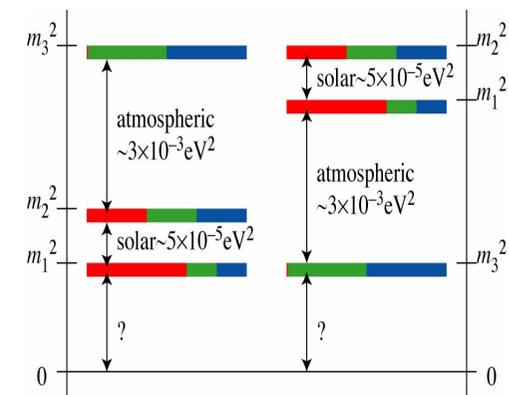
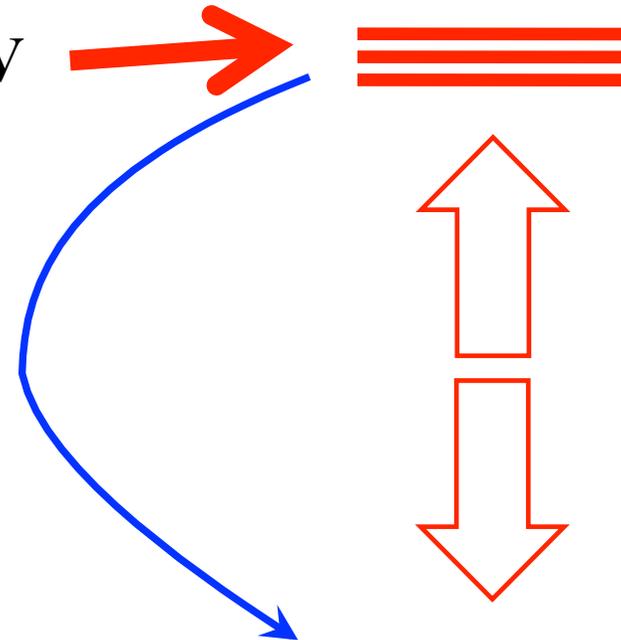
3 light active neutrinos

→ this could easily be wrong

- more than 3 N_R states, ...

- M_R may have special eigenvalues, ...

→ light sterile neutrinos ?!



Could Neutrinos be Dark Matter?

- Active neutrinos would be perfect Hot Dark Matter → ruled out:
 - destroys small scale structures in cosmological evolution
 - measured neutrino masses too small → maybe HDM component
- keV sterile neutrinos: Warm Dark Matter → workes very well:
 - relativistic at decoupling
 - non-relativistic at radiation to matter dominance transition
 - OK for $M_X \simeq \text{few keV}$ with very tiny mixing
 - reduced small scale structure → smoother profile, less dwarf satellites
 - scenario where one sterile neutrino is keV-ish, the others heavy
 - tiny active – sterile mixings $O(m_\nu/M_R)$

 - ↔ observational hints from astronomy
 - hints that a keV sterile particle may exist → right-handed neutrino?

Note: Right-handed neutrinos exist probably anyway – just make one light!

keV Neutrinos as WDM

The ν MSM

Asaka, Blanchet, Shaposhnikov, 2005 Asaka, Shaposhnikov, 2005

Particle content:

- Gauge fields of $SU(3)_c \times SU(2)_W \times U(1)_Y$: γ, W_{\pm}, Z, g
- Higgs doublet: $\Phi=(1,2,1)$

• Matter

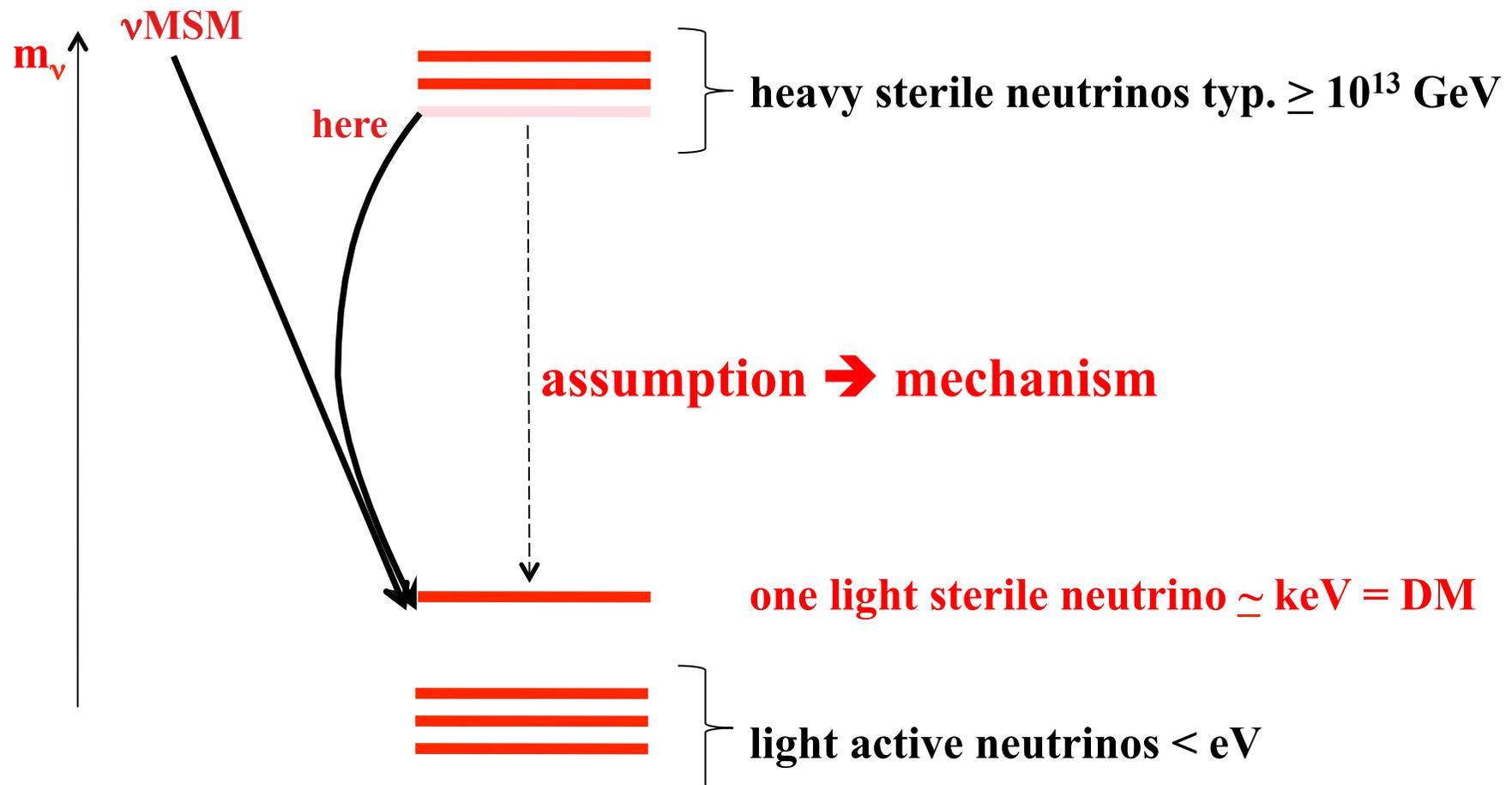
	$SU(3)_c$	$SU(2)_W$	$U(1)_Y$	$U(1)_{em}$
$\begin{pmatrix} u \\ d \end{pmatrix}_L$	3	2	+1/3	$\begin{pmatrix} +2/3 \\ -1/3 \end{pmatrix}$
u_R	3	1	+4/3	+2/3
d_R	3	1	-2/3	-1/3
$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L$	1	2	-1	$\begin{pmatrix} 0 \\ -1 \end{pmatrix}$
e_R	1	1	-2	-1
N	1	1	0	0

x3 generations

- lepton sector more symmetric to the quark sector
- Majorana masses for N
- choose for one sterile $\nu \sim \text{keV}$ mass → exceeds lifetime of Universe

Virtue and Problem of the ν MSM

- ν MSM:** Scenario with sterile ν and tiny mixing \rightarrow never enters thermal equilibrium
- \rightarrow requires **non-thermal production** from other particles (avoid over-closure)
 - \rightarrow **new physics** before the beginning of the thermal evolution sets abundance



Alternative Scenario with Thermal Abundance

An alternative scenario: Bezrukov, Hettmannsperger, ML

- Three right-handed neutrinos N_1, N_2, N_3
- Dirac and Majorana mass terms
- **N Charged under some (BSM) gauge group \rightarrow scale M (\sim sterile)**
- **Specific example: LR-symmetry $SU(3)_c \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$**

Roles played by the sterile (\sim right-handed) neutrinos:

N_1 – Warm Dark Matter

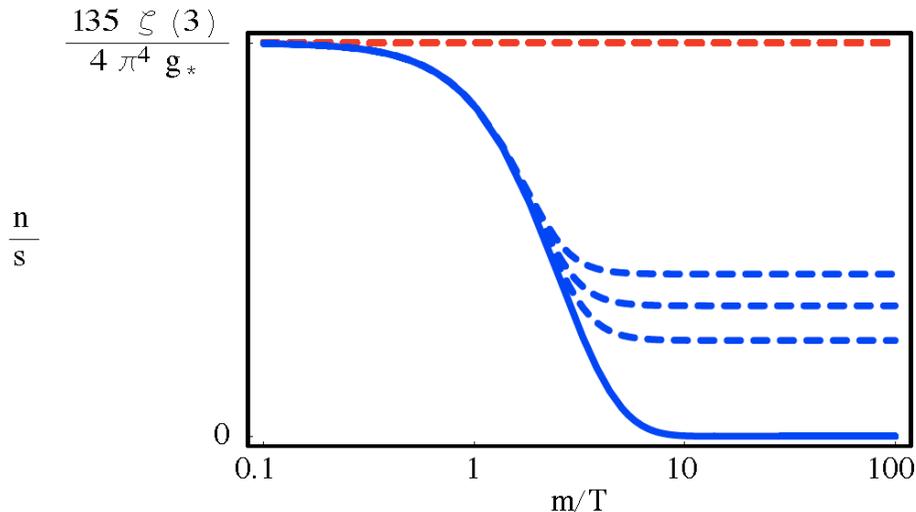
- Mass $M_1 \sim \text{keV}$
- Lifetime $\tau_1 > \tau_{\text{Universe}} \sim 10^{17} \text{ s}$

$N_{2,3}$ – dilute entropy after DM decoupling

- Mass $M_{2,3} > \text{GeV}$
- Lifetime $\tau_{2,3} \lesssim 0.1 \text{ s}$

Obtaining the correct Abundance

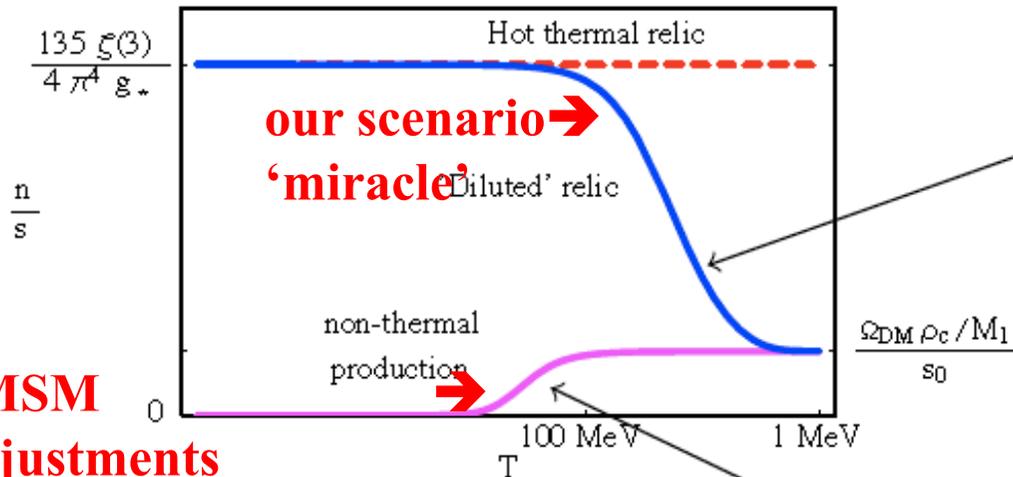
Usual thermal case:



HDM: $\frac{\Omega}{\Omega_{\text{DM}}} \simeq \left(\frac{10}{g_{*f}}\right) \left(\frac{M}{10\text{eV}}\right)$
Decoupled relativistic

CDM: $\Omega \sim \Omega_{\text{DM}}$
($M \gg \text{MeV}$)
Decoupled nonrelativistic

keV sterile neutrinos:



ν MSM adjustments

Diluted after decoupling
(entropy generated by other particle decay)

$$\Omega \sim \Omega_{\text{DM}}$$

Never entered thermal equilibrium

Sterile Neutrino DM Freeze-Out & Abundance

Decoupling of N_1 in early Universe: sterile neutrino DM is light
→ freezout while relativistic → calculation like for active neutrinos
+ suppression of annihilation x-section by M

Freeze-out temperature:

$$T_f \sim g_{*f}^{1/6} \left(\frac{M}{M_W} \right)^{4/3} (1 \div 2) \text{ MeV}$$

Abundance of N_1 today:

$$\frac{\Omega_N}{\Omega_{\text{DM}}} \simeq \frac{1}{S} \left(\frac{10.75}{g_{*f}} \right) \left(\frac{M_1}{1\text{keV}} \right) \times 100$$

Required entropy generation factor:

$$S \simeq 100 \left(\frac{10.75}{g_{*f}} \right) \left(\frac{M_1}{1\text{keV}} \right)$$

Entropy Generation by out-of Equilibrium Decay

Heavy particle (here: N_3) dropping out of thermal equilibrium while relativistic $T_f > M_2$: \rightarrow **bounds gauge scale from below**

$$M > \frac{1}{g_{*f}^{1/8}} \left(\frac{M_2}{\text{GeV}} \right)^{3/4} (10 \div 16) \text{ TeV}$$

- \rightarrow sufficiently long lived \rightarrow become non-relativistic
- \rightarrow dominates expansion of Universe during its decay
- \rightarrow entropy generation factor \rightarrow

$$S \simeq 0.76 \frac{\bar{g}_*^{-1/4} M_2}{g_* \sqrt{\Gamma_2} M_{\text{Pl}}}$$

$$\frac{S_{\text{after}}}{S_{\text{before}}} = S \frac{a_{\text{before}}^3}{a_{\text{after}}^3}$$

- \rightarrow fixes decay width Γ_2

Summary of Constraints

X/ γ -ray

$$\theta_1^2 \lesssim 1.8 \times 10^{-5} \left(\frac{1 \text{keV}}{M_1} \right)^5$$

$$\zeta^2 \lesssim 10^{-18} \dots (\text{keV}/M_1)^3$$

Ly- α bound

$$M_1 > 1.6 \text{keV}$$

BBN $\tau_2 > 0.1 \div 2 \text{sec}$

$$M_2 > \left(\frac{M_1}{1 \text{keV}} \right) (1.7 \div 10) \text{ GeV}$$

The right abundance of the sterile neutrino N_1 is achieved if

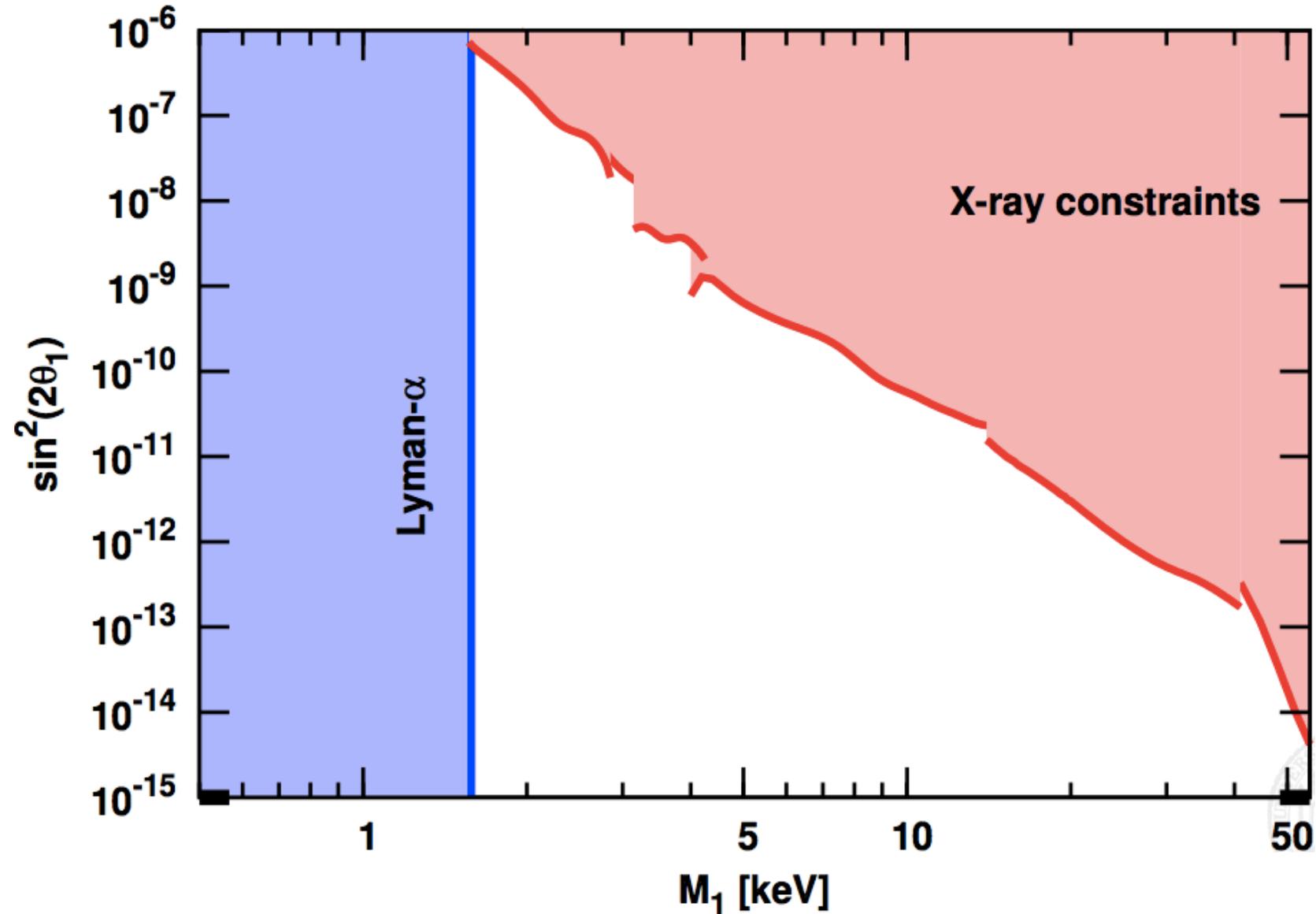
$$\Gamma_2 \simeq 0.50 \times 10^{-6}$$

$$\bar{g}_*^{1/2} \frac{M_2^2}{M_{\text{Pl}}} \left(\frac{1 \text{keV}}{M_1} \right)^2$$

The entropy is effectively generated if the right-handed gauge scale is

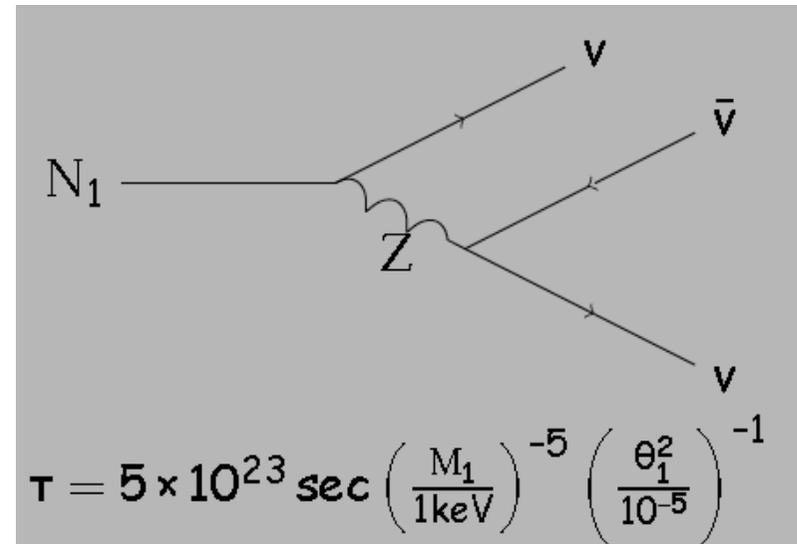
$$M > g_{*f}^{-1/8} \left(\frac{M_2}{1 \text{ GeV}} \right)^{3/4} (10 \div 16) \text{ TeV}$$

Allowed Parameter Range



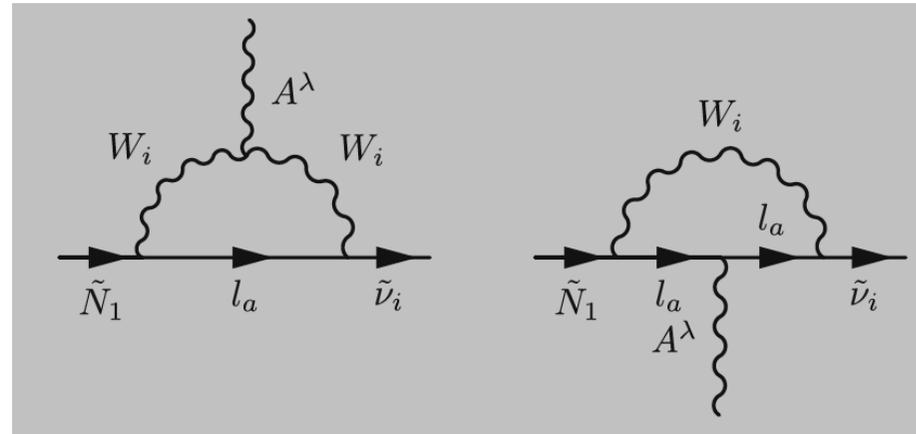
Observing keV-ish Neutrino DM

- **LHC**
 - sterile neutrino DM is not observable
 - WIMP-like particles still possible – but not DM
- **direct searches**
 - sterile ν DM extremely difficult; maybe in β -decay (MARE)
- **astrophysics/cosmology** \rightarrow at some level: keV X-rays
 - \rightarrow sterile neutrino DM is decaying into active neutrinos
 - decay $N_1 \rightarrow \nu\bar{\nu}$, $N_1 \rightarrow \nu\nu$
 - not very constraining since $\tau \gg \tau_{\text{Universe}}$



- radiative decays $N_1 \rightarrow \nu\gamma$

→ photon line $E_\gamma = m_s/2$



- so far: observational limit on active-sterile mixing angle

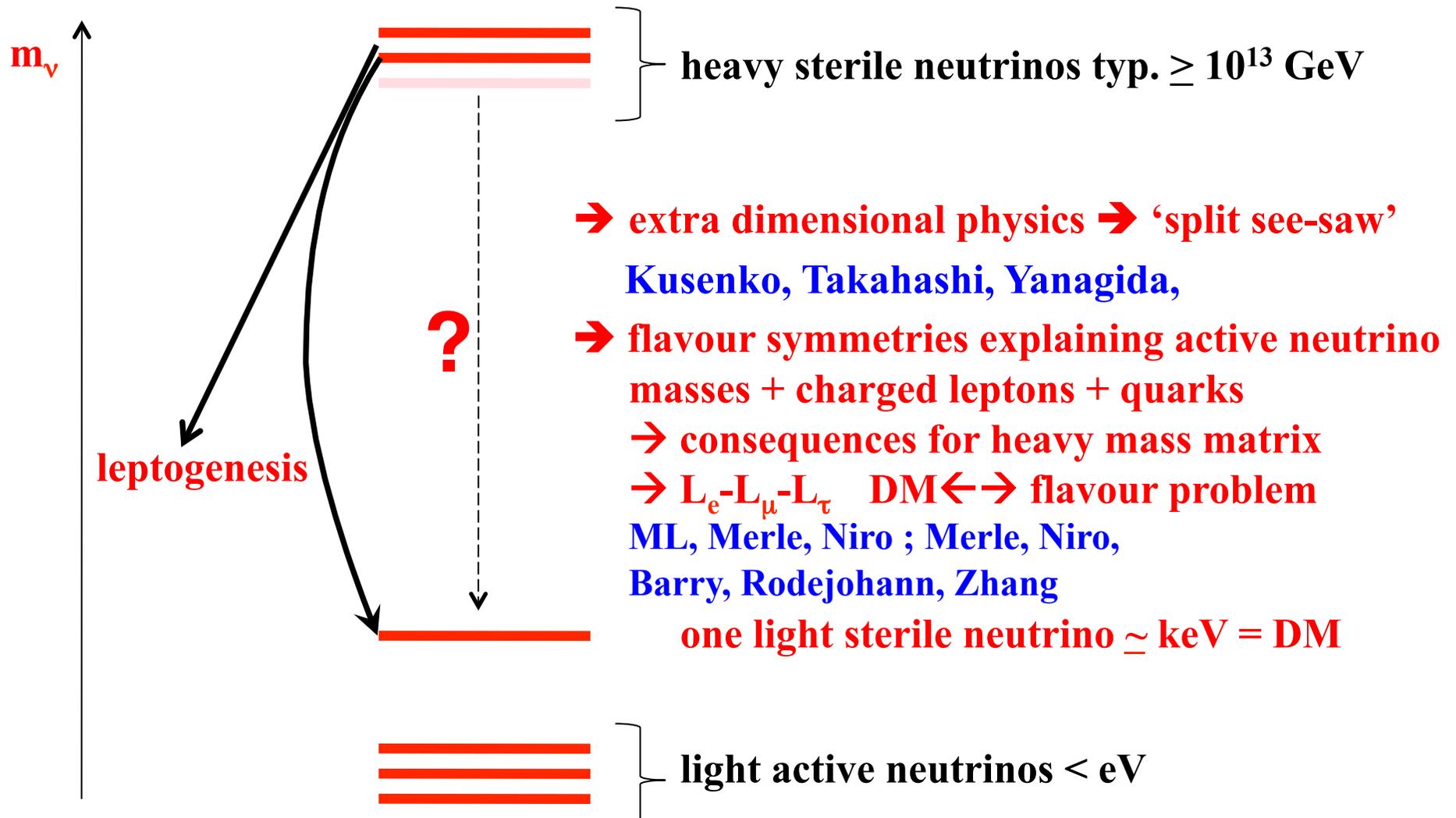
$$\Gamma_{N_1 \rightarrow \nu\gamma} \simeq 5.5 \times 10^{-22} \theta_1^2 \left(\frac{M_1}{1 \text{ keV}} \right)^5 \text{ s}^{-1}$$

$$\theta_1^2 \lesssim 1.8 \times 10^{-5} \left(\frac{1 \text{ keV}}{M_1} \right)^5$$

- mixing tiny, but naturally expected to be tiny: $O(\text{scale ratio})$

Explaining keV-ish Sterile Neutrinos

Possible scenario: See-saw + a reason why 1 sterile ν is light



Light Sterile Neutrinos from $L_e-L_\mu-L_\tau$

- **Flavour symmetries** have been studied to explain apparent regularities of masses and mixing: **A4, S3, D5, ...**
 - implications for sterile sector?
 - could the same symmetries **explain a keV-ish sterile ν ?**

Model by **Lavoura & Grimus** → extended: ML, Merle, Niro

SM + ν_{iR} + softly broken U(1) $\leftrightarrow \mathcal{F} \equiv L_e - L_\mu - L_\tau$

type II see-saw → **+Higgs triplet** $\Delta = \begin{pmatrix} \Delta^+/\sqrt{2} & \Delta^{++} \\ \Delta^0 & -\Delta^+/\sqrt{2} \end{pmatrix}$

	L_{eL}	$L_{\mu L}$	$L_{\tau L}$	e_R	μ_R	τ_R	N_{1R}	N_{2R}	N_{3R}	ϕ	Δ
\mathcal{F}	1	-1	-1	1	-1	-1	1	-1	-1	0	0

Neutrino Mass Terms

- **Mass matrix for right-handed neutrinos:**

$$\mathcal{L}_{\text{mass}} = -M_R^{12} \overline{(N_{1R})^C} N_{2R} - M_R^{13} \overline{(N_{1R})^C} N_{3R} + h.c.$$

- **Dirac masses**

$$\begin{aligned} \mathcal{L}_{\text{mass}} = & -Y_D^{e1} \overline{L_{eL}} \tilde{\phi} N_{1R} - Y_D^{\mu2} \overline{L_{\mu L}} \tilde{\phi} N_{2R} - Y_D^{\mu3} \overline{L_{\mu L}} \tilde{\phi} N_{3R} - \\ & -Y_D^{\tau2} \overline{L_{\tau L}} \tilde{\phi} N_{2R} - Y_D^{\tau3} \overline{L_{\tau L}} \tilde{\phi} N_{3R} + h.c., \end{aligned}$$

- **In addition: Triplet masses**

$$\mathcal{L}_{\text{mass}} = -Y_L^{e\mu} \overline{(L_{eL})^C} (i\sigma_2 \Delta) L_{\mu L} - Y_L^{e\tau} \overline{(L_{eL})^C} (i\sigma_2 \Delta) L_{\tau L} + h.c.$$

- **Mass matrix in the basis**

$$\Psi \equiv ((\nu_{eL})^C, (\nu_{\mu L})^C, (\nu_{\tau L})^C, N_{1R}, N_{2R}, N_{3R})^T$$

$$\rightarrow \mathcal{M}_\nu = \left(\begin{array}{ccc|ccc} 0 & m_L^{e\mu} & m_L^{e\tau} & m_D^{e1} & 0 & 0 \\ m_L^{e\mu} & 0 & 0 & 0 & m_D^{\mu2} & m_D^{\mu3} \\ m_L^{e\tau} & 0 & 0 & 0 & m_D^{\tau2} & m_D^{\tau3} \\ \hline m_D^{e1} & 0 & 0 & 0 & M_R^{12} & M_R^{13} \\ 0 & m_D^{\mu2} & m_D^{\tau2} & M_R^{12} & 0 & 0 \\ 0 & m_D^{\mu3} & m_D^{\tau3} & M_R^{13} & 0 & 0 \end{array} \right)$$

→ three scenarios

- $m_D^{\alpha i} \ll m_L^{\alpha\beta} \ll M_R^{ij}$ (separation scenario),
- $m_L^{\alpha\beta} \ll m_D^{\alpha i} \ll M_R^{ij}$ (type II see-saw scenario),
- $m_L^{\alpha\beta} \sim m_D^{\alpha i} \ll M_R^{ij}$ (hybrid scenario).

det(M_{ij}) = 0
→ M₁ = 0
→ massless sterile state + soft breaking
→ light sterile ν

Implications for See-Saw

$$\mathcal{L}_{\text{mass}} = -\frac{1}{2} (\overline{\tilde{\nu}}_{aL}^c, \overline{\tilde{N}}_{aR}) \begin{pmatrix} M_L & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \tilde{\nu}_{aL} \\ \tilde{N}_{aR}^c \end{pmatrix} + \text{H.c.}$$

- **Usual flavour (=tilde) to mass basis rotation**

$$\begin{pmatrix} \tilde{\nu}_{aL} \\ \tilde{N}_{aR}^c \end{pmatrix} \simeq \begin{pmatrix} 1 & (M_R^{-1} m_D^T)^\dagger \\ -M_R^{-1} m_D^T & 1 \end{pmatrix} \begin{pmatrix} U & 0 \\ 0 & V_R \end{pmatrix} \begin{pmatrix} \nu_{iL} \\ N_{iR}^c \end{pmatrix}$$

- **U = PMNS matrix, V_R = mixing in right-handed sector**

$$M_L - m_D M_R^{-1} m_D^T = U^* \cdot \text{diag}(m_1, m_2, m_3) \cdot U^\dagger \quad \rightarrow \mathbf{M}_L = \mathbf{0}: \text{Type-I}$$

$$M_R = V_R^* \cdot \text{diag}(M_1, M_2, M_3) \cdot V_R^\dagger$$

- **Mixing angles between mass states, sterile neutrinos and flavour states:**

$$\theta_{aI} \equiv \frac{(m_D V_R)_{aI}}{M_I} \quad \text{and} \quad \theta_I^2 \equiv \sum_{a=e,\mu,\tau} |\theta_{aI}|^2$$

↔ strength of interaction (decay) of sterile neutrinos

- **Current best fit values:**

$$\Delta m_{\text{sol}}^2 = (7.65_{-0.6}^{+0.69}) \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{\text{atm}}^2 = (2.4_{-0.33}^{+0.35}) \times 10^{-3} \text{ eV}^2.$$

- **Casas-Ibarra parametrization for type-I and II (Akhmedov, Rodejohann)**

$$\theta_I^2 = \frac{[\sqrt{M_R} R^T m_\nu^{\text{diag}} R^* \sqrt{M_R}]_{II}}{M_I^2}, \quad m_\nu^{\text{diag}} = \text{diag}(m_1, m_2, m_3)$$

- **assume (convention) $m_1 < m_2 < m_3$ → we get for the first two sterile ν 's**

$$M_1 \theta_1^2 = m_3 |\sin \omega_{13}|^2 + m_2 |\cos \omega_{13}|^2 |\sin \omega_{12}|^2 \\ + m_1 |\cos \omega_{13}|^2 |\cos \omega_{12}|^2,$$

$$M_2 \theta_2^2 = m_3 |\cos \omega_{13}|^2 |\sin \omega_{23}|^2 + m_2 |\cos \omega_{23} \cos \omega_{12} \\ - \sin \omega_{23} \sin \omega_{13} \sin \omega_{12}|^2 + m_1 |\cos \omega_{23} \sin \omega_{12} \\ + \sin \omega_{23} \sin \omega_{13} \cos \omega_{12}|^2.$$

- **The relation $|z-w| \geq ||z| - |w||$ leads then to the following inequalities:**

$$M_1 \theta_1^2 \geq m_2 \{ \sin^2 \omega_{13} + \cos^2 \omega_{13} \sin^2 \omega_{12} \},$$

$$M_2 \theta_2^2 \geq m_2 \{ \cos^2 \omega_{13} \sin^2 \omega_{23} + (|\cos \omega_{23}| |\cos \omega_{12}| - |\sin \omega_{23}| |\sin \omega_{13}| |\sin \omega_{12}|)^2 \}.$$

- **The minimum of the sum on the *rhs* is $m_2 \rightarrow$**

$$M_1 \theta_1^2 + M_2 \theta_2^2 \geq m_2 \geq \Delta m_{\text{sol}} \quad (*)$$

In words: One cannot generate active ν masses with type-I see-saw without sufficient mixings between active and sterile neutrinos

\rightarrow conflict with bounds:

Entropy generation:	$M_2 \theta_2^2$	$\lesssim 1.8 \times 10^{-3} \bar{g}_*^{1/2} \left(\frac{\text{GeV}}{M_2} \right)^2 \left(\frac{\text{keV}}{M_1} \right)^2$
X-ray bound:	$M_1 \theta_1^2$	$\lesssim 2.7 \times 10^{-3} \left(\frac{1.6 \text{ keV}}{M_1} \right)^4$

\rightarrow violates bound (*)

\rightarrow type-I see-saw impossible \rightarrow type II

Working Example with Type II See-Saw

Exactly LR-symmetric model:

$$\mathcal{L}_{\text{mass}} = -\frac{1}{2} \left(\overline{\nu_{aL}^c}, \overline{N_{aR}} \right) \begin{pmatrix} f \nu_L & y \nu \\ y \nu & f \nu_R \end{pmatrix} \begin{pmatrix} \nu_{aL} \\ N_{aR}^c \end{pmatrix}$$

$$m_\nu = \nu_L f - \frac{\nu^2}{\nu_R} y f^{-1} y, \quad M_I = f_I \nu_R$$

$$m_1 = 5.2 \times 10^{-9} \text{ eV}$$

$$m_2 = 8.7 \times 10^{-3} \text{ eV} \quad m_3 = 4.9 \times 10^{-2} \text{ eV}$$

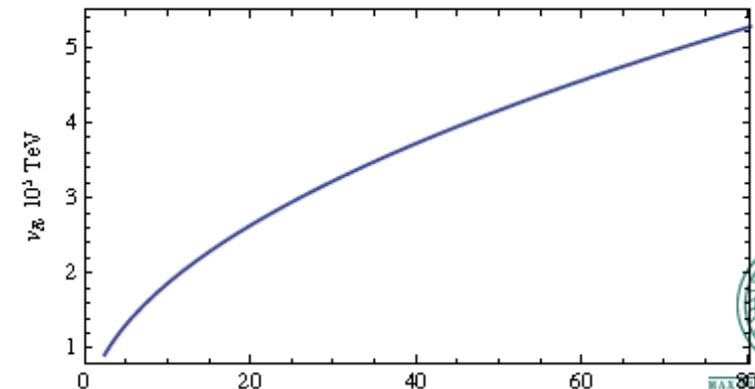
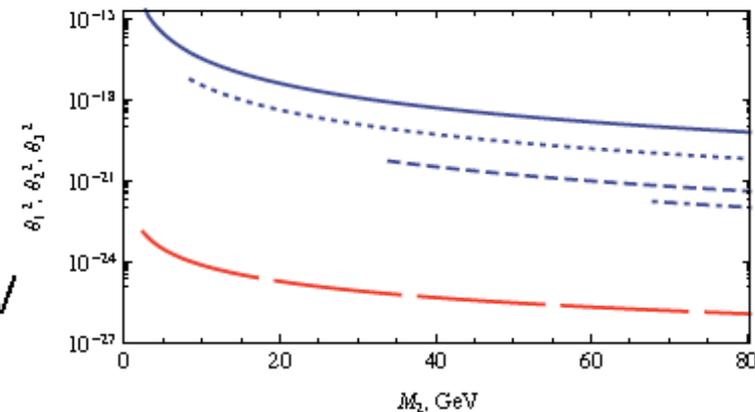
$$M_1 = 1.6 \text{ keV}$$

$$M_2 = 2.7 \text{ GeV} \quad M_3 = 15.1 \text{ GeV}$$

$$\theta_1^2 = \theta_2^2 = \theta_3^2 = 2.3 \times 10^{-15}$$

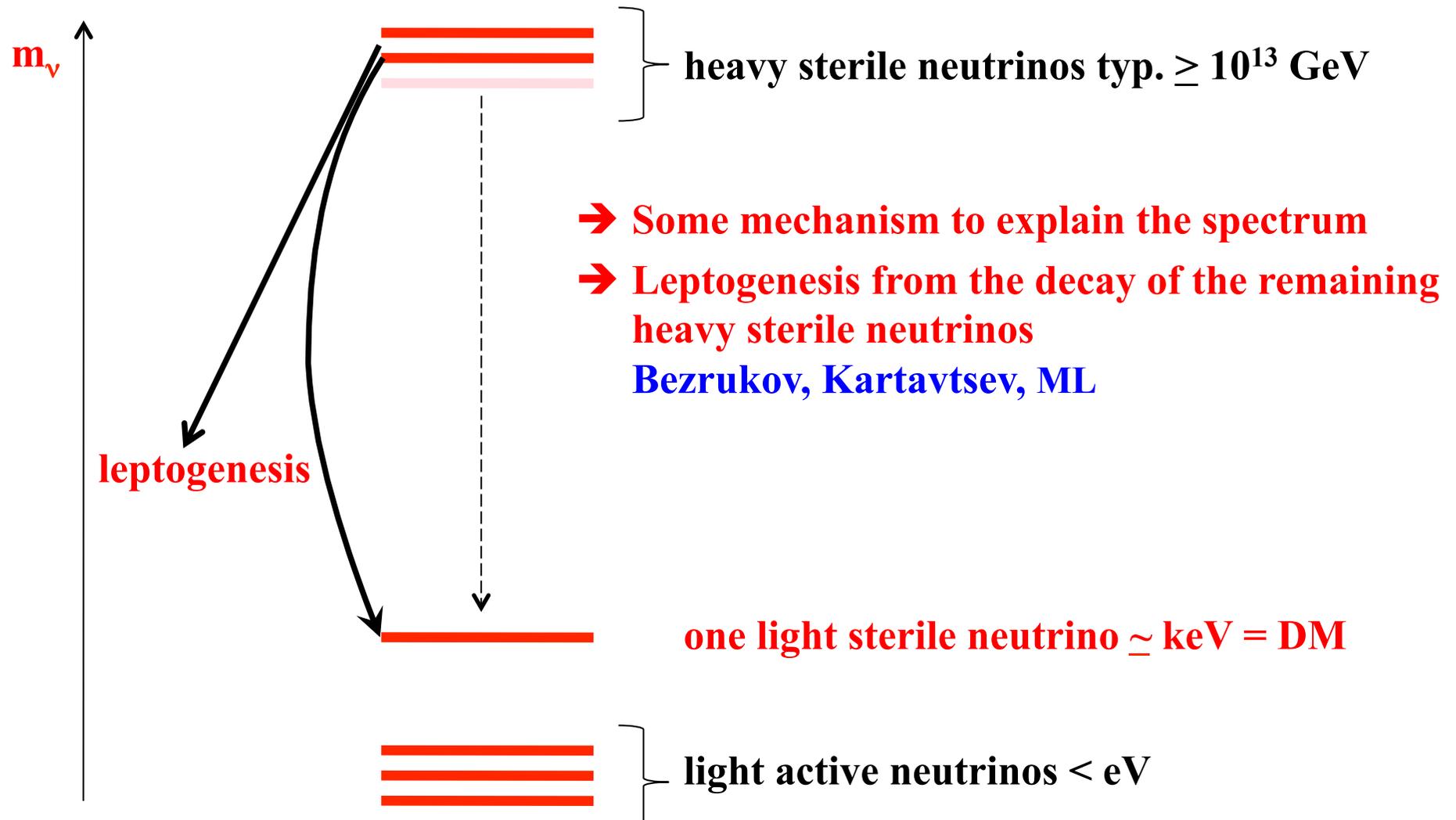
$$\nu_R = 9.67 \times 10^4 \text{ TeV} \quad \nu_L = 313 \text{ keV}$$

$$y = 0.027 f$$



Leptogenesis

Possible scenario: See-saw + a reason why 1 sterile ν is light



Conclusions

- A **keV-ish sterile neutrino** is a very well motivated and good working **Warm Dark Matter candidate** \leftrightarrow finite ν -masses
 - Simplest realization: ν MSM \rightarrow requires non-thermal production
 - Alternative: **Sterile ν 's which are charged under some extended gauge group** \rightarrow abundance from thermal production
 - \rightarrow interesting constrains
 - small mixings from X-ray constraints and entropy generation (DM abundance)
 - masses bound by BBN
- \rightarrow Implications for neutrino mass generation:
- type-I see-saw not possible
 - type-II works \leftrightarrow very natural in gauge extensions
 - requires one sterile neutrino to be light
- \rightarrow Combination with Leptogenesis \rightarrow BAU
- \rightarrow More general scenarios require just some mechanism which 'naturally' explains light sterile neutrinos