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“Modos permitidos, raros y prohibidos hacia la  
nueva física”

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**Diego Portillo Sánchez**

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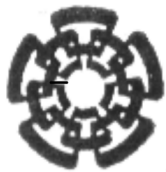
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# “Allowed, rare and forbidden ways to new physics”

**by**

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PhD IN PHYSICS:

**ALLOWED, RARE AND FORBIDDEN  
WAYS TO NEW PHYSICS**

MSc. Diego Portillo Sánchez.

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Advisors:

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# Abstract

The search for new physics (NP) beyond the Standard Model (SM) and its characterization are some of the main goals in today's particle physics. In this thesis, we investigate a set of different low-energy observables as complementary approaches to test NP effects. Firstly, we focus on processes where SM contributions are either suppressed or identically zero, making them ideal channels for NP searches. In particular, we study the impact of heavy neutral leptons in baryon decays and same-sign muon collisions, obtaining constraints on the relevant NP parameter space using expected sensitivities for present or forthcoming experiments.

For high-precision measurements and rare transitions, an accurate estimation of the SM contribution is essential to probe potential NP effects. In this context, we compute, for the first time, the next-to-leading order electromagnetic (QED) corrections to the branching fraction of the second-class current (SCC)  $\tau^- \rightarrow \pi^- \eta^{(\prime)} \nu_\tau$  rare decay. Similarly, we calculate the proton-box contribution to the hadronic light-by-light component of the muon  $g-2$  anomaly. Although it is suppressed by the proton electromagnetic form factor, this contribution is comparable in size to other hadronic effects, highlighting the importance of including baryonic intermediate states in the theoretical evaluation of  $g-2$ .

Overall, this work advances the understanding of both new physics signatures and sub-leading SM effects, providing with theoretical input to ongoing and future experiments.

# Resumen

La búsqueda de nueva física (NF) más allá del Modelo Estándar (ME) y su caracterización constituyen algunos de los objetivos principales de la física de partículas actual. En esta tesis, investigamos un conjunto de diferentes observables de baja energía como enfoques complementarios para poner a prueba los efectos de NF. En primer lugar, nos enfocamos en procesos donde las contribuciones del ME son despreciables o nulas, lo que los convierte en canales ideales para la búsqueda de NF. En particular, estudiamos el impacto de leptones neutros pesados en decaimientos bariónicos y en colisiones de muones. Utilizando las sensibilidades esperadas para experimentos presentes o futuros, obtenemos restricciones sobre el espacio de parámetros de masa y mezcla de estos nuevos estados pesados.

Para mediciones de alta precisión y transiciones raras, una estimación precisa de la contribución del ME resulta esencial con el fin de descartar posibles efectos de NF. En este contexto, calculamos las correcciones electromagnéticas dominantes de siguiente orden a la fracción de decaimiento de la corriente de segunda clase en la desintegración rara  $\tau^- \rightarrow \pi^- \eta^{(\prime)} \nu_\tau$ . De manera similar, evaluamos la contribución de la caja de protones al término hadrónico de dispersión luz-por-luz en la anomalía del momento magnético del muón  $g - 2$ . Aunque esta contribución se encuentra suprimida por el factor de forma electromagnético del protón, resulta comparable en magnitud a otros efectos hadrónicos, lo que resalta la importancia de incluir estados bariónicos intermedios en la evaluación teórica del  $g - 2$ .

En general, este trabajo contribuye al entendimiento tanto de las señales de nueva física como de los efectos subdominantes del SM, proporcionando resultados teóricos relevantes para experimentos presentes y futuros.

# List of publications

This thesis is based on the following scientific works:

- “ $\Delta L = 2$  hyperon decays induced by Majorana neutrinos and doubly charged scalars.” *Phys. Rev. D* 105 (2022) 11, 113001.
- “Resonant Majorana neutrino effects in  $\Delta L = 2$  four-body hyperon decays.” *Phys. Rev. D* 107 (2023) 5, 055042.
- “ $\tau^- \rightarrow \pi^- \eta \nu_\tau$  decay induced by QED one-loop effects.” *Phys. Rev. D* 108 (2023) 11, 113001.
- “Authentic Majorana versus singlet Dirac neutrino contributions to  $\mu^+ \mu^+ \rightarrow \ell^+ \ell^+ (\ell = e, \tau)$  transitions.” *Phys. Rev. D* 110 (2024) 5, 053006.
- “Proton-box contribution to  $a_\mu^{HLbL}$ .” *Phys.Rev.D* 111 (2025) 9, 093008.
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# Chapter 1

## Introduction

The Standard Model (SM) of elementary particles is one of the most successful theories in physics' history; its precision and predictability have been widely tested in different experiments for decades. However, despite its great accuracy and success, there are reasons to think that this model is, perhaps, an effective theory (useful until certain energy scale) to describe nature in a subatomic regime but rather, an Ultraviolet (UV) completeness is required in order to answer the open questions: What is the mass generation mechanism of neutrinos? What is their nature, are they Dirac or Majorana fermions? What is the origin of the hierarchy among the masses and mixings of fermions (flavor problem)? And some others not directly related with the formulation of the SM but still really interesting to consider on, for instance, What is the nature of the Dark Matter (DM)? Is it possible to consider a particle interpretation of it and, if so, how DM interacts with normal matter? Or even, Why is there more matter than anti-matter in the universe?

To provide explanations to these questions, the community has proposed tons of different models and UV extensions with what we call New Physics (NP) beyond the standard model, consisting of the additional particles, symmetries, and/or couplings apart from the SM content. The aim of this thesis is to present a set of different options in order to look for manifestations of certain kind of NP in current and/or forthcoming experiments. In this sense, it is worth to classify this NP in three different categories, related with the kind of observables we are looking for in each of them:

- **Allowed modes (high precision measurements):** The aim of this approach is to identify a five-sigma discrepancy between a theoretical prediction within the SM and the corresponding experimental result of a given observable. If such a deviation is observed, then it must be

attributed to the existence of new interactions. An example includes the effort to understand the  $g-2$  anomaly of the muon.

- **Rare processes:** This category focuses on processes that are suppressed in the SM due to the breaking of approximate symmetries. Therefore, the potential contribution of NP interaction to the same process, usually suppressed by a high mass scale, could be of the same order of magnitude as those within the SM allowing us to obtain strong constraints for the physics beyond the SM by having relatively under good control the theoretical predictions (considering just the leading or at most next-to-leading order contributions).
- **Forbidden (or highly suppressed) channels:** If there is a process that is entirely forbidden within SM, and, it is observed in an experiment, it must be due to the existence of NP. For instance, the search for neutrinoless double-beta decay, charged lepton flavor violation (cLFV) or proton decay. Otherwise, in the absence of any observation, we can derive strong constraints on the parameter space of these generalized interactions.

Recently, the study of low-energy precision flavor observables has attracted considerable interest from the community due to the presence of certain tensions among experimental results and Standard Model (SM) theoretical predictions (commonly referred to as anomalies), with a difference between both central values of a few standard deviations. Examples include tests of lepton flavor universality in leptonic B-meson transitions [1], searches for flavor-changing neutral currents in B-mesons [2] or kaons [3, 4], and the anomaly in the magnetic moment of the muon [5], etc. All of these observables provide opportunities to probe the effects of hypothetical new physics in low-energy experiments and, as we elaborate throughout the text, offer a suitable way to constrain the parameter space of such NP scenarios.

During the realization of this work, we will elaborate on each of the above points, in order to search for NP mostly in the heavy flavor sector (heavy quarks and leptons). This thesis is organized as follows: The second chapter is dedicated to the introduction of the Standard Model (SM) of elementary particles. In third chapter, we will focus on the theoretical aspects behind the neutrino mass generation mechanisms as well as the phenomenological consequence of having a theory with massive neutrinos. In chapter 4 we present the overview and status of lepton number violation searches with special focus on the contribution due to the exchange of heavy neutrino states. Chapter 5 is dedicated to, forbidden within the SM,  $\Delta L = 2$  channels

of three and four-body heavy baryon decays. Meanwhile, in chapter 6 we talk about the, also forbidden in the SM, charged lepton flavor violation (cLFV) in same sign muon collisions. Chapter 7 is dedicated to the rare hadronic tau decays, having a brief description of the experimental and theoretical status of this kind of processes (classified in the above point: suppressed but not forbidden) and an extended analysis of the electromagnetic contribution to the isospin-breaking effects to the  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  transition. In chapter 8, we elaborate on the g-2 anomaly and its implications for searches of new physics, focusing on the proton box contribution to  $a_\mu^{\text{HLbL}}$  as an example of high precision observables. Finally, we write the conclusions and overview of the present work.



# Chapter 2

## The SM of elementary particles.

### 2.1 Symmetries in the SM

From the formulation of the SM as a quantum field theory (QFT), three fundamental components arise: symmetries, matter content, and vacuum configuration. In the following sections, we elaborate on each of them as a way to fully define this initial framework for future extensions. Starting by the symmetries, they play a central role in determining the structure of the theory and its interactions. Thus, it seems convenient to classify them in such a way as:

- **Space–time symmetries:** associated with Poincaré invariance, which ensure the theory respects the fundamental principles of special relativity.
- **Global symmetries:** linked to invariance of the Lagrangian under transformation of the fields that do not depend on the space-time coordinates, yielding to conservations of quantum numbers such as lepton number and baryon number.
- **Local (gauge) symmetries:** space-time dependent transformations which dictate the interactions between fundamental particles through the exchange of spin-1 gauge bosons.

Among these, the last kind together with the gauge invariance principle are particularly important in the construction of the SM. To illustrate these concepts, in order to extend this methodology to a more complex scenario as in the SM, we can consider the simplest example: a local  $U(1)$  symmetry

acting on an arbitrary fermion Lagrangian. This will lead us to the formulation of the quantum electrodynamics (QED), the well-established theory of the electromagnetic interaction.

### 2.1.1 Quantum electrodynamics

Let us start with the Dirac theory Lagrangian of an arbitrary free fermion  $\Psi$ :

$$\mathcal{L}_\Psi = \bar{\Psi}(i\not{\partial} - m)\Psi, \quad (2.1)$$

where  $m$  is the mass,  $\bar{\Psi} = \Psi^\dagger \gamma_0$ , and  $\not{\partial} \equiv \gamma^\mu \partial_\mu$ . As we can see from the above expression, this Lagrangian is invariant under a global transformation:  $\Psi \rightarrow \Psi' = e^{iQ\alpha} \Psi$ <sup>1</sup>. Whereas, in the case of a local realization of the symmetry, i. e.  $\Psi(x) \rightarrow \Psi'(x) = \exp(iQ\alpha(x)) \Psi(x)$ <sup>2</sup>, the Lagrangian will transform as:

$$\mathcal{L}'_\Psi = \mathcal{L}_\Psi + Q(\partial_\mu \alpha(x)) \bar{\Psi} \gamma^\mu \Psi, \quad (2.2)$$

which is clearly not invariant. Therefore, in order to remain it unchanged under the gauge symmetry, we must promote the derivative of  $\Psi$  to transform in the same way as the field, in such a case:

$$(D_\mu \Psi(x)) \rightarrow (D_\mu \Psi(x))' = e^{iQ\alpha(x)} D_\mu \Psi(x), \quad (2.3)$$

where, if we assume the minimal coupling principle<sup>3</sup> ( $D_\mu \equiv \partial_\mu + iQA_\mu(x)$ )  $A_\mu(x)$  might satisfy the following:

$$A_\mu \rightarrow A'_\mu = A_\mu + \frac{1}{Q} \partial_\mu \alpha(x). \quad (2.4)$$

Straightforwardly, the dynamics of an arbitrary fermion  $\Psi$  invariant under a  $U(1)$  gauge symmetry can be written by:

$$\mathcal{L}_\Psi = \bar{\Psi}(i\not{D} - m)\Psi = \bar{\Psi}(i\not{\partial} - m)\Psi - QA^\mu \bar{\Psi} \gamma_\mu \Psi, \quad (2.5)$$

where the introduction of the term  $A^\mu \bar{\Psi} \gamma_\mu \Psi$  (as a procedure to remain the Lagrangian invariant), is nothing but the usual QED vertex, which is responsible to describe the interaction between the fermion field and the photon  $A_\mu$ .

---

<sup>1</sup>Described by the  $U(1)$  Lie group.

<sup>2</sup>Taking  $Q$  as a constant and  $\alpha(x)$  an arbitrary function of the space-time.

<sup>3</sup>Referring to a linear modification of the partial operator by an arbitrary vectorial function with specifics transformation laws. This kind of realization is compatible with the renormalization of the free theory.



Finally, we need to add the corresponding kinetic term related to the new field  $A_\mu$ :

$$\mathcal{L}_F = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu}, \quad \text{with} \quad F_{\mu\nu} \equiv \partial_\mu A_\nu - \partial_\nu A_\mu. \quad (2.6)$$

It is important to note that the mass term  $m^2 A_\mu A^\mu$  is forbidden by the  $U(1)$  symmetry where  $A_\mu$  corresponds to the spin-1 massless gauge boson of the theory. Finally, the QED Lagrangian can be written as

$$\mathcal{L}_{\text{QED}} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \bar{\Psi}(i\not{\partial} - m)\Psi - QA^\mu\bar{\Psi}\gamma_\mu\Psi. \quad (2.7)$$

Scenically, this procedure can be applied to more complicated gauge symmetries, starting by the dynamics of a free fermion and promoting the partial operator to a covariant derivative, leading to the addition of as many massless gauge fields as number of generators of the symmetry. This characteristic is common to any Yang-Mills theory, and is particularly convenient to give an explanation of the interactions within SM.

### 2.1.2 Gauge sector in the SM

Following the same approach as in the previous section, our goal for the SM is to construct the most general Lagrangian that remains invariant under its local gauge group<sup>4</sup>,

$$SU(3)_c \otimes SU(2)_L \otimes U(1)_Y.$$

Each factor in this group corresponds to a distinct interaction in nature. The  $SU(3)_c$  sector describes quantum chromodynamics (QCD) — the theory of the strong interaction — mediated by eight massless gauge fields  $G_i^\mu$   $i = 1, 2, \dots, 8$ , known as gluons. The electroweak sector, which is the main focus of this thesis, is described by the direct product  $SU(2)_L \otimes U(1)_Y$ . This structure introduces four gauge bosons: three  $W_i^\mu$  ( $i = 1, 2, 3$ ) associated with the weak isospin, and one  $B^\mu$  corresponding to the hypercharge. The subscript  $L$  reflects the chiral nature of the  $SU(2)$  interaction: only left-handed fermions transform as doublets under  $SU(2)_L$ , while their right-handed counterparts are singlets under this symmetry. Consequently, only left-handed fermions couple to the  $W_i$  bosons.

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<sup>4</sup>The subscripts  $c$ ,  $L$ , and  $Y$  refer to color, left, and hypercharge, respectively.

Therefore, the first part of the Lagrangian density is the dynamics of the gauge fields, given by:

$$\mathcal{L}_G = -\frac{1}{4}B^{\mu\nu}B_{\mu\nu} - \frac{1}{4}G^{a,\mu\nu}G_{\mu\nu}^a - \frac{1}{4}W^{a,\mu\nu}W_{\mu\nu}^a, \quad (2.8)$$

where we define  $A_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + igf_{abc}A_\mu^b A_\nu^c$  understanding as  $A = \{B, G, W\}$  and being  $f_{abc}$  the structure factor of the corresponding gauge group (in the case of the abelian group  $U(1)_Y$ , this term is 0) and  $g$  a coupling constant associated with each interaction (in the following we use  $g = \{g_s, g', g\}$  for the strong, weak and hypercharge coupling constants, respectively). It is important to remark that no mass terms for the gauge fields are added at this level due to the transformation law these kind of fields have to satisfy in order to ensure gauge invariance:

$$\tau_i A_\mu^i(x) \rightarrow \tau_i A_\mu^i(x) = G(x) [\tau_i A_\mu^i] G^\dagger(x) + \frac{i}{g}G(x)\partial_\mu G^\dagger(x), \quad (2.9)$$

where  $G = e^{ig\tau_i\alpha_i(x)}$  the gauge transformation according to the interaction,  $\tau_i$  the generators of the Lie group, and  $\alpha_i$  arbitrary real functions.

## 2.2 Matter content

The second ingredient, the matter content, is described by a set of fermion fields arranged as elements of different multiplets of the gauge groups, as shown in Table 2.1.  $q_R$  and  $\ell_R$  are the right-handed parts of the leptons and quarks, respectively, and the corresponding left-handed fields are within  $L$  and  $Q$  doublets in such a way that:

$$L = \begin{pmatrix} \nu_\ell \\ \ell \end{pmatrix}_L, \quad Q = \begin{pmatrix} U \\ D \end{pmatrix}_L, \quad (2.10)$$

with  $\ell = e, \mu, \tau$ ,  $U = u, d, t$ , and  $D = d, s, b$  encompass the three different generations of fermions.

The right-handed neutrinos are singlets under all the gauge groups, and, in a minimal formulation of the theory, these fields are excluded. However, as we discuss later, the addition of these right-handed fields leads to a more varied and interesting phenomenology directly related to the mass generation mechanism of neutrinos.

Another important point to note is that the leptons and quarks are organized into three different families with the same quantum numbers, the only difference being their coupling to the Higgs doublet, i.e., they have different

Fields	Generations	$SU(3)_c$	$SU(2)_L$	$U(1)_Y$
$\ell_L$	3	1	2	$-1/2$
$\nu_{\ell_L}$	3	1	2	$1/2$
$U_L$	3	3	2	$1/3$
$D_L$	3	3	2	$1/3$
$\ell_R$	3	1	1	$-1$
$U_R$	3	3	1	$-2/3$
$D_R$	3	3	1	$-2/3$
$B$	1	1	1	0
$W$	1	1	3	0
$G$	1	8	1	0
$H$	1	1	2	$1/2$

Table 2.1: Matter content in the SM and their representation under the gauge groups. The second column stand for the number of generations of each field.

masses. The existence of these three generations leads to the only source of  $CP$  violation in the SM, as we elaborate later in the text. Finally, with the charge assignments shown in Table 2.1, we can write the dynamics of the fermion sector as:

$$\mathcal{L} \supset \bar{L}_i \not{D} L_i + \bar{Q}_i \not{D} Q_i + \bar{\ell}_R \not{D} \ell_R + \bar{q}_R \not{D} q_R, \quad (2.11)$$

taking the covariant derivative as  $D_\mu \equiv \partial_\mu + iYg'B_\mu + i\tau_i g W_\mu^i + i\lambda_i g_s G_\mu^i$ , with  $Y$ ,  $\tau_i$ , and  $\lambda_i$  being the generators of each of the gauge groups in their respective representations. Moreover, fermion masses are forbidden by gauge  $SU(2)_L$  invariance, as left- and right-handed chiralities transform under different multiplets of this symmetry. Nevertheless, we know that such particles must have mass, implying the need for a theoretical mechanism to generate them. Consequently, we might introduce these masses by breaking the  $SU(2)_L$  symmetry in a way that preserves the overall consistency of the theory. The same procedure can also be applied to explain the mass of the weak gauge bosons  $W^\pm$  and  $Z$ , as we elaborate in detail in the next section.

## 2.3 Spontaneous Symmetry Breaking (SSB)

There are two ways to break a symmetry: explicitly (by introducing a violating term<sup>5</sup>) or spontaneously. The former option, in the case of a gauge symmetry, can lead to several problems related to fundamental aspects of the theory, such as unitarity and renormalizability. Therefore, the safest option to generate the masses of the particles in the SM seems to be SSB. In the following, we introduce this concept through the so-called Higgs mechanism.

### 2.3.1 Scalar sector

Based on the Goldstone theorem [6, 7]: In a QFT with a continuous global symmetry present in the Lagrangian, if at least one generator  $G$  does not preserve the vacuum, i.e.,  $G\langle 0 \rangle \neq 0$ , then the symmetry will be spontaneously broken, leading to the presence of one massless spin-0 particle for each of the broken generators (Goldstone bosons). In the case of gauge symmetries, these Goldstone bosons can be absorbed into the longitudinal polarization of the gauge fields, providing an explanation for the corresponding masses [8].

With this in mind, we require three extra degrees of freedom to become the longitudinal components of the  $W^\pm$  and  $Z$ , leaving a massless photon. Therefore, the SSB pattern will be  $SU(2)_L \otimes U(1)_Y \rightarrow U(1)_Q$ , where  $U(1)_Q$  is the electromagnetic gauge group<sup>6</sup>. The simplest way to achieve this was proposed by Higgs [9, 10] by introducing a scalar doublet with hypercharge  $Y = 1/2$  given by:

$$H = \begin{pmatrix} H^+ \\ H^0 \end{pmatrix}, \quad (2.12)$$

where the most general Lagrangian, for the  $H$  doublet, is written as:

$$\mathcal{L} \supset (D_\mu H) (D^\mu H^\dagger) - \mathcal{V}_H + \mathcal{L}_Y, \quad (2.13)$$

taking  $\mathcal{L}_Y$  as the Yukawa sector, involving the interaction between this scalar and the fermions of the theory described by:

$$\mathcal{L}_Y = Y_{ij}^\ell \bar{L}_i H \ell_R^j + Y_{ij}^U \bar{Q}_i \tilde{H} U_R^j + Y_{ij}^D \bar{Q}_i H D_R^j + h.c., \quad (2.14)$$

---

<sup>5</sup>For a global symmetry, this does not lead to any inconsistency in the theory if the corresponding violating term is small, yielding an approximate symmetry valid under certain limits. This is the case, for instance, of isospin symmetry in describing some processes related to strong interactions in the SM.

<sup>6</sup>With  $Q \equiv T_3 + Y$  defined as the generator of the remaining electromagnetic symmetry.

meanwhile,  $\mathcal{V}_H$  is the scalar potential:

$$\mathcal{V}_H = \mu^2 H H^\dagger + \frac{\lambda}{4} (H H^\dagger)^2. \quad (2.15)$$

In order to ensure that the potential is bounded from below (guaranteeing a ground state), we require  $\lambda > 0$ . Moreover,  $\mu^2 < 0$  is needed for this minimum to break the symmetry, which leads to a degenerate ground state with a nonzero expectation value. Furthermore, if we intend to preserve QED gauge symmetry after SSB (keeping a massless photon), it is important to choose the vacuum expectation value (VEV) of  $H$  as follows:

$$\langle H \rangle = \langle 0 | H | 0 \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}, \quad (2.16)$$

where we ensure this VEV to satisfy:

$$\begin{aligned} Q \langle H \rangle &= (T_3 + Y) \langle H \rangle \\ &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ v \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \end{aligned} \quad (2.17)$$

and  $v^2 = -\mu^2/\lambda$ . Finally, it is convenient to work in a particular parametrization of the Higgs doublet  $H$  where we can remove all the non-physical degrees of freedom, this is:

$$H = \frac{e^{i\sigma_i \alpha_i(x)}}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}. \quad (2.18)$$

In this expression, the exponential part can be removed by applying the unitary gauge transformation:  $H \rightarrow H' = \exp(-i\sigma_i \alpha_i(x)) H$ , eliminating the dependence on  $\alpha(x)$  (the Goldstone bosons associated with the breaking of the EW symmetry), where  $h(x)$  is the physical Higgs boson field<sup>7</sup>.

---

<sup>7</sup>However, it is possible to work within a linear realization of this parametrization to keep the Goldstone bosons as free fields in the theory (as happens in the Feynman-'t Hooft gauge) to better control other aspects of the theory such as UV divergences. Nevertheless, physical results must not depend on the gauge fixing nor on the parametrization of the fields.

### 2.3.2 Masses and mixings in the SM

Let us start by obtaining the physical masses of the gauge bosons. Therefore, after taking the redefinition in eq.(2.18) for the  $H$  doublet, we can write:

$$\begin{aligned}
 (D_\mu H) &= (\partial_\mu \mathbb{1} - ig\tau_i W_\mu^i - i\frac{g'}{2}B_\mu \mathbb{1})H \\
 &= \frac{1}{\sqrt{2}} \begin{pmatrix} \partial_\mu - i\frac{g}{2}W_\mu^3 - i\frac{g'}{2}B_\mu & i\frac{g}{2}(W_\mu^1 + iW_\mu^2) \\ i\frac{g}{2}(W_\mu^1 - iW_\mu^2) & \partial_\mu + i\frac{g}{2}W_\mu^3 - i\frac{g'}{2}B_\mu \end{pmatrix} \begin{pmatrix} 0 \\ v+h \end{pmatrix} \\
 &= \begin{pmatrix} -i\frac{g}{2}W_\mu^+(v+h) \\ \frac{1}{\sqrt{2}}\partial_\mu h + i\frac{1}{2\sqrt{2}}(gW_\mu^3 + g'B_\mu)(v+h), \end{pmatrix} \quad (2.19)
 \end{aligned}$$

where we define  $W_\mu^\pm \equiv (W_\mu^1 \pm iW_\mu^2)/\sqrt{2}$ . Leaving us with

$$\begin{aligned}
 (D_\mu H)(D^\mu H^\dagger) &= \frac{1}{2}\partial_\mu h \partial^\mu h + \frac{g^2}{4}W_\mu^+ W_\mu^- (v+h)^2 \\
 &\quad + \frac{1}{8}(v+h)^2(gW_\mu^3 + g'B_\mu)(gW^{3\mu} + g'B^\mu), \quad (2.20)
 \end{aligned}$$

where it is easy to identify the mass for the  $W_\mu^\pm$  as  $m_W = gv/2$ , meanwhile, the mass matrix for neutral bosons  $W_\mu^3$  and  $B_\mu$  takes the form:

$$\frac{v^2}{8} \begin{pmatrix} g^2 & gg' \\ gg' & g'^2 \end{pmatrix}. \quad (2.21)$$

After the diagonalization of the above matrix, we get a physical massive boson

$$Z_\mu = \cos \theta_W W_\mu^3 - \sin \theta_W B_\mu \quad (2.22)$$

(with mass  $m_Z = (v/2)\sqrt{g^2 + g'^2}$ ) and a massless photon

$$A_\mu = \sin \theta_W W_\mu^3 + \cos \theta_W B_\mu \quad (2.23)$$

where  $\tan \theta_W = g'/g$ , and  $\theta_W$  is known as the weak mixing angle. Thus, we can extract from the kinetic term of the fermion fields, the corresponding interaction to the gauge boson  $W_\mu^i$  and  $B_\mu$  in the flavor basis, such as:

$$\mathcal{L}_{\text{int}} = g(\bar{L}\gamma^\mu \tau_i W_\mu^i L + \bar{Q}\gamma^\mu \tau_i W_\mu^i Q) + g'B_\mu \sum_{\Psi} Y_\Psi \bar{\Psi}\gamma_\mu \Psi, \quad (2.24)$$

where  $\Psi = U, D, \ell, \nu_\ell$  is a sum over all the fermion in Tab.3.2. Straightforwardly, after the above redefinition of the fields, we can write the coupling between fermions and the physical gauge bosons  $Z, A$  (neutral currents):

$$\mathcal{L}_{N.C}^Z = A_\mu \sum_{\Psi=L,Q} \bar{\Psi} \left( \frac{g}{2} \sigma_3 \sin \theta_W + g' Y_\Psi \cos \theta_W \right) \Psi, \quad (2.25)$$

$$\mathcal{L}_{N.C}^A = Z_\mu \sum_{\Psi=L,Q} \bar{\Psi} \left( \frac{g}{2} \sigma_3 \cos \theta_W - g' Y_\Psi \sin \theta_W \right) \Psi, \quad (2.26)$$

meanwhile, the interaction with  $W_\mu^\pm$  (charged current) is:

$$\mathcal{L}_{C.C} = \frac{g}{\sqrt{2}} W_\mu^+ (\bar{U}_L \gamma_\mu D_L + \bar{\ell}_L \gamma_\mu \nu_{\ell L}) + \text{h.c.} \quad (2.27)$$

In summary, from the above expressions, we see that C.C. only interacts with the left-handed chiralities of the fermion fields, exchanging one component of the doublet for the other (i.e., coupling u- and d-type quarks within the same current, as well as a charged lepton with its corresponding neutrino). In contrast, neutral currents (N.C.) are vector-like interactions that couple only to a single species of fermion and its corresponding conjugate field. Such interactions inherently lead to flavor conservation<sup>8</sup>, as we elaborate later.

Finally, the Yukawa sector after the SSB takes the form:

$$\mathcal{L}_Y = \frac{v+h}{\sqrt{2}} Y_{ij}^\ell \bar{\ell}_L^i \ell_R^j + \frac{v+h}{\sqrt{2}} Y_{ij}^U \bar{U}_L^i U_R^j + \frac{v+h}{\sqrt{2}} Y_{ij}^D \bar{D}_L^i D_R^j + \text{h.c.}, \quad (2.28)$$

where is easy to identify the mass matrices<sup>9</sup>  $m_{\{\ell,U,D\}} = v Y^{\ell,U,D} / \sqrt{2}$ , which in principle are not diagonal. The diagonalization of those mass matrices yields the corresponding physical states as a linear combination of the flavor states:

$$\begin{aligned} \ell'_i &= (V^\ell)_{ij} \ell_j, \\ U'_i &= (V^U)_{ij} U_j, \\ D'_i &= (V^D)_{ij} D_j, \end{aligned} \quad (2.29)$$

---

<sup>8</sup>N.C. will not be sensitive to any change of basis in the fermion sector.

<sup>9</sup>An interesting result from here is that the interaction of the physical Higgs field (h) and the fermions should be proportional to its masses ( $m_f/v$ ), in contrast to the gauge sector where the interaction is proportional to the mass squared.

where we identify the primed fields as the physical ones with defined mass:

$$\text{diag}(m_u, m_c, m_t) = V_U^\dagger m_U V_U, \quad (2.30)$$

$$\text{diag}(m_d, m_s, m_b) = V_D^\dagger m_D V_D, \quad (2.31)$$

$$\text{diag}(m_e, m_\mu, m_\tau) = V_\ell^\dagger m_\ell V_\ell. \quad (2.32)$$

A consequence of this linear combination arises after writing down the interaction of the charged weak currents incorporating the physical rotation of the flavor states:

$$\mathcal{L}_{C.C} = \frac{g}{\sqrt{2}} W_\mu^+ (\bar{U}_L \gamma_\mu D_L + \bar{\ell}_L \gamma_\mu \nu_{\ell_L}) + h.c. \quad (2.33)$$

$$= \frac{g}{\sqrt{2}} W_\mu^+ (\bar{U}'_L \gamma_\mu V_U^\dagger V_D D'_L + \bar{\ell}'_L \gamma_\mu V_\ell^\dagger \nu_{\ell_L}) + h.c.. \quad (2.34)$$

The product  $V_U^\dagger V_D = V_{CKM}$  is known as the Cabbibo-Kobayashi-Maskawa (CKM) matrix [11, 12], a complex unitary matrix that can be parametrized in terms of 4 independent parameters (after removing non-physical degrees of freedom via global phase transformation of the fields), in such a way:

$$\begin{aligned} V_{CKM} &= \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \\ &= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -c_{12}s_{23}s_{13}e^{i\delta} - s_{12}c_{13} & c_{12}c_{13} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}, \end{aligned} \quad (2.35)$$

using the notation  $c_{ij} = \cos \theta_{ij}$ ,  $s_{ij} = \sin \theta_{ij}$  and being  $\delta$  the only source of CP-violation in the standard model. The current experimental values for the elements of the CKM matrix follows [13]:

$$|V_{CKM}| = \begin{pmatrix} 0.97427 \pm 0.00015 & 0.22534 \pm 0.00065 & 0.00351^{+0.00015}_{-0.00014} \\ 0.22520 \pm 0.00065 & 0.097344 \pm 0.00016 & 0.0412^{+0.0011}_{-0.0005} \\ 0.00867^{+0.00029}_{-0.00031} & 0.0404^{+0.0011}_{-0.0005} & 0.999146^{+0.000021}_{-0.000046} \end{pmatrix}. \quad (2.36)$$

Here are some final remarks:

- The neutral currents are not sensitive to this flavor rotation due to the structure of the interaction which involves the fermion and the corresponding conjugate field, eliminating the dependence on the matrices  $V$  after their unitarity properties.
- The fact that neutrinos remain massless due to the absence of the corresponding right-handed fields, let us redefine the fields and absorb the



mixing matrix for the charged leptons into the neutrino fields, leaving the charged current for leptons unchanged. A consequence of this is the lepton flavor conservation.

Once we set the general description of what we call SM of elemental particles, the next step is to extend the theory to more complicated scenarios where we can answer some of the open questions in particle physics. In this regard, during the realization of the thesis, we will focus on the neutrino sector and the phenomenology of sterile neutrinos. Therefore, in the next section we talk about those kinds of new particles in a more detailed way.



# Chapter 3

## Massive neutrinos: Searches and implications

*In this chapter, we elaborate on the phenomenology of massive neutrinos (with masses above the MeV scale) and their implications for experiments, starting with a discussion of basic UV extensions that not only describe the neutrino mass spectrum and mixings observed in oscillation experiments, but also provide testable phenomenology in the MeV–TeV regime.*

### 3.1 Flavor mixing in the neutrino sector.

Analogous to the quark sector, if neutrinos are massive particles, there is a mixing between flavor states, that is, the physical neutrino states can be expressed as a linear combination of flavor eigen-states and vice versa. For the case of only three light neutrinos  $\nu_i$  ( $i = 1, 2, 3$ ), this relation is described by an arbitrary  $3 \times 3$  unitary matrix  $\mathbf{U}$ :

$$|\nu_\alpha\rangle = \sum_i \mathbf{U}_{\alpha i} |\nu_i\rangle \quad \text{with} \quad \alpha = e, \mu, \tau, \quad (3.1)$$

where  $\alpha$  and  $i$  stand for the flavor and mass eigen-states, respectively, while  $\mathbf{U}$  is called the Pontecorvo-Maki-Nakagawa-Sakata matrix (PMNS) [14], an analogue to the CKM matrix for quark mixing shown in eq.(2.35). The

PMNS matrix can be parameterized as follows <sup>1</sup>:

$$\mathbf{U}_{\alpha i} = \begin{pmatrix} \mathbf{U}_{e1} & \mathbf{U}_{e2} & \mathbf{U}_{e3} \\ \mathbf{U}_{\mu 1} & \mathbf{U}_{\mu 2} & \mathbf{U}_{\mu 3} \\ \mathbf{U}_{\tau 1} & \mathbf{U}_{\tau 2} & \mathbf{U}_{\tau 3} \end{pmatrix} \quad (3.2)$$

with

$$\begin{aligned} \mathbf{U} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{-i\delta_{13}}s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{13}}s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \\ &= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{12}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix}, \end{aligned} \quad (3.3)$$

and having  $c_{ij} \equiv \cos \theta_{ij}$  and  $s_{ij} \equiv \sin \theta_{ij}$ . The values for all the parameters appearing in (3.3) are determined experimentally, and can be found in [13]. Majorana phases are not experimentally accessible, at least through the neutrino oscillations phenomenon. Therefore, in order to distinguish the Majorana nature of neutrino there would be necessary the observation of other processes such as lepton number violating (LNV).

## 3.2 Neutrinos oscillation in vacuum

Neutrino oscillation is a quantum phenomenon, confirmed by several experiments, where a neutrino of flavor  $\nu_\alpha$  ( $\alpha = e, \mu, \tau$ ) (created in a charged weak current interaction) can be detected with a different flavor after its propagation. The first studies on this phenomenon was presented in ref. [15]. From quantum mechanics we know that the temporal evolution of a “*flavor*” state  $|\nu_\alpha(t)\rangle$  is described by

$$|\nu_\alpha(t)\rangle = \sum_i \mathbf{U}_{\alpha i} e^{i\mathcal{H}t} |\nu_i(0)\rangle, \quad (3.4)$$

where  $|\nu_i\rangle$  represents the physical states (states with a well-defined energy  $\mathcal{H}|\nu_i\rangle = E_i|\nu_i\rangle$ ), and  $\mathbf{U}_{\alpha i}$  are the elements of the PMNS mixing matrix,

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<sup>1</sup>Note that, in the case of Majorana fields a second diagonal matrix  $\text{diag}(\text{Exp}(\alpha_1), \text{Exp}(\alpha_2), 1)$  -with the extra degrees of freedom coming from the Majorana phases - must multiply  $\mathbf{U}$  in (3.2).

reviewed in the previous section. Thus, we can rewrite the above equation as follows:

$$|\nu_\alpha(t)\rangle = \sum_i \mathbf{U}_{\alpha i} e^{-iE_i t} |\nu_i(0)\rangle. \quad (3.5)$$

Thus, the probability that after a time  $t$  the  $|\nu_\alpha\rangle$  state oscillates to  $|\nu_\beta\rangle$  is given by

$$\begin{aligned} |\langle \nu_\beta | \nu_\alpha \rangle|^2 &= \left| \sum_{ji} \langle \nu_j | \mathbf{U}_{\beta j}^* \mathbf{U}_{\alpha i} e^{-iE_i t} | \nu_i \rangle \right|^2 \\ &= \left| \sum_i \mathbf{U}_{\beta i}^* \mathbf{U}_{\alpha i} e^{-iE_i t} \right|^2 \\ &= \left| \sum_i \mathbf{U}_{\beta i}^* \mathbf{U}_{\alpha i} e^{-i \frac{m_i^2 L}{2p}} \right|^2 \\ &= \sum_i \mathbf{U}_{\beta i}^* \mathbf{U}_{\alpha i} e^{-i \frac{m_i^2 L}{2p}} \sum_j \mathbf{U}_{\beta j} \mathbf{U}_{\alpha j}^* e^{i \frac{m_j^2 L}{2p}} \\ &= \sum_{ij} \mathbf{U}_{\beta i}^* \mathbf{U}_{\alpha i} \mathbf{U}_{\beta j} \mathbf{U}_{\alpha j}^* e^{-i \frac{(m_i^2 - m_j^2)L}{2p}}, \end{aligned} \quad (3.6)$$

where we have used the approximation  $t \approx L$  owing to the fact that neutrinos are relativistic particles, and  $L$  represents the distance traveled for a time  $t$ ; then, expanding  $E$  for  $m \ll p$  as follows

$$E = \sqrt{p^2 + m^2} \approx p + \frac{m^2}{2p}. \quad (3.7)$$

Splitting the sum  $\sum_i = \sum_{i=j} + \sum_{i<j} + \sum_{i>j}$  in eq. (3.6) and after some algebra, we can write the transition probability:

$$\begin{aligned} |\langle \nu_\beta | \nu_\alpha \rangle|^2 &= \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re} (\mathbf{U}_{\beta i}^* \mathbf{U}_{\alpha i} \mathbf{U}_{\beta j} \mathbf{U}_{\alpha j}^*) \sin^2 \left( \frac{\Delta m_{ij}^2 L}{4E} \right) \\ &\quad + 2 \sum_{i>j} \text{Im} (\mathbf{U}_{\beta i}^* \mathbf{U}_{\alpha i} \mathbf{U}_{\beta j} \mathbf{U}_{\alpha j}^*) \sin \left( \frac{\Delta m_{ij}^2 L}{2E} \right), \end{aligned} \quad (3.8)$$

where the approximation  $p \approx E$  was considered and we have defined  $\Delta m_{ji}^2 \equiv m_i^2 - m_j^2$ . The above expression is independent of the parametrization of the mixing matrix  $\mathbf{U}$ . For illustrative purposes, we consider only two physical states and two flavors. In this situation the mixing  $\mathbf{U}$  is well defined as a  $2 \times 2$  matrix:

$$\mathbf{U} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}. \quad (3.9)$$

Then, from eq. (3.8)

$$\mathcal{P}_{\alpha \rightarrow \beta}^{\beta \neq \alpha} = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{2E}\right). \quad (3.10)$$

This last expression is often used to describe the transition  $\nu_\mu \rightarrow \nu_\tau$  in atmospheric mixing, because the electron neutrino does not play any role in this case. Nevertheless, until now we only have considered the propagation as free particles. Oscillations of neutrinos traveling in a medium, can be treated in perturbation theory using interaction potentials to describe the medium [16].

The important point to remark from eq. (3.8) is that oscillation between defined flavor states just happens if  $\Delta m \neq 0$ , in other words, neutrinos are in fact massive particles. In the framework of three-neutrino flavors, the transition probabilities depend on six independent parameters:  $\delta_{13}$ ,  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ ,  $\Delta m_{23}^2$  and  $\Delta m_{12}^2$  (see table 3.1), and there are two different scenarios for the mass ordering:

- Normal Hierarchy (NH)

In this case we have  $m_1 \ll m_2 \ll m_3$ . This let us write  $m_{1,2}$  in terms of squared-mass difference as follows:

$$\begin{aligned} \sqrt{\Delta m_{12}^2} &= \sqrt{m_2^2 - m_1^2} \approx m_2, \\ \sqrt{\Delta m_{23}^2} &= \sqrt{m_3^2 - m_2^2} \approx m_3. \end{aligned} \quad (3.11)$$

- Inverted Hierarchy (IH)

The hierarchy in this case is given by  $m_3 \ll m_1 \lesssim m_2$ , hence:

$$\sqrt{|\Delta m_{13}^2|} = \sqrt{m_1^2 - m_3^2} \approx m_1, \quad (3.12)$$

and

$$\begin{aligned} m_2 &\approx \sqrt{\Delta m_{23}^2} = \sqrt{\Delta m_{12}^2 + |\Delta m_{13}^2|}, \\ &= \sqrt{|\Delta m_{13}^2|} \left(1 + \frac{\Delta m_{12}^2}{|\Delta_{13}^2|}\right)^{1/2}, \\ &\approx \sqrt{|\Delta m_{13}^2|} \left(1 + \frac{\Delta m_{12}^2}{2|\Delta m_{13}^2|}\right). \end{aligned} \quad (3.13)$$

Parameters	Normal Hierarchy (NH)	Inverted Hierarchy (IH)
$\sin^2 \theta_{12}$	$0.304^{+0.013}_{-0.012}$	$0.304^{+0.013}_{-0.012}$
$\sin^2 \theta_{23}$	$0.57^{+0.018}_{-0.024}$	$0.575^{+0.017}_{-0.75}$
$\sin^2 \theta_{13}$	$0.02221^{+0.00068}_{-0.00062}$	$0.02240^{+0.00062}_{-0.00062}$
$\delta_{13}/^\circ$	$195^{+51}_{-12}$	$286^{+27}_{-32}$
$\Delta m_{12}^2/10^{-5}\text{eV}^2$	$7.42^{+0.21}_{-0.20}$	$7.42^{+0.21}_{-0.20}$
$\Delta m_{31}^2/10^{-3}\text{eV}^2$	$+2.514^{+0.028}_{-0.027}$	$-2.497^{+0.028}_{-0.028}$

Table 3.1: Three-flavor neutrino oscillation parameters taken from ref. [17].

### 3.3 Dirac or Majorana neutrinos?

Firstly, let us define what is a Majorana fermion and its corresponding Lagrangian mass term. From the Dirac Lagrangian  $\mathcal{L}_D = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$  we know that there are two separate solutions: one for the particle  $\psi$  and one for the antiparticle  $\bar{\psi}$ <sup>2</sup>, where one is associated to the other by the transformation:

$$\psi^c = C\bar{\psi}^T, \quad (3.14)$$

taking  $C$  as the charge conjugation matrix with the properties:

$$C^{-1}\gamma_\mu C = -\gamma_\mu^T, \quad C^\dagger = C^T = C^{-1} = -C. \quad (3.15)$$

From now on, a Majorana fermion can be defined as a solution of the Dirac equation that obeyed the condition

$$\psi = \eta\psi^c, \quad (3.16)$$

where  $|\eta|^2 = 1$  is associated to a phase factor proportional to the CP-parity,  $\eta = -i\eta_{CP}$ . This means that up to this phase  $\eta$ , a Majorana particle is equal to its antiparticle and turns out clear that they cannot have neither electric charge, nor any other numbers associated with the conservation of a  $U(1)$  symmetry.

Now, it is convenient to rewrite a fermion field as a linear combination of its corresponding left and right components, as follows:

$$\psi_D = \psi_L + \psi_R, \quad \text{with} \quad \psi_{\{L,R\}} = P_{\{L,R\}}\psi, \quad (3.17)$$

<sup>2</sup>Where the general transformation rules of a spinor and its adjoint conjugate field are independent of the basis for the  $\gamma_\mu$  matrices, a detailed explanation of this topic can be found in [18].

where  $P_{\{L,R\}} \equiv \frac{1}{2}(1 \mp \gamma_5)$  are the chiral projection operators. Thus, in general, the four independent components of a Dirac field are associated with the two independent left and right chirality states<sup>3</sup>.

$$\psi_L = P_L \psi, \quad \psi_R = P_R \psi, \quad \psi_L^c \equiv (\psi_L)^c = P_R \psi^c, \quad \psi^c \equiv (\psi_R)^c = P_L \psi^c. \quad (3.18)$$

Whereas, due to the condition  $\psi^c = \eta \psi$ , a Majorana fermion only has two independent components

$$\psi_L = \eta^* \psi_R^c, \quad \psi_R = \eta \psi_L^c, \quad (3.19)$$

i.e.  $\psi_M = \psi_L + \eta \psi_L^c$ . In such a way, the mass term for a fermion field ( $m \bar{\psi} \psi$ ) can be written in terms of all the different possible scalar bilinear combinations as follows<sup>4</sup>:

$$\bar{\psi}_L \psi_R = \bar{\psi}_R^c \psi_L^c, \quad \bar{\psi}_R \psi_L = \bar{\psi}_L^c \psi_R^c \quad (|\Delta L| = 0) \quad (3.20)$$

$$\left. \begin{array}{cc} \bar{\psi}_L \psi_L^c, & \bar{\psi}_L^c \psi_L \\ \bar{\psi}_R \psi_R^c, & \bar{\psi}_R^c \psi_R \end{array} \right\} \quad (|\Delta L| = 2). \quad (3.21)$$

Note that a Dirac mass term connects  $L$  and  $R$  components of the same field

$$-\mathcal{L}_D = m_D \bar{\psi}_R \psi_L + \text{h.c.}, \quad (3.22)$$

whereas a Majorana mass term connects the same chirality for both, the field and its conjugate

$$-\mathcal{L}_M = \frac{1}{2} m_L \bar{\psi}_L^c \psi_L + \frac{1}{2} m_R \bar{\psi}_R^c \psi_R + \text{h.c.} \quad (3.23)$$

As discussed throughout the text, Dirac mass terms for fermions are forbidden in the SM by the gauge invariance, since the two chiralities transform in different multiplets of  $SU(2)_L$ . Consequently, their masses must be generated only after the SSB of EW sector. In contrast, Majorana masses can, in principle, be included in the Lagrangian without breaking any symmetry, as they do not mix different fermion's chiralities. However, electromagnetic charge conservation forbids such terms for all charged fermions, leaving neutrinos as the only particles within the SM that could have Majorana masses,

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<sup>3</sup>Note that the charge conjugation flips the chirality of the field.

<sup>4</sup>It is important to remark that the Majorana mass terms (the ones which mixed both the field and the corresponding charge conjugate) will break explicitly any global  $U(1)$  symmetry under which  $\psi$  is charged on, for instance, lepton number, i. e.  $L(\psi) = -L(\psi^c) = 1$ .



and thus potentially be Majorana fermions.

Finding observables that allow us to distinguish between the Dirac and Majorana nature of neutrinos in experiments is one of the main goals in neutrino physics. Therefore, there are few options associated with the measurement of LNV processes, such as the most promising one: neutrinoless double beta decays, as we elaborate later. Moreover, a novel method was proposed to distinguish between these two possibilities in a  $B$ -meson four-body decay, using the fermion's quantum statistical properties [19]. In Ref. [20], we investigated this approach, attempting to apply it to a more appealing process, such as a radiative tau decay. During our analysis, we identified a loophole in their derivation, specifically related to the phase-space treatment of the chosen kinematic configuration. After addressing this issue, no distinction between Dirac and Majorana neutrinos was found and, in this way, ruling out the possibility of distinguishing between both natures of neutrinos in that specific scenario. Other options, such as the measurement of neutrinoless quadruple beta decay [21] or deviation from the standard Michel parameters in the lepton decay [22] (or the analogous electron-neutrino scattering [23]) are examples of candidates to tackle this question.

With this in mind, in the next section, we elaborate on the phenomenological consequence of having Majorana fermions in the theory and their implications in the neutrino mass generation mechanism.

### 3.4 Neutrino mass models: The Weinberg operator

As noted above, neutrino masses are identically zero in the SM due to the absence of the corresponding right-handed fields. However, viewing the SM as the infrared limit of a larger theory, this neutrino mass term can be explained by a higher-dimensional operator (considering only the field content in Table 3.2 as explicit degrees of freedom <sup>5</sup>), known as the Weinberg operator:

$$\mathcal{L}_{m\nu} = \frac{c_{ij}}{\Lambda} (L_i \tilde{H})(L_j \tilde{H}), \quad \text{with} \quad \tilde{H} \equiv i\sigma_2 H^*, \quad (3.24)$$

where  $\Lambda$  denotes the characteristic energy scale of the corresponding interaction BSM ( $\Lambda_{\text{EW}} \ll \Lambda$ ). It is important to note that the effective terms

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<sup>5</sup>Under the assumption that there are no other degrees of freedom (weakly coupled or very light) beyond the SM at the EW scale.

above violate the lepton number symmetry  $U(1)_L$  by two units, leading to a Majorana mass term for neutrinos.

In this context, many ultraviolet (UV) completions have been proposed to explain the origin of the dimension-5 term in Eq. (3.24), with seesaw models representing some of the minimal linear realizations that reproduce the experimental constraints from light-neutrino oscillation experiments. However, there are alternative approaches in which the Weinberg operator arises at the loop level in the UV theory (radiative realizations), as in the scotogenic model [24]. The advantage of these theories lies in their novel and rich phenomenology at low energies, which can be tested in current and forthcoming experiments. In the next section, we provide a brief overview of the most popular models proposed to explain light neutrino masses and discuss how these mass-generation mechanisms operate.

### 3.4.1 The seesaw zoo

The simplest extension to explain the neutrino masses is just adding the right-handed field ( $\nu_R$ ) to the theory in order to generate Dirac masses via Yukawa couplings with the Higgs doublet, just like for all the other fermions. Nevertheless, once we introduce right-handed neutrinos there are no impediments that prohibit the inclusion of a Majorana mass term ( $\frac{1}{2}m_R\bar{\nu}_R^c\nu_R$ ) as well. Moreover, some models may admit left-handed Majorana masses too. In this way, let us consider the case of only one neutrino family with the most general mass term given by

$$\begin{aligned} -\mathcal{L}_m &= \frac{1}{2} (2m_D\bar{\nu}_L\nu_R + m_L\bar{\nu}_L^c\nu_L + m_R\bar{\nu}_R^c\nu_R + h.c.) \\ &= \frac{1}{2} (\bar{\nu}_L^c, \bar{\nu}_R) \begin{pmatrix} m_L & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} + h.c. \\ &= \frac{1}{2} (\bar{\nu}_L^c, \bar{\nu}_R) M \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} + h.c., \end{aligned} \quad (3.25)$$

the neutrino mass  $M$  can be diagonalized by a unitary transformation<sup>6</sup>, such that

$$M' = U^T M U = \begin{pmatrix} m'_1 & 0 \\ 0 & m'_2 \end{pmatrix}, \quad (3.26)$$

where  $U$  is defined as:

$$U = O\rho \quad \text{with} \quad O = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}; \quad \rho = \begin{pmatrix} \rho_1 & 0 \\ 0 & \rho_2 \end{pmatrix}, \quad (3.27)$$

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<sup>6</sup>Further details can be found in ref. [25]

with  $\rho_{1,2}^2 = \mp 1$  and  $\tan \theta = 2m_D/(m_R - m_L)$ . The eigenvalues for  $M$  are

$$m_{1,2} = \frac{(m_L + m_R) \mp \sqrt{(m_L - m_R)^2 + 4m_D^2}}{2}, \quad (3.28)$$

where the physical masses in eq. (3.26) can be written as  $m'_{1,2} = \rho_{1,2}^2 m_{1,2}$ . Now, the generalization of this problem to a scenario with  $n$  left-handed and  $k$  right-handed neutrinos leads us to diagonalize a block matrix  $(n+k) \times (n+k)$  given by

$$\tilde{M} = \begin{pmatrix} m_{L_{n \times n}} & m_{D_{n \times k}}^T \\ m_{D_{k \times n}} & m_{R_{k \times k}} \end{pmatrix}. \quad (3.29)$$

However, since  $\tilde{M}$  is still a complex symmetric matrix, the diagonalization is obtained through a unitary transformation  $\mathbf{U}$ . In general,  $\mathbf{U}$  is the so-called mixing matrix, because it let us write the chiral fields as a linear combination of the mass eigen-states ( $\nu_i$ ) such that

$$\nu_{iL} = \sum_j^{n+k} \mathbf{U}_{ij} \nu_j, \quad \nu_{iR}^c = \sum_j^{n+k} \mathbf{U}_{ij} \nu_j. \quad (3.30)$$

### Type I

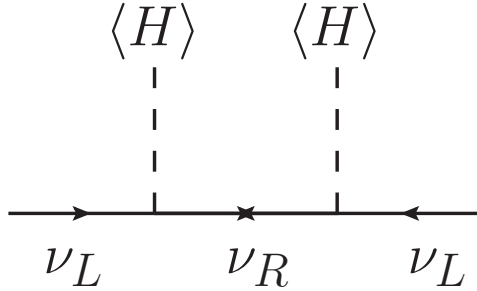


Figure 3.1: UV completeness of the Weinberg operator in the seesaw type I model.

The type-I seesaw mechanism is placed on three main assumptions. First,  $m_L = 0$  in eq. (3.25). Second, the Dirac masses are generated by the Higgs mechanism, then  $m_D$  should be of the order of the electroweak scale. Third,  $m_R$  must be much larger than  $m_D$  ( $m_D \ll m_R$ ). Thus, with all this we can rewrite eq. (3.28) as follows

$$m_{1,2} = \frac{m_R}{2} \left( 1 \mp \sqrt{1 + \left( \frac{2m_D}{m_R} \right)^2} \right). \quad (3.31)$$

Now, using series expansion for the limit  $m_D \ll m_R$

$$m'_1 = -\frac{m_R}{2} \left( 1 - \left[ 1 + \frac{1}{2} \left( \frac{2m_D}{m_R} \right)^2 + \mathcal{O} \left( \frac{2m_D}{m_R} \right)^4 \right] \right) \approx \frac{m_D^2}{m_R}. \quad (3.32)$$

$$m'_2 = \frac{m_R}{2} \left( 1 + \left[ 1 + \frac{1}{2} \left( \frac{2m_D}{m_R} \right)^2 + \mathcal{O} \left( \frac{2m_D}{m_R} \right)^4 \right] \right) \approx m_R \left( 1 - \frac{m_D^2}{m_R^2} \right) \approx m_R. \quad (3.33)$$

Setting  $m_D \sim 200$  GeV and  $m_R \sim 10^{15}$  GeV around the grand unification scale, in eqs. (3.32) and (3.33) we can conclude that the physical mass  $m_1$  is very suppressed ( $10^{-2} - 10^{-1}$ ) eV as it happens for active neutrinos masses in oscillation experiments. On the contrary, the second massive particle, the so-called sterile neutrino has a mass  $\sim 10^{15}$  GeV. The eigenvectors are:

$$\begin{aligned} \nu_1 &= (\nu_L + \nu_L^c) - \frac{m_D}{m_R^2} (\nu_R + \nu_R^c), \\ \nu_2 &= \frac{m_D}{m_R^2} (\nu_L + \nu_L^c) + (\nu_R + \nu_R^c). \end{aligned} \quad (3.34)$$

From eq. (3.34) we can see that the light neutrino  $m_1$  is mostly composed of  $\nu_L + \nu_L^c$  because the  $\nu_R + \nu_R^c$  component is suppressed by a factor  $m_D/m_R^2$ . In contrast, the state  $m_2$  is suppressed on the component  $\nu_L + \nu_L^c$  by the same factor.

## Type II

We may wonder what happens if we take eq. (3.28) with  $m_L \neq 0$ . We can add to the SM content a  $SU(2)_L$  triplet scalar  $\Delta$  in addition to the Higgs doublet  $H$ :

$$\Delta = \begin{pmatrix} \Delta^0 & \Delta^-/\sqrt{2} \\ \Delta^-/\sqrt{2} & \Delta^{--} \end{pmatrix}, \quad (3.35)$$

where the corresponding Yukawa coupling term added to the Lagrangian is

$$\mathcal{L} = Y_{ij}^\Delta \bar{L}_i^c i\tau_2 \Delta L_j + \text{h.c.} \quad (3.36)$$

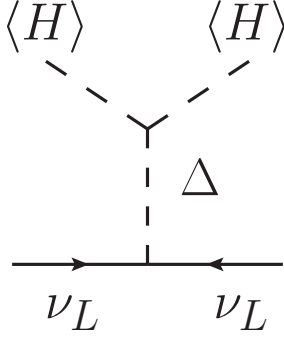


Figure 3.2: UV completeness of the Weinberg operator in the seesaw type II model.

With all this, we get a left-handed Majorana mass term after spontaneous symmetry breaking, as shown in Fig. 3.2. This is the so-called seesaw type II seesaw mechanism [26], where physical masses are given by  $m_\nu \sim \mu_\Delta Y^\Delta v^2 / m_\Delta^2$ , having  $\mu_\Delta$  as the trilinear scalar coupling constant.

### Type III

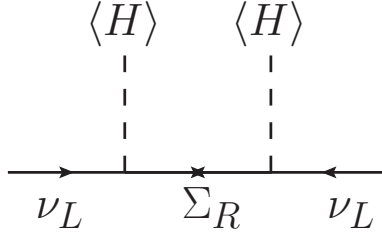


Figure 3.3: UV completeness of the Weinberg operator in the seesaw type III model.

Finally, the seesaw type III involves the addition of a new  $SU(2)_L$  fermion triplet [27]

$$\Sigma_R = \begin{pmatrix} \Sigma_R^0 / \sqrt{2} & \Sigma_R^+ \\ \Sigma_R^- & -\Sigma_R^0 / \sqrt{2} \end{pmatrix}. \quad (3.37)$$

Their interactions are described by the following terms in the Lagrangian

$$-\mathcal{L}_\Sigma = \sqrt{2} Y_\Sigma \bar{\Sigma}_R H^\dagger L + \frac{1}{2} \text{Tr}[\bar{\Sigma}_R M \Sigma_R^c] + h.c., \quad (3.38)$$

where for simplicity we have omitted generational indices,  $Y_\Sigma$  is a Yukawa coupling, and  $L$  is the usual  $SU(2)_L$  doublet  $(\ell^-, \nu_\ell)_L$ . After the SSB, and analogously to the type-I scenario the light neutrino mass is given by  $(m_\nu \sim \frac{Y_\Sigma Y_\Sigma^T}{m_\Sigma})$ , as shown in Fig. 3.3.

### Low-scale seesaw scenarios

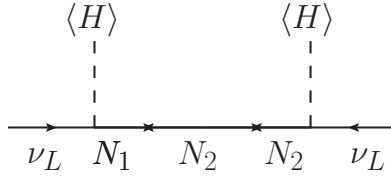


Figure 3.4: UV completeness of the Weinberg operator in a low-scale seesaw model.

One of the inconveniences of the above formulations is that, in order to reproduce the experimental results from neutrino oscillations, the scale of the extended components (such as  $N_R$ ,  $\Sigma_R$ , or  $\Delta$ ) must be far from the EW scale, discarding any possibility of observing these particles in future experiments. Therefore, to achieve a more phenomenologically appealing UV completion, some of the so-called low-scale seesaw models incorporate two different neutrino mass scales, allowing for the possible observation of heavy neutral leptons (HNLs) in forthcoming experiments. Some examples of these are the linear [28] and inverse [29] seesaw mechanisms. However, in order to get some phenomenological conclusion for future calculations, in this section we elaborate on a simplified scenario for neutrino mass generation as was previously presented in Refs. [30–32], which is basically a simplified linear seesaw. Here, the neutrino sector is composed of five self-conjugate states whose left-handed components  $\chi_{Li}$  include the three active neutrinos ( $i = 1, 2, 3$ ) plus two sterile spinors  $\chi_{\{4,5\}}$  of opposite lepton number. The Lagrangian defining the neutrino masses is assumed to have the form:

$$-\mathcal{L} \supset \sum_i^3 Y_i \tilde{H}^\dagger L_i \bar{\chi}_5^c + M \bar{\chi}_5^c \chi_4 + \frac{1}{2} \mu \bar{\chi}_5 \chi_5^c + \text{h.c.}, \quad (3.39)$$

where  $\tilde{H} \equiv i\sigma_2 H^*$  stands for the charge conjugate Higgs field,  $L_i$  the lepton SM doublets, and  $Y_i$  corresponds to Yukawa couplings. After the spontaneous

electroweak symmetry breaking, the neutrino mass matrix is written as

$$\mathcal{M}^\nu = \begin{pmatrix} 0 & 0 & 0 & 0 & m_1 \\ 0 & 0 & 0 & 0 & m_2 \\ 0 & 0 & 0 & 0 & m_3 \\ 0 & 0 & 0 & 0 & M \\ m_1 & m_2 & m_3 & M & \mu \end{pmatrix}, \quad (3.40)$$

with the masses  $m_i \equiv Y_i v / \sqrt{2}$  for  $(i = 1, 2, 3)$ , and  $v \approx 246 \text{ GeV}$  for the vacuum expectation value (VEV) of the Higgs field. The diagonalization of Eq. (3.40) leads to three massless neutrinos  $\nu_i$  and two heavy neutrino states with masses

$$m_{\{N_1, N_2\}} = \frac{1}{2} \left( \sqrt{4(m^2 + M^2) + \mu^2} \pm \mu \right), \quad (3.41)$$

with  $m^2 \equiv m_1^2 + m_2^2 + m_3^2$ .

On the other hand, the weak charged lepton currents necessary for computing the cLFV processes (arising, at one-loop level, through the mixing of the leptonic sector) are described by [30, 31]

$$\mathcal{L}_{W^\pm} = -\frac{g}{\sqrt{2}} W_\mu^\pm \sum_{i=1}^3 \sum_{j=1}^5 B_{ij} \bar{\ell}_i \gamma_\mu P_L \chi_j + \text{h.c.} \quad (3.42)$$

Working in the Feynman-'t Hooft gauge would also require considering the interaction of the nonphysical charged Goldstone bosons with a pair of leptons. Those vertices are described by [30, 31]

$$\mathcal{L}_{G^\pm} = -\frac{g}{\sqrt{2}} G^\pm \sum_{i=1}^3 \sum_{j=1}^5 B_{ij} \bar{\ell}_i \left( \frac{m_{\ell_i}}{M_W} P_L - \frac{m_{X_j}}{M_W} P_R \right) \chi_j + \text{h.c.} \quad (3.43)$$

In the above expressions,  $B_{ij}$  is a rectangular  $3 \times 5$  matrix defining the mixing in the leptonic sector. The elements involving the heavy new states  $N_{1,2}$  are crucial in the description of both LNV and cLFV effects, they can be written as:

$$B_{kN_1} = -i \frac{r^{1/4}}{\sqrt{1+r^{1/2}}} s_{\nu_k}, \quad B_{kN_2} = \frac{1}{\sqrt{1+r^{1/2}}} s_{\nu_k}, \quad (3.44)$$

with the definition  $r \equiv m_{N_2}^2 / m_{N_1}^2$ , and  $s_{\nu_k}$  ( $k = e, \mu, \tau$ ) defining the angles of the heavy states with the three flavors, they are expressed as follows

$$s_{\nu_k}^2 = \frac{m_k}{\sqrt{m_{N_1} m_{N_2}}}. \quad (3.45)$$

Something appealing about this scenario is that all the phenomenology of the leptonic mixing is described in terms of only five independent parameters, namely; a heavy mass  $m_{N_1}$ , the ratio  $r$  and the three heavy-light mixings  $s_{\nu_k}$ .

It is important to emphasize that this is a simplified model that cannot account for the masses and mixings of the light active neutrinos. However, it is sufficient to capture the cLFV effects in the presence of new heavy neutrino states with masses around  $\mathcal{O}(\text{TeV})$ . Generating small masses and light–light mixings for the three active neutrinos would require introducing additional singlets<sup>7</sup>. This can be achieved through the standard mechanisms (as in the  $\nu\text{SM}$  or Type I seesaw models, where cLFV effects are highly suppressed) or by adopting specific textures for the neutrino mass matrix based on approximate symmetries, as required in low-scale seesaw schemes. Nevertheless, the extra spinors and couplings introduced to generate light neutrino masses do not induce additional effects on cLFV observables; see Refs. [31, 32] for further details.

We remark that this parametrization allows us to describe, in a simple way, the effects of two heavy Majorana states and study the limit of almost degenerate masses ( $r \rightarrow 1$ ) forming a pseudo-Dirac field. We address this point in detail in the following chapters, by providing an estimation of the maximal total cross section in some dedicated  $|\Delta L| = 2$  processes (consistent with the current limits on the heavy-light mixings and a perturbative condition for the Yukawa couplings).

### 3.4.2 Radiative realizations: Scotogenic Model

The Scotogenic model [24] is a popular extension of the SM that addresses two of the currently most important open questions in physics: the origin of neutrino masses and the nature of the dark matter (DM) of the Universe. Its popularity stems from its simplicity. The model extends the SM particle content with three singlet fermions,  $N_{1,2,3}$ , and a scalar doublet,  $\eta$ , all odd under a new  $\mathbb{Z}_2$  symmetry under which the SM fields are even. These ingredients suffice to induce Majorana neutrino masses at the 1-loop level and provide a viable DM candidate, namely the lightest  $\mathbb{Z}_2$ -odd state.

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<sup>7</sup>In the original formulations of both the inverse and linear low-scale scenarios [14–16], the neutrino mass matrix is of dimension  $9 \times 9$ , since these constructions involve the inclusion of six extra neutral singlets. This added complexity makes it impractical to obtain complete analytical expressions for the unitary matrix  $U$  that diagonalizes the neutrino mass matrix, as well as for the physical neutrino masses. As a result, one typically relies on approximate or numerical treatments, which can be cumbersome to follow.



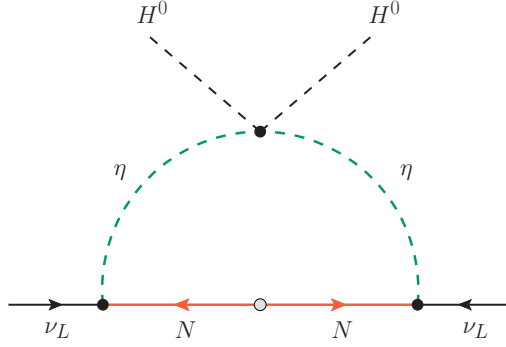


Figure 3.5: Neutrino mass generation in the Scotogenic model. This Feynman diagram shows the relevant gauge eigen-states involved in the 1-loop contribution to neutrino masses.

Radiative neutrino mass models [33–36] naturally suppress neutrino masses through loop factors, which is one of the main arguments in favor of this class of frameworks [37]. Moreover, several models introduce an additional suppression by imposing an approximate lepton-number symmetry, softly broken by a Lagrangian term with a small coefficient. This occurs in the Scotogenic model, where a tiny quartic coupling  $\lambda_5 \ll 1$  is required to reproduce the correct neutrino mass scale while allowing for sizeable Yukawa couplings. Although this setup is technically consistent and natural in the sense of ’t Hooft [38], it also motivates an extension capable of explaining the smallness of the  $\lambda_5$  parameter, potentially linking it to the mechanism of lepton-number breaking.

The particle content of the Scotogenic model [24] consists of the Standard Model fields supplemented with three generations of right-handed fermions  $N$ , which transform as  $(\mathbf{1}, 0)$  under  $(\text{SU}(2)_L, \text{U}(1)_Y)$ , and a scalar doublet  $\eta$ , transforming as  $(\mathbf{2}, 1/2)$ . In addition, we impose an ad hoc  $\mathbb{Z}_2$  symmetry under which both  $\eta$  and  $N$  are odd, while all remaining fields in the model are even. The lepton and scalar field content is summarized in Table 3.2.<sup>8</sup>

The model contains two scalar doublets, the usual Higgs doublet  $H$  and the new doublet  $\eta$ , only distinguished by their  $\mathbb{Z}_2$  charges. They can be

<sup>8</sup>We follow the conventions for the Scotogenic model adopted in [39].

Field	Generations	SU(3) <sub>c</sub>	SU(2) <sub>L</sub>	U(1) <sub>Y</sub>	$\mathbb{Z}_2$
$\ell_L$	3	<b>1</b>	<b>2</b>	-1/2	+
$e_R$	3	<b>1</b>	<b>1</b>	-1	+
$N$	3	<b>1</b>	<b>1</b>	0	−
$H$	1	<b>1</b>	<b>2</b>	1/2	+
$\eta$	1	<b>1</b>	<b>2</b>	1/2	−

Table 3.2: Lepton and scalar particle content and representations under the gauge and discrete symmetries in the Scotogenic model.  $\ell_L$  and  $e_R$  are the SM left- and right-handed leptons, respectively, and  $H$  is the SM Higgs doublet.

decomposed in terms of their SU(2)<sub>L</sub> components as

$$H = \begin{pmatrix} H^+ \\ H^0 \end{pmatrix}, \quad \eta = \begin{pmatrix} \eta^+ \\ \eta^0 \end{pmatrix}. \quad (3.46)$$

Once specified the particle content and symmetries of the model we can write down the Lagrangian. The Lagrangian of the model contains the terms

$$\mathcal{L}_Y = y \bar{N} \tilde{\eta}^\dagger \ell_L + \frac{1}{2} M_N \bar{N}^c N + \text{h.c.}, \quad (3.47)$$

where  $y$  is a general complex  $3 \times 3$  matrix and  $M_N$  is a symmetric  $3 \times 3$  mass matrix. The scalar potential of the model is given by

$$\begin{aligned} \mathcal{V}_{UV} = & m_H^2 H^\dagger H + m_\eta^2 \eta^\dagger \eta + \frac{\lambda_1}{2} (H^\dagger H)^2 + \frac{\lambda_2}{2} (\eta^\dagger \eta)^2 \\ & + \lambda_3 (H^\dagger H)(\eta^\dagger \eta) + \lambda_4 (H^\dagger \eta)(\eta^\dagger H) + \left[ \frac{\lambda_5}{2} (H^\dagger \eta)^2 + \text{h.c.} \right]. \end{aligned} \quad (3.48)$$

Here  $m_H^2$  and  $m_\eta^2$  are parameters with dimensions of mass<sup>2</sup>. We assume that the minimization of the scalar potential leads to a vacuum defined by

$$\langle H^0 \rangle = \frac{v_H}{\sqrt{2}}, \quad \langle \eta^0 \rangle = 0. \quad (3.49)$$

This vacuum configuration breaks the electroweak symmetry in the standard way while preserving the model's  $\mathbb{Z}_2$  symmetry. As a result, the lightest  $\mathbb{Z}_2$ -odd particle (either  $N_1$  or  $\eta^0$ ) remains completely stable and can serve as a

viable dark matter candidate. Moreover, neutrinos acquire non-zero Majorana masses at the one-loop level, as illustrated in Fig. 3.5. The resulting  $3 \times 3$  neutrino mass matrix is given by

$$(m_\nu)_{\alpha\beta} = \frac{\lambda_5 v_H^2}{32\pi^2} \sum_n \frac{y_{n\alpha} y_{n\beta}}{M_{N_n}} \left[ \frac{M_{N_n}^2}{m_0^2 - M_{N_n}^2} + \frac{M_{N_n}^4}{(m_0^2 - M_{N_n}^2)^2} \log \frac{M_{N_n}^2}{m_0^2} \right], \quad (3.50)$$

where  $m_0^2 = m_\eta^2 + (\lambda_3 + \lambda_4) v_H^2/2$  and  $M_{N_n}$  are the diagonal elements of the  $M_N$  matrix. One can easily estimate that in order to obtain neutrino masses of the order of 0.1 eV with Scotogenic states in the TeV scale and Yukawas of order 1,  $\lambda_5$  must be of order  $\sim \mathcal{O}(10^{-10})$ . The smallness of this parameter is protected by lepton number, and thus is technically natural [38]. However, it is not explained in the context of the Scotogenic model.

Furthermore, an extensive analysis of the UV completeness of this radiative model, aimed at explaining the ad hoc  $\mathbb{Z}_2$  symmetry as well as the smallness of the  $\lambda_5$  parameter, was carried out in Ref. [40]. We classify all possible UV scenarios that satisfy both of the following requirements:

- The  $\mathbb{Z}_2$  discrete symmetry emerges as a remnant of a global  $U(1)_L$  lepton-number symmetry after one or several singlet scalars  $\sigma$  acquire a VEV.
- The operator  $(H^\dagger \eta)^2$  is forbidden in the UV but is induced after  $U(1)_L$  breaking by an effective term of the form  $(H^\dagger \eta)^2 \sigma^n$ , with  $n > 2$ .

Using these two conditions, we identify five different UV topologies capable of generating the operator  $(H^\dagger \eta)^2$  after lepton-number breaking. We derive the corresponding  $U(1)_L$  charge assignments for the new fields required to recover the scotogenic model at low energies and to ensure that this neutrino-mass mechanism remains the dominant contribution to the masses of these neutral leptons. Finally, we illustrate one example from this classification, exploring the resulting phenomenology in both the scalar and lepton sectors.



## Part I

### Forbidden or highly suppressed channels



# Chapter 4

## Experimental searches of lepton number violation at experiments

*In this chapter, we elaborate on the phenomenological implications of massive Majorana neutrinos, with a special focus on lepton-number and charged-lepton-flavor violation. On the experimental side, we provide a brief review of the status and results of searches for such processes in current experiments.*

### 4.1 $\Delta L = 2$ processes: Neutrinoless double beta decay

Furry [41] studied for the first time the double beta decay of a general nuclei with  $A$  nucleons ( $Z$  protons) without the emission of neutrinos in the final state:

$$(Z, A) \rightarrow (Z + 2, A) + e^- e^-, \quad (4.1)$$

Of course, the above process violates lepton number by two units and is absent at any order in the SM. For this reason, its discovery would be a smoking gun for new physics. Moreover, the Black Box theorem [42] states that if  $0\nu\beta\beta$  decay is observed, then at least one of the neutrinos must be a Majorana fermion. This arises after noticing that the same operator responsible for the  $0\nu\beta\beta$  transition in an effective theory (shown on the left of Fig. 4.1 will, at loop level, generate a Majorana mass term for the electron neutrino (shown on the right of Fig. 4.1. It is important to remark that this theorem applies regardless of the type of new physics generating the double beta decay in the UV theory, and it also suggests that the exchange of a

massive Majorana neutrino might not be the leading contribution to such  $\Delta L = 2$  processes.

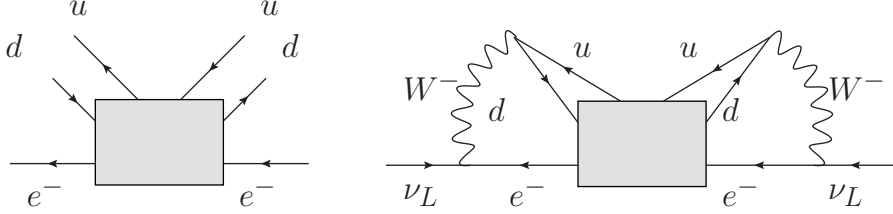


Figure 4.1: Left:  $\beta\beta_{0\nu}$  dimension-9 effective operator. Right: Radiative contribution to a Majorana mass term via  $\beta\beta_{0\nu}$  dimension-9 operator

## 4.2 Experimental searches of $\beta\beta$ decays in nuclei

Experiments on double beta decay consist on the observation of the two electrons emitted in nuclear transitions which are forbidden via simple beta decay but allowed via  $\beta\beta_{2\nu}$  or  $\beta\beta_{0\nu}$  as is illustrated on Fig. 4.2, being the most used isotopes:  $^{48}_{20}\text{Ca}$ ,  $^{76}_{32}\text{Ge}$ ,  $^{82}_{32}\text{Se}$ , etc. The way to distinguish the allowed  $\beta\beta_{2\nu}$  from the forbidden  $\beta\beta_{0\nu}$  decays is given by sum of the energies  $T$  of the two emitted electrons: while the processes with two neutrinos have a continuous spectrum, neutrinoless decays have a fixed energy  $T$  given by the signature  $Q = m_i - m_f - 2m_e$  as is shown in the right hand side of Fig. 4.2. Current bounds for the half-life time of different nuclear  $\beta\beta_{0\nu}$  disintegrations are reported on Table 4.1. As we can see, this kind of transitions are really suppressed, with lower limits around  $\sim 10^{21}$  years, suggesting a strong suppression in the corresponding Wilson coefficient associated with the diagram in Fig. 4.1.

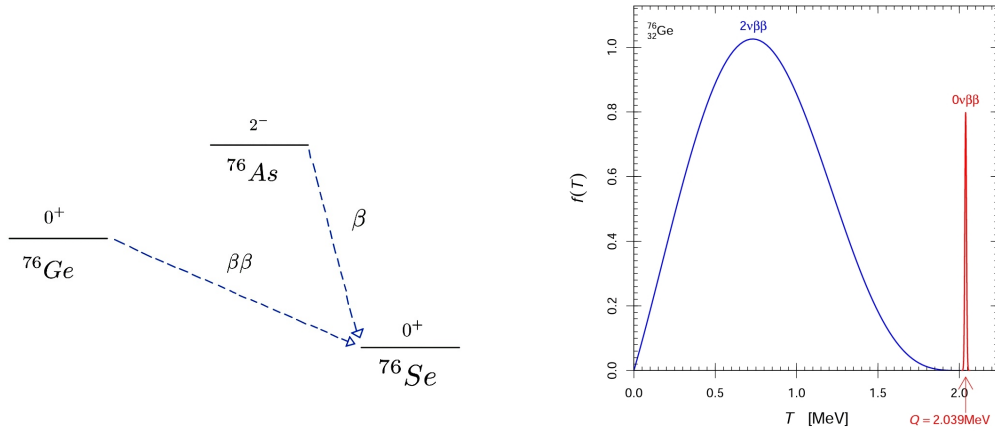
In case of this LNV process is produced by the exchange of light Majorana neutrinos, the total decay width will take the form:

$$\Gamma_{\beta\beta_{0\nu}} = G_{0\nu} \left( \frac{|\langle m_{ee} \rangle|}{m_e} \right)^2 |\mathcal{M}^{0\nu}|^2, \quad (4.2)$$

where  $\mathcal{M}^{0\nu}$  is the nuclear matrix element,  $G_{0\nu}$  a kinematic factor and  $m_{ee}$  is



Isotope	$T_{1/2}^{0\nu}$ [ $10^{25}$ years]	Experiment
$^{48}\text{Ca}$	$> 5.8 \times 10^{-3}$	ELEGANT-IV
$^{76}\text{Ge}$	$> 8.0$	GERDA
	$> 1.9$	MAJORANA DEMONSTRATOR
$^{82}\text{Se}$	$> 3.6 \times 10^{-2}$	NEMO-3
$^{92}\text{Zr}$	$> 9.2 \times 10^{-4}$	NEMO-3
$^{100}\text{Mo}$	$> 1.1 \times 10^{-1}$	NEMO-3
$^{116}\text{Cd}$	$> 2.2 \times 10^{-2}$	Aurora
$^{128}\text{Te}$	$> 1.1 \times 10^{-2}$	C. Arnaboldi et al.
$^{130}\text{Te}$	$> 1.5$	CUORE
$^{136}\text{Xe}$	$> 10.7$	KamLAND-Zen
	$> 1.8$	EXO-200
$^{150}\text{Nd}$	$> 2.0 \times 10^{-3}$	NEMO-3

Table 4.1: Half-life time of nuclear  $\beta\beta_{0\nu}$  desintegrations reported on Ref. [43].Figure 4.2: Left:  $\beta\beta_{(0\nu)}$  transition for  $^{76}\text{Ge}$ . Right: Energy spectrum for emitted electrons on  $^{76}\text{Ge}$  double beta decay taken from ref. [44].

the so-called *Effective Majorana mass* defined as:

$$\langle m_{ee} \rangle = \sum_j m_{\nu_j} U_{ej}^2, \quad (4.3)$$

(or more generally,  $\langle m_{\ell\ell'} \rangle = \sum_j m_{\nu_j} U_{\ell j} U_{\ell' j}$  in the case of different lepton flavors  $\ell, \ell'$ ) where  $U_{\ell j}$  are given by the matrix elements in eq. (3.3). Using the current experimental values for the parameters of three light neutrinos mixing shown in Table 3.1 can be used to estimate limits on  $\langle m_{ee} \rangle$ . Then, from eqs. (4.3) and (3.3) we can write the effective Majorana mass as follows

$$\begin{aligned} |\langle m_{ee} \rangle| &= |c_{13}^2 c_{12}^2 e^{2i\alpha_1} m_1 + c_{13}^2 s_{12}^2 e^{2i\alpha_2} m_2 + s_{13}^2 e^{-2i\delta_{12}} m_3| \\ &= |c_{13}^2 c_{12}^2 e^{2i\Delta_1} m_1 + c_{13}^2 s_{12}^2 e^{2i\Delta_2} m_2 + s_{13}^2 m_3|, \end{aligned} \quad (4.4)$$

with  $\Delta_i = \alpha_i + \delta_{12}$ . Analyzing eq. (4.4) in the two different neutrino masses-ordering schemes reviewed in the previous chapter:

- Normal Hierarchy

Using eq. (3.11) into eq. (4.4) and neglecting the contribution of  $m_1$  we can rearrange  $|\langle m_{ee} \rangle|$  as follows:

$$|\langle m_{ee} \rangle| = \left| c_{13}^2 s_{12}^2 e^{2i\Delta_2} \sqrt{\Delta m_{12}^2} + s_{13}^2 \sqrt{\Delta m_{23}^2} \right|. \quad (4.5)$$

Now, if we use:

$$\begin{aligned} |ae^{i\delta} + b| &= |a \cos \delta + b + ia \sin \delta| \\ &= (a^2 + b^2 + 2ab \cos \delta)^{1/2}, \quad \text{with } a, b \in \mathcal{R}, \end{aligned} \quad (4.6)$$

then, by identifying  $a = c_{13}^2 s_{12}^2 \sqrt{\Delta m_{12}^2}$  and  $b = s_{13}^2 \sqrt{\Delta m_{23}^2}$ , we can write eq. (4.5) as follows

$$|\langle m_{ee} \rangle|^2 = c_{13}^4 s_{12}^4 \Delta m_{12}^2 + s_{13}^4 \Delta m_{23}^2 + 2 \cos(2\Delta_2) c_{13}^2 s_{12}^2 s_{13}^2 \sqrt{\Delta m_{12}^2 \Delta m_{23}^2}. \quad (4.7)$$

Taking the case with  $\cos(2\Delta_2) \approx 1$  let us write an upper bound for  $|\langle m_{ee} \rangle|$

$$|\langle m_{ee} \rangle| < c_{13}^2 s_{12}^2 \sqrt{\Delta m_{12}^2} + s_{13}^2 \sqrt{\Delta m_{23}^2} \approx 4.25 \times 10^{-3} \text{eV}, \quad (4.8)$$

where we have used the experimental limits for the mixing angles and squared-mass differences within  $3\sigma$  values from Table 3.1.

- Inverted Hierarchy

In the same way as with the normal hierarchy, taking eqs. (3.12) and (3.13) in eq. (4.4) and neglecting  $m_3$  we can write the effective Majorana mass as:

$$\begin{aligned} |\langle m_{ee} \rangle| &= c_{13}^2 \sqrt{\Delta m_{13}^2} |c_{12}^2 e^{2i\Delta_1} + s_{12}^2 e^{2i\Delta_2}| \\ &= c_{13}^2 \sqrt{\Delta m_{13}^2} |c_{12}^2 e^{2i\Delta} + s_{12}^2|, \end{aligned} \quad (4.9)$$

with  $\Delta = \Delta_1 - \Delta_2 = \alpha_1 - \alpha_2$ . Then, using eq. (4.6) in eq. (4.9) we can write:

$$|\langle m_{ee} \rangle| = c_{13}^2 \sqrt{\Delta m_{13}^2} (c_{12}^4 + s_{12}^4 + 2 \cos(2\Delta) c_{12}^2 s_{12}^2)^{1/2}. \quad (4.10)$$

It is easy to see that the limits on  $|\langle m_{ee} \rangle|$  from eq. (4.10) come from the unknown Majorana phase difference  $\Delta$ . Then in order to set upper and lower bounds, we take the values for  $\cos(2\Delta) = \pm 1$ , which let us write:

$$c_{13}^2 (c_{12}^2 - s_{12}^2) \sqrt{\Delta m_{13}^2} \lesssim |\langle m_{ee} \rangle| \lesssim c_{13}^2 \sqrt{\Delta m_{13}^2}. \quad (4.11)$$

Using the values given in Table 3.1 within  $3\sigma$ , we have

$$1.41 \times 10^{-2} \text{ eV} \lesssim |\langle m_{ee} \rangle| \lesssim 4.51 \times 10^{-2} \text{ eV}. \quad (4.12)$$

The value of  $\langle m_{ee} \rangle$  as a function of the lightest neutrino mass ( $m_{\text{light}}$ ) is shown in Fig. 4.3 for the two possible mass-ordering schemes. One of the key observations from this figure is that, in the Inverted Hierarchy (IH),  $|\langle m_{ee} \rangle|$  cannot vanish (region enclosed by the green curves), regardless of the values of the Majorana phases  $\alpha_i$ . In contrast, for the Normal Hierarchy (NH), the effective Majorana mass may reach zero within the interval  $m_{\text{light}} = (2 - 7) \times 10^{-3} \text{ eV}$ , which would imply the absence of neutrinoless double beta decay. This feature would allow us to discriminate between the two hierarchies should  $\beta\beta_{0\nu}$  be observed, given the constraint  $m_{ee} < 0.01 \text{ eV}$ .

Just to mention, we can express the rest of parameters of eq.(4.3) with  $\ell = e, \mu$  like an explicit function of the mixing angles and neutrinos masses such that

$$\begin{aligned} \langle m_{\mu\mu} \rangle &= U_{1\mu}^2 m_1 + U_{2\mu}^2 m_2 + U_{3\mu} m_3 \\ &= (c_{23}s_{12} + s_{23}c_{12}s_{13}e^{i\delta_{12}})^2 e^{2i\alpha_1} m_1 + (c_{23}c_{12} - s_{23}s_{12}s_{13}e^{i\delta_{12}})^2 e^{2i\alpha_2} m_2 \\ &\quad + c_{13}^2 s_{23}^2 m_3, \end{aligned} \quad (4.13)$$

$$\begin{aligned} \langle m_{e\mu} \rangle &= \sum_j U_{ej} U_{\mu j} m_j \\ &= (c_{23}s_{12} + s_{23}c_{12}s_{13}e^{i\delta_{12}}) c_{13} c_{12} e^{2i\alpha_1} m_1 \\ &\quad + (c_{23}c_{12} - s_{23}s_{12}s_{13}e^{i\delta_{12}}) c_{13} s_{12} e^{2i\alpha_2} m_2 \\ &\quad + s_{12} c_{13} s_{23} e^{-2i\delta_{12}} m_3. \end{aligned} \quad (4.14)$$

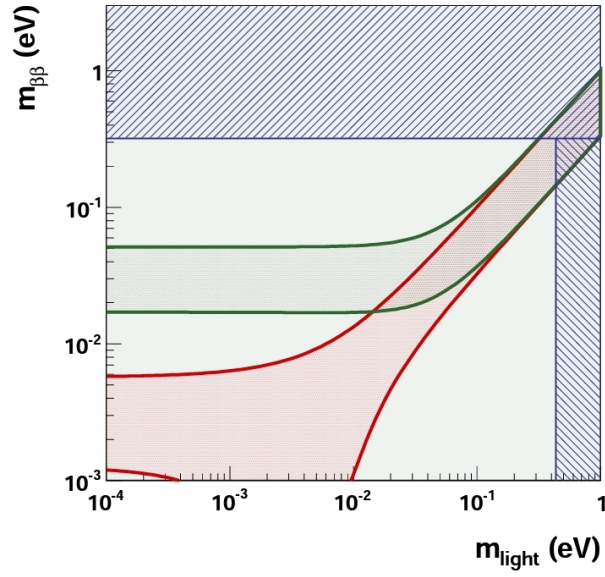
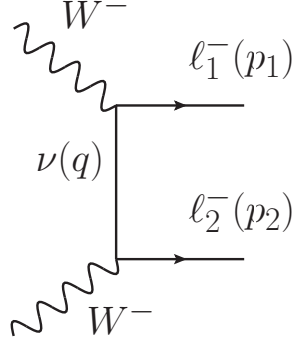


Figure 4.3: Values of the effective Majorana mass as a function of the lightest neutrino mass for the different mass ordering schemes [45]: The region bounded by the green and red lines represent the allowed values of  $\langle m_{ee} \rangle$  in the inverted and normal hierarchies, respectively. The vertical blue region to the right represents the region of  $m_{\text{light}}$  excluded from cosmological considerations, while the horizontal one comes from null results of  $\beta\beta_{0\nu}$  searches.

### 4.2.1 $\Delta L = 2$ transitions via massive neutrinos.

Figure 4.4:  $\Delta L = 2$  via massive Majorana neutrinos kernel

In particular, the basic kernel that generates  $\beta\beta_{0\nu}$  decays via the exchange of massive light Majorana neutrinos is shown by Fig. 4.4. When we incorporate the currents for the production or decay of  $W$  gauge bosons, the amplitude becomes of  $\mathcal{O}(G_F^2)$ , which yields a strong suppression of this transition. However, due to the propagation of the neutrino in the diagram in Fig. 4.4 we will have different behaviors of the amplitude according to the energy of the processes and the mass of the intermediate state. In this sense, this amplitude at low energy (once we integrate the  $W$  boson) can be written as:

$$\mathcal{M}^{\mu\nu}(p_1, p_2) = \frac{G_F^2}{8} \sum_i^N \left( \frac{U_{\alpha i} U_{\beta i} m_{\nu_i}}{m_{\nu_i}^2 - q_\nu^2 - i m_{\nu_i} \Gamma(q_\nu^2)} \right) \ell^{\mu\nu}(p_1, p_2) - (p_1 \leftrightarrow p_2), \quad (4.15)$$

taking

$$\ell^{\mu\nu}(p_1, p_2) = \bar{u}(p_1) \gamma^\mu \gamma^\nu (1 + \gamma_5) u(p_2). \quad (4.16)$$

Therefore, from eq.(4.15), we have three different options:

- For light neutrino masses ( $\mathcal{O}(\text{eV})$ ): The amplitude will take the form  $\mathcal{M}^{\mu\nu}(q_1, q_2) = G_F^2 (8q_\nu^2)^{-1} \langle m_{\alpha\beta} \rangle \ell^{\mu\nu}(p_1, p_2)$ , being proportional to the so-call *effective Majorana mass* which is strongly constrained by the experimental results of the nuclei transition.

- For masses kinematically accessible for the process (i.e. the intermediate neutrino becomes a resonant state):  $\mathcal{M} \propto G_F^2 \sum_i (U_{\alpha i} U_{\beta i} / \Gamma_{\nu_i})$  where the total neutrino decay width must be small ( $\mathcal{O}(\text{keV})$ ) and therefore we may expect an increase in the total amplitude squared.
- Finally, if the neutrino mass is much higher than the electroweak scale ( $m_W \ll m_{\nu_i}$ ), it could not be treated as an explicit degree of freedom and must also be integrated out from the amplitude. Therefore, we can write  $\mathcal{M} = \left( \sum_i \frac{G_F^2 U_{\alpha i} U_{\beta i}}{8m_{\nu_i}} \right) \ell^{\mu\nu}(p_1, p_2)$ .

It is important to remark that this behavior listed above applies, not only for nuclei transitions, but also in some other sort of transitions as heavy hadron decays, taking advantage of the large dataset of this kind of particles on the collider experiments, as we elaborate in the next chapter.

# Chapter 5

## LNV in baryon decays

*In this chapter, we elaborate on the contribution of hypothetical massive Majorana neutrino states to various three- and four-body heavy baryon decays. Based on Refs. [46–48], we analyze the three different neutrino mass regimes discussed in the previous section in order to set constraints on the parameter space of these new heavy neutral leptons, both in a minimal extension with a single massive neutrino and in the generalized scenario with two quasi-degenerate Majorana states.*

### 5.1 $\Delta L=2$ three-body hyperon decays

The study of hyperon decay properties had a golden era some sixty years ago when Cabibbo proposed the universality of charged weak interactions in semi-leptonic decays [12]. Hyperon semi-leptonic decays were used to measure the weak charges in strangeness-changing transitions and to extract the Cabibbo angle  $\sin\theta_c$ . On the other hand, non-leptonic decays allowed to measure the hyperon polarizations and to determine the final state interactions phases [49–51]. The field of hyperon physics was somehow abandoned with the advent of high intensity kaon beams which allowed to extract the Cabibbo angle with reduced strong interactions uncertainties. Until the late nineties, only a few searches of rare and forbidden hyperon decays were reported [52]. In the last twenty-five years, a few more data on allowed, rare and forbidden hyperon decays were reported by the HyperCP [53], NA48 [54], LHCb [55], KTeV [56], BES III [57, 58] collaborations.

The BES III hyperon physics program has brought a renewed interest in this field thanks to the large dataset of baryon-antibaryon pairs produced in  $J/\psi$  and  $\psi(2S)$  decays [57]. Owing to the non-negligible branching fractions

for these decays, the large production rate of these charmonium states would allow the production of  $10^6 - 10^8$  hyperon pairs of different species. This opens the possibility of improving measurements of allowed and rare hyperon decays that will set strong limits, for example, on the rare FCNC hyperon decays with charged lepton or neutrinos pairs [57]. Similarly, searches for forbidden (lepton number or baryon number) decays can be pursued, allowing to test models that include the violation of these accidental symmetries [57].

The most extensive and sensitive laboratory to probe LNV is neutrino-less double beta decay ( $\beta\beta_{0\nu}$ ) of nuclei. The amplitude for such transitions is proportional to the “*effective Majorana neutrino mass*”  $\langle m_{ee} \rangle$ . So far, the non-observation of  $\beta\beta_{0\nu}$  decays in nuclei has set direct strong limits on  $m_{ee}$  at the sub-eV level [59]. Direct bounds on other entries are loose mainly because of the limited statistics of experiments.

From a theoretical point of view, nuclear transitions are limited in precision due to the model-dependent uncertainties of the nuclear matrix elements [60] and are sensitive only to the two electrons channel. Sensitivity to  $\Delta L = 2$  transitions involving other flavors are possible using alternative processes like semi-leptonic decays, di-muon production at colliders or muon to positron/antimuon conversion in nuclei.

In this thesis, we are interested in  $\Delta L = 2$  decays of hyperons ( $B_i^- \rightarrow B_f^+ \ell^- \ell'^-$ ,  $\ell^{(\prime)} = e$  or  $\mu$ ). Their study is complementary to those in nuclei, but with the advantage that the hadronic matrix elements involved are well known at low momentum transfer. On the other hand,  $\Delta L = 2$  hyperon decays allow the possibility to study channels involving equal-sign muon and electrons in the final state that are not available in nuclear decays due to limited phase space. Although there are a large amount of studies related to  $\Delta L = 2$  decays in mesons and tau leptons [61–66], mainly motivated by flavor-factories experiments, this new study is motivated by the large dataset of hyperons pairs produced in charmonium decays that has been and are expected to be accumulated in the BES III experiment [57, 58].

The first model of  $\Delta L = 2$  decays of hyperons proposed to estimate their branching fractions was reported in [67]. The mechanism advocated in Ref. [67] considers baryons as the relevant degrees of freedom and involves a loop with hyperons and Majorana neutrinos as intermediate states, as shown in Figure 5.1 (b). As a first approximation the authors in [67] neglected the momentum transfer dependence of the vector and axial form factors describing the weak vertices, and have kept the lowest lying hyperons



as intermediate states. As a consequence of this approximation, the resulting loop functions exhibit a logarithmic ultraviolet divergence which was regulated using a simple cut-off procedure.

A second approach used by the same authors was based on the MIT bag model [68]. In this case, one starts from the most general dimension-nine Lagrangian that involves six fermion fields and violates lepton number in two units [69]. This approach requires the computation of the hadronic matrix elements of four quark operators, which can be evaluated, for instance, using the MIT bag model. The results of these two previous computations are rather different. According to a numerical estimate in [68], by assuming ‘reasonable’ values for the Wilson coefficients and the New Physics scale of LNV yields a prediction for the branching ratio of the  $\Sigma^- \rightarrow pe^-e^-$  decay in the MIT bag model of  $O(10^{-23})$  which is around ten orders of magnitude larger than its prediction based on the loop model  $O(10^{-33})$  [67].

With such motivations in mind and the current and expected experimental searches for  $\Delta L=2$  decays of hyperons at BES III [57,58], the goal of the present work is to provide more refined estimates for those transitions in the loop model mechanism [67]. Our results avoid the undesired divergent behavior of the loop integrals encountered in [67] by including the dependence of the hadronic vector and axial form factors on the momentum transfer. A similar approach was used in Ref. [70] to estimate one of the long-distance contributions to  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  decay. Further, since we keep finite the masses of intermediate neutrinos, we are able to include in our analysis the contributions of hypothetical heavy Majorana neutrinos in the so-called low-scale seesaw models. As an alternative mechanism, we consider  $\Delta L=2$  hyperon decays induced by a doubly charged Higgs boson  $H^{--}$ . Using current bounds on couplings and masses of  $H^{--}$  we derive similarly suppressed bounds on the branching fractions.

$\Delta L=2$  decays of hyperons occur when two down-type quarks in the initial hyperon convert into two up-type quarks to produce the final hyperon, as illustrated in Figure 5.1(a). The different hyperon decay channels can be classified according to their change in strangeness  $\Delta S = 0, 1, 2$  as listed in Table 5.1. These processes violate lepton number in two units, and the most plausible mechanism is the exchange of Majorana neutrinos [67, 68].

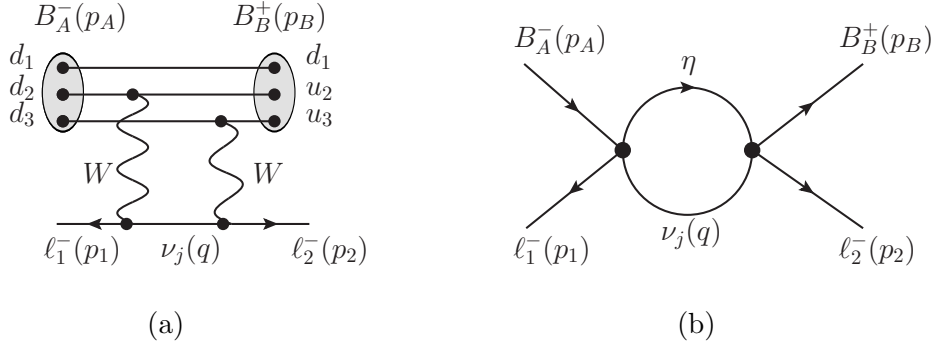


Figure 5.1:  $\Delta L=2$  decays of hyperons induced by intermediate Majorana neutrinos: (a) quark-level transition; (b) one-loop hadron level transition involving an intermediate neutral hyperon  $\eta$ .

### 5.1.1 One-loop mechanism for $\Delta L=2$ decays of hyperons induced by light Majorana neutrinos

$\Delta S = 0$	$\Delta S = 1$	$\Delta S = 2$
$\Sigma^- \rightarrow \Sigma^+ e^- e^-$	$\Sigma^- \rightarrow p e^- e^-$	$\Xi^- \rightarrow p e^- e^-$
	$\Sigma^- \rightarrow p e^- \mu^-$	$\Xi^- \rightarrow p e^- \mu^-$
	$\Sigma^- \rightarrow p \mu^- \mu^-$	$\Xi^- \rightarrow p \mu^- \mu^-$
	$\Xi^- \rightarrow \Sigma^+ e^- e^-$	
	$\Xi^- \rightarrow \Sigma^+ e^- \mu^-$	

Table 5.1: 1/2-spin hyperon  $\Delta L=2$  decays allowed by kinematics.

At the hadron level, this process can be viewed as induced by the one-loop mechanism shown in Figure 5.1(b) involving into the loop a neutral hyperon  $\eta$  and a Majorana neutrino. The corresponding decay amplitude (properly antisymmetrized for identical leptons) can be written as

$$\begin{aligned}
 i\mathcal{M} = & -G^2 \sum_j m_{\nu_j} U_{\ell_1 j} U_{\ell_2 j} \sum_\eta \int \frac{d^4 q}{(2\pi)^4} \frac{L_1^{\alpha\beta}(p_1, p_2)}{[q^2 - m_{\nu_j}^2]} \frac{h_{1\alpha\beta}(p_A, p_B)}{[Q_1^2 - m_\eta^2]} \\
 & - [\ell_1(p_1) \leftrightarrow \ell_2(p_2)] .
 \end{aligned} \tag{5.1}$$

In the above expression  $m_{\nu_j}$  are the masses of Majorana neutrinos and  $U_{\ell j}$  their mixings connecting flavor  $\ell$  and mass eigen-states. The overall

constant  $G^2 = G_F^2 \times (V_{ud}^2, V_{ud}V_{us}, V_{us}^2)$  for  $\Delta S=0, 1, 2$ , respectively, with  $G_F$  the Fermi constant. We have introduced  $Q_i = p_A - p_i - q$  as the momentum carried by the neutral hyperon state  $\eta$  with the appropriate quantum numbers to contribute as an intermediate state; and  $\ell_1(p_1) \leftrightarrow \ell_2(p_2)$  stands for the contribution of a similar diagram interchanging the final external charged leptons. For the diagram depicted in Figure 5.1(b) (see Appendix D for the amplitude with interchanged leptons); we have that

$$L_1^{\alpha\beta}(p_1, p_2) \equiv \bar{u}(p_2)\gamma^\alpha(1 - \gamma_5)\gamma^\beta v(p_1), \quad (5.2)$$

$$h_{1\alpha\beta}(p_A, p_B) \equiv \bar{u}(p_B)\gamma_\alpha [f_{B\eta}(q'^2) + g_{B\eta}(q'^2)\gamma_5] \\ (\not{Q}_1 + m_\eta)\gamma_\beta [f_{A\eta}(q'^2) + g_{A\eta}(q'^2)\gamma_5] u(p_A), \quad (5.3)$$

where  $f_{(A,B)\eta}$  and  $g_{(A,B)\eta}$  denote the vector and axial weak form factors, respectively, for transitions  $A \rightarrow \eta$  and  $\eta \rightarrow B$ . They depend on the squared momentum transfer at each weak vertex, specifically,  $f_{A\eta}$  and  $g_{A\eta}$  depend on  $q'^2 = (p_1 + q)^2$ , whereas  $f_{B\eta}$  and  $g_{B\eta}$  depend on  $q''^2 = (p_2 - q)^2$ . Their values at zero momentum transfer have been calculated by different groups [71–73] with overall good agreement among them (in Table 5.2 we quote the values reported in Ref. [73]).

Transition	$\eta$	$f_{A\eta}$	$g_{A\eta}$	$f_{B\eta}$	$g_{A\eta}$
$\Sigma^- \rightarrow \Sigma^+$	$\Lambda$	0	0.656	0	0.656
	$\Sigma^0$	$\sqrt{2}$	0.655	$\sqrt{2}$	-0.656
$\Sigma^- \rightarrow p$	$n$	-1	0.341	1	1.267
	$\Sigma^0$	$\sqrt{2}$	0.655	$-1/\sqrt{2}$	0.241
$\Xi^- \rightarrow \Sigma^+$	$\Lambda$	0	0.656	$-\sqrt{3/2}$	-0.895
	$\Xi^0$	-1	0.341	1	1.267
	$\Sigma^0$	$1/\sqrt{2}$	0.896	$\sqrt{2}$	-0.655
$\Xi^- \rightarrow p$	$\Lambda$	$\sqrt{3/2}$	0.239	0	0.656
	$\Sigma^0$	$1/\sqrt{2}$	0.896	$-1/\sqrt{2}$	0.241
	$\Lambda$	$\sqrt{3/2}$	0.239	$-\sqrt{3/2}$	-0.895

Table 5.2: Vector and axial transition form factors for weak hyperon decays at zero momentum transfer. Here  $\eta$  stands for the intermediate baryon state, and the subscript  $A$  ( $B$ ) represents the initial (final) baryon [73].

The hadronic part  $h_{1\alpha\beta}$  can be rearranged conveniently as follows

$$h_{1\alpha\beta} = \bar{u}(p_B)\gamma_\alpha [(\kappa_{v+} + \kappa_{a+}\gamma_5)\not{Q}_1 \\ + m_\eta(\kappa_{v-} - \kappa_{a-}\gamma_5)] \gamma_\beta u(p_A), \quad (5.4)$$

where

$$\kappa_{v\pm}(q^2) \equiv f_{A\eta}(q'^2)f_{B\eta}(q''^2) \pm g_{A\eta}(q'^2)g_{B\eta}(q''^2), \quad (5.5)$$

$$\kappa_{a\pm}(q^2) \equiv f_{B\eta}(q''^2)g_{A\eta}(q'^2) \pm g_{B\eta}(q''^2)f_{A\eta}(q'^2). \quad (5.6)$$

It turns out convenient to define

$$H_{1\alpha\beta} \equiv \sum_{\eta,j} m_{\nu_j} U_{\ell_{1j}} U_{\ell_{2j}} \int \frac{d^d q}{(2\pi)^d} \frac{h_{1\alpha\beta}}{[q^2 - m_{\nu_j}^2][Q_1^2 - m_\eta^2]}, \quad (5.7)$$

which, after the loop integration, it can be set into the following general form

$$\begin{aligned} H_{1\alpha\beta} = \sum_{\eta,j} m_{\nu_j} U_{\ell_{1j}} U_{\ell_{2j}} & \left\{ \bar{u}(p_B) \gamma_\alpha \left[ (C_{1v_0}^{\eta j} + C_{1a_0}^{\eta j} \gamma_5) m_\eta + (C_{1v_1}^{\eta j} + C_{1a_1}^{\eta j} \gamma_5) \not{p}_1 \right. \right. \\ & \left. \left. + (C_{1v_2}^{\eta j} + C_{1a_2}^{\eta j} \gamma_5) \not{p}_2 + (C_{1v_A}^{\eta j} + C_{1a_A}^{\eta j} \gamma_5) \not{p}_A \right] \gamma_\beta u(p_A) \right\}, \end{aligned} \quad (5.8)$$

where the  $C_{1v_r}^{\eta j}$  and  $C_{1a_r}^{\eta j}$  ( $r = 0, 1, 2, A$ ) functions encode the effects of the strong interaction relevant in the loop computation. They will depend in general on the neutrino masses and on the two independent Mandelstam variables  $t \equiv (p_A - p_1)^2$ , and  $u \equiv (p_A - p_2)^2$ . In this way, the decay amplitude (5.1) can be expressed just as the product of the leptonic and hadronic tensor currents as follows

$$i\mathcal{M} = -G^2 \left( L_1^{\alpha\beta}(p_1, p_2) H_{1\alpha\beta} - L_2^{\alpha\beta}(p_1, p_2) H_{2\alpha\beta} \right) \quad (5.9)$$

where the second term in the above expression represents the contribution of the diagram with the final charged leptons interchanged (see Appendix D).

### 5.1.2 Hyperon form factors

The loop integration requires a proper modeling of hyperon form factors in all the range of momentum transfer scales. While SU(3) symmetry considerations are useful to fix the form factors at zero momentum transfer ( $q^2 = 0$ ), we ignore their behavior at finite and large values of  $q^2$ . From neutrino and electron scattering off nucleons it has been found that the observed distributions can be described by a dipole parametrization. An extrapolation to the timelike region leads to the dipole form factors given by

$$f_i(q^2) = f_i(0) \left( 1 - \frac{q^2}{m_{df_i}^2} \right)^{-2}, \quad (5.10)$$

$$g_i(q^2) = g_i(0) \left( 1 - \frac{q^2}{m_{dg_i}^2} \right)^{-2}, \quad (5.11)$$

with  $m_{df_i} = 0.84$  GeV and  $m_{dg_i} = 1.08$  GeV. Since these pole masses correspond to strangeness-conserving form factors, a rescaling using the values of vector and axial mesons masses allows to assume that  $m_{df_i} = 0.97$  GeV and  $m_{dg_i} = 1.25$  GeV would be a good guess for the dipole masses in the strangeness-changing case [74]. The values of the form factors at zero momentum transfer,  $f_i(0)$  and  $g_i(0)$  are given in Table 5.2 and in the case of the vector form factors they incorporate the effects of SU(3) flavor symmetry breaking [72, 74, 75].

In Ref. [67], the transition form factors  $f_{\{A,B\}_\eta}$  and  $g_{\{A,B\}_\eta}$  were approximated by their values at zero momentum transfers in eqs. (5.5) and (5.6). Under such approximation, the relevant  $C_{v_r}^{\eta j}$  and  $C_{a_r}^{\eta j}$  factors in eq. (5.8) are given by

$$\begin{aligned} C_{v_0}^{\eta j} &= i \frac{\kappa_{v_-}(0)}{16\pi^2} B_0(t, m_{\nu_j}^2, m_\eta^2), \\ C_{v_A}^{\eta j} &= -C_{v_1}^{\eta j} = i \frac{\kappa_{v_+}(0)}{16\pi^2} \left[ B_0(t, m_{\nu_j}^2, m_\eta^2) + B_1(t, m_{\nu_j}^2, m_\eta^2) \right], \\ C_{v_2}^{\eta j} &= 0, \end{aligned} \quad (5.12)$$

and

$$\begin{aligned} C_{a_0}^{\eta j} &= -i \frac{\kappa_{a_-}(0)}{16\pi^2} B_0(t, m_{\nu_j}^2, m_\eta^2), \\ C_{a_A}^{\eta j} &= -C_{a_1}^{\eta j} = i \frac{\kappa_{a_+}(0)}{16\pi^2} \left[ B_0(t, m_{\nu_j}^2, m_\eta^2) + B_1(t, m_{\nu_j}^2, m_\eta^2) \right], \\ C_{a_2}^{\eta j} &= 0, \end{aligned} \quad (5.13)$$

where  $B_0$  and  $B_1$  are the two point scalar and vector Passarino-Veltman functions, respectively (see appendix A). Analytical expressions for the loop functions can be derived straightforwardly using Feynman parametrization. We have calculated these expressions and have found good numerical agreement with the results reported in [67] where, however, the mass of the neutrino in the propagator term was neglected. The important point to highlight here is that both  $B_0$  and  $B_1$  are ultraviolet divergent and the resulting amplitude for  $\Delta L = 2$  becomes logarithmically divergent as it was found in Ref. [67] using a simple cut-off procedure.

As discussed before, taking the form factors as constants is just an approximation that leads to a divergent amplitude. This bad behavior can be cured by a form factor that vanishes at large  $q^2$ . The dipole form factors shown in Eq. (5.11) satisfy the low energy limits dictated by SU(3) flavor symmetry and describe well electron and neutrino scattering data [71–75].

In the limit of large momentum transfer, both the vector and axial dipole form factors behave as  $\sim 1/q^4$ . Considering the dipole approximation would require evaluating an integral with six propagators which, in general, are very difficult to evaluate even numerically. Then, in analogy with the case of meson form factors considered in Ref. [70] which behave as  $\sim 1/q^2$  for large  $q^2$ , we will use instead a monopolar approximation. The “equivalence” of monopole  $m$  and dipole  $d$  form factors can be achieved by comparing their slopes at low momentum transfers; this leads to identify  $m_d/\sqrt{2} \rightarrow m_m$  for the vector and axial poles to get the monopolar form factors in the  $\Delta S = 0, 1$  cases.

Using monopolar expressions for the form factors, the loop integrals become also finite. The expressions of the relevant  $C_{v_r}^{\eta j}$  functions become:

$$C_{v_0}^{\eta j} = \frac{i}{16\pi^2} \left( f_{A_\eta}(0) f_{B_\eta}(0) m_{m_{f_A}}^2 m_{m_{f_B}}^2 D_0(m_{m_{f_A}}, m_{m_{f_B}}) - g_{m_{A_\eta}}(0) \right. \\ \left. \times g_{B_\eta}(0) m_{m_{g_A}}^2 m_{m_{g_B}}^2 D_0(m_{m_{g_A}}, m_{m_{g_B}}) \right), \quad (5.14)$$

$$C_{v_A}^{\eta j} = \frac{i}{16\pi^2} \left( f_{A_\eta}(0) f_{B_\eta}(0) m_{m_{f_A}}^2 m_{m_{f_B}}^2 \left[ D_1(m_{m_{f_A}}, m_{m_{f_B}}) + D_0(m_{m_{f_A}}, m_{m_{f_B}}) \right] \right. \\ \left. + g_{A_\eta}(0) g_{B_\eta}(0) m_{m_{g_A}}^2 m_{m_{g_B}}^2 \left[ D_1(m_{m_{g_A}}, m_{m_{g_B}}) + D_0(m_{m_{g_A}}, m_{m_{g_B}}) \right] \right) \quad (5.15)$$

$$C_{v_1}^{\eta j} = -\frac{i}{16\pi^2} \left( f_{A_\eta}(0) f_{B_\eta}(0) m_{m_{f_A}}^2 m_{m_{f_B}}^2 \left[ D_2(m_{m_{f_A}}, m_{m_{f_B}}) + D_1(m_{m_{f_A}}, m_{m_{f_B}}) \right. \right. \\ \left. \left. + D_0(m_{m_{f_A}}, m_{m_{f_B}}) \right] + g_{A_\eta}(0) g_{B_\eta}(0) m_{m_{g_A}}^2 m_{m_{g_B}}^2 \left[ D_2(m_{m_{g_A}}, m_{m_{g_B}}) \right. \right. \\ \left. \left. + D_1(m_{m_{g_A}}, m_{m_{g_B}}) + D_0(m_{m_{g_A}}, m_{m_{g_B}}) \right] \right), \\ C_{v_2}^{\eta j} = \frac{i}{16\pi^2} \left( f_{A_\eta}(0) f_{B_\eta}(0) m_{m_{f_A}}^2 m_{m_{f_B}}^2 D_3(m_{m_{f_A}}, m_{m_{f_B}}) \right. \\ \left. + g_{A_\eta}(0) g_{B_\eta}(0) m_{m_{g_A}}^2 m_{m_{g_B}}^2 D_3(m_{m_{g_A}}, m_{m_{g_B}}) \right),$$

with the Passarino-Veltman functions given by

$$D_{\{0,1,2,3\}}(X, Y) \equiv D_{\{0,1,2,3\}}(t, m_A^2, s, m_2^2, m_1^2, m_B^2, m_{\nu_j}, m_\eta, X, Y), \quad (5.16)$$

and  $s = (p_1 + p_2)^2 = m_A^2 + m_B^2 + m_1^2 + m_2^2 - t - u$  is the other variable. The functions  $C_{a_r}^{\eta j}$  can be obtained straightforwardly from the above expressions

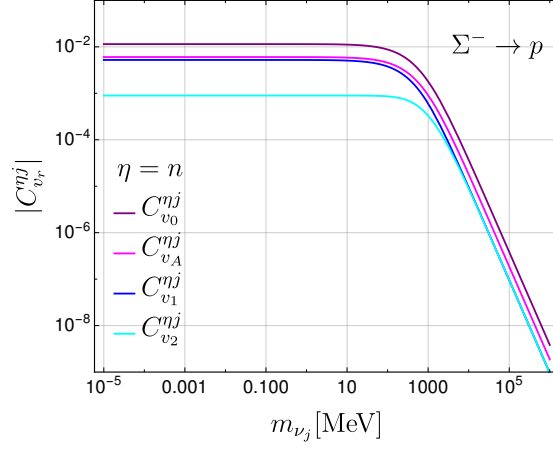


Figure 5.2: Individual  $C_{v_r}^{\eta j}$  loop-factors as function of the neutrino mass in the monopole form factors model for the decay chain  $\Sigma^- \rightarrow n^* \rightarrow p$ . For illustration purposes, we have used the maximum values for the Lorentz invariants  $(t, s)$  that are allowed by kinematics, as well as  $m_1 = m_2 = m_e$  in eqs. (5.14) and (5.16)

considering the following replacements

$$C_{a_0}^{\eta j} = -C_{v_0}^{\eta j} \left( f_{A\eta} \leftrightarrow g_{A\eta}, m_{m_{f_A}} \leftrightarrow m_{m_{g_A}} \right), \quad (5.17)$$

$$C_{\{a_1, a_2, a_A\}}^{\eta j} = C_{\{v_1, v_2, v_A\}}^{\eta j} \left( f_{A\eta} \leftrightarrow g_{A\eta}, m_{m_{f_A}} \leftrightarrow m_{m_{g_A}} \right).$$

Given that the four-point functions arising from the monopolar form factors are not divergent, the amplitudes are finite and physical.

### 5.1.3 Numerical analysis (one-loop mechanism)

The hadronic matrix element defined in eqs. (5.8) and (5.9) depends on the effective total form factors  $C_{v_r, a_r}^{\eta}$ ; for each intermediate hadronic state  $\eta$  in the loop, they can be written as

$$C_{v_r}^{\eta} \equiv \sum_j m_{\nu_j} U_{\ell_1 j} U_{\ell_2 j} C_{v_r}^{\eta j}, \quad (v_r = v_0, v_1, v_2, v_A), \quad (5.18)$$

$$C_{a_r}^{\eta} \equiv \sum_j m_{\nu_j} U_{\ell_1 j} U_{\ell_2 j} C_{a_r}^{\eta j}, \quad (a_r = a_0, a_1, a_2, a_A),$$

where the individual  $C_{v_r, a_r}^{\eta j}$  factors, determined from the loop integration, depend in general upon the neutrino mass  $m_{\nu_j}$  involved in the neutrino propagator (5.7). Figure 5.2 shows the absolute value of the  $C_{v_r}^{\eta j}$  (with  $\eta = n$ ) form factors as a function of the intermediate neutrino mass  $m_{\nu_j}$  for the specific  $\Sigma^- \rightarrow p$  transition, and using the monopolar approximation described in equations (5.14) (similar results are obtained for the rest of the decay channels listed in Table 5.2 as well as for the analysis of the axial  $C_{a_r}^{\eta j}$  form factors). From this plot we observe that the dominant contribution arises from the  $C_{v_0}^{\eta j}$  coefficient. Also, for light neutrinos ( $m_{\nu_j} \lesssim 100$  MeV), all the  $C_{v_r}^{\eta j}$  factors are insensitive to the neutrino mass value. However, for heavier neutrino states, the  $C_{v_r}^{\eta j}$  one-loop functions describing the  $\Delta L = 2$  hyperon decays become strongly dependent on the neutrino mass. When  $m_\nu \sim 100$  MeV, the loop integral becomes sensitive to the neutrino mass because this scale starts to be comparable to the involved hadronic scales (pole and baryon masses in the loop). Formally, one expects that particles much heavier than the ones included as explicit degrees of freedom in these loop calculations should be considered as short-distance contributions. This means that this loop mechanism is not valid for particles much heavier than a few hundreds of MeV (see below for the case of heavy Majorana neutrinos).

On the other hand, if we assume that only very light neutrino states exist, the effective form factors in eq. (5.18) can be approximated by

$$C_{v_r}^\eta \equiv m_{\ell_1 \ell_2} C_{v_r}^{\eta 0}, \quad C_{a_r}^\eta \equiv m_{\ell_1 \ell_2} C_{a_r}^{\eta 0}, \quad (5.19)$$

where  $m_{\ell_1 \ell_2}$  is the effective Majorana mass parameter, and  $C_{v_r}^{\eta 0}$  ( $C_{a_r}^{\eta 0}$ ) are the one-loop functions in eq. (5.16) evaluated at  $m_{\nu_j} = 0$ <sup>1</sup>. We note that in Ref. [67] the values  $m_{ee} = 10$  eV, and  $m_{\mu\mu} = 10$  MeV were used as arbitrary inputs for the normalization of the decay rates; in addition, that reference did not include the  $\mu e$  decay channels as we do in the present calculation. Note that the direct upper limits for  $m_{\ell\ell'}$  reported in [76, 77] are given by<sup>2</sup>:

$$\begin{aligned} |m_{ee}| &< 0.165 \text{ eV}, \\ |m_{e\mu}| &< 90 \text{ GeV}, \\ |m_{\mu\mu}| &< 480 \text{ GeV}. \end{aligned} \quad (5.20)$$

By computing numerically the form factors in Eq. (5.19), we have obtained the branching ratios listed in Table 5.3. We observe that channels

<sup>1</sup>In Ref. [67] the masses of neutrinos in the loop integral are set to zero from the beginning. In contrast, our results are rather general and appropriate to evaluate the effects of new states until 1 GeV (see discussion in the main text).

<sup>2</sup>A recent work presented in [78] proposes that the study of the non-resonant signature  $pp \rightarrow \ell^\pm \ell'^\pm$  at the LHC can be used to test  $m_{\mu\mu}$  to a sensitivity of  $|m_{\mu\mu}| \sim 7.3$  GeV.



involving two electrons are strongly suppressed due to the strong limits imposed from  $\beta\beta_{0\nu}$  nuclear decay. On the other hand, by assuming the direct upper limits in Eq. (5.20) for the  $e\mu$  and  $\mu\mu$  effective masses, we would get  $\text{BR}(\Sigma^- \rightarrow p\mu\mu) = 1.7 \times 10^{-10}$  and  $\text{BR}(\Sigma^- \rightarrow pe\mu) = 1.6 \times 10^{-12}$  which appear to be close to the projected sensitivity of BES-III<sup>3</sup>. These large ratios should be taken with care because the upper limits used for  $m_{e\mu}$  and  $m_{\mu\mu}$  lie beyond the range of validity of this scenario, according to Figure 5.2. If we assume the maximal value  $|m_{e\mu}| = |m_{\mu\mu}| = 100$  MeV consistent with the approximation in Eq. (5.19) in the loop-model mechanism, then we obtain the rates reported in Table (5.3).

Transition	Branching Ratio (One-loop model)
$\Sigma^- \rightarrow \Sigma^+ ee$	$1.6 (0.4) \times 10^{-41}$
$\Sigma^- \rightarrow pee$	$2.2 (0.4) \times 10^{-34}$
$\Sigma^- \rightarrow p\mu\mu$	$7.4 (1.6) \times 10^{-18}$
$\Sigma^- \rightarrow p\mu e$	$7.2 (1.6) \times 10^{-17}$
$\Xi^- \rightarrow \Sigma^+ ee$	$2.0 (0.7) \times 10^{-36}$
$\Xi^- \rightarrow \Sigma^+ \mu e$	$2.8 (0.9) \times 10^{-20}$
$\Xi^- \rightarrow pee$	$7.1 (2.0) \times 10^{-36}$
$\Xi^- \rightarrow p\mu\mu$	$1.1 (0.4) \times 10^{-18}$
$\Xi^- \rightarrow p\mu e$	$3.7 (1.2) \times 10^{-18}$

Table 5.3: Branching ratios of  $\Delta L = 2$  hyperon decays induced by Majorana neutrinos in the one-loop model mechanism. We consider the upper limit of the effective Majorana mass  $|m_{ee}|$  as given in eq. (5.20), but we assume  $|m_{e\mu, \mu\mu}|_{\text{max}} \sim 100$  MeV that is consistent with the approximation in Eq. (5.19) (see also Figure 5.2). Quoted errors (within parentheses) are estimated by varying the pole mass  $m_m$  by  $\pm 15\%$ .

#### 5.1.4 Short-range contributions

If LNV is mediated by heavy particles, then an appropriate framework to deal with such effects corresponds to an effective field theory analysis [79–85]. In this regard, we will consider the most general six-fermion effective interaction describing  $\Delta L=2$  processes involving any leptonic and hadronic state with

<sup>3</sup>In this ‘naive’ approximation one also gets  $\text{BR}(\Xi^- \rightarrow \Sigma^+ \mu e) = 1.8 \times 10^{-14}$ ,  $\text{BR}(\Xi^- \rightarrow p\mu\mu) = 2.5 \times 10^{-11}$ , and  $\text{BR}(\Xi^- \rightarrow p\mu e) = 2.3 \times 10^{-12}$ , which also look closer to the experimental sensitivity of BES-III.

second and/or third generation of quarks [79–85], following the notation in [82] this can be written as follows

$$\mathcal{L}_{\text{eff}}^{\Delta L=2} = \frac{G_F^2}{\Lambda} \sum_{i,X,Y,Z} [C_i^{XYZ}]_{\alpha\beta} \mathcal{O}_i^{XYZ}, \quad (5.21)$$

where  $C_i$  are effective dimensionless couplings, and  $\Lambda$  is the heavy mass scale of New Physics. The dimension-9 operators are classified by

$$\begin{aligned} \mathcal{O}_1^{XYZ} &= 4[\bar{u}_i P_X d_k][\bar{u}_j P_Y d_n](j_Z), \\ \mathcal{O}_2^{XYZ} &= 4[\bar{u}_i \sigma^{\mu\nu} P_X d_k][\bar{u}_j \sigma_{\mu\nu} P_Y d_n](j_Z), \\ \mathcal{O}_3^{XYZ} &= 4[\bar{u}_i \gamma^\mu P_X d_k][\bar{u}_j \gamma_\mu P_Y d_n](j_Z), \\ \mathcal{O}_4^{XYZ} &= 4[\bar{u}_i \gamma^\mu P_X d_k][\bar{u}_j \sigma_{\mu\nu} P_Y d_n](j_Z)^\nu, \\ \mathcal{O}_5^{XYZ} &= 4[\bar{u}_i \gamma^\mu P_X d_k][\bar{u}_j P_Y d_n](j_Z)_\mu, \end{aligned} \quad (5.22)$$

and the leptonic currents are defined as

$$j_Z = \bar{\ell}_\alpha P_Z \ell_\beta^c, \quad j_Z^\nu = \bar{\ell}_\alpha \gamma^\nu P_Z \ell_\beta^c. \quad (5.23)$$

In the above expressions  $P_{X,Y,Z}$  ( $X, Y, Z = L, \text{ or } R$ ) are the left and right projectors  $P_{L,R} = 1/2(1 \mp \gamma_5)$ , whereas  $\alpha, \beta$  denote one of three lepton flavors ( $e, \mu, \tau$ ). Then, assuming that short-range contributions are the dominant ones, the decay amplitude of  $\Delta L=2$  hyperon decays is given by

$$\begin{aligned} \mathcal{M}(B_A^- \rightarrow B_B^+ \ell_1 \ell_2) &= \langle B_B^+ \ell_1 \ell_2 | \mathcal{L}_{\text{eff}}^{\Delta L=2} | B_A^- \rangle, \\ &= \frac{G_F^2}{\Lambda} \sum_i [C_i^{X,Y,Z}]_{\ell_1 \ell_2} \mathcal{F}_i, \end{aligned} \quad (5.24)$$

where the  $\mathcal{F}_i$  functions describe the matrix elements associated to the all different operators in eq. (5.22). Below we provide two concrete UV completions of the local six-fermion effective Lagrangian described by the eq. (5.21).

### Heavy neutrino contributions

We evaluate first the contribution of heavy neutrinos that can appear in many low-scale seesaw models, for that, we will consider the minimal parametrization presented in reference [30, 31]. In this model, the neutrino sector contains 5 Majorana fields ( $\chi_i = \chi_{L_i} + \chi_{L_i}^c$ ); after mass matrix diagonalization one ends with three light (active) neutrinos that determine the observed oscillation phenomena and two heavy neutrinos  $N_{1,2} = \chi_{4,5}$  states. The charged

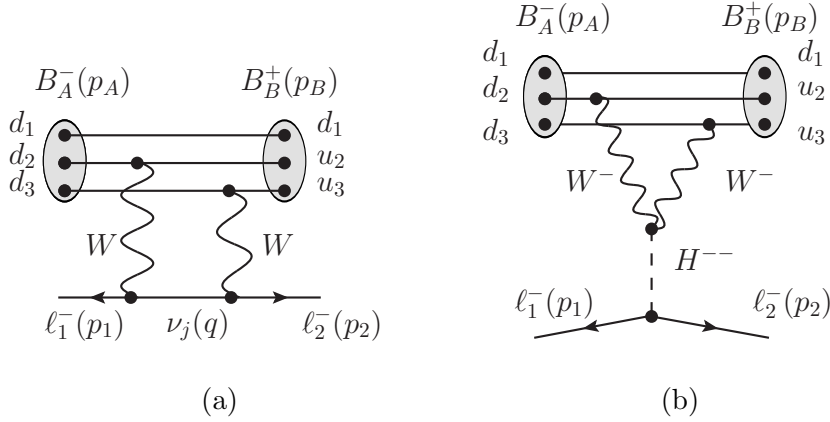


Figure 5.3: Two possible UV completions for the effective lagrangian in eq. (5.21). (a) Heavy neutrino contributions from low-scale seesaw models. (b) Doubly charged scalar contributions in the Higgs triplet model (HTM).

weak lepton current relevant for our computation is described by the lagrangian:

$$\mathcal{L}_W^\pm = -\frac{g}{2\sqrt{2}}W_\mu^- \sum_{i=1}^3 \sum_{j=1}^5 B_{ij} \bar{\ell}_i \gamma^\mu (1 - \gamma_5) \chi_j + \text{h.c.}, \quad (5.25)$$

where  $B$  is a  $3 \times 5$  matrix

$$B_{ij} = \sum_{k=1}^3 \delta_{ik} U_{kj}^\nu, \quad (5.26)$$

and  $U$  is the matrix that diagonalizes the neutrino mass matrix. The relevant point for our discussion is that all the genuine effects of LNV due to presence of heavy  $N_{1,2}$  neutrino masses can be parametrized in terms of their mass splitting ( $r = m_{N_2}^2/m_{N_1}^2$ , with  $r \neq 1$ ) which determine their Majorana characteristics. Note that, in this scenario, when the two Majorana states are degenerate they form a Dirac singlet neutrino and lepton number is exactly conserved. Then the elements of the matrix  $B$  involving the heavy states in eq. (5.26) can be expressed in terms of the heavy-light mixings  $s_{\nu_\alpha}$  (with  $\alpha, \beta = e, \mu, \tau$ ) and the  $r$  parameter as follows [30]

$$B_{\alpha N_1} = -i \frac{r^{1/4}}{\sqrt{1 + r^{1/2}}} s_{\nu_\alpha}, \quad B_{\alpha N_2} = \frac{1}{\sqrt{1 + r^{1/2}}} s_{\nu_\alpha}. \quad (5.27)$$

For this parametrization, the contribution of heavy neutrinos to  $\Delta L=2$  hyperon decays is given by

$$\mathcal{M} = -G^2 \sum_{j=1}^2 \frac{B_{\ell_1 N_j} B_{\ell_2 N_j}}{2m_{N_j}} X^{\mu\nu} L_{\mu\nu}, \quad (5.28)$$

where

$$\begin{aligned} X^{\mu\nu} &\equiv \langle B_B^-(p_B) | \Gamma^{\mu\nu} | B_A^+(p_A) \rangle, \\ \Gamma^{\mu\nu} &= [\bar{u} \gamma_\mu (1 - \gamma_5) D] \times [\bar{u} \gamma_\nu (1 - \gamma_5) D']. \end{aligned} \quad (5.29)$$

is the hadronic matrix element describing the transition from  $B_A^-$  to  $B_B^+$  ( $D$  and  $D'$  stands for down-type quarks  $d$  or  $s$  according to the initial and final states). Because the transition between quarks of initial and final states is via the weak charged current, as depicted in Fig. 5.3(a), the tensor hadronic current is given by the product of two bilinear  $V - A$  structures. On the other hand, the leptonic part can be simplified as follows

$$\begin{aligned} L_{\mu\nu} &\equiv \bar{u}(p_{\ell_2}) \gamma_\mu (1 - \gamma_5) \gamma_\nu v(p_{\ell_1}) - \ell_1(p_{\ell_1}) \leftrightarrow \ell_2(p_{\ell_2}), \\ &= 2g_{\mu\nu} \bar{u}(p_{\ell_2})(1 + \gamma_5)v(p_{\ell_1}), \\ &\equiv 4g_{\mu\nu} (j_R^{\ell_2 \ell_1}). \end{aligned} \quad (5.30)$$

Fortunately, some of the hadronic matrix elements in eq. (5.29) have been computed in the framework of the so-called MIT bag model in Ref. [68]. In the non-relativistic approximation, these hadronic matrix elements involving fourth-quarks operators can be expressed in terms of only two  $A$  and  $B$  functions, in such a way that after the contraction of Lorentz indices, we have that

$$X^{\mu\nu} g_{\mu\nu} = \bar{u}(p_B) [A + B\gamma_5] u(p_A), \quad (5.31)$$

where  $u(p_A)$  and  $u(p_B)$  denotes the spinors of initial and final hadronic states, respectively. Thus, eq. (5.28) becomes

$$\mathcal{M} = -2G^2 \sum_{j=1}^2 \frac{B_{\ell_1 N_j} B_{\ell_2 N_j}}{m_{N_j}} \bar{u}(p_B) [A + B\gamma_5] u(p_A) (j_R^{\ell_2 \ell_1}). \quad (5.32)$$

Matching eq. (5.24) for  $\Lambda = m_{N_1}$  with eq. (5.32) we obtained the particular realization of the relevant Wilson coefficient

$$\begin{aligned} [C_3^{LLR}]_{\ell_1 \ell_2} &= -2V_{uD} V_{uD'} \sum_{j=1}^2 B_{\ell_1 N_j} B_{\ell_2 N_j}, \\ &= 2V_{uD} V_{uD'} s_{\nu_{\ell_1}} s_{\nu_{\ell_2}} \frac{(r-1)}{(r+r^{1/2})}. \end{aligned} \quad (5.33)$$

From the above expressions it turns out clear that when the two heavy states are degenerate (singlet Dirac case) lepton number is exactly conserved as it is expected.

Now, in order to estimate the contributions of heavy neutrinos, we consider as a benchmark the mass-independent indirect limits for the relevant heavy-light mixing angles coming from the latest global fits to electroweak precision observables given in [86, 87]:

$$s_{\nu_e} < 0.050, \quad s_{\nu_\mu} < 0.021, \quad s_{\nu_\tau} < 0.075. \quad (5.34)$$

We also have to consider maximum perturbative values for masses and mixings of the new states. In this parametrization, such condition translates into the following relation [30, 31]

$$m_{N_1} r^{1/4} < \frac{\sqrt{2}\pi v}{\max\{s_{\nu_i}\}}. \quad (5.35)$$

Therefore, assuming the maximal values in (5.34), the perturbative condition reduces to  $m_{N_1} r^{1/4} < 8.2 \text{ TeV}$ .

Taking into account the above constraints we can estimate the rates of  $\Delta L = 2$  hyperon decays induced by heavy neutrinos in low-scale seesaw models. Let us consider the specific example of the  $\Sigma^- \rightarrow p \ell \ell'$  decays for which the values  $A = 3.56 \times 10^5 \text{ MeV}^3$  and  $B = 0$  were obtained using the eigenfunctions of quarks confined within a baryon in the MIT bag model [68].

Considering maximal values for the heavy-light mixings in eq. (5.34) and the representative values  $M_{N_1} = 1 \text{ TeV}$ ,  $r = 0.01$  for the masses of the new heavy states consistent with the perturbative limit, we have that (see appendix for details)

$$\begin{aligned} BR(\Sigma^- \rightarrow p e e) &= [C_3^{LLR}]_{ee}^2 \cdot (5.0 \times 10^{-14}), \\ &\leq 4.9 \times 10^{-30}, \\ BR(\Sigma^- \rightarrow p e \mu) &= [C_3^{LLR}]_{e\mu}^2 \cdot (4.5 \times 10^{-14}), \\ &\leq 7.0 \times 10^{-31}, \\ BR(\Sigma^- \rightarrow p \mu \mu) &= [C_3^{LLR}]_{\mu\mu}^2 \cdot (4.5 \times 10^{-15}), \\ &\leq 1.1 \times 10^{-32}. \end{aligned} \quad (5.36)$$

Bounds for the rest of hyperon decays can be computed in a similar way if matrix elements of four-quark operators for other channels become available.

### Higgs triplet model contributions

Majorana neutrinos are the most appealing but not unique mechanism to generate  $\Delta L = 2$  transitions in hyperons. As an alternative, we explore the possible effects that can arise in the presence of doubly charged scalar bosons coupled to dileptons, particularly, in the so-called Higgs Triplet Model (HTM) [26]. Here, the scalar sector is extended by including a complex  $SU(2)_L$  Higgs triplet  $\Delta$  with  $Y = 2$  along with the SM doublet  $\Phi$ . The scalar triplet is parametrized by a  $2 \times 2$  matrix as follows

$$\Delta = \begin{pmatrix} \frac{1}{\sqrt{2}}\Delta^+ & \Delta^{++} \\ \Delta^0 & -\frac{1}{\sqrt{2}}\Delta^+ \end{pmatrix}, \quad (5.37)$$

and the relevant Yukawa lagrangian is given by

$$\mathcal{L}_Y = h_{ij}\psi_i^T C i\sigma_2 \Delta \psi_j + \text{H.c.}, \quad (5.38)$$

where  $C$  is the charge conjugation matrix,  $\psi_i^T = (\nu_{iL}^T, e_{iL}^T)$ ,  $\sigma_2$  is the second Pauli matrix, and  $h_{ij}$  are the entries of a  $3 \times 3$  symmetric Yukawa matrix. The neutral component of  $\Delta$  develops a vacuum expectation value  $v_\Delta$ , as a consequence, neutrino masses are generated.

After the spontaneous symmetry breaking the physical scalar spectrum is composed of seven states: two CP-even scalars  $H_1$  and  $H_2$ , one CP-odd scalar  $A$ , two charged scalars  $H^\pm$ , and two doubly charged scalars  $H^{\pm\pm}$  (the  $H^{\pm\pm}$  are completely built out of the triplet fields  $H^{\pm\pm} = \Delta^{\pm\pm}$ ).

For the purposes of this work, we only focus on the phenomenology of the doubly charged states; a complete list of all the new vertices in the HTM can be found in [88]. The coupling for the interaction of the doubly charged scalar  $H^{\pm\pm}$  with a pair of  $W^\pm$  gauge bosons needed to build the amplitude in Fig. 5.3(b) is given by:  $i\sqrt{2}g^2v_\Delta g_{\mu\nu}$ . Note that similar contributions replacing each of the weak  $W^-$  bosons with a singly charged  $H^-$  scalar are suppressed due to small couplings  $H^- q_d \bar{q}_u$  proportional to the light quark masses.

The contribution of the HTM to  $\Delta L=2$  hyperon decays is given by

$$\begin{aligned} \mathcal{M} &= -4\sqrt{2}G^2 \frac{h_{\ell_1\ell_2}v_\Delta}{M_{H^{\pm\pm}}^2} X^{\mu\nu} g_{\mu\nu} \bar{u}(p_2)(1 - \gamma_5)v(p_1), \\ &\equiv -8\sqrt{2}G^2 \frac{h_{\ell_1\ell_2}v_\Delta}{M_{H^{\pm\pm}}^2} \bar{u}(p_B) [A + B\gamma_5] u(p_A) (j_L^{\ell_2\ell_1}). \end{aligned} \quad (5.39)$$

Comparing the above expression with eq. (5.24) for  $\Lambda = M_{H^{\pm\pm}}^2/v_\Delta$ , the Wilson coefficient associated to the HTM contribution is given by <sup>4</sup>

$$[C_3^{LLL}]_{\ell_1\ell_2} = -8\sqrt{2}V_{uD}V_{uD'}h_{\ell_1\ell_2}. \quad (5.40)$$

In order to evaluate this contribution we must consider that  $v_\Delta$  is constrained from the correction to the  $\rho$  parameter, which after the introduction of the Higgs triplet becomes

$$\rho = M_W^2/M_Z^2 \cos^2 \theta_W = \frac{1 + 2v_\Delta^2/v^2}{1 + 4v_\Delta^2/v^2}, \quad (5.41)$$

where  $v = 246$  GeV is the v.e.v. of the SM doublet. Then, considering the experimental value  $\rho^{\text{exp}} = 1.00038(20)$  [59] one is lead to the upper limit  $v_\Delta \lesssim \mathcal{O}(1)$  GeV [89, 90].

Furthermore,  $M_H^{\pm\pm}$  is constrained indirectly as a function of the product of leptonic Yukawa couplings from several processes [89–94], including Bhabha scattering, LFV violating transitions, muonic oscillation, and the electron and muon ( $g-2$ ) observables (see Table 5.4) <sup>5</sup>.

Taking for simplicity non  $\tau$ -flavored interactions, that is,  $h_{\tau i} = 0$  ( $i = e, \mu, \tau$ ) and the constraints from Table 5.4. We adopt a conservative benchmark considering that  $v_\Delta = 3$  GeV, and  $h_{mm} \simeq 0.1$  ( $m = e, \mu$ ) for the rest of diagonal Yukawa couplings. If we now consider the limits from  $\ell\ell \rightarrow \ell\ell$  ( $\ell = e, \mu$ ) data which only involve diagonal couplings  $h_{ee}$  and  $h_{\mu\mu}$ , then  $m_{H^{\pm\pm}} \gtrsim 395$  GeV. Choosing this lowest value for  $m_{H^{\pm\pm}}$ , we obtain <sup>6</sup>

$$\begin{aligned} BR(\Sigma^- \rightarrow pee)_{HTM} &\leq 1.1 \times 10^{-30}, \\ BR(\Sigma^- \rightarrow pe\mu)_{HTM} &\leq 1.3 \times 10^{-39}, \\ BR(\Sigma^- \rightarrow p\mu\mu)_{HTM} &\leq 1.0 \times 10^{-31}. \end{aligned} \quad (5.42)$$

Note that for smaller Yukawa couplings  $h_{mm}$ , the above upper limit increases by a factor  $1/h_{mm}^2$  if we still assume the lower bound on  $m_{H^{\pm\pm}}$  from  $ee \rightarrow \mu\mu$  data quoted in Table (5.4). Moreover, in this case, it is necessary to consider  $h_{e\mu} \lesssim 3.5 \times 10^{-6}$  to obey the strongly constraint coming from  $\mu \rightarrow eee^+$ , as a consequence, the prediction for the  $(e\mu)$  channel would be more suppressed.

<sup>4</sup>In eq. (5.39) we have added the diagram with the external charged leptons interchanged using that  $\bar{u}(p_2)P_{\{L,R\}}v(p_1) = -\bar{u}(p_1)P_{\{L,R\}}v(p_2)$ .

<sup>5</sup>A recent study of the production of doubly charged Higgs bosons at LHC can be found in [95].

<sup>6</sup>The branching ratio for the HTM contribution can be obtained directly from eq. (5.36) replacing  $[C_3^{LLR}]_{\ell_1\ell_2}$  by  $[C_3^{LLL}]_{\ell_1\ell_2}$ .

Process	Current data	Constraint [GeV <sup>-2</sup> ]
$\mu^- \rightarrow eee^+$	$< 1.0 \times 10^{-12}$	$ h_{ee}^\dagger h_{e\mu} /M_{H^{\pm\pm}}^2 < 2.3 \times 10^{-12}$
$\mu \rightarrow e\gamma$	$< 4.2 \times 10^{-13}$	$\sum_{k=e,\mu,\tau}  h_{ek}^\dagger h_{\mu k} /M_{H^{\pm\pm}}^2 < 2.7 \times 10^{-10}$
electron $g-2$	$< 5.2 \times 10^{-13}$	$\sum_{k=e,\mu,\tau}  h_{ek} ^2/M_{H^{\pm\pm}}^2 < 1.2 \times 10^{-4}$
muon $g-2$	$< 4.0 \times 10^{-9}$	$\sum_{k=e,\mu,\tau}  h_{\mu k} ^2/M_{H^{\pm\pm}}^2 < 1.7 \times 10^{-5}$
muonic oscillation	$< 8.2 \times 10^{-11}$	$ h_{ee}^\dagger h_{\mu\mu} ^2/M_{H^{\pm\pm}}^2 < 1.2 \times 10^{-7}$
$ee \rightarrow ee$ (LEP)	$\Lambda_{\text{eff}} > 5.2 \text{ TeV}$	$ h_{ee} ^2/M_{H^{\pm\pm}}^2 < 1.2 \times 10^{-7}$
$ee \rightarrow \mu\mu$ (LEP)	$\Lambda_{\text{eff}} > 7.0 \text{ TeV}$	$ h_{\mu\mu} ^2/M_{H^{\pm\pm}}^2 < 6.4 \times 10^{-8}$

Table 5.4: Current experimental limits for  $(e, \mu)$  flavor processes that constrain the product of Yukawa couplings ( $h^\dagger h$ ) as a function of the mass  $m_{H^{\pm\pm}}$ . A comprehensive and detailed analysis of all the limits including  $\tau$  flavor transitions can be found in [93].

We conclude that, even if the sensitivities of BES III are pushed to their extreme expectations, results for the two electron channels of neutrinoless double beta hyperon decays will not be competitive with nuclear neutrinoless double beta decays. However, in the case of two muon channels, interesting and competitive limits with other sources can be derived for the muonic effective Majorana masses in that case. Furthermore, following the discussion in previous chapter, it might be interesting to study these sort of lepton number transition via a resonant massive neutrino state in order to have an increase in the total branching ratio. However, to do so, we have to extend the analysis to four-body final state, as we elaborate in detail in the next section.

A different mechanism for  $\Delta L=2$  in hyperon decays is also explored. We consider a Higgs Triplet Model, which can generate neutrino masses through the type-II seesaw mechanism, and contains a doubly charged scalar that couples to equal-sign leptons. Using current bounds on the parameters of the model relevant for  $\Delta L=2$  decays, we find the branching fraction for  $\Sigma^- \rightarrow pee$  to be less suppressed than in *scenario A* discussed above, but still far below the current and expected sensitivities at BES III.



## 5.2 $\Delta L=2$ four-body baryon decay (via a resonant heavy neutrino state).

In this part we focus on the  $B_A(p_A) \rightarrow B_B(p_B)\ell_1^-(p_1)\ell_2^-(p_2)\pi^+(p_\pi)$  lepton number violating (LNV) decays ( $B_{A,B}$  denote hyperon states, see Fig. 5.4). Specifically, we will consider the following channels:  $\Sigma^- \rightarrow n\pi^+e^-\ell^-$  ( $\ell = e, \mu$ ),  $\Xi^- \rightarrow \Lambda\pi^+e^-e^-$ , and  $\Lambda \rightarrow p\pi^+e^-e^-$ . This kind of decays have not been studied before, and they can be induced by the resonant enhancement of intermediate mass Majorana neutrinos<sup>7</sup>. LNV hyperon decays of the form  $B_A^- \rightarrow B_B^+\ell^-\ell'^-$  have been studied before in Refs. [46, 67, 68, 112]. These processes are mediated by a virtual Majorana neutrino and are similar to neutrinoless double beta decays. On the other hand, resonant production of Majorana neutrinos are possible for a limited range of their masses in such a way that they can be produced on their mass-shell. Contrary to production of virtual Majorana neutrinos processes with rates of  $O(G_F^4)$ , the rates for production of resonant Majorana neutrinos becomes of  $O(G_F^2)$  [63, 96], which allows to place better constraints of their parameter space even with upper limits given by current experimental sensitivities.

In the following we present the formalism to describe these processes and introduce the integration method for four-body decays, which extend the one followed in the 3-body case [63, 96] and allows to properly account for the different charged leptons flavor case. Given the clean experimental signature, one may expect that very strong limits can be set on the branching fractions of these decays, similar to existing limits on other  $\Delta L=2$  meson decays. Therefore, it would be interesting to explore if similar limits on the parameter space of resonant Majorana neutrinos can be obtained from the proposed four body hyperon decays.

### 5.2.1 Amplitude of $B_A^{\{-,0\}}(p_A) \rightarrow B_B^{\{0,+\}}(p_B)\ell_1^-(p_1)\ell_2^-(p_2)\pi^+(p_\pi)$

Adopting the convention for the neutrino states given in Ref. [63], let us consider an scenario where the leptonic sector incorporates a number  $n$  of singlet right-handed neutrinos  $N_{R_j}$  ( $j = 1, 2, \dots, n$ ) in addition to the usual three left-handed  $SU(2)$  lepton doublets  $L_{iL}^T = (\nu_i, \ell_i)_L$ . In such scenario, after the proper mass matrix diagonalization, the charged lepton current

<sup>7</sup>These novel channels extend the search of similar LNV effects performed in semi-leptonic baryon, meson and tau decays [63, 66, 96–111].

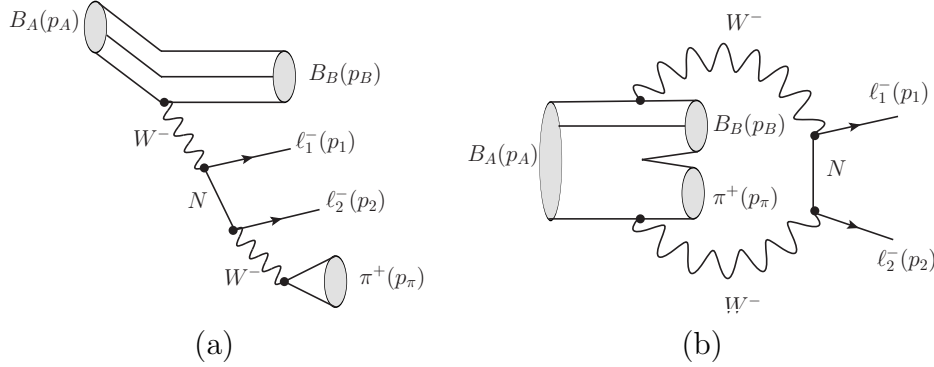


Figure 5.4: Feynman diagrams for the four-body  $\Delta L=2$  hyperon decays mediated by a resonant heavy Majorana neutrino  $N$ . We consider the following channels:  $\Sigma^- \rightarrow n\pi^+e^-\ell^-$  ( $\ell = e, \mu$ ),  $\Xi^- \rightarrow \Lambda\pi^+e^-e^-$ , and  $\Lambda \rightarrow p\pi^+e^-e^-$ . Note that diagram (a) is the dominant one when the neutrino is on-shell because its contribution is enhanced due to a resonance effect, opposite to diagram (b) where the neutrino can not become a resonant state.

relevant for our computation can be written as follows

$$\mathcal{L}_W = -\frac{g}{\sqrt{2}}W^+\left(\sum_{\ell=e,\mu,\tau}\sum_{i=1}^3U_{\ell i}^*\bar{\nu}_i\gamma_\mu P_L\ell + \sum_{\ell=e,\mu,\tau}\sum_{j=4}^{3+n}V_{\ell j}^*\bar{N}_j^c\gamma_\mu P_L\ell\right) + \text{h.c.}, \quad (5.43)$$

where  $P_L = (1 - \gamma_5)/2$  is the left-handed chirality projector,  $N^c = C\bar{N}^T$  is the charge conjugate spinor, and  $U_{\ell j}$  ( $V_{\ell j}$ ) describes the lepton mixing matrix elements for the light (heavy) neutrino states.

Similarly to previous works, we base our analysis considering the case of a simply minimal scenario with only one heavy Majorana neutrino  $N$ , with the corresponding mass  $m_N$  and mixing with the charged lepton flavor  $V_{\ell N}$  ( $\ell = e, \mu, \tau$ )<sup>8</sup>. The relevant diagram for the  $B_A(p_A) \rightarrow B_B(p_B)\ell_1^-(p_1)\ell_2^-(p_2)\pi^+(p_\pi)$  LNV hyperon decays is depicted in Fig. 5.4(a), and its amplitude can be written as follows

$$\mathcal{M}_1 = \left(\frac{G V_{\ell_1 N} V_{\ell_2 N} f_\pi m_N}{a_1 + i\Gamma_N m_N}\right) \ell_{\mu\nu}(p_1, p_2) H^\mu(p_B, p_A) p_\pi^\nu, \quad (5.44)$$

<sup>8</sup>This minimal scenario is not able to explain the current data coming from neutrino oscillations experiments but represents a simple approach to encode the effects of a larger number of heavy states present in well-justified massive neutrino models. Recently, the interference effects in extensions with at least two heavy neutrino states for three-body meson LNV decays have been reported in [113–115].

where  $a_1 \equiv (p_A - p_B - p_1)^2 - m_N^2$ , and  $p_A - p_B - p_1 = p_\pi + p_2$  is the momentum carried out by the heavy neutrino  $N$ , and we have defined  $G \equiv G_F^2 V_{us} V_{ud}$ . The leptonic and hadronic parts are given by

$$\ell_{\mu\nu}(p_1, p_2) \equiv \bar{u}(p_1) \gamma_\mu \gamma_\nu (1 + \gamma_5) v(p_2), \quad (5.45)$$

$$H^\mu(p_B, p_A) \equiv \langle B_B(p_B) | J_\mu | B_A(p_A) \rangle. \quad (5.46)$$

The hadronic current  $J_\mu$  is parametrized in terms of six form factors which are determined from the well-known lepton number conserving hyperon decays  $B_A \rightarrow B_B \ell^- \bar{\nu}_\ell$  ( $\ell = e, \mu$ ) [71, 72, 74, 75]:

$$\begin{aligned} \langle B_B(p_B) | J_\mu | B_A(p_A) \rangle = \bar{u}(p_B) & \left[ f_1(q^2) \gamma_\mu + i f_2(q^2) \frac{\sigma_{\mu\nu} q^\nu}{M_A} + \frac{q_\mu f_3(q^2)}{M_A} \right. \\ & \left. + g_1(q^2) \gamma_\mu \gamma_5 + i g_2(q^2) \frac{\sigma_{\mu\nu} q^\nu \gamma_5}{M_A} + \frac{q_\mu g_3(q^2) \gamma_5}{M_A} \right] u(p_A), \end{aligned} \quad (5.47)$$

where  $q^2 = (p_A - p_B)^2$  is the squared momentum transferred to the leptons,  $u(p_A)$  and  $M_A$  ( $\bar{u}(p_B)$ , and  $M_B$ ) are the spinor and mass of the initial (final) baryon, respectively. Nevertheless, the contributions of  $f_3$ , and  $g_3$  form factors in eq. (5.47) are negligible in comparison with the other form factors since they pick up a factor proportional to the mass of the charged-lepton  $m_\ell$  involved in the transition [71, 72, 74]. Furthermore,  $f_2$  and  $g_2$  are in principle not negligible, but they become subleading in the SU(3)-flavor symmetry of QCD [116, 117]. Therefore, in the following we will consider that the hadronic current describing the hadronic transition in eq. (5.46) is dominated by the vector and axial form factors as follows:

$$\langle B_B(p_B) | J_\mu | B_A(p_A) \rangle = \bar{u}(p_B) \gamma_\mu [f_1(q^2) + g_1(q^2) \gamma_5] u(p_A). \quad (5.48)$$

Now, from neutrino and electron scattering off nucleons, it has been found that the observed distributions can be described by a dipole parametrization, in such a way that an extrapolation to the time-like region leads to eq. (5.11) with  $m_{df} = 0.84$  GeV and  $m_{dg} = 1.08$  GeV. Since these pole masses corresponds to strangeness-conserving form factors, a rescaling using the values of vector and axial mesons masses allows to assume that  $m_{df} = 0.97$  GeV and  $m_{dg} = 1.25$  GeV would be a good guess for the dipole masses in the strangeness-changing case [71, 74]. The values of the form factors at zero momentum transfer,  $f_1(0)$  and  $g_1(0)$  are given in Table 5.5 and in the case of the vector form factors, they incorporate the effects of SU(3) flavor symmetry breaking [71, 72, 74, 75].

It is important to note that the amplitude  $\mathcal{M}_1$  in Eq. (5.44) has a resonant

Transition	$f_1(0)$	$g_1(0)$
$\Sigma^- \rightarrow n$	-1	0.341
$\Xi^- \rightarrow \Lambda$	$\sqrt{3/2}$	0.239
$\Lambda \rightarrow p$	$-\sqrt{3/2}$	-0.895

Table 5.5: Vector and axial transition form factors for weak hyperon decays at zero momentum transfer ( $q^2 = 0$ ) [71].

effect when  $(p_\pi + p_2)^2 \approx m_N^2$ <sup>9</sup>. Besides, if the experiment is unable to distinguish which lepton was emitted at each stage for non-identical charged leptons or, for the anti-symmetrization of identical leptons we also need to consider the diagram contribution with the final charged leptons interchanged  $\ell_1(p_1) \leftrightarrow \ell_2(p_2)$  in Fig. 5.4. This second diagram has a resonant effect when  $(p_\pi + p_1)^2 \approx m_N^2$ . Since in general  $(p_\pi + p_2)^2 \neq (p_\pi + p_1)^2$ , it turns out convenient to apply the Single-Diagram-Enhanced multi-channel integration method [118]. This method has been implemented for three-body channels. Here we generalize it to four-body decays, along the same lines by defining the functions

$$f_{PS_1} = \frac{|\overline{\mathcal{M}}_1|^2}{|\overline{\mathcal{M}}_1|^2 + |\overline{\mathcal{M}}_2|^2} |\overline{\mathcal{M}}|^2, \quad f_{PS_2} = \frac{|\overline{\mathcal{M}}_2|^2}{|\overline{\mathcal{M}}_1|^2 + |\overline{\mathcal{M}}_2|^2} |\overline{\mathcal{M}}|^2, \quad (5.49)$$

with  $\mathcal{M} = \mathcal{M}_1 + \mathcal{M}_2$ . In this way, Eq. (E.3) can be rewritten as  $|\overline{\mathcal{M}}|^2 = f_{PS_1} + f_{PS_2}$ , and consequently the decay width is given by

$$\Gamma_{B_A \rightarrow B_B \ell_1^- \ell_2^- \pi^+} = \frac{N}{4(4\pi)^6 m_A^3} \left[ \int f_{PS_1} dPS_1 + \int f_{PS_2} dPS_2 \right], \quad (5.50)$$

with  $N = 1/2, (1)$  for the case where the two charged final leptons are same (different) particles. In our case, the functions  $f_{PS_1}$  and  $f_{PS_2}$  can be written as follows (see the Appendix E for details)

$$f_{PS_1} = \frac{(G V_{\ell_1 N} V_{\ell_2 N} f_\pi m_N)^2 A}{a_1^2 + \Gamma_N^2 m_N^2} \left[ 1 + 2 \frac{(a_1 a_2 + \Gamma_N^2 m_N^2) C_1 + (a_2 - a_1) \Gamma_N m_N C_2}{(a_2^2 + \Gamma_N^2 m_N^2) A + (a_1^2 + \Gamma_N^2 m_N^2) B} \right], \quad (5.51)$$

$$f_{PS_2} = f_{PS_1}(p_1 \leftrightarrow p_2), \quad (5.52)$$

where the  $A$ ,  $B$ ,  $C_1$ , and  $C_2$  functions are reported for the first time in the Appendix E. Now, the phase space integration can be done for  $f_{PS_1}$  and  $f_{PS_2}$

<sup>9</sup>For the  $B_A(p_A) \rightarrow B_B(p_B) \ell_1^-(p_1) \ell_2^-(p_2) \pi^+(p_\pi)$  decays mediated by an intermediate neutrino state produced on-shell its mass must satisfy that  $m_{\ell_2^-} + m_{\pi^+} \leq m_N \leq m_A - m_B - m_{\ell_1^-}$ .

separately, and added up after the proper phase space integration. Regarding the first integral in Eq. (5.50)<sup>10</sup>, this is described conveniently in terms of the five independent variables  $(s_{B1}, s_{2\pi}, \theta_B, \theta_2, \phi)$  (see appendix C for details) :

- $s_{B1} = (p_B + p_1)^2$  and  $s_{2\pi} = (p_2 + p_\pi)^2$  stand for the invariant masses of the  $B_B \ell_1^-$  and  $\ell_2^- \pi^+$  systems, respectively.
- $\theta_B$  ( $\theta_2$ ) is the angle between the three-momentum of  $B_B$  ( $\pi^+$ ) in the rest frame of the pair  $B_B \ell_1^-$  ( $\pi^+ \ell_2^-$ ) with respect to the line of flight of the  $B_B \ell_1^-$  ( $\pi^+ \ell_2^-$ ) in the rest frame of the particle  $B_A$ .
- $\phi$  is the angle between the planes defined by the  $B_B \ell_1^-$  and  $\pi^+ \ell_2^-$  pairs systems in the rest frame of the particle  $B_A$ .

In order to evaluate Eq. (5.50) we need to consider the total decay width for the new heavy neutrino states. This can be obtained by adding up the contributions of all its partial decay widths ( $\Gamma_i^{\text{p.w.}}$ ) that can be opened at the mass  $m_N$  [63]

$$\Gamma_N = \sum_i \Gamma_i^{\text{p.w.}} \cdot \theta(m_N - \sum_j m_j), \quad (5.53)$$

where  $\theta$  is the Heaviside function and  $m_j$  stand for the masses of all the final states particles involved in  $\Gamma_i^{\text{p.w.}}$ . Let us illustrate this point by considering the  $\Sigma^- \rightarrow n \pi^+ e^- e^-$  channel, here, the mass of the resonant state must be inside the range  $m_{e^-} + m_{\pi^+} \leq m_N \leq m_{\Sigma^-} - m_n - m_{e^-}$ , then the possible decay channels of the heavy  $N$  state (induced by charged and neutral currents) that contribute to its total decay width  $\Gamma_N$  are the following  $N \rightarrow \ell^\pm \pi^\mp$ ,  $N \rightarrow \pi^0 \nu_\ell$ ,  $N \rightarrow \ell_1^\mp \ell_2^\pm \nu_{\ell_2}$ ,  $N \rightarrow \ell_2^- \ell_2^+ \nu_{\ell_1}$ , and  $\nu_{\ell_1} \nu_{\bar{\ell}_1}$  (with  $\ell, \ell_1, \ell_2 = e, \mu$ ). The analytical expressions for these partial widths can be found in Ref. [63], they depend on each particular channel considered, and they are given as a function of both the neutrino mass and the norm of the squared mixings involved, that is  $\Gamma_i^{\text{p.w.}} = \Gamma_i^{\text{p.w.}}(m_N, |V_{\ell N}|^2)$ . Then we have considered the indirect limits on the mixing elements of the heavy neutrino with the three charged leptons [86] in order to estimate the total neutrino width, namely

$$|V_{eN}| \leq 0.050, \quad |V_{\mu N}| \leq 0.021, \quad |V_{\tau N}| \leq 0.075. \quad (5.54)$$

Using the above values in Eq. (5.53) the total decay width  $\Gamma_N$  varies from 0.07 neV to 4.4 neV into the resonant mass region for the  $\Sigma^- \rightarrow n \pi^+ e^- e^-$  decay. The decay width is very small compare with the mass of the new

<sup>10</sup>The phase space variables for the second integral in Eq. (5.50) are chosen conveniently as  $(s_{B2}, s_{1\pi}, \theta_B, \theta_1, \phi)$  with the mass invariants  $s_{B2} = (p_B + p_2)^2$ , and  $s_{1\pi} = (p_1 + p_\pi)^2$ .

neutral state  $\Gamma_N \ll m_N$ , and since  $(p_2 + p_\pi)^2 = s_{2\pi} \approx m_N^2$  in Eq. (5.51), the narrow width approximation can be applied. That means, that we can replace

$$\frac{1}{(s_{2\pi} - m_N^2)^2 + m_N^2 \Gamma_N^2} \rightarrow \frac{\pi}{m_N \Gamma_N} \delta(s_{2\pi} - m_N^2) \quad (5.55)$$

transforming the five-variable integral in Eq. (5.51) into a four-variable one:

$$\begin{aligned} \int f_{PS_1} dPS_1 &= \frac{\pi(G V_{\ell_1 N} V_{\ell_2 N} f_\pi m_N)^2}{\Gamma_N m_N} \int X \beta_{B1} \beta_{2\pi} \\ &\times \left[ A \left( 1 + 2 \frac{\Gamma_N^2 m_N^2 C_1 + \Gamma_N m_N a_2 C_2}{(a_2^2 + \Gamma_N^2 m_N^2) A + \Gamma_N^2 m_N^2 B} \right) \right] ds_{B1} d\cos\theta_B d\cos\theta_2 d\phi, \end{aligned} \quad (5.56)$$

with the following integration limits:

$$\begin{aligned} (m_B + m_1)^2 &\leq s_{B1} \leq (m_A - m_2 - m_\pi)^2, & -1 &\leq \cos\theta_B \leq 1, \\ -1 &\leq \cos\theta_2 \leq 1, & -\pi &\leq \phi \leq \pi. \end{aligned} \quad (5.57)$$

This provides all the formalism we need to compute the decay width and set the region of the parameters, given on the expected experimental branching ratio, as we show below.

### 5.2.2 Potential constraints on the NP parameter space

The projected sensitivity of BES-III for the search of rare and forbidden hyperon three-body hyperon decays at BES-III is of the order of  $\mathcal{O}(10^{-6} - 10^{-8})$  for the branching fraction [57] with clean backgrounds<sup>11</sup>. However, there is not an estimation for similar four-body hyperon decays. In this work, we will assume an optimistic scenario considering similar sensitivities for three- and four-body processes.

In Fig. 5.5 we show the exclusion region on the plane  $(m_N, |V_{eN}|^2)$  for the neutrino resonant state obtained by assuming a rate of  $\text{BR}(B_A \rightarrow B_B \pi^+ e^- e^-) < 10^{-8}$  for channels involving a pair of electrons in the final state. We have considered here two benchmarks to evaluate the total neutrino width. On one side, the solid lines represent the universal coupling assumption, that is, we consider  $V_{eN} = V_{\mu N} = V_{\tau N}$  in Eq. (5.53); therefore, the total neutrino width (and consequently the branching ratio of the  $B_A \rightarrow B_B \pi^+ e^- e^-$  hyperon decays) can be expressed only as a function

<sup>11</sup>It is also worthy to mention that these kinds of transitions can be also searched by the LHCb collaboration with higher sensitivities because of the huge production cross-section there.

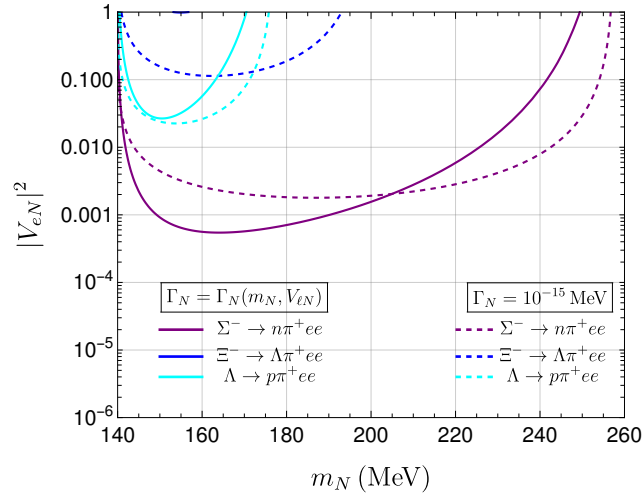


Figure 5.5: Exclusion regions on the  $(m_N, |V_{eN}|^2)$  plane by assuming a  $\text{BR}(B_A \rightarrow B_B \pi^+ e^- e^-) < 10^{-8}$  limit. The purple line stands for the  $\Sigma^- \rightarrow \pi^+ n e^- e^-$  channel, the blue one for the  $\Xi^- \rightarrow \Lambda \pi^+ e^- e^-$ , and the cyan color for the  $\text{BR}(\Lambda \rightarrow p \pi^+ e^- e^-)$  decay (see main text for further details). The solid (dashed) lines correspond to estimates considering an explicit dependence of the width  $\Gamma_N$  over the mixing parameters (or, in the case of fixing  $\Gamma_N$  to an arbitrary value).

of  $\Gamma_N = \Gamma_N(|V_{eN}|^2, m_N)$ . On the other hand, the dashed lines represent a scenario where the total neutrino width is fixed to the reasonable value  $\Gamma_N = 10^{-15}$  MeV (consistent with the estimation of the total neutrino width using the indirect limits reported in Eq. (5.54)). From this plot, we can observe that, in general, the exclusion region will depend on which assumption we are taking, although in general, they are of the same order for all the allowed mass of the resonant neutrino in the different channels. In any case, the most restrictive limits will come from the  $\Sigma^- \rightarrow n\pi^+e^-e^-$  channel, followed by  $\Lambda \rightarrow p\pi^+e^-e^-$ , and finally the much less restrictive  $\Xi^- \rightarrow \Lambda\pi^+e^-e^-$  channel.

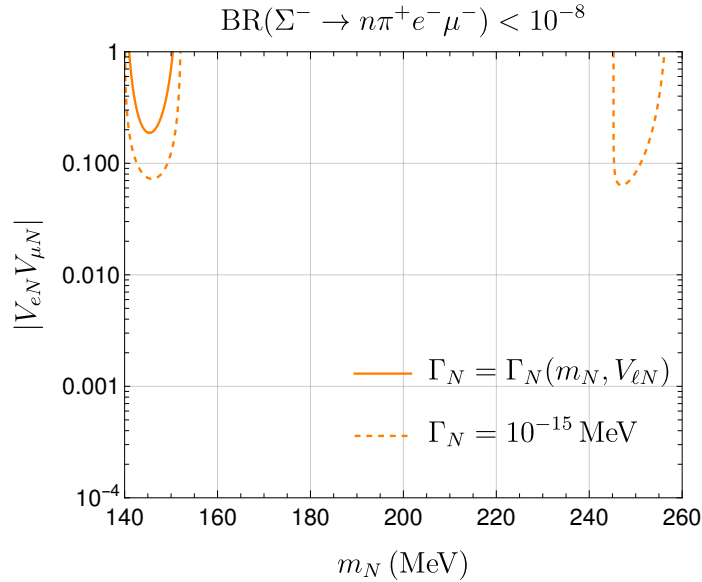


Figure 5.6: Exclusion regions on the  $(m_N, |V_{eN}V_{\mu N}|)$  plane by assuming the  $\text{BR}(\Sigma^- \rightarrow n\pi^+e^-\mu^-) < 10^{-8}$  limit in the search of lepton flavor violating hyperon decays.

Additionally to the processes with a pair of electrons in the final state, the  $\Sigma^- \rightarrow n\pi^+e\mu^-$  channel is the only possible kinematically allowed four-body LNV hyperon decay. As we can see in Fig. 5.6, if the search for this transition can achieve a rate of  $\text{BR}(\Sigma^- \rightarrow n\pi^+e^-\mu^-) < 10^{-8}$  then the limits set on the plane  $(m_N, |V_{eN}V_{\mu N}|)$  are much less restrictive than the di-electronic case because phase space restrictions are more stringent. For the case with two different flavors notice that the limits are split into two disconnected re-



gions. The left (right) region on Fig 5.6, is associated with the case where the muon (electron) was created along with the resonant neutrino state, and the electron (muon) comes after the subsequent neutrino decay. Overlap of these regions can be achieved in other scenarios, provided the kinematical conditions allow them to do so. The formalism here developed allows to address both cases regardless of invoking the direct narrow width approximation or not (see appendix E.1).

In conclusion, the study of  $\Delta L = 2$  four-body hyperon decays via resonant neutrino states seems to be appealing in order to constrain the parameter space of this kind of neutral states. However, an analogue analysis using other kind of heavy baryons will allow us to kinematically access channels with tau leptons in the final state as well as a larger range for the neutrino masses. Therefore, in the next section we elaborate on this regard by using heavy baryons involving b quarks, meanwhile we extend the initial framework with just one heavy Majorana neutrino to another one that contains a quasi-degenerated pair of heavy neutral leptons.

### 5.3 Baryon decays via two heavy neutrinos: beyond the minimal scenario.

Heavy neutral lepton states are a key ingredient in the understanding of light neutrino masses. The existence of such states would trigger new phenomena, such as lepton flavor violating (LFV) and LNV processes, which must be searched for to validate it. Perhaps, the simplest description of LNV processes (with the lepton number,  $L$ , changing in two units), includes only a single new neutral state, necessarily a neutrino of Majorana nature [63, 96, 119]. On the other hand, Lepton Number Conserving (LNC) processes, can be driven by heavy neutrinos, which can be either Dirac or Majorana particles. This motivates the search not only for direct signals of these heavy neutral leptons [120] but also for their properties, trying to distinguish between the two possible neutrino natures in various complementary processes (see, e.g., [23]). The approach with a single heavy neutral state provides a general description of the main features of LNC or LNV processes [47, 62–64, 97, 103, 121–123]. However, more realistic models (motivated to accommodate the mass generation mechanism [124, 125]) invoke two almost degenerate Majorana neutrinos, with masses in the GeV region. A recast of the single Majorana fermion results allows one to leverage previous calculations to determine the corresponding bounds for this case. Moreover,

this extension incorporates relative phases that can greatly modify the results of a single neutrino, opening the door to explore also new phenomena, such as CP-violation [99, 100, 124, 126–130].

This idea of having two almost degenerate neutrinos has been explored recently, in three-body [113–115, 128] and four-body decays [100, 127, 131] for processes with same-flavor charged leptons produced at final state. On the other hand, the case of different flavors requires careful handling, associated with the leptons exchange. A prescription to deal with different leptons, in three-body decays, was presented in [63, 96]. Such procedure has the advantage of allowing a clean description of the individual channels interference, upon the leptons interchange. In a recent work, the integration method for four-body decays was presented in the previous section [47].

Currently, the light charged leptons sector has the most restrictive bounds, for both the heavy-light mixing parameters and the region of the heavy neutrino mass. In contrast, for heavy-light mixing with tau flavor, the current bounds are less stringent than those in the light sector and arise, mainly, from the semi-leptonic tau decays [96, 128]. In this direction, the heavy baryon sector naturally allows the study of semi-leptonic processes, where the tau can be produced in combination with a light lepton. Thus, they may offer an opportunity to improve or complement the current constraints obtained from other sorts of processes.

In this section, we compute the four-body LNV decays of heavy baryons  $B_A^{\{0,\mp\}} \rightarrow B_B^{\{\pm,0\}} P^\pm \ell_\alpha^\mp \ell_\beta^\mp$  (analogous to the previous ones for hyperons), and the LNC decays  $B_A^{\{0,\mp\}} \rightarrow B_B^{\{\pm,0\}} P^\mp \ell_\alpha^\mp \ell_\beta^\pm$ , where  $B$ ,  $P$  and  $\ell$  are baryons, pseudo-scalar mesons and leptons, respectively. We follow the description of two almost degenerate heavy Majorana neutrinos as done by Ref. [124], considering a set of form factors parameterizing the hadronic transition provided in the literature [132–134]. The systems we consider are:  $\Lambda_b \rightarrow (\Lambda_c, p)(K, \pi) \ell_\alpha \ell_\beta$ ,  $\Sigma_b \rightarrow \Sigma_c(K, \pi) \ell_\alpha \ell_\beta$ ,  $\Xi_b \rightarrow (\Sigma, \Xi_c, \Lambda)(K, \pi) \ell_\alpha \ell_\beta$  and  $\Omega_b \rightarrow (\Xi, \Omega)(K, \pi) \ell_\alpha \ell_\beta$ , where  $\ell_\alpha, \ell_\beta = e, \mu, \tau$ .

### 5.3.1 The set up

To describe the processes in the leptonic part, we use the same approach as in Ref. [124]. Specifically, we work within the framework of simplified SM extensions that involve the addition of  $N$  extra neutral Majorana fermions,

without making any assumptions about the mechanism responsible for neutrino mass generation (i.e., treating neutrino masses and lepton mixings as independent). In such a case, the leptonic charged current is modified as follows:

$$\mathcal{L}_{c.c} = -\frac{g}{\sqrt{2}} U_{\alpha i} \bar{\ell}_\alpha \gamma_\mu P_L \nu_i W_\mu^- + \text{h.c.}, \quad (5.58)$$

where  $P_L = (1 - \gamma_5)/2$  is the left-handed chirality projector, the subindex  $i$  refers to the physical neutrino states (3 light plus  $N$  heavy states), and the subindex  $\alpha$  represents the flavor of the charged leptons. For the case  $N = 2$  (after the addition of two states with masses  $m_{4,5}$ ), the unitary matrix  $U$  has dimension  $5 \times 5$  encoding the flavor mixing ( $U_{\alpha i}$ ) in charged currents. We employ the conventions presented in reference [124] to denote the matrix elements for the heavy-light mixings by

$$U_{\alpha i} = e^{i\phi_{\alpha i}} |U_{\alpha i}|, \quad \alpha = e, \mu, \tau, \quad i = 4, 5, \quad (5.59)$$

where  $\phi_{\alpha i}$  is the phase of the associated mixing element.

### 5.3.2 Amplitudes

The dominant Feynman diagrams for the four-body semi-leptonic decays of heavy baryons, mediated by a hypothetical resonant neutrino state, are shown in Fig. 5.7. The diagram 5.7(a) stands for the LNC case, whereas the diagram 5.7(b) represents the LNV scenario. We proceed to obtain the corresponding amplitudes.

#### LNC $B_A^{\{0,\mp\}} \rightarrow B_B^{\{\pm,0\}} P^\mp \ell_\alpha^\mp \ell_\beta^\pm$ DECAYS

Let us start by considering the LNC case (diagram 5.7(a)). Within these processes, we will focus on lepton flavor violating (LFV) decays, i.e., with  $\ell_\alpha \neq \ell_\beta$ , to isolate the sterile neutrino contributions (decays with the same-flavor lepton final states also receive a SM contribution). We will obtain the amplitude for the specific decay mode  $B_A^{\{0,-\}}(p_A) \rightarrow B_B^{\{+,0\}}(p_B) P^-(p_P) \ell_\beta^-(p_1) \ell_\alpha^+(p_2)$ , where in parentheses are the corresponding momenta. An analogous procedure can be followed to obtain the charge conjugate modes. Using the charged-current Lagrangian in Eq. (5.58), the amplitude can be written as follows:

$$\mathcal{M}_{\text{LNC}} = G p_P^\nu \sum_{i=4,5} U_{\beta i} U_{\alpha i}^* \ell_{\mu\nu}^{\text{LNC}} P_{1i} H^\mu(p_B, p_A), \quad (5.60)$$

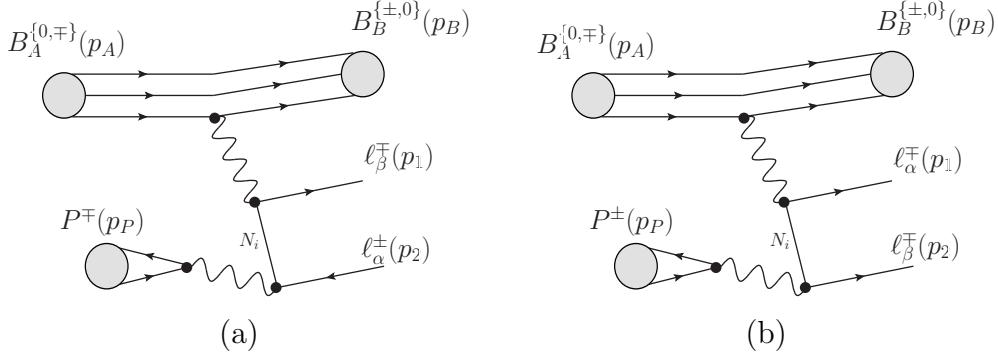


Figure 5.7: Feynman diagrams for the four-body semi-leptonic decays of heavy baryons mediated by a hypothetical resonant neutrino state. The diagram (a) stands for the LNC case, namely,  $B_A^{\{0,\mp\}} \rightarrow B_B^{\{\pm,0\}} P^\mp \ell_\alpha^\mp \ell_\beta^\pm$ , whereas the diagram (b) represents the LNV scenario  $B_A^{\{0,\mp\}} \rightarrow B_B^{\{\pm,0\}} P^\pm \ell_\alpha^\mp \ell_\beta^\mp$ . In both cases,  $P$  is a pion or kaon state.

where we have defined  $G \equiv G_F^2 V_{AB} V_P f_P$ , with  $V_P$  and  $V_{AB}$  the quark mixing elements of the CKM matrix involved in the hadronic part of the process, and  $f_P$  the decay constant of the meson state (for  $P = \pi, K$  they correspond to  $V_P = V_{ud}, V_{us}$  and  $f_P = 90, 120$  MeV, respectively). The leptonic part is given by

$$\ell_{\mu\nu}^{\text{LNC}} \equiv \bar{u}(p_1) \gamma_\mu \not{a}_1 \gamma_\nu (1 - \gamma_5) v(p_2), \quad (5.61)$$

where  $a_1 \equiv p_A - p_B - p_1$  is the momentum carried out by either of the heavy neutrinos, and we have defined

$$P_{1i} \equiv \frac{1}{a_1^2 - m_i^2 + im_i \Gamma_i}. \quad (5.62)$$

The hadronic part is given by the meson production coming from the  $W$ , parameterized by  $if_P p_P^\nu$ , already included in eq. (5.60) and the baryons transition matrix element

$$H^\mu(p_B, p_A) \equiv \langle B_B(p_B) | J^\mu | B_A(p_A) \rangle. \quad (5.63)$$

The most general form is parameterized by six form factors, as was introduced in eq.(5.47). The form factors have been computed considering specific approaches to quark models and/or lattice calculations [132–137]. We use those form factors obtained in the light-front model [132–134], for all

baryonic transitions considered in this work. In the approach used in those references the  $f_3$  and  $g_3$  form factors cannot be extracted; however, they vanish in the heavy quark limit [138]. Therefore, we only consider the  $f_1, f_2, g_1$  and  $g_2$  form factors, as given in Appendix F. The contribution of  $f_2$  and  $g_2$  to the decay width is subdominant, compared to the vector and axial couplings  $f_1$  and  $g_1$ . However, we incorporate them in the numerical evaluation for a complete description of the effective baryon weak transition. Different parameterizations of the form factors, as those reported in Ref. [135], have been used to determine their effect on the observables. No significant changes were observed in the final results.

The squared amplitude for the LNC case is given by

$$\begin{aligned}
|\mathcal{M}_{\text{LNC}}|^2 = & \left( |U_{\alpha 4}|^2 |U_{\beta 4}|^2 |P_{14} P_{14}^*| + |U_{\alpha 5}|^2 |U_{\beta 5}|^2 |P_{15} P_{15}^*| \right. \\
& + U_{\alpha 4}^* U_{\beta 4} U_{\alpha 5} U_{\beta 5}^* P_{14} P_{15}^* + U_{\alpha 4} U_{\beta 4}^* U_{\alpha 5}^* U_{\beta 5} P_{14}^* P_{15} \Big) \\
& G^2 \ell_{\mu\nu}^{\text{LNC}} p_P^\nu \ell_{\tau\theta}^{\dagger\text{LNC}} p_P^\theta H^\mu H^{\tau\dagger}, \tag{5.64}
\end{aligned}$$

where the interference terms can be stated in terms of the relative phases as

$$\begin{aligned}
U_{\alpha 4}^* U_{\beta 4} U_{\alpha 5} U_{\beta 5}^* P_{14} P_{15}^* + U_{\alpha 4} U_{\beta 4}^* U_{\alpha 5}^* U_{\beta 5} P_{14}^* P_{15} = \\
|U_{\alpha 4}| |U_{\beta 4}| |U_{\alpha 5}| |U_{\beta 5}| \left( e^{i(\psi_\alpha - \psi_\beta)} P_{14} P_{15}^* \right. \\
\left. + e^{-i(\psi_\alpha - \psi_\beta)} P_{14}^* P_{15} \right) \tag{5.65}
\end{aligned}$$

where  $\psi_\alpha \equiv \phi_{\alpha 5} - \phi_{\alpha 4}$ . This squared amplitude can be recast into a single Majorana neutrino process, provided the masses satisfy  $m_4 \simeq m_5 \equiv m_N$  and  $\Delta m_N \equiv m_5 - m_4 \gtrsim 0$ , the decay widths are  $\Gamma_4 \simeq \Gamma_5 \equiv \Gamma_N$ , the mixing parameters are thus also required to fulfill  $|U_{\alpha 4}| |U_{\beta 4}| = |U_{\alpha 5}| |U_{\beta 5}| = |U_{\alpha N}| |U_{\beta N}|$  and the relation between the  $P_{1i} P_{1j}^*$ , is as shown in Appendix G. Thus, the averaged square amplitude becomes:

$$\begin{aligned}
\overline{|\mathcal{M}_{\text{LNC}}|^2} = & G^2 \ell_{\mu\nu}^{\text{LNC}} p_P^\nu \ell_{\tau\theta}^{\dagger\text{LNC}} p_P^\theta H^\mu H^{\tau\dagger} |U_{\alpha N}|^2 |U_{\beta N}|^2 \\
& \frac{\pi}{2m_N \Gamma_N} \delta(a_1^2 - m_N^2) R[y, \psi_-], \tag{5.66}
\end{aligned}$$

where  $y = \Delta m_N / \Gamma_N$  and  $R[y, \psi]$  is the recast function

$$R[y, \psi_\mp] \equiv 2 \left\{ 1 + \kappa(y) (\cos(\psi_\alpha \mp \psi_\beta) - y \sin(\psi_\alpha \mp \psi_\beta)) \right\}, \tag{5.67}$$

with  $\kappa(y) = 1/(1 + y^2)$ . The  $R[y, \psi_+]$  function corresponds to the LNV case, as we will show later. From Eq.(5.66) we see that the effect of the extended scenario with two neutrinos (in the quasi-degenerate limit) on the single neutrino scenario is included in the recast factor  $R$ . Therefore, it is enough to compute the square amplitudes, for the case of a single resonant neutrino and multiply it by the recast function to get the full result, in the two almost degenerate case. However, the presence of this  $R$  factor, can strongly modify the magnitude of the amplitude. Therefore, it will have a direct impact on the constraints for the branching fraction and heavy-light mixing, as we discuss later. For the LNC charge conjugate process, the argument of the sine and cosine functions changes from  $\psi_\alpha - \psi_\beta \rightarrow -(\psi_\alpha - \psi_\beta)$ , associated to the modification of the heavy-light mixing parameters involved. Then, the relative sign of the *sine* function with respect to the *cosine* one, in Eq. (5.67), changes from negative to positive.

To better understand the role of the recast factor  $R$ , in Fig. 5.8 we plot it as a function of the phases  $\psi_\alpha$  and  $\psi_\beta$ , for three values of  $y$  (rows). Notice that the range in which the recast function varies depends on the value of the  $y$  parameter. For  $y = 0$  the range is maximum,  $[0, 4]$ , and decreases to 2 as  $y$  increases. In fact, for large values of  $y$ , the interference effect becomes negligible, as pointed out in Ref. [113]. On the other hand, we also compare the behavior of the recast function for LNC (left column) and LNV (right column) processes. For  $y = 0$  there are scenarios where the LNV process has a maximum and the LNC one vanishes, for example, when  $\psi_\beta = -\psi_\alpha = \pi/2$ . The opposite scenario, i.e., the LNV process vanishes while the LNC one is maximum, occurs for  $\psi_\beta = \psi_\alpha = \pi/2$ . This last case corresponds to the full degeneracy limit, where both Majorana fields form a Dirac singlet, recovering Lepton Number as an exact symmetry.

### LNV $B_A^{\{0,\mp\}} \rightarrow B_B^{\{\pm,0\}} P^\pm \ell_\alpha^\mp \ell_\beta^\mp$ DECAYS

Regarding the LNV case, depicted in Fig. 5.7(b), we must include an additional diagram associated with the interchange of the final charged leptons, regardless of their flavor. We will obtain the amplitude for the specific decay mode  $B_A^{\{0,+\}}(p_A) \rightarrow B_B^{\{-,0\}}(p_B) P^-(p_P) \ell_\alpha^+(p_1) \ell_\beta^+(p_2)$ . The amplitude is given by

$$\mathcal{M}_{\text{LNV}} = G p_P^\nu \sum_i U_{\alpha i}^* U_{\beta i}^* m_i H^\mu(p_B, p_A) \left( \ell_{\mu\nu}^{\text{LNV}}(p_1, p_2) P_{1i} + \ell_{\nu\mu}^{\text{LNV}}(p_1, p_2) P_{2i} \right), \quad (5.68)$$

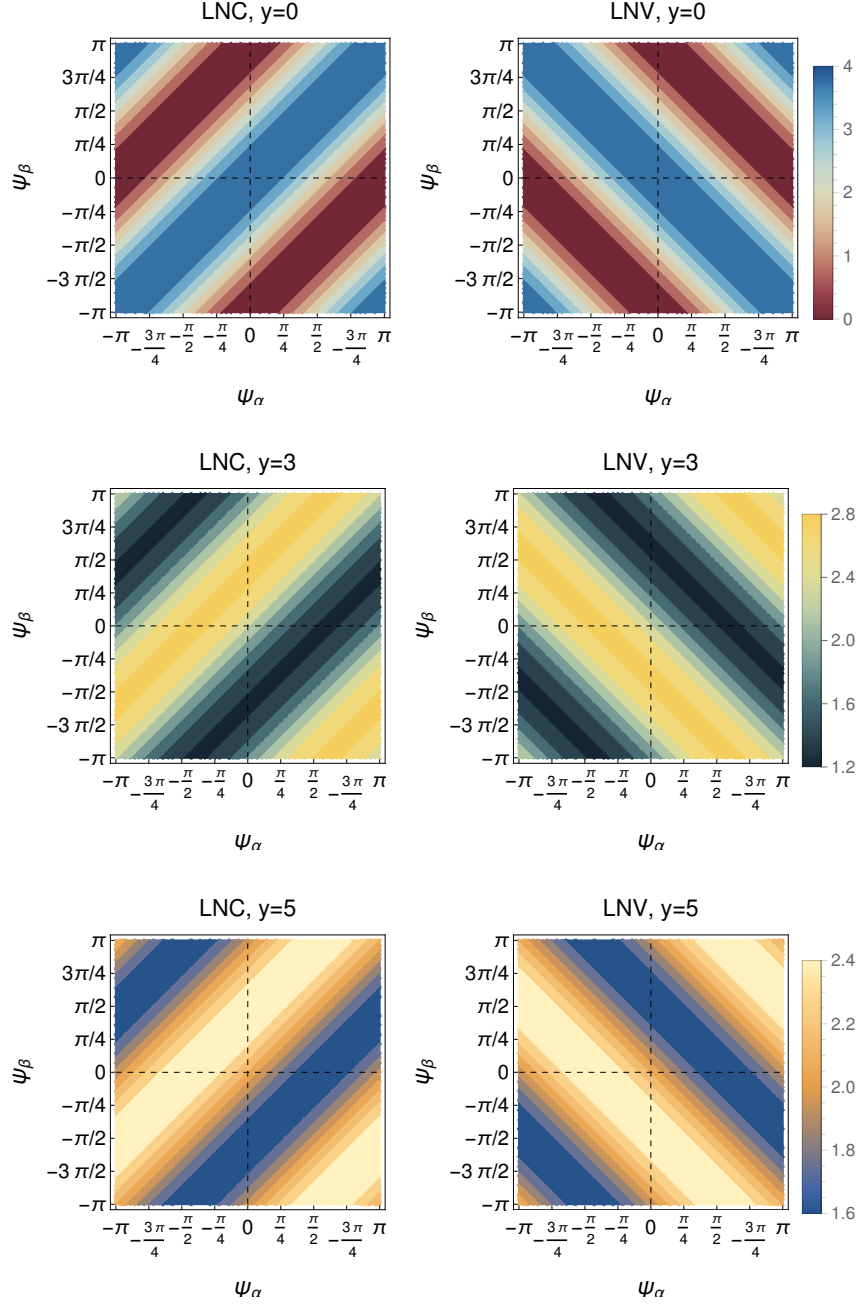


Figure 5.8: Recast function behavior, Eq. (5.67), for LNC and LNV processes as a function of the  $\psi_\alpha$  and  $\psi_\beta$  phases and using different values of  $y = \Delta m_N / \Gamma_N$ .

where the leptonic part in this case is written as

$$\ell_{\mu\nu}^{\text{LNV}}(p_1, p_2) \equiv \bar{u}(p_1)\gamma_\mu\gamma_\nu(1 + \gamma_5)v(p_2), \quad (5.69)$$

and  $P_{2i}$  is similar to Eq. (5.62) with  $a_1 \rightarrow a_2$ ,  $a_2 = p_A - p_B - p_2$ . The Fermi quantum statistics properties for identical leptons in the final state yield the anti-symmetrization of the second amplitude in Eq.(5.68); meanwhile, in the case of different flavors the minus sign appears after the anti-commutation relations of the fermion fields, i.e. an odd permutation in the order of the spinors at the amplitude level, as is well explained in Ref. [139]. Then, in Eq. (5.68) we have a plus sign between the leptonic parts, since  $\ell_{\mu\nu}^{\text{LNV}}(p_2, p_1) = -\ell_{\nu\mu}^{\text{LNV}}(p_1, p_2)$ , obtained by applying charge-conjugation relations.

The hadronic part is given by eq. (5.47), presented in the LNC case, with the same considerations. The square amplitude is given by

$$\begin{aligned} |\mathcal{M}_{\text{LNV}}|^2 = & G^2 p_P^\nu p_P^\theta H^\mu H^{\tau\dagger} \quad (5.70) \\ & \times \left\{ \left[ \sum_{i=4,5} m_i^2 |U_{\alpha i}|^2 |U_{\beta i}|^2 P_{1i} P_{1i}^* + 2m_4 m_5 \text{Re}(U_{\alpha 4}^* U_{\beta 4}^* U_{\alpha 5} U_{\beta 5} P_{14} P_{15}^*) \right] \ell_{\mu\nu}^{\text{LNV}} \ell_{\tau\theta}^{\dagger\text{LNV}} \right. \\ & + \left[ \sum_{i=4,5} m_i^2 |U_{\alpha i}|^2 |U_{\beta i}|^2 P_{2i} P_{2i}^* + 2m_4 m_5 \text{Re}(U_{\alpha 4}^* U_{\beta 4}^* U_{\alpha 5} U_{\beta 5} P_{24} P_{25}^*) \right] \ell_{\nu\mu}^{\text{LNV}} \ell_{\theta\tau}^{\dagger\text{LNV}} \\ & + \left[ \sum_{i=4,5} m_i^2 |U_{\alpha i}|^2 |U_{\beta i}|^2 P_{1i} P_{2i}^* + \sum_{(i \neq j)=4,5} m_i m_j U_{\alpha i}^* U_{\beta i}^* U_{\alpha j} U_{\beta j} P_{1i} P_{2j}^* \right] \ell_{\mu\nu}^{\text{LNV}} \ell_{\theta\tau}^{\dagger\text{LNV}} \\ & \left. + \left[ \sum_{i=4,5} m_i^2 |U_{\alpha i}|^2 |U_{\beta i}|^2 P_{2i} P_{1i}^* + \sum_{(i \neq j)=4,5} m_i m_j U_{\alpha i}^* U_{\beta i}^* U_{\alpha j} U_{\beta j} P_{2i} P_{1j}^* \right] \ell_{\nu\mu}^{\text{LNV}} \ell_{\tau\theta}^{\dagger\text{LNV}} \right\} \end{aligned}$$

Some observations are in order for the four-body decay: to set the squared amplitude in the recast form, we make use of the general results as presented in Appendix B. From Eq. (5.70), we can notice that the individual channels contribution (first and second row) are similar to the LNC squared amplitude.

$$\begin{aligned} \rightarrow & G^2 p_P^\nu p_P^\theta H^\mu H^{\tau\dagger} \frac{\pi m_N}{2\Gamma_N} |U_{\alpha N}|^2 |U_{\beta N}|^2 R[y, \psi_+] \\ & \left\{ \ell_{\mu\nu}^{\text{LNV}} \ell_{\tau\theta}^{\dagger\text{LNV}} \delta(a_1^2 - m_N^2) + \ell_{\nu\mu}^{\text{LNV}} \ell_{\theta\tau}^{\dagger\text{LNV}} \delta(a_2^2 - m_N^2) \right\} \quad (5.71) \end{aligned}$$

Therefore, the recast function takes the same form as Eq. (5.67) with  $\psi_\alpha - \psi_\beta \rightarrow \psi_\alpha + \psi_\beta$ . This result is valid regardless of the flavor of the leptons. The remaining part, the channels interference must be computed using



the relations as given in Appendix G. If the leptons are of different flavor, they have different kinematical regions for the neutrino mass value; if such individual kinematical regions do not overlap, the interference is null. The only case under consideration where the kinematical regions overlap, is in the  $\Lambda_b \rightarrow p(\pi, K)\mu^-\tau^-$  decay. We computed the interference contribution and find it very small compared to the individual channels.

### 5.3.3 Detector length considerations

An important effect to be taken into account in the calculation is the probability of the on-shell neutrino decaying inside the detector; otherwise, the signal event will correspond only to the first sub-process without any meaningful information about the resonant state. As a consequence, the detector consideration will directly affect the constraint on the branching fraction (and consequently to the heavy-light mixings), mainly for neutrinos with masses smaller than  $\sim 500$  MeV (due to the large values of their lifetimes), as we will discuss later.

From the experimental point of view, the neutrino lifetime range would correspond with a decay distance from the collision point, within the detector's sensitivity range [140]. Considering the LHC experimental setup, as a reference for a detector, a constant lifetime of the order  $1 - 1000$  ps has been used [122]. However, we adopt a different strategy, that allows for a more accurate evaluation of  $\Gamma_N$ , considering it as a function of the heavy-light mixings and the mass of the heavy state<sup>12</sup>. Therefore, following Ref. [96], for the scenario with just one heavy neutrino state, the detector effect is encompassed in the following probability weight:

$$P_\nu = 1 - \text{Exp} \left( -L_{\text{det}} \Gamma_N \frac{m_N}{|p_N|} \right), \quad (5.72)$$

where  $L_{\text{det}}$  is the detector length,  $\Gamma_N$  the total decay width of the heavy neutrino and  $p_N$  its three-momentum in the laboratory frame of the decaying baryon<sup>13</sup>. Therefore, the differential decay rate can be written as:

$$d\Gamma = P_\nu |\overline{\mathcal{M}}|^2 dPS, \quad (5.73)$$

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<sup>12</sup>We take the sum over exclusive decay channels of the heavy state, i. e.  $\Gamma_N = \sum_i \Gamma_i^{p.w} \theta(m_N - \sum_j m_j)$ , where  $\theta$  is the Heaviside function and  $m_j$  stand for the masses of all the final states particles involved in the partial width  $\Gamma_i^{p.w}$ .

<sup>13</sup>For simplicity, we take the scenario where the initial baryon is produced at rest, however, this can be generalized to the case where the decaying particle is boosted, as done in Ref. [128].

being  $dPS$  the corresponding Phase Space integral according to the transition. In a three-body LNV decay (such as  $M_1^- \rightarrow \ell_1^- N \rightarrow M_2^+ \ell_1^- \ell_2^-$ ), after applying the narrow width approximation, the probability  $P_\nu$  becomes a constant given that the first sub-process ( $M_1^- \rightarrow \ell_1^- N$ ) corresponds to a two-body decay with a monochromatic spectrum (where the momentum  $|p_N| = \lambda^{1/2}(m_{M_1}^2, m_N^2, m_{\ell_1}^2)/(2m_{M_1})$ <sup>14</sup> is fixed by energy-momentum conservation). Therefore the decay rate is computed straightforwardly:

$$\Gamma = P_\nu \int |\overline{\mathcal{M}}|^2 dPS. \quad (5.74)$$

On the contrary, for a four-body decay (for instance,  $B_A^0 \rightarrow B_B^\pm \ell_1^\mp N \rightarrow B_B^\pm \ell_1^\mp \ell_2^\mp P^\pm$ ), the momentum  $|p_N|$  is a function of the invariant mass of the  $B_B - \ell_1$  system, and will depend on the phase space variables even after the narrow-width approximation. In this sense, it is convenient to write the total decay width as:

$$\begin{aligned} \Gamma = & \Gamma_0 - \text{Exp}(-2L_{\text{det}}\Gamma_N m_N) \\ & \times \int \text{Exp}\left(\frac{m_A}{\lambda^{1/2}(m_A^2, s_{Bi}, m_N^2)}\right) f_{PS_i} dPS_i, \end{aligned} \quad (5.75)$$

where the sum over  $i = 1, 2$  is implicit.  $s_{Bi} \equiv (p_B + p_i)^2$  and  $\Gamma_0$  is the decay width of an infinite detector length, that is,  $P_\nu = 1$ . The  $f_{PS_i}$  functions are defined as follows (see Eq. (2.9) in Ref. [47]):

$$f_{PS_i} = \frac{|\overline{\mathcal{M}}_i|^2}{|\overline{\mathcal{M}}_1|^2 + |\overline{\mathcal{M}}_2|^2} |\overline{\mathcal{M}}|^2, \quad (5.76)$$

being  $\mathcal{M}_1$  the amplitude of the diagram in Fig. 5.7(b), and  $\mathcal{M}_2$  the one for the diagram with exchanged leptons. The definition of the phase space  $PS_i$  is given in Appendix A of Ref. [47], where  $dPS_1 = dPS_2(p_1 \leftrightarrow p_2)$ . On the other hand, for the LNC processes under consideration, the absence of the diagram with exchanged leptons in the final state yields to  $i=1$  and, therefore,  $f_{PS_1} = |\overline{\mathcal{M}}|^2$ .

It is important to note that the above explanation is valid only in the case of a single heavy neutrino state (otherwise, we have to sum over each resonant neutrino state). However, the generalization to the quasi-degenerate limit of two Majorana neutrinos is straightforward, following the expressions in Eqs. (5.66) and (5.71) and the proper incorporation of the recast factor.

<sup>14</sup>With  $\lambda(a, b, c) \equiv a^2 + b^2 + c^2 - 2(ab + ac + bc)$ .

### 5.3.4 Results and Discussion

To fully compute the decay processes, we consider the form factors for each case as given in Appendix F. The neutrino decay width is taken as dependent on the heavy neutrinos mass, the heavy-light mixing parameters and the corresponding open channels. We follow Refs. [63, 96] to compute the total decay width as the sum over exclusive channels. In Table 5.6, we list the generic processes considered in this work, for either the  $K$  or the  $\pi$  meson in the final state and the LNV or LNC lepton pair (where the transitions with two electrons in the final state are omitted, due to the strong constraints on the heavy-light mixing parameter), and the CKM element,  $V_{AB}$ , involved for each one.

Process	$V_{AB}$
$\Lambda_b \rightarrow \Lambda_c(K, \pi)\ell_\alpha\ell_\beta$	$V_{cb}$
$\Lambda_b \rightarrow p(K, \pi)\ell_\alpha\ell_\beta$	$V_{ub}$
$\Sigma_b \rightarrow \Sigma_c(K, \pi)\ell_\alpha\ell_\beta$	$V_{cb}$
$\Xi_b \rightarrow \Xi_c(K, \pi)\ell_\alpha\ell_\beta$	$V_{cb}$
$\Xi_b \rightarrow \Sigma(K, \pi)\ell_\alpha\ell_\beta$	$V_{ub}$
$\Xi_b \rightarrow \Lambda(K, \pi)\ell_\alpha\ell_\beta$	$V_{ub}$
$\Omega_b \rightarrow \Xi(K, \pi)\ell_\alpha\ell_\beta$	$V_{ub}$
$\Omega_b \rightarrow \Omega_c(K, \pi)\ell_\alpha\ell_\beta$	$V_{cb}$

Table 5.6: Particular processes considered in this work. For the leptonic pair we include  $\ell_\alpha\ell_\beta = \mu e, \mu\mu, \tau e$  and  $\tau\mu$ .

For the sake of clarity, in the following we present the results for the LNV case with a single resonant neutrino. At this stage, the same results are obtained for the LNC case. Thus, the effect of having introduced two quasi-degenerate neutrinos is driven by the recast function, as discussed before. This is strictly true in the quasi-degenerate limit and narrow with approximation.

In order to simplify the numerical evaluation, we take the universal coupling assumption, i.e., we consider  $|U_{eN}| = |U_{\mu N}| = |U_{\tau N}|$ . In Fig. 5.9, we exhibit the exclusion region of the heavy-light mixings as function of the heavy neutrino mass for the LNV four-body  $\Lambda_b$  decays under study, shown with solid lines. These regions are obtained under the assumption of an upper limit for the branching fraction of the four-body  $\Lambda_b$  decays to be  $\text{BR} \leq \mathcal{O}(10^{-8})$ , motivated by the expected sensitivity to the process  $\Lambda_b \rightarrow \Lambda_c(p)\pi\mu\mu$  at CMS

and LHCb experiments, analyzed in Ref. [122]. Moreover, we incorporated the effect of a finite detector length ( $L_{\text{det}} = 10$  m), according to Eq.(5.75), represented with dashed lines in the same Fig. 5.9. There, we notice a clear underestimation of the exclusion regions for neutrinos with masses below  $\sim 500$  MeV, compared with the case where no finite detector effects are included.

For comparison purposes, we also plot the corresponding curves for LNV  $\tau^\pm \rightarrow M_A^\pm M_B^\pm \ell^\mp$  as well as analogue exclusion regions coming from LNV meson  $M_A^\pm \rightarrow M_B^\mp \ell_\alpha^\pm \ell_\beta^\pm$  decays, taken from Ref. [96]. Shaded regions correspond to the limits from direct searches at colliders, taken from [96, 124], considering an extensive experimental information (see references in [96]). We observe that the processes here considered may be as competitive as those from  $\tau$  and meson decays. It is important to note that, for direct searches, the limits on a given  $U_{\ell N}$  mixing element were obtained by setting the other mixings with the heavy state to zero; for instance, the constraints on  $|U_{\mu N}|^2$  are inferred assuming  $U_{eN} = U_{\tau N} = 0$ . On the other hand, we work under the universal coupling assumption, as previously mentioned, meaning that the comparison between our results and the bounds from direct searches, in Fig. 5.9, must be taken with care, since they are not obtained under identical assumptions. Considering the same scenario as in direct searches, our bounds become in general more restrictive (by less than one order of magnitude), making them more competitive.

We also observe that, for a given process, the decay mode including the pion in the final state gives more restrictive bounds than the case including the Kaon, which is expected due to the values of the CKM elements and phase space suppression.

We have explored the modifications on the upper limits, after extending to the scenario with two quasi-degenerate Majorana neutrinos. This is modulated by the recast function defined in Eq. (5.67). In Fig. 5.10, we illustrate such an effect in the heavy-light mixing couplings for the  $\Lambda_b^0 \rightarrow \Lambda_c^+(p^-)\pi^-\tau^+\mu^+$  LNV processes, considering a set of values for the degeneracy parameter  $y$  and the phase  $\psi = \psi_\alpha + \psi_\beta$ . In order to compare the results in the extended scenario, we take the case with only one heavy neutrino state as a reference (denoted by 1N in the same figure). The behavior, for the different values of  $y$  and the phase, can be understood using the recast function displayed in Fig. 5.8. That is, the phase and  $y$  can combine to make the impact on the upper limits either larger or less restrictive. For instance, they can induce an extra suppression in the branching fraction of the process,

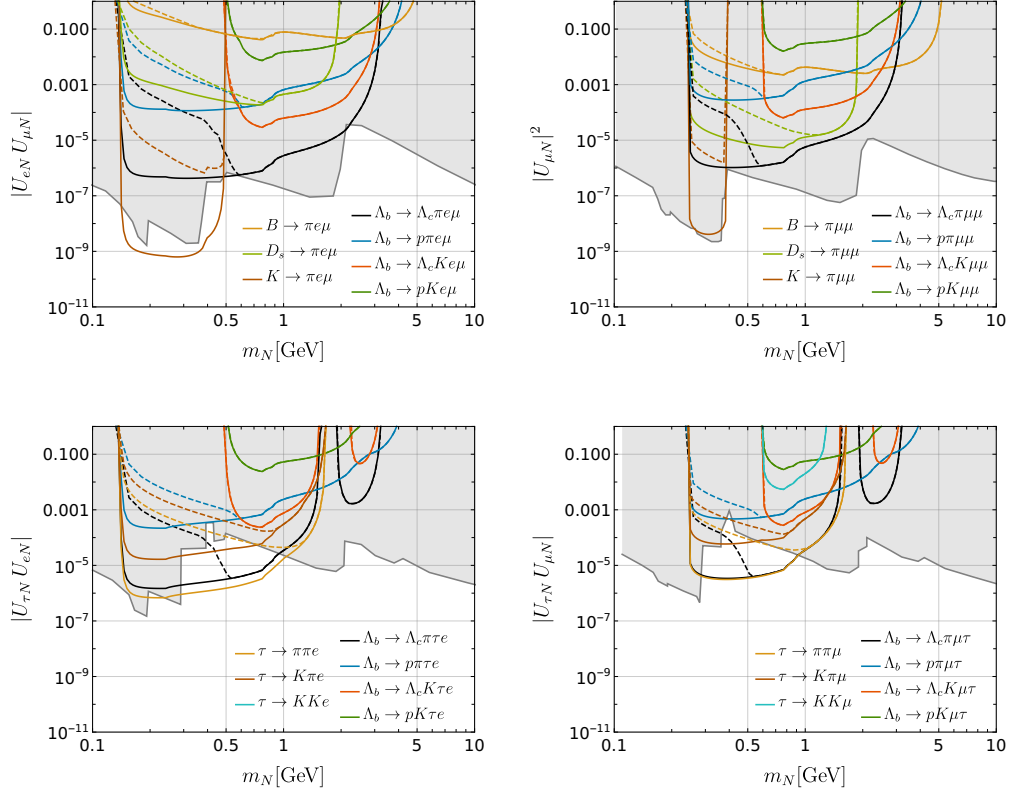


Figure 5.9: Exclusion region of the heavy-light mixing, as a function of the heavy neutrino mass, for the LNV four-body  $\Lambda_b$  decays. Considering the effect of a finite detector length ( $L_{\text{det}} = 10$  m), yields the dashed lines. The exclusion regions for LNV  $\tau^\pm \rightarrow M_A^\pm M_B^\pm \ell^\pm$  and meson  $M_A^\pm \rightarrow M_B^\mp \ell_\alpha^\pm \ell_\beta^\pm$  decays, taken from Ref. [96], are also exhibited. Shaded regions correspond to the limits from direct searches at colliders (obtained under different assumptions, see text for details) taken from [96, 124].

making the exclusion regions for the heavy-light mixing smaller (which is the case for the green line in Fig. 5.10).

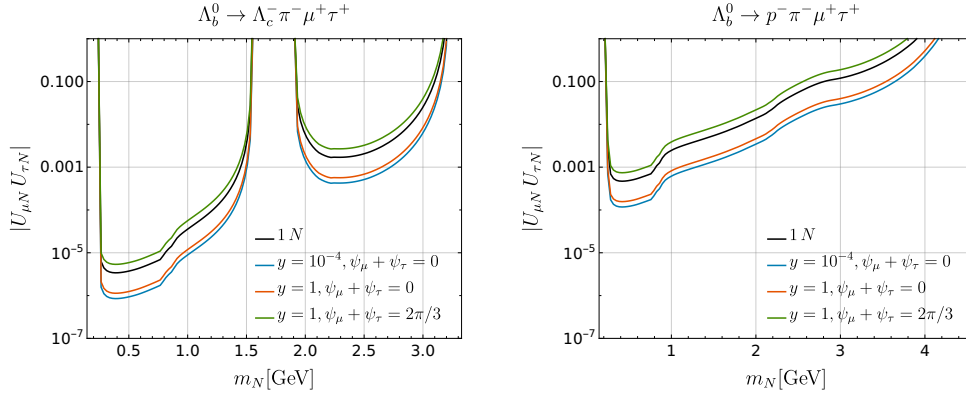


Figure 5.10: Impact on the upper limits for the heavy-light mixings for the  $\Lambda_b^0 \rightarrow \Lambda_c^- (p^-) \pi^- \tau^+ \mu^+$  processes, after extending to the scenario with two quasi-degenerate Majorana neutrinos. For a set of values of  $y$  and  $\psi_\mu + \psi_\tau$ . The black line ( $1N$ ) corresponds to the case with only one heavy neutrino state, as reference.

In addition, we have computed the branching fraction of the LNV ( $\Delta L=2$ ) four-body baryon decays under study, as a function of the intermediate heavy neutrino mass. We use the current upper limits for the heavy-light mixing parameters from Ref. [86], which are consistent, or of the same order, with those from Ref. [124]

$$|U_{eN}| \leq 0.005, |U_{\mu N}| \leq 0.021, |U_{\tau N}| \leq 0.075, \quad (5.77)$$

where we have taken these parameters as constants for the numerical evaluation. This approach gives a generic estimate of the branching ratio. A refined analysis of particular cases would require the incorporation of the specific mass or process dependence, considered for the extraction of the bounds. The results are shown in Fig. 5.11, where the solid lines are the Branching ratios without including the detector effect, and the dashed lines are the corresponding ones when it is included.

The other baryon transitions are less optimistic than the  $\Lambda_b$  decay. However, some of the expected branching fractions are of  $\mathcal{O}(10^{-7})$ , which is not far from the expected sensitivities at the HL-LHC experiment. Thus, these transitions may be potential complementary sources for constraining the parameter space of mass and mixing, for neutrino masses around 1 – 3 GeV.

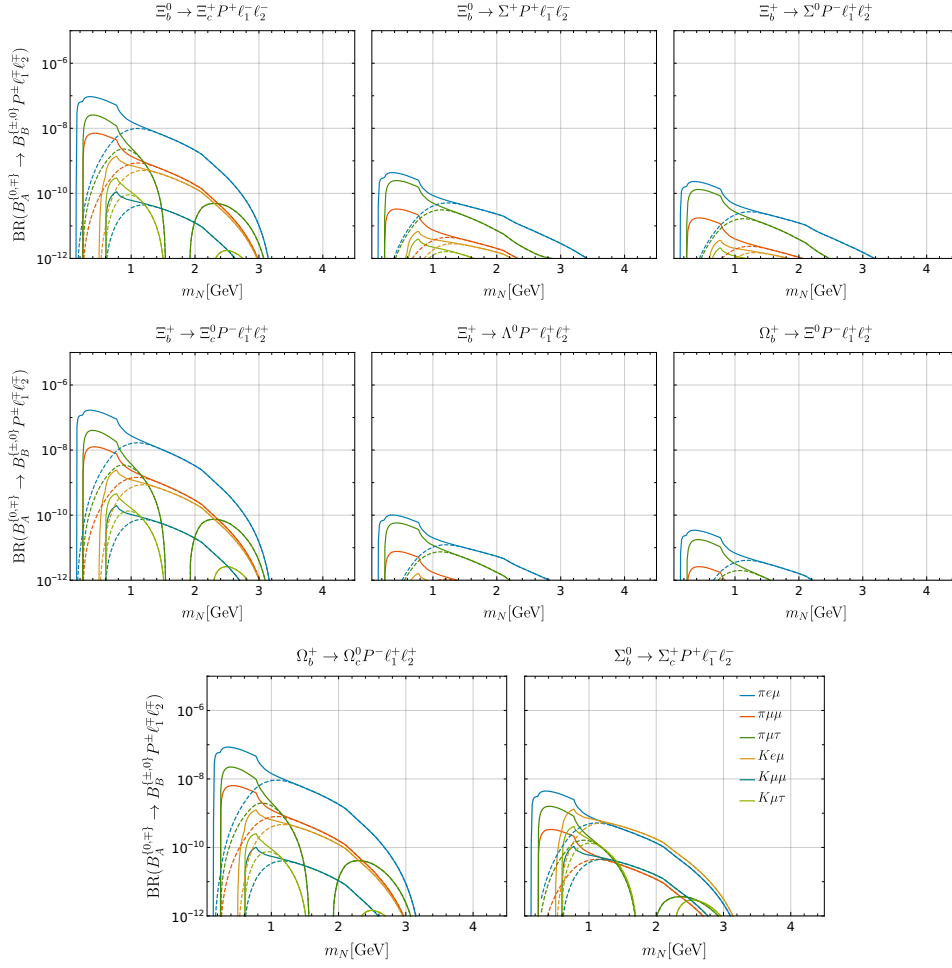


Figure 5.11: Branching fraction of the  $\Delta L=2$  four-body baryon decays under study as a function of the mass of the intermediate heavy neutrino, taking into account the current upper limits for the heavy-light mixings. The dashed lines are obtained by including the finite detector effect, as discussed in the text.

In conclusion, the study of four-body heavy hadron transitions appears to be a competitive approach for constraining the parameter space of heavy neutrinos, compared to analogous transitions in meson decays (with special remark on the transitions with taus in the final state). Mainly motivated for the large data sets of baryons events available at experiments such as CMS and BES-III. Furthermore, comparing the minimal model with a single heavy neutrino to an extended scenario with two quasi-degenerate states reveals a significant impact on the predicted branching ratio, which varies depending on the specific value of the phase difference between the two heavy neutral leptons.



# Chapter 6

## Charge Lepton Flavor Violation

*In this chapter we focus on the alternative for searches of cLFV signals at a hypothetical muon collider, according to Ref. [141], we use a model where the transition is produced by the exchange of two massive quasi-degenerate Majorana neutrinos. We provide analytical expressions for the amplitudes and cross sections as well as a deep phenomenological discussion of these kind of transitions.*

### 6.1 Prospects for cLFV at future muon colliders

Nowadays, the so-called three “golden” cLFV processes  $\mu \rightarrow e\gamma$ ,  $\mu \rightarrow e\bar{e}e$ , and  $\mu - e$  conversion set limits over the corresponding branching fraction with sensitivities around the order  $10^{-13}$  for the former two and  $10^{-12}$  for the latter process [142–144], respectively. Nevertheless, a completely new generation of experiments would be able to considerably improve such limits. Specifically, the MEG-II, Mu3e, PRISM, COMET, and Mu2e collaborations will reach sensitivities of  $6 \times 10^{-14}$  [145, 146] for  $\mu \rightarrow e\gamma$ ,  $10^{-16}$  [147] for  $\mu \rightarrow e\bar{e}e$ ,  $10^{-18}$  [148] for  $\mu - e(\text{Ti})$  conversion, and  $10^{-17}$  [149] and  $10^{-16}$  [150] for  $\mu - e(\text{Al})$  conversion, respectively <sup>1</sup>.

Additionally, the potential advent of muon colliders [172–180] opens another route for complementary searches of NP [181–186]. This idea includes the possibility of both muon-antimuon ( $\mu^- \mu^+$ ) [174–177, 180] and same-sign

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<sup>1</sup>Searches for cLFV in other sectors such as tau [151–156], mesons [157–166],  $Z$  [167–169], and Higgs decays [170, 171] play also a crucial role in the intense activity of both experimental and theoretical groups. These transitions are complementary for exploring effects at different energy scales and may help to distinguish different sources of cLFV among the plethora of new physics scenarios.

( $\mu^+\mu^+$ ) muon colliders [187–191] with a center-of-mass energy in the TeV scale. Motivated by this experimental program, in this chapter, we compute the cross section for the  $\mu^+\mu^+ \rightarrow \ell^+\ell^+$  ( $\ell^+ = \tau^+, e^+$ ) transitions in scenarios that introduce new heavy neutrino states. Our attention is focused on models with massive Majorana neutrinos around the TeV scale. Furthermore, our results address some comments on previous computations for the  $e^-e^- \rightarrow \mu^-\mu^-$  [192] and  $\mu^\pm\mu^\pm \rightarrow \ell^\pm\ell^\pm$  ( $\ell^\pm = \tau^\pm, e^\pm$ ) channels [193]. In those previous works, only the contributions of one-loop box diagrams with explicit lepton number-violating (LNV) vertices are included. However, we highlight that an accurate estimation requires another set of diagrams omitted in Refs. [192, 193]<sup>2</sup>.

## 6.2 Amplitudes for $\mu^+\mu^+ \rightarrow \ell^+\ell^+$

In the presence of heavy Majorana neutrino states, Fig. 6.1 shows the two one-loop box diagrams contributing to the processes  $\mu^+(p_1)\mu^+(p_2) \rightarrow \ell^+(q_1)\ell^+(q_2)$  ( $\ell = e, \tau$ ). The diagrams (b) are present for an arbitrary vector-like lepton that mixes with the active neutrinos of the SM. Moreover, in type-I seesaw models, the existence of Majorana masses for the singlet fields for neutrinos inherently implies lepton number violation (LNV). These LNV effects give rise to the presence of box diagrams (a). Thus, we remark that a complete computation for these processes in type-I seesaw models (including their low-scale variants), must include both (a) and (b) contributions<sup>3</sup>.

As far as we know, previous works [192, 193] have solely focused on evaluating the genuine LNV effects (diagrams (a)) considering effective couplings without discussing the reasons for omitting diagrams (b) or comparing (a) and (b) contributions. In this work, we cover those points. Even more, as previously mentioned, in the type-I seesaw models only their low-scale versions (both linear and inverse) can potentially lead to observable cLFV rates. These scenarios are based on an approximated LNV symmetry, where the small LNV Majorana mass terms combined with larger Dirac masses lead to the formation of pseudo-Dirac neutrinos, namely, a pair of almost degenerate heavy neutral states, that in the degenerate limit form a Dirac singlet. We will study this limit considering the set-up presented in ref. [31, 32].

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<sup>2</sup>Note that our computation can be implemented easily to other specific low-scale-seesaw models such as the linear and the inverse seesaw models.

<sup>3</sup>Note that in the minimal  $\nu$ SM model, only contributions (b) are present, because in this model neutrinos are Dirac particles that generate their masses ( $m_\nu \sim \mathcal{O}(\text{eV})$ ) in an analogous way to the rest of fermions, via Yukawa couplings with the Higgs field. However, in this scenario, the cLFV effects will be strongly suppressed by an analogue

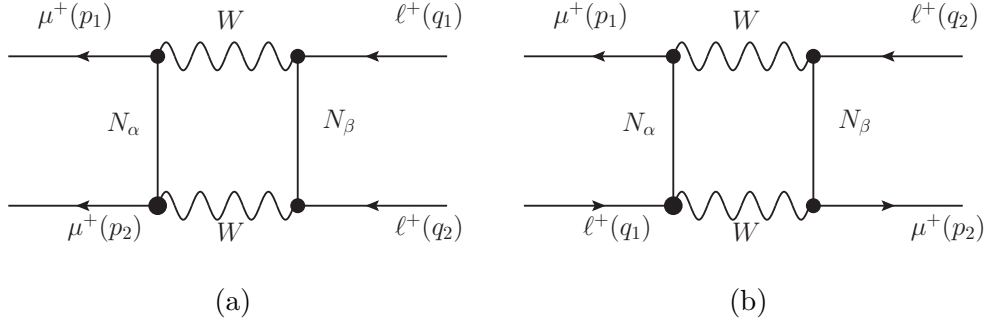


Figure 6.1: Feynman diagrams for the  $\mu^+(p_1)\mu^+(p_2) \rightarrow \ell^+(q_1)\ell^+(q_2)$  ( $\ell = e, \tau$ ) transitions in the presence of heavy Majorana neutrinos. Working in the Feynman-'t Hooft gauge must include the four possible diagrams for the (a) and (b) contributions involving the  $W$ 's gauge bosons and the scalar-charged Goldstone vertices, see Appendix H.

By working in the approximation where the masses of the external charged leptons are neglected<sup>4</sup> and after some algebraic steps and the use of some Fierz identities (see Appendix H for further details), we have verified that the total amplitude  $\mathcal{M} = \mathcal{M}_{(a)} + \mathcal{M}_{(b)}$  can be expressed in a very simple form as follows

$$\mathcal{M} = \frac{\alpha_W}{16\pi} \frac{e^2}{s_W^2} F(s, t) \Gamma_R \odot \Gamma^L, \quad (6.1)$$

where  $e$  is the electric charge,  $\alpha_W \equiv \alpha/s_W^2$  with  $\alpha$  the fine structure constant, and  $s_W^2 \equiv \sin^2 \theta_W$  the weak mixing angle. We also have introduced the notation

$$\Gamma_R \odot \Gamma^L \equiv [\bar{v}(p_1)P_R u(p_2)][\bar{u}(q_1)P_L v(q_2)], \quad (6.2)$$

denoting a bi-spinor product, where  $P_{L,R} = (1 \mp \gamma_5)/2$  stand for the chirality projectors. The  $F(s, t)$  function, in Eq. (6.1) is given as follows

$$F(s, t) = \sum_{\alpha, \beta=1}^5 \left[ (B_{\mu\alpha} B_{\ell\beta}^*)^2 (\mathcal{A} + \mathcal{A}') - 2B_{\ell\alpha}^* B_{\mu\alpha} B_{\ell\beta}^* B_{\mu\beta} (\mathcal{B} + \mathcal{B}') \right], \quad (6.3)$$

where the factors  $\mathcal{A}$ ,  $\mathcal{A}'$ ,  $\mathcal{B}$ , and  $\mathcal{B}'$  encode all the relevant one-loop tensor integrals given in terms of the Passarino-Veltman functions; they depend on

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Glashow-Iliopoulos-Maiani-like mechanism.

<sup>4</sup>Given that we will consider the collision energy in the regime of a few TeV, i.e.,  $m_\ell/\sqrt{s} \ll 1$ .

two invariants, namely,  $s = (p_1 + p_2)^2$  and  $t = (p_1 - q_2)^2$ ; and the masses of the internal particles involved in the loop computation.

Taking the square of the amplitude in Eq. (6.1), it is straightforward to verify that the differential cross section for the  $\mu^+(p_1)\mu^+(p_2) \rightarrow \ell^+(q_1)\ell^+(q_2)$  ( $\ell = e, \tau$ ) transitions can be written as follows

$$\frac{d\sigma}{dt} = \frac{1}{2} \frac{1}{64\pi} \left( \frac{\alpha_W}{16\pi} \frac{e^2}{s_W^2} \right)^2 |F(s, t)|^2, \quad (6.4)$$

where the  $1/2$  factor comes from the statistical property of having two indistinguishable particles in the final state and the invariant  $t$  is evaluated in the range:

$$t_{max(min)} = m_\mu^2 + m_\ell^2 - \frac{s}{2} \pm \frac{\lambda^{1/2}(s, m_\mu^2, m_\mu^2)\lambda^{1/2}(s, m_\ell^2, m_\ell^2)}{2s}, \quad (6.5)$$

with  $\lambda(x, y, z) = x^2 + y^2 + z^2 - 2(xy + xz + yz)$  the so-called Källén function<sup>5</sup>.

### 6.3 Form factors and total cross section

We now present an estimation for the  $\mu^+\mu^+ \rightarrow \ell^+\ell^+$  ( $\ell = e, \tau$ ) cross section in the presence of heavy Majorana neutrinos. Our main goal is to establish the maximum cross-section for these processes caused by the presence of new heavy neutrino states in low-scale seesaw models. Therefore, we considered it appropriate to follow a conservative approach using the current global fit analysis of flavor and electroweak precision observables given in [194, 195]<sup>6</sup>

$$s_{\nu_e} < 4.3 \times 10^{-3}, \quad s_{\nu_\mu} < 1.61 \times 10^{-2}, \quad s_{\nu_\tau} < 2.1 \times 10^{-2}. \quad (6.6)$$

Furthermore, we considered a perturbative limit assuming that the Yukawa couplings must satisfy the condition  $|Y_{\nu i}|^2 < 4\pi$ . In our framework, this translates into the relation

$$r_{max} = \left( \frac{v \sqrt{2\pi}}{m_{N_1} \max\{s_{\nu_i}\}} \right)^4. \quad (6.7)$$

<sup>5</sup>In the limit where the external particles are massless, we have  $t \in (-s, 0)$ .

<sup>6</sup>Notice that these limits depend not on the assumptions made on a particular model but solely on experimental data. Unlike the limits derived from cLFV processes like  $\mu \rightarrow e\gamma$  or  $\mu \rightarrow e$  conversion, that depend strongly on the masses considered for the new heavy neutrino states and on the specific textures of the neutrino mass matrix in different low-scale seesaw realizations.

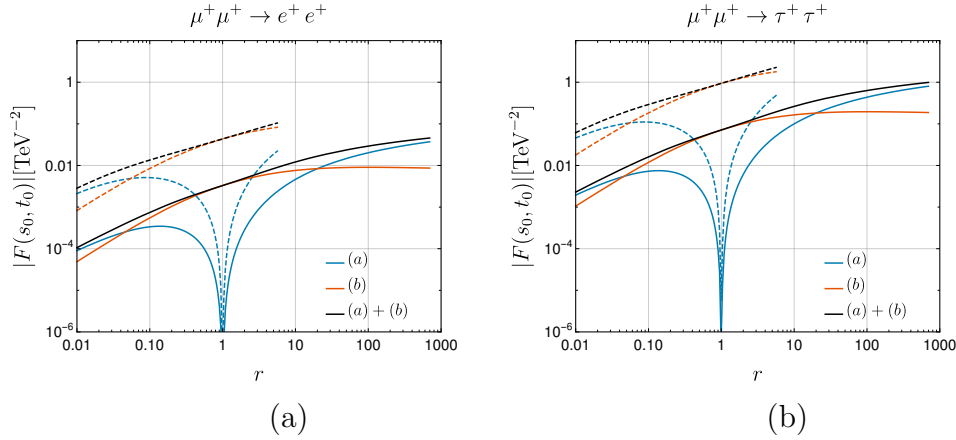


Figure 6.2:  $F(s, t)$  factor in terms of the mass splitting  $r \equiv m_{N_2}^2/m_{N_1}^2$  of the two new heavy states. The heavy-light mixings are fixed by their maximum values according to expression (6.6), and we also have considered that  $(\sqrt{s_0}, \sqrt{|t_0|}) = (6, 0.1)$  TeV. The left (right) side of the figure illustrates the  $\mu^+\mu^+ \rightarrow e^+e^+$  ( $\tau^+\tau^+$ ) channel, where the behavior of the (a) and (b) contributions are represented by the cyan and orange lines, respectively; whereas the black line stands for the total contribution. The solid (dashed) line depicts a fixed value for  $m_{N_1} = 6$  (20) TeV.

Then considering Eqs. (6.6) and (6.7) we plot in Fig. 6.2 the relevant  $F(s, t)$  factor in terms of the mass splitting  $r$  of the two new heavy states. Here, we used the maximum values from Eq. (6.6) for the heavy-light mixings, along with a fixed point in the integration phase space set at  $(\sqrt{s_0}, \sqrt{|t_0|}) = (6, 0.1)$  TeV. The left (right) side illustrates the  $\mu^+\mu^+ \rightarrow e^+e^+$  ( $\tau^+\tau^+$ ) channel, where the contributions of (a) and (b) are depicted by cyan and orange colors, respectively. Meanwhile, the black lines denote the total contribution, with solid (dashed) lines indicating the value for  $m_{N_1} = 6$  (20) TeV. Some noteworthy observations from this figure are as follows:

It turns out clear that in the limit when  $r \rightarrow 1$ , the (a) contributions tend to zero, as expected, since in such a case the two new heavy states are degenerate forming a Dirac singlet field and recovering lepton number as a symmetry of our model. In such a case, the cross-section for the  $\mu^+\mu^+ \rightarrow \ell^+\ell^+$  ( $\ell = e, \tau$ ) transitions would be determined exclusively by the diagrams (b). This is a different result to previous estimations in [192, 193] where the contributions (b) were omitted.

Note that for  $m_{N_1} = 6$  TeV and  $r$  in the range (0.1, 10), the contributions (a) consistently remain below those from diagrams (b), and only for values

of  $r \gtrsim 11$  the contributions (a) become dominant. Moreover, if we consider both the maximum values in (6.6) for the heavy-light mixings and  $m_{N_1} = 20$  TeV, then the upper limit of  $r$  consistent with Eq. (6.7) would be  $r_{max} = 4.64$  (this is depicted in Fig. 6.2 where the dashed lines end).

Additionally, Fig. 6.2(b) illustrates a suppression of approximately two orders of magnitude for  $\mu^+\mu^+ \rightarrow e^+e^+$  in comparison with the  $\mu^+\mu^+ \rightarrow \tau^+\tau^+$ . This difference arises from the more restrictive experimental limits on the heavy-light mixings in Eq. (6.6) involving electrons. Therefore, we will concentrate on the  $\mu^+\mu^+ \rightarrow \tau^+\tau^+$  channel.

In Figure 6.3(a) we integrate Eq. (6.4) over the  $t$  invariant in order to plot the total cross section as a function of the invariant mass  $\sqrt{s}$ . Here, we have considered the mass of the heavy neutrino to be  $m_{N_1} = 20$  TeV and  $r_{max} = 4.64$  consistent with Eq. (6.7). We observe from this that taking an energy of collision around  $\sqrt{s} \approx 6$  TeV, the maximal cross section for the  $\mu^+\mu^+ \rightarrow \tau^+\tau^+$  channel would be of the order  $\mathcal{O}(10^{-2})$  fb. Therefore, if we consider the expected sensitivity reported in reference [189] for an expected total integrated luminosity (at  $\sqrt{s} = 6$  TeV) of around  $12 \text{ fb}^{-1} \text{ year}^{-1}$ , we would have approximately one  $\mu^+\mu^+ \rightarrow \tau^+\tau^+$  event for every nine years of collisions. Nevertheless, following a more optimistic scenario, as presented in Ref. [190], where the authors study similar transitions in the type-II seesaw model, namely, if we consider an integrated luminosity of  $10 \text{ ab}^{-1}$  with the center of mass energy  $\sqrt{s} = 10$  TeV, we estimated around 300 events.

It is also important to stress that from a phenomenological point of view, these processes would be crucial to compare the genuine effects of heavy Majorana states with the contributions of new heavy Dirac singlets. In this regard, Fig. 6.3(b) depicts the behavior of the cross-section as a function of the heavy neutrino mass  $m_{N_1}$  considering a transferred energy of  $\sqrt{s} = 6$  TeV, and  $r = r_{max}$  (solid black lines)<sup>7</sup>. The cyan and orange lines stand for the contributions of diagrams (a) and (b), respectively. Note that since the black line consistently lies above both the orange and cyan lines, the contributions of diagrams (a) and (b) in Figure 6.1 interfere constructively.

From Figure 6.3(b), we can also see that for  $m_{N_1} < 13$  TeV and  $m_{N_1} > 65$  TeV, the dominant contribution arises from diagrams (a), which introduce explicit LNV vertices. Conversely, for  $m_{N_1}$  within the interval (13, 65) TeV, diagrams (b) become dominant, reaching a maximum of around  $10^{-2}$  fb for  $m_{N_1} \approx (20 - 50)$  TeV. Note that the cyan line tends to zero as  $m_{N_1}$  ap-

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<sup>7</sup>We considered  $r = r_{max}$  to maximize the cross-section, taking  $r < r_{max}$  would lead to lower values.

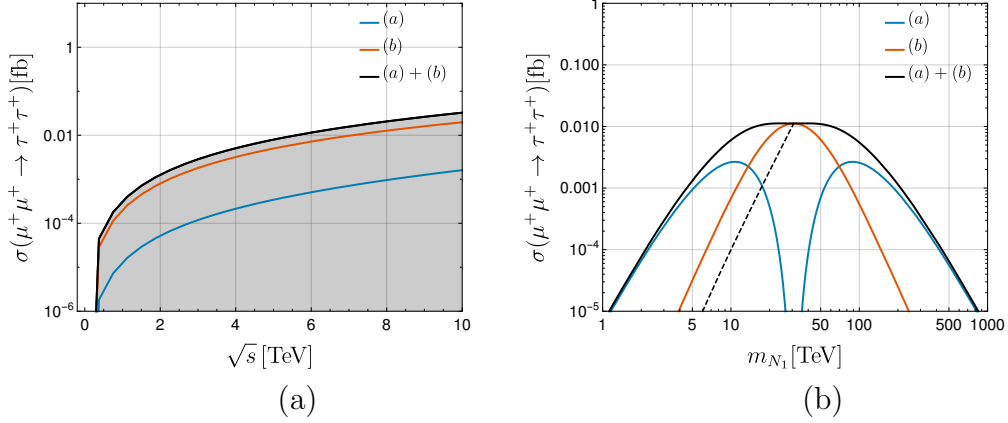


Figure 6.3: Left (Right): Cross-section of the  $\mu^+\mu^+ \rightarrow \tau^+\tau^+$  transition as a function of  $\sqrt{s}$  ( $m_{N_1}$ ). The contributions of diagrams (a) and (b) are represented by the cyan and orange lines, respectively. The black lines stand for the total contribution. In the left plot, we have considered  $m_{N_1} = 20$  TeV and  $r_{\max} = 4.64$  consistent with Eq. (6.7). The shadowed area here represent the cross-section considering the heavy-light mixings below the current limits in expression (6.6) and  $r < r_{\max}$ .

proaches to 32 TeV because this corresponds to the scenario where  $r_{\max} = 1$  and diagram (a) vanishes, as discussed previously. Finally, for comparative purposes, we include the Dirac singlet scenario ( $r = 1$ ), indicated by the black dashed line. In this case,  $m_{N_1} < 31$  TeV since beyond this range,  $r = 1$  contradicts eq. (6.7). An important point to highlight here is that even in the absence of LNV in the theory, we still obtain non-zero values of the cross-section, reaching up to the limit of  $\sigma \approx 10^{-2}$  fb.

Notice that despite considering a simplified model, which, certainly, does not explain the masses and mixing of the light neutrino sector, this set-up provides both a clear analysis and a good estimation of the cLFV effects caused by the presence of new heavy neutrino states in low-scale seesaw scenarios. This point has been verified previously in references [31, 32], where the authors study other cLFV transitions, such as  $\ell \rightarrow \ell' \gamma$ ,  $\ell \rightarrow \ell' \ell'' \ell'''$ ,  $\mu - e$  conversion in nuclei,  $Z \rightarrow \ell \ell'$ , and  $H \rightarrow \ell \ell'$  processes.

Let us recall that the main feature of low-scale seesaw models is that the Yukawa couplings of the new heavy neutrinos to the Standard Model (SM) leptons can be relatively large compared to those in conventional high-scale seesaw models. This is because the seesaw mechanism requires a balance be-

tween the scale of the new physics (the mass of the heavy neutrinos) and the Yukawa couplings to achieve the observed light neutrino masses. Moreover, in these low-scale scenarios, the physics responsible for neutrino masses could lie at the TeV scale, allowing significant mixing between the light neutrinos and the new heavy neutrinos, potentially leading to observable cLFV rates.

Because the contribution of the light-neutrino sector to cLFV is negligible, the relevant point to estimate cLFV effects in low-scale seesaw scenarios is to study the case of having large Yukawa couplings for the singlets. The simplicity of the set-up proposed in Refs. [31, 32] let us understand this limit in a very simple way since all the phenomenology is described in terms of only 5 parameters, namely, the three mixing angles  $s_{\nu k}$  and the two  $m_{N_1}$ , and  $m_{N_2}$  heavy neutrino masses (for which, simple analytical expressions have been derived).

In conclusion, motivated by the potential of future muon colliders, we investigate and revisit the computation of the  $\mu^+\mu^+ \rightarrow \ell^+\ell^+$  ( $\ell = \tau, e$ ) processes in Type-I seesaw models, as part of complementary cLFV searches. We calculate the complete cross-section for these processes focusing on the so-called low scale scenarios. Our analysis is based on a setup involving only two new heavy Majorana neutrinos that allow us to study the limit of pseudo-Dirac states, while taking into account the current constraints on their mixings with the light sector.

Our results show that the observation of the  $\mu^+\mu^+ \rightarrow \tau^+\tau^+$  cLFV transition due to the presence of new heavy Majorana neutrinos (with masses around  $m_{N_1} \approx 20$  TeV) could be possible in a future same-sign muon collider, for an energy of collision  $\sqrt{s} \gtrsim 10$  TeV and an integrated luminosity of order  $\mathcal{O}(\text{ab}^{-1})$ . The analysis presented in Figs. 6.2 and 6.3 illustrate the relevance and behavior of contributions (a) and (b) to estimate the cross-section accurately in low-scale seesaw models. It turns out clear that for the contribution of pseudo-Dirac neutrinos, namely in the limit ( $r \rightarrow 1$ ), contributions (b) dominate over contributions (a), because the latter tend to zero since lepton number is recovered as a symmetry. Nevertheless, notice that the maximum value for  $r$  is determined for the perturbative Eq. (IV.2). Then, such as depicted in the plots, depending on the value fixed for  $m_{N_1}$  you may have allowed values for  $r$  where the contributions (a) become the dominant. This distinction is crucial in distinguishing between the scenario with two nondegenerate heavy Majorana states and the degenerate case where they form a Dirac singlet field.



# Part II

## Rare modes



# Chapter 7

## Hadronic tau decays: a window to constrain new physics.

*In this chapter, we elaborate on the study of exclusive  $\tau$  decays as an alternative approach to constrain the parameter space of new interactions beyond the standard model (under a low-energy effective field theory (LEFT) approach), with particular interest in final states containing two pseudo-scalar mesons. In this regard, and based on [196], we analyze for the first time the contribution of SM to the decay  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  arising from electromagnetic interactions.*

### 7.1 LEFT overview for hadronic tau decays

In general, for a low-energy prescription of the charged current interactions, we can write an effective Lagrangian with just dimension-6 operators invariant under  $SU(2)_L \otimes U(1)_Y$  as follows [197, 198]:

$$\mathcal{L}^{\text{eff}} = \mathcal{L}_{SM} + \frac{1}{\Lambda} \sum_i \alpha_i O_i, \quad (7.1)$$

where  $\alpha_i$  stand for the Wilson coefficients of the bilinear products  $O_i$ . In particular, if we are interested in describing hadronic tau lepton decays (with characteristic energies of  $\mathcal{O}(1\text{GeV})$ ) involving only left-handed neutrinos we can write:

$$\begin{aligned} \mathcal{L}^{\text{eff}} = & -\frac{4G_F}{\sqrt{2}} \left[ (1 + v_L)[\bar{\tau}_L \gamma_\mu \nu_{\tau L}] [\bar{u}_L \gamma^\mu d_L] + v_R[\bar{\tau}_L \gamma_\mu \nu_{\tau L}] [\bar{u}_R \gamma^\mu d_R] \right. \\ & + s_L[\bar{\tau}_R \nu_{\tau L}] [\bar{u}_R d_L] + s_R[\bar{\tau}_R \nu_{\tau L}] [\bar{u}_L d_R] \\ & \left. + t_L[\bar{\tau}_R \sigma_{\mu\nu} \nu_{\tau L}] [\bar{u}_R \sigma^{\mu\nu} d_L] \right] + h.c., \end{aligned} \quad (7.2)$$

being  $G_F$  the fermi constant and  $v_{L,R}, s_{L,R}, t_L$  the effective couplings generated after new interactions beyond SM<sup>1</sup>. However, it is convenient to rewrite the above expression as follows:

$$\begin{aligned} \mathcal{L}^{\text{eff}} = & -\frac{G_F V_{uD}}{\sqrt{2}} (1 + \epsilon_L + \epsilon_R) \left[ \bar{\tau} \gamma_\mu (1 - \gamma_5) \nu_\tau \cdot \bar{u} [\gamma^\mu - (1 - 2\hat{\epsilon}_R) \gamma^\mu \gamma_5] D \right. \\ & \left. + \bar{\tau} (1 - \gamma_5) \nu_\tau \cdot \bar{u} [\hat{\epsilon}_S - \hat{\epsilon}_P \gamma_5] D + 2\hat{\epsilon}_T \bar{\tau} \sigma_{\mu\nu} (1 - \gamma_5) \nu_\tau \cdot \bar{u} \sigma_{\mu\nu} D \right] + h.c., \end{aligned} \quad (7.3)$$

taking  $\epsilon_{S,P} = s_L \pm s_R$  and  $\epsilon_{R,L} = v_{L,R}$ ,  $\epsilon_T = t_L$  and  $\hat{\epsilon}_i = \epsilon_i / (1 + \epsilon_L + \epsilon_R)$ . In general, not all the operators in eq.(7.3) will contribute to a particular transition, it will depend on the  $J^{PG}$  quantum numbers<sup>2</sup> of each operator (shown in Table 7.1) and the specific hadronic final state.

	$\mathcal{O}_S$	$\mathcal{O}_P$	$\mathcal{O}_V$	$\mathcal{O}_A$	$\mathcal{O}_T$
$J^{PG}$	$0^{+-}$	$0^{--}$	$0^{++} \oplus 1^{-+}$	$0^{--} \oplus 1^{+-}$	$1^{++} \oplus 1^{-+}$

Table 7.1:  $J^{PG}$  quantum numbers of the quark-current operators  $\mathcal{O}_i$  with  $i = S, P, V, A, T$ .

For instance, in a two pseudo-scalar system, depending on the orbital angular momentum (L), we have:

$$\begin{aligned} J^P(PP'|_{L=0}) &= 0^+, \\ J^P(PP'|_{L=1}) &= 1^-, \end{aligned} \quad (7.4)$$

<sup>1</sup>Heavy degrees of freedom such as:  $H, W^\pm, Z, c, b, t$ , as well as NP components have been integrated out.

<sup>2</sup> $J = S + L$  stands the total angular momentum of the final state given by the direct sum of total spin ( $S$ ) and orbital angular momentum ( $L$ ) of the system.  $P$  is the total parity and  $G$ -parity is defined as a rotation in the isospin space multiplied by the charge conjugate operator  $G = C \text{Exp}(i\pi I_2)$ .

what indicates that only scalar, vector and tensor matrix elements are different from 0 in order to preserve  $J^P$ <sup>3</sup>.

### 7.1.1 Tau decay into two pseudo-scalars

The operators in Table 7.1 that contribute to the  $\tau^- \rightarrow (PP')^- \nu_\tau$  decay (given the quantum number in Tab.7.1) are: scalar, vector, and tensor interactions. Therefore, we can write the amplitude of  $\tau^- \rightarrow (PP')^- \nu_\tau$  as follows:

$$\mathcal{M} = \frac{G_F V_{\text{ckm}} \sqrt{S_{EW}}}{\sqrt{2}} (1 + \epsilon_L + \epsilon_R) (L_\mu H^\mu + \hat{\epsilon}_S L H + 2\hat{\epsilon}_T L_{\mu\nu} H^{\mu\nu}) \quad (7.5)$$

taking

$$L \equiv \bar{u}(p_\nu)(1 + \gamma_5)u(p_\tau), \quad (7.6)$$

$$L_\mu \equiv \bar{u}(p_\nu)\gamma_\mu(1 - \gamma_5)u(p_\tau), \quad (7.7)$$

$$L_{\mu\nu} \equiv \bar{u}(p_\nu)\sigma_{\mu\nu}(1 + \gamma_5)u(p_\tau), \quad (7.8)$$

and  $H, H^\mu, H^{\mu\nu}$  the hadronic matrix element associated to the two pseudo-scalar system. The tensor decomposition of these matrix elements follows:

$$\langle P(q_1)P'(q_2)|\bar{u}d|0\rangle = F_S(q^2), \quad (7.9)$$

$$\begin{aligned} \langle P(q_1)P'(q_2)|\bar{u}\gamma^\mu d|0\rangle &= c_V \left( (q_1 - q_2)^\mu + \frac{\Delta_{PP'}}{q^2} q^\mu \right) F_+(q^2) \\ &+ c_S \left( \frac{\Delta_{PP'}}{q^2} \right) q^\mu F_0(q^2), \end{aligned} \quad (7.10)$$

$$\langle P(q_1)P'(q_2)|\bar{u}\sigma^{\mu\nu} d|0\rangle = iF_T(q^2)(q_1^\mu q_2^\nu - q_2^\mu q_1^\nu), \quad (7.11)$$

where  $F_S, F_+, F_0$  are the form factors of each interaction.  $q^\mu = (q_1 + q_2)^\mu$ ,  $\Delta_{PP'} \equiv m_P^2 - m_{P'}^2$  and  $c_V, c_S$  stand for the Clebsch-Gordan flavor coefficients. Straightforwardly, after taking the derivative of the vector current we obtain:

$$F_S(s) = c_S \frac{\Delta_{PP'}}{m_d - m_u} F_0(s), \quad (7.12)$$

where  $s = q^2$ . This result absorbs the contribution of the scalar interaction into the corresponding  $S$ -wave part of the vector current by the replacing:

$$c_S \frac{\Delta_{PP'}}{s} \rightarrow c_S \frac{\Delta_{PP'}}{s} \left[ 1 + \frac{s\hat{\epsilon}}{m_\tau(m_d - m_u)} \right], \quad (7.13)$$

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<sup>3</sup> $\mathcal{G}$ -parity is not contemplated in the above expression given that this quantity is preserved only when both pseudo-scalars are eigenstates of such operator, i.e.  $\pi_i, \eta, \eta'$ . However, for such channels, the conclusion remains the same.

in eq.(7.10). Finally, the form factors in eqs.(7.9-7.11) encode all the QCD dynamics of the particular hadronic system and their parametrization had been widely studied in different theoretical set ups: resonant  $\chi$ PT, dispersive approach, Vector Meson Dominance model, etc. Therefore, these functions  $F_0(s), F_+(s), F_T(s)$  are taken as inputs of the further calculations of different low energy observables (differential decay rates, branching fractions,  $CP$  and Forward-backward asymmetries) in order to obtain constraints for  $(\hat{\epsilon}_S, \hat{\epsilon}_T)$  NP parameters space from experimental results of those quantities.

In summary, in Table 7.2 the limits for the effective coefficients  $\hat{\epsilon}_{S,T}$  are shown coming from the individual analysis different exclusive tau decays<sup>4</sup>, remarking  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  as the one with the strongest constraint for new scalar interactions given that the main contribution from the SM to this channel, after the exchange of a  $a_0^-$  scalar (suppressed by  $G$ -parity violation), could be of the same order of magnitude as the contribution of a new interaction beyond SM with the same quantum numbers. Therefore, it turns out to be important to have under good control any possible contribution from the SM to this channel at leading and next to leading order.

Channel	$\hat{\epsilon}_S$	$\hat{\epsilon}_T$
$\tau^- \rightarrow \pi^- \eta \nu_\tau$ [201]	$-(2.4 \pm 5.3) \times 10^{-3}$	$[-0.20, -0.25] \cup [0.15, 0.20]$
$\tau^- \rightarrow \pi^- \pi^0 \nu_\tau$ [202]	$[-5.1, 5.1]$	$(-1.3_{-2.2}^{+1.5}) \times 10^{-3}$
$\tau^- \rightarrow (\pi K)^- \nu_\tau$ [203]	$(1.3 \pm 0.9) \times 10^{-2}$	$(0.7 \pm 1.0) \times 10^{-2}$

Table 7.2: Current limits on the  $\hat{\epsilon}_i$  coefficients obtained from different exclusive hadronic tau decays, see Ref. [204] for details.

In this regard, in the following sections, we elaborate on the different parts of the theoretical estimation of the transition  $\tau \rightarrow \pi^- \eta \nu_\tau$  within the SM, giving a brief overview of the well-known isospin-breaking effects due to light quark masses. Moreover, we report leading radiative one-loop contribution induced by QED [196] interactions<sup>5</sup>, which is one order of magnitude smaller than the one coming from light quark mass differences.

<sup>4</sup>A global analysis including the combination of strange-conserving  $\tau^- \rightarrow \pi^- \eta \nu_\tau, \tau^- \rightarrow \pi^- \pi^0 \nu_\tau, \tau^- \rightarrow \pi^- \nu_\tau$  and the  $\Delta s = 1$   $\tau^- \rightarrow (\pi K)^- \nu_\tau$  and  $\tau^- \rightarrow K^- \nu_\tau$  decays has been done in Ref. [199, 200], obtaining strong constraints in the complete set of  $\epsilon_i$  coefficients.

<sup>5</sup>Other sub-leading sources of QED corrections were computed in Ref. [205], after taking the effective interaction  $\eta^{(\prime)} \gamma \gamma$ .

## 7.2 $\tau^- \rightarrow \pi^- \eta \nu_\tau$ decay in the SM

In the SM of electroweak interactions, the strangeness-conserving semi-leptonic decays of  $\tau^-$  leptons are mediated by the  $(V - A)_\mu = \bar{d}\gamma_\mu(1 - \gamma_5)u$  weak charged current. Owing to the  $G$ -parity properties of the vector (axial) current<sup>6</sup> [206], tau leptons can decay into final states that conserve  $G$ -parity, like an even (odd) number of pions. Therefore, in 1978 Leroy and Pestieau [207] have suggested that the  $\tau^- \rightarrow a_0^-(980)\nu_\tau$ ,  $b_1(1235)\nu_\tau$  decays, with the subsequent  $a_0 \rightarrow \eta\pi^-$ ,  $b_1 \rightarrow \omega\pi^-$  would be good signals of non-SM currents since the  $\eta\pi^-$  ( $\omega\pi^-$ ) system has a  $G$ -parity quantum number opposite to that of the vector (axial) current.

### 7.2.1 Isospin breaking effects due to quark masses

The  $\tau^-$  lepton decay of our concern has been calculated by many authors over the past four decades [207–222]. The different predictions yield branching fractions in the range  $\text{BR}(\tau^- \rightarrow \pi^- \eta \nu_\tau) \sim O(10^{-6} \sim 10^{-5})$ . The underlying mechanism in those model-dependent calculations is driven by the  $m_d - m_u$  quark mass difference, either due to a first-class current followed by the  $\pi^0 - \eta$  mixing ( $\tau^- \rightarrow \rho^- (\rightarrow \pi^- \pi^0 \rightarrow \pi^- \eta) \nu_\tau$ ) or induced by isospin breaking (IB) in the weak vertex ( $\tau^- \rightarrow a_0^- (\rightarrow \pi^- \eta) \nu_\tau$ ). Other calculations assume that  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  is mediated by NP in the form of scalar interactions [209, 223]. Given that  $G$ -parity violating effects make this a rare decay process, the contributions of NP may become competitive.

To the best of our knowledge, IB effects induced by electromagnetic interactions have been considered only in Ref. [205], which turned out to be a very small effect of  $O(\alpha^2)$  at the amplitude level. In this work, we consider the IB effects that are induced by electromagnetic interactions at the one-loop level, which leads to an amplitude suppressed only at  $O(\alpha)$ . Since the  $\pi^0 - \eta$  mixing parameter  $\epsilon_{\eta\pi}$ , as well as the fine structure constant  $\alpha$  turn out to be of similar order (roughly 1%), one may expect *a priori* those two sources may contribute to the amplitude at the same level.

Regarding the experimental searches for this rare tau decay, the first upper limits were reported in the nineties by the CLEO [224]  $\text{BR}(\tau^- \rightarrow$

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<sup>6</sup>The vector (axial) current of the  $V - A$  theory was assigned a  $G = +1(-1)$  parity and were named by Weinberg [206] as ‘first class’ currents, while the term ‘second class’ was deserved to scalar (S) and pseudo-tensor (PT) currents with opposite  $G$ -parity. Although this terminology has become obsolete nowadays, in this work we will refer sometimes to the non-standard S and PT interactions as second-class currents.

$\pi^-\eta\nu_\tau) < 1.4 \times 10^{-4}$  (at 95% CL) and ALEPH [225]  $\text{BR}(\tau^- \rightarrow \pi^-\eta\nu_\tau) < 6.2 \times 10^{-4}$  (at 95% CL) collaborations. Those limits were improved later by the Belle [226] and BABAR [227–229] experiments who reported  $\text{BR}(\tau^- \rightarrow \pi^-\eta\nu_\tau) < 7.3 \times 10^{-5}$  (at 90% CL) and  $\text{BR}(\tau^- \rightarrow \pi^-\eta\nu_\tau) < 9.9 \times 10^{-5}$  (at 95% CL), respectively. An improvement can be eventually established at Belle  $\text{BR}(\tau^- \rightarrow \pi^-\eta\nu_\tau) < 4.4 \times 10^{-5}$  after analyzing the full data set, according to [230]. In the future, the Belle II experiment, which expects to produce a large data set containing  $\sim 10^{10}$  tau pairs [231], can be able to measure for the first time the branching fraction of this decay channel. On the other hand, a stronger upper limit on the analogous  $\tau^- \rightarrow \pi^-\eta'\nu_\tau$  decay has been reported by the BABAR Collaboration [229], namely  $\text{BR}(\tau^- \rightarrow \pi^-\eta'\nu_\tau) < 4.0 \times 10^{-6}$  (at 90 % CL). To take advantage of these results in the search for NP, it is necessary that improved predictions of the branching fraction and other observables in  $\tau^- \rightarrow \pi^-\eta\nu_\tau$  decay are obtained in the SM.

It is well known that the semileptonic  $\tau$  lepton decay into two pseudoscalar mesons is mediated by the vector current and described in terms of two form factors. For the  $\tau^-(p_\tau) \rightarrow \pi^-(p_\pi)\eta(p_\eta)\nu_\tau(p_\nu)$  decay under consideration, the lowest order amplitude can be written in a factorizable form

$$\mathcal{M} = \frac{G_F V_{ud}}{\sqrt{2}} \ell^\mu \cdot \mathcal{H}_\mu, \quad (7.14)$$

where  $\ell_\mu = \bar{u}(p_\nu)\gamma_\mu(1 - \gamma_5)u(p_\tau)$  is the leptonic weak current and  $V_{ud}$  is the element of the Cabibbo-Kobayashi-Maskawa matrix. The hadronic matrix element  $\mathcal{H}_\mu$  can be parametrized in terms of the form factors  $F_+^{\eta\pi}(s)$  and  $F_0^{\eta\pi}(s)$ , namely

$$\begin{aligned} \mathcal{H}_\mu &= \langle \eta(p_\eta)\pi^-(p_\pi) | \bar{d}\gamma_\mu u | 0 \rangle \\ &= -\sqrt{2} \left[ \left( q'_\mu - \frac{\Delta_{\eta\pi}}{s} q_\mu \right) F_+^{\eta\pi}(s) + \frac{\Delta_{\eta\pi}}{s} q_\mu F_0^{\eta\pi}(s) \right]. \end{aligned} \quad (7.15)$$

In the above expressions we have defined  $\Delta_{\eta\pi} = q \cdot q' = m_\eta^2 - m_\pi^2$ , as the product of the two independent momenta  $q_\mu = (p_\eta + p_\pi)_\mu$  and  $q'_\mu = (p_\eta - p_\pi)_\mu$ . The form factors are Lorentz-invariant functions of  $s = q^2$ , the square of the invariant mass of the  $\eta\pi$  system. The subindex  $(+, 0)$  in the form factors refer to the  $L = 1$  and  $L = 0$  angular momentum configurations of the hadronic pair, and they are called vector and scalar form factors, respectively.



The corresponding decay rate for this decay is the following

$$\Gamma(\tau^- \rightarrow \pi^- \eta \nu_\tau) = \frac{G_F^2 |V_{ud}|^2 S_{EW}}{8(4\pi)^3 m_\tau^3} \int_{(m_\eta + m_\pi)^2}^{m_\tau^2} ds \frac{3\lambda^{1/2}(s, m_\eta, m_\pi)(m_\tau - s)^2}{s^3} \times \left\{ (2s + m_\tau^2) \lambda(s, m_\eta^2, m_\pi^2) |F_+^{\eta\pi}(s)|^2 + 3m_\tau^2 \Delta_{\eta\pi}^2 |F_0^{\eta\pi}(s)|^2 \right\} \quad (7.16)$$

where  $\lambda(x, y, z) = x^2 + y^2 + z^2 - 2(xy + xz + yz)$  and  $S_{EW} = 1.0201$  is the universal short-distance electroweak correction [232, 233]. Note that: 1) the vector and scalar form factors contributions do not interfere in the  $\eta\pi^-$  mass distribution<sup>7</sup> and, 2) the contribution of the scalar form factor can be important due to the (large) mass splitting of  $\pi^-$  and  $\eta$  mesons.

In the limit in which  $G$ -parity is an exact symmetry, the vector current cannot hadronize into the  $\eta\pi^-$  state, thus  $F_+^{\eta\pi}(s) = F_0^{\eta\pi}(s) = 0$ ; consequently, this ‘second class’  $\tau$  decay would be forbidden. As explained before, non-zero values of these form factors can be induced in the SM by isospin breaking (IB) effects, or by NP interactions, for instance, newly charged scalar or leptoquarks particles, etc. In the former case, they become suppressed since isospin breaking is expected to be at most a few percent compared to allowed modes ( $\tau^- \rightarrow (\pi, 2\pi, 3\pi)^- \nu_\tau$ ). In the presence of NP, the amplitude can be suppressed by the scales associated with heavy mediators. Since SM and NP contributions may be suppressed at the same level, searching the  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  decay can be sensitive to the latter effects. Therefore, a good knowledge of the form factors is required in order to extract meaningful information on NP from future measurements of  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  observables.

In the SM, isospin symmetry is broken by both the mass difference of down and up quarks ( $m_d - m_u$ ) and by the effects of electromagnetic (e.m.) interactions. Therefore, the induced form factors contain two terms (hereafter, we drop the superindex  $\eta\pi$ ):

$$F_{+,0} = F_{+,0}^{d-u} + F_{+,0}^{\text{e.m.}} \quad (7.17)$$

Most of the previous works [207–222] have focused on the calculation of the form factors induced mainly by the  $m_d - m_u$  quark mass difference. The vector form factor is modeled in a way similar to the one of  $\pi^- \pi^0$  channel, which is dominated by the  $\rho(770)$  meson (including or not its excited states), followed by the  $\pi^0 \rightarrow \eta$  conversion due to  $\pi^0 \eta$  mixing [208–222]. On the other

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<sup>7</sup>This is not true in the presence of photonic corrections because the boxes in loop corrections introduce a dependence of form factors upon an additional Mandelstam variable (see below).

hand, the scalar form factor is assumed to be dominated by the scalar  $a_0(980)$  meson [208–210, 213, 214, 216, 218] or it can be calculated from the coupled channel re-scattering  $P_1 P_2 \rightarrow \eta \pi^-$  in the  $J = 0$  configuration [219, 220, 222]. The results for the branching ratio that stem from the separation into vector and scalar terms, according to Eq. (7.16), are shown in Table 7.3 as reported in the original references. The input data and approximations assumed in the different models are reflected in the spread of predictions for the branching fractions. This wide range of predictions needs to be tightened in order to draw a significant conclusion about NP from a future measurement.

As is well known, the isovector part of the electromagnetic quark current  $j_\mu^{I=1} = (\bar{u}\gamma_\mu u - \bar{d}\gamma_\mu d)/2$ , violates isospin (thus also  $G$ -) symmetry. In the next section, we present the IB effects induced at one-loop by virtual photons, which lead to an amplitude suppressed only at  $O(\alpha)$ . As already mentioned in the introduction, because the  $\pi^0 - \eta$  mixing<sup>8</sup> and the fine structure constant  $\alpha$  turn out to be of the same order, one may expect *a priori* that both effects contribute to the amplitude with similar sizes. In this work, we attempt to test this hypothesis.

### 7.2.2 The electromagnetic isospin breaking effects

Here we focus on the computation of the IB amplitudes induced by virtual photons. QED radiative corrections require that hadron and photon interactions involved in loops are well known at all values of virtual momenta. In practice, one has to resort to scalar QED at low momenta and a model that properly describes hadron-photon interactions at higher energies. For the purposes of this work, we will use the vector meson dominance framework to describe the hadron and photon interaction vertices. This model has been used, for example, to compute the long-distance QED radiative corrections to  $\tau^- \rightarrow (\pi, K)^- \nu_\tau$  decays in Ref. [234], the radiative corrections to the ratio  $\phi \rightarrow K^+ K^- / K^0 \bar{K}^0$  [235] or to study the observables of radiative  $\tau^- \rightarrow \pi^- \pi^0 \nu_\tau \gamma$  [236] and  $\tau^- \rightarrow \pi^- \eta \nu_\tau \gamma$  decays [237]. Since in this model the couplings of photons to hadrons are mediated by the exchange of vector mesons (see end of this section), the form factors of vertices involving photon-hadron interactions behave as  $\sim 1/q^2$  ( $q$  is the momentum carried by virtual photons) at short-distances. This is in agreement with the large  $q^2$  behavior expected in QCD according to Refs [238, 239]. The large  $q^2$  behavior of form factors also allows to make convergent the loop integrals

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<sup>8</sup>Strictly speaking, this  $\pi^0 - \eta$  mixing parameter also contains a very small contribution from virtual photons through  $\pi^0 \leftrightarrow (\rho\gamma, \omega\gamma) \leftrightarrow \eta$  loops, although they are different from the ones considered in this work.

Ref.	$BR_S \times 10^5$	$BR_V \times 10^5$	$BR \times 10^5$
* (1982) Tisserant, Truong [208] ( $\rho$ , $a_0$ contributions)	1.60	0.26	1.86
* (1987) Bramon, Narison, Pich [209, 210] ( $\rho$ , $a_0$ contributions)	1.50	0.12	1.62
(1994) Neufeld, Rupertsberger [213] (NLO ChPT)	1.06	0.15	1.21
* (2008) Nussinov, Soffer [214] ( $\bar{q}q$ model)	1.00	0.36	1.36
(2010) Paver, Riazuddin [216] ( $\rho$ , $\rho'$ , $a_0$ , $a'_0$ VMD)	[0.2, 2.3]	[0.2, 0.6]	[0.4, 2.9]
* (2012) Volkov, Kostunin [218] (NJL model)	0.04	0.44	0.48
(2014) Descotes-Genon, Moussallam [219] (ChPT + analyticity)	0.20	0.13	0.33
(2016) Escribano, Gonzalez, Roig [220] (RChT-3 coupled channels)	$1.41 \pm 0.09$	$0.26 \pm 0.02$	$1.67 \pm 0.09$

Table 7.3: Some of the previous estimates of the  $BR(\tau^- \rightarrow \pi^- \eta \nu_\tau)$  reported in the literature that stem from isospin breaking in the  $d - u$  quark mass difference. The subscript S (V) denotes the contribution of the scalar (vector) form factor to the total branching ratio (4th column). The spread of values between predictions can be traced to the different inputs and approximations among the various hadronization models. In addition, predictions marked with an asterisk use the narrow-width approximation for scalar and vector resonances.

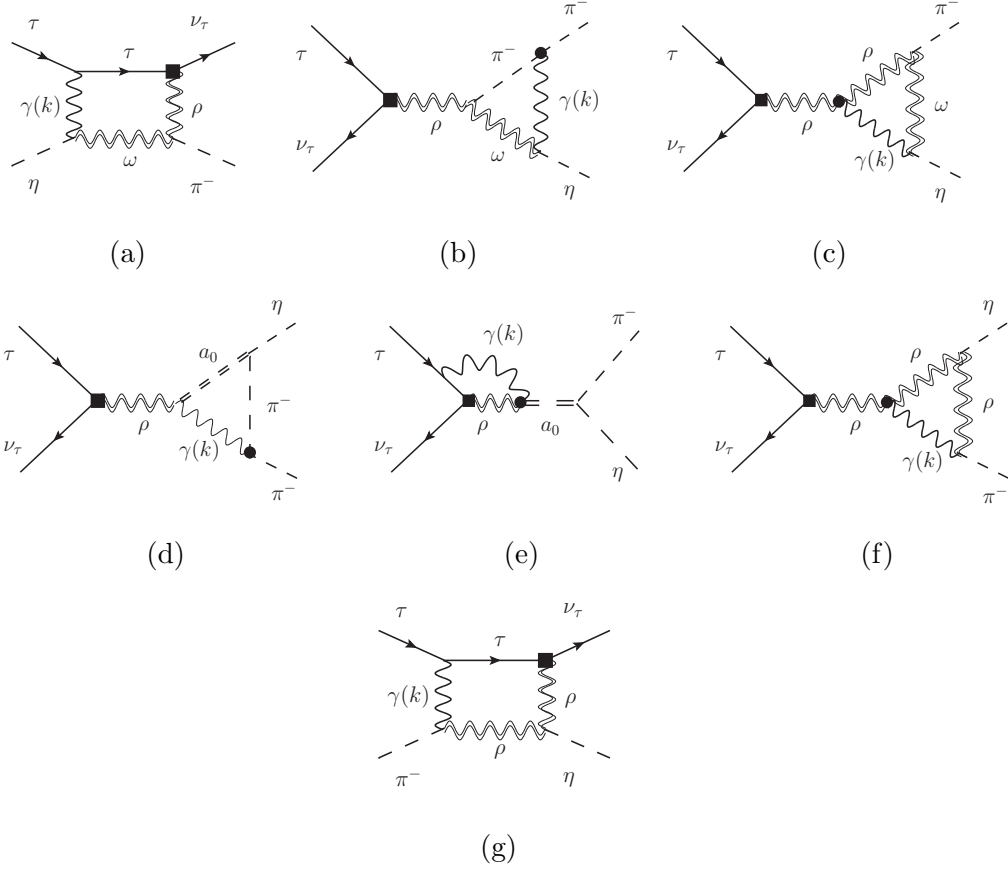


Figure 7.1: Feynman diagrams of the  $\tau^-(p_\tau) \rightarrow \pi^-(p_\pi)\eta(p_\eta)\nu(p_\nu)$  decay induced by a virtual photon at one loop level. The black square stands for the integration out of the  $W$  gauge boson, meanwhile, the circle represents the virtual photon interaction taking into account a squared momentum transfer dependence of the form given by Eq. (7.28).

which, otherwise, will be divergent if the structureless QED interactions approximation were used. Alternative calculations of the radiative corrections to  $\tau^- \rightarrow (\pi, K)^- \nu_\tau$  decays in the framework of the resonance chiral theory which implements the SD constraints [240, 241], were found in good agreement with those of Ref. [234], computed in the meson dominance approach. This agreement occurs because both models capture the main features of photon-hadron interactions in the intermediate energy (resonance) region, which is relevant for the evaluation of loop-effects for finite integrals.

At the leading order in photonic loops, the  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  decay can be induced in such a framework by the Feynman diagrams shown in Figure 7.1. The presence of the virtual photon makes possible this decay at the one-loop level, in a similar way that the emission of a real photon in  $\tau^- \rightarrow \pi^- \eta \nu_\tau \gamma$  avoids the  $G$ -parity constraint [237]. Also, at this leading order, we include only the effects of the lowest lying vector ( $\rho(770)$ ,  $\omega(782)$ ) and scalar ( $a_0(980)$ ) resonances. The effects of other excited states can play an important role above 1.4 GeV according to Ref. [216], but we do not include them in this approximation given the lack of experimental information that would allow us to derive meaningful values of the relevant coupling. Other very small contributions are also neglected (for instance, the  $b_1$  meson in loops or the  $\pi^-$  pole).

Coupling	Value
$f_\rho$	$0.170 \pm 0.004 \text{ GeV}^2$
$g_{\rho\omega\pi}$	$11.1 \pm 0.5 \text{ GeV}^{-1}$
$eg_{\omega\eta\gamma}$	$0.136 \pm 0.016 \text{ GeV}^{-1}$
$eg_{\rho\pi\gamma}$	$0.219 \pm 0.012 \text{ GeV}^{-1}$
$eg_{\rho a_0 \gamma}$	$0.092 \pm 0.016 \text{ GeV}^{-2}$
$g_{\rho\rho\eta}$	$7.9 \pm 0.3 \text{ GeV}^{-1}$
$g_{\rho\rho\eta'}$	$6.6 \pm 0.2 \text{ GeV}^{-1}$
$eg_{\omega\eta'\gamma}$	$0.13 \pm 0.008 \text{ GeV}^{-1}$
$g_{a_0\pi\eta}$	$2.2 \pm 0.9 \text{ GeV}$
$g_{a_0\pi\eta'}$	$\leq 0.22 \text{ GeV}$

Table 7.4: Strong and electromagnetic couplings of vector and scalar mesons used in our analysis (see reference [237]).

The evaluation of Feynman graphs shown in Figure 7.1 requires the effective vertices for  $V_1 V_2 P$ ,  $VP\gamma$ ,  $VS\gamma$ , and  $SP_1 P_2$  interactions, where  $V$ ,  $P$ , and  $S$  denote vector, pseudo-scalar, and scalar mesons, respectively. As in Ref. [237] (see also [242–244]), we use the following Feynman rules for the

interaction of mesons and photons (as in Ref. [237], we assume that all the couplings are real and positive)

$$V_1^\mu(q_1) \rightarrow V_2^\nu(q_2)P(q_3) : \quad i g_{V_1 V_2 P} \epsilon^{\mu\nu\alpha\beta} q_{2\alpha} q_{3\beta}, \quad (7.18)$$

$$V^\mu(q_1) \rightarrow \gamma^\nu(q_2)P(q_3) : \quad i g_{V\gamma P} \epsilon^{\mu\nu\alpha\beta} q_{2\alpha} q_{3\beta}, \quad (7.19)$$

$$V^\mu(q_1) \rightarrow \gamma^\nu(q_2)S(q_3) : \quad i g_{V\gamma S} (q_1 \cdot q_2 g^{\mu\nu} - q_2^\mu q_1^\nu), \quad (7.20)$$

$$S(q_1) \rightarrow P_1(q_2)P_2(q_3) : \quad i g_{SP_1 P_2}. \quad (7.21)$$

The  $\rho^- - W$  coupling is defined as  $\langle \rho^-(\epsilon^*) | \bar{d} \gamma_\mu u | 0 \rangle = f_\rho \epsilon_\mu^*$ . The values for the above couplings required by our evaluation are obtained from other phenomenological analyses and are given in Table 7.4.

The electromagnetic vertex of the positively charged pion is defined as usual [245]

$$\langle \pi^+(p_2) | J_\mu^{\text{em}}(0) | \pi^+(p_1) \rangle = e F_\pi^V(k^2) (p_1 + p_2)_\mu, \quad (7.22)$$

where  $J_\mu^{\text{em}}(x)$  is the electromagnetic current operator and  $e$  the positron charge. The pion form factor  $F_\pi^V(k^2)$  is a function of the squared momentum transfer  $k^2$  (where  $k = p_2 - p_1$ ) such that  $F_\pi^V(0) = 1$ .

Similarly, we will use the following expression for the electromagnetic matrix element of the  $\rho^+$  meson [246, 247]

$$\langle \rho^+(p_2, \epsilon') | J_\mu^{\text{em}} | \rho^+(p_1, \epsilon) \rangle = e \epsilon'^{\beta*} \epsilon^\alpha \Gamma_{\alpha\beta\mu}, \quad (7.23)$$

where  $\epsilon$  and  $\epsilon'$  denote the initial and final polarization vectors, respectively. The tensor  $\Gamma^{\alpha\beta\mu}$  factor has the following Lorentz structure [248, 249]

$$\begin{aligned} \Gamma^{\alpha\beta\mu}(k^2) = & (p_1 + p_2)^\mu g^{\alpha\beta} \alpha(k^2) + (g^{\mu\beta} k^\alpha - g^{\mu\alpha} k^\beta) \beta(k^2) \\ & + (p_1 + p_2)^\mu k^\alpha k^\beta \gamma(k^2) - p_1^\alpha g^{\mu\beta} - p_2^\beta g^{\mu\alpha}. \end{aligned} \quad (7.24)$$

The last two terms in the above equation do not contribute to on-shell vector mesons but are necessary to satisfy the Ward identities in the general case. The form factors  $\alpha(k^2)$ ,  $\beta(k^2)$ , and  $\gamma(k^2)$  are related to the static electromagnetic multipoles of the  $\rho^+(770)$  vector meson [250], respectively, as follows:  $\alpha(0) = q = 1$ ,  $\beta(0) = \mu$  and  $\gamma(0) = (1 - \mu - \mathcal{Q})/2m_\rho^2$ , where  $q$  is the electric charge in units  $e$ ,  $\mu$  the magnetic dipole moment in units of  $e/2m_\rho$  and  $\mathcal{Q}$  the electric quadrupole in units of  $e/m_\rho^2$ . In this work we will assume the canonical values [251]  $\alpha(0) = 1$ ,  $\beta(0) = 2$  and  $\gamma(0) = 0$ . We will comment later on the momentum transfer dependence of the form factors.

It can be shown that, after some intermediate algebraic steps (see Appendix I), all the one-loop amplitudes corresponding to the diagrams in Figure 7.1 can be set into the following factorized generic form

$$\mathcal{M}_{(i)} = \frac{G_F V_{ud}}{\sqrt{2}} C_{(i)} \ell_\mu \cdot \int \frac{d^d k}{(2\pi)^d} \frac{h_{(i)}^\mu}{D_{(i)}}, \quad (7.25)$$

where the subindex  $i = a, b, \dots, g$  label the contribution of the diagrams in Figure 7.1, and  $C_{(i)}$  denote the product of couplings constants and (in some cases) meson propagators (see Appendix I).

It is interesting to note that after the loop integration, the Lorentz structure of the amplitudes has an expression similar to Eq. (7.15):

$$\begin{aligned} \mathcal{M}_{(i)} = & \frac{G_F V_{ud}}{\sqrt{2}} \ell^\mu (-\sqrt{2}) \left[ \left( q'_\mu - \frac{\Delta_{\eta\pi}}{s} q_\mu \right) F_{+(i)}^{\text{e.m.}}(s, u) \right. \\ & \left. + \frac{\Delta_{\eta\pi}}{s} q_\mu F_{0(i)}^{\text{e.m.}}(s, u) \right]. \end{aligned} \quad (7.26)$$

Note that the form factors generated by photonic loops are of order  $\alpha$  and depend on an additional variable  $u = (p_\tau - p_\pi)^2$ , where the latter originates from the box diagrams of Figure 7.1<sup>9</sup>. The form factors for the total amplitude induced by electromagnetic contributions are given by

$$F_{\{+,0\}}^{\text{e.m.}} = \sum_{i=a}^g F_{\{+,0\}(i)}^{\text{e.m.}}. \quad (7.27)$$

Before presenting the numerical analysis, some relevant comments on our computation are in order. We have found that the triangular diagrams (b), (c), (e) and (f) in Figure 7.1, have divergent behavior in the limit where the photon-hadron vertices in Eqs. (7.22) and (7.23) are fixed at their zero momentum transfer values ( $k^2 = 0$ )<sup>10</sup>. In the vector meson dominance model considered in this work, the interactions of the virtual photon with mesons

<sup>9</sup>The explicit expressions for the  $C_{(i)}$ ,  $h_{(i)}^\mu$ , and  $D_{(i)}$  factors are reported in the Appendix I. Similarly, the expressions for the  $F_{\{+,0\}(i)}^{\text{e.m.}}$  factors in terms of the Passarino-Veltman functions are provided in Appendix J.1.

<sup>10</sup>Diagram (d) is finite and well-behaved even in this approximation. However, we consider, for consistency, the  $q^2$  dependence of the pion vector form factor in the evaluation of our estimation.

are mediated by the exchange of vector mesons. Therefore, we will attach a factor ( $m_\rho$  is the mass of the  $\rho(770)$  vector meson)

$$\mathcal{F}_i(k^2) = \frac{m_\rho^2}{m_\rho^2 - k^2} \quad (7.28)$$

to the electromagnetic vertices of charged ( $\rho, \pi$ ) particles appearing in Figures 7.1(b,c,f) to describe their  $k^2$  dependency. This factor is justified on the basis of many phenomenological descriptions of data and renders finite the divergent loop integrals (see for example [234]).

Similarly, for the diagram in Figure 7.1(e), we assume that the virtual photon coupling in the  $\rho^- a_0^- \gamma$  vertex occurs via the exchange of an  $\omega(782)$  meson, the vector meson with suitable quantum numbers to couple to the  $a_0^- \rho^-$  pair, which introduces an additional form factor  $\mathcal{F}_{\rho a_0 \gamma}(k^2) = m_\omega^2/(m_\omega^2 - k^2)$  in the electromagnetic coupling.

### Numerical Analysis

In this section, we provide the results for the branching fraction of the  $G$ -parity breaking contribution to  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  that arise from the isospin breaking effects induced by the exchange of a virtual photon. We compare our results with the contributions due to the  $m_d - m_u$  quark mass difference using the same model and approximations. For completeness, we also provide an estimate of these electromagnetic effects for the branching fraction of the analogous  $\tau^- \rightarrow \pi^- \eta' \nu_\tau$  decay channel.

For later comparison, we first re-evaluate the branching fraction that stems from the  $m_u - m_d$  quark mass difference through the  $\pi^0 \eta$  parameter  $\epsilon_{\pi\eta}$ . For this purpose, we also work in the framework of the meson resonance dominance model using the lowest-lying resonance states. Following Refs. [216,217], the correctly normalized vector and scalar form factors that include the lowest lying and first excited resonances are the following:

$$F_+^{u-d}(s) = \epsilon_{\pi\eta} \times \frac{1}{1 + \beta_\rho} \left[ \left( \frac{m_\rho^2}{m_\rho^2 - s - im_\rho \Gamma_\rho(s)} + \frac{\beta_\rho m_{\rho'}^2}{m_{\rho'}^2 - s - im_{\rho'} \Gamma_{\rho'}(s)} \right) \right], \quad (7.29)$$

$$F_0^{u-d}(s) = \epsilon_{\pi\eta} \times \frac{1}{1 + \beta_{a_0}} \left[ \left( \frac{m_{a_0}^2}{m_{a_0}^2 - s - im_{a_0} \Gamma_{a_0}(s)} + \frac{\beta_{a_0} m_{a_0'}^2}{m_{a_0'}^2 - s - im_{a_0'} \Gamma_{a_0'}(s)} \right) \right], \quad (7.30)$$

where  $\beta_{\rho, a_0}$  are, in general, complex parameters that describe the ratio of couplings of the excited/lightest mesons to the weak charged current and to the  $\pi^- \pi^0$  meson pair. A similar expression, with  $\epsilon_{\pi\eta} \rightarrow \epsilon_{\pi\eta'}$ , holds for the



form factors of  $\tau^- \rightarrow \pi^- \eta' \nu_\tau$  decays.

In order to remain consistent with the approximation used in the loop calculations, we will use a single resonance to describe the form factors, namely we set  $\beta_\rho = \beta_{a_0} = 0$ . Therefore, the only energy-dependent widths required in Eqs. (7.29, 7.30) are the following:

$$\Gamma_\rho(s) = \Gamma_\rho \left( \frac{m_\rho^2}{s} \right)^{5/2} \left( \frac{\lambda(s, m_\pi^2, m_\pi^2)}{\lambda(m_\rho^2, m_\pi^2, m_\pi^2)} \right)^{3/2} \theta(s - 4m_\pi^2), \quad (7.31)$$

$$\Gamma_{a_0}(s) = \Gamma_{a_0} \frac{m_{a_0}^2}{s} \frac{\lambda^{1/2}(s, m_\eta^2, m_\pi^2)}{\lambda^{1/2}(m_{a_0}^2, m_\eta^2, m_\pi^2)} \theta(s - (m_\eta + m_\pi)^2), \quad (7.32)$$

where  $\theta(x)$  is the Heaviside functions and  $\Gamma_{\rho, a_0}$  the on-shell widths. In our numerical evaluations, we use the masses and widths reported by the Particle Data Group [252], except for the scalar meson, where we assume  $\Gamma_{a_0} = (75 \pm 25)$  MeV to cover the range reported for this parameter in [252]. We also use the leading order expression for the  $\pi - \eta$  mixing parameter  $\epsilon_{\pi\eta} = (1.21 \pm 0.23) \times 10^{-2}$ . This numerical result stems from the values of the quark mass ratios given in [252]  $m_u/m_d = 0.474 \pm 0.74$  and  $m_s/m_d = 19.5 \pm 2.5$ .

The values of the branching fractions obtained for the scalar and vector contributions owing to  $m_u - m_d$  quark mass difference are reported in the line denoted as ‘d – u’ in Table 7.5. The values in this Table corresponding to Ref. [216], differ slightly from the one reported in that reference because we use the appropriated masses in the phase-space for the energy-dependent width of the  $\rho(770) \rightarrow \pi\pi$  decay.

The results shown in the upper part of Table 7.5 correspond to the contributions of scalar (subindex  $S$ ) and vector ( $V$ ) form factors in  $BR(\tau^- \rightarrow \pi^- \eta \nu_\tau)$ , generated by the diagrams of Figure 7.1. We note that the sum of scalar and vector contributions does not add up to the total branching ratio in the case of Figures 7.1 (a) and (g) because there is a small interference term between them that arises from the box diagrams (the induced form factors depend upon  $(s, u)$  variables in this case). It is clear that the branching ratios of scalar and vector contributions induced by the pure photon loops are smaller by about two orders of magnitude with respect to the corresponding contributions induced by the  $d - u$  quark mass difference.

When we add the form factors generated by both sources of isospin breaking at the amplitude level according to Eq. (7.17), we get the branching ratios for  $\tau^- \rightarrow \pi^- \eta \nu_\tau$  shown in the last row of Table 7.5. The branching fraction

Diagram	$BR(\tau^- \rightarrow \pi^- \eta \nu_\tau)_S$	$BR(\tau^- \rightarrow \pi^- \eta \nu_\tau)_V$	$BR(\tau^- \rightarrow \pi^- \eta \nu_\tau)$
(a)	$5.15 \times 10^{-9}$	$6.15 \times 10^{-9}$	$9.22 \times 10^{-9}$
(b)	0	$3.83 \times 10^{-8}$	$3.83 \times 10^{-8}$
(c)	$9.98 \times 10^{-9}$	$1.78 \times 10^{-8}$	$2.79 \times 10^{-8}$
(d)	0	$5.99 \times 10^{-10}$	$5.99 \times 10^{-10}$
(e)	$2.35 \times 10^{-8}$	0	$2.35 \times 10^{-8}$
(f)	$1.48 \times 10^{-8}$	$1.83 \times 10^{-8}$	$3.31 \times 10^{-8}$
(g)	$5.81 \times 10^{-9}$	$1.11 \times 10^{-8}$	$1.46 \times 10^{-8}$
e. m.	$1.64 \times 10^{-7}$	$4.15 \times 10^{-8}$	$2.15 \times 10^{-7}$
d-u	$1.20 \times 10^{-5}$	$2.47 \times 10^{-6}$	$1.46 \times 10^{-5}$
d-u + e. m.	$1.34 \times 10^{-5}$	$3.14 \times 10^{-6}$	$1.66 \times 10^{-5}$

Table 7.5: Scalar (S) and vector (V) contributions to the branching ratio (BR) of  $\tau \rightarrow \pi^- \eta \nu_\tau$  from individual one-loop diagrams in Fig. 7.1. The last three rows denote, the electromagnetic (e.m.), d-u quark mass difference contributions to the branching fraction and their sum (d-u+e. m.); respectively.

can be written in terms of the  $\eta\pi$  mixing parameter in the following useful form:

$$BR_{d-u+e.m.}(\pi\eta) = \epsilon_{\pi\eta}^2 A + \epsilon_{\pi\eta} B + C, \quad (7.33)$$

where  $A = 9.99818 \times 10^{-2}$ ,  $B = 1.45217 \times 10^{-4}$  and  $C = 2.15587 \times 10^{-7}$  depend upon the phase-space integrated rates and on values of the resonance parameters (a similar expression holds for the  $\pi^- \eta'$  channel with  $\eta \rightarrow \eta'$  and  $(A, B, C) \rightarrow (A', B', C')$ ).

The shift produced by the photon corrections in the total rate becomes

$$\Delta(\pi\eta) \equiv \frac{|BR_{d-u+e.m.}(\pi\eta) - BR_{d-u}(\pi\eta)|}{BR_{d-u}(\pi\eta)} = (13.5^{+4.0}_{-3.0} \pm 2.8)\%. \quad (7.34)$$

The first error bars originate mainly from the uncertainties in the values of  $a_0 \rho \gamma$  and  $a_0 \eta \pi$  couplings appearing in Table 7.4 and the range of values  $\Gamma_{a_0} = 50 - 100$  MeV quoted in [252]. The second error stems from the dependence upon the  $\epsilon_{\pi\eta}$  parameter which can be easily evaluated from Eq. (7.33). The same parametric dependence upon  $\epsilon_{\pi\eta}$ , Eq. (7.33), allows us to evaluate the branching fraction for a different value this parameter. For example, using  $\epsilon_{\pi\eta} = (9.8 \pm 0.3) \times 10^{-3}$  from Ref. [220] we obtain  $\Delta(\eta\pi) = (17.2 \pm 0.6)\%$ , where the error bar displays only the uncertainty associated to the mixing parameter.

Therefore, a measurement of the branching ratio of this decay at Belle II or at a  $\tau$ -charm factory with a  $\sim 10\%$  uncertainty will require that all the effects of this order, in particular the ones due to the virtual photon, are explicitly taken into account in order to extract meaningful information on NP contributions.

Diagram	$BR(\tau^- \rightarrow \pi^- \eta' \nu_\tau)_S$	$BR(\tau^- \rightarrow \pi^- \eta' \nu_\tau)_V$	$BR(\tau^- \rightarrow \pi^- \eta' \nu_\tau)$
(a)	$8.71 \times 10^{-10}$	$7.50 \times 10^{-10}$	$1.45 \times 10^{-9}$
(b)	0	$2.78 \times 10^{-9}$	$2.78 \times 10^{-9}$
(c)	$1.57 \times 10^{-9}$	$2.16 \times 10^{-9}$	$3.75 \times 10^{-9}$
(d)	0	$1.11 \times 10^{-12}$	$1.11 \times 10^{-12}$
(e)	$8.07 \times 10^{-12}$	0	$8.07 \times 10^{-12}$
(f)	$2.13 \times 10^{-9}$	$1.35 \times 10^{-9}$	$3.48 \times 10^{-9}$
(g)	$6.85 \times 10^{-10}$	$1.68 \times 10^{-9}$	$2.25 \times 10^{-9}$
e. m.	$1.73 \times 10^{-8}$	$2.92 \times 10^{-9}$	$2.08 \times 10^{-8}$
d-u	$5.76 \times 10^{-8}$	$2.15 \times 10^{-9}$	$5.97 \times 10^{-8}$
d-u + e. m.	$9.70 \times 10^{-8}$	$8.63 \times 10^{-9}$	$1.06 \times 10^{-7}$

Table 7.6: Same as Table 7.5 but for the  $\tau^- \rightarrow \pi^- \eta' \nu_\tau$  channel.

Just for completeness, we also include the evaluation of the photon-loop contributions to the analogous  $\tau^- \rightarrow \pi^- \eta' \nu_\tau$  decay. This decay is more suppressed than the  $\pi^- \eta$  channel due to the smaller phase space available and also because the threshold for  $\pi^- \eta'$  production is above the masses of light meson resonances. The relevant couplings entering the analogous diagrams in Figure 7.1 are shown in Table 7.4. We use  $\epsilon_{\pi\eta'} = (3 \pm 1) \times 10^{-3}$  [217] for the  $\pi^0 - \eta'$  isospin mixing parameter. Our results<sup>11</sup> are displayed in Table 7.6 following the same convention as in Table 7.5.

According to the results in Table 7.6, in the  $\pi^- \eta'$  channel the effects of the one-loop photon contributions are more important than in  $\pi^- \eta$  relative to the one due to  $m_d - m_u$ . When we add the effects of both sources of isospin breaking, the interference effects turn out to be larger than in the  $\pi^- \eta$  case:

$$\Delta(\pi\eta') \equiv \frac{|\text{BR}_{\text{d-u+e.m.}}(\pi\eta') - \text{BR}_{\text{d-u}}(\pi\eta')|}{\text{BR}_{\text{d-u}}(\pi\eta')} = (78^{+2}_{-4} \pm 38)\%. \quad (7.35)$$

The uncertainties are estimated as explained below Eq. (7.2.2). The values of the coefficients in the right-hand-side of Eq. (7.33) corresponding to the  $\pi\eta'$

<sup>11</sup>Here we take the same expressions given in Appendix J.1 by replacing the mass  $m_\eta \rightarrow m_{\eta'}$  and the values for the relevant effective couplings.

channel are  $A' = 6.6439 \times 10^{-3}$ ,  $B' = 8.68784 \times 10^{-6}$ ,  $C' = 2.08877 \times 10^{-8}$ . Using a different value of the  $\pi\eta'$  mixing, see for example  $\epsilon_{\pi\eta'} = (2.5 \pm 1.5) \times 10^{-4}$  [220], leads to  $\Delta(\pi\eta') = (55 \pm 63)\%$ .

This result is very sensitive to the contribution of the  $u-d$  quark mass difference given the strong suppression of the  $\pi^-\eta'$  mixing parameter. Therefore, this channel may be very sensitive to the electromagnetic one-loop effects. The result for the  $\pi^-\eta'$  channel, however, should be taken with care because the exclusion of excited resonances involves two limitations: first, the  $\pi^-\eta'$  system can be produced resonantly only with the inclusion of higher resonances and, second, the current knowledge of the needed  $\eta'$  couplings is still poor.

We end this section by commenting on our approximations: 1) we have included only the lowest lying resonances in the calculation of the two sources of isospin breaking contributions; 2) we are taking isospin breaking in the  $\pi^0 - \eta - \eta'$  mixing parameters at the leading order. This allows us to keep the consistency of our approximations. The effects of excited resonances and next-to-leading order in mixing parameters can be important, as shown in Refs. [216, 217]. More reliable information on the values of masses, widths and relevant branching ratios of excited resonances is necessary to account for these effects. However, we expect that the size of form factors induced by electromagnetic interactions relative to the one due to  $u-d$  quark mass difference would not be largely affected.

Finally, let us mention that an estimate of the uncertainties related to the validity of the model used for hadron-photon interactions at short-distances is, to the best of our knowledge, beyond the reach of current theory. We note however that the vertices in the VMD model satisfy the  $\sim 1/q^2$  expected in QCD as short-distances [238, 239]. Thus, we would expect that the numerical results would not strongly depend on the specific model that implements the short-distance constraints, as it occurs in the case of  $\tau \rightarrow K/\pi\nu_\tau$  decays.

## Part III

### High precision measurements



# Chapter 8

## The $g-2$ anomaly of the muon

*This chapter gives a brief overview of the current experimental status as well as the corresponding SM theoretical prediction of the  $g - 2$  muon anomaly which is one of the best option we have to constrain physics beyond the SM at high precision experiments. The SM prediction is currently limited by the accuracy in the hadronic vacuum polarization (HVP) and the Light-by-Light (HLbL) predictions, which need to be improved to match the experimental measurement, on Ref. [253], we compute the contribution (within the SM) of a proton box diagram into the Hadronic Light-by-Light part of the  $a_\mu$ . Our results are summarized in this chapter.*

### 8.1 Experimental and theoretical status

The anomalous magnetic moment of the muon has attracted significant attention in particle physics due to opportunity to constrain hypothetical NP effects, given to the great accuracy in both, theoretical prediction of the SM and the experimental measurement of this observable [254]. The new release of the world average in Ref. [5] has dissolve the discrepancy by the incorporation of the average lattice QCD evaluation of the HVP part to the total central value, consistent among the different groups. In the experimental side, the latest measurements by the Muon  $g-2$  collaboration at Fermilab [255–257], when combined with earlier results from the Brookhaven E821 experiment [258], are within a standard deviation from the SM prediction as reported in Ref. [5]<sup>1</sup>:

$$\Delta a_\mu = a_\mu^{\text{exp}} - a_\mu^{\text{SM}} = 39(64) \times 10^{-11}, \quad (8.1)$$

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<sup>1</sup>In contrast with the previews result from Ref. [254]  $\Delta a_\mu = a_\mu^{\text{exp}} - a_\mu^{\text{SM}} = 249(48) \times 10^{-11}$  (which is based on refs. [259–293])

where the different components of  $a_\mu$  calculations are:

$$a_\mu^{\text{QED}} = 116584718.8(2) \times 10^{-11}, \quad (8.2)$$

$$a_\mu^{\text{EW}} = 154.4(4) \times 10^{-11}, \quad (8.3)$$

$$a_\mu^{\text{HVP,LO}} = 7132(61) \times 10^{-11}, \quad (8.4)$$

$$a_\mu^{\text{HLbL,LO}} = 112.6(9.6) \times 10^{-11}. \quad (8.5)$$

Even so, there is still a discrepancy among theoretical predictions, specifically in the Hadronic Vacuum Polarization (HVP) determination, which can be derived using different approaches. The first method utilizes  $e^+e^-$  data-driven techniques, yielding the previously mentioned  $5.1\sigma$  tension. In contrast, estimates based on  $\tau$  data-driven approaches [294–297] or lattice QCD calculations [298] significantly reduce the tension between theoretical and experimental values to  $2.0\sigma$  and  $1.5\sigma$ , respectively (less than one  $\sigma$  in [299]). The latest CMD-3 measurement of  $\sigma(e^+e^- \rightarrow \pi^+\pi^-)$  [300, 301] also points in this direction.

As the uncertainty in  $a_\mu^{\text{exp}}$  has decrease further as more data is analyzed,<sup>2</sup> a significant improvement of theoretical calculations is essential to determine whether this difference  $\Delta a_\mu$  can be attributed to New Physics (NP). Therefore, its different contributions are continuously refined to achieve this objective, particularly the hadronic ones (HVP and HLbL), which dominate the uncertainty<sup>3</sup>. Let us concentrate on the HLbL component to  $a_\mu$  and the corresponding decomposition of the general light-by-light scattering amplitudes.

## 8.2 Proton box contribution to the $a_\mu^{\text{HLbL}}$

Recently, a rigorous framework, based on the fundamental principles of unitarity, analyticity, crossing symmetry, and gauge invariance has been developed [277, 303], providing a clear and precise methodology for defining and evaluating the various low-energy contributions to HLbL scattering. The most significant among these are the pseudoscalar-pole ( $\pi^0$ ,  $\eta$  and  $\eta'$ ) contributions [276, 278, 304, 305]. Nevertheless, subleading pieces, such as the

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<sup>2</sup>With the FNAL Run-2/3 analysis [256]  $a_\mu^{\text{exp}}$  was measured with a precision of 0.20 ppm, and a 0.124 ppm had been achieved already taken data of Run-4/5/6 [255]. In addition, J-PARC E34 [302] aims to measure it using a different approach than BNL E821 [258] and FNAL, with totally different systematic uncertainties.

<sup>3</sup>The precision of QED and Electroweak determinations are two and one order of magnitude more accurate than the hadronic ones, respectively.



$\pi^\pm$  and  $K^\pm$  box diagrams, along with quark loops, have also been reported [281, 286, 306, 307], with the proton-box representing an intriguing follow-up calculation. We emphasize that the computation of  $a_\mu^{\text{HLbL}}$  in the present work belongs to the dispersive evaluation (shown in Table 8.1), which allows us to use the same theoretical framework developed in Refs. [281, 288, 303], with a specific focus in the proton-box diagrams, that adds up to existing results in the dispersive framework. Other analogous baryon-box contributions to  $a_\mu^{\text{HLbL}}$  are also of interest, nonetheless. However, as we shall elaborate later, these contributions are expected to be subdominant compared with the one coming from the proton-box. Then, we concentrate our efforts on estimating the first baryon box-loop contribution to  $a_\mu^{\text{HLbL}}$ , leaving its generalization for the rest of the baryon-octet to future work.

Contribution	$a_\mu \times 10^{11}$
$\pi^\pm$ box	$-15.9(2)$
$K^\pm$ box	$-0.5(0)$
S-wave rescattering	$-9.1(1.0)$
$\pi^0, \eta, \eta'$ poles	$91.2^{+2.9}_{-2.4}$

Table 8.1: Contributions to the  $a_\mu^{\text{HLbL}}$  in the dispersion theory, taken from Ref. [5]. The S-wave rescattering corresponds to the contribution from scalar resonances  $f_0(500)$ ,  $f_0(980)$ , and  $a_0(980)$ .

A preliminary result obtained from the Heavy Mass Expansion (HME) method [308]—which does not consider the form factors contributions— for a mass of  $M \equiv M_p = 938$  MeV, yields an approximate mean value of  $a_\mu^{\text{p-box}} = 9.7 \times 10^{-11}$ <sup>4</sup>. This result is comparable in magnitude to several of the previously discussed contributions, thereby motivating a more realistic and precise analysis that incorporates the main effects of the relevant form factors.

In this work, we focus on the proton-box HLbL contribution. We apply the master formula and the perturbative quark loop scalar functions, derived in [303] (which we verified independently), together with a complete analysis of different proton form factors descriptions [309–311], which are essential inputs for the numerical integration required in the calculations.

<sup>4</sup> In the same fashion, we can also estimate  $a_\mu^{\Sigma^-\text{-box}} \approx 6 \times 10^{-11}$ ,  $a_\mu^{\Xi^-\text{-box}} \approx 4.9 \times 10^{-11}$  using the HME method as a rough estimation, which are smaller than the proton contribution, as expected.

### 8.2.1 HLbL Master Formula

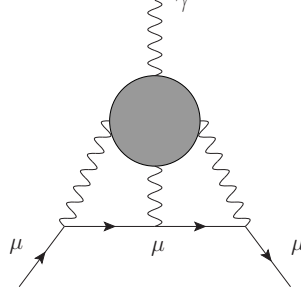


Figure 8.1: General light-by-light contribution to the muon  $g$ -2 anomaly.

A comprehensive review of the HLbL contributions to the muon  $g$ -2 anomaly has been previously done in Refs. [277, 281, 303]. There, the authors obtained a master integral, allowing to use the unitarity relation to consider individual intermediate states and account for their contribution to  $a_\mu^{\text{HLbL}}$ . For a general description of the electromagnetic tensor involved in the two-loop diagram shown in Fig. 8.1, leading to:

$$a_\mu^{\text{HLbL}} = \frac{2\alpha^3}{3\pi^2} \int_0^\infty dQ_1 \int_0^\infty dQ_2 \int_{-1}^1 d\tau \sqrt{1-\tau^2} Q_1^3 Q_2^3 \sum_{i=1}^{12} T_i(Q_1, Q_2, \tau) \bar{\Pi}_i(Q_1, Q_2, \tau), \quad (8.6)$$

where  $Q_k^2 = -q_k^2$  with  $k = 1, 2, 3$  is the square four-momentum of the photons within the loop in the space-like region and  $\tau$  is defined by the relation  $Q_3^2 = Q_1^2 + Q_2^2 + 2Q_1Q_2\tau$ . Moreover,  $T_i$  are the 12 independent integral kernels (explicitly shown in Appendix B of Ref. [277]) and  $\bar{\Pi}_i$  corresponds to scalar functions that encode all the information of the specific HLbL intermediate state contribution. Both can be obtained after a decomposition of the light-by-light tensor, following the recipe introduced by Bardeen-Tung-Tarrach (BTT) [312, 313].<sup>5</sup> Indeed, analytical expressions for  $\bar{\Pi}_i$  have been computed for different contributions, such as the pseudo-scalar poles, pseudo-scalar and fermion box-diagrams, etc. [277, 281, 303].

The aim of this work is to first evaluate the proton-box contribution (Fig.8.2) to  $a_\mu^{\text{HLbL}}$ . For this purpose, we consider the following general nucleon-photon matrix element, consistently with Lorentz and gauge invari-

<sup>5</sup>This procedure ensures that the expressions are free of kinematic zeros and singularities, see [277, 303] for details.

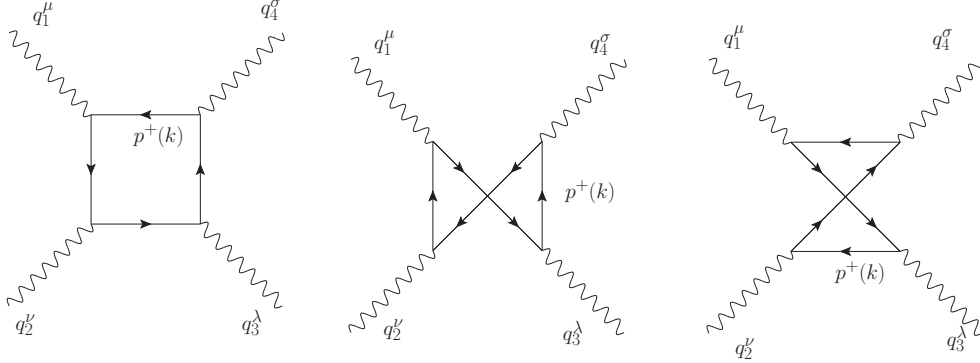


Figure 8.2: Feynman diagrams for light-by-light scattering induced by a proton-box loop (and the corresponding diagrams with exchanged fermion fluxes inside the loop).

ance, as well as  $\mathcal{P}$  and  $\mathcal{CP}$  conservation:

$$\begin{aligned} \langle p^+(P_2) | J_{e.m.}^\mu(q) | p^+(P_1) \rangle &= \bar{u}(P_2) \Gamma^\mu(q) u(P_1) \\ &= \bar{u}(P_2) \left( F_1(q^2) \gamma^\mu + i \frac{F_2(q^2)}{2M_p} \sigma^{\mu\nu} q_\nu \right) u(P_1), \quad (8.7) \end{aligned}$$

where  $F_{1,2}$  are the proton Dirac and Pauli form factors, respectively, and  $q = P_2 - P_1$ .

In this analysis, the dominant term in eq. (8.7), is the one proportional to  $F_1(k^2)$ . This arises from the additional  $q_\nu/M_p$  factor appearing in the tensor vertex, in such a way that, in the low momentum transfer regime (below 1 GeV), the tensor coupling behavior is significantly suppressed due to its momentum dependence, making it a valid approximation to consider only the vector coupling.<sup>6</sup> Conversely, at high photon virtuality (or even above 1 GeV), the asymptotical behavior of  $F_2(q^2)$  suppress this factor. In fact, in this case, also the  $F_1(q^2)$  and kernel functions are highly suppressed, making the contributions of this  $q^2$  region negligible. Indeed, due to the asymptotic constraints of the form factors  $F_1$  and  $F_2$  from p-QCD [314], which should behave as  $\sim Q^{-4}, Q^{-6}$ , respectively (satisfied by construction in both parametrizations employed in this work, as we discuss latter), the regime of high transferred momentum is free of divergences and we do not expect to

<sup>6</sup>This simplification is commonly taken, consistently in many other processes, such as electron-proton scattering in the same momentum transfer region. Similarly, in the proton-loop contribution to HVP, incorporating a non-zero  $F_2$  leads to a 0.02% modification of the central value, which remains significantly smaller than the current uncertainty (consistent with the results reported in ref. [264]).

have any significant error coming from this approximation <sup>7</sup>.

Therefore, as a suitable first approximation, we will work only with the vector coupling in eq. (8.7) as input for the scattering amplitude computation shown in Fig. 8.2. These considerations lead to the following form of the scalar functions required in the  $a_\mu^{\text{HLbL}}$  master integral:

$$\bar{\Pi}_i = F_1(Q_1^2)F_1(Q_2^2)F_1(Q_3^2) \frac{1}{16\pi^2} \int_0^1 dx \int_0^{1-x} dy I_i(Q_1, Q_2, \tau, x, y), \quad (8.8)$$

where, for completeness, we show the  $I_i$  functions in appendix K. The only difference between the above expression and the one reported in [281] (for the quark-box loop) are the presence of the vector form factors  $F_1(Q^2)$  and the absence of the  $N_C Q_q^4$  global quark-factor in the Feynman parameter integrals in eq. (8.8).

A preliminary analysis reveals that the integral kernel  $\sqrt{1-\tau^2} Q_1^3 Q_2^3 T_i \bar{\Pi}_i$ , <sup>8</sup> without accounting for any form factor, primarily contributes to the overall integral at low momentum transfers (below 1 GeV), as illustrated by the density plot in Fig. 8.3 for three different  $\tau$  values. Furthermore, the vector proton form factors term alone  $F_1(Q_1^2)F_1(Q_2^2)F_1(Q_3^2)$ , <sup>9</sup> acquires its maximum value within the same momenta region, as shown by the contour curves in the figure. Finally, due to the mismatch of the kernels and form factors maximum values, a significant decrease of the HME approximation result is expected to happen. We will delve deeper into this in following sections.

### 8.2.2 Proton Form Factors

In order to perform an accurate estimation of the first main contribution of the proton-box to  $a_\mu^{\text{HLbL}}$ , we compute numerically the master integral in eq. (8.6) taking into account two different descriptions of the form factors. The first one will be a data-driven approach [311], followed by a lattice QCD computation [309]. Both approaches fitted parametrizations to the electric ( $G_E$ ) and magnetic ( $G_M$ ) proton form factors data, which are related to the

<sup>7</sup>In this sense, we have:  $\Gamma^\mu(q)|_{q \rightarrow \infty} = \gamma^\mu A q^{-4} + i B \sigma^{\mu\nu} \hat{q}_\nu (2 q^5 M_p)^{-1}$ , with  $\hat{q}_\nu \equiv q_\nu/q$  a normalized four-vector and  $A$  and  $B$  being constants.

<sup>8</sup>The explicit dependence of  $T_i$  and  $\bar{\Pi}_i$  on  $Q_1, Q_2$  and  $\tau$  has been omitted and the Einstein sum notation is understood.

<sup>9</sup>Evaluated using the dependence on  $Q^2$  as a z-expansion (setup 1), see section 8.2.2 for details.

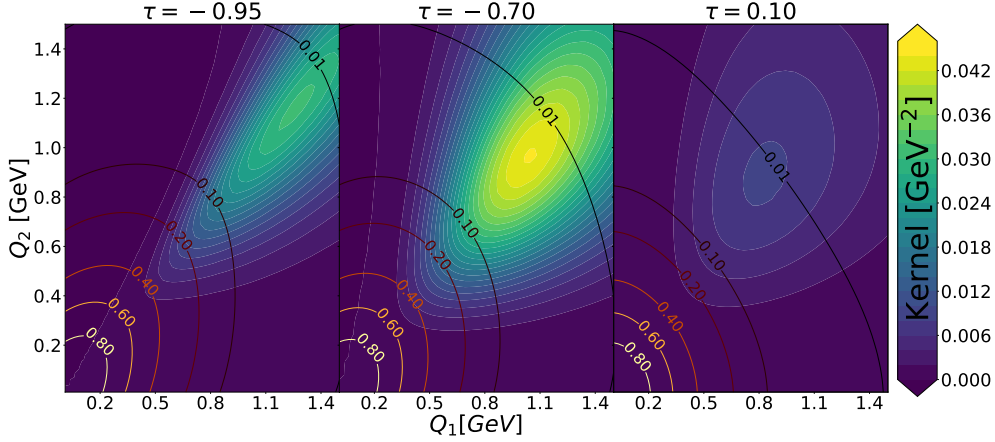


Figure 8.3: Integral kernel (density plot) versus form factors dependence (contour plot) at relevant different virtualities for the off-shell photons, as described in the text.

Dirac and Pauli basis by:

$$G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{4M_p^2} F_2(Q^2), \quad (8.9)$$

$$G_M(Q^2) = F_1(Q^2) + F_2(Q^2). \quad (8.10)$$

### Setup 1: Data-Driven Form Factors

First, we make use of the electric and magnetic form factors obtained in Ref. [311] after fitting the experimental data to a z-expansion parametrization of order 12 [315], where sum-rule constraints were applied on each form factor to warrant the asymptotic scaling  $G_{E,M} \sim Q^{-4}$  and the correct normalization at null photon virtuality.<sup>10</sup> Both systematic and statistical errors were addressed in the computation of this form factor, which was implemented in our work. In this way, the proton form factors can be written as:

$$G_E^{(p)}(Q^2), \frac{G_M^{(p)}(Q^2)}{\mu_p} = \sum_{i=0}^{12} a_i^{\{E,M\}} z^i, \quad (8.11)$$

<sup>10</sup>Other parametrizations, as the ones reported in Refs. [310, 316], have been considered for the  $a_\mu^{\text{p-box}}$  numerical evaluation, being which is consistent with the one used in this work within less than  $1\sigma$ .

where  $a_i$  are fitting parameters shown in table 8.2, and  $z$  is defined as follows:

$$z \equiv \frac{\sqrt{t_{\text{cut}} + Q^2} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} + Q^2} + \sqrt{t_{\text{cut}} - t_0}}, \quad (8.12)$$

with  $t_0 = -0.7 \text{ GeV}^2$ ,  $t_{\text{cut}} = 4m_\pi^2$  and the form factors normalization fixed by the proton's electric charge non-renormalization and magnetic moment in Bohr magneton units,  $G_E^p(0) = 1$  and  $G_M^p(0) = \mu_p = 2.793$ , in turn.

	E	M
$a_0^X$	0.239163298067	0.264142994136
$a_1^X$	-1.109858574410	-1.095306122120
$a_2^X$	1.444380813060	1.218553781780
$a_3^X$	0.479569465603	0.661136493537
$a_4^X$	-2.286894741870	-1.405678925030
$a_5^X$	1.126632984980	-1.356418438880
$a_6^X$	1.250619843540	1.447029155340
$a_7^X$	-3.631020471590	4.235669735900
$a_8^X$	4.082217023790	-5.334045653410
$a_9^X$	0.504097346499	-2.916300520960
$a_{10}^X$	-5.085120460510	8.707403067570
$a_{11}^X$	3.967742543950	-5.706999943750
$a_{12}^X$	-0.981529071103	1.280814375890

Table 8.2: z-expansion proton form factor fitted parameters, taken from Ref. [311].

## Setup 2: Lattice QCD Form Factors

A second approach, which is also worth to consider, is a lattice QCD motivated computation of  $a_\mu^{\text{p-box}}$ . In [309], the Lattice data for the form factors can be parametrized using a simple dipole approximation:<sup>11</sup>

$$G^{\{E,M\}}(Q^2) = G^{\{E,M\}}(0)/(1 + Q^2/\Lambda)^2, \quad (8.13)$$

where  $\Lambda$  is related to the electric and magnetic radii by  $\Lambda = 12/\langle r_{\{E,M\}}^2 \rangle$  and the normalization is  $G_E(0) = 1$  and  $G_M(0) = \mu_p$ . It is important to remark that this parametrization automatically fulfills the QCD-ruled

<sup>11</sup>A z-expansion was performed as well, but no significant difference was found with respect to the simple dipole approximation.

asymptotic behavior for large values of  $Q^2$ . The numerical values required in eq.(8.13) are shown in table 8.3, where the normalization at null photon virtuality is automatically fulfilled for the electric form factor by setting  $G_E^p(0) \rightarrow 1$ . As explained in [309], there is an underestimation of the electric r.m.s. radius, due to the slower decay of the electric form factor. Besides, the magnetic moment of the proton is undervalued, which could be caused by a combination of residual volume effects and multi-hadron contributions.

$\sqrt{\langle r_E^2 \rangle} [\text{fm}]$	$\sqrt{\langle r_M^2 \rangle} [\text{fm}]$	$\mu_p$
$0.742 \pm 0.013 \pm 0.023$	$0.710 \pm 0.026 \pm 0.086$	$2.43 \pm 0.09 \pm 0.04$

Table 8.3: Numerical values of eq.(8.13) according to Ref. [309]. The uncertainties stand for the statistic and systematic errors, respectively.

As we show in Fig.8.4, the z-expansion reported in [311] is in good agreement with the data set of  $G_M(Q^2)$  and  $G_E(Q^2)$  from [316] extracted from the world's data on elastic electron-proton scattering and calculations of two-photon exchange effects. We also compared the former fit and the one obtained from Lattice QCD results with a  $N_f = 2 + 1 + 1$  ensemble, reported in Ref. [309]. Finally, due to the small deviations between the two data sets, in the  $Q^2 < 1 \text{ GeV}^2$  region, it seems interesting to analyze both frameworks separately during the numerical evaluation of eq.(8.6).

### 8.2.3 Proton-Box Contribution

In order to obtain the explicit  $a_\mu^{\text{HLbL}}$  contribution via the master integral, we implemented a numerical evaluation, using the VEGAS algorithm [317,318].

For a first consistency test of the integration method, we reproduced all previously well-known results, being in complete agreement with all of them ( $\pi$ -pole,  $\pi$ -box, c-loop, etc.). Specifically, we corroborate that the use of the quark-loop scalar functions, without any form factors included, leads to the same result as the HME approximation for the proton case, getting a central value of  $9.4 \times 10^{-11}$  compared to the HME estimation of  $9.7 \times 10^{-11}$ .

Once the corresponding vector form factor  $F_1(Q^2)$  is included in the analysis, we get the following results for the different setups described above: <sup>12</sup>

$$a_\mu^{\text{p-box}} = 1.82(7) \times 10^{-12} \quad (\text{Setup 1}), \quad (8.14)$$

$$a_\mu^{\text{p-box}} = 2.38(16) \times 10^{-12} \quad (\text{Setup 2}), \quad (8.15)$$

<sup>12</sup>Using a different parametrization [310] of the same data, as the setup 1, a result of  $a_\mu^{\text{p-box}} = 1.79(5) \times 10^{-12}$  was found, consistent with the results using [311].

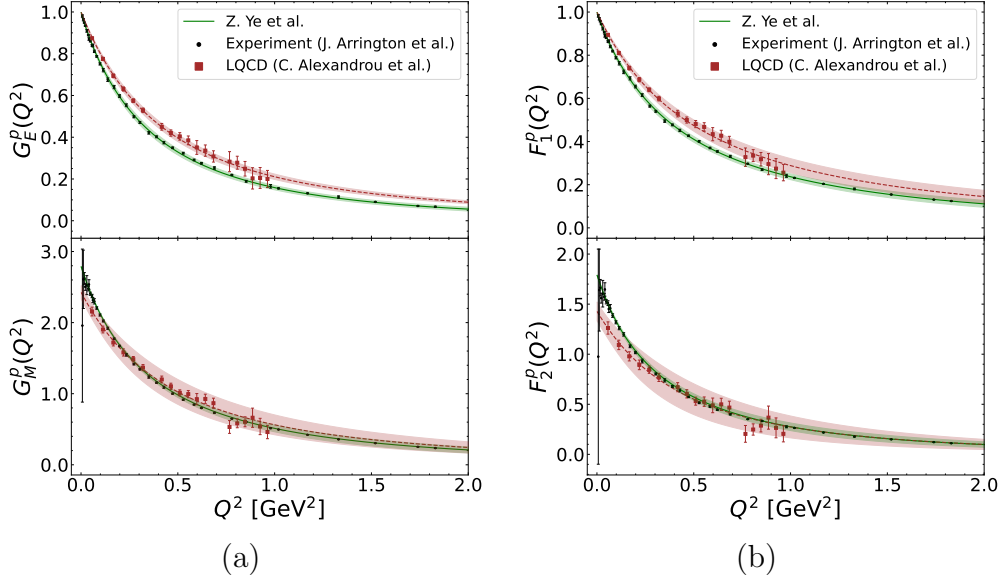


Figure 8.4:  $G_E$  and  $G_M$  ( $F_1$  and  $F_2$ ) proton form factors. In black we show the experimental points taken from Ref. [311], meanwhile, red dots correspond to the Lattice QCD results of Ref. [309].

where both the systematic and statistic uncertainties were considered in order to estimate the error for each setup.

As previously discussed, the numerical suppression observed in the final result, relative to the HME approximation, can be directly attributed to the behavior of the kernel and form factors, as shown in Fig. 8.3. In this regard, we highlight the behavior as function of  $\tau$  in three different regions:

- Close to  $\pm 1$ , the  $\sqrt{1 - \tau^2}$  factor suppresses the values of the integral kernel.
- As  $\tau$  increases,  $Q_3$  does as well, and the  $T_i$  decrease [277], causing the kernel to start diluting after its maximum value is reached, and to be almost negligible for positive values of  $\tau$ .
- The maximum values of the integration kernel appear in the range  $\tau \in [-0.85, -0.65]$ , as shown in the supplemental material of Ref. [253]. For this value of  $\tau$  the relevant region of the kernel and the effect of the form factors in the numerical evaluation of eq. (8.6) can be analyzed.

Indeed, the region where the integral kernel reaches its maximum contribution lies between the values of 0.1 and 0.01 of the form factor term,



$F_1(Q_1^2)F_1(Q_2^2)F_1(Q_3^2)$  for all values of  $\tau$ .<sup>13</sup> Since in the HME, the proton is considered a point-like particle, the integrand of eq. (8.6) is expected to be between 1 and 2 orders of magnitude smaller with respect to the structureless case. This discrepancy between the peak locations of the kernels and form factors provides a clear and consistent explanation for the numerical integration results.

In the case of setup 1, both errors were computed for  $F_1^p(Q^2)$  for each value of  $Q^2$  as discussed in [311], and these  $\Delta F_1^p(Q^2)$  were used for the error propagation of eq. (8.6) considering the structure of eq. (8.8) in terms of the form factors. For the setup 2, a numerical computation of the Jacobian matrix of eq. (8.6) within this setup was performed, and it was combined to obtain both the statistical and the systematic error by assuming a maximal correlation of the magnetic form factor parameters.<sup>14</sup>

Even though there is an underestimation of  $\mu_p$  using a lattice QCD form factor, the slower decay of  $G_E^p$  compared with the data-driven one, compensates for this, and it results in a higher  $F_1^p(Q^2)$  for the lattice QCD result, as explained in Ref. [309] and visible in Fig. 8.4. Consequently, the setup 2 result for  $a_\mu^{\text{p-box}}$  is larger than the one of the first setup. Therefore, in this work, we will adopt the data-driven  $a_\mu^{\text{p-box}}$  approximation as our central value, awaiting more precise lattice results anticipated in the near future.

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<sup>13</sup>Despite Fig. 8.3 results are presented for just three  $\tau$  values. An .mp4 file showing the same behavior for all the  $\tau$ -range is added as supplemental material of [253].

<sup>14</sup>The uncertainty associated with the numerical integration method is subleading, of order  $\mathcal{O}(10^{-15})$ .



# Chapter 9

## Summary and conclusions

Perhaps the most important goal of the theoretical and experimental research in high-energy physics today is to find signs of new particles or interactions beyond the SM, generically referred to as New Physics. In this thesis, we have analyzed various types of low-energy observables that allow us to investigate the properties of new physics, starting with the characterization of lepton number violating (LNV) processes. In the Standard Model (SM), lepton number is conserved as a global symmetry, so these transitions are strictly forbidden. Therefore, the observation of such an event in experiments would provide conclusive proof of the existence of new physics. We have explored the possibility that  $\Delta L = 2$  decays of light and heavy baryons are mediated by massive neutrinos across a wide mass range. Based on current experimental constraints on heavy–light neutrino mixing, in Refs. [46–48] we derived limits on the branching fractions of these transitions. In particular, we highlighted results for LNV four-body baryon decays, which constrain the new physics parameter space  $(m_N, V_{N\ell}V_{N\ell'})$ . With an expected branching ratio sensitivity of  $\mathcal{O}(10^{-8})$ , these constraints might compete with current limits from analogous processes involving resonant neutrinos in heavy meson and tau decays. We also studied the impact on the branching fraction by introducing a second heavy neutrino to the model, finding a significant suppression depending on the phase interference between the two heavy neutral lepton states. Given the large datasets of baryons collected at experiments such as LHC and BES-III, these systems represent promising tools for studying new physics in the neutrino sector in the near future.

On the other hand, LNV is not the only interesting phenomenon associated with massive neutrinos. The study of charged lepton flavor violation (cLFV) can also provide constraints on New Physics parameters. In Ref. [141], we computed the contribution of heavy Majorana neutrinos (with

masses around the TeV scale<sup>1</sup>) to the scattering process  $\mu^+\mu^+ \rightarrow \ell^+\ell^+$  (where  $\ell = e, \tau$ ), motivated by efforts to construct a muon collider in the future. In this analysis, we also considered the degeneration of two massive Majorana fields into a singlet Dirac fermion, a natural scenario in many extensions of the SM that attempt to reproduce current neutrino oscillation data. The aim of this research is to establish bounds on the sensitivity that such experiments could achieve in the future.

Rare decays and high-precision measurements offer additional avenues for searching for new physics. However, in such observables, SM contributions must be well understood in order to distinguish potential new physics effects. For this reason, we focused on computing next-to-leading-order effects in the second-class current (SCC) decay  $\tau^- \rightarrow \pi^- \eta^{(\prime)} \nu_\tau$ , one of the most relevant channels for constraining heavy new scalar interactions. In Ref. [196], we included isospin-breaking effects from electromagnetic interactions and found that QED corrections must be incorporated into the SM prediction once the uncertainty in the tree-level calculation is reduced by at least an order of magnitude.

Finally, regarding the muon  $g - 2$  anomaly, we computed for the first time the contribution of a proton-box diagram to the hadronic light-by-light (HLbL) term. Although this contribution is strongly suppressed by the momentum-transfer dependence of the proton's electromagnetic form factor, its impact on the central value of  $a_\mu^{\text{HLbL}}$ —our result being  $a_\mu^{\text{p-box}} = 1.82(7) \times 10^{-12}$ —is comparable to that of the kaon-box and scalar-pole contributions [254]. Furthermore, this analysis opens the door to investigating similar topologies with other baryons in the intermediate states.

In summary, searching for potential NP effects on low-energy observables requires a highly precise determination of the SM predictions, to discern any deviations between experimental measurements and theoretical expectations. Accordingly, the calculations carried out in this thesis enable us to evaluate the sensitivity of such observables in both current and future experiments, as well as to derive direct constraints on the relevant NP parameter space.

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<sup>1</sup>The contribution of light neutrinos with masses around the eV scale is suppressed by an analogue of the GIM mechanism.

# Chapter 10

## Work in progress

In this chapter, we elaborate on the work in progress that complements the doctoral research carried out in this thesis. First, we based on the studies presented in Ref. [201], where, in a LEFT analysis of the  $\tau^- \rightarrow \pi^- \eta^{(\prime)} \nu_\tau$  decays, the authors obtain strong constraints on hypothetical scalar interactions that contribute to such processes. We then analyze the impact of including the SM QED one-loop effects computed in Ref. [205] in a specific UV completion, where the NP couplings are not treated as independent coefficients (as in a LEFT perspective) but are instead related to each other by construction. This allows us to provide even strong constraints on the mass and coupling of the heavy NP under consideration.

In the same direction, within a LEFT approach, we can constrain the NP parameter space relevant to the three-body tau decay  $\tau \rightarrow (VP)^- \nu_\tau$ , with  $V$  ( $P$ ) denoting a vector (pseudoscalar) meson, in an analogous way to what was done for the two-pseudoscalar final state. Therefore, we study the effects of hypothetical tensor currents (computed in an  $R\chi PT$  framework) on the branching ratio, energy spectrum, and  $CP$  asymmetry of such decays.

Finally, in the neutrino sector, we are also interested in studying different aspects of their mass-generation mechanism. In this context, we explore the possibility of generating neutrino masses within a class of Grand Unified Theories known as trinification. We compute the corresponding expressions for their masses (when they exist) and investigate the phenomenology of these models at low-energy experiments.



# Appendix A

## Feynman rules for Majorana fermions

In this appendix, we present the Feynman rules for Majorana fermions, more details and the explicit derivation of the rules can be found in [139]. We consider the most general interaction between two fermions, either Dirac ( $\Psi$ ) or Majorana ( $\lambda$ ) fields with any scalar or vector field ( $\Phi$ ). The Dirac structures  $\Gamma_i = 1, \gamma_5, \gamma_\mu, \gamma_\mu \gamma_5, \sigma_{\mu\nu}$  transform under the charge conjugate operator as follows

$$\Gamma'_i = \mathbf{C} \Gamma_i^T \mathbf{C}^{-1} = \eta_i \Gamma_i, \quad (\text{A.1})$$

with

$$\eta_i = \begin{cases} 1 & \text{for } \Gamma_i = 1, i\gamma^5, \gamma^\mu \gamma^5 \\ -1 & \text{for } \Gamma_i = \gamma^\mu, \sigma^{\mu\nu} \end{cases}. \quad (\text{A.2})$$

For each diagram, we apply the following algorithmic rules:

1. Write all possible contributions to the amplitude for a given process
2. The presence of Majorana fields indeterminate the direction of the fermionic chain, therefore, it should be chosen an arbitrary orientation of the flow, for now we denote it with brown arrows.
3. Insert the appropriate analytic expression given in Fig. (A.1-A.3) for every element in the diagram, starting in an external leg and proceeding opposite to the chosen orientation.
4. Multiply by a factor  $(-1)$  for every closed loop.
5. Multiply by the permutation parity of the spinors in the obtained analytical expression with respect to some reference order.

Notice that the above rules must be independent of the direction of the chosen flow [139].

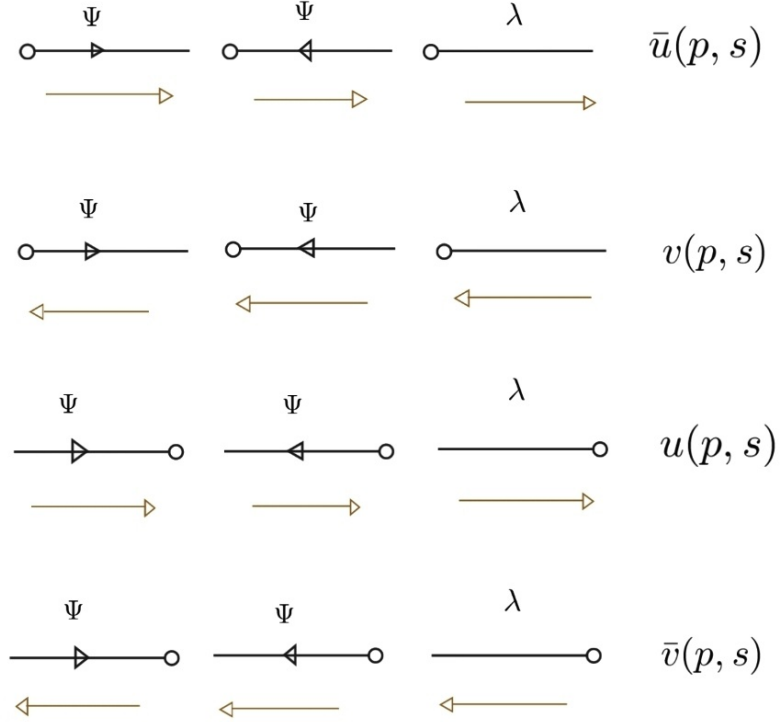


Figure A.1: Feynman rules for external fermion lines fixing fermionic flow (brown arrows).

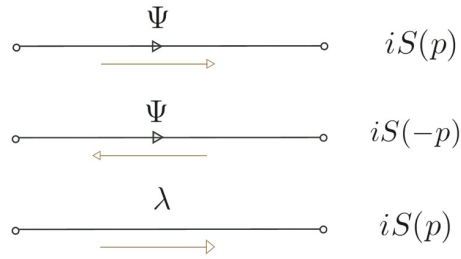


Figure A.2: Feynman rules for fermionic propagator for fixing fermionic flow (brown arrows), where  $s(p) = i(\not{p} - m)^{-1}$ .



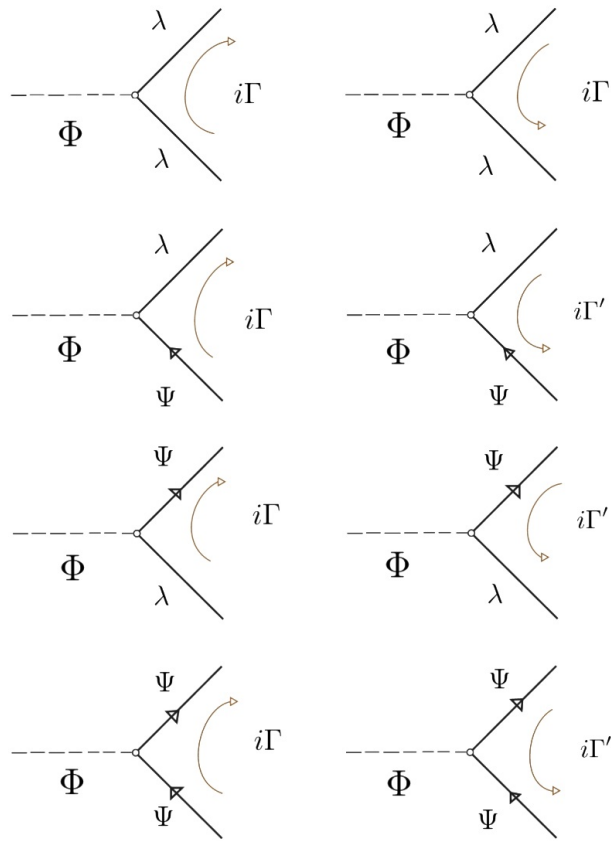


Figure A.3: Vertex Feynman rules for a fixing fermionic flow (brown arrows).



that

$$r_i = \sum_{j=1}^i p_j, \quad (i = 1, 2, \dots, n-1), \quad \text{and} \quad r_n = \sum_{k=1}^n p_k = 0. \quad (\text{B.3})$$

In order to illustrate the Feynman parametrization method, we will compute the case where  $N(k) = 1$  and  $n = 2$ . By combining the denominators it is possible to rewrite the product  $1/A_1 A_2$  as a common denominator using the Feynman parametrization given by

$$\frac{1}{A_1 A_2} = \int_0^1 dx \frac{1}{(xA_1 + (1-x)A_2)^2}. \quad (\text{B.4})$$

Thus, using  $A_1 = (k + p_1)^2 - m_1^2 + i\epsilon_1$  and  $A_2 = k^2 - m_2^2 + i\epsilon_2$  we have:

$$\begin{aligned} xA_1 + (1-x)A_2 &= x((k + p_1)^2 - m_1^2) + (1-x)(k^2 - m_2^2), \\ &= -m_2^2 - m_1^2 x + m_2^2 x + k \cdot k + 2xk \cdot p_1 + xp_1^2, \\ &= (k + l)^2 - M^2 + i\epsilon, \end{aligned} \quad (\text{B.5})$$

using the on-shell mass condition for the external momentums and taking  $l = xp_1$ ,  $M^2 = (1-x)m_2^2 + x^2 m_1^2$  and  $\epsilon = (1-x)\epsilon_2 + x\epsilon_1$ . Then, we can write

$$\begin{aligned} I &= \int \frac{d^d k}{(2\pi)^d} \frac{1}{(k^2 - m_2^2 + i\epsilon_2)((k + p_1)^2 - m_1^2 + i\epsilon_1)} \\ &= \int_0^1 dx \int \frac{d^d k}{(2\pi)^d} \frac{1}{[(k + l)^2 - M^2 + i\epsilon]^2}. \end{aligned} \quad (\text{B.6})$$

Now, taking  $k' = k + l$  we can rearrange eq. (B.6) as follows

$$I = \int_0^1 dx \int \frac{d^d k'}{(2\pi)^d} \frac{1}{[k'^2 - M^2 + i\epsilon]^2}. \quad (\text{B.7})$$

A general identity for eq. (B.4) can be found in ref. [320]

$$\frac{1}{A_1^{\alpha_1} A_2^{\alpha_2} \dots A_n^{\alpha_n}} = \frac{\Gamma(\alpha)}{\prod_i \Gamma(\alpha_i)} \int_0^1 dx_1 \dots dx_n \delta\left(1 - \sum x_i\right) \frac{x_1^{\alpha_1-1} \dots x_n^{\alpha_n-1}}{[\sum_i x_i A_i]^\alpha}, \quad (\text{B.8})$$

with  $\alpha = \alpha_1 + \dots + \alpha_n$ .

## B.2 Wick rotation

Notice that all the scalar loop-integrals

$$I_{r,m} = \int \frac{d^d k'}{(2\pi)^d} \frac{k'^{2r}}{(k'^2 - M^2 + i\epsilon)^m}, \quad (\text{B.9})$$

can be rewritten by splitting the integral over  $k'$  such that

$$I_{r,m} = \int \frac{d^{d-1}k'}{(2\pi)^d} \int_{-\infty}^{\infty} \frac{dk'_0}{[k'^2_0 - (|\vec{k}'|^2 - M^2 - i\epsilon)]^2}. \quad (\text{B.10})$$

Now, focusing first on the integral over  $k'_0$ , we proceed to evaluate it in an arbitrary path within the complex plane given by Fig. (B.2). As we know from complex analysis, the integration over both arcs are equal to zero when  $R \rightarrow \infty$ . Furthermore, the two poles of the integral are outside of the evaluation contour what let us write, by the Cauchy's theorem, the follows identity

$$\int_{-\infty}^{\infty} f(k') dk'_0 = \int_{-i\infty}^{i\infty} f(k') dk'_0. \quad (\text{B.11})$$

From the above equation we can change the integration from a real axis into

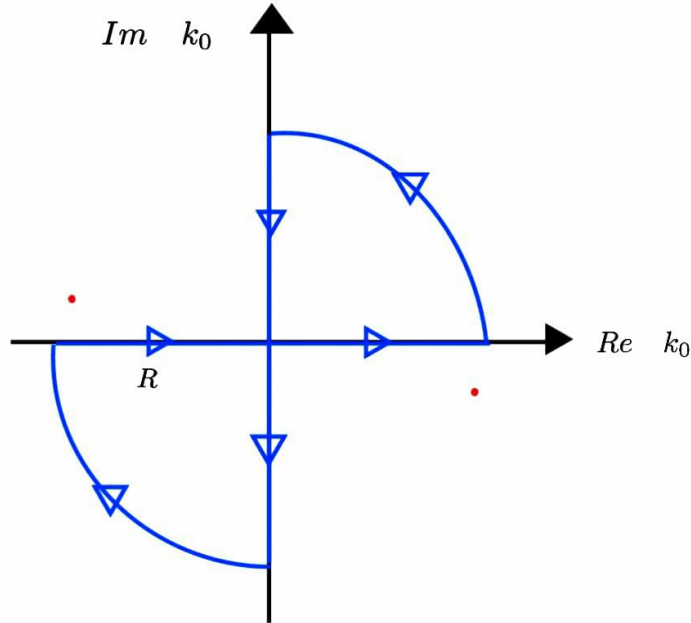


Figure B.2: Integration contour for  $k'_0$ . The red dots represent the two poles where  $k'_0 \approx \pm \left( \sqrt{|\vec{k}'|^2 - M^2 - i\epsilon} \right)$

an integral along the imaginary axis. Finally, if we use the change

$$k'_0 = ip_0, \quad \text{and} \quad \int_{-\infty}^{\infty} dk'_0 \rightarrow i \int_{-\infty}^{\infty} dp_0, \quad (\text{B.12})$$

we can rewrite eq. (B.9) as follows

$$I_{r,m} = \frac{i(-1)^{r-m}}{(2\pi)^d} \int \frac{p^{2r} d^d p}{[p^2 + M^2]^m}, \quad (\text{B.13})$$

where we defined  $p^\mu = (p_0, \vec{k}')$  as an euclidean vector with norm  $p^\mu p_\mu = |p|^2 = p^2 + |\vec{k}'|^2$ , this procedure is the so-called Wick rotation.

### B.3 Dimensional Regularization

Notice that all the previous discussion has been considered for the integration over  $d$  dimensions. For  $d = 4$  some of the loop integrals have an UV ( $|p| \rightarrow \infty$ ) divergent behavior, which can be regulated by considering the limit  $\epsilon \rightarrow 0$  in  $d = 4 - 2\epsilon$ . Let's illustrate this by considering eq. (B.13), here the hyper-volume element can be written as  $d^d p = p^{d-1} dp d\Omega_d$ . Then, the integration over the solid angle is given by

$$\int d\Omega_d = \frac{2\pi^{d/2}}{\Gamma(d/2)}, \quad (\text{B.14})$$

where  $\Gamma$  is the special Gamma function, further details are found in [319]. Thus, the scalar one loop-integral in eq. (B.13) can be written as follows

$$I_{r,m} = \frac{i(-1)^{r-m}}{(2\pi)^{d/2}} \frac{2^{1-d/2}}{\Gamma(d/2)} \int_0^\infty \frac{p^{2r+d-1} dp}{[p^2 + M^2]^m}. \quad (\text{B.15})$$

The solution of the above integral it is well know in the literature and is given by

$$\int_0^\infty \frac{x^p dx}{(x^2 + M^2)^l} = \frac{M^{1+p-l} \Gamma(l - \frac{1+p}{2}) \Gamma(\frac{1+p}{2})}{2\Gamma(l)}, \quad (\text{B.16})$$

as long as  $\text{Re}(M^2) > 0$  and  $l > (1+p)/2$ . Taking  $d = 4 - 2\epsilon$  it is easy to rearrange eq. (B.15) such that

$$I_{r,m} = \frac{i(-1)^{r-m}}{(2\pi)^{2-\epsilon/2}} \frac{2^{-1+\epsilon/2}}{\Gamma(2 - \frac{\epsilon}{2})} \frac{\Gamma(-2 + m - r + \frac{\epsilon}{2}) \Gamma(2 + r - \frac{\epsilon}{2})}{2\Gamma(m)} M^{-\epsilon+2r-2m+4}. \quad (\text{B.17})$$

For example, the above general formula can be match with eq. (B.7) by taking  $m = 2$  and  $r = 0$  such that

$$I_{0,2} = \frac{i}{16\pi^2} \left( \frac{4\pi}{M^2} \right)^{\epsilon/2} \Gamma(\epsilon/2). \quad (\text{B.18})$$

In the limit where  $\epsilon \rightarrow 0$  the  $\Gamma$  function can be expanded by [319]

$$\Gamma(x) = \frac{1}{x} - \gamma_E + \mathcal{O}(x^2); \quad \text{with } x \rightarrow 0, \quad (\text{B.19})$$

where  $\gamma_E$  is the Euler-Mascheroni constant, meanwhile for the second term of the eq. (B.18) we can take an expansion such that

$$x^\epsilon = 1 + \epsilon \ln(x) + \mathcal{O}(\epsilon^3). \quad (\text{B.20})$$

Finally, we can rearrange eq. (B.18) as follows

$$I_{0,2} = \frac{i}{16\pi^2} (\Delta - 2 \ln(M) + \mathcal{O}(\epsilon)), \quad (\text{B.21})$$

where  $\Delta$  is defined as  $\Delta \equiv \frac{2}{\epsilon} - \gamma_E + \log(4\pi)$ . As we can see, the divergent part of the loop integral has been isolated as a pole  $1/\epsilon$ , this is the so-called dimensional regularization method.

In this appendix, we provide the expressions for the self-energy (two points loop functions) and box integrals (4 points functions) appearing in previous chapters <sup>1</sup>.

- Self-energy integrals

$$\begin{aligned} I_{0,2} &= \frac{i}{16\pi^2} (\Delta_\epsilon - 2 \ln M); \\ I_2^\mu &= \frac{i}{16\pi^2} (-\Delta_\epsilon + 2 \ln M) l^\mu; \\ I_2^{\mu\nu} &= \frac{i}{32\pi^2} (M^2 g^{\mu\nu} (1 + \Delta_\epsilon - 2 \ln M) + 2(\Delta_\epsilon - 2 \ln M) l^\mu l^\nu), \end{aligned} \quad (\text{B.22})$$

with

$$l = xp_1; \quad M^2 = (1-x)m_2^2 + x^2 m_1^2. \quad (\text{B.23})$$

- Box integrals

$$\begin{aligned} I_{0,4} &= \frac{i}{16\pi^2} \frac{1}{6M^4}; \\ I_4^\mu &= -\frac{i}{16\pi^2} \frac{1}{6M^4} l^\mu; \\ I_4^{\mu\nu} &= -\frac{i}{16\pi^2} \frac{1}{6M^4} (M^2 g^{\mu\nu} - 2l^\mu l^\nu), \end{aligned} \quad (\text{B.24})$$

---

<sup>1</sup>An extensive list for the expressions of the loop integrals with  $m > 4$  can be found in [319]

with

$$\begin{aligned}
l^\mu &= (x_1 + x_2 + x_3)p_1^\mu + x_2p_2^\mu + x_3p_2^\mu + x_3p_3^\mu; \\
M^2 &= m_4^2 - m_4^2x_1 - m_1^2x_2 - m_4^2x_2 + m_2^2x_2^2 - m_1^2x_3 - m_2^2x_3 - m_4^2x_3 \\
&\quad + 2m_2^2x_2x_3 + m_2^2x_3^2 + m_3^2x_3^2 + m_1^2(x_1 + x_2 + x_3)^2 - 2x_2p_1 \cdot p_2 \\
&\quad - 2x_3p_1 \cdot p_2 + 2x_2(x_1 + x_2 + x_3)p_1 \cdot p_2 + 2x_3(x_1 + x_2 + x_3)p_1 \cdot p_2 \\
&\quad - 2x_3p_1 \cdot p_3 + 2x_3(x_1 + x_2 + x_3)p_1 \cdot p_3 - 2x_3p_2 \cdot p_3 + 2x_2x_3p_2 \cdot p_3 \\
&\quad + 2x_3^2p_2 \cdot p_3.
\end{aligned} \tag{B.25}$$

We remark that the previous box-type integrals are free of UV divergences.

For completeness we discuss briefly the alternatively cut-off procedure used in [112]. We illustrate this considering again eq. (B.15). For  $r = 0$ ,  $m = 2$  and taking  $d = 4$  directly we have that

$$I_{0,2} = \frac{i}{8\pi^2} \int_0^1 dx \int_0^\infty \frac{p^3 dp}{[p^2 + M^2]^2}. \tag{B.26}$$

As we can see,  $I_{0,2} \sim \int dp/p$  has a logarithmic divergent behavior for  $p \rightarrow \infty$ . This ultraviolet behavior can be controlled by considering an upper bound (cut-off) for  $p^2$ , in such a case eq. (B.26) converts into

$$I_{0,2} = \frac{i}{8\pi^2} \int_0^1 dx \int_0^{\Lambda_c} dp \frac{p^3}{[p^2 - M^2]^2}. \tag{B.27}$$

## B.4 Passarino-Veltman functions

In general, the integration using Feynman parametrization becomes tedious when we try to compute the integral over Feynman parameters in eqs. (B.22) and (B.25), but is a good way to identify and work with divergences. However, in order to compute numerical values of these integrals it is more convenient solving the problem using the scheme proposed by Passarino and Veltman in [321].

Scalar integrals are really important because they generate tensorial loop integrals by taking derivatives of the first ones. Then using the typical no-

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<sup>2</sup>Note that the cut-off procedure requires to assume physical considerations on the  $\Lambda_c$  scale. In reference [112], the value for  $\Lambda_c$  is related to the maximum distance length of the quarks inside the hyperons.

tation we name it as follow, redefining  $r_0 \equiv r_n = 0$  and  $m_0 \equiv m_n$

$$A_0(m_0) = \frac{(2\pi\mu)^\epsilon}{i\pi^2} \int d^d k \frac{1}{k^2 - m_0}; \quad (\text{B.28})$$

$$B_0(r_{10}^2, m_0^2, m_1^2) = \frac{(2\pi\mu)^\epsilon}{i\pi^2} \int d^d k \prod_{i=0}^1 \frac{1}{(k + r_i)^2 - m_i}; \quad (\text{B.29})$$

$$C_0(r_{10}^2, r_{12}^2, r_{20}^2, m_0^2, m_1^2, m_2^2) = \frac{(2\pi\mu)^\epsilon}{i\pi^2} \int d^d k \prod_{i=0}^2 \frac{1}{(k + r_i)^2 - m_i}; \quad (\text{B.30})$$

$$D_0(r_{10}^2, \dots, r_{13}^2, m_0^2, \dots, m_3^2) = \frac{(2\pi\mu)^\epsilon}{i\pi^2} \int d^d k \prod_{i=0}^3 \frac{1}{(k + r_i)^2 - m_i}. \quad (\text{B.31})$$

Finally, as we have seen in previous sections we can compute these integrals as explicit function of the external momentum, then, this integral can be expressed in terms of reduced function, in particular we can write  $B^\mu$  and  $D^\mu$  as follows

$$B^\mu = r_1^\mu B_1; \quad (\text{B.32})$$

$$D^\mu = \sum_{i=1}^3 r_i^\mu D_i. \quad (\text{B.33})$$

And their divergent parts can be written as:

$$\begin{aligned} \text{Div}[B_0(r_{10}^2, m_0^2, m_1^2)] &= m_0^2 \Delta, \\ \text{Div}[B_1(r_{10}^2, m_0^2, m_1^2)] &= -\frac{1}{2} \Delta, \end{aligned} \quad (\text{B.34})$$

$$\text{Div}[D_i] = 0. \quad (\text{B.35})$$



# Appendix C

## Phase space

*In this chapter, we describe the general  $N$ -body phase space integrals, explicitly computing the cases for  $N = \{2, 3, 4\}$  (see Refs. [156] for more details).*

### C.1 General definition

The  $N$ -body phase space is defined by all possible configurations of motion<sup>1</sup> in which the  $N$  particles can be distributed. Each point in this hypervolume is defined, in general, by the tree momentum of each particle having  $3N$  variables. However, due to energy-momentum conservation, there are only  $3N - 4$  independent variables that can be parametrized in different ways, as we explain in the following.

The most general description of the  $N$ -body phase space can be written as:

$$dPS_N = \epsilon \delta^4 \left( P^\mu - \sum_i^N p_i^\mu \right) \prod_i^N \frac{d^3 \vec{p}_i}{(2\pi)^3 2E_i}, \quad (\text{C.1})$$

taking  $p_i^\mu = (E_i, \vec{p}_i)$  as the 4-momentum of corresponding particle  $i$ ,  $P^\mu$  the total momentum of the system and defining

$$\epsilon \equiv \prod_k \frac{1}{n_k!}, \quad (\text{C.2})$$

a statistical factor that follows after considering  $n_k$  identical  $k$ -type particles. With all this, in general, the differential decay width of a particle into  $N$ -particles ( $A(p_0) \rightarrow B(p_1) + C(p_2) + \dots$ ) can be written as:

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<sup>1</sup>kinematically accessible, taking into account the energy-momentum law restrictions

$$d\Gamma = \frac{(2\pi)^4}{m_0} |\mathcal{M}|^2 dPS_N. \quad (\text{C.3})$$

with  $m_0$  the mass of the decaying particle.

Moreover, for the scattering of two-particles to  $N$  in the final state ( $A(p_a) + B(p_b) \rightarrow C(p_1) + B(p_2) + \dots$ ), the differential cross section (in the center of mass frame) is written as:

$$d\sigma = \frac{\epsilon(2\pi)^4}{4\sqrt{s} p_{\text{c.m.}}} |\mathcal{M}|^2 dPS_N, \quad (\text{C.4})$$

where  $s = (p_a + p_b)^2$  and  $p_{\text{c.m.}} = \lambda^{1/2}(s, m_a, m_b)/(2\sqrt{s})$  the tri-momentum of the initial states  $p_a = (E_a, \vec{p})$  and  $p_b = (E_b, -\vec{p})$ .

## C.2 Two-body phase space

Let us start by considering the case of  $N = 2$ , in such case:

$$dPS_2 = \epsilon \delta^4(P - (p_1 + p_2)) \frac{d^3\vec{p}_1}{(2\pi)^3 2E_1} \frac{d^3\vec{p}_2}{(2\pi)^3 2E_2}, \quad (\text{C.5})$$

where, in the center of mass of both particles we have:  $P^\mu = (\sqrt{s}, \vec{0})$  and  $\sqrt{s} = E_1 + E_2$ . Straightforward, after integrating over  $\vec{p}_2$  we will have:

$$dPS_2 = \frac{1}{4(2\pi)^6} \frac{|p|}{E_1 E_2} \delta(\sqrt{s} - E_1 - E_2) p^2 dp d\Omega_1, \quad (\text{C.6})$$

where we take  $d\vec{p}_1 = p^2 dp d\Omega$  in polar coordinates. Using the identity  $\delta(g(x)) = \delta(x - x_0)/|g(x_0)|$  with  $x_0$  a root of  $g$  and  $E_i = \sqrt{m_i^2 + p^2}$  we have:

$$\delta(\sqrt{s} - E_1 - E_2) = \frac{E_1 E_2}{p(E_1 + E_2)} \delta(p - \lambda^{1/2}(s, m_1^2, m_2^2)/(2\sqrt{s})), \quad (\text{C.7})$$

which lead to rewrite eq.(C.6) as follows

$$\begin{aligned} dPS_2 &= \frac{1}{4(2\pi)^6} \frac{p}{\sqrt{s}} \delta(p - \lambda^{1/2}(s, m_1^2, m_2^2)/(2\sqrt{s})) dp d\Omega_1 \\ &= \frac{1}{4(2\pi)^6} \frac{p}{\sqrt{s}} d\Omega_1 = \frac{1}{8(2\pi)^6} \frac{\lambda^{1/2}(s, m_1^2, m_2^2)}{s} d\Omega_1. \end{aligned} \quad (\text{C.8})$$

In such a way, for a two-body decay  $A(P) \rightarrow B(p_1) + C(p_2)$  (in the rest frame of the decaying particle), the total width follows

$$\Gamma = \epsilon \int \frac{(2\pi)^4}{2m_A} |\mathcal{M}(p_1, p_2)|^2 dPS_2 \quad (\text{C.9})$$

$$= \int \frac{\epsilon}{32\pi^2} \frac{p}{m_A^2} |\mathcal{M}(p_1, p_2)|^2 d\Omega, \quad (\text{C.10})$$

where  $\mathcal{M}$  is the amplitude of the process, which, in such case we are summing over the polarizations (i.e.  $\mathcal{M}$  do not depends on  $\Omega$ ) we have:

$$\Gamma = \frac{\epsilon}{8\pi} \frac{|p_1|}{m_A^2} |\mathcal{M}|^2. \quad (\text{C.11})$$

On the other hand, for a  $2 \rightarrow 2$  process, such as  $A(p_a) + B(p_b) \rightarrow C(p_1) + D(p_2)$ , the differential cross section can be written as:

$$d\sigma = \frac{\epsilon(2\pi)^4}{4\sqrt{s} p_{\text{c.m}}} |\mathcal{M}|^2 dPS_2 = \frac{\epsilon}{64\pi^2 s} |\mathcal{M}|^2 d\Omega, \quad (\text{C.12})$$

where  $s = (p_a + p_b)^2 = (p_1 + p_2)^2$  and  $d\Omega = d\cos\theta d\phi$ , defining  $\theta$  and  $\phi$  polar and azimuthal angle of  $\vec{p}_1$ , respectively, after considering the direction of  $p_a$  over the  $z$ -axis. Integrating over  $\phi$  (assuming azimuthal symmetry in  $\mathcal{M}$ ) we have:

$$\frac{d\sigma}{d\cos\theta} = \frac{\epsilon}{32\pi s} |\mathcal{M}|^2. \quad (\text{C.13})$$

Sometimes it is convenient to write the differential cross section in terms of Lorentz invariants, following by the definitions

$$t = (p_a - p_1)^2 = (p_b - p_2)^2, \quad (\text{C.14})$$

$$u = (p_a - p_2)^2 = (p_b - p_1)^2, \quad (\text{C.15})$$

with the relation  $s + t + u = m_a^2 + m_b^2 + m_1^2 + m_2^2$ . Therefore, we rewrite eq.(C.13)

$$\frac{d\sigma}{dt} = \frac{1}{64\pi s p^2} |\mathcal{M}|^2, \quad (\text{C.16})$$

where the invariant mass  $t$  is evaluated in the range:

$$\begin{aligned} t_{\max(\min)} &= m_a^2 + m_1^2 - \frac{(s - m_a^2 + m_b^2)(s - m_1^2 + m_2^2)}{2s} \\ &\pm \frac{\lambda^{1/2}(s, m_a^2, m_b^2)\lambda^{1/2}(s, m_1^2, m_2^2)}{2s}. \end{aligned} \quad (\text{C.17})$$

### C.3 Three-body Phase space

In the case of a three-body decay  $A(p_0) \rightarrow B(p_1) + C(p_2) + D(p_3)$ , the total number of independent variables for the phase space will be  $N = 2$  for an unpolarized amplitude. Therefore, the differential decay rate can be expressed as:

$$d\Gamma = \frac{1}{(2\pi)^2} \frac{1}{32m_A^3} |\mathcal{M}|^2 ds_{12} ds_{23}, \quad (\text{C.18})$$

taking the invariant mass  $s_{12} \equiv (p_1 + p_2)^2$  and  $s_{23} \equiv (p_2 + p_3)^2$ . The limits on  $s_{12}(s_{23})$  follows:

$$(m_B + m_C)^2 \leq s_{12} \leq (m_A - m_D)^2 \quad (\text{C.19})$$

$$s_{23}^{\min} \leq s_{23} \leq s_{23}^{\max} \quad (\text{C.20})$$

taking

$$s_{23}^{\{\min, \max\}} \equiv \frac{1}{2s_{12}} \left( 2(m_A^2 + m_C^2 - s_{12})s_{12} - (m_A^2 - m_B^2 - s_{12})(s_{12} - m_C^2 + m_D^2) \right. \\ \left. \mp \sqrt{\lambda(s_{12}, m_A^2, m_B^2)\lambda(s_{12}, m_C^2, m_D^2)} \right). \quad (\text{C.21})$$

### C.4 Four-body Phase space

Based on the Cabbibo representation, used for kaon transitions [322], we can parameterize the phase space of a Four-body decay ( $A(p_0) \rightarrow B(p_1) + C(p_2) + D(p_3) + E(p_4)$ ) by 5 independent variables (after summing over polarization), described as follows, see Fig. C.1:

- $s_{12}$  and  $s_{34}$ : The invariant mass of the system  $B - C$  and  $D - E$ , respectively, in the rest frame of the decaying particle.
- $\theta_1$  ( $\theta_3$ ): The angle between the three-momentum of the particle  $B(D)$  in the center of mass frame  $B - C(D - E)$  and the boost which connects the rest frame of the decaying particle and the center of mass frame of the corresponding system.
- $\phi$ : The angle between the two planes described by the  $(B - C)$  and  $(D - E)$  three-momenta, in the rest frame of the decaying particle.

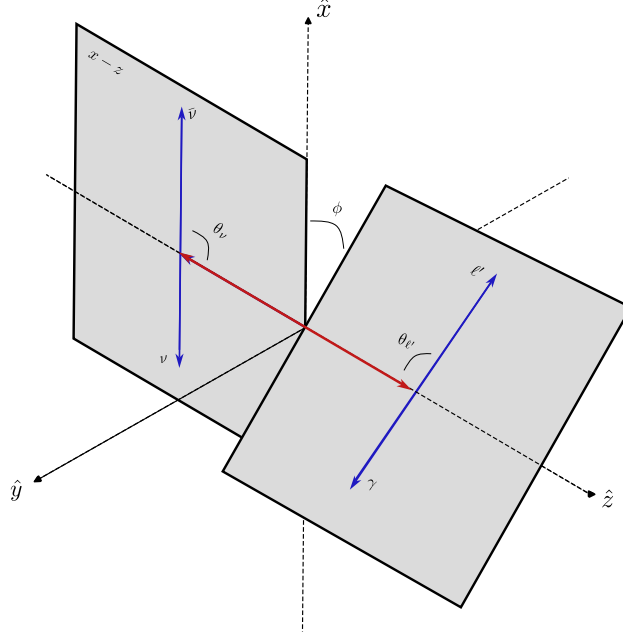


Figure C.1: Definition of the five independent variables in a four-body phase space.

Where the range of each variable is given by:

$$(m_1 + m_2)^2 < s_{12} < (M - m_3 - m_4)^2, (m_3 + m_4)^2 < s_{34} < (M - \sqrt{s_{12}})^2, \\ -1 < \cos \theta_1 < 1, \quad -1 < \cos \theta_3 < 1, \quad 0 < \phi < 2\pi. \quad (\text{C.22})$$

Finally, we can write the scalar products of the momenta  $p_i$  in terms the above definitions, in such way that:

$$r_{12} = \frac{1}{2}(s_{12} - m_1^2 - m_2^2), \quad r_{34} = \frac{1}{2}(s_{34} - m_3^2 - m_4^2), \quad (\text{C.23})$$

$$r_{13} = \frac{1}{4}(\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4), \quad r_{14} = \frac{1}{4}(\alpha_1 - \alpha_2 + \alpha_3 - \alpha_4), \quad (\text{C.24})$$

$$r_{23} = \frac{1}{4}(\alpha_1 + \alpha_2 - \alpha_3 - \alpha_4), \quad r_{24} = \frac{1}{4}(\alpha_1 - \alpha_2 - \alpha_3 + \alpha_4), \quad (\text{C.25})$$

$$r_{01} = \frac{1}{2}(\alpha_5 + \alpha_6), \quad r_{02} = \frac{1}{2}(\alpha_5 - \alpha_6), \quad (\text{C.26})$$

$$r_{03} = \frac{1}{2}(\alpha_7 + \alpha_8), \quad r_{04} = \frac{1}{2}(\alpha_7 - \alpha_8), \quad (\text{C.27})$$

$$\epsilon_{\mu\nu\lambda\rho} p_1^\mu p_2^\nu p_3^\lambda p_4^\rho = -\sqrt{s_{12}s_{34}} \beta_{12} \beta_{34} X \sin \theta_1 \sin \theta_3 \sin \phi, \quad (\text{C.28})$$

with the definitions

$$\alpha_1 = \frac{1}{2}(m_0^2 - s_{12} - s_{34}), \quad (\text{C.29})$$

$$\alpha_2 = X\beta_{34} \cos \theta_3 + \left( \frac{m_3^2 - m_4^2}{s_{34}} \right) \alpha_1, \quad (\text{C.30})$$

$$\alpha_3 = X\beta_{12} \cos \theta_1 + \left( \frac{m_1^2 - m_2^2}{s_{12}} \right) \alpha_1, \quad (\text{C.31})$$

$$\begin{aligned} \alpha_4 = & \left( \frac{m_1^2 - m_2^2}{s_{12}} \right) \left( \frac{m_3^2 - m_4^2}{s_{34}} \right) \alpha_1 + \left( \frac{m_1^2 - m_2^2}{s_{12}} \right) X\beta_{34} \cos \theta_3 \\ & + \left( \frac{m_3^2 - m_4^2}{s_{34}} \right) X\beta_{12} \cos \theta_1 + \beta_{12}\beta_{34} \left( \alpha_1 \cos \theta_1 \cos \theta_3 \right. \\ & \left. - \sqrt{s_{12}s_{34}} \sin \theta_1 \sin \theta_3 \cos \phi \right) \end{aligned} \quad (\text{C.32})$$

$$\alpha_5 = \frac{1}{2}(m_0^2 + s_{12} - s_{34}), \quad (\text{C.33})$$

$$\alpha_6 = (m_1^2 - m_2^2) \left( 1 + \frac{\alpha_1}{s_{12}} \right) + X\beta_{12} \cos \theta_1, \quad (\text{C.34})$$

$$\alpha_7 = \frac{1}{2}(m_0^2 - s_{12} + s_{34}), \quad (\text{C.35})$$

$$\alpha_8 = (m_3^2 - m_4^2) \left( 1 + \frac{\alpha_1}{s_{34}} \right) + X\beta_{34} \cos \theta_3, \quad (\text{C.36})$$

where we use the notation  $r_{ij} \equiv p_i \cdot p_j$  and taking

$$\lambda(a, b, c) = a^2 + b^2 + c^2 - 2(ab + bc + ac), \quad (\text{C.37})$$

$$\begin{aligned} X = \frac{\lambda(m_0^2, s_{12}, s_{34})^{1/2}}{2}, \quad \beta_{12} = \frac{\lambda(s_{12}, m_1^2, m_2^2)^{1/2}}{s_{12}}, \\ \beta_{34} = \frac{\lambda(s_{34}, m_3^2, m_4^2)^{1/2}}{s_{34}}. \end{aligned} \quad (\text{C.38})$$

Finally, the differential decay rate of the process, in the rest frame of the decaying particle, follows:

$$d^5\Gamma = \frac{NX\beta_{12}\beta_{34}}{4(4\pi)^6 M^3} |\overline{\mathcal{M}}|^2 ds_{12} ds_{34} d\cos\theta_1 d\cos\theta_3 d\phi, \quad (\text{C.39})$$

where  $\mathcal{M}$  the amplitude of the process in terms of  $s_{12}, s_{34}, \theta_1, \theta_3, \phi$ . Indeed, there are other ways to describe the same four-body phase-space in terms of different variables, for instance, the one reported by Kumar in Ref. [323]. However, these are equivalent descriptions of the same space and must lead to the same results.

# Appendix D

## One loop functions for $B_A^- \rightarrow B_B^+ \ell^- \ell^-$ amplitudes

The one loop functions are verified with package *Package-X* [324]. For completeness, we report the definition and decomposition of the two and four-point functions appearing in the computations described in the main text.

$$\frac{i}{16\pi^2} \{B_0, B_\mu\} (p_1^2, m_0^2, m_1^2) = \mu^{4-D} \int \frac{d^D q}{(2\pi)^D} \frac{\{1, q_\mu\}}{[q^2 - m_0^2][(q + p_1)^2 - m_1^2]}, \quad (\text{D.1})$$

$$\frac{i}{16\pi^2} \{D_0, D_\mu\} (\text{args}) = \mu^{4-D} \int \frac{d^D q}{(2\pi)^D} \frac{\{1, q_\mu\}}{\text{Den}}, \quad (\text{D.2})$$

defining

$$\text{Den} = [q^2 - m_0^2][(q + p_1)^2 - m_1^2][(q + p_2)^2 - m_2^2][(q + p_3)^2 - m_3^2], \quad (\text{D.3})$$

where  $D = 4 - 2\epsilon$  and taking

$$(\text{args}) = (p_{10}, p_{12}, p_{23}, p_{30}, p_{20}, p_{13}, m_0^2, m_1^2, m_2^2, m_3^2). \quad (\text{D.4})$$

The decomposition of the vectorial functions is given by

$$B_\mu(p_1^2, m_0^2, m_1^2) = p_{1\mu} B_1(p_1^2, m_0^2, m_1^2), \quad D_\mu(\text{args}) = \sum_{i=1}^3 p_{i\mu} D_i(\text{args}), \quad (\text{D.5})$$

with  $p_{ij} = (p_i - p_j)^2$ , and  $p_0 = 0$ .

Analytical expressions for the two point functions can be derived directly with *Package-X*. Then, the relevant factors appearing in eqs. (5.12) and

(5.13) are given by

$$C_{v0}^{\eta j} = \frac{i\kappa_{v-}(0)}{16\pi^2} \left[ \frac{1}{2t} (m_{\nu_j}^2 - m_\eta^2 + t) \log \left( \frac{m_\eta^2}{m_{\nu_j}^2} \right) + \Lambda(t, m_\eta^2, m_{\nu_j}^2) \right. \\ \left. + 2 - \log \left( \frac{m_\eta^2}{\mu^2} \right) + \Delta \right], \quad (\text{D.6})$$

$$C_{vA}^{\eta j} = -C_{v1}^{\eta j} = \frac{i\kappa_{v+}(0)}{16\pi^2} \left[ \frac{1}{4t^2} (2m_\eta^2 m_{\nu_j}^2 + 2m_{\nu_j}^2 t + t^2 - m_\eta^4 - m_{\nu_j}^4) \right. \\ \times \log \left( \frac{m_\eta^2}{m_{\nu_j}^2} \right) + \frac{1}{2t} (m_\eta^2 - m_{\nu_j}^2) (1 + \Lambda(t, m_\eta^2, m_{\nu_j}^2)) \\ \left. + \frac{1}{2} \Lambda(t, m_\eta^2, m_{\nu_j}^2) + 1 - \frac{1}{2} \log \left( \frac{m_\eta^2}{\mu^2} \right) + \frac{\Delta}{2} \right], \quad (\text{D.7})$$

with  $\Delta = \frac{1}{\epsilon} - \gamma_E + \log(4\pi)$ ,  $\Lambda(a, b, c) = \frac{\lambda(a, b, c)}{2a} \int_0^1 dx [ax^2 + (b+c-a)x + b]^{-1}$ , and  $\lambda$  is the so-called Källén function. In the limit  $m_{\nu_j} \rightarrow 0$ , useful for the results in the *scenario A*, the above expressions reduce to

$$C_{v0}^{\eta 0} = \frac{i\kappa_{v-}(0)}{16\pi^2} \left[ 2 - \frac{(t - m_\eta^2)}{t} \log \left( 1 - \frac{t}{m_\eta^2} \right) - \log \left( \frac{m_\eta^2}{\mu^2} \right) + \Delta \right], \quad (\text{D.8})$$

$$C_{vA}^{\eta 0} = -C_{v1}^{\eta 0} = \frac{i\kappa_{v+}(0)}{16\pi^2} \left[ 1 + \frac{m_\eta^2}{t} - \frac{(t^2 - m_\eta^4)}{2t^2} \log \left( 1 - \frac{t}{m_\eta^2} \right) \right. \\ \left. - \frac{1}{2} \log \left( \frac{m_\eta^2}{\mu^2} \right) + \frac{\Delta}{2} \right]. \quad (\text{D.9})$$

Cumbersome analytical expressions in terms of Log and Dilog functions are obtained for the factors in eqs. (5.14) and (5.17) in the type-pole approximation. We have evaluated them numerically with the help of the package *Collier* [325].

Finally, the contribution of the diagram obtained from the exchange of final charged leptons in (5.9) is given by replacing the leptonic and hadronic



currents with the following:

$$L_2^{\alpha\beta} \equiv \bar{u}(p_1) \gamma^\alpha (1 - \gamma_5) \gamma^\beta v(p_2), \quad (\text{D.10})$$

$$\begin{aligned} H_{2\alpha\beta} = \sum_{\eta,j} m_{\nu_j} U_{\ell_1 j} U_{\ell_2 j} \Big\{ & \bar{u}(p_B) \gamma_\alpha \left[ (C_{2v0}^{\eta j} + C_{2a0}^{\eta j} \gamma_5) m_\eta + (C_{2v1}^{\eta j} + C_{2a1}^{\eta j} \gamma_5) \not{p}_1 \right. \\ & \left. + (C_{2v2}^{\eta j} + C_{2a2}^{\eta j} \gamma_5) \not{p}_2 + (C_{2vA}^{\eta j} + C_{2aA}^{\eta j} \gamma_5) \not{p}_A \right] \gamma_\beta u(p_A) \Big\}. \end{aligned} \quad (\text{D.11})$$

The  $C_{2vr}^{\eta j}$  and  $C_{2ar}^{\eta j}$  functions can be obtained from those reported in section 5.1.2 with the replacements:

$$\begin{aligned} C_{2v0} &= C_{1v0}(t \leftrightarrow u), & C_{2a0} &= C_{1a0}(t \leftrightarrow u), \\ C_{2vA} &= C_{1vA}(t \leftrightarrow u), & C_{2aA} &= C_{1aA}(t \leftrightarrow u), \\ C_{2v1} &= C_{1v2}(t \leftrightarrow u), & C_{2a1} &= C_{1a2}(t \leftrightarrow u), \\ C_{2v2} &= C_{1v1}(t \leftrightarrow u), & C_{2a2} &= C_{1a1}(t \leftrightarrow u). \end{aligned} \quad (\text{D.12})$$

## Appendix E

### Analytical expression for $B_A^{\pm,0} \rightarrow B_B^{0,\mp} \ell^\pm \ell^\pm \pi^\mp$ amplitudes

Following the Feynman rules for fermion number-violating interactions reported in Ref. [139], the contribution of the second diagram with the charged leptons interchanged in Fig. 5.4(a) is given by

$$\mathcal{M}_2 = \left( \frac{G V_{\ell_1 N} V_{\ell_2 N} f_\pi m_N}{a_2 + i\Gamma_N m_N} \right) \ell_{\nu\mu}(p_1, p_2) H^\mu(p_B, p_A) p_\pi^\nu, \quad (\text{E.1})$$

with  $a_2 \equiv (p_A - p_B - p_2)^2 - m_N^2$ . Therefore,  $\mathcal{M} = \mathcal{M}_1 + \mathcal{M}_2$  can be written as follows

$$\begin{aligned} \mathcal{M} &= G V_{\ell_1 N} V_{\ell_2 N} f_\pi m_N H^\mu(p_B, p_A) p_\pi^\nu \\ &\times \bar{u}(p_1) \left( \frac{\gamma_\mu \gamma_\nu}{a_1 + i\Gamma_N m_N} + \frac{\gamma_\nu \gamma_\mu}{a_2 + i\Gamma_N m_N} \right) (1 + \gamma_5) v(p_2). \end{aligned} \quad (\text{E.2})$$

The total amplitude squared is given by

$$|\overline{\mathcal{M}}|^2 = \frac{1}{2} \sum_{\text{spins}} |\mathcal{M}|^2 = \frac{1}{2} \sum_{\text{spins}} \left( |\mathcal{M}_1|^2 + |\mathcal{M}_2|^2 + 2\text{Re}[\mathcal{M}_1 \mathcal{M}_2^\dagger] \right), \quad (\text{E.3})$$

where the individual contributions can be written as follows

$$\begin{aligned} |\overline{\mathcal{M}}_1|^2 &= \frac{1}{2} \sum_{\text{spins}} |\mathcal{M}_1|^2 = \frac{1}{2} \frac{(G V_{\ell_1 N} V_{\ell_2 N} f_\pi m_N)^2 A}{(a_1^2 + \Gamma_N^2 m_N^2)} \\ |\overline{\mathcal{M}}_2|^2 &= \frac{1}{2} \sum_{\text{spins}} |\mathcal{M}_2|^2 = \frac{1}{2} \frac{(G V_{\ell_1 N} V_{\ell_2 N} f_\pi m_N)^2 B}{(a_2^2 + \Gamma_N^2 m_N^2)}, \end{aligned} \quad (\text{E.4})$$

while the interference term

$$\begin{aligned} \mathcal{M}_1 \mathcal{M}_2^\dagger &= (G V_{\ell_1 N} V_{\ell_2 N} f_\pi m_N)^2 (C_1 + iC_2) \\ &\times \frac{[a_1 a_2 + \Gamma_N^2 m_N^2 + i(a_1 - a_2)] \Gamma_N m_N}{(a_1^2 + \Gamma_N^2 m_N^2)(a_2^2 + \Gamma_N^2 m_N^2)}, \end{aligned} \quad (\text{E.5})$$

with the  $A$ ,  $B$ ,  $C_1$ , and  $C_2$  functions given by:

$$A = 64[f_1^2(q^2)\xi_1 + g_1^2(q^2)\xi_2 + f_1(q^2)g_1(q^2)\xi_3], \quad (\text{E.6})$$

$$B = A(p_1 \leftrightarrow p_2), \quad (\text{E.7})$$

$$\begin{aligned} C_1 &= 64[f_1^2(q^2)\xi_4 + g_1^2(q^2)\xi_5 + f_1(q^2)g_1(q^2)\xi_6] \\ C_2 &= 64\epsilon_{\mu\nu\lambda\rho} p_B^\mu p_1^\nu p_2^\lambda p_\pi^\rho [-(f_1^2 + g_1^2)(r_{A\pi} + r_{B\pi}) \\ &\quad + f_1(q^2)g_1(q^2)2(r_{1\pi} + r_{2\pi})], \end{aligned} \quad (\text{E.8})$$

and the following definitions

$$\begin{aligned} \xi_1 &= m_A m_B (m_\pi^2 r_{12} - 2r_{1\pi} r_{2\pi}) - m_\pi^2 (r_{A1} r_{B2} + r_{A2} r_{B1}) \\ &\quad + 2r_{2\pi} (r_{A1} r_{B\pi} + 2r_{A\pi} r_{B1}), \end{aligned} \quad (\text{E.9})$$

$$\xi_2 = \xi_1 - 2m_A m_B (m_\pi^2 r_{12} - 2r_{12} r_{2\pi}), \quad (\text{E.10})$$

$$\xi_3 = 2[m_\pi^2 (r_{A2} r_{B1} - r_{A1} r_{B2}) + 2r_{2\pi} (r_{A1} r_{B\pi} - r_{A\pi} r_{B1})], \quad (\text{E.11})$$

$$\begin{aligned} \xi_4 &= -2m_A m_B r_{1\pi} r_{2\pi} + m_\pi^2 (r_{12} r_{AB} - r_{A1} r_{B2} - r_{A2} r_{B1}) \\ &\quad - 2r_{12} r_{A\pi} r_{B\pi} + r_{1\pi} (r_{A2} r_{B\pi} + r_{A\pi} r_{B2}) + r_{2\pi} (r_{A1} r_{B\pi} + r_{A\pi} r_{B1}) \end{aligned} \quad (\text{E.12})$$

$$\xi_5 = \xi_4 - 2m_A m_B (m_\pi^2 r_{12} - 2r_{1\pi} r_{2\pi}), \quad (\text{E.13})$$

$$\xi_6 = 2[r_{1\pi} (r_{A2} r_{B\pi} - r_{A\pi} r_{B2}) + r_{2\pi} (r_{A1} r_{B\pi} - r_{A\pi} r_{B1})]. \quad (\text{E.14})$$

In the above expression, the scalar product of the external momentum are given by in terms of the five independent variables described in detail in App. C where each of the independent planes in Fig.C.1 are defined from the  $B-1$  and  $2-\pi$  subsystems.

## E.1 Comparison with direct narrow width approximation computation

For completeness and as a cross-check of our computation, we have verified that for the cases where we can distinguish the flavor of the charged lepton created as a product of the decay of the resonant state or the channels with two identical external charged leptons, the results using the Single-Diagram-Enhanced multi-channel integration method can be reproduced by applying directly the narrow width approximation.

$$\text{BR}(B_A \rightarrow B_B \ell_1^- \ell_2^- \pi^+) = \text{BR}(B_A \rightarrow B_B \ell_1^- N) \times \Gamma(N \rightarrow \ell_2^- \pi^+) \tau_N / \hbar, \quad (\text{E.15})$$

where  $\tau_N$  is the lifetime of the intermediate neutrino state. In this case, the partial decay width  $\Gamma(N \rightarrow \ell_2^- \pi^+)$  can be computed straightforwardly by [63]:

$$\Gamma(N \rightarrow \ell_2^- \pi^+) = \frac{G_F^2}{16\pi} |V_{ud}|^2 |V_{\ell_2 N}|^2 f_\pi^2 m_N \lambda^{\frac{1}{2}}(m_N^2, m_{\ell_2}^2, m_\pi^2) \times [(1 - x_{\ell_2})^2 - x_\pi(1 + x_{\ell_2})], \quad (\text{E.16})$$

with  $x_y \equiv m_y^2/m_N^2$ ,  $\lambda$  is the Källén function defined previously, and  $f_\pi$  is the pion decay constant. Regarding the subprocess  $B_A(p_A) \rightarrow B_B(p_B) \ell_1^-(p_1) N(p_N)$  in Eq. (E.15), the amplitude is given by

$$\mathcal{M}(B_A \rightarrow B_B \ell_1^- N) = -\frac{G_F}{\sqrt{2}} V_{us} V_{\ell_1 N} \langle B_B(p_B) | J_\mu | B_A(p_A) \rangle L^\mu, \quad (\text{E.17})$$

with  $J_\mu$  hadronic previously defined in Eq. (5.48), and the leptonic current defined as follows

$$L^\mu \equiv \bar{u}(p_1) \gamma^\mu (1 - \gamma_5) v(p_N). \quad (\text{E.18})$$

The squared amplitude of Eq. (E.17) is given by

$$|\mathcal{M}|^2 = \mathcal{M} \mathcal{M}^\dagger = 32 G_F^2 |V_{us}|^2 |V_{\ell_1 N}|^2 \left[ m_A m_B (g_1^2(q^2) - f_1^2(q^2)) + (f_1(q^2) - g_1(q^2))^2 (p_1 \cdot p_B)(p_A \cdot p_N) + (f_1(q^2) + g_1(q^2))^2 (p_1 \cdot p_A)(p_B \cdot p_N) \right]. \quad (\text{E.19})$$

Now, by defining  $s_{1N} \equiv (p_1 + p_N)^2$  and  $s_{1B} \equiv (p_1 + p_B)^2$ , the branching ratio can be expressed in terms of these two Lorentz invariants as follows

$$\text{BR}(B_A \rightarrow B_B \ell_1^- N) = \frac{G_F^2 |V_{us}|^2 |V_{\ell_1 N}|^2}{64\pi^3 m_A^3 \Gamma_{B_A}} \times \int_{s_{1B\min}}^{s_{1B\max}} \int_{s_{1N\min}}^{s_{1N\max}} \mathcal{F}(s_{1B}, s_{1N}) ds_{1N} ds_{1B}, \quad (\text{E.20})$$

where

$$\begin{aligned} \mathcal{F}(s_{1B}, s_{1N}) = & 2m_A m_B (s_{1N} - m_N^2 - m_1^2) \left[ \frac{g_1^2(0)}{(1 - \frac{s_{1N}}{m_{d_g}^2})^4} - \frac{f_1^2(0)}{(1 - \frac{s_{1N}}{m_{d_f}^2})^4} \right] \\ & + (s_{1B} - m_B^2 - m_1^2) (m_A^2 + m_N^2 - s_{1B}) \left[ \frac{f_1(0)}{(1 - \frac{s_{1N}}{m_{d_f}^2})^2} - \frac{g_1(0)}{(1 - \frac{s_{1N}}{m_{d_g}^2})^2} \right]^2 \\ & + (m_1^2 + m_A^2 - s_{1B} - s_{1N}) (s_{1B} + s_{1N} - m_N^2 - m_B^2) \\ & \times \left[ \frac{f_1(0)}{(1 - \frac{s_{1N}}{m_{d_f}^2})^2} + \frac{g_1(0)}{(1 - \frac{s_{1N}}{m_{d_g}^2})^2} \right]^2, \end{aligned} \quad (\text{E.21})$$

and the phase-space integration limits are

$$s_{1B}^\pm = m_A^2 + m_1^2 - s_{1N} - \frac{1}{2s_{1N}} \left[ (m_A^2 - m_B^2 - s_{1N})(s_{1N} - m_1^2 + m_2^2) \right. \\ \left. \pm \sqrt{\lambda(m_A^2, m_B^2, s_{1N})\lambda(s_{1N}, m_1^2, m_2^2)} \right], \quad (\text{E.22})$$

and

$$(m_1 + m_N)^2 \leq s_{1N} \leq (m_A - m_B)^2. \quad (\text{E.23})$$

Finally, the numerical values (central values) for the masses, lifetimes, and CKM elements used in our numerical analysis are reported in [59].

# Appendix F

## $B_A^{0,-} \rightarrow B_B^{-,0}$ weak transitions form factors

Here, we summarize the form factors used in the calculation of the baryon decays, considering the light-front quark model. The form factors  $f_3$  and  $g_3$  are not obtained, due to the method used, and set to zero, which is valid in the heavy quark limit.

### F.1 $\Xi_b \rightarrow \Xi_c$

For this transition, we rely on Ref. [133]. The form factors  $f_i$  and  $g_i$  in Eq. (5.47) are written as

$$\begin{aligned} f_i &= \cos^2\theta f_i^s + \sin^2\theta f_i^v, \\ g_i &= \cos^2\theta g_i^s + \sin^2\theta g_i^v, \end{aligned} \quad (\text{F.1})$$

where the superscripts  $s$  and  $v$  refer to transitions between particles with isospin 0 and 1, respectively. The mixing angle was fitted to be about  $16.27^\circ \pm 2.30^\circ$  or  $85.54^\circ \pm 2.30^\circ$  ( $16.27^\circ$  is used in [133]).

The energy dependence of  $f_i^s, g_i^s, f_i^v$  and  $g_i^v$  ( $i = 1, 2$ ) form factors are parameterized, in a polynomial form:

$$F(q^2) = F(0) \left[ 1 + a \left( \frac{q^2}{M_{B_i}^2} \right) + b \left( \frac{q^2}{M_{B_i}^2} \right)^2 \right], \quad (\text{F.2})$$

where  $F(0)$  is the form factor at  $q^2 = 0$ ,  $a$  and  $b$  are two parameters to be fitted from numerical results and  $M_{B_i}$  is the mass of the initial baryon. The numerical values of the parameters  $F(0)$ ,  $a$  and  $b$  are given in Table F.1.

F	F(0)	$a$	$b$
$f_1^s$	0.467	2.19	2.09
$f_2^s$	-0.185	2.70	3.01
$g_1^s$	0.448	2.09	1.92
$g_2^s$	-0.052	3.32	4.54
$f_1^v$	0.471	2.57	2.40
$f_2^v$	0.378	2.56	2.81
$g_1^v$	-0.149	2.07	2.00
$g_2^v$	-0.006	2.95	2.98

Table F.1: Parameters on the form factor Eq. (F.2), for the transition  $\Xi_b \rightarrow \Xi_c$ . Taken from Ref. [133].

## F.2 $\Sigma_b \rightarrow \Sigma_c$ and $\Lambda_b \rightarrow \Lambda_c$

For these transitions, we rely on Ref. [132]. A pole form was employed to parameterize the energy dependence of the form factors  $f_i$  and  $g_i$  in Eq. (5.47)

$$F(q^2) = \frac{F(0)}{\left(1 - \frac{q^2}{M_{B_i}^2}\right) \left[1 - a \left(\frac{q^2}{M_{B_i}^2}\right) + b \left(\frac{q^2}{M_{B_i}^2}\right)^2\right]}, \quad (\text{F.3})$$

where  $M_{B_i}$  is the mass of the initial baryon. The numerical values of  $F(0)$ ,  $a$  and  $b$  are given in Tables F.2 and F.3 for the  $\Sigma_b \rightarrow \Sigma_c$  and  $\Lambda_b \rightarrow \Lambda_c$ , respectively.

F	F(0)	$a$	$b$
$f_1$	0.494	1.73	1.40
$f_2$	0.407	1.03	0.830
$g_1$	-0.156	1.03	0.355
$g_2$	-0.0529	1.58	2.74

Table F.2: Parameters of the  $\Sigma_b \rightarrow \Sigma_c$  form factors (Eq. (F.3)). Taken from Ref. [132].

F	F(0)	$a$	$b$
$f_1$	0.488	1.04	0.38
$f_2$	-0.180	1.71	0.58
$g_1$	0.470	0.953	0.361
$g_2$	-0.0479	2.06	0.89

Table F.3: Parameters of the  $\Lambda_b \rightarrow \Lambda_c$  form factors (Eq. (F.3)). Taken from Ref. [132].

### F.3 Remaining weak transitions

For the following transitions, we rely on Ref. [134]:

$$\begin{aligned}
\Lambda_b^0(bud) &\rightarrow p( uud) , \\
\Xi_b^0(bus) &\rightarrow \Sigma^+(uus) , \\
\Xi_b^-(bds) &\rightarrow \Sigma^0(uds)/\Lambda(uds) , \\
\Omega_b^-(bss) &\rightarrow \Xi^0(uss)/\Omega_c^0(css) .
\end{aligned}$$

The following parameterization was used to describe the  $q^2$  distributions of the form factors in Eq. (5.47):

$$F(q^2) = \frac{F(0)}{1 - \frac{q^2}{m_{\text{fit}}^2} + \delta \left( \frac{q^2}{m_{\text{fit}}^2} \right)^2} , \quad (\text{F.4})$$

where  $F(0)$  is the form factor at  $q^2 = 0$ .  $m_{\text{fit}}$  and  $\delta$  are two parameters to be fitted from numerical results. In Table F.4, the numerical values for the parameters are collected.

The physical transition form factors should be multiplied by the corresponding overlap factor (overlap of wave functions in the initial and final states) given in Table F.5.



F	F(0)	$m_{\text{fit}}$	$\delta$	F	F(0)	$m_{\text{fit}}$	$\delta$
$f_1^{\Lambda_b^0 \rightarrow p}$	0.282	4.66	0.30	$f_2^{\Lambda_b^0 \rightarrow p}$	-0.084	3.94	0.37
$g_1^{\Lambda_b^0 \rightarrow p}$	0.273	4.81	0.32	$g_2^{\Lambda_b^0 \rightarrow p}$	-0.012	3.67	0.37
$f_1^{\Xi_b^0 \rightarrow \Sigma^+}$	0.260	4.46	0.34	$f_2^{\Xi_b^0 \rightarrow \Sigma^+}$	-0.086	3.84	0.40
$g_1^{\Xi_b^0 \rightarrow \Sigma^+}$	0.251	4.60	0.36	$g_2^{\Xi_b^0 \rightarrow \Sigma^+}$	-0.012	3.56	0.41
$f_1^{\Xi_b^- \rightarrow \Sigma^0}$	0.260	4.46	0.34	$f_2^{\Xi_b^- \rightarrow \Sigma^0}$	-0.086	3.84	0.40
$g_1^{\Xi_b^- \rightarrow \Sigma^0}$	0.251	4.60	0.36	$g_2^{\Xi_b^- \rightarrow \Sigma^0}$	-0.012	3.56	0.41
$f_1^{\Xi_b^- \rightarrow \Lambda}$	0.260	4.46	0.34	$f_2^{\Xi_b^- \rightarrow \Lambda}$	-0.086	3.84	0.40
$g_1^{\Xi_b^- \rightarrow \Lambda}$	0.251	4.60	0.36	$g_2^{\Xi_b^- \rightarrow \Lambda}$	-0.012	3.56	0.41
$f_1^{\Omega_b^- \rightarrow \Xi^0}$	0.169	3.30	0.64	$f_2^{\Omega_b^- \rightarrow \Xi^0}$	0.193	3.45	0.49
$g_1^{\Omega_b^- \rightarrow \Xi^0}$	-0.033	4.38	0.20	$g_2^{\Omega_b^- \rightarrow \Xi^0}$	-0.041	4.32	0.65
$f_1^{\Omega_b^- \rightarrow \Omega_c^0}$	0.566	3.92	0.49	$f_2^{\Omega_b^- \rightarrow \Omega_c^0}$	0.531	4.08	0.41
$g_1^{\Omega_b^- \rightarrow \Omega_c^0}$	-0.170	4.80	0.23	$g_2^{\Omega_b^- \rightarrow \Omega_c^0}$	-0.031	9.02	5.05

Table F.4: Parameters of the form factors for bottom baryon decays in Eq. (F.4). Taken from Ref. [134].

Transition	Overlap Factors
$\Lambda_b^0(bud) \rightarrow p(ud)$	$\frac{1}{\sqrt{2}}$
$\Xi_b^0(bus) \rightarrow \Sigma^+(us)$	$\frac{1}{\sqrt{2}}$
$\Xi_b^-(bds) \rightarrow \Sigma^0(uds), \Lambda(uds)$	$\frac{1}{2}, -\frac{1}{2\sqrt{3}}$
$\Omega_b^-(bss) \rightarrow \Xi^0(uss), \Omega_c^0(css)$	$-\frac{1}{\sqrt{3}}, 1$

Table F.5: Overlap factors for the baryon transitions.

# Appendix G

## Interference terms in the square amplitudes of four-body baryon decays

The computation of the different LNC and LNV processes can be carried out in the standard form, by squaring the corresponding amplitudes. Here, we present the relations between the different  $P_{1j}$  (or  $P_{2j}$ ) terms, Eq. (5.62), to recast them, as discussed in the body of this manuscript, in the narrow width approximation:

- Same channel and same heavy neutrino

$$P_{1j}P_{1j}^* = \frac{\pi}{m_N\Gamma_N} \delta(a_1^2 - m_N^2), \quad (\text{G.1})$$

where  $\Gamma_N = \Gamma_1 \approx \Gamma_2$ .

- Interference of same channel but different heavy neutrino

$$P_{14}P_{15}^* = \kappa(y)(1 + iy) \frac{\pi}{m_N\Gamma_N} \delta(a_1^2 - m_N^2), \quad (\text{G.2})$$

where  $y \equiv \Delta m_N/\Gamma_N$  and  $\kappa(y) = 1/(1 + y^2)$ . Thus, these two equations are not other but the known results for a single channel [124, 128].

In the case of LNV there are two diagrams associated with the leptons interchange, introducing a  $P_{2j}$  term. The square amplitudes follow the same structure as given above, with the corresponding  $a_1^2$  and  $a_2^2$  momenta in the delta function. The remaining relations are:

- Interference of different channels but the same neutrino

$$\text{Re}(P_{1j}P_{2j}^*) = \frac{\pi}{m_N\Gamma_N} \delta(a_1^2 - m_N^2) \delta(a_2^2 - a_1^2). \quad (\text{G.3})$$

$$\text{Im}(P_{1j}P_{2j}^*) = \pi \left( \frac{\delta(a_1^2 - m_N^2)}{a_2^2 - m_N^2} + \frac{\delta(a_2^2 - m_N^2)}{a_1^2 - m_N^2} \right). \quad (\text{G.4})$$

This equation is valid for  $a_1^2 \neq a_2^2$  and null otherwise. We recover the single channel result, Eq. (G.1), for  $a_1^2 = a_2^2$ .

- Interference of different channels and different neutrino

$$P_{1i}P_{2j}^* = \frac{i\pi}{2} \kappa(y)(1 + iy) \left( \delta(a_2^2 - m_N^2) P_{1N} - \delta(a_1^2 - m_N^2) P_{2N}^* \right). \quad (\text{G.5})$$

They have similar expressions as in the single channel, but with the correction from the second channel contribution. Notice that they recover the single channel result, when  $a_1$  and  $a_2$  are the same.

# Appendix H

## Amplitudes for $\mu^+ \mu^+ \rightarrow \ell^+ \ell^+$

Before presenting the amplitudes of diagrams in Fig 6.1, let us introduce the following notation to avoid cumbersome expressions:

$$\Gamma_X^{\{1,\mu,\mu\nu\}} \odot \Gamma_{\{1,\alpha,\alpha\beta\}}^Y \equiv [\bar{v}(p_1)\{1, \gamma^\mu, \sigma^{\mu\nu}\}P_X u(p_2)] [\bar{u}(q_1)\{1, \gamma_\alpha, \sigma_{\alpha\beta}\}P_Y v(q_2)], \quad (\text{H.1})$$

$$\Gamma_X^{\{1,\mu,\mu\nu\}} \otimes \Gamma_{\{1,\alpha,\alpha\beta\}}^Y \equiv [\bar{u}(q_1)\{1, \gamma^\mu, \sigma^{\mu\nu}\}P_X u(p_1)] [\bar{u}(q_2)\{1, \gamma_\alpha, \sigma_{\alpha\beta}\}P_Y u(p_2)], \quad (\text{H.2})$$

where  $P_X$  and  $P_Y$  represent either the left (L) or right (R) projection operator.

### H.1 Diagrams with explicit LNV vertices

The amplitudes for diagrams (a), namely, those with explicit LNV vertices can be expressed in a general form as follows

$$\mathcal{M}_{LNV}^{a_1 a_2} = \frac{\alpha_W}{16\pi} \frac{e^2}{s_W^2} \sum_{\alpha,\beta}^5 (B_{\mu\alpha} B_{\ell\beta}^*)^2 M_{LNV}^{a_1 a_2}, \quad (\text{H.3})$$

where the superscript  $a_1$  ( $a_2$ ) denotes the contribution of the particle ( $W$  gauge boson or  $\phi$  Goldstone boson) in the up (down) vertices of diagrams 1(a). We have found that

$$M_{LNV}^{WW} = A_1 \Gamma_R \odot \Gamma^L, \quad (\text{H.4})$$

$$M_{LNV}^{\phi W + W \phi} = A_2 \Gamma_R \odot \Gamma^L + \Gamma_R^{\mu\alpha} \odot \Gamma_{\mu\beta}^L \left( B_1 q_{2\alpha} p_1^\beta + B_2 q_{2\alpha} p_2^\beta \right) \\ + \Gamma_R^{\mu\nu} \odot \Gamma^L \left( C_1 p_{1\mu} q_{2\nu} + C_2 p_{2\mu} q_{2\nu} \right) + C_3 \Gamma_R \odot \Gamma_{\mu\nu}^L p_1^\mu p_2^\nu, \quad (\text{H.5})$$

$$M_{LNV}^{\phi\phi} = A_3 \Gamma_L \odot \Gamma^R. \quad (\text{H.6})$$

In the approximation limit where the masses of the external particles are identically zero, after some Dirac algebraic steps, Eq. (H.5) is simplified by

using the following identities:

$$\Gamma_R^{\mu\alpha} \odot \Gamma_{\mu\beta}^L q_{2\alpha} p_{(1,2)}^\beta = \pm q_2 \cdot p_{(1,2)} \Gamma_R \odot \Gamma^L, \quad (\text{H.7})$$

$$\Gamma_R^{\mu\nu} \odot \Gamma^L p_{(1,2)\mu} q_{2\nu} = \mp i (p_{(1,2)} \cdot q_2) \Gamma_R \odot \Gamma^L, \quad (\text{H.8})$$

$$\Gamma_R \odot \Gamma_{\mu\nu}^L p_1^\mu p_2^\nu = -i (q_1 - q_2) \cdot p_2 \Gamma_R \odot \Gamma^L. \quad (\text{H.9})$$

Therefore, the sum of expressions (H.4), (H.5), and (H.6) is given by the simple expression

$$\begin{aligned} M_{LNV} &= M_{LNV}^{WW} + M_{LNV}^{\phi W + W \phi} + M_{LNV}^{\phi\phi}, \\ &= \mathcal{A} \Gamma_L \odot \Gamma^R, \end{aligned} \quad (\text{H.10})$$

where  $\mathcal{A} = (A_1 + A_{2T} + A_3)$ , and we also have defined

$$A_{2T} \equiv A_2 + (q_2 \cdot p_1) (B_1 - iC_1) + (q_2 \cdot p_2) (iC_2 - B_2) - i(q_1 - q_2) \cdot p_2 C_3. \quad (\text{H.11})$$

Similarly, the sum of the diagrams exchanging the final leptons ( $\ell(q_1) \leftrightarrow \ell(q_2)$ ) in Fig. (6.1) is identified straightforwardly from Eq. (H.10) by the replacement  $M_{LNV} \rightarrow M'_{LNV}$ , with

$$\begin{aligned} M'_{LNV} &= (-1) \mathcal{A}' \Gamma_L \odot \Gamma'^R, \\ &= (A'_1 + A'_{2T} + A'_3) \Gamma_L \odot \Gamma^R, \end{aligned} \quad (\text{H.12})$$

where  $A'_i \equiv A_i (q_1 \leftrightarrow q_2)$ . The minus sign comes after considering the Feynman rules of Majorana fermions shown in Ref. [139]. Therefore, all the contributions of diagrams (a) is given by

$$\mathcal{M}_{(a)} = \frac{\alpha_W}{16\pi} \frac{e^2}{s_W^2} \sum_{\alpha,\beta}^5 (B_{\mu\alpha} B_{\ell\beta}^*)^2 (\mathcal{A} + \mathcal{A}') \Gamma_R \odot \Gamma^L, \quad (\text{H.13})$$

## H.2 Diagram (b) contributions

The amplitudes of diagrams (b) can be written in the generic form

$$\mathcal{M}_{LNC}^{b_1 b_2} = \frac{\alpha_W}{16\pi} \frac{e^2}{s_W^2} \sum_{\alpha,\beta} B_{\ell\alpha}^* B_{\mu\alpha} B_{\ell\beta}^* B_{\mu\beta} M_{LNC}^{b_1 b_2}, \quad (\text{H.14})$$

with

$$M_{LNC}^{WW} = \alpha_1 \Gamma_R^\mu \otimes \Gamma_\mu^R + \beta_1 \Gamma_R^\mu \otimes \Gamma_\nu^R q_{2\mu} q_1^\nu, \quad (\text{H.15})$$

$$M_{LNC}^{\phi W + W \phi} = \alpha_2 \Gamma_R^\mu \otimes \Gamma_\mu^R, \quad (\text{H.16})$$

$$M_{LNC}^{\phi\phi} = \alpha_3 \Gamma_R^\mu \otimes \Gamma_\mu^R + (\beta_2 p_{2\mu} q_1^\nu + \beta_3 q_{2\mu} q_1^\nu) \Gamma_R^\mu \otimes \Gamma_\nu^R. \quad (\text{H.17})$$

Considering the approximation where the masses of the external particles are zero, the sum of the above expressions is given by

$$\begin{aligned} M_{LNC} &= M_{LNC}^{WW} + M_{LNC}^{\phi W+W\phi} + M_{LNC}^{\phi\phi}, \\ &= \mathcal{B} \Gamma_R^\mu \otimes \Gamma_\mu^R, \end{aligned} \quad (\text{H.18})$$

where, in the above expression, we have used the identity <sup>1</sup>

$$\Gamma_R^\mu \otimes \Gamma_\nu^R q_{2\mu} q_1^\nu = \Gamma_R^\mu \otimes \Gamma_\nu^R p_{2\mu} q_1^\nu = (p_2 \cdot q_1) \Gamma_R^\mu \otimes \Gamma_\mu^R \quad (\text{H.19})$$

and we have defined  $\mathcal{B} \equiv \alpha_{1T} + \alpha_2 + \alpha_{3T}$ , where

$$\alpha_{1T} = \alpha_1 + (p_2 \cdot q_1) \beta_1 \quad (\text{H.20})$$

$$\alpha_{3T} = \alpha_3 + (p_2 \cdot q_1) (\beta_2 + \beta_3). \quad (\text{H.21})$$

Similar to the (a) contributions, the inclusion of the diagrams with the final charged leptons exchanged is added to the amplitude after the change  $q_1 \leftrightarrow q_2$  in the above expressions, which takes to

$$\mathcal{M}_{(b)} = \frac{\alpha_W}{16\pi} \frac{e^2}{s_W^2} \sum_{\alpha, \beta}^5 B_{\ell\alpha}^* B_{\mu\alpha} B_{\ell\beta}^* B_{\mu\beta} (\mathcal{B} + \mathcal{B}') \Gamma_R^\mu \otimes \Gamma_\mu^R. \quad (\text{H.22})$$

The sum of Eqs. (H.13) and (H.22) can be done immediately by considering the Fierz identity

$$\Gamma_R^\mu \otimes \Gamma_\mu^R = -2\Gamma_R \odot \Gamma^L. \quad (\text{H.23})$$

Thus, the total contribution  $\mathcal{M} = \mathcal{M}_{(a)} + \mathcal{M}_{(b)}$  is given by Eq. (6.1) .

### H.3 Loop functions for $\mu^+\mu^+ \rightarrow \ell^+\ell^+$ amplitudes

All the relevant factors that come from the loop integration presented in the previous sections are given in terms of Passarino-Veltman (PaVe) functions. We have used the software Package X [324] for our computation and we have

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<sup>1</sup>Only valid in the massless limit.

found the following expression

$$A_1 = 4m_{N_\alpha}m_{N_\beta}\overline{D}_0, \quad (\text{H.24})$$

$$A_{2T} = -2\frac{m_{N_\alpha}m_{N_\beta}}{m_W^2} \left[ 4\overline{D}_{00} - t \left( \overline{D}_0 + \overline{D}_1 + 2\overline{D}_2 + \overline{D}_3 \right. \right. \\ \left. \left. + 2(\overline{D}_{12} + \overline{D}_{13} + \overline{D}_{22} + \overline{D}_{23}) \right) \right], \quad (\text{H.25})$$

$$A_3 = \frac{m_{N_\alpha}^3 m_{N_\beta}^3}{m_W^4} \overline{D}_0. \quad (\text{H.26})$$

Note that these are the same expressions obtained in Ref. [192], except for a minus sign in the eq.(H.25). We have introduced the following notation to express the argument of the Passarino-Veltman functions involved in the contributions of diagrams (a)

$$\overline{D}_i \equiv D_i(0, 0, 0, 0; s, t; m_W, m_{N_\alpha}, m_W, m_{N_\beta}). \quad (\text{H.27})$$

Regarding the diagrams (b) contributions we have found the relevant expressions

$$\alpha_{1T} = 4D_{00} - 2t(D_0 + D_1 + D_3 + D_{13}), \quad (\text{H.28})$$

$$\alpha_2 = -2\frac{m_{N_\alpha}^2 m_{N_\beta}^2}{m_W^2} D_0 \quad (\text{H.29})$$

$$\alpha_{3T} = \frac{m_{N_\alpha}^2 m_{N_\beta}^2}{2m_W^2} (2D_{00} - D_{13}), \quad (\text{H.30})$$

where, this time, the arguments of the Passarino-Veltman function are given by

$$D_i \equiv D_i(0, 0, 0, 0; u, t; m_{N_\alpha}, m_W, m_{N_\beta}). \quad (\text{H.31})$$

Defining the invariant  $u \equiv (p_1 - q_1)^2 = (p_2 - q_2)^2$  and taking  $\mathcal{A}'$  ( $\mathcal{B}'$ ) factor related with  $\mathcal{A}(\mathcal{B})$  by the change  $t \leftrightarrow u$  in the corresponding PaVe functions.

# Appendix I

## Calculation of the form factors $F_{\pm}^{\text{e.m.}}$ induced by a photon-loop

In this Appendix we provide the expressions for the contribution to the form factors from the one-loop QED effects in Fig.7.1 of  $\tau^-(p_\tau) \rightarrow \pi^-(p_\pi)\eta(p_\eta)\nu_\tau(p_\nu)$  decay. As it will be shown below, the amplitudes induced by photon loops can be written in a factorized form similar to Eq. (7.14). We find it convenient to introduce first a simpler parametrization of the hadronic matrix element as follows

$$\mathcal{H}_\mu^{\text{e.m.}} = -\sqrt{2} \{ F_+^{\text{e.m.}}(s, u) q'_\mu + F_-^{\text{e.m.}}(s, u) q_\mu \} \quad (\text{I.1})$$

where  $q' = p_\eta - p_\pi$ ,  $q = p_\eta + p_\pi$ . Given the contribution of box diagrams, the form factors acquire a dependence upon the variable  $u = (p_\tau - p_\pi)^2$ . This set of form factors is related to the ones used in Eq. (7.15) by means of

$$F_0^{\text{e.m.}} = F_+^{\text{e.m.}} + \frac{s}{\Delta_{\eta\pi}} F_-^{\text{e.m.}}. \quad (\text{I.2})$$

In this appendix, we evaluate the form factors in the basis provided by Eq. (I.1) and then compute the scalar form factor using Eq. (I.2).

### I.1 Contribution of diagrams (a), (e) and (g)

The amplitudes for these diagrams ( $i = a, e, g$ ) in Figure 5.7 have the general form

$$\mathcal{M}_{(i)} = \frac{G_F V_{ud}}{\sqrt{2}} C_{(i)} \int \frac{d^d k}{(2\pi)^d} \frac{\ell_{\mu\nu} \cdot h_{(i)}^{\mu\nu}}{\mathcal{D}_{(i)}}, \quad (\text{I.3})$$



where  $\ell_{\mu\nu} = \bar{u}(p_\nu)\gamma_\mu\gamma(1-\gamma_5)[(\not{p}_\tau + \not{k}) + m_\tau]\gamma_\nu u(p_\tau)$  is the leptonic tensor, and the  $O(\alpha)$  coefficients  $C_i$  are the product of coupling constants and resonance propagators (see Appendix J). The hadronic tensors  $h_{(i)}^{\mu\nu}$  have the following forms (see the definitions of the four-rank tensors  $T$  and  $\hat{T}$  in Appendix J)

$$h_{(a)}^{\mu\nu} = \epsilon^\mu{}_{\mu_1\mu_2\mu_3} \epsilon^{\mu_1\nu}{}_{\mu_4\mu_5} T^{\mu_2\mu_4\mu_3\mu_5}, \quad (\text{I.4})$$

$$h_{(e)}^{\mu\nu} = [k \cdot (q + k)g^{\mu\nu} - k^\mu(k + q)^\nu], \quad (\text{I.5})$$

$$h_{(g)}^{\mu\nu} = \epsilon^\mu{}_{\mu_1\mu_2\mu_3} \epsilon^{\mu_1\nu}{}_{\mu_4\mu_5} \hat{T}^{\mu_2\mu_4\mu_3\mu_5}. \quad (\text{I.6})$$

Using the Dirac equation and the Chisholm identity we have the following identity<sup>1</sup>.

$$\ell_{\mu\nu} = \ell^\sigma [2g_{\mu\sigma}(q + p_\nu)_\nu + \alpha_{\mu\nu\lambda\sigma}k^\lambda], \quad (\text{I.7})$$

where  $\alpha_{\mu\nu\lambda\sigma} \equiv g_{\mu\lambda}g_{\nu\sigma} + g_{\lambda\nu}g_{\mu\sigma} - g_{\mu\nu}g_{\lambda\sigma} + i\epsilon_{\mu\nu\lambda\sigma}$ . The integral in Eq. (I.3) can be set as

$$\begin{aligned} \int \frac{d^d k}{(2\pi)^d} \frac{\ell_{\mu\nu} \cdot h_{(i)}^{\mu\nu}}{\mathcal{D}_{(i)}} &= \ell_\sigma \int \frac{d^d k}{(2\pi)^d} \frac{h_{(i)}^\sigma}{\mathcal{D}_{(i)}}, \\ &= \ell_\sigma \left[ f_{+(i)}^{\eta\pi} q'^\sigma + f_{-(i)}^{\eta\pi} q^\sigma + f_{(i)}^{\nu\tau} p_{\nu\tau}^\sigma + i f_{(i)}^\epsilon \epsilon_{\mu\nu\lambda\sigma} q'^\mu q^\nu p_{\nu\tau}^\lambda \right]. \end{aligned} \quad (\text{I.8})$$

Notice that the third term in the above expression vanishes owing to  $\ell_\sigma p_{\nu\tau}^\sigma = 0$ . Moreover, the last term can be rewritten as follows

$$i f_{(i)}^\epsilon \ell^\sigma \cdot \epsilon_{\mu\nu\lambda\sigma} q'^\mu q^\nu p_{\nu\tau}^\lambda = f_{(i)}^\epsilon \ell_\sigma [(q' \cdot p_\nu)q^\sigma - (q \cdot p_\nu)q'^\sigma]. \quad (\text{I.9})$$

Therefore, the contribution of diagrams (a), (e), and (g) in Figure 5.7 to the form factors  $F_{\pm}^{\text{e.m.}}(s, u)$  are given by

$$F_{+(i)}^{\text{e.m.}} = -\frac{C_{(i)}}{16\pi^2\sqrt{2}} \left[ f_{+(i)}^{\eta\pi} + f_{(i)}^\epsilon (q \cdot p_\nu) \right], \quad F_{-(i)}^{\text{e.m.}} = -\frac{C_{(i)}}{16\pi^2\sqrt{2}} \left[ f_{-(i)}^{\eta\pi} - f_{(i)}^\epsilon (q' \cdot p_\nu) \right]. \quad (\text{I.10})$$

## I.2 Contribution of diagrams (b), (c), (d), (f)

As it can be seen from a direct inspection, the amplitudes for diagrams in Figures 5.7(b, c, d, f) can be factorized as in eq. (7.14). The hadronic

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<sup>1</sup>The Chisholm identity used here reads  $\gamma_\mu\gamma_\lambda\gamma_\nu(1-\gamma_5) = \alpha_{\mu\nu\lambda\sigma}\gamma^\sigma(1-\gamma_5)$

matrix elements  $\mathcal{H}_{(i)\mu}^{\text{e.m.}}$ , in this case, are proportional to the loop integrals in Eq. (7.25), namely (for  $i = b, c, d, f$ )

$$\int \frac{d^d k}{(2\pi)^d} \frac{h_{(i)}^\mu}{\mathcal{D}_{(i)}} = f_{+(i)}^{\eta\pi} q'^\mu + f_{-(i)}^{\eta\pi} q^\mu, \quad (\text{I.11})$$

where the factors  $f_{\pm(i)}^{\eta\pi}$  are given in terms of Passarino-Veltman functions (see below). Then it is immediate to identify that

$$F_{\pm(i)}^{\text{e.m.}} = -\frac{C_{(i)}}{16\pi^2\sqrt{2}} f_{\pm(i)}^{\eta\pi}. \quad (\text{I.12})$$

## Appendix J

### Radiative one loop amplitudes for $\tau^- \rightarrow \pi^- \eta \nu_\tau$

In this appendix, we report the expressions of the factors  $h_{(i)}$ ,  $D_{(i)}$ , and  $C_{(i)}$  in Eq. (7.25) that appear in the amplitudes for the different diagrams in Fig. 5.7. First, the hadronic  $h_{(i)}^\mu$  term in the integrand of Eq. (7.25) are given as follows

$$h_{(a)}^\mu = \left[ 2g^{\mu\mu_1}(q+p_\nu)^{\mu_2} + \alpha^{\mu_1\mu_2\mu_3\mu} k_{\mu_3} \right] \epsilon_{\mu_1\mu_4\mu_5\mu_6} \epsilon^{\mu_4}_{\mu_2\mu_7\mu_8} T^{\mu_5\mu_7\mu_6\mu_8}, \quad (\text{J.1})$$

$$h_{(b)}^\mu = 2\epsilon^{\mu\mu_1\mu_2\mu_3} \epsilon_{\mu_1\mu_4\mu_5\mu_6} q_{\mu_2} \left( k - \frac{q+q'}{2} \right)_{\mu_3} \left( \frac{q-q'}{2} \right)^{\mu_4} \left( \frac{q+q'}{2} \right)^{\mu_5} k^{\mu_6}, \quad (\text{J.2})$$

$$h_{(c)}^\mu = \left[ g_{\mu\mu_1} - \frac{q_\mu q_{\mu_1}}{m_\rho^2} \left( 1 + \frac{i m_\rho \Gamma_\rho(s)}{s} \right) \right] \Gamma^{\mu_1\mu_3\mu_2}(0) \epsilon_{\mu_3\mu_4\mu_5\mu_6} \epsilon^{\mu_4}_{\mu_2\mu_7\mu_8} T^{\mu_5\mu_7\mu_6\mu_8}, \quad (\text{J.3})$$

$$h_{(d)}^\mu = \left[ (k \cdot q) g^{\mu\mu_2} - k^\mu q^{\mu_2} \right] (k+q-q')_{\mu_2}, \quad (\text{J.4})$$

$$h_{(e)}^\mu = \left[ 2g^{\mu\mu_1}(q+p_\nu)_{\mu_2} + \alpha^{\mu_1\mu_2\mu_3\mu} k^{\mu_3} \right] \left[ k \cdot (q+k) g_{\mu_1\mu_2} - k_{\mu_1} (q+k)_{\mu_2} \right], \quad (\text{J.5})$$

$$h_{(f)}^\mu = \left[ g_{\mu\mu_1} - \frac{q_\mu q_{\mu_1}}{m_\rho^2} \left( 1 + \frac{i m_\rho \Gamma_\rho(s)}{s} \right) \right] \Gamma^{\mu_1\mu_3\mu_2}(0) \epsilon_{\mu_3\mu_4\mu_5\mu_6} \epsilon^{\mu_4}_{\mu_2\mu_7\mu_8} \hat{T}^{\mu_5\mu_7\mu_6\mu_8}, \quad (\text{J.6})$$

$$h_{(g)}^\mu = \left[ 2g^{\mu\mu_1}(q+p_\nu)^{\mu_2} + \alpha^{\mu_1\mu_2\mu_3\mu} k_{\mu_3} \right] \epsilon_{\mu_1\mu_4\mu_5\mu_6} \epsilon^{\mu_4}_{\mu_2\mu_7\mu_8} \hat{T}^{\mu_5\mu_7\mu_6\mu_8}, \quad (\text{J.7})$$

where we have defined the four-rank tensors

$$T^{\mu_5 \mu_7 \mu_6 \mu_8} = \left( \frac{q - q'}{2} \right)^{\mu_5} \left( \frac{q + q'}{2} \right)^{\mu_7} \left( k + \frac{q + q'}{2} \right)^{\mu_6} k^{\mu_8} \quad (\text{J.8})$$

$$\hat{T}^{\mu_5 \mu_7 \mu_6 \mu_8} = \left( \frac{q + q'}{2} \right)^{\mu_5} \left( \frac{q - q'}{2} \right)^{\mu_7} \left( k + \frac{q - q'}{2} \right)^{\mu_6} k^{\mu_8} . \quad (\text{J.9})$$

The denominators that appear in the integrand of Eq. (7.25) are the following

$$\mathcal{D}_{(a)} = k^2 G(k + q + p_\nu, m_\tau) G(k + q, m_\rho) G\left(k + \frac{q + q'}{2}, m_\omega\right), \quad (\text{J.10})$$

$$\mathcal{D}_{(b)} = k^2 G(k, m_\rho) G\left(k + \frac{q - q'}{2}, m_\pi\right) G\left(k - \frac{q + q'}{2}, m_\omega\right), \quad (\text{J.11})$$

$$\mathcal{D}_{(c)} = k^2 G(k, m_\rho) G(k + q, m_\rho) G\left(k + \frac{q + q'}{2}, m_\omega\right), \quad (\text{J.12})$$

$$\mathcal{D}_{(d)} = k^2 G(k, m_\rho) G(k + q, m_{a_0}) G\left(k + \frac{q - q'}{2}, m_\pi\right), \quad (\text{J.13})$$

$$\mathcal{D}_{(e)} = k^2 G(k, m_\omega) G(q + k, m_\rho) G(k + q + p_\nu, m_\tau), \quad (\text{J.14})$$

$$\mathcal{D}_{(f)} = k^2 G(k, m_\rho) G(k + q, m_\rho) G\left(k + \frac{q - q'}{2}, m_\rho\right), \quad (\text{J.15})$$

$$\mathcal{D}_{(g)} = k^2 G(k + q + p_\nu, m_\tau) G(k + q, m_\rho) G\left(k + \frac{q - q'}{2}, m_\rho\right), \quad (\text{J.16})$$

where  $G(k, m) \equiv k^2 - m^2$ . Finally, the  $C_{(i)}$  coefficients in Eq. (7.25) are of  $O(e^2)$  as expected and are given by

$$C_{(a)} = -e f_\rho g_{\rho\omega\pi} g_{\omega\gamma\eta}, \quad (\text{J.17})$$

$$C_{(b)} = \frac{m_\rho^2 e f_\rho g_{\rho\omega\pi} g_{\omega\gamma\eta}}{s - m_\rho^2 + i m_\rho \Gamma_\rho(s)}, \quad (\text{J.18})$$

$$C_{(c)} = -C_{(b)}, \quad (\text{J.19})$$

$$C_{(d)} = -\frac{m_\rho^2 e f_\rho g_{\rho a_0 \gamma} g_{a_0 \eta \pi}}{s - m_\rho^2 + i m_\rho \Gamma_\rho(s)}, \quad (\text{J.20})$$

$$C_{(e)} = -\frac{m_\omega^2 e f_\rho g_{\rho a_0 \gamma} g_{a_0 \eta \pi}}{s - m_{a_0}^2 + i m_{a_0} \Gamma_{a_0}(s)}, \quad (\text{J.21})$$

$$C_{(f)} = -\frac{m_\rho^2 e f_\rho g_{\rho\rho\eta} g_{\rho\gamma\pi}}{s - m_\rho^2 + i m_\rho \Gamma_\rho(s)}, \quad (\text{J.22})$$

$$C_{(g)} = -e f_\rho g_{\rho\rho\eta} g_{\rho\gamma\pi}, \quad (\text{J.23})$$

where  $f_\rho$  is defined one line below Eq. (7.21).

## J.1 Loop Functions

We have used *Package-X* [324] to express our results. The definition and decomposition of the Passarino-Veltman functions reported here can be found in Appendix A of reference [241]. Our results are reported as follows

Diagram (a):

$$f_{+(a)}^{\eta\pi} = -\frac{1}{4} \left[ s(5D_{001} + 8D_{00}) + (\Delta_{\eta\pi}^2 - \xi s)(D_{112} + D_{113} + D_{122} + 2D_{123} + D_{12} + D_{133} + D_{13}) - 3\xi D_{001} + \chi(4D_{00} - \xi(D_{113} + 2D_{123} + 2D_{133} + D_{13}) + \chi \times (2D_{23} - D_{133} - D_{33})) - \chi'(4D_{00} - 4D_{003} + \chi(2D_{23} + D_{33})) + \chi'^2 D_{133} + \Delta_{\eta\pi}(2D_{001} + 4D_{002} + 4D_{003} + \chi'(D_{113} + 2(D_{123} + D_{133}) + D_{13})) + (\Delta_{\eta\pi} \times \chi - \chi' s)(2D_{12} - D_{113} - D_{13} + 2D_2 + 2D_{22} + 3D_{23} + D_{33}) \right], \quad (\text{J.24})$$

$$f_{-(a)}^{\eta\pi} = -\frac{1}{4} \left[ (3s - 5\xi)(D_{001} + 2(D_{002} + D_{003})) + (\Delta_{\eta\pi}^2 - \xi s)(D_{112} + D_{113} + 3D_{122} + 6D_{123} + D_{12} + 3D_{133} + D_{13} + 2(D_{222} + 3D_{223} + D_{22} + 3D_{233} + 2(D_{23} + D_{33}))) - \chi(4D_{003} - 4D_{00} + \chi(D_{133} + 2(D_{233} + D_{33}))) - 2\Delta_{\eta\pi}(D_{001} + 4D_{00}) + \chi'(-4D_{00} + \chi(D_{33} - 2D_{23})) + (\chi'\Delta_{\eta\pi} - \chi\xi)(D_{113} + 4D_{123} + 2D_{12} + 4D_{133} + D_{13} + 4D_{223} + 2D_{22} + 8D_{233} + 5D_{23} + 2D_2 + 7D_{33}) + (s\chi' - \Delta_{\eta\pi}\chi)(D_{113} + 2D_{123} + 2D_{133} + D_{13} + 2D_{23} + 2D_{33}) + \chi'^2(D_{133} + 2(D_{233} + D_{23}) + 3D_{33}) \right], \quad (\text{J.25})$$

$$f_{(a)}^\epsilon = -\frac{1}{8} \left[ 24D_{003} + s(4D_{133} + D_{13} + 4D_{223} + 8D_{233} + 2D_{23} + 6D_{33}) + 2\Delta_{\eta\pi} \times (2D_{133} + D_{13} + D_{23} + D_{33}) + 4(s + \Delta_{\eta\pi})D_{123} + \xi D_{13} + (s + 2\Delta_{\eta\pi} + \xi) \times D_{113} + 2\chi(2D_{133} + 4D_{233} + 5D_{33}) + 2\chi'(2D_{133} + D_{33}) \right]. \quad (\text{J.26})$$

Diagram (b):

$$f_{+(b)}^{\eta\pi} = -2m_\rho^2 s \tilde{D}_{00}, \quad (\text{J.27})$$

$$f_{-(b)}^{\eta\pi} = 2m_\rho \Delta_{\eta\pi} \tilde{D}_{00}. \quad (\text{J.28})$$

Diagram (c):

$$f_{+(c)} = \frac{m_\rho^2}{2} \left[ (\xi s - \Delta^2)(\hat{D}_{12} + \hat{D}_{122} + \hat{D}_{112}) - 2(\Delta + 3s)\hat{D}_{00} - 4\Delta\hat{D}_{002} \right. \\ \left. + (-2\Delta + 3\xi - 5s)\hat{D}_{001} \right], \quad (\text{J.29})$$

$$f_{-(c)} = \frac{1}{2} \left[ (m_\rho^2(6\Delta + 5\xi - 3s) + \alpha(2\Delta^2 + 3s^2 - 5\xi s))\hat{D}_{00} + 2(m_\rho^2(5\xi - 3s) \right. \\ \left. + \alpha(2\Delta^2 + 3s^2 - 5\xi s))\hat{D}_{002} + (m_\rho^2(2\Delta + 5\xi - 3s) + \alpha(2\Delta^2 - 3\Delta\xi + 3s^2 \right. \\ \left. + 3\Delta s - 5\xi s))\hat{D}_{001} + (m_\rho^2 - \alpha s)(\xi s - \Delta^2)(3\hat{D}_{22} + 2\hat{D}_{222} + \hat{D}_2) + (\xi s - \Delta^2) \right. \\ \left. \times ((2m_\rho^2 - \alpha(\Delta + 2s))\hat{D}_{12} + (3m_\rho^2 - \alpha(\Delta + 3s))\hat{D}_{122} + (m_\rho^2 - \alpha(\Delta + s))\hat{D}_{112}) \right]. \quad (\text{J.30})$$

Diagram (d):

$$f_{+(d)}^{\eta\pi} = m_\rho^2 s D_1(s, m_\eta^2, m_\pi^2, 0; m_\pi^2, s; 0, m_{a_0}, m_\pi, m_\rho), \\ f_{-(d)}^{\eta\pi} = -m_\rho^2 \Delta_{\eta\pi} D_1(s, m_\eta^2, m_\pi^2, 0; m_\pi^2, s; 0, m_{a_0}, m_\pi, m_\rho). \quad (\text{J.31})$$

Diagram (e):

$$f_{+(e)} = 0, \\ f_{-(e)} = m_\omega^2 \left[ -3m_\tau^2 \bar{D}_{222} - (2m_\tau^2 + s)(\bar{D}_{22} - 3\bar{D}_{12} - 3\bar{D}_{122}) - (m_\tau^2 + 2s) \right. \\ \left. \times (\bar{D}_{11} - 3\bar{D}_{112}) - 3s\bar{D}_{111} - 18(\bar{D}_{002} + \bar{D}_{001}) + (s - m_\tau^2)\bar{D}_1 \right]. \quad (\text{J.32})$$

Diagram (f):

$$f_{+(f)}^{\eta\pi} = -f_{+(c)}^{\eta\pi}(m_\pi \leftrightarrow m_\eta, m_\omega \rightarrow m_\rho), \\ f_{-(f)}^{\eta\pi} = f_{-(c)}^{\eta\pi}(m_\pi \leftrightarrow m_\eta, m_\omega \rightarrow m_\rho). \quad (\text{J.33})$$

Diagram (g):

$$f_{+(g)}^{\eta\pi} = -f_{+(a)}^{\eta\pi}(m_\pi \leftrightarrow m_\eta, m_\omega \rightarrow m_\rho, u \rightarrow t), \\ f_{-(g)}^{\eta\pi} = f_{-(a)}^{\eta\pi}(m_\pi \leftrightarrow m_\eta, m_\omega \rightarrow m_\rho, u \rightarrow t), \\ f_{-(g)}^\epsilon = -f_{-(a)}^\epsilon(m_\pi \leftrightarrow m_\eta, m_\omega \rightarrow m_\rho, u \rightarrow t). \quad (\text{J.34})$$

In the above expressions we have defined  $t \equiv (p_\tau - p_\eta)^2 = m_\eta^2 + m_\pi^2 + m_\tau^2 - s - u$ ,  $\xi \equiv (q')^2 = 2(m_\eta^2 + m_\pi^2 - s)$ ,  $\chi \equiv p_\nu \cdot q = (m_\tau^2 - s)/2$ ,  $\chi' \equiv p_\nu \cdot q' =$

$(2m_\pi^2 + m_\tau^2 - s - 2u)/2$  and  $\alpha \equiv 1 + im_\rho \Gamma_\rho/s$ . Moreover, we use the following notation to define the arguments of the Passarino-Veltman functions

$$D_i \equiv D_i(m_\eta^2, m_\pi^2, 0, m_\tau^2; s, u; 0, m_\omega, m_\rho, m_\tau), \quad (\text{J.35})$$

$$\tilde{D}_i \equiv \tilde{D}_i(m_\pi^2, s, m_\eta^2, 0; m_\eta^2, m_\pi^2; 0, m_\pi, m_\omega, m_\rho), \quad (\text{J.36})$$

$$\hat{D}_i \equiv \hat{D}_i(m_\eta^2, m_\pi^2, s, 0; s, m_\eta^2, 0, m_\omega, m_\rho, m_\rho), \quad (\text{J.37})$$

$$\bar{D}_i \equiv \bar{D}_i(s, 0, m_\tau^2; m_\tau^2, s; 0, m_\rho, m_\tau, m_\omega). \quad (\text{J.38})$$

# Appendix K

## Fermion-Box Scalar Functions

In this section, for completeness, we write the analytical expressions for the functions  $I_i$  required for our analysis, cf. eq. (8.6), where the  $\bar{\Pi}_i$  scalar functions enter. These had been obtained in Ref. [281] for a quark box-loop in terms of two Feynman parameters,  $0 \leq x \leq 1$  and  $0 \leq y \leq 1 - x$ . We confirm the results in [277]<sup>1</sup>

$$I_1 = -\frac{16x(1-x-y)}{\Delta_{132}^2} - \frac{16xy(1-2x)(1-2y)}{\Delta_{132}\Delta_{32}}, \quad (\text{K.1})$$

$$I_3 = \frac{32xy(1-2x)(x+y)(1-x-y)^2(q_1^2 - q_2^2 + q_3^2)}{\Delta_{312}^3} - \frac{32(1-x)x(x+y)(1-x-y)}{\Delta_{312}^2} - \frac{32xy(1-2x)(1-2y)}{\Delta_{312}\Delta_{12}}, \quad (\text{K.2})$$

$$I_5 = -\frac{64xy^2(1-x-y)(1-2x)(1-y)}{\Delta_{132}^3}, \quad (\text{K.3})$$

$$I_9 = -\frac{32x^2y^2(1-2x)(1-2y)}{\Delta_{312}^2\Delta_{12}}, \quad (\text{K.4})$$

$$I_{10} = \frac{64xy(1-x-y)((2x-1)y^2 + xy(2x-3) + x(1-x) + y)}{\Delta_{132}^3}, \quad (\text{K.5})$$

$$I_{12} = -\frac{16xy(1-x-y)(1-2x)(1-2y)(x-y)}{\Delta_{312}\Delta_{12}} \left( \frac{1}{\Delta_{312}} + \frac{1}{\Delta_{12}} \right), \quad (\text{K.6})$$

where  $\Delta_{ijk} = m^2 - xyq_i^2 - x(1-x-y)q_j^2 - y(1-x-y)q_k^2$  and  $\Delta_{ij} = m^2 - x(1-x)q_i^2 - y(1-y)q_j^2$ . The rest of scalar functions, entering the

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<sup>1</sup>In ref. [281] the  $I_i$  functions multiply the  $\hat{\Pi}_i$  functions. The relation between both bases is given in eq. (2.22) of ref. [277]. Specifically, our  $I_{1,3,5,9,10,12}$  correspond, respectively, to the  $I_{1,4,7,17,39,54}$  in the tilded basis.



master formula, can be obtained from  $q_i$  permutations, as follows:

$$\begin{aligned}\bar{\Pi}_2 &= \mathcal{C}_{23}[\bar{\Pi}_1], & \bar{\Pi}_4 &= \mathcal{C}_{23}[\bar{\Pi}_3], & \bar{\Pi}_6 &= \mathcal{C}_{12}[\mathcal{C}_{13}[\bar{\Pi}_5]], \\ \bar{\Pi}_7 &= \mathcal{C}_{23}[\bar{\Pi}_5], & \bar{\Pi}_8 &= \mathcal{C}_{13}[\bar{\Pi}_9], & \bar{\Pi}_{11} &= -\mathcal{C}_{23}[\bar{\Pi}_{12}],\end{aligned}\tag{K.7}$$

where the crossing operators  $\mathcal{C}_{ij}$  exchange momenta and Lorentz indices of the photons  $i$  and  $j$ .

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