

ICARUS at the Short-Baseline Neutrino program: First Results

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Center for Neutrino Physics, Virginia Tech
On behalf of the ICARUS collaboration

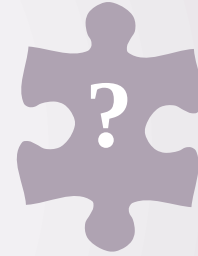
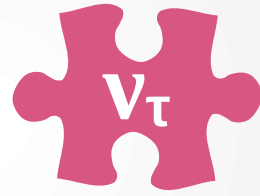
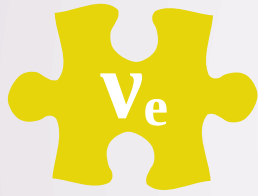
XV Latin American Symposium on High Energy Physics (SILAFEA)
November 8th, 2024



Outline

- **The Sterile Neutrino Puzzle**
- **The SBN Program**
- **The ICARUS Detector**
- **ICARUS Physics Program**
- **Summary and Future**





THE STERILE ν PUZZLE

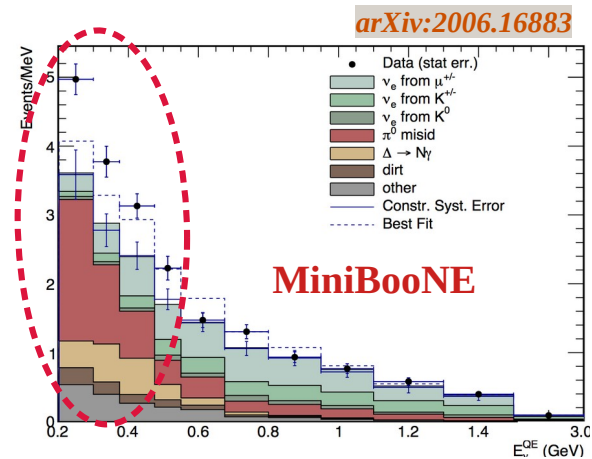
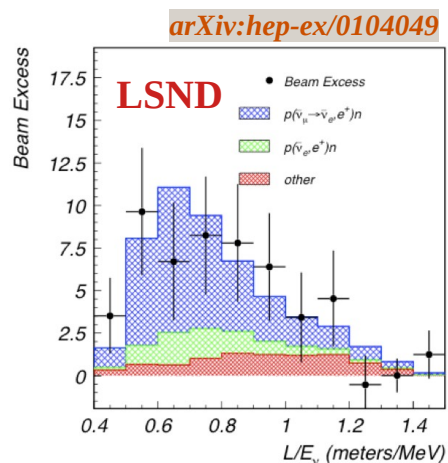
See Pedro Ochoa's talk!

The Sterile Neutrino Puzzle

Though the 3ν SM model matches well many experiments, some anomalies have been observed in neutrino experiments at short baseline hinting to a new sterile neutrino flavor at $\Delta m^2_{\text{new}} \sim 1 \text{ eV}^2$:

- **Accelerator Experiments**

- LSND: Observed excess of $\bar{\nu}_e$ events
- MiniBooNE: Electron-like excess observed in both ν and $\bar{\nu}$ modes.



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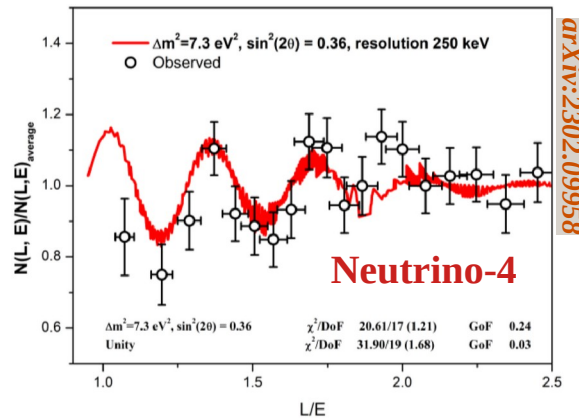
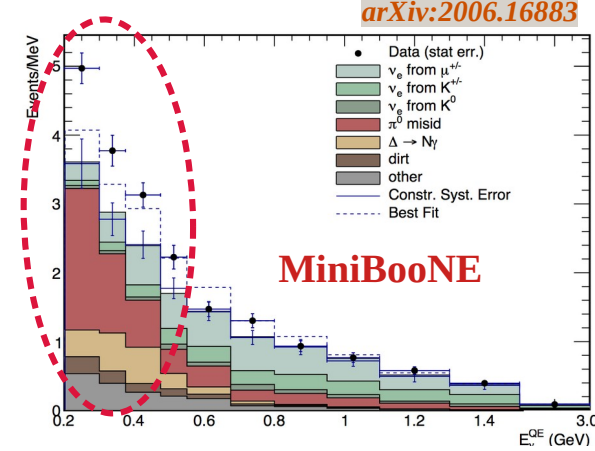
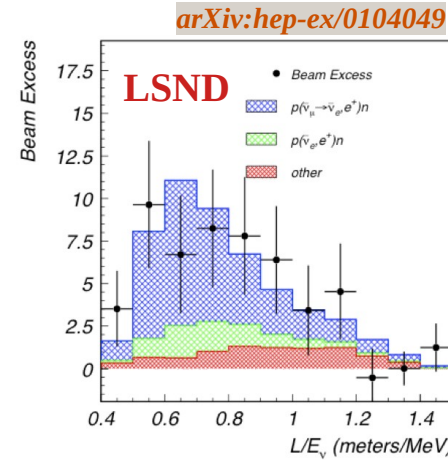
- LSND: Observed excess of $\bar{\nu}_e$ events
- MiniBooNE: Electron-like excess observed in both ν and $\bar{\nu}$ modes.

- **Radiochemical Experiments**

- SAGE, GALLEX, and BEST.

- **Reactor Experiments**

- Neutrino-4



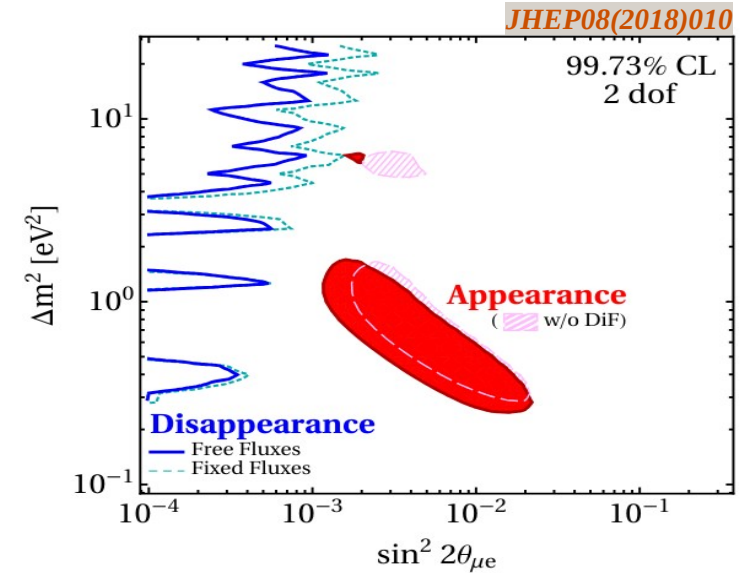
Combined analysis of Neutrino-4 with other experiments results in a best fit of $\Delta m^2_{14} = 7.3 \text{ eV}^2$ and $\sin^2(2\theta_{14}) = 0.36$ at 5.8σ



The Sterile Neutrino Puzzle

Several experiments at reactors and accelerators, including the recent MicroBooNE result (arXiv:2210.10216), have been studied the 'neutrino anomalies.'

However, there remains a *clear tension between appearance and disappearance* experiments, *which differ in both the neutrino energy ranges they explore and the detection techniques they use.*



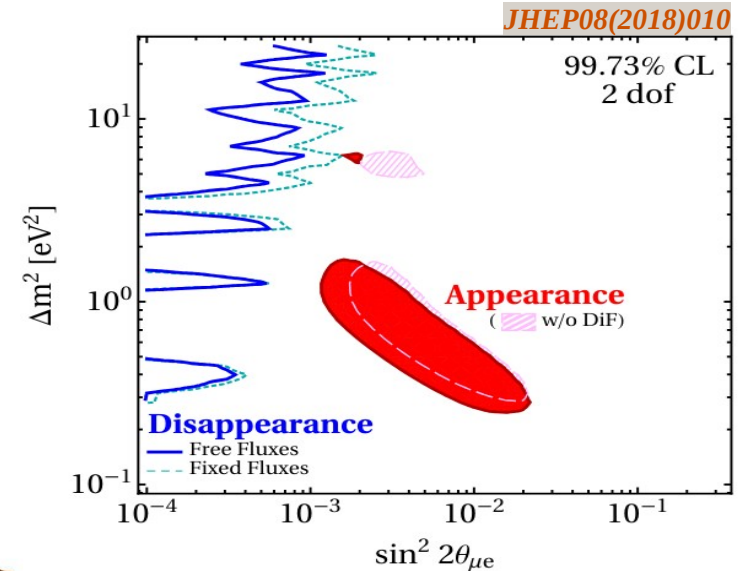
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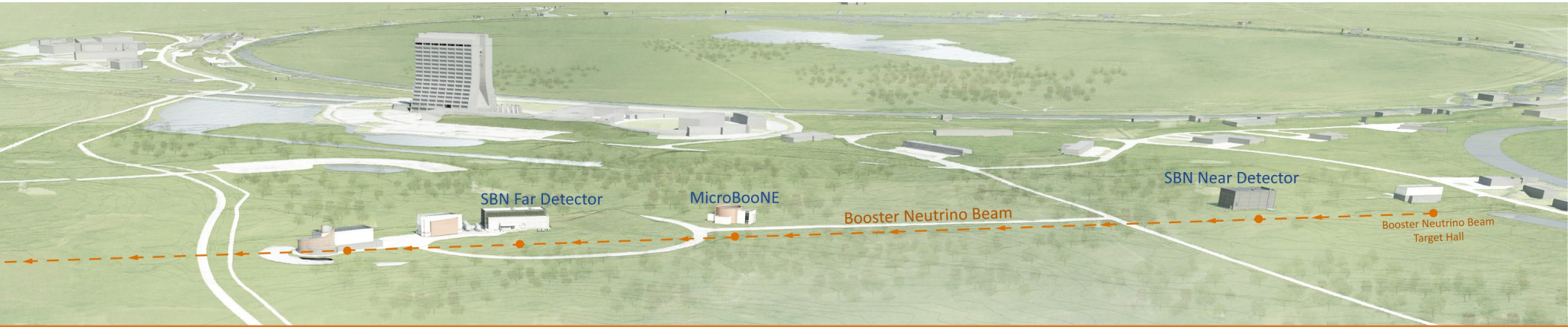
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However, there remains a *clear tension between appearance and disappearance* experiments, which differ in both the neutrino energy ranges they explore and the detection techniques they use.

Untangling the current experimental scenario requires:

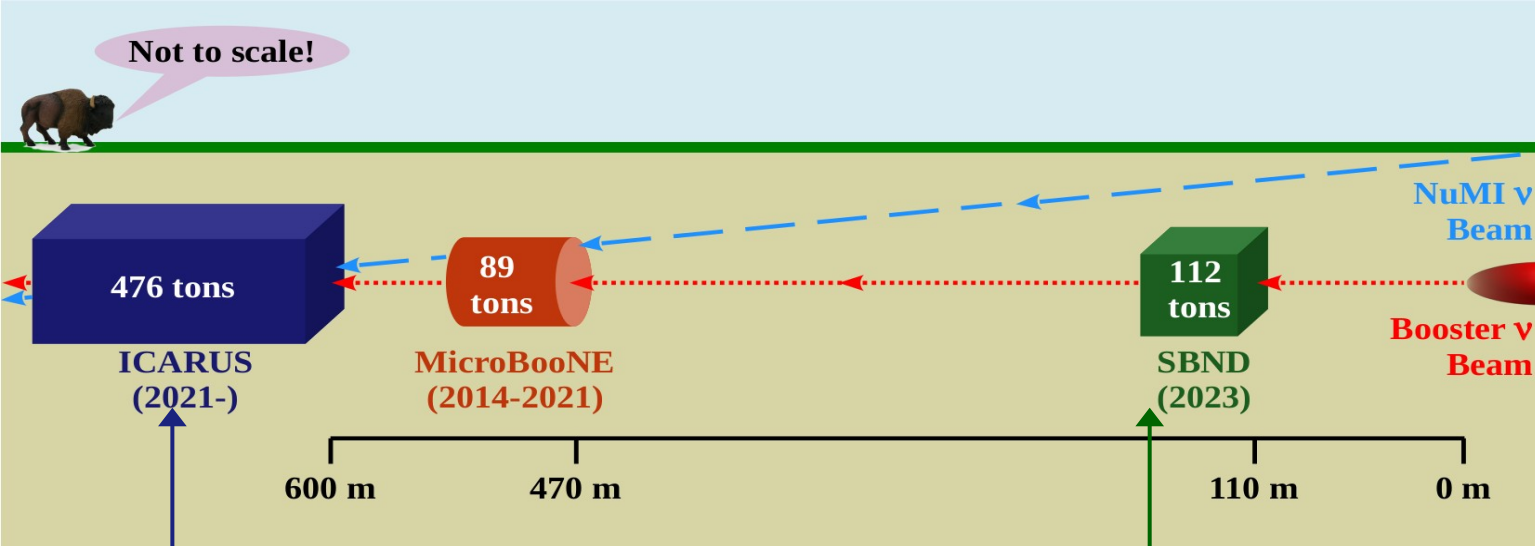
- **Measure both appearance and disappearance channels in the same experiment**, using a detector that can precisely identify neutrinos and reject background.
- **Compare Far and Near detector neutrino spectra** to control systematic uncertainties.






THE SBN PROGRAM

The Short-Baseline Neutrino Program

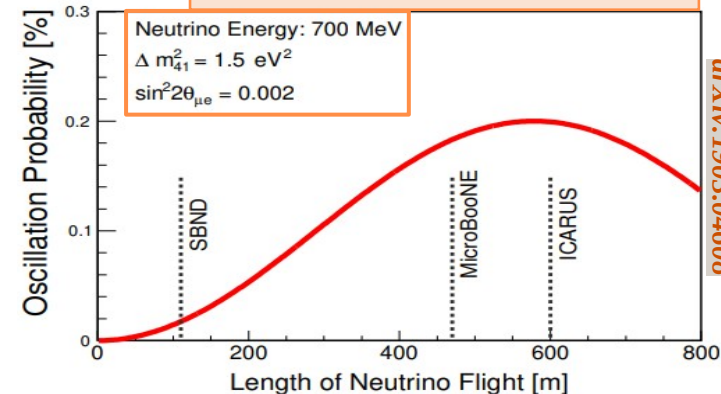


Near and Far **Liquid Argon Time Projection Chamber (LArTPC)** detectors at different baselines from the **Booster Neutrino Beam (BNB)** at Fermilab.

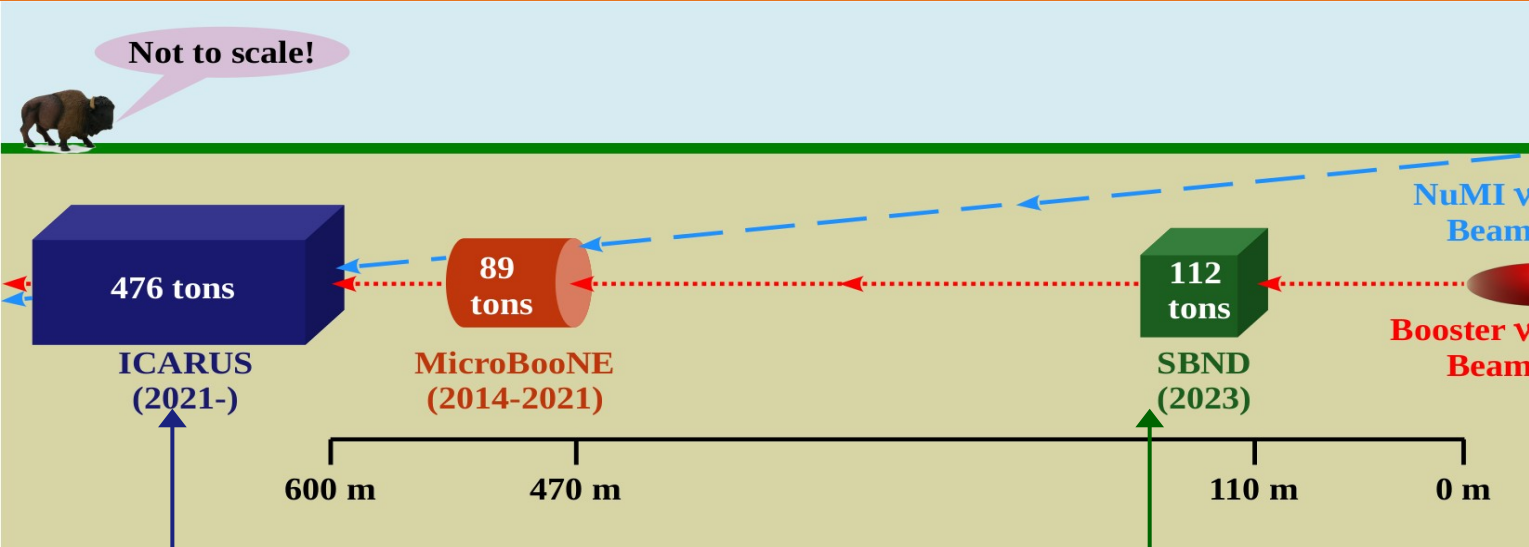
 The **Far Detector** measures the oscillated neutrino spectrum.
It's taking ν data

 The **Near Detector** provides constraints on flux and ν -Ar cross sections.
It's taking ν data

Possible oscillation signature under a set of parameters.



The Short-Baseline Neutrino Program



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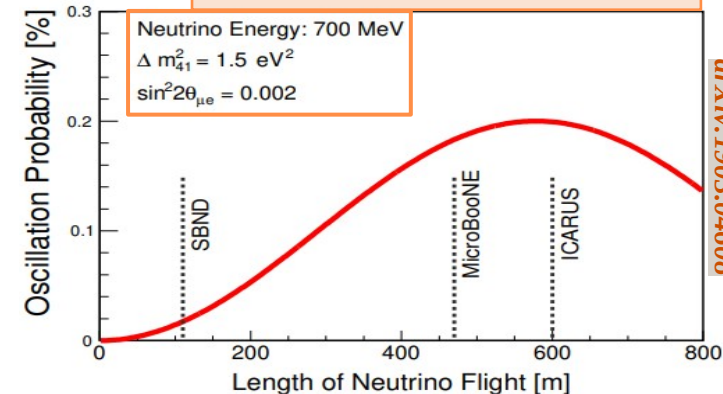


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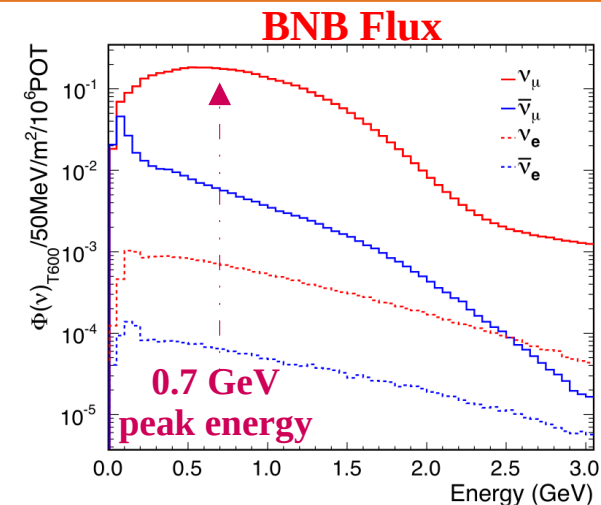
Possible oscillation signature under a set of parameters.



- ✓ SBN has been designed to *address the sterile neutrino interpretation* of the experimental at short-baseline anomalies.
- ✓ The SBN physics program includes *the study of ν -Ar cross sections with unprecedented precision*.
- ✓ The high sensitivity leads to *invaluable opportunities for New Physics searches*.

The Short-Baseline Neutrino Program

The **BNB** is a well-characterized ν_μ ($\bar{\nu}_\mu$) - **beam**, with minimal ν_e contamination.

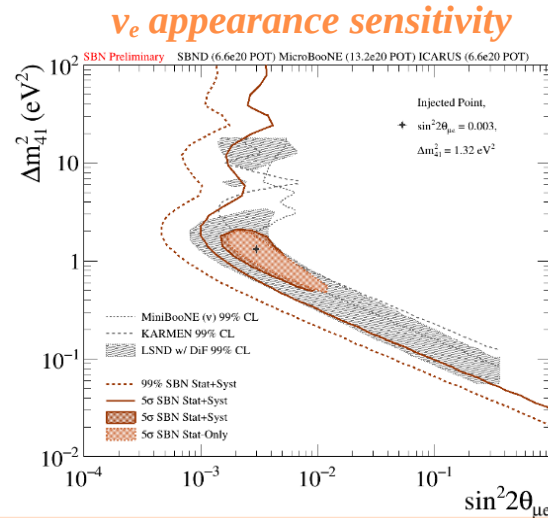
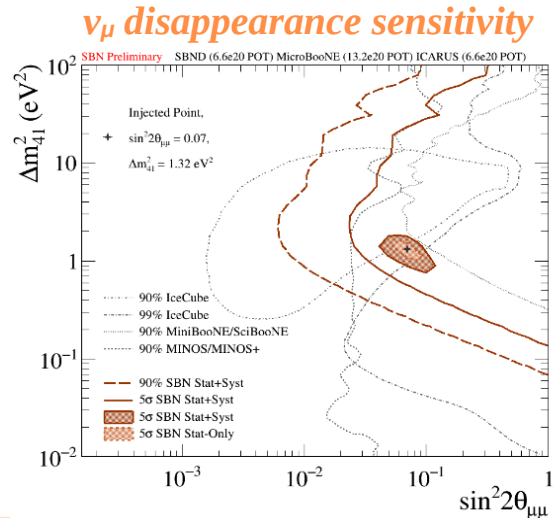
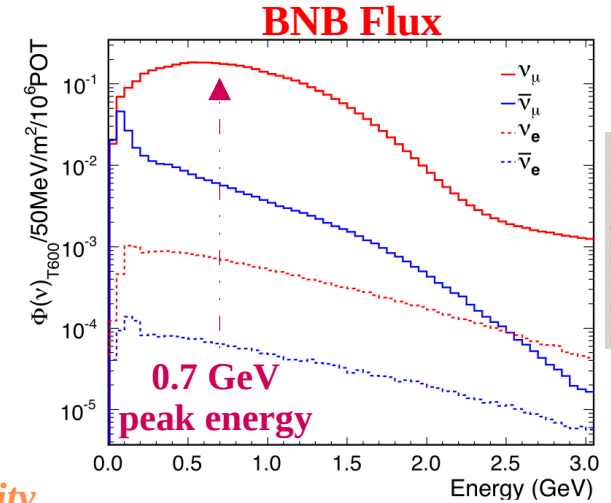


The Short-Baseline Neutrino Program

The **BNB** is a well-characterized ν_μ ($\bar{\nu}_\mu$) - **beam**, with minimal ν_e contamination.

A **combined analysis** of events collected by **Far Detector** and **Near Detector** over 3 years (6.6×10^{20} POT) will allow:

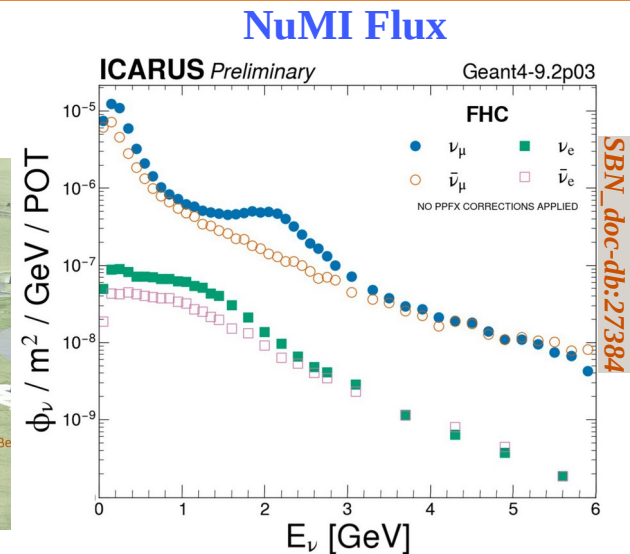
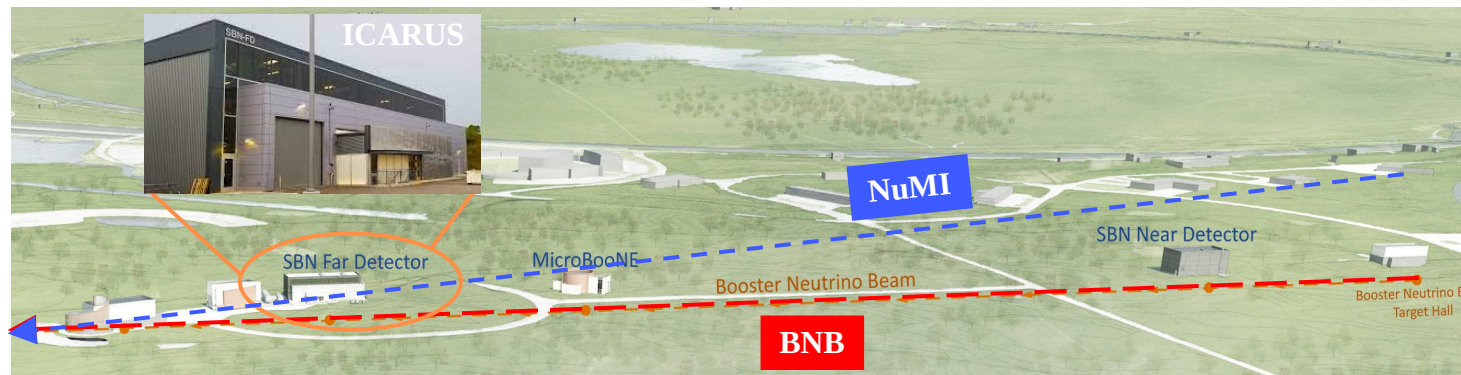
- 5σ coverage of the parameter space *relevant to the accelerator anomaly*
- Prove of the parameter space associated with reactor and radiochemical anomalies



A unique capability to simultaneously study both ν appearance and disappearance sensitivities.

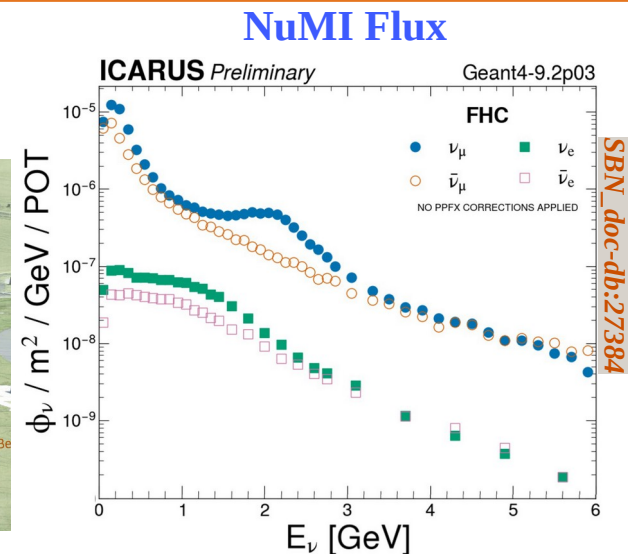
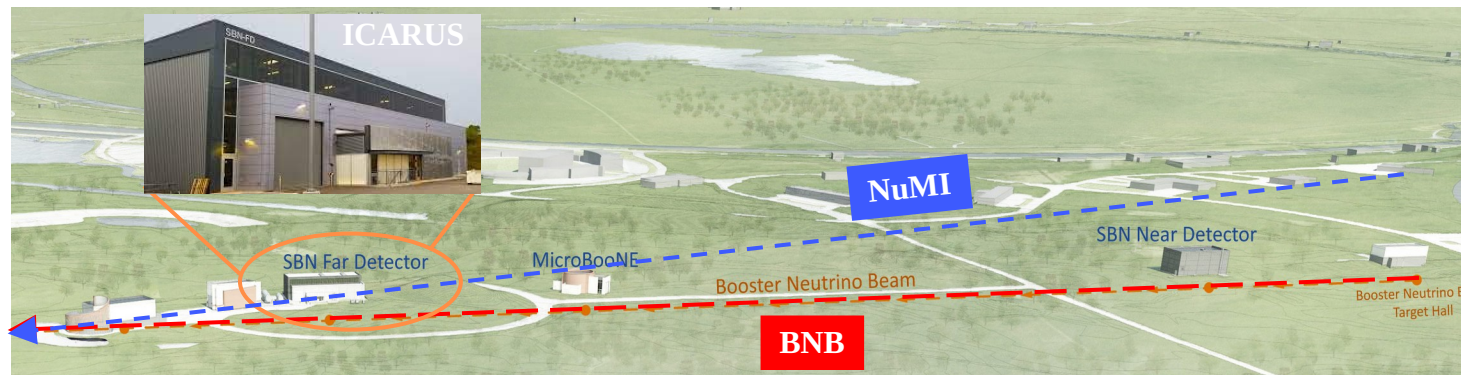
Exclusive ICARUS Physics Program

The Far Detector, *ICARUS*, is also located $\sim 6^\circ$ off-axis to the *NuMI* beam, allowing it to access the ν_e -rich portion of the spectrum.



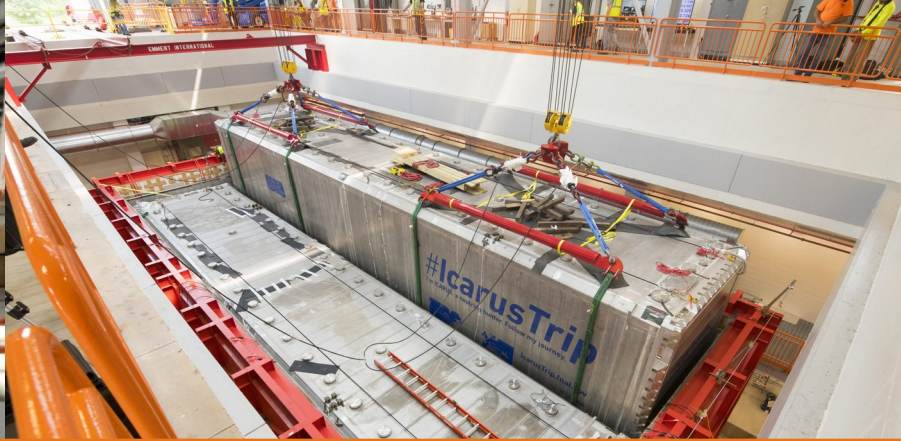
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Before starting joint analysis with the Near Detector, *ICARUS* is pursuing its own physics program, which includes:

- Searching for ν_μ **disappearance using the BNB beam**, followed by searches for ν_e disappearance with the off-axis NuMI beam.
- Measuring ν -Ar cross sections with high statistics (**332k ν_μ CC and 17k ν_e CC interactions** in 6×10^{20} POT) and improving reconstruction and identification techniques **with the NuMI beam**, focusing on the energy **range relevant to DUNE**.
- Searching for sub-GeV Beyond the Standard Model (**BSM**) physics using the NuMI beam.



THE ICARUS DETECTOR

The ICARUS Detector

The first *LAr TPC* was proposed by C. Rubbia in 1977.

These detectors *are high-granularity, uniform, and self-triggering, with 3D imaging and calorimetric* capabilities, making them ideal for neutrino physics.

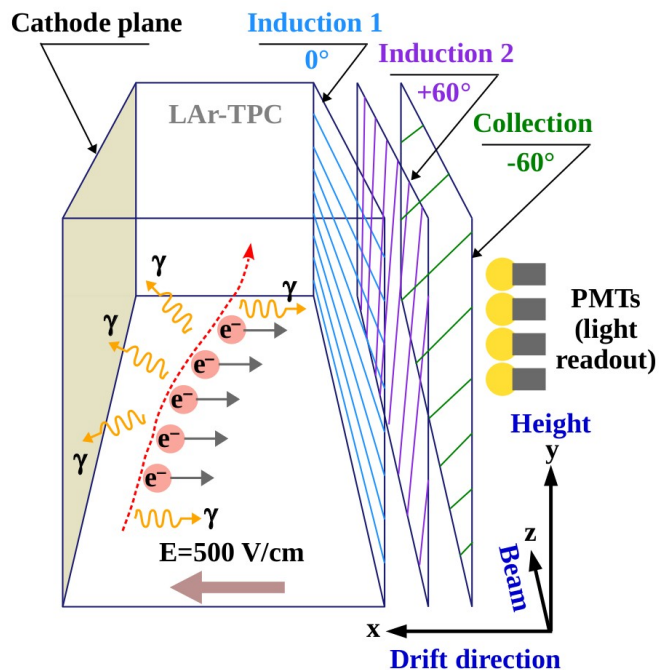
ICARUS operated at LNGS and refurbished at CERN



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How LArTPCs work

- The ν -Ar interactions produce tracks, with ions and photons along those.
- **Photons propagate inside the detector** [the scintillation light is collected by the photomultiplier tubes (PMTs) for precise event timing and event calorimetry].
- **The ionized electrons will slowly drift towards the anode** by an applied electric field.
- **The ionized electrons produce induction signals** as they pass the first two wire planes and are collected on the last wire plane.

The ICARUS Detector

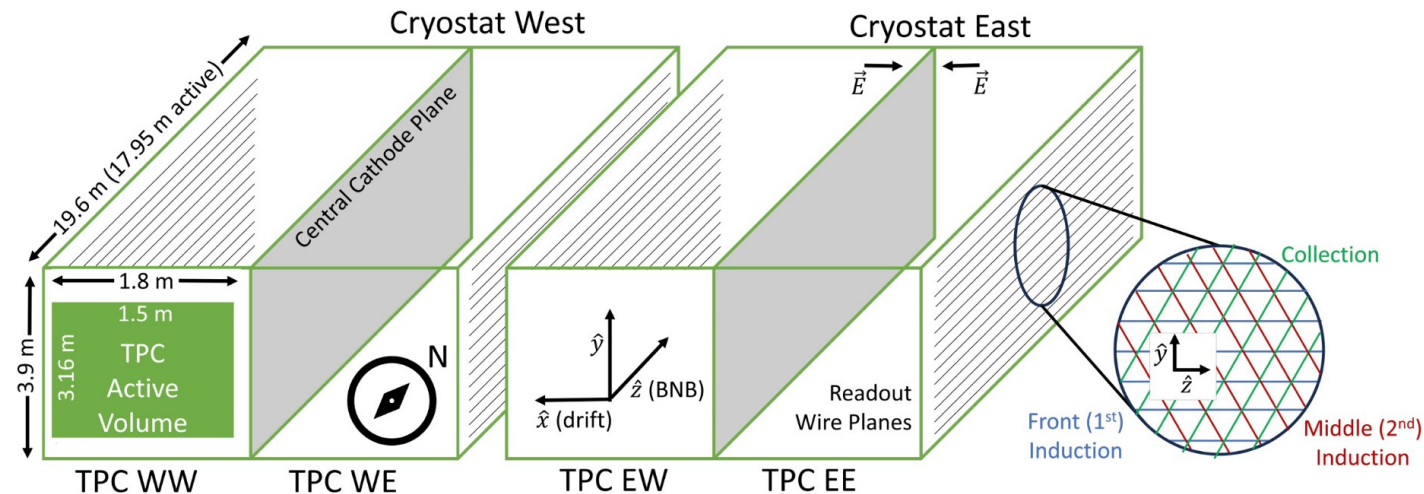
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The ICARUS Detector

- **2 identical cryostats** with 2 TPCs per cryo with central cathode.
- **500 V/cm \vec{E} field** in 1.5 m drift lengths.
- **3 readout wire planes per anode**, oriented at 0° and $\pm 60^\circ$ w.r.t. horizontal.

ICARUS operated at LNGS and refurbished at CERN



The ICARUS Detector Subsystems

Eur. Phys. J. C 83, 467 (2023)

Time Projection Chambers (TPC)

- ~54k channels at different orientations and 3 mm pitch.

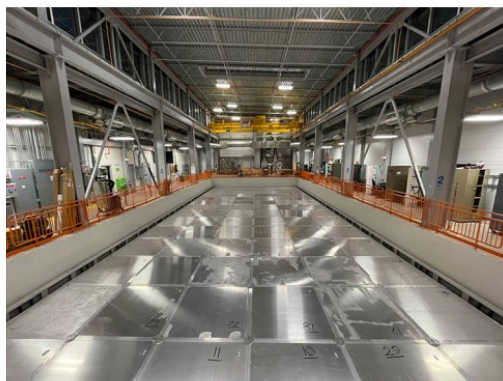
Photon Detection System (PDS)

- 360 TPB-coated PMTs to detect scintillation light.
- Used for event timing and triggering.

Cosmic Ray Tagger (CRT)

- Nearly 4π coverage with scintillator panels and SiPM readout for cosmic tagging.
- Shielded by ~2.85 m thick concrete layer for external γ/n suppression.

Top CRT

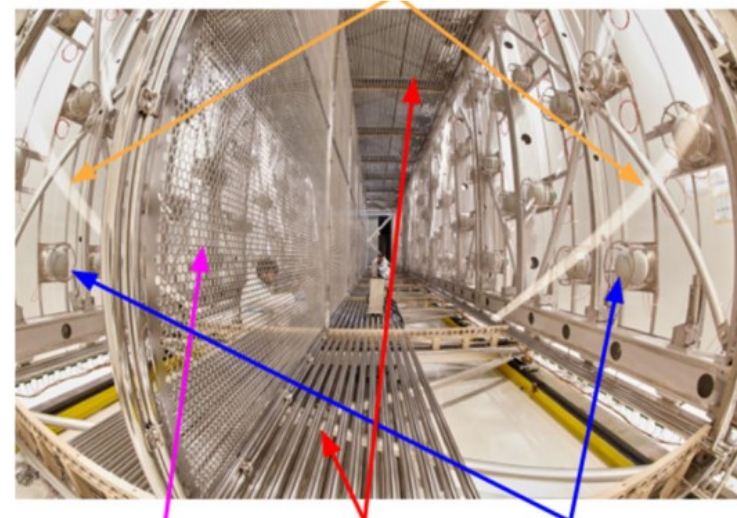


Side CRT



TPC

Anode Wire planes



Cathode

Field cage

PMTs

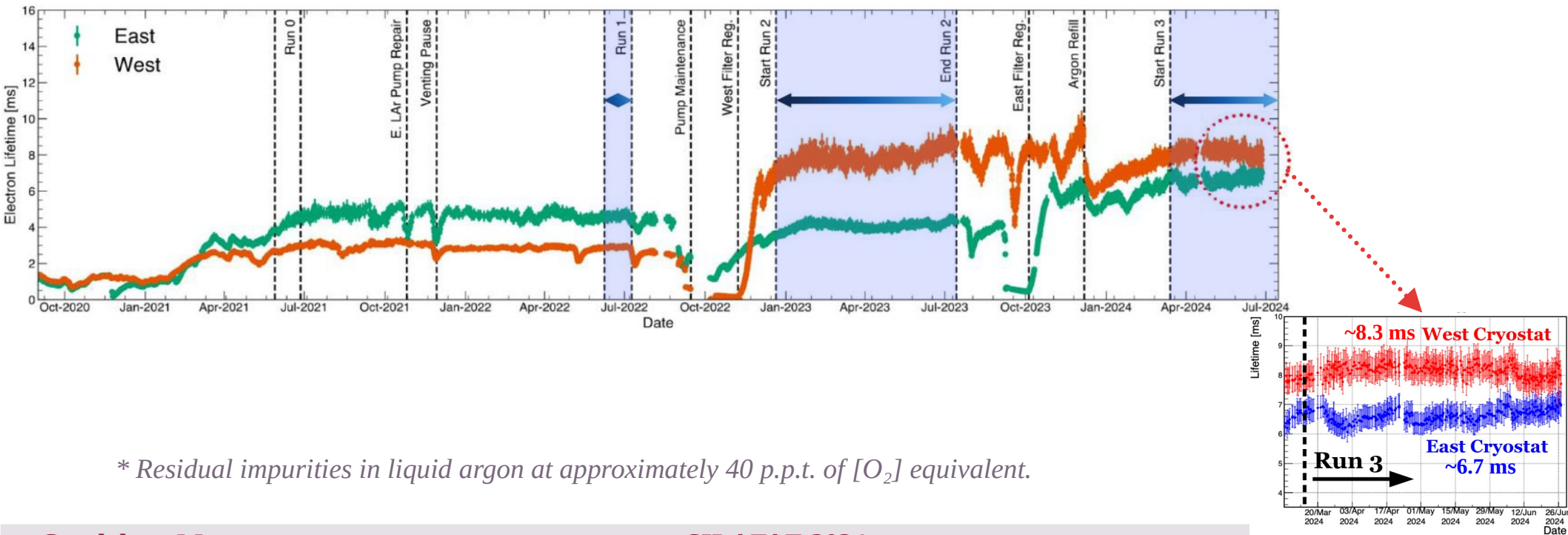
Overburden



ICARUS Data Collection

- ICARUS *began collecting data for physics on June 9th, 2022*, with *the TPC, PMT, and CRT systems fully operational*.
- The cryogenic and purification systems performed smoothly*, *maintaining a stable free electron lifetime of 7-8 ms*, enabling nearly full track detection efficiency over the 1.5 m drift distance (~ 1 ms).

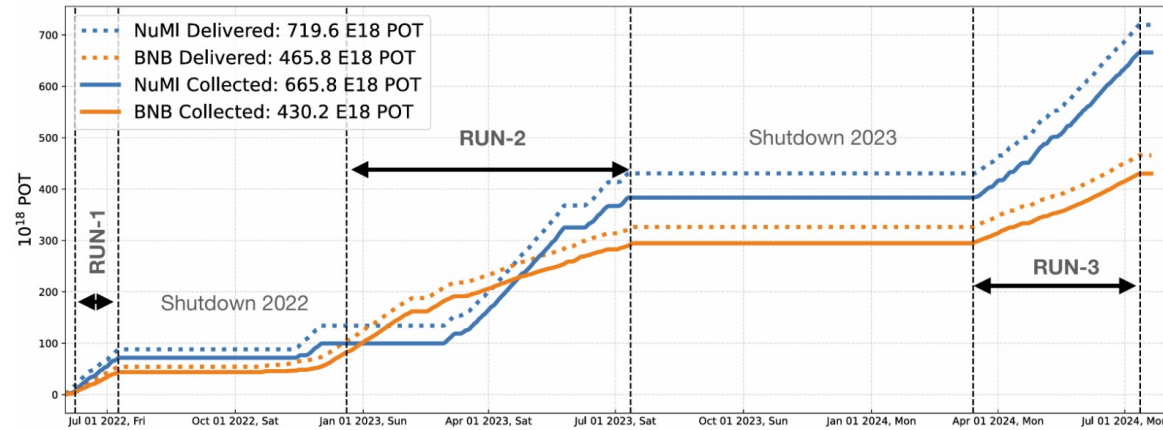
ICARUS Electron Lifetime



* Residual impurities in liquid argon at approximately 40 p.p.t. of $[O_2]$ equivalent.

ICARUS Data Collection

- Light signal registered simultaneously by **4 PMT pairs inside a 6 m** longitudinal slice in coincidence with BNB (1.6 μ s), NuMI (9.5 μ s) beam spills.
- **> 90% efficiency** for $E_{\text{dep}} > 200$ MeV



Collected Protons on Target (POT)	BNB (FHC*) positive focusing	NuMI (FHC*) positive focusing	NuMI (RHC*) negative focusing
RUN-1 (Jun 9 th – Jul 10 th , 2022)	0.41×10^{20}	0.68×10^{20}	–
RUN-2 (Dec 20 th , 2022 – Jul 14 th , 2023)	2.05×10^{20}	2.74×10^{20}	–
RUN-3** (Mar 15 th – Jul 12 th , 2024)	1.36×10^{20}	–	2.82×10^{20}
TOTAL	3.82×10^{20}	3.42×10^{20}	2.82×10^{20}

* FHC: Forward Horn Current (neutrino) and RHC: Reverse Horn Current (antineutrino).

** Reduced exposure for RUN-3 due to the prolonged accelerator shutdown.

ICARUS Detector Calibration

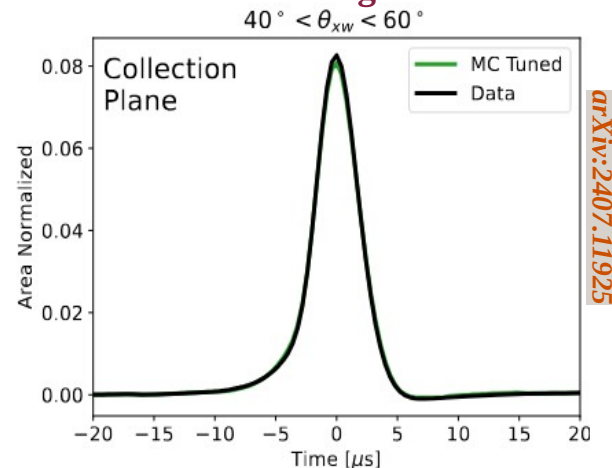
- **TPC wire signals** are accurately **characterized and modeled in MC** simulations.
- **Detector response is calibrated using cosmic muons and protons from ν interactions**, with a new angular-dependent ellipsoidal recombination model (**EMB**).

Observed ionization charge per length: $\frac{dQ}{dx} = \frac{\log\left(\alpha + \mathcal{B}(\phi) \frac{dE}{dx}\right)}{\mathcal{B}(\phi) W_{\text{ion}}}$

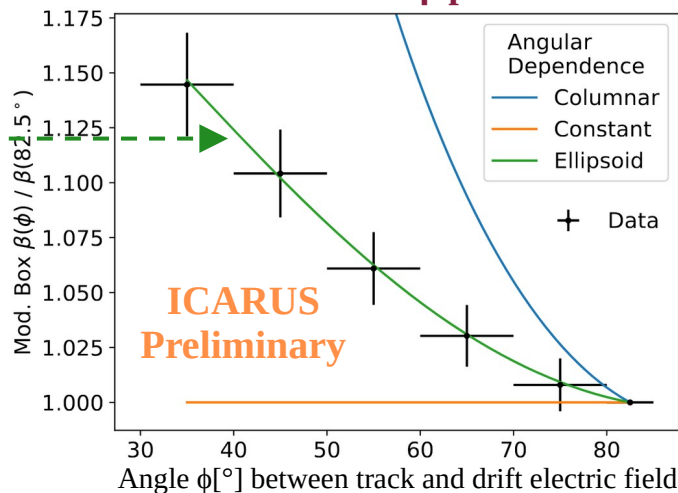
$$\mathcal{B}(\phi) = \frac{\beta_{90}}{\epsilon \rho \sqrt{\sin^2 \phi + \cos^2 \phi / R^2}}$$

- **Reconstruction has improved with new processing** that accounts for shared charge between multiple wires.

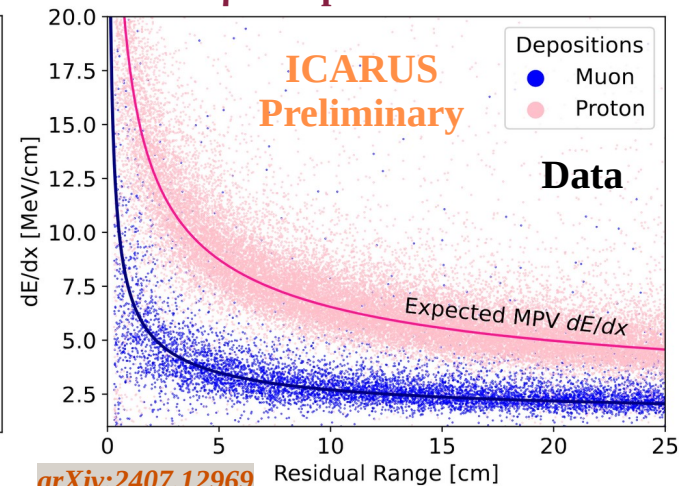
Average signal response per plane (Data/tuned MC) in a track angular bin



Angular dependence of recombination β parameter



dE/dx Vs residual range for μ and p used for PID



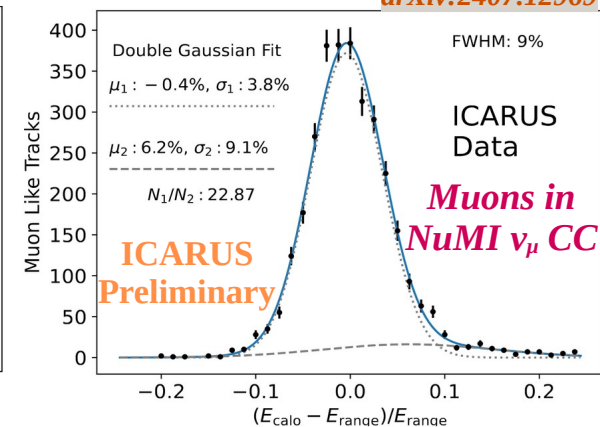
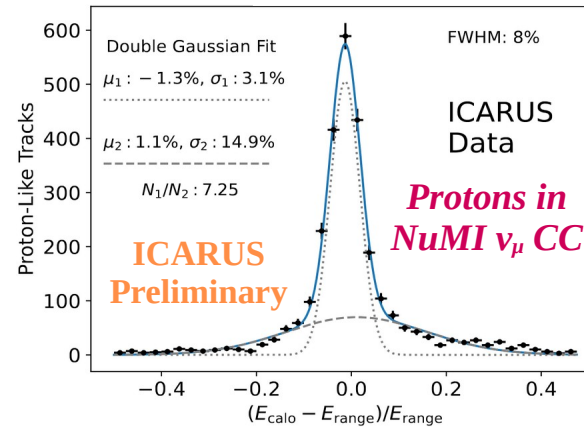
ICARUS Detector Validation

Deposited energy is used to *validate calibration and improve calorimetric reconstruction*.

- *Difference between calorimetric energy reconstruction and the range measurement of the proton and stopping muon energy.*

EMB-based calibration is applied

[arXiv:2407.12969](https://arxiv.org/abs/2407.12969)



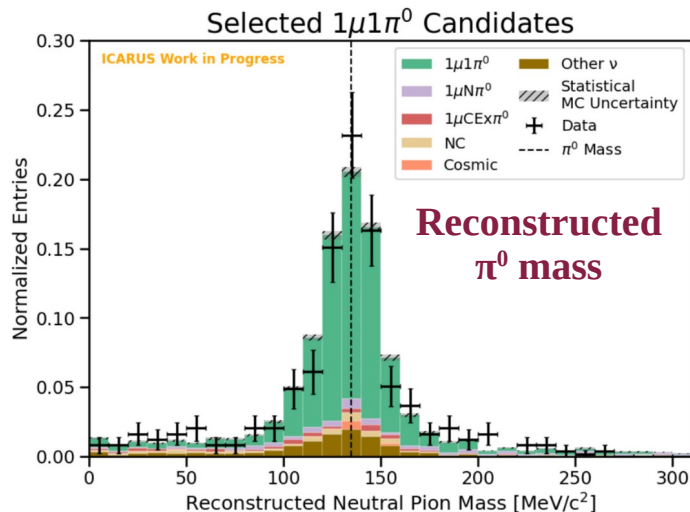
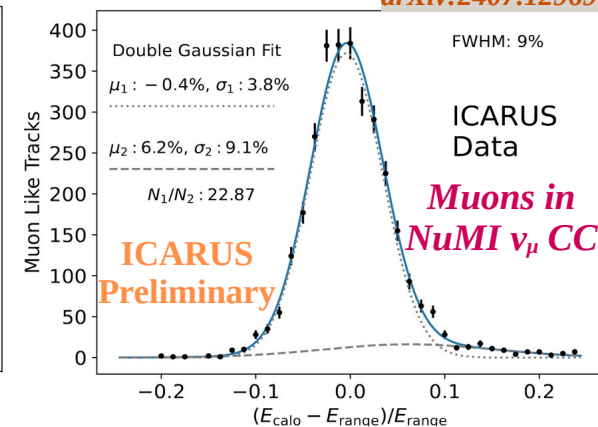
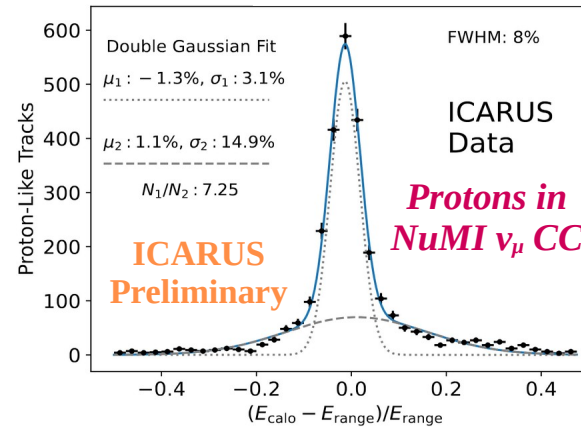
ICARUS Detector Validation

Deposited energy is used to *validate calibration and improve calorimetric reconstruction*.

- **Difference between calorimetric energy reconstruction and the range measurement of the proton and stopping muon energy.**
- π^0 from neutrino interactions, achieving $\sim 10\%$ resolution on $m_{\gamma\gamma}$

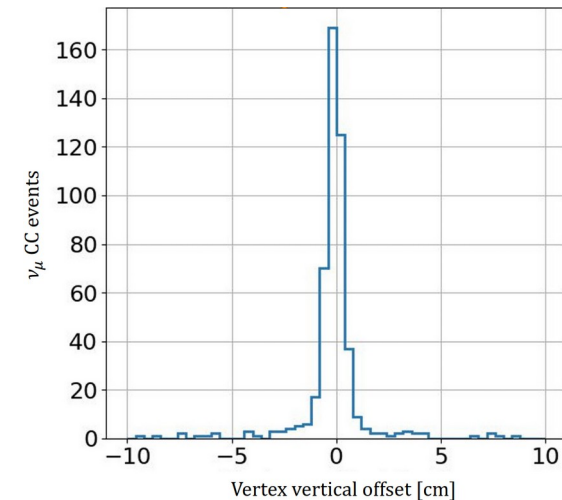
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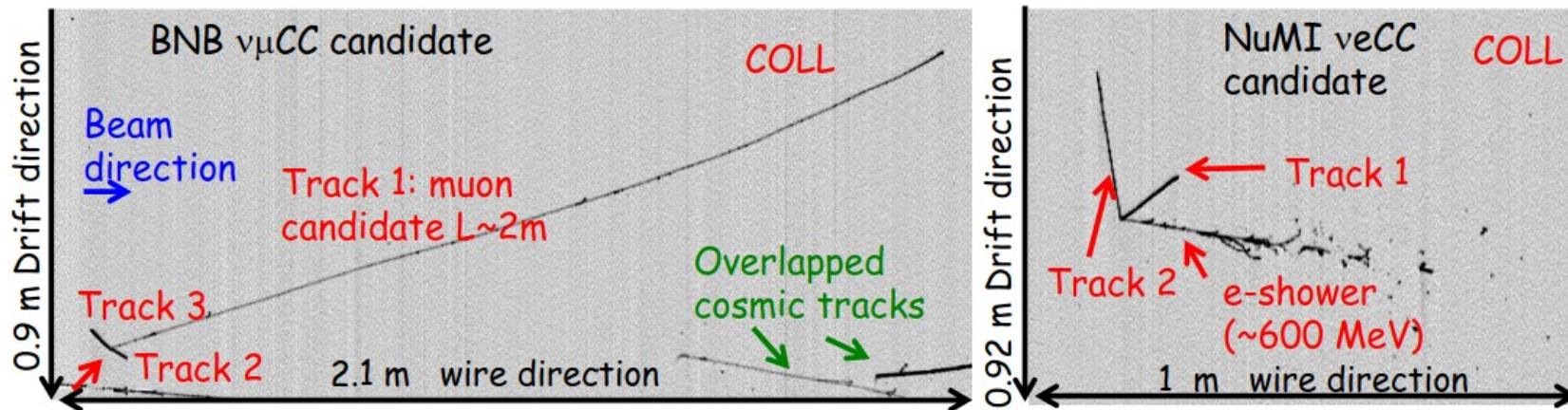
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ν events identified through **visual scanning of collected data are used to test automated software tools:**

- The difference between automatic and visually reconstructed vertex positions for ~ 500 visually selected ν_μ CC candidates shows a resolution of a few millimeters.





ICARUS PHYSICS PROGRAM

ν_μ Event Selection for disappearance with BNB

Study of Fully Contained ν_μ CC Events ($1\mu + Np$)

• Event Selection Criteria

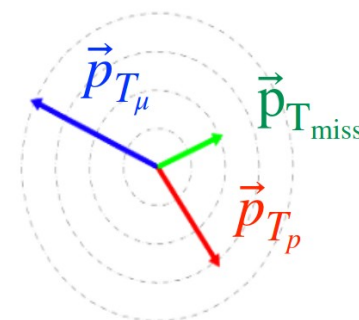
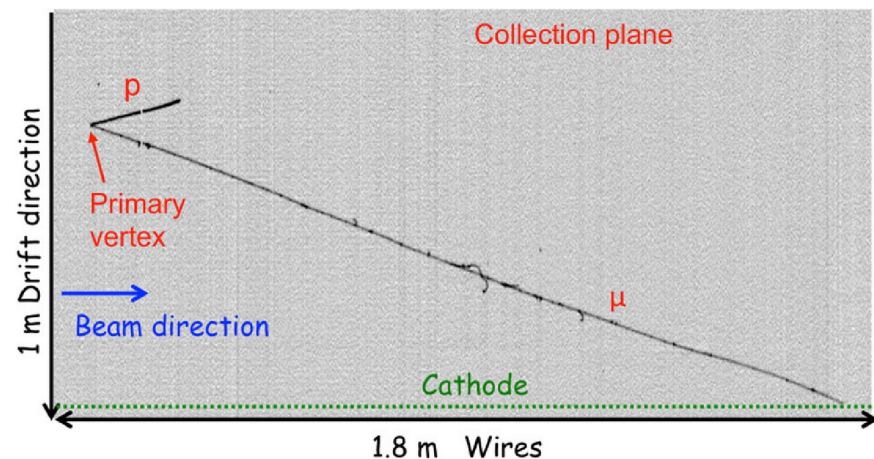
- TPC track linked with PMT light and no CRT signal within beam spill window.
- Muon track with length $L_\mu > 50$ cm.
- At least 1 proton with $L_p > 2.3$ cm (corresponding to $E_k > 50$ MeV).
- Particles correctly identified by PID tool (based on dE/dx).
- Events contain fully contained particles (no additional π or γ).

• Reconstruction Approaches

- Pandora Pattern Recognition Algorithm
- Machine Learning-based SPINE

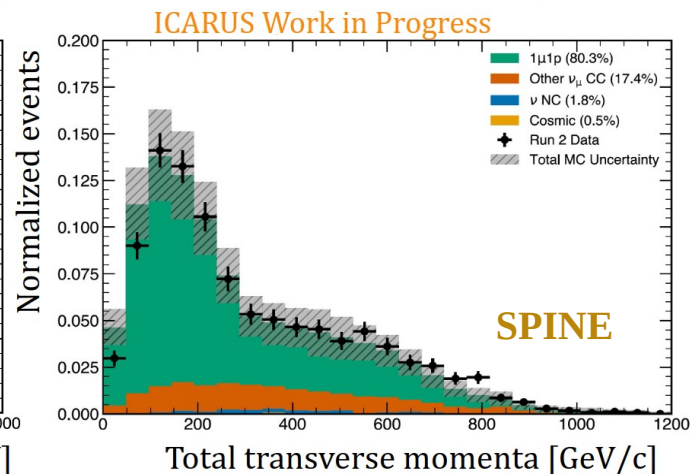
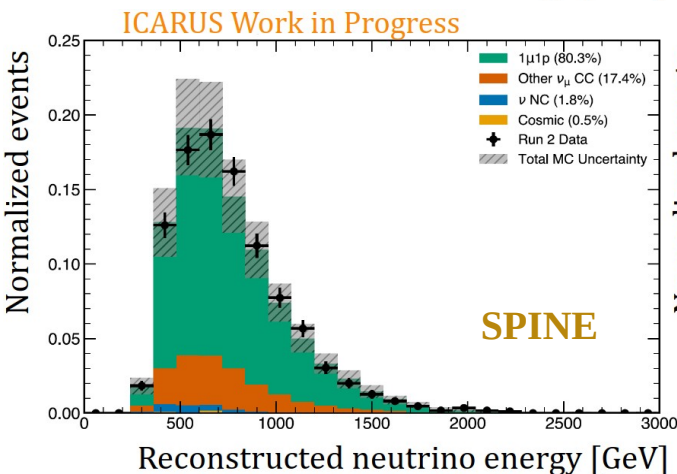
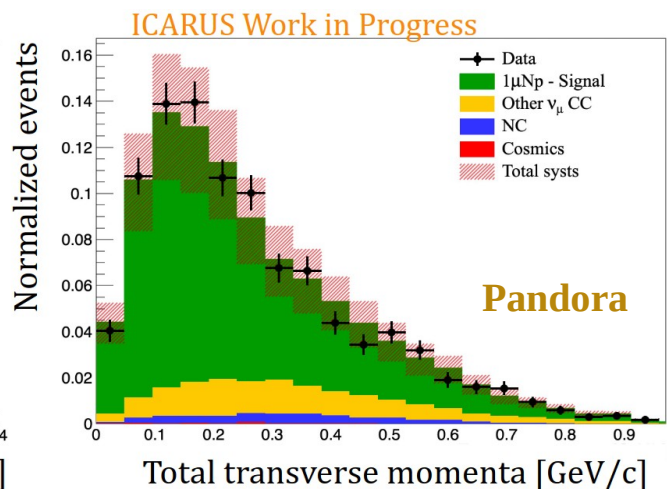
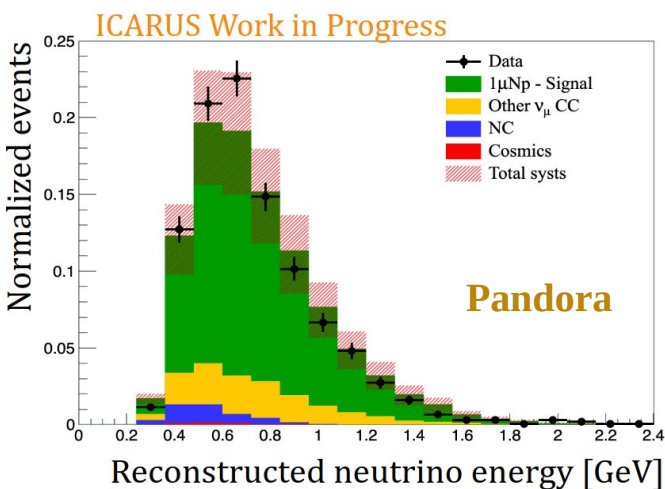
• Background Rejection & Validation

- Cosmic backgrounds are kept below 1%.
- Event kinematics validated through visual studies and range measurements.



1 μ Np Analysis from BNB

- 10% of RUN-2 data analyzed; 20x more data available, showing *Data-MC agreement* within systematics.



Use two independent reconstruction approaches:

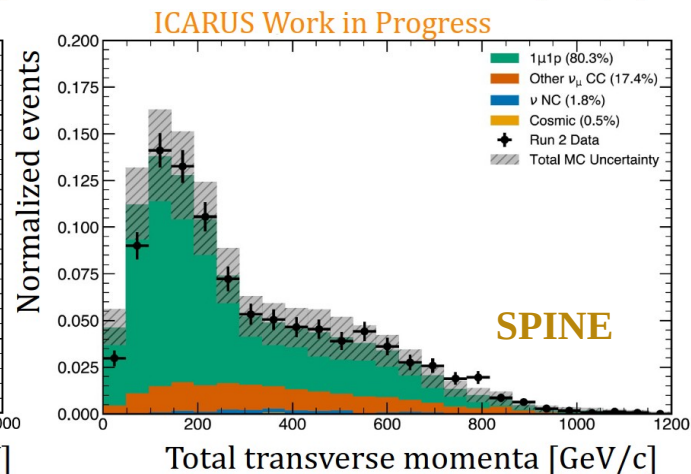
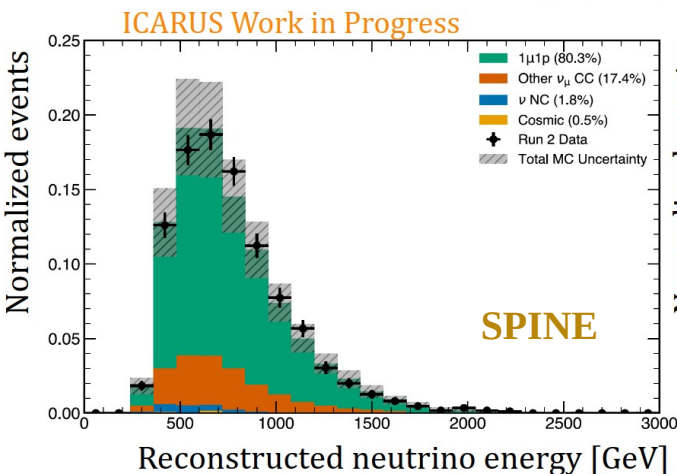
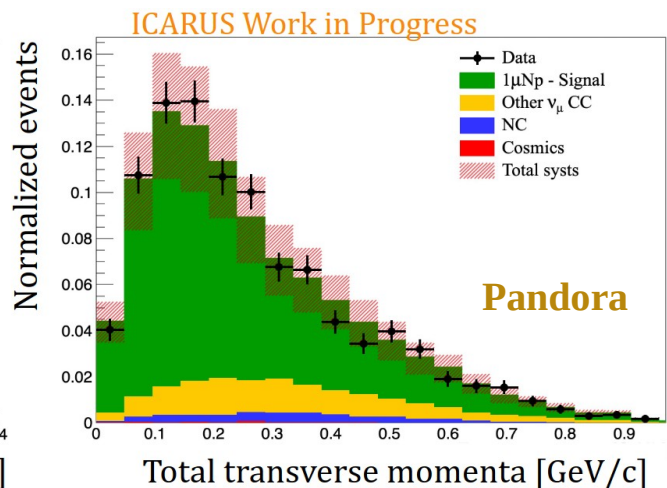
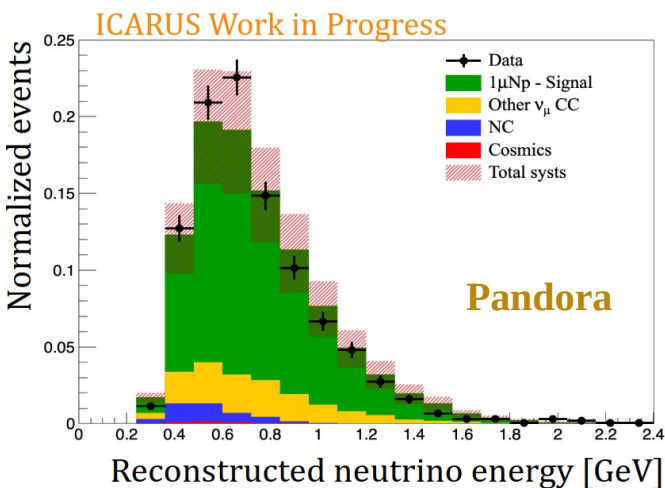
- Pandora* pattern recognition algorithm
- SPINE*, a Machine Learning reconstruction

	Pandora	SPINE
Efficiency	50 %	75 %
Purity	80 %	80 %
POT	1.93×10^{19}	1.92×10^{19}
Total Events*	34 k	47 k

* Using the Run 1, 2, and 3 POT

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Ready for the next steps:

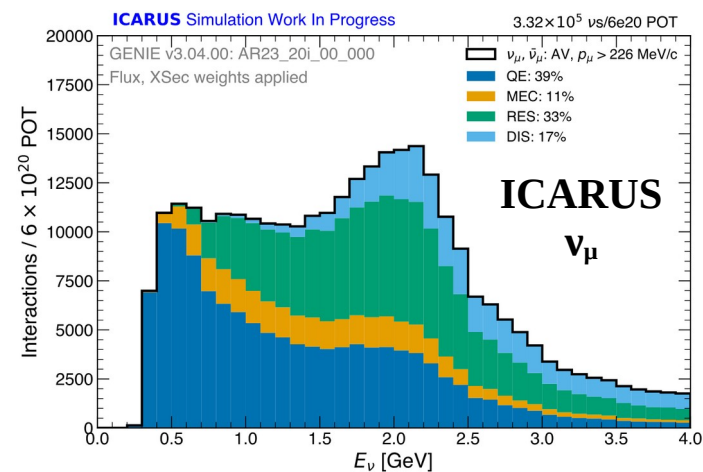
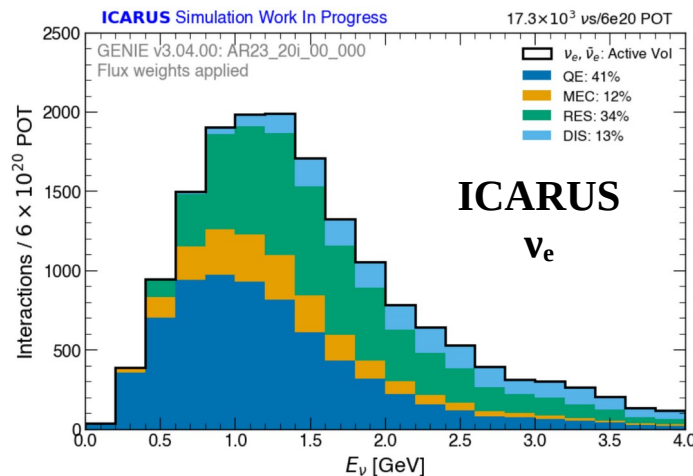
- Enlarge the control sample to confirm analysis robustness.
- Unblind the full dataset.
- Perform an oscillation fit using ICARUS data only.

* Using the Run 1, 2, and 3 POT

ν -Ar Interactions from NuMI

- ICARUS has a large NuMI dataset for ν -Ar cross-section measurements:
 - **332k ν_μ CC and 17k ν_e CC interactions** in 6×10^{20} POT.
- Currently **available data: $\sim 3.42 \times 10^{20}$ POT.**

Expect to have **larger statistics of ν_e interactions with Ar** in comparison with previous experiments.

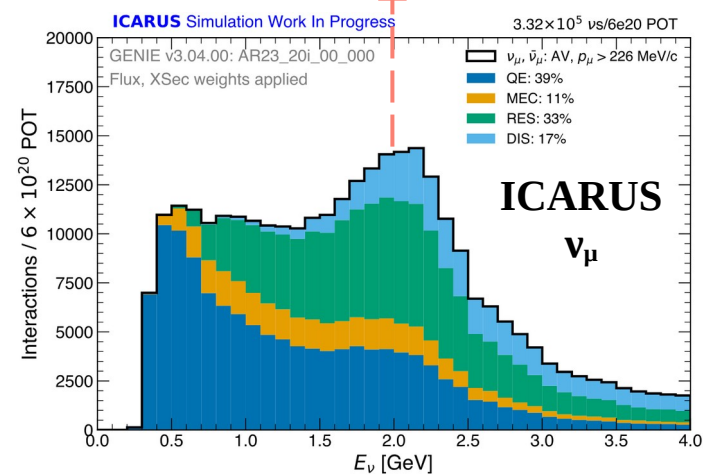
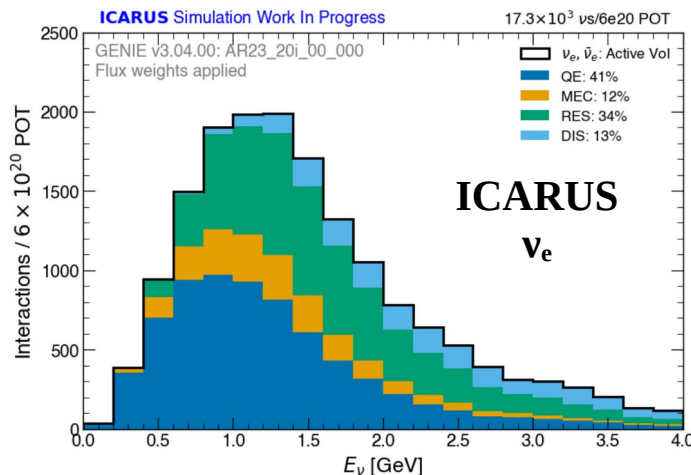
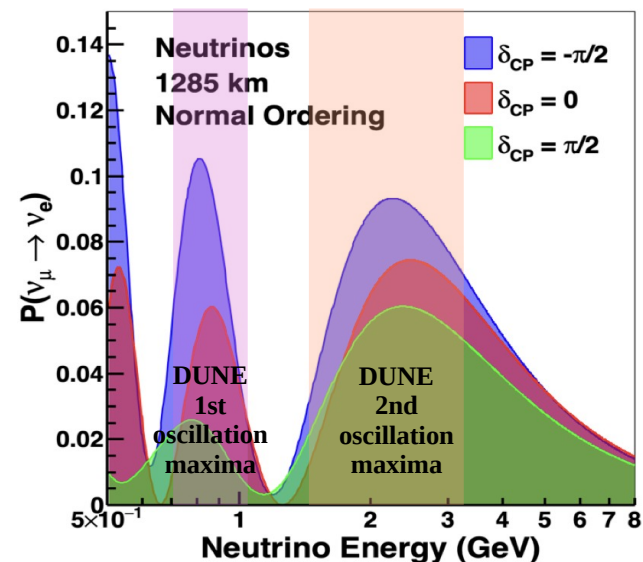


ν -Ar Interactions from NuMI

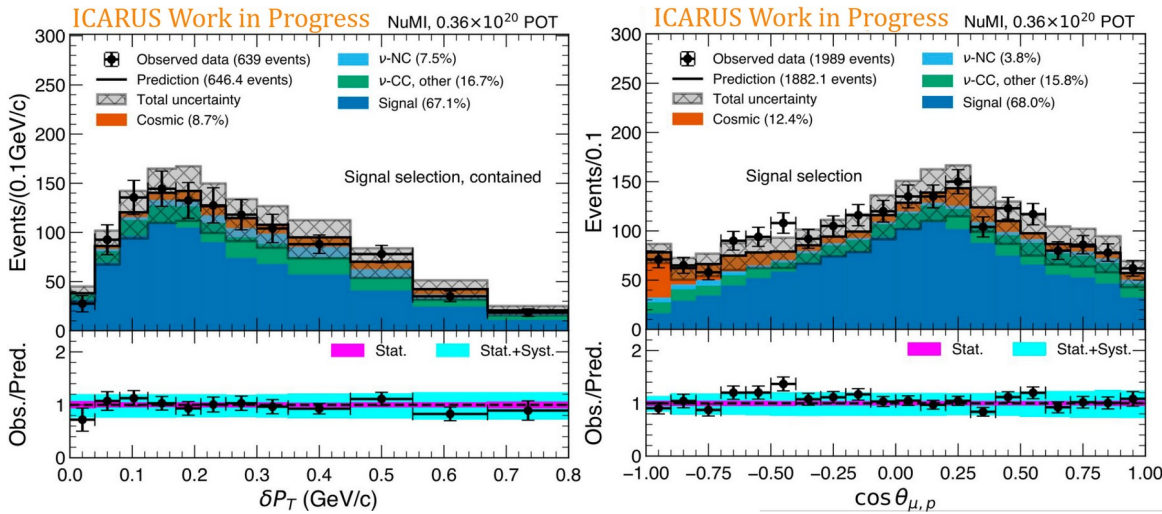
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 - **332k ν_μ CC and 17k ν_e CC interactions** in 6×10^{20} POT.
- Currently **available data: $\sim 3.42 \times 10^{20}$ POT.**

• **NuMI's neutrino energy spectrum** ranges from a few hundred MeV to a few GeV, **covering the energy range relevant for the DUNE** experiment.

expected probability of oscillation in DUNE



$1\mu N p 0\pi$ Analysis from NuMI



First Analysis Target, $1\mu N p 0\pi$ Events

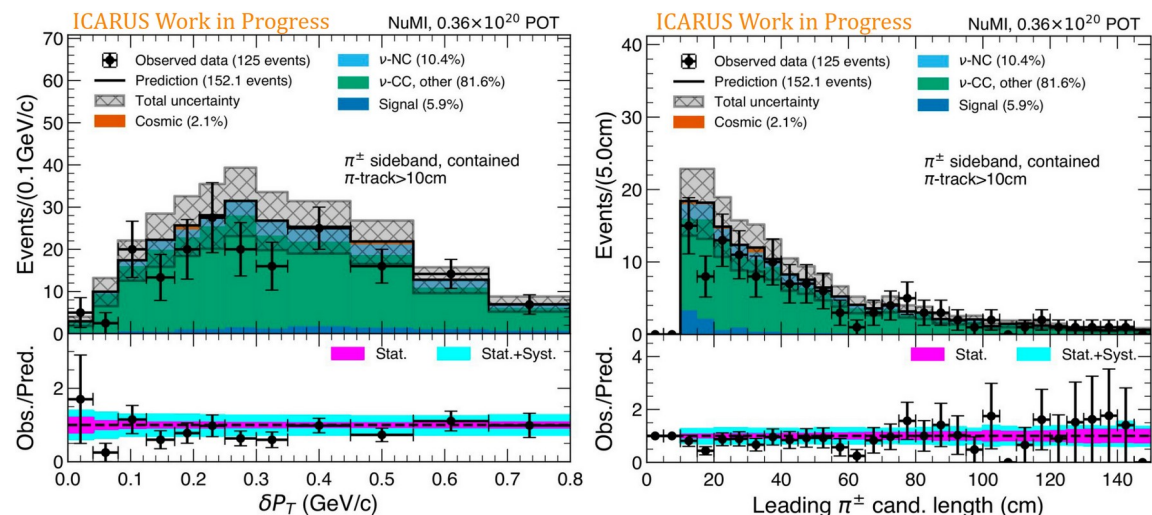
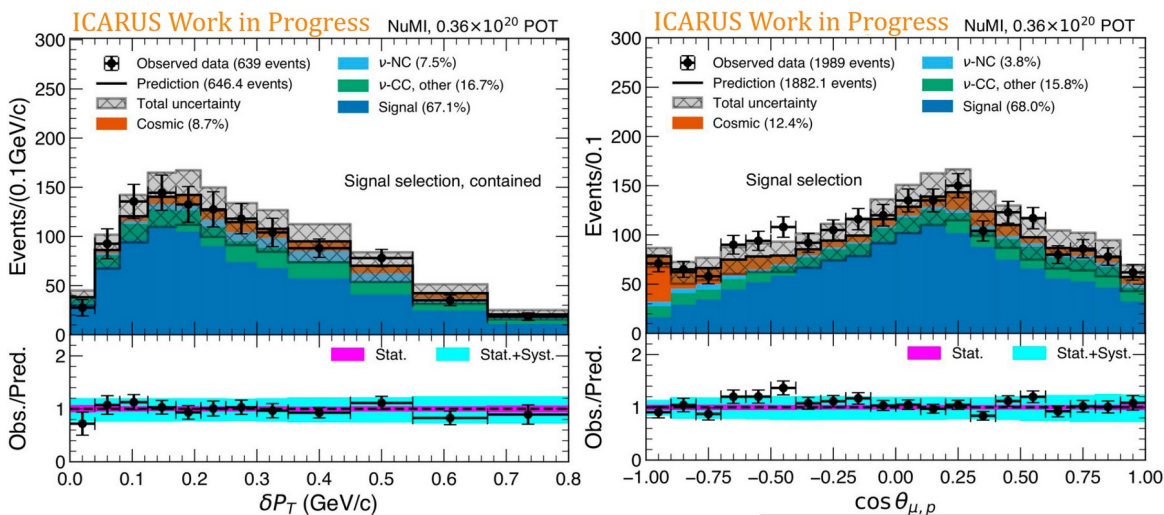
- **Signal Definition**

- 1 muon with $p_\mu > 0.226$ GeV/c
- At least 1 proton with $0.4 < p_p < 1$ GeV/c
- No pions (π^\pm or π^0) in final state

- **Systematics & Modeling**

- Includes neutrino flux, interaction model, and detector systematics.
- Angular and transverse kinematic observables are used to capture initial and final state effects.

1 μ Np0 π Analysis from NuMI



First Analysis Target, 1 μ Np0 π Events

• Signal Definition

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• Systematics & Modeling

- Includes neutrino flux, interaction model, and detector systematics.
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• Major Backgrounds (Events with undetected or misidentified pions)

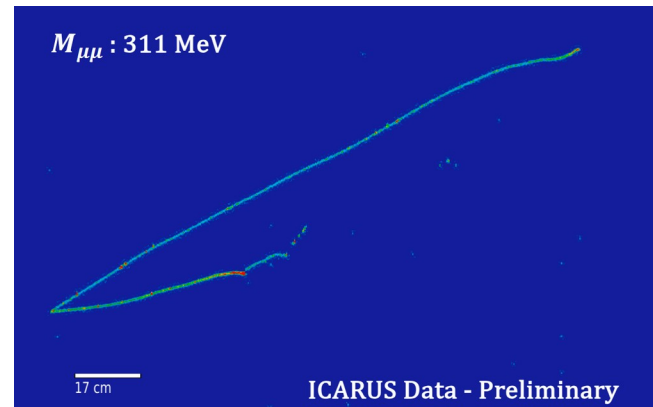
- Control sample with π^\pm candidates selected to characterize this background (requires secondary μ -like track).
- Data/MC shows good agreement within $\sim 15\%$.

BSM Physics from NuMI

BSM Searches with NuMI Data

Models involving dark particles coupling to Standard Model particles through Scalar Portal.

- **Higgs Portal Scalar (HPS):** Scalar dark particles mix with the Higgs boson.
- **Heavy QCD Axion (ALP):** Pseudoscalar particles mix with pseudoscalar mesons.



BSM Physics from NuMI

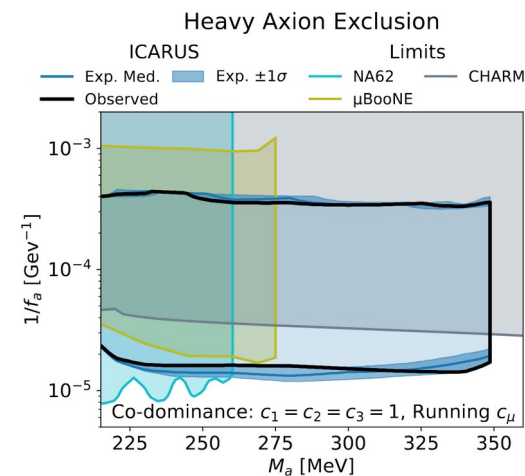
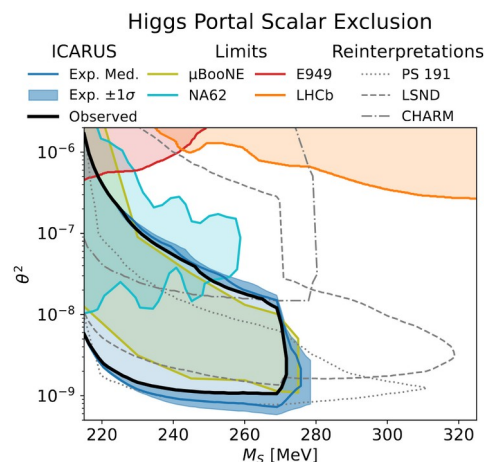
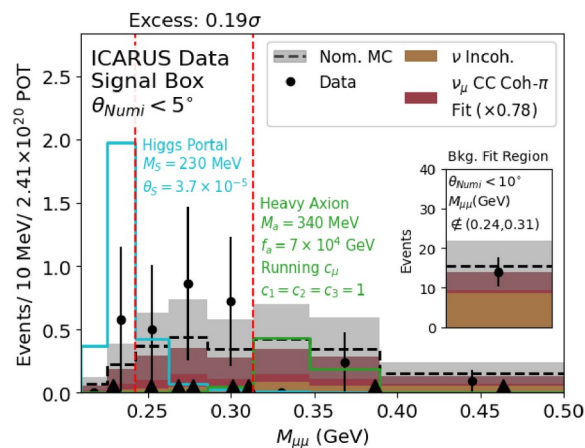
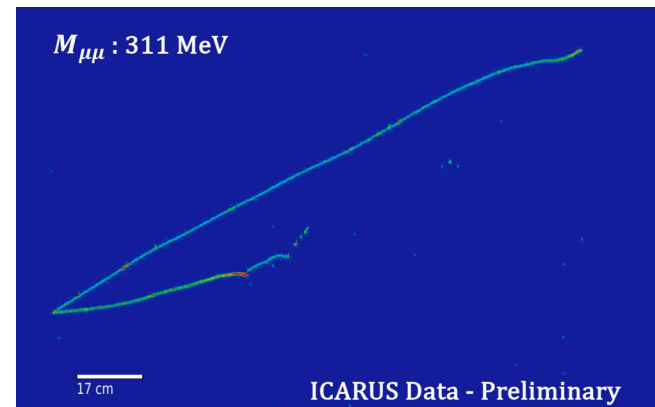
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Scalar Decays in $\mu+\mu^-$ with Run2 NuMI, Results:

- 9 candidate events found, matching MC background expectation of 8 events (from ν_μ CC coherent pion production).
- Results show **no significant new physics signal** (0.19σ).



BSM Physics from NuMI

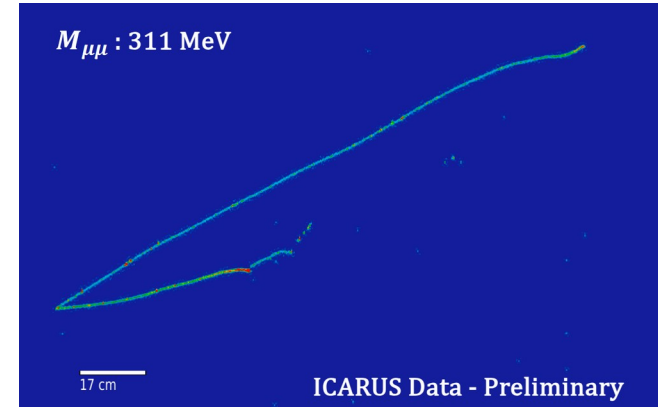
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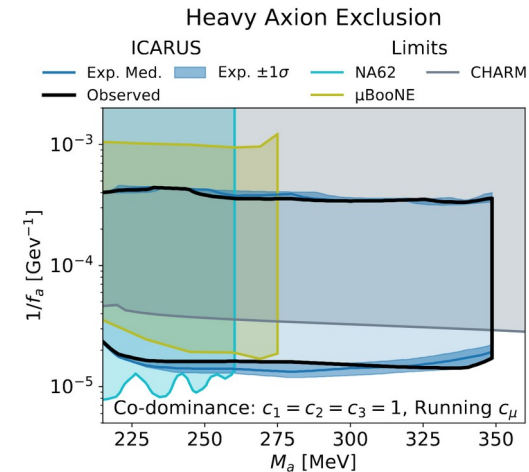
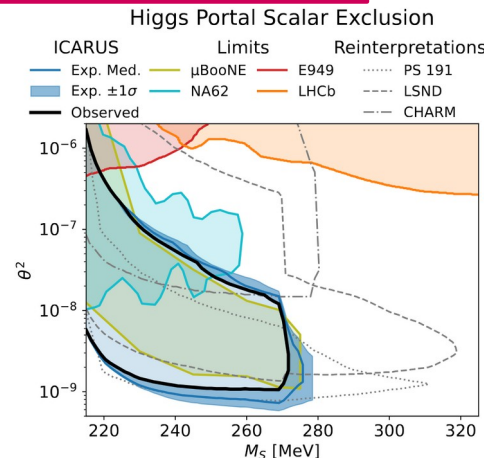
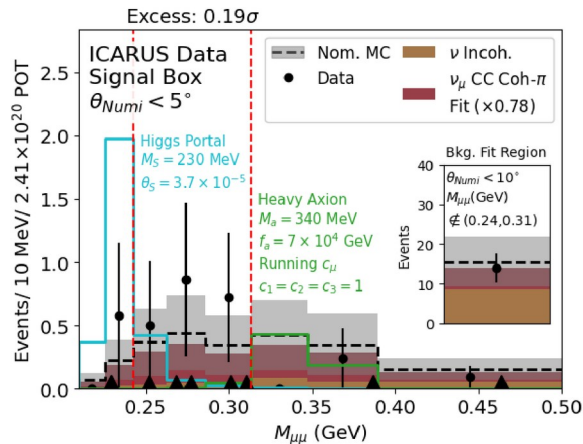
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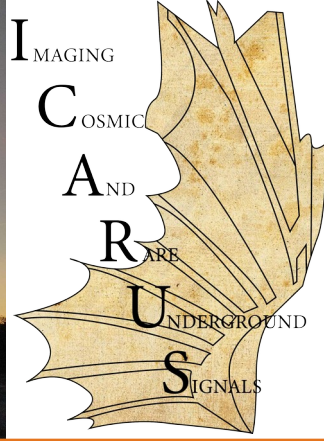


Submitted to publication!



arXiv:2411.02727





SUMMARY AND FUTURE

Summary

- ICARUS has been operating smoothly in physics mode since June 2022.
- The detector response is calibrated with cosmic muons and neutrino-induced protons, with TPC signals and main detector parameters accurately characterized and modeled in simulation.
- Before the start of the joint operation within SBN, ICARUS is on the way to first physics results:
 - ν_μ *Disappearance Studies with BNB: Ready to expand control samples.*
 - *ν -Ar Cross Section Measurements using NuMI data.*
 - Sub-GeV Dark Matter Search with NuMI beam: *Analysis of scalar decays to $\mu^+\mu^-$ completed.*

Summary



Exciting prospects ahead as we gear up for the SBN joint analysis!

μ μ



Muchas
gracias



μ μ μ μ μ μ μ μ



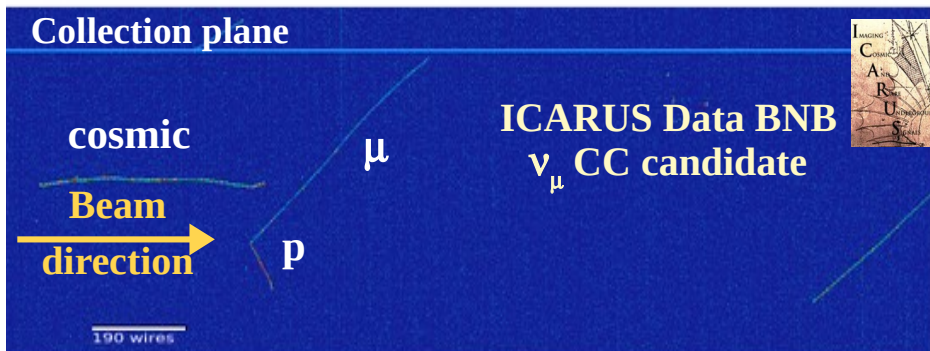
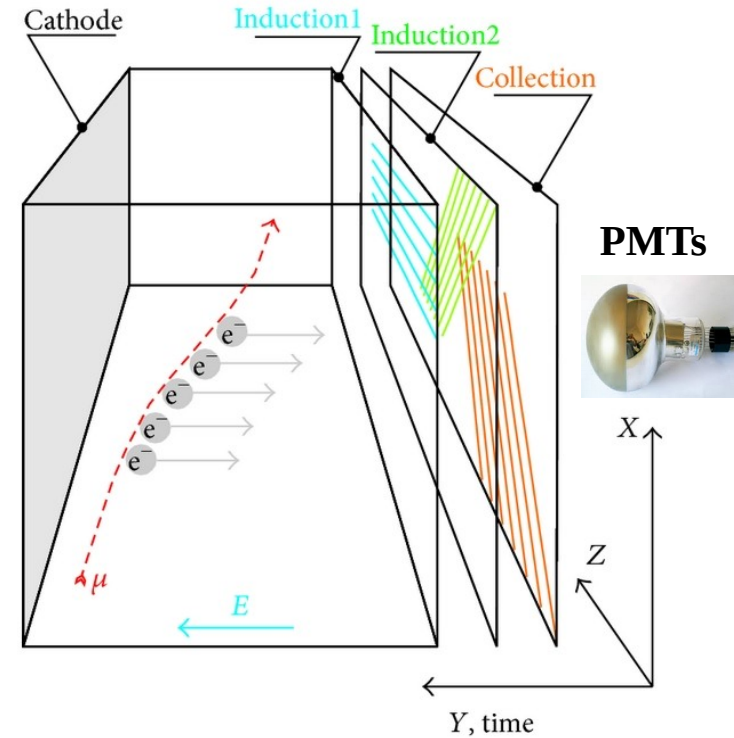
BACKUP

LAr TPCs

Why LAr TPCs?

- The ν -Ar interactions produce tracks, with ions and photons along those.
- **Photons propagate inside the detector** [the scintillation light is collected by the photomultiplier tubes (PMTs) for precise event timing and event calorimetry].
- **The ionized electrons will slowly drift towards the anode** by an applied electric field.
- **The ionized electrons produce induction signals** as they pass the first two wire planes and are collected on the last wire plane.

ICARUS: Abratenko, P. et al.
Eur. Phys. J. C 83, 467 (2023)



LAr TPC detectors, provide **full 3D imaging**, **precise calorimetric energy reconstruction**, and **efficient particle identification**. The detailed images of particle trajectories provide **significant information about final states**. The **high spatial resolution** allows for tracking. Thus, *using the LArTPC technology we will be able to study ν_μ and ν_e with high precision.*

The Sterile Neutrino Puzzle

Even though the 3ν SM model has shown good agreement in many experiments, some anomalies have been observed in neutrino experiments at short baseline hinting to a new sterile neutrino flavor at $\Delta m^2_{\text{new}} \sim 1 \text{ eV}^2$:

- **Accelerator Experiments**

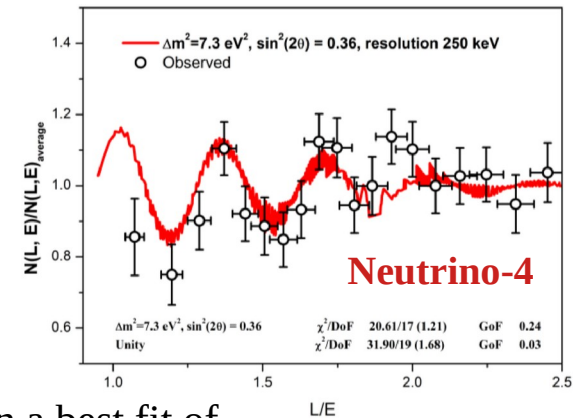
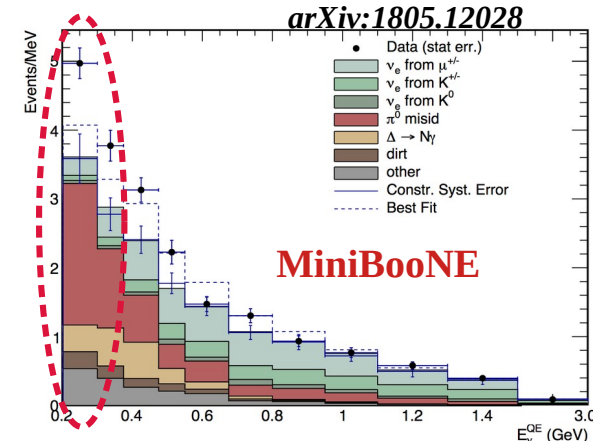
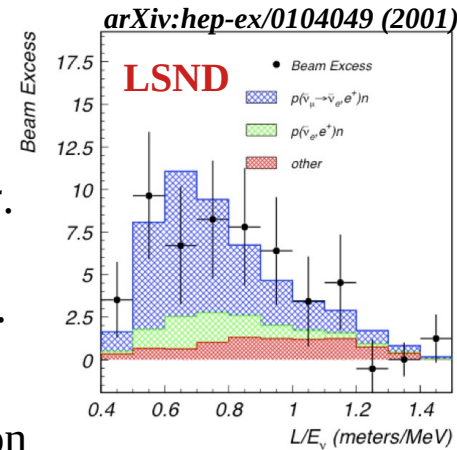
- LSND: Observed excess of $\bar{\nu}_e$ events in the channel $\bar{\nu}_e + p \rightarrow e^+ + n$ at a significance of 3.8σ .
- MiniBooNE: Electron-like excess observed in both ν and $\bar{\nu}$ modes, with a significance of 4.7σ .

- **Radiochemical Experiments**

- SAGE and GALLEX: Measured ^{71}Ge production rate at $R = 0.84 \pm 0.05$, recently confirmed by BEST experiment at 4σ .

- **Reactor Experiments**

- Neutrino-4: Observed a $\bar{\nu}_e$ disappearance signal with L/E_ν modulation ($\sim 1\text{--}3 \text{ m/MeV}$) at the SM-3 reactor (Dimitrovgrad).



Combined analysis of Neutrino-4 with other experiments results in a best fit of

$$\Delta m^2_{14} = 7.3 \text{ eV}^2 \text{ and } \sin^2(2\theta_{14}) = 0.36 \text{ at } 5.8 \sigma$$

The ICARUS Detector Subsystems

Eur. Phys. J. C 83, 467 (2023)

Time Projection Chambers (TPC)

~ 54k channels at different orientations and 3 mm pitch

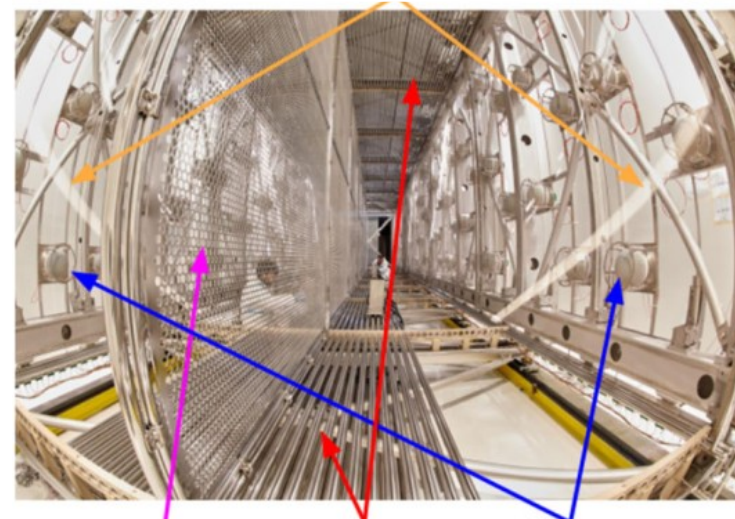
Photon Detection System (PDS)

- 360 PMTs, TPB coated to detect scintillation light
- Event timing and triggering purposes

Cosmic Ray Tagger (CRT)

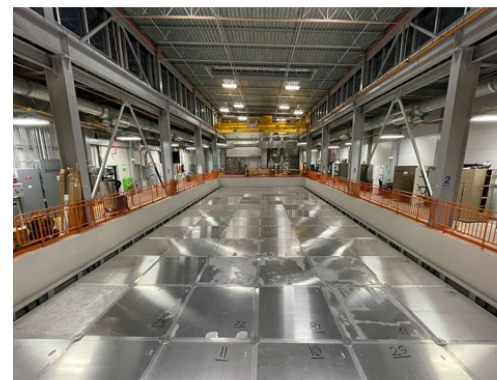
- ~ 4π scintillator panels with SiPM readout for cosmic tagging
- Protected by ~ 2.85 m thick concrete overburden for external γ/n suppression

TPC Anode Wire planes

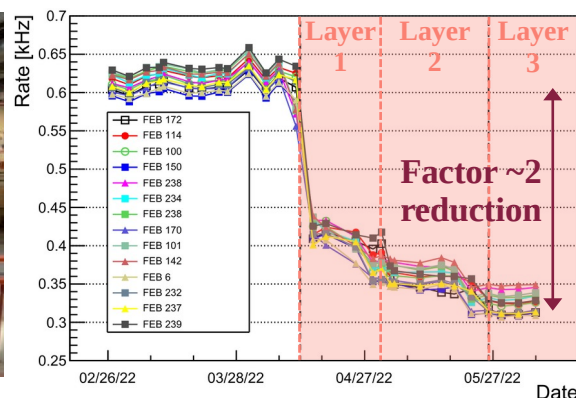


Cathode Field cage PMTs

Top CRT



Side CRT

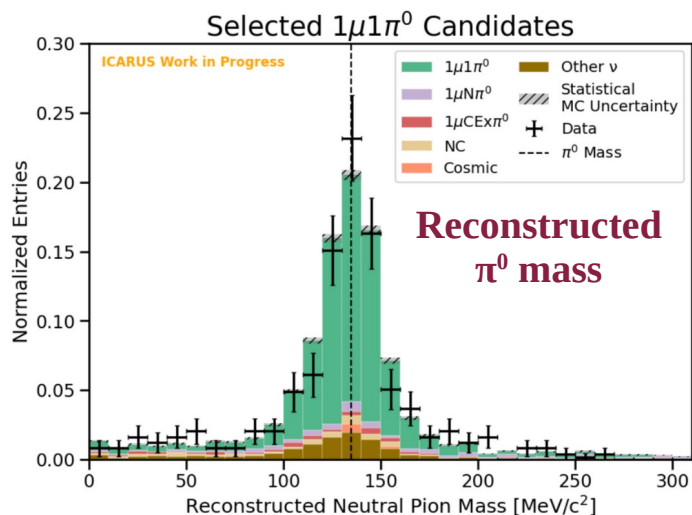
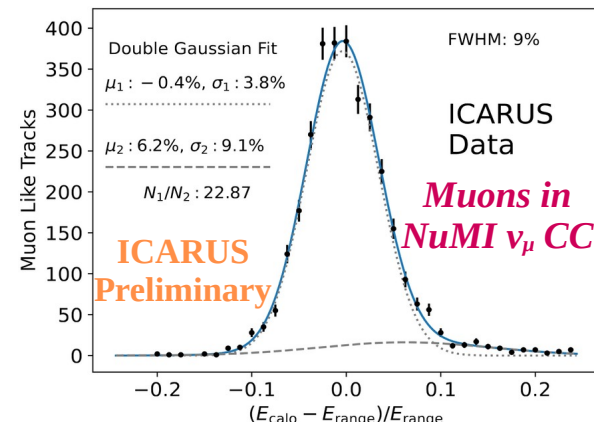
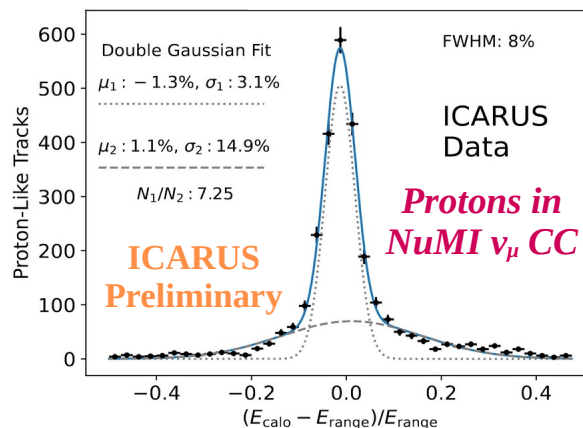


ICARUS Detector Validation

Deposited energy is used to *validate calibration and improve calorimetric reconstruction*.

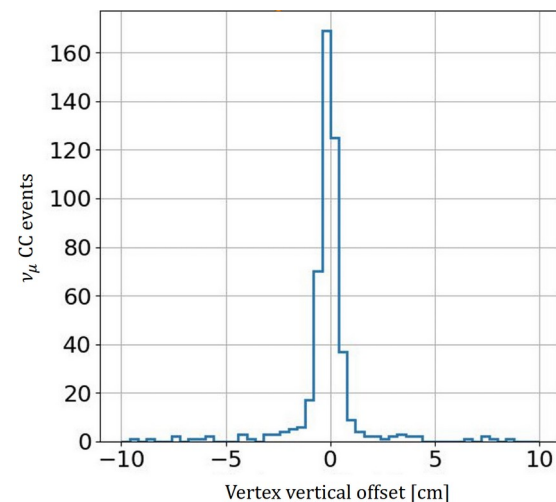
- Difference between calorimetric energy reconstruction and the range measurement of the proton and stopping muon energy.
- π^0 from neutrino interactions, achieving $\sim 10\%$ resolution on $m_{\gamma\gamma}$

EMB-based calibration is applied



ν events identified through *visual scanning of collected data are used to test automated software tools*:

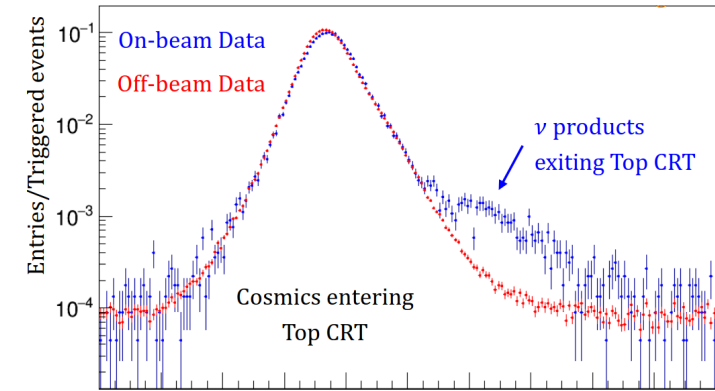
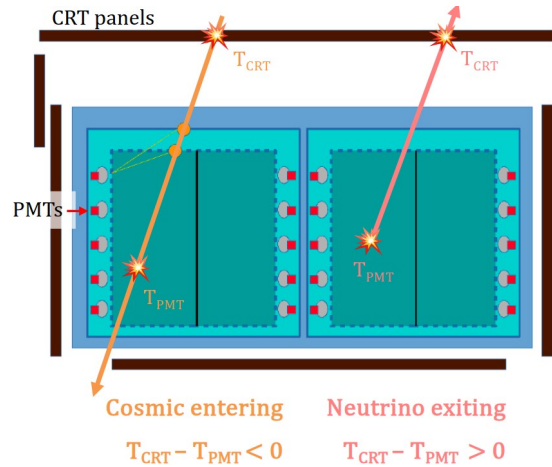
- The difference between automatic and visually reconstructed vertex positions for ~ 500 visually selected ν_μ CC candidates shows a resolution of a few millimeters.



ICARUS Detector Performance

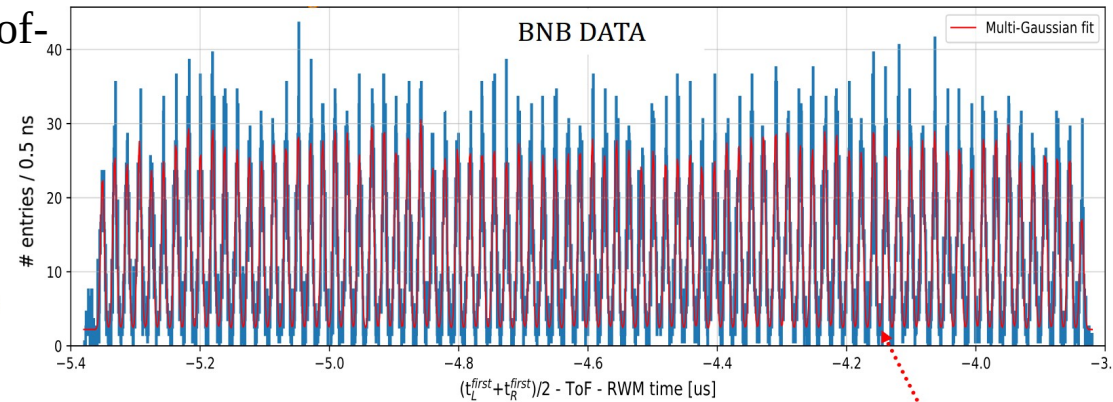
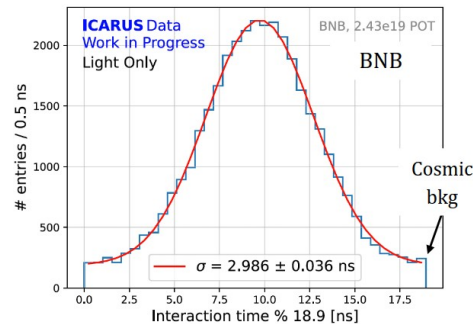
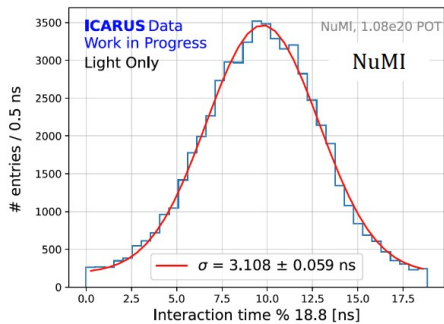
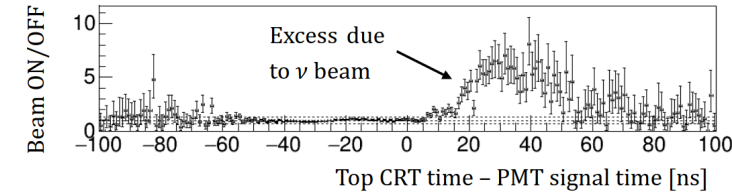
- Cosmic Ray Rejection and Neutrino Timing Reconstruction

→ Time-of-Flight Rejection: *Use external CRT and inner PMT system to reject incoming cosmic rays.*



- Beam Spill Timing Reconstruction

→ Bunched structure of BNB and NuMI beam spill identified using neutrino interaction time (PMT) relative to proton beam extraction counters, with cosmic rejection (CRT) and neutrino time-of-flight (ToF) correction applied.



All 81 BNB bunches identified

ν -Ar Interactions from NuMI

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 - **332k ν_μ CC and 17k ν_e CC interactions** in 6×10^{20} POT.
- Currently **available data**: $\sim 3.42 \times 10^{20}$ POT.
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