

# Leptogenesis in $SO(10)$ with minimal Yukawa sector

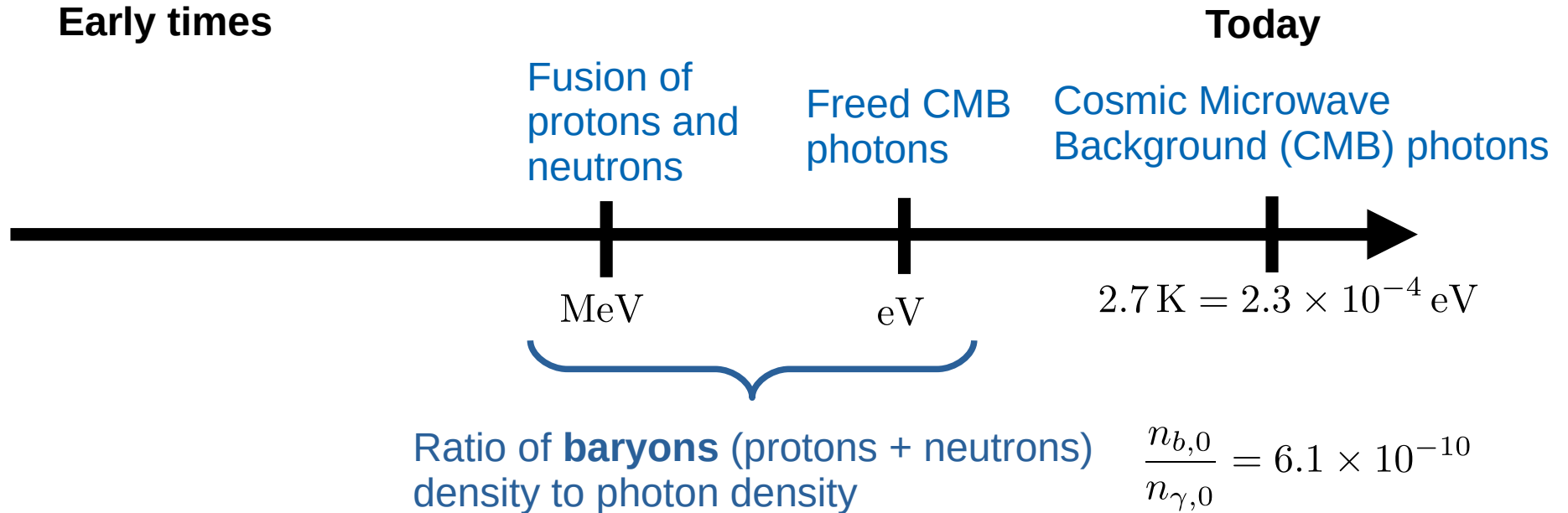
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Cinvestav, Mexico City

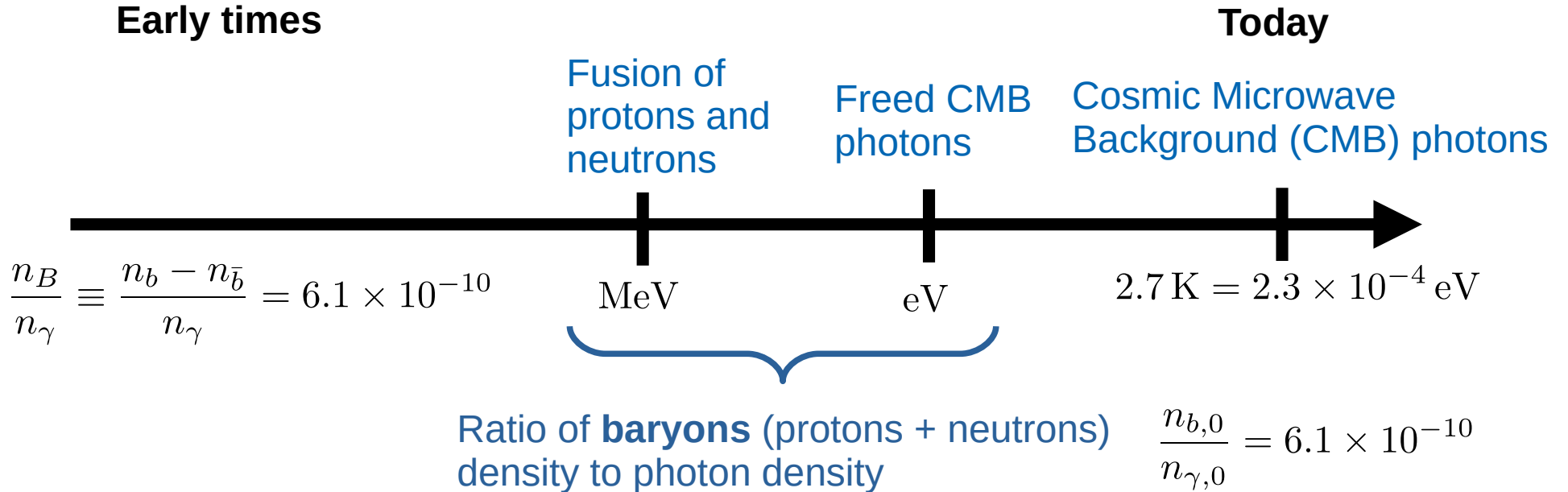
Based on arXiv:2409.03840 [Babu, Di Bari, CSF, Saad, JHEP10(2024)190]



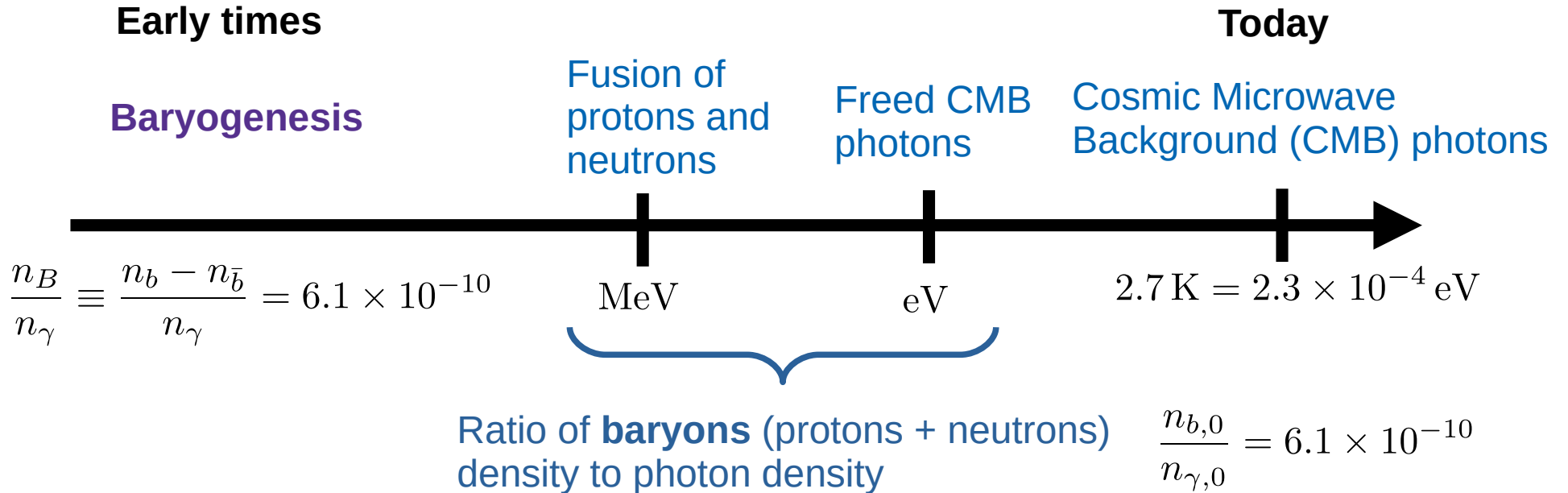
# A brief history of the Universe



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# SO(10) GUTs contain all the ingredients

Sakharov's conditions (1967)

- **Baryon number violation**

Standard Model  $\prod_{\alpha} (QQQ\ell)_{\alpha}$  [t' Hooft (1976)]

Efficient at temperature above 132 GeV [Kuzmin, Rubakov & Shaposhnikov (1985)]  
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New CP-violating and (B-L)-violating processes

Fermion singlet  $N \leftrightarrow \ell H$       Higgs triplet  $T \leftrightarrow \ell \ell$

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Fermion singlet  $N \leftrightarrow \ell H$  Higgs triplet  $T \leftrightarrow \ell \ell$

- **Out of thermal equilibrium**

Interaction rates comparable to cosmic expansion rate

$$\Gamma \sim \mathcal{H}$$

# Outline

- SO(10) GUT with minimal Yukawa sector
- Precision baryogenesis calculation
- Predictions
- Remarks



# SO(10) GUT with minimal Yukawa sector

Matter is unified  $16_\alpha = \{U_a, D_a, U_a^c, D_a^c, E, E^c, \nu, N\}_\alpha$

Predict three right-handed neutrinos

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**Yukawa Higgs sector**  $16 \times 16 = 10_s + 120_a + 126_s$

$$\mathcal{L}_{yuk} = 16_F (y_{10}^p 10_H^p + y_{120}^q 120_H^q + y_{126}^r \overline{126}_H^r) 16_F$$

$$p = 1, 2, \dots, n_{10}, \quad q = 1, 2, \dots, n_{120}, \quad r = 1, 2, \dots, n_{126}$$

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**Minimal**  $(n_{10}, n_{120}, n_{126}) = (1, 1, 1)$  [Babu, Bajc & Saad, 1805.10631]

(real, real, complex)

$y_{10}, y_{120}, y_{126}$  (6+3+3) moduli + (6+3+0) phases = 12 moduli + 9 phases

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$$SO(10) \rightarrow \dots \xrightarrow[126_H]{M_{\text{int}}} SU(3)_C \times SU(2)_L \times U(1)_Y \xrightarrow[10_H + 120_H + 126_H]{M_{EW}} SU(3)_C \times U(1)_{\text{em}}$$

→ A triplet  $\mathbf{T}$

# SO(10) GUT with minimal Yukawa sector

## Fermion masses

$$M_U = D + S + A,$$

$$M_D = D + r_1 S + e^{i\phi} A \equiv v y_D,$$

$$M_E = D - 3r_1 S + r_2 A \equiv v y_E,$$

$$M_{\nu_D} = D - 3S + r_2^* e^{i\phi} A \equiv v y_{\nu_D},$$

$$M_{\nu_R} = c_R S,$$

$$M_{\nu_L} = c_L S.$$

Type-I seesaw dominance

$$|-M_{\nu_D}^T M_{\nu_R} M_{\nu_D}| \gg |M_{\nu_L}|$$

Including the vevs and phases:

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[(6+3) U, D, E masses; 2  $\nu$  mass differences; (3+3) quark and lepton mixing; CKM phase, PMNS phase]

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**Predictions:** baryon asymmetry, N masses, absolute  $\nu$  scale, 2 Majorana phases

# Precision baryogenesis calculation [CSF, 2109.04478]

- Density matrix formalism: lepton flavor effects + basis independence
- Flavor + spectator effects in the SM

$$-\mathcal{L}_Y = (y_U)_{\alpha\beta} \overline{U}_\alpha \epsilon H Q_\beta + (y_D)_{\alpha\beta} \overline{D}_\alpha H^* Q_\beta + (y_E)_{\alpha\beta} \overline{E}_\alpha H^* \ell_\beta + \text{H.c.}$$

- Focusing the following charges

$$(Y_{\Delta\ell})_{\alpha\beta} \equiv \frac{(n_{\Delta\ell})_{\alpha\beta}}{s}$$

$$(Y_{\Delta E})_{\alpha\beta} \equiv \frac{(n_{\Delta E})_{\alpha\beta}}{s}$$

Entropy density today

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Conserved in the SM

$$Y_{\tilde{\Delta}} \equiv \frac{1}{3} Y_B I_{3 \times 3} - Y_{\Delta\ell}$$

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$$s \frac{dY_{\tilde{\Delta}}}{dt} = \frac{\gamma_E}{2Y^{\text{nor}}} \left\{ y_E^\dagger y_E, \frac{Y_{\Delta\ell}}{g_\ell \zeta_\ell} \right\} - \frac{\gamma_E}{Y^{\text{nor}}} y_E^\dagger y_E \frac{Y_{\Delta H}}{g_H \zeta_H} - \frac{\gamma_E}{Y^{\text{nor}}} y_E^\dagger \frac{Y_{\Delta E}}{g_E \zeta_E} y_E$$

$$s \frac{dY_{\Delta E}}{dt} = -\frac{\gamma_E}{2Y^{\text{nor}}} \left\{ y_E y_E^\dagger, \frac{Y_{\Delta E}}{g_E \zeta_E} \right\} - \frac{\gamma_E}{Y^{\text{nor}}} y_E y_E^\dagger \frac{Y_{\Delta H}}{g_H \zeta_H} + \frac{\gamma_E}{Y^{\text{nor}}} y_E \frac{Y_{\Delta\ell}}{g_\ell \zeta_\ell} y_E^\dagger$$

Under flavor rotations:  $E \rightarrow UE, \quad \ell \rightarrow V\ell, \quad y_E \rightarrow Uy_E V^\dagger$

Covariance:  $Y_{\Delta\ell} \rightarrow VY_{\Delta\ell}V^\dagger, \quad Y_{\Delta E} \rightarrow UY_{\Delta E}U^\dagger$

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Observable is invariant!

$$Y_B = 0.315(\text{Tr}Y_{\tilde{\Delta}} - \text{Tr}Y_{\Delta E})$$

# Precision baryogenesis calculation [CSF, 2109.04478]

- Including source  $S$  and washout  $W$  terms

$$s \frac{dY_{\tilde{\Delta}}}{dt} \supset S + W$$

$$S \equiv - \sum_i \epsilon_i \gamma_{N_i} \left( \frac{Y_{N_i}}{Y_{N_i}^{\text{eq}}} - 1 \right) \quad W \equiv \frac{1}{2} \sum_i \frac{\gamma_{N_i}}{Y^{\text{nor}}} \left( \frac{1}{2} \left\{ P_i, \frac{Y_{\Delta \ell}}{g_{\ell} \zeta_{\ell}} \right\} + P_i \frac{Y_{\Delta H}}{g_H \zeta_H} \right)$$

- Evolutions of  $N_i$

$$s \frac{dY_{N_i}}{dt} = -\gamma_{N_i} \left( \frac{Y_{N_i}}{Y_{N_i}^{\text{eq}}} - 1 \right)$$

# Precision baryogenesis calculation [CSF, 2109.04478]

- Spectator coefficients

$$(Y_{\Delta\ell})_{\alpha\alpha} = \frac{2}{15}c_B \text{Tr}Y_{\tilde{\Delta}} - (\text{Tr}Y_{\tilde{\Delta}})_{\alpha\alpha} \qquad Y_{\Delta H} = -c_H(\text{Tr}Y_{\tilde{\Delta}} - 2\text{Tr}Y_{\Delta E})$$

$$c_B(T) = 1 - e^{-T_B/T} \qquad T_B = 2.3 \times 10^{12} \text{ GeV}$$

$$\begin{aligned} c_H(T) = & \left( \frac{2}{3} + \frac{1}{3}e^{-\frac{T_t}{T}} \right) - \left( \frac{2}{3} - \frac{14}{23} \right) \left( 1 - e^{-\frac{T_u}{T}} \right) - \left( \frac{14}{23} - \frac{2}{5} \right) \left( 1 - e^{-\frac{T_{u-b}}{T}} \right) \\ & - \left( \frac{2}{5} - \frac{4}{13} \right) \left( 1 - e^{-\frac{T_{u-c}}{T}} \right) - \left( \frac{4}{13} - \frac{3}{10} \right) \left( 1 - e^{-\frac{T_{B_3-B_2}}{T}} \right) \\ & - \left( \frac{3}{10} - \frac{1}{4} \right) \left( 1 - e^{-\frac{T_{u-s}}{T}} \right) - \left( \frac{1}{4} - \frac{2}{11} \right) \left( 1 - e^{-\frac{T_{u-d}}{T}} \right) \end{aligned}$$

$$T_t = 10^{15} \text{ GeV}, T_u = 2 \times 10^{13} \text{ GeV}, \text{etc.} \quad [\text{CSF}, 2012.03973]$$

# Predictions

Observables ( $\Delta m_{ij}^2$ in $\text{eV}^2$ )	Values at $M_Z$ scale		
	Input	Benchmark Fit: NO	Benchmark Fit: IO
$y_u/10^{-6}$	$6.65 \pm 2.25$	7.30	10.0
$y_c/10^{-3}$	$3.60 \pm 0.11$	3.59	3.57
$y_t$	$0.986 \pm 0.0086$	0.986	0.986
$y_d/10^{-5}$	$1.645 \pm 0.165$	1.636	1.635
$y_s/10^{-4}$	$3.125 \pm 0.165$	3.122	3.148
$y_b/10^{-2}$	$1.639 \pm 0.015$	1.639	1.637
$y_e/10^{-6}$	$2.7947 \pm 0.02794$	2.7945	2.7906
$y_\mu/10^{-4}$	$5.8998 \pm 0.05899$	5.9011	5.9080
$y_\tau/10^{-2}$	$1.0029 \pm 0.01002$	1.0022	1.0023
$\theta_{12}^{\text{CKM}}/10^{-2}$	$22.735 \pm 0.072$	22.729 ( $\theta_{12}^{\text{CKM}} = 13.023^\circ$ )	22.730 ( $\theta_{12}^{\text{CKM}} = 13.023^\circ$ )
$\theta_{23}^{\text{CKM}}/10^{-2}$	$4.208 \pm 0.064$	4.206 ( $\theta_{23}^{\text{CKM}} = 2.401^\circ$ )	4.204 ( $\theta_{23}^{\text{CKM}} = 2.408^\circ$ )
$\theta_{13}^{\text{CKM}}/10^{-3}$	$3.64 \pm 0.13$	3.64 ( $\theta_{13}^{\text{CKM}} = 0.208^\circ$ )	3.64 ( $\theta_{13}^{\text{CKM}} = 0.208^\circ$ )
$\delta_{\text{CKM}}$	$1.208 \pm 0.054$	1.209 ( $\delta_{\text{CKM}} = 69.322^\circ$ )	1.212 ( $\delta_{\text{CKM}} = 69.457^\circ$ )
$\Delta m_{21}^2/10^{-5}$	$7.425 \pm 0.205$	7.413	7.506
$\Delta m_{31}^2/10^{-3}$ (NO)	$2.515 \pm 0.028$	2.514	-
$\Delta m_{32}^2/10^{-3}$ (IO)	$-2.498 \pm 0.028$	-	-2.499
$\sin^2 \theta_{12}$	$0.3045 \pm 0.0125$	0.3041 ( $\theta_{12} = 33.46^\circ$ )	0.3067 ( $\theta_{12} = 33.63^\circ$ )
$\sin^2 \theta_{23}$ (NO)*	$0.5705 \pm 0.0205$	0.4473 ( $\theta_{23} = 41.98^\circ$ )	-
$\sin^2 \theta_{23}$ (IO)*	$0.576 \pm 0.019$	-	0.5784 ( $\theta_{23} = 49.51^\circ$ )
$\sin^2 \theta_{13}$ (NO)	$0.02223 \pm 0.00065$	0.02223 ( $\theta_{13} = 8.57^\circ$ )	-
$\sin^2 \theta_{13}$ (IO)	$0.02239 \pm 0.00063$	-	0.02238 ( $\theta_{13} = 8.60^\circ$ )
$\delta_{\text{CP}}^\circ$ (NO)	$207.5 \pm 38.5$	240.49	-
$\delta_{\text{CP}}^\circ$ (IO)	$284.5 \pm 29.5$	-	263.49
$\eta_B/10^{-10}$	$6.12 \pm 0.04^\ddagger$	7.6 (7.6)	9.6 (51)
$\chi^2$	-	1.45	5.76 <sup>†</sup>

$$(m_1, m_2, m_3, m_{\beta\beta})$$

NO : (0.038, 8.6, 50, 3.7) meV

IO : (49, 50, 0.19, 34) meV

[KAMLAND-ZEN, 2203.02139]  $m_{\beta\beta} < 36 - 156$  meV

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$N_2$  leptogenesis +  $N_1$  washout

[Di Bari & Riotto, 0809.2285]

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$$(m_1, m_2, m_3, m_{\beta\beta})$$

NO : (0.038, 8.6, 50, 3.7) meV

IO : (49, 50, 0.19, 34) meV

[KAMLAND-ZEN, 2203.02139]  $m_{\beta\beta} < 36 - 156$  meV

$$(M_1, M_2, M_3)$$

NO :  $(6.6 \times 10^4, 2.1 \times 10^{12}, 8.1 \times 10^{14})$  GeV

IO :  $(1.1 \times 10^4, 1.7 \times 10^{12}, 5.9 \times 10^{14})$  GeV

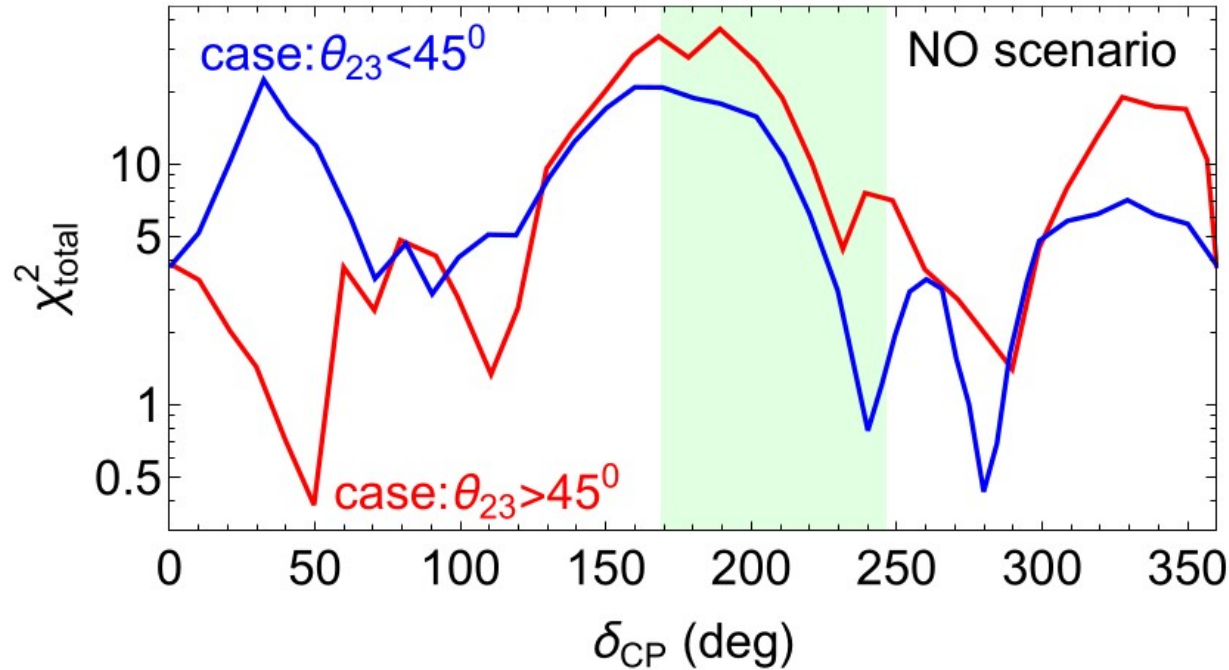
$N_2$  leptogenesis +  $N_1$  washout

[Di Bari & Riotto, 0809.2285]

Slight preference for NO



# Predictions



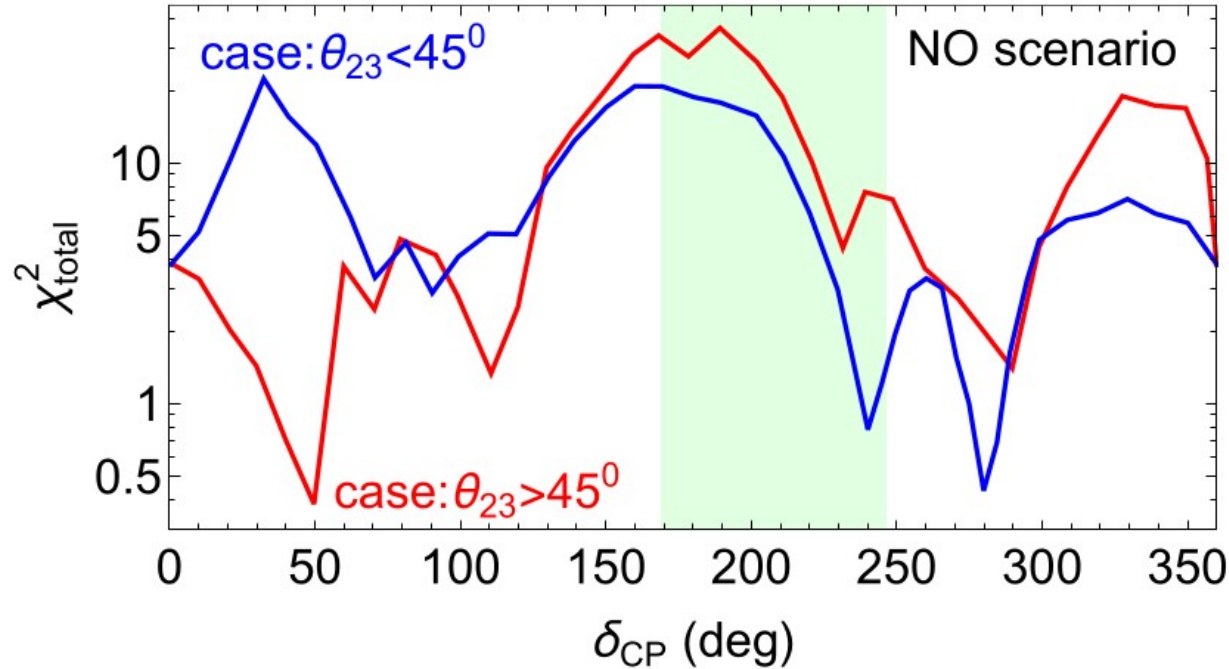
The green band is from

[October 2021 data: [www.nu-fit.org](http://www.nu-fit.org)]

[Esteban et al., 2007.14792]

Impose (with approximated solutions)  $\eta_B/10^{-10} \in (5, 50)$

# Predictions



The green band is from

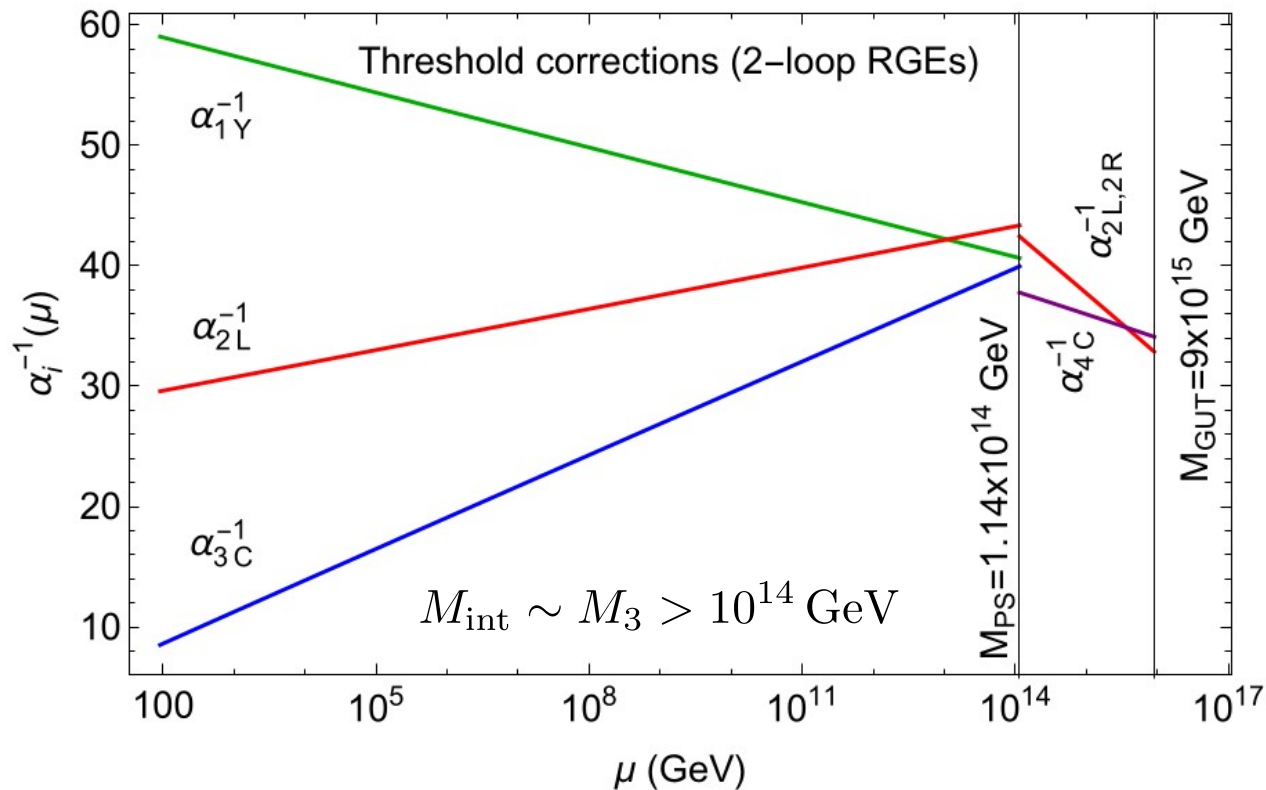
[October 2021 data: [www.nu-fit.org](http://www.nu-fit.org)]

[Esteban et al., 2007.14792]

Slight preference for  $\theta_{23} < 45^\circ$

Impose (with approximated solutions)  $\eta_B/10^{-10} \in (5, 50)$

# Predictions



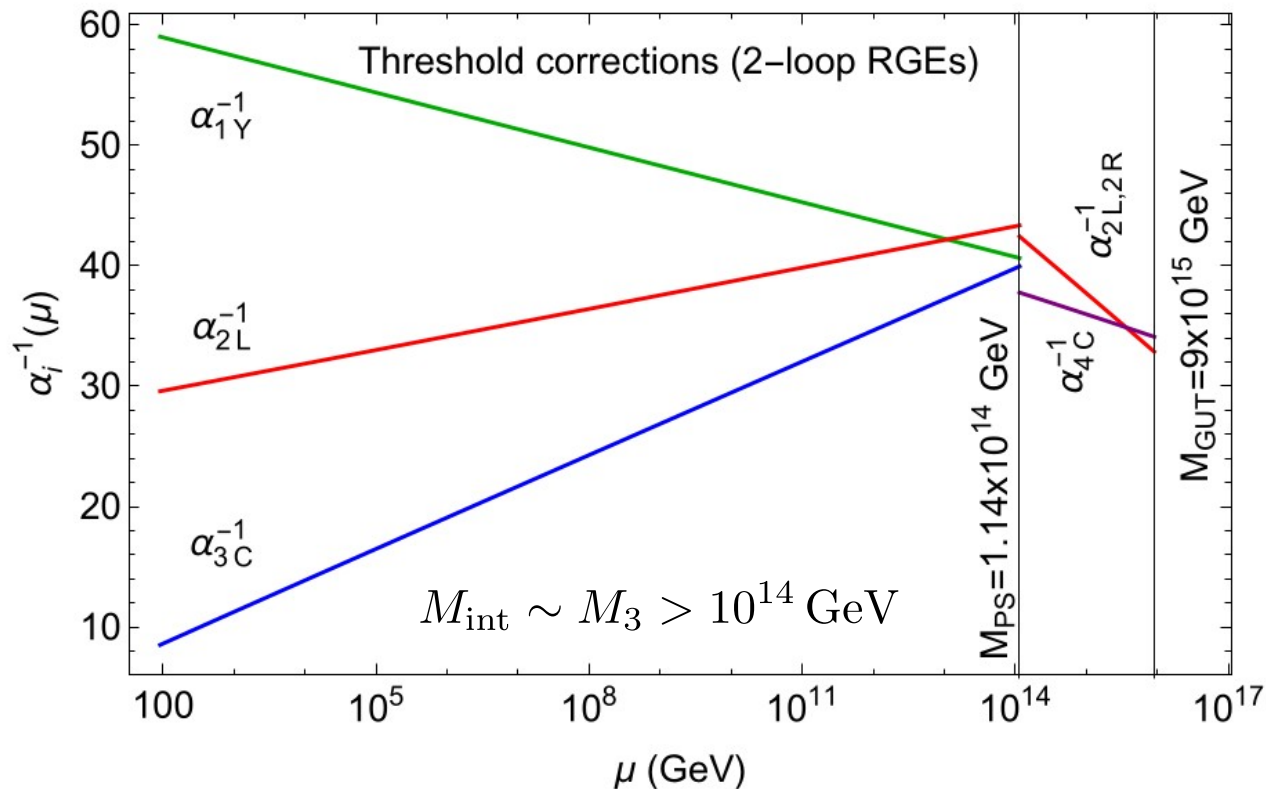
[SuperK, 2010.16098]

$$\tau_p(p \rightarrow e^+ \pi^0) > 2.4 \times 10^{34}$$

$$\Rightarrow M_{\text{GUT}} \gtrsim 5 \times 10^{15} \text{ GeV}$$

$$SO(10) \xrightarrow[54_H]{M_{\text{GUT}}} SU(4)_C \times SU(2)_L \times SU(2)_R \times Z_2 \xrightarrow[126_H]{M_{\text{int}}} SU(3)_C \times SU(2)_L \times U(1)_{\mathbf{13}/17}$$

# Predictions



[SuperK, 2010.16098]

$$\tau_p(p \rightarrow e^+ \pi^0) > 2.4 \times 10^{34}$$

$$\Rightarrow M_{\text{GUT}} \gtrsim 5 \times 10^{15} \text{ GeV}$$

SO(10) is broken through  $54_H$   
instead of  $45_H$  or  $210_H$

$$SO(10) \xrightarrow[54_H]{M_{\text{GUT}}} SU(4)_C \times SU(2)_L \times SU(2)_R \times Z_2 \xrightarrow[126_H]{M_{\text{int}}} SU(3)_C \times SU(2)_L \times U(1)_{\mathbf{13}/17}$$

# Remarks

- Verify high scale baryogenesis through **consistency check** of fundamental models
- SO(10) with minimal Yukawa sector
  - Neutrinoless double beta decay  $m_{\beta\beta} = 3.7 (34) \text{ meV}$
  - Slight preference for NO
  - CP phase for NO  $\delta_{\text{CP}} \simeq 230^\circ - 300^\circ$
  - Right-handed mass spectrum  $(M_1, M_2, M_3) \sim (10^{4-5}, 10^{11-12}, 10^{14-15}) \text{ GeV}$
  - $N_2$  leptogenesis +  $N_1$  washout
  - Break SO(10) with  $54_H$  to Pati-Salam with  $Z_2$  (consistent w/ proton decay limit)
- High  $M_{\text{int}} \rightarrow$  nanoHz gravitational waves from metastable cosmic strings  
e.g. [Antusch, Hinze, Saad & Steiner, 2307.04595] [Buchmüller, Domcke & Schmitz, 2307.04691]

# Transition temperatures

- In an expanding Universe, if some interaction rates become slower than Hubble rate  $\mathcal{H}$  some effective U(1) symmetries arise. [CSF, 1508.03648] [CSF, 2012.03973]

For a radiation-dominated Universe above  $T_x$ , we gain the corresponding U(1)<sub>x</sub>

$$\begin{array}{ll}
 T_t \sim 10^{15} \text{ GeV}, & T_\mu \sim 10^9 \text{ GeV}, \\
 T_u \sim 2 \times 10^{13} \text{ GeV}, & T_{B_3-B_2} \sim 9 \times 10^8 \text{ GeV} \\
 T_B \sim 2 \times 10^{12} \text{ GeV}, & T_{u-s} \sim 3 \times 10^8 \text{ GeV}, \\
 T_\tau \sim 4 \times 10^{11} \text{ GeV}, & T_{B_3+B_2-2B_1} \sim 10^7 \text{ GeV}, \\
 T_{u-b} \sim 3 \times 10^{11} \text{ GeV}, & T_{u-d} \sim 2 \times 10^6 \text{ GeV}, \\
 T_{u-c} \sim 2 \times 10^{10} \text{ GeV}, & T_e \sim 3 \times 10^4 \text{ GeV}.
 \end{array}$$

# SO(10) branching rules

- Branching rules for SO(10) [Slansky, 1981]

$$SU(4) \times SU(2)_L \times SU(2)_R$$

$$10 = (1, 2, 2) + (6, 1, 1)$$

$$16 = (4, 2, 1) + (\bar{4}, 1, 2)$$

$$120 = (1, 2, 2) + (10, 1, 1) + (\bar{10}, 1, 1) + (6, 3, 1) + (6, 1, 3) + (15, 2, 2)$$

$$126 = (6, 1, 1) + (10, 1, 1) + (\bar{10}, 1, 1) + (6, 3, 1) + (6, 1, 3) + (15, 2, 2)$$

$$SU(5) \times U(1)$$

$$10 = 5(2) + \bar{5}(-2)$$

$$16 = 1(-5) + \bar{5}(3) + 10(-1)$$

$$120 = 5(2) + \bar{5}(-2) + 10(-6) + \bar{10}(6) + 45(2) + \bar{45}(-2)$$

$$126 = 1(10) + \bar{5}(-2) + 10(-6) + \bar{15}(6) + 45(2) + \bar{50}(-2)$$

## SO(10) branching rules

- Fermion masses in Minimal SO(10) [Babu, Bajc & Saad, 1805.10631]

$$M_U = \underbrace{v_{10}y_{10}}_{\equiv D} + \underbrace{v_{126}^u y_{126}}_{\equiv S} + \underbrace{(v_{120}^{(1)} + v_{120}^{(15)})y_{120}}_{\equiv A},$$

$$M_D = v_{10}^* y_{10} + v_{126}^d y_{126} + (v_{120}^{(1)*} + v_{120}^{(15)*})y_{120},$$

$$M_E = v_{10}^* y_{10} - 3v_{126}^d y_{126} + (v_{120}^{(1)*} - 3v_{120}^{(15)*})y_{120},$$

$$M_{\nu D} = v_{10} y_{10} - 3v_{126}^u y_{126} + (v_{120}^{(1)} - 3v_{120}^{(15)})y_{120},$$

$$M_{\nu L,R} = v_{L,R} y_{126}$$

Light neutrino mass matrix  $m_\nu = M_{\nu L} - M_{\nu D}^T M_{\nu R} M_{\nu D}$