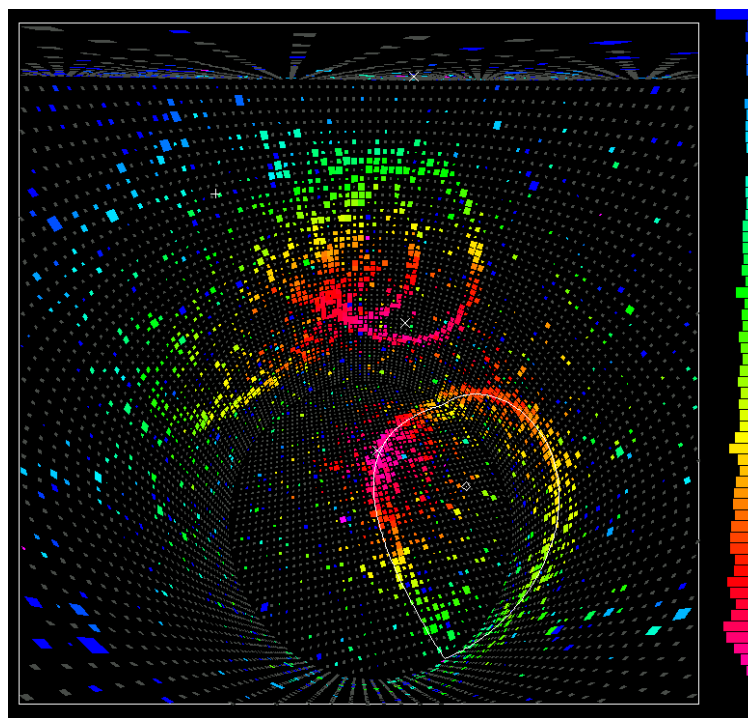




## Present and Future Oscillation Experiments: Lecture 3

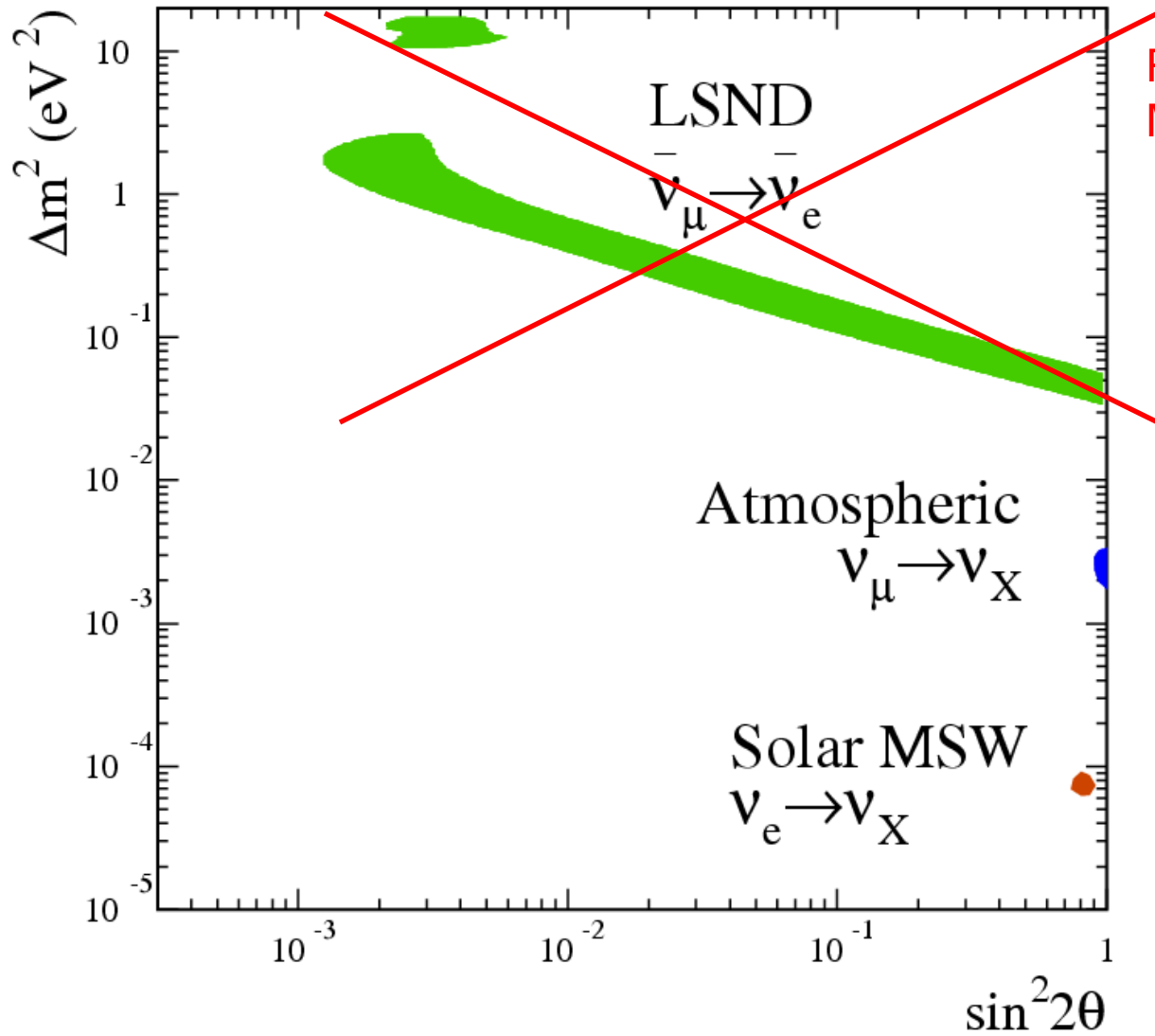
**M. Shaevitz**  
**Columbia University**



# Outline

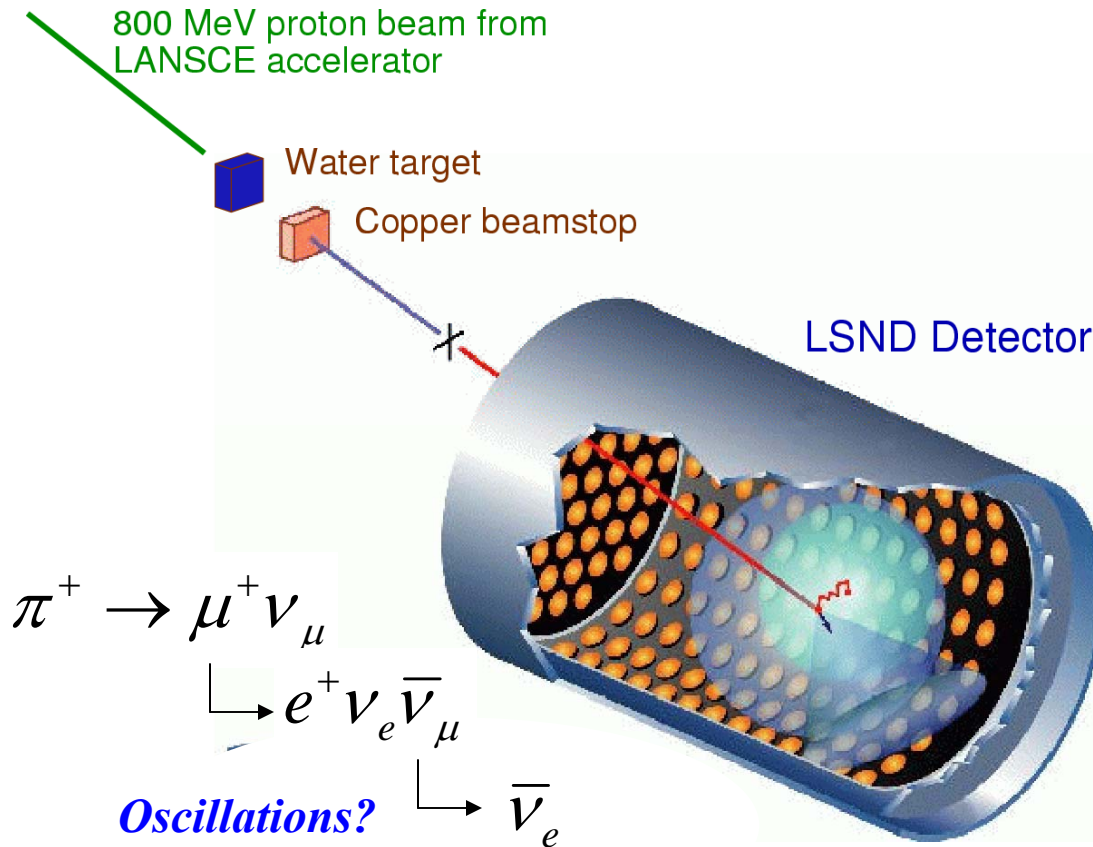
- Lecture 1: Experimental Neutrino Physics
  - Neutrino Physics and Interactions
  - Neutrino Mass Experiments
  - Neutrino Sources/Beams and Detectors for Osc. Exp's
- Lecture 2: The Current Oscillation Results
  - Solar and Kamland Neutrino Results
  - Atmospheric and Accelerator Neutrino Results
  - Global Oscillation Fits
- Lecture 3: Present and Future Oscillation Experiments
  - The Fly in the Ointment: LSND and MiniBooNE
  - Searches for  $\theta_{13}$  / Mass Hierarchy / CP Violation
    - Current Hints
    - Reactor Experiments
    - Longbaseline experiments
    - Combining Experiments
  - Future Plans for Oscillation Experiments

# Current Oscillation Summary



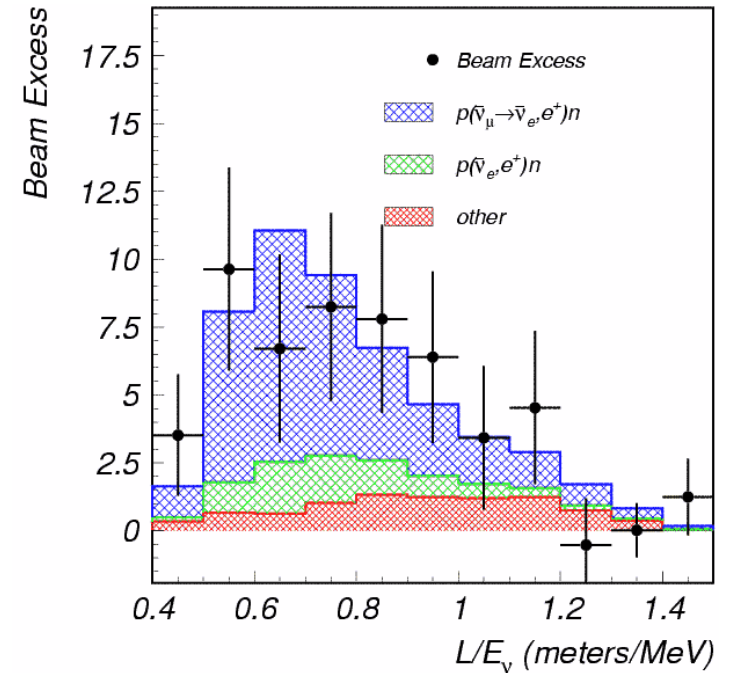
Ruled out by  
MiniBooNE (almost)  
( $\bar{\nu}$  running; low-E excess)

# The Fly in the Ointment – “La mosca en la sopa” The LSND Anomaly



LSND took data from 1993-98

- 49,000 Coulombs of protons
- L = 30m and  $20 < E_\nu < 53$  MeV



**Saw an excess of:  
 $87.9 \pm 22.4 \pm 6.0$  events.**

**With an oscillation probability of  
 $(0.264 \pm 0.067 \pm 0.045)\%$ .**

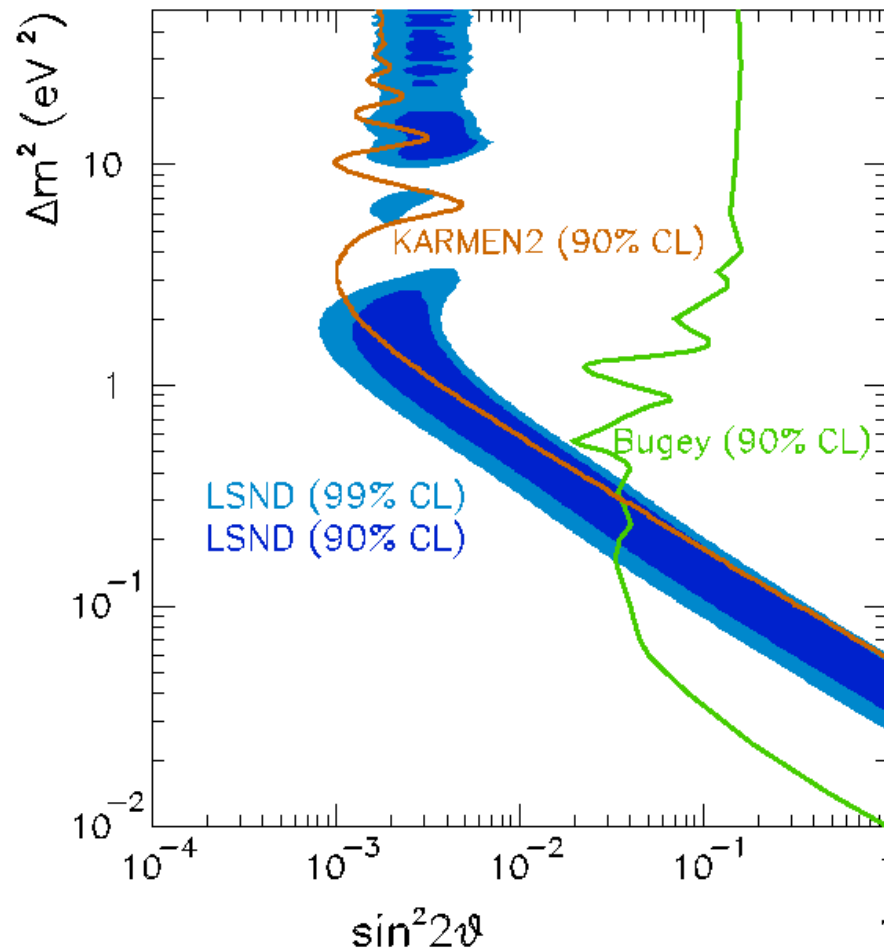
**3.8  $\sigma$  evidence for oscillation.**



# LSND Interpretations

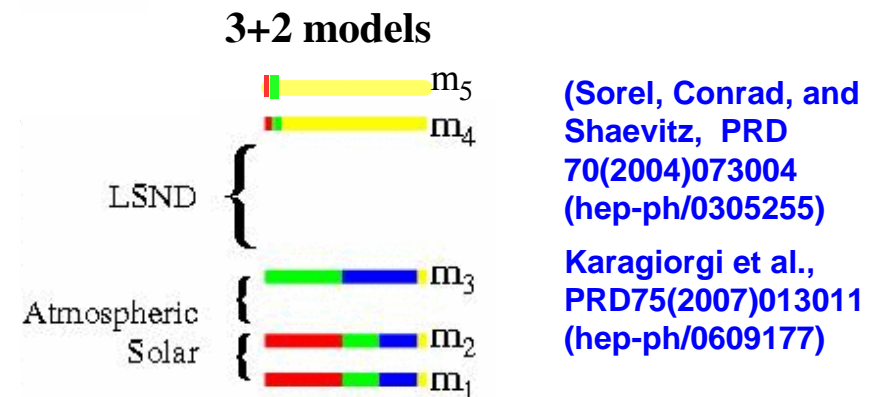
LSND observed a ( $\sim 3.8\sigma$ ) excess of  $\bar{\nu}_e$  events in a pure  $\bar{\nu}_\mu$  beam:  $87.9 \pm 22.4 \pm 6.0$  events

Oscillation Probability:  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = (0.264 \pm 0.067 \pm 0.045)\%$

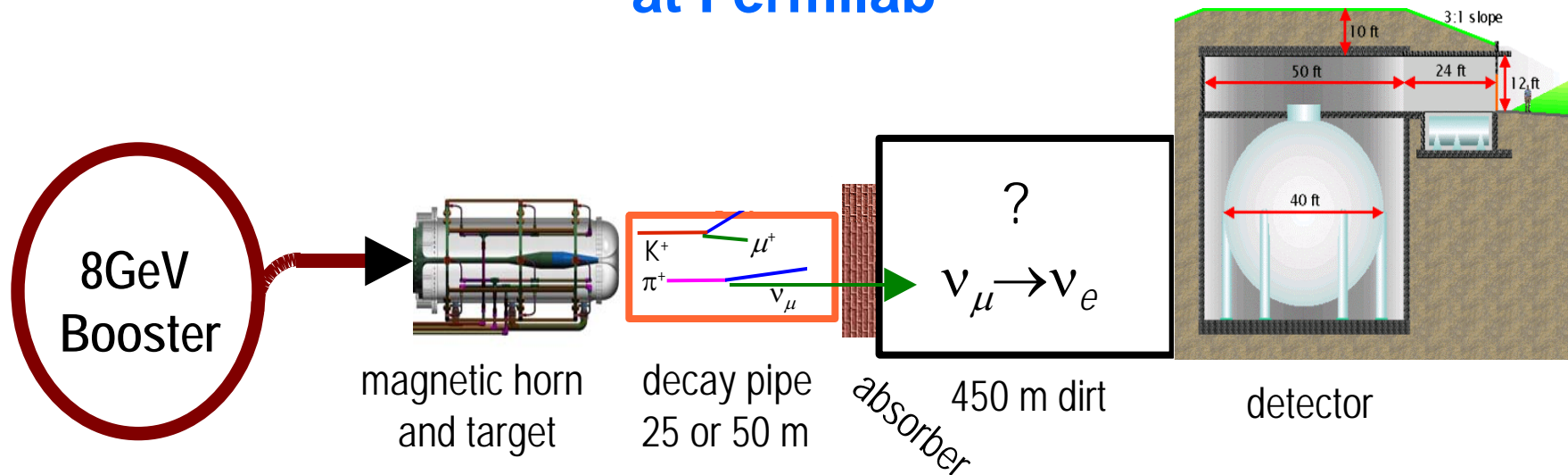


LSND in conjunction with the atmospheric and solar oscillation results needs more than 3  $\nu$ 's

- $\Rightarrow$  Models developed with 2 sterile  $\nu$ 's
- or
- $\Rightarrow$  Other new physics models



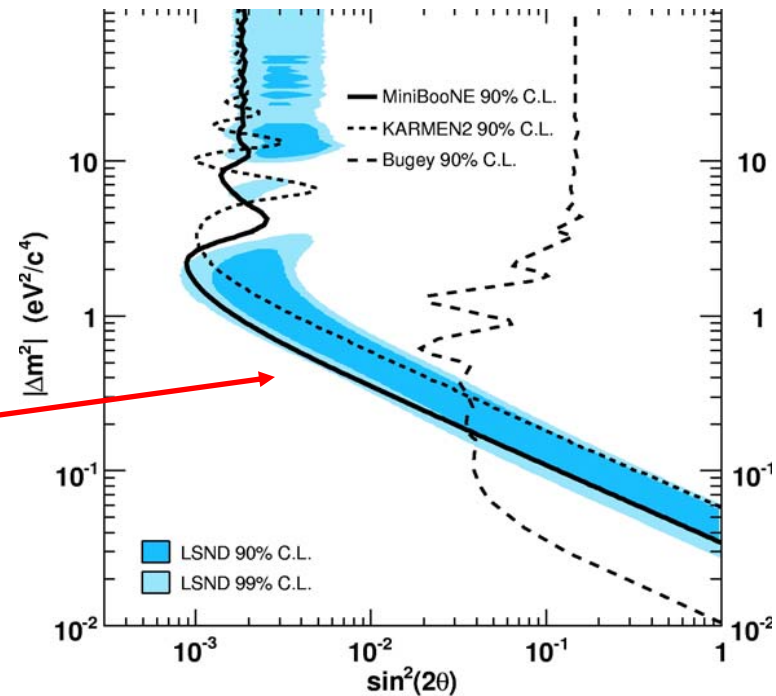
## The MiniBooNE Experiment at Fermilab



- Proposed in summer 1997, operating since 2002
- Goal to confirm or exclude the LSND result - Similar L/E as LSND
  - Different systematics: event signatures and backgrounds different from LSND
  - High statistics: ~ x5 LSND
- Since August 2002 have collected data:
  - $6.9 \times 10^{20}$  POT  $\nu$
  - $5.1 \times 10^{20}$  POT  $\bar{\nu}$

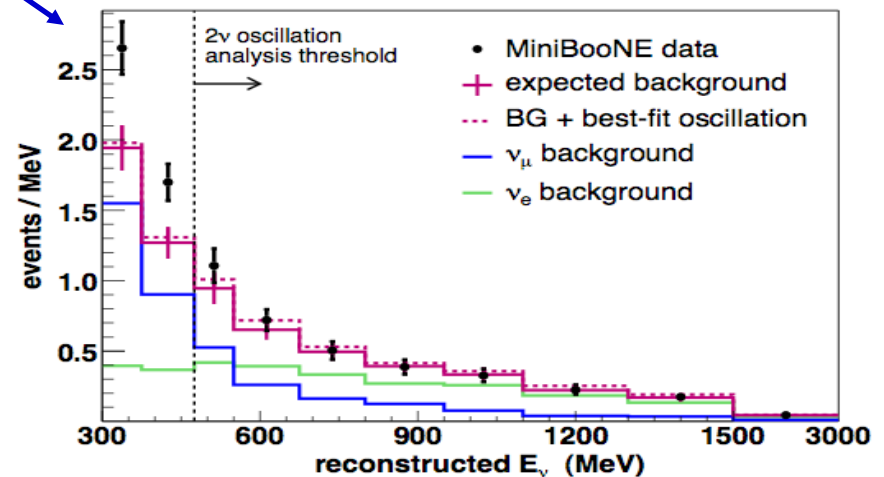
# MiniBooNE $\nu_\mu \rightarrow \nu_e$ Appearance Search in LSND Region

- Method: Search for an excess of “ $\nu_e$ ” events over expectation  
 $\Rightarrow$  Knowing expectation is key  
 Use observed  $\nu_\mu$  events to constrain  $\nu_e$  physics and background
- In analysis region between  $475 < E_\nu < 3000$  MeV, **no evidence for oscillation in LSND region**
  - Simple  $2\nu$  osc excluded at 98% CL
- Unexpected excess of events at low energy  $< 475$  MeV



Phys. Rev. Lett. 98, 231801 (2007),  
 arXiv:0704.1500 [hep-ex]

Also: “Unexplained Excess of  
 Electron-Like Events from a 1 GeV  
 $\nu$  Beam”, PRL 102, 101802 (2009)



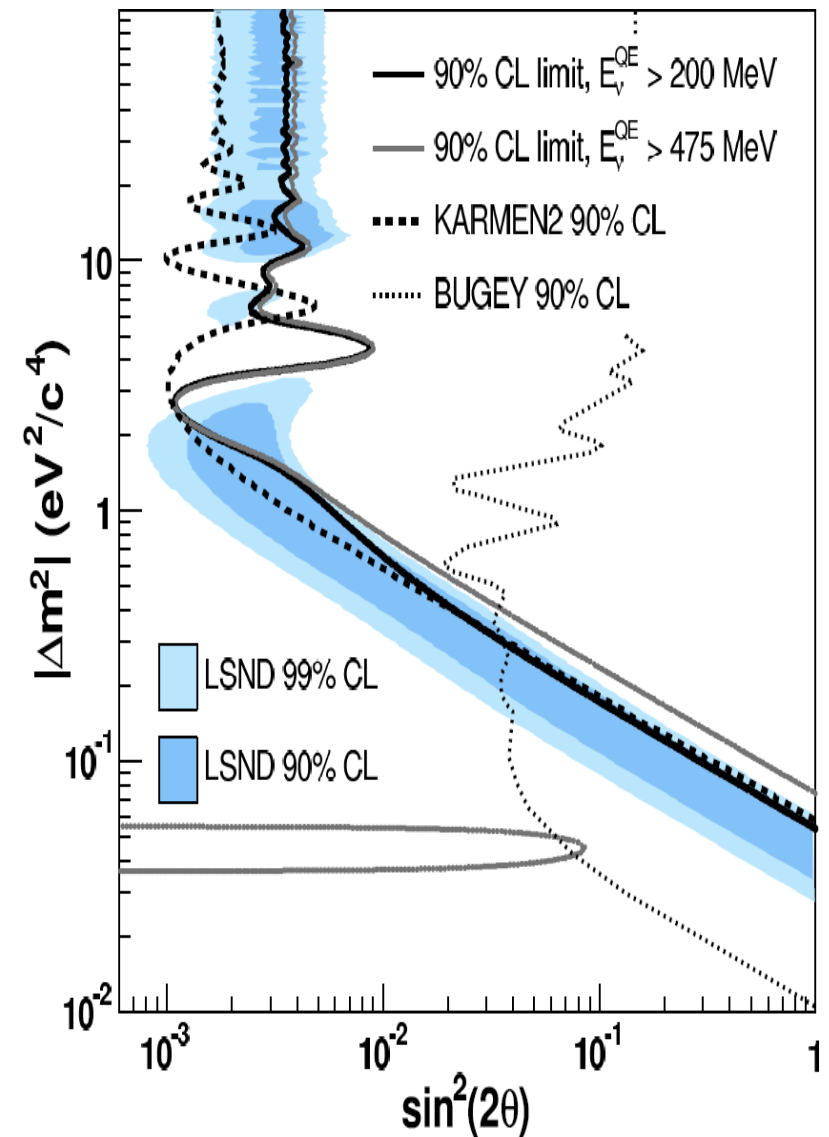
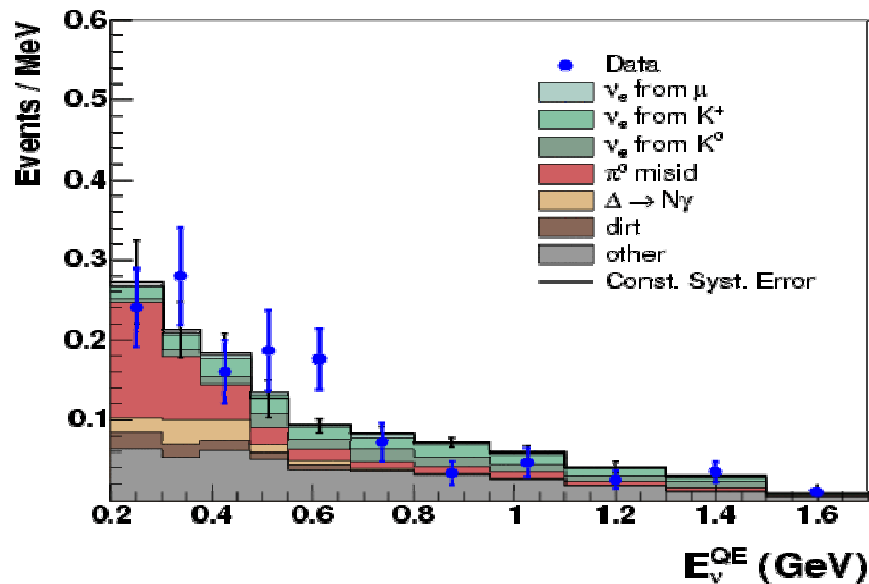
# New MiniBooNE $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ Appearance Results

- The antineutrino search important because
  - Provides direct tests of LSND  $\bar{\nu}$  appearance
  - More information on low-energy excess
- The backgrounds at low-energy are almost the same for the neutrino and antineutrino data samples.
- Antineutrino analysis is the same as the neutrino analysis.
- First antineutrino result has low statistics
  - $3.4 \times 10^{20}$  POT giving about 100K event
  - Inconclusive wrt LSND**

**No indication of  $\bar{\nu}$  data-MC excess:**

200-475 MeV:  $-0.5 \pm 11.7$  events

475-1250 MeV:  $3.2 \pm 10.0$  events



(arXiv:0904.1958)

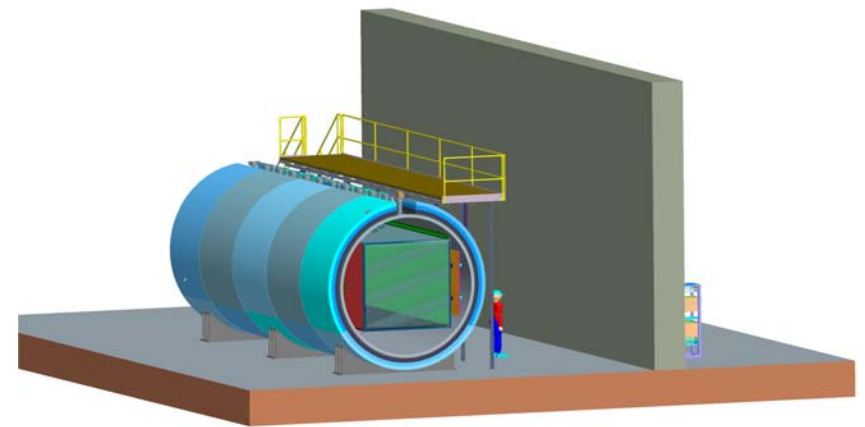
## Low Energy Excess Models

- Few standard model explanations and many new physics ideas
- Many models have equal effects in neutrinos and antineutrinos  
 $\Rightarrow$  These models are “disfavored” by absence of  $\bar{\nu}_e$  excess.

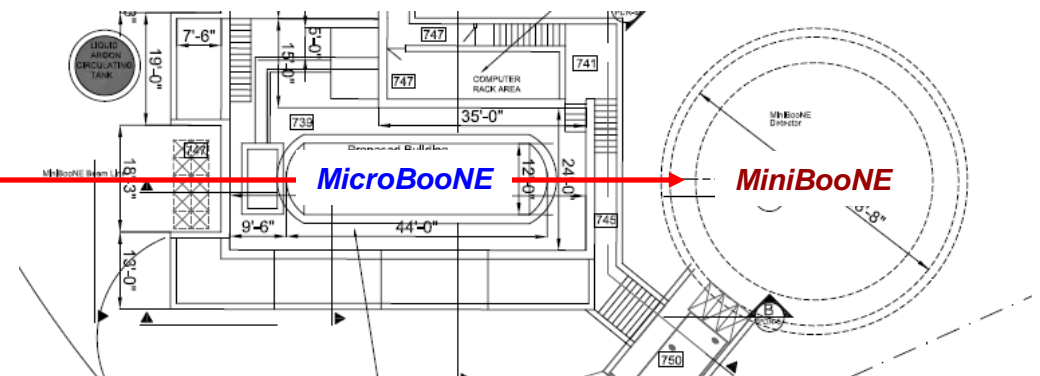
Possible explanation	Status
<b>Anomaly Mediated Neutrino-Photon Interactions:</b> <i>Harvey, Hill, &amp; Hill, arXiv: arXiv:0905.029</i>	Disfavored
<b>CP-Violation 3+2 Model:</b> <i>Maltoni &amp; Schwetz, arXiv:0705.0107; T. Goldman, G. J. Stephenson Jr., B. H. J. McKellar, Phys. Rev. D75 (2007) 091301.</i>	Possible
<b>Lorentz Violation:</b> <i>Katori, Kostelecky, &amp; Tayloe, Phys. Rev. D74 (2006) 105009</i>	Possible
<b>CPT Violation 3+1 Model:</b> <i>Barger, Marfatia, &amp; Whisnant, Phys. Lett. B576 (2003) 303</i>	Possible
<b>VSBL Electron Neutrino Disappearance:</b> <i>Giunti and Laveder arXiv:0902.1992</i>	Disfavored
<b>New Gauge Boson with Sterile Neutrinos:</b> <i>Ann E. Nelson &amp; Jonathan Walsh, arXiv:0711.1363</i>	Disfavored

## Future Plans and Prospects

- Will triple the MiniBooNE  $\bar{\nu}$  data over the next 2 years  
 ⇒ Allow better comparison of low-energy excess
- New MicroBooNE Experiment approved at Fermilab
  - Liquid Argon TPC detector which can address the low-energy excess:
    - Reduced background levels
    - Is excess due to single electron or photon events?
  - Approximately 70-ton fiducial volume detector, located near MiniBooNE (initial data: end 2011)



Use MiniBooNE  
Beamline





**The Search for the “Little Mixing Angle” ( $\theta_{13}$ ),  
CP Violation, and the Mass Hierarchy**

## Oscillations Parameterized by 3x3 Unitary Mixing Matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3}e^{i\delta} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$\left( \begin{array}{c} \textit{Flavor} \\ \textit{Eigenstate} \end{array} \right) = \left( \textit{Mixing Matrix} \right) \left( \begin{array}{c} \textit{Mass} \\ \textit{Eigenstate} \end{array} \right)$

Three mass splittings:  $\Delta m_{12}^2 = m_1^2 - m_2^2$  ,  $\Delta m_{23}^2 = m_2^2 - m_3^2$  ,  $\Delta m_{31}^2 = m_3^2 - m_1^2$

But only two are independent since only three masses

If  $\delta \neq 0$ , then have CP violation  $\Rightarrow P(\nu_\mu \rightarrow \nu_e) \neq P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

Current Measurements:  $\Delta m_{12}^2 = 8 \times 10^{-5} \text{ eV}^2$  (solar) ,  $\Delta m_{13}^2 \approx \Delta m_{23}^2 = 2.5 \times 10^{-3} \text{ eV}^2$  (atmospheric)

$$U = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta_{CP}} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{CP}} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \times \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

3-mixing  
angles

Solar:  $\theta_{12} \sim 33^\circ$

“Little mixing angle,  $\theta_{13}$ ”  
 $\sin^2 2\theta_{13} < 0.2$  at 90% CL  
 (or  $\theta_{13} < 13^\circ$ ) and  $\delta = ??$

Atmospheric:  $\theta_{23} \sim 45^\circ$

## CP Violation in Neutrino Oscillations

- Disappearance measurements cannot see CP violation effect

$$P(\nu_\mu \rightarrow \nu_\mu) = P(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu)$$

- Very, very hard to see CP violation effects in exclusive (appearance) measurements.
  - Only can see CP violation effects if an experiment is sensitive to oscillations involving at least three types of neutrinos.

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = 4 \operatorname{Im}(U_{\mu 1} U_{e 1}^* U_{\mu 3}^* U_{e 3}) (s_{12} + s_{23} + s_{31})$$

$$\text{where } s_{ij} = \sin(\delta m_{ij}^2 L/2E) \text{ and } \delta m_{ij}^2 = m_i^2 - m_j^2$$

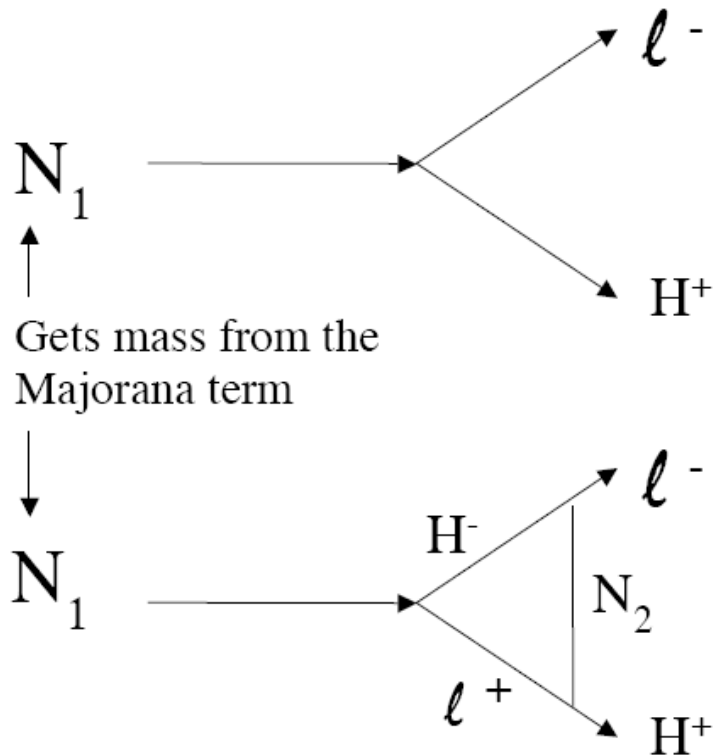
- All the terms ( $s_{12}$ ,  $s_{13}$ ,  $s_{23}$ ) must not be  $\ll 1$  or effectively becomes only two component oscillation
  - For example, if  $s_{31} \approx 0$  then  $s_{12} \approx -s_{23} \Rightarrow s_{12} + s_{31} + s_{23} \approx 0$

$\Rightarrow$  To see CP violation must be sensitive to all three neutrino oscillations

$\Rightarrow$  Make L/E experiment appropriate for  $\Delta m_{23}^2 = 2.5 \times 10^{-3} \text{ eV}^2$   
and look for the small effects from  $\Delta m_{12}^2 = 8 \times 10^{-5} \text{ eV}^2$

CP violation in the neutrino sector may explain the matter-antimatter asymmetry in the universe

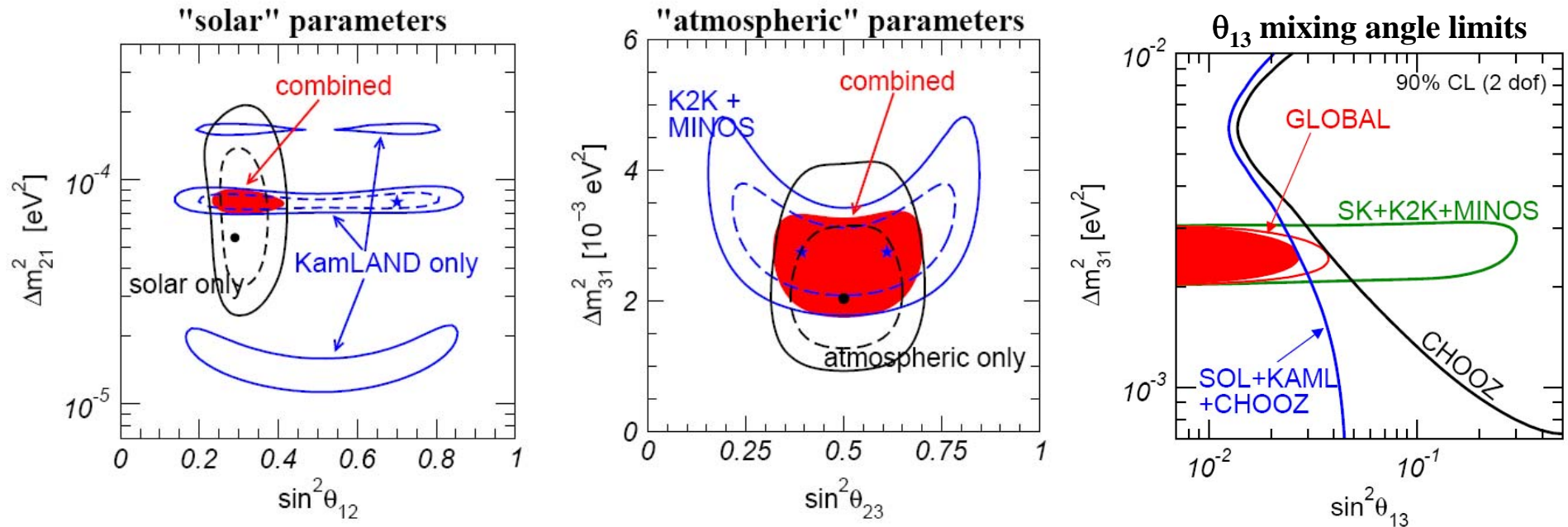
Before the electroweak phase transition...



Interference between these two types of diagrams can lead to a different rate of decay to particles than antiparticles  
 → **CP Violation**

*which seems more plausible if we see CP violation in the light neutrinos*

# Current Global Fits to Solar, Atmospheric, Accelerator, and Reactor Data 15

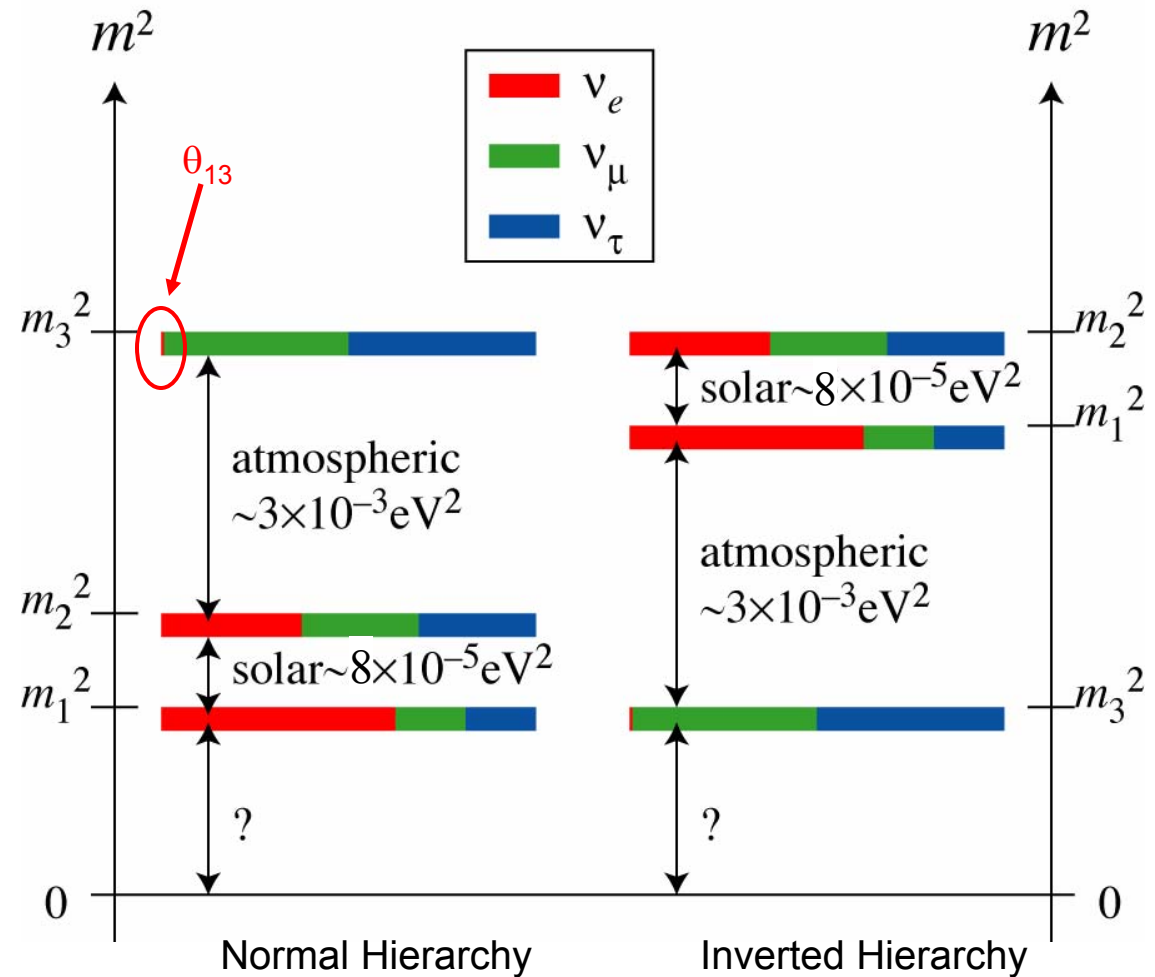


Parameter	Best fit	$2\sigma$	$3\sigma$
$\Delta m_{21}^2$ ( $10^{-5} \text{eV}^2$ )	7.6	7.3–8.1	7.1–8.3
$ \Delta m_{31}^2 $ ( $10^{-3} \text{eV}^2$ )	2.4	2.1–2.7	2.0–2.8
$\sin^2 \theta_{12}$	0.32	0.28–0.37	0.26–0.40
$\sin^2 \theta_{23}$	0.50	0.38–0.63	0.34–0.67
$\sin^2 \theta_{13}$	0.007	$\leq 0.033$	$\leq 0.050$

# Big Questions in Neutrino Oscillations

Still missing some information

1. What is  $\nu_e$  component in the  $\nu_3$  mass eigenstate?  
 $\Rightarrow$  The size of the “little mixing angle”,  $\theta_{13}$  ?  
 – Only know  $\theta_{13} < 13^\circ$
2. Is the  $\mu - \tau$  mixing maximal?  
 –  $35^\circ < \theta_{23} < 55^\circ$
3. What is the mass hierarchy?  
 – Is the solar pair the most massive or not?
4. What is the absolute mass scale for neutrinos?  
 – We only know  $\Delta m^2$  values
5. Do neutrinos exhibit CP violation, i.e. is  $\delta \neq 0$ ?

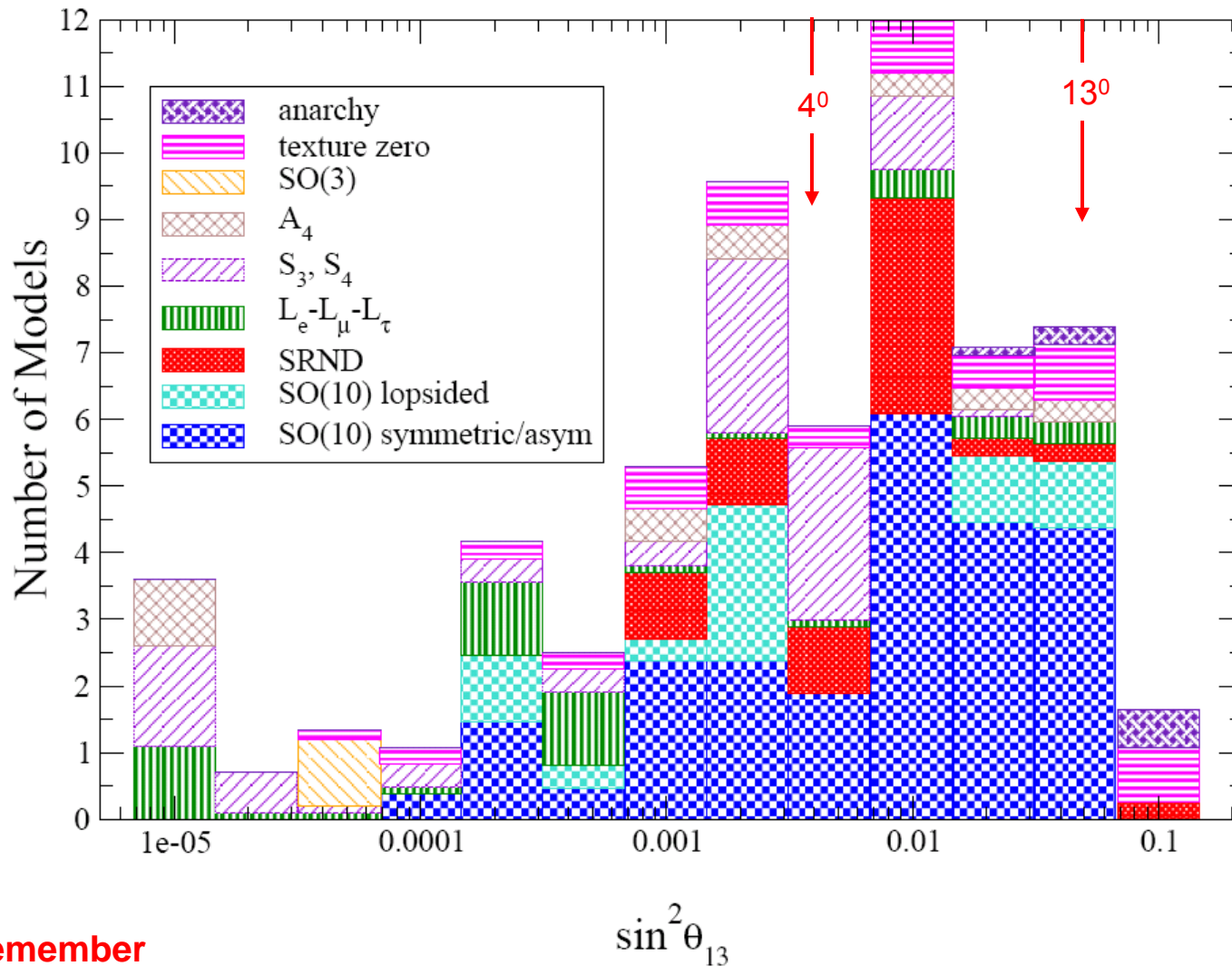




## What $\sin^2 2\theta_{13}$ Sensitivity Is Needed?

- Theoretical / Phenomenology
  - Really no solid information or constraints.
    - $U_\nu \neq U_{CKM}$
    - Data driven not theory driven field
    - $\sin^2 2\theta_{13}$  could be very small if associated with some symmetry.
  - Models:
    - Simple models do not fit current oscillation data
      - ⇒ Put in small? perturbations
        - $\theta_{13} = \Delta m_{\text{solar}}^2 / \Delta m_{\text{atmos}}^2$  or  $\sqrt{(\cdot)}$
        - or  $\sqrt{(m_e/m_\mu)}$
        - (i.e. Altarelli, Feruglio, hep-ph/0206077)
    - ??  $\sin^2 2\theta_{13} \approx$  very small to CHOOZ limit??
- Practical / Political
  - Information for next step
    - Need  $\sin^2 2\theta_{13} > \sim 0.01$  to measure neutrino mass hierarchy and CP violation with longbaseline exp's
      - Probably will not embark on expensive ( $\sim 500M\$$ ) project without a clear measurement of  $\sin^2 2\theta_{13}$
  - Competition and Complementarity
    - Proposed experiments have sensitivity in the  $> \sin^2 2\theta_{13} \approx 0.01$  region
      - Combination of appearance and disappearance may be powerful if comparable sensitivity

## Predicted Values of $\theta_{13}$ for Various Models



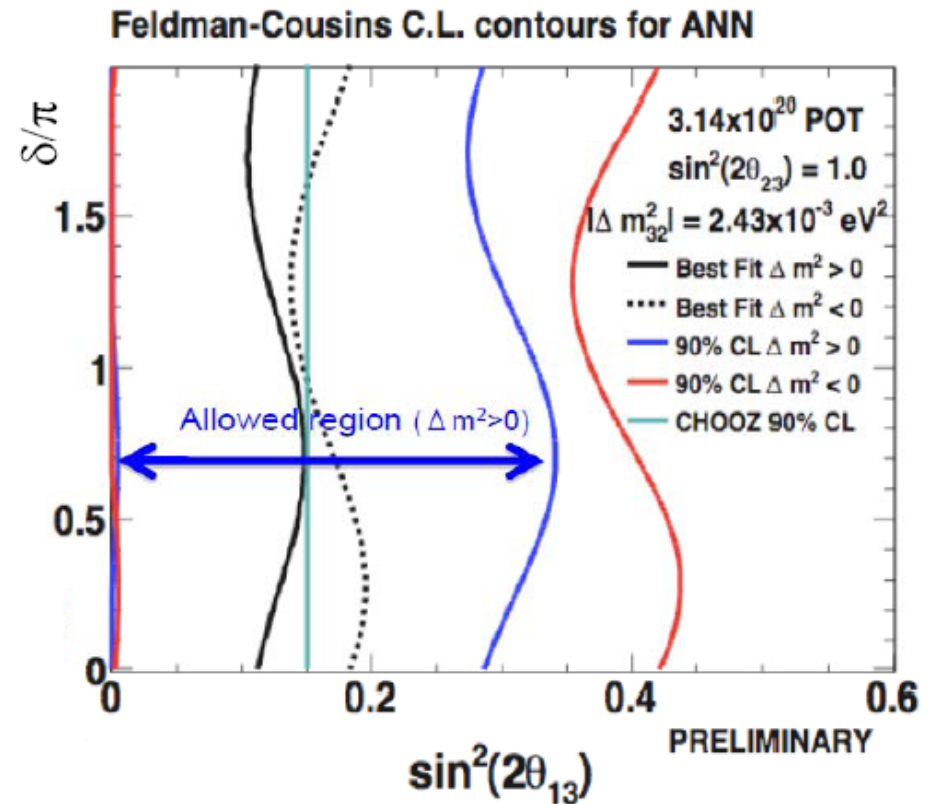
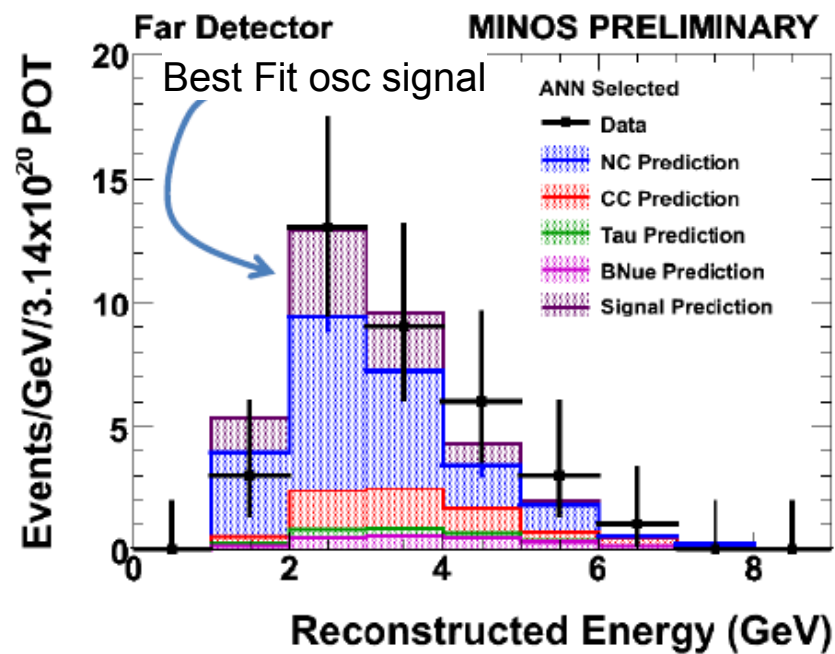
**Remember**  
 $\sin^2 2\theta_{13} = 4 \sin^2 \theta_{13}$

Carl H. Albright,  
 arXiv:0803.4176v1 [hep-ph] 28 Mar 2008

# MINOS $\nu_e$ Appearance: Hint of $\theta_{13}$ ?

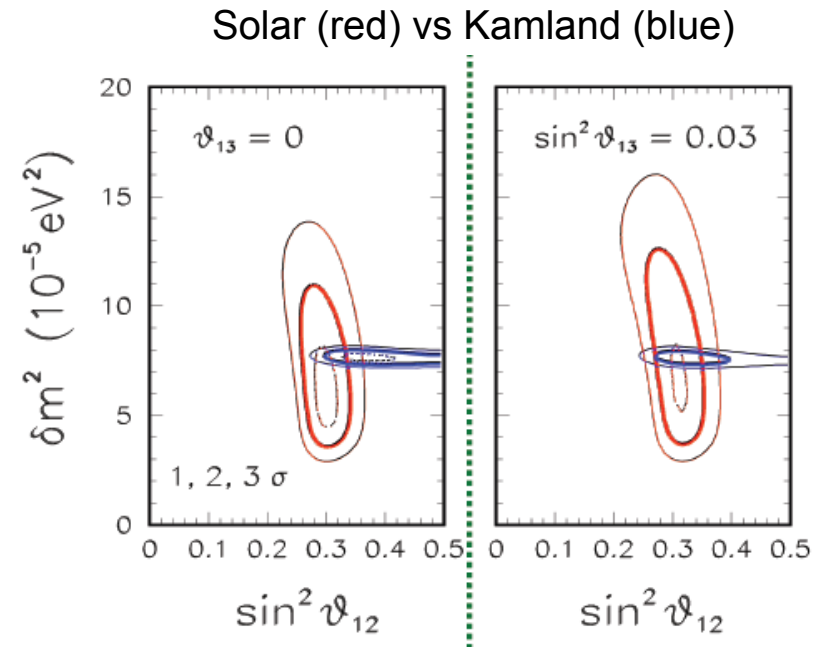
35  $\nu_e$  candidate events are selected at the Far detector.  
 Expected background:  $27 \pm 5(\text{stat}) \pm 2(\text{syst})$  (1.5  $\sigma$ )

A.Habig, TAUP2009



## Other Hints of Non-zero $\theta_{13}$

- 3 $\nu$  analysis **Atmospheric** region from Super-K, K2K, and Chooz
  - Small excess of sub-GeV electron-like events if solar  $\delta m^2$  included in the fit  
 $\Rightarrow$  1 sigma effect
- Solar and Kamland prefer a different value of  $\theta_{12}$  unless  $\theta_{13} > 0$ 
  - 1.2 to 1.5 sigma effect
- MINOS sees a 1.5 sigma excess in  $\nu_e$  appearance search

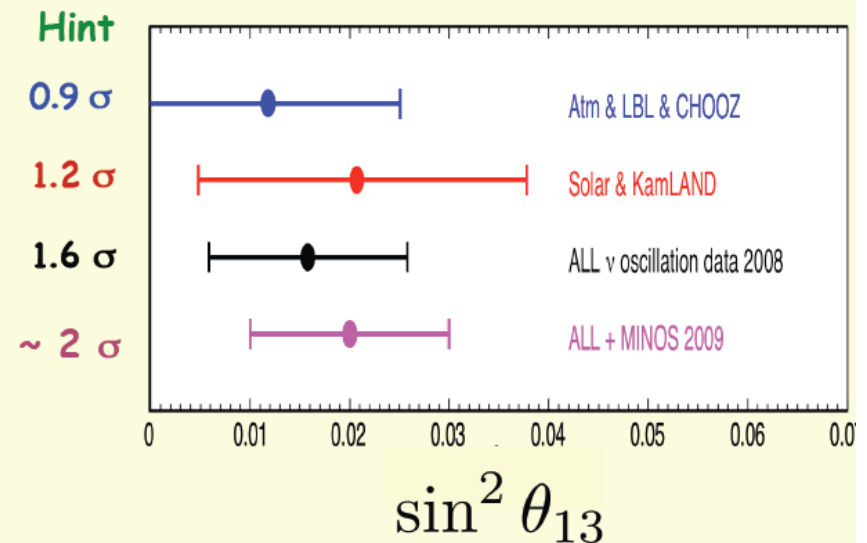


Be careful, these are regions for  $\sin^2 \theta_{ij}$  !!

$$\sin^2 \theta_{13} = 0.02 \Rightarrow \sin^2 2\theta_{13} = 0.08$$

Cheat Sheet:

$$U_{e3}^2 = \sin^2 \theta_{13} \sim \frac{1}{2} \sin^2 \theta_{\mu e} \sim \frac{1}{4} \sin^2 2\theta_{13}$$



## Experimental Methods to Measure the “Little Mixing Angle”, $\theta_{13}$

- Long-Baseline Accelerators: Appearance ( $\nu_{\mu} \rightarrow \nu_e$ ) at  $\Delta m^2 \approx 2.5 \times 10^{-3} \text{ eV}^2$ 
  - Look for appearance of  $\nu_e$  in a pure  $\nu_{\mu}$  beam vs. L and E
    - Use near detector to measure background  $\nu_e$ 's (beam and misid)

### NOvA:

$\langle E_{\nu} \rangle = 2.3 \text{ GeV}$   
 $L = 810 \text{ km}$



### T2K:

$\langle E_{\nu} \rangle = 0.7 \text{ GeV}$   
 $L = 295 \text{ km}$



- Reactors: Disappearance ( $\bar{\nu}_e \rightarrow \bar{\nu}_e$ ) at  $\Delta m^2 \approx 2.5 \times 10^{-3} \text{ eV}^2$ 
  - Look for a change in  $\bar{\nu}_e$  flux as a function of L and E
    - Look for a non-  $1/r^2$  behavior of the  $\nu_e$  rate
    - Use near detector to measure the un-oscillated flux

### Double Chooz:

$\langle E_{\nu} \rangle = 3.5 \text{ MeV}$   
 $L = 1100 \text{ m}$



## Long-Baseline Accelerator Appearance Experiments

- Oscillation probability complicated and dependent not only on  $\theta_{13}$  but also:

- CP violation parameter ( $\delta$ )
- Mass hierarchy (sign of  $\Delta m_{31}^2$ )
- Size of  $\sin^2\theta_{23}$

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4C_{13}^2 S_{13}^2 S_{23}^2 \sin^2 \frac{\Delta m_{31}^2 L}{4E} \times \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2S_{13}^2) \right) \\
 & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta - S_{12} S_{13} S_{23}) \cos \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E} \\
 & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \sin \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E} \\
 & + 4S_{12}^2 C_{13}^2 \{ C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta \} \sin^2 \frac{\Delta m_{21}^2 L}{4E} \\
 & - 8C_{13}^2 S_{13}^2 S_{23}^2 \cos \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \frac{aL}{4E} (1 - 2S_{13}^2)
 \end{aligned}$$

*⇒ These extra dependencies are both a “curse” and a “blessing”*

## Reactor Disappearance Experiments

- Reactor disappearance measurements provide a straight forward method to measure  $\theta_{13}$  with no dependence on matter effects and CP violation

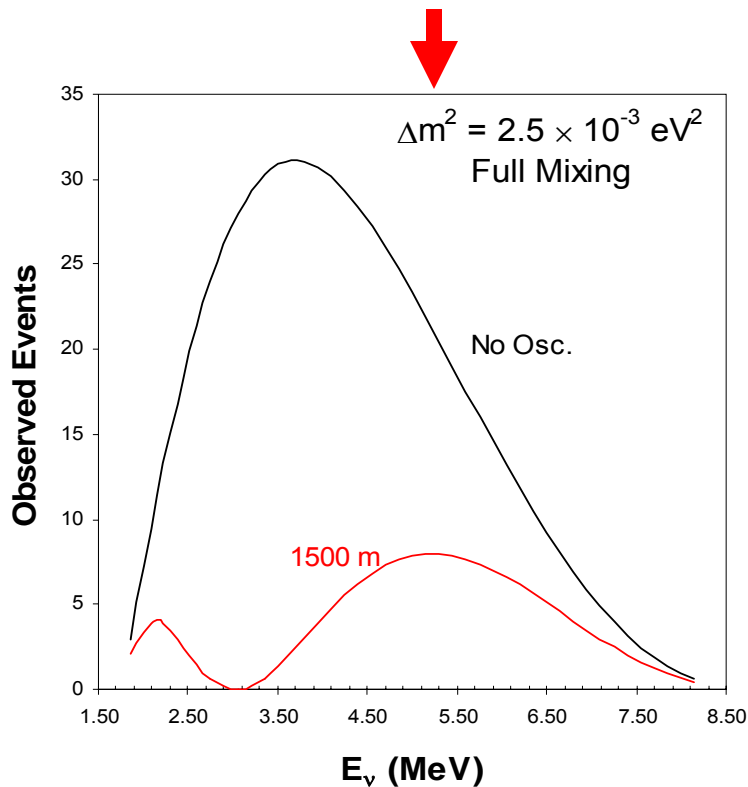
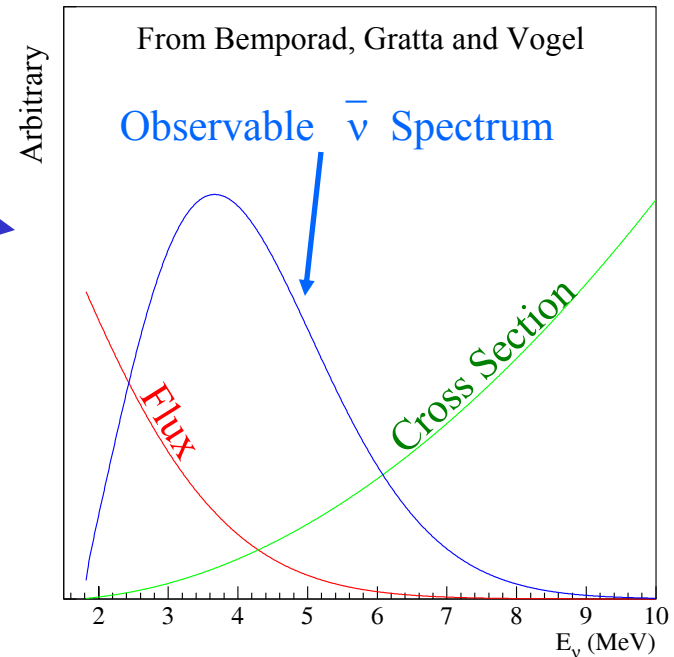
$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{13}^2 L}{4E} + \text{small terms}$$



# Reactor Neutrino Experiments

# Reactor Measurements of $\theta_{13}$

- Nuclear reactors are very intense sources of  $\bar{\nu}_e$  with a well understood spectrum
  - 3 GW  $\rightarrow 6 \times 10^{20}$   $\bar{\nu}_e/s$   
700 events / yr / ton at 1500 m away
  - Reactor spectrum peaks at  $\sim 3.7$  MeV
  - Oscillation Max. for  $\Delta m^2 = 2.5 \times 10^{-3}$  eV<sup>2</sup> at L near 1500 m



- Disappearance Measurement:
  - Look for small rate deviation from  $1/r^2$  measured at near and far baselines*
  - Counting Experiment
    - Compare events in near and far detector
  - Energy Shape Experiment
    - Compare energy spectrum in near and far detector

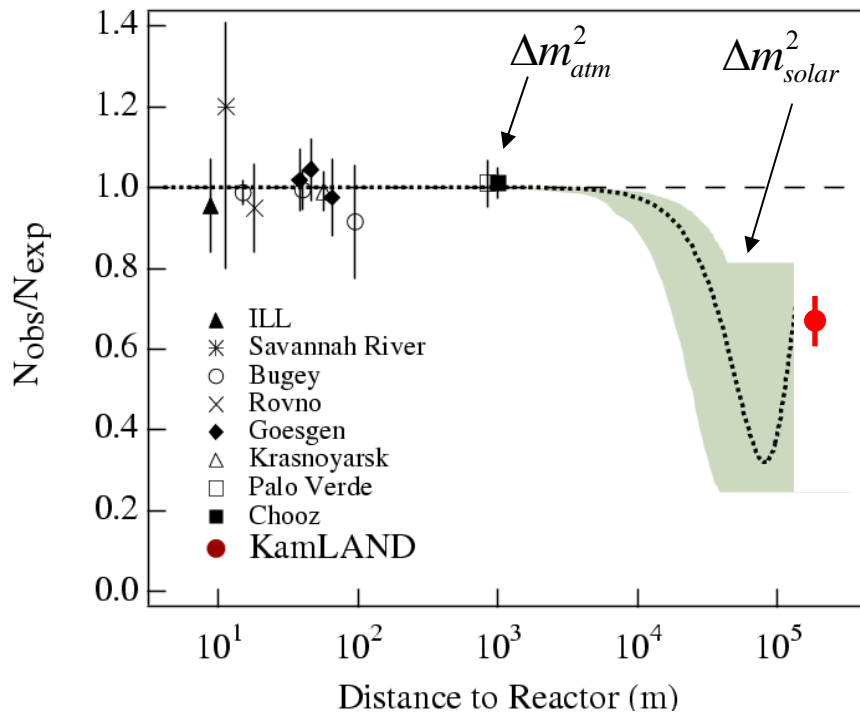
## Reactor Disappearance Oscillation Probability

- A reactor disappearance experiment provides a straight forward method to measure  $\sin^2 2\theta_{13}$  with no dependence on matter effect and CP violation
  - Only complication is associated with the atmospheric and solar  $\Delta m^2$  interference terms which is small.

$$\begin{aligned}
 P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} &= 1 - 2 \sin^2 \theta_{13} \cos^2 \theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) \xrightarrow{\text{Measure } \theta_{13}} \\
 &- \frac{1}{2} \cos^4 \theta_{13} \sin^2(2\theta_{12}) \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \xrightarrow{\text{Measure } \Delta m_{12}^2 : \text{Kamland}} \\
 &+ 2 \sin^2 \theta_{13} \cos^2 \theta_{13} \sin^2 \theta_{12} \left( \cos \left( \frac{\Delta m_{31}^2 L}{2E} - \frac{\Delta m_{21}^2 L}{2E} \right) - \cos \left( \frac{\Delta m_{31}^2 L}{2E} \right) \right)
 \end{aligned}$$

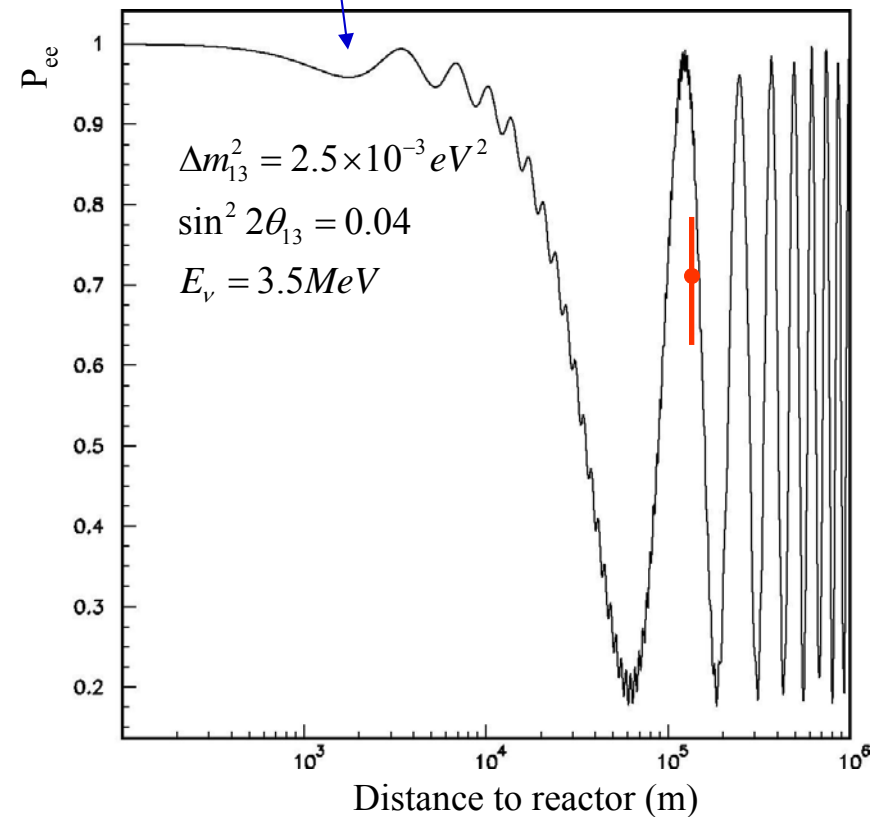
# Reactor Measurements of $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$

Past measurements:



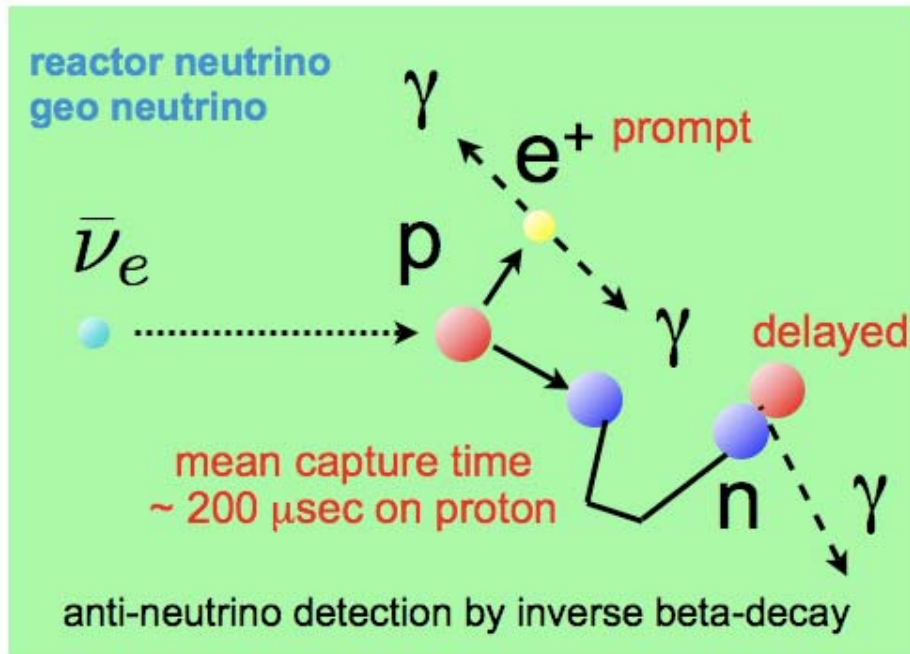
Next: Search for small oscillations at 1-2 km distance (corresponding to  $\Delta m_{\text{atm}}^2$ ).

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \underbrace{\sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{13}^2 L}{4E}}_{\text{small oscillations}} - \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{12}^2 L}{4E}$$

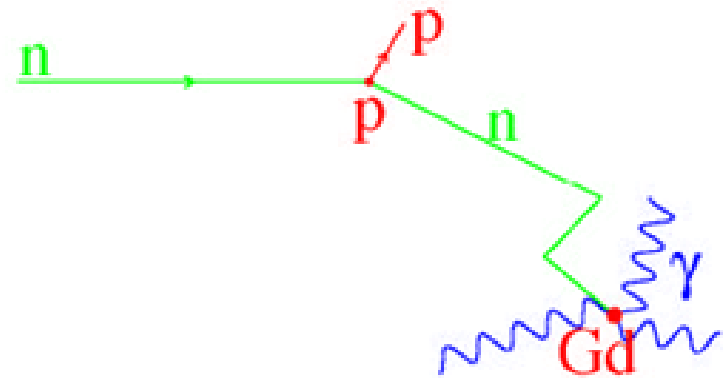


# Reactor Neutrino Detection

## Inverse Beta Decay (IBD) Signal



## Correlated Background



Neutrons from cosmic  
ray muon interactions  
in rock  $\Rightarrow$  Fake signal

- 1) Scattered proton  
looks like positron
- 2) Neutron then gets  
captured

# Backgrounds for Reactor Disappearance Exp's

- Backgrounds to the  $e^+$  - n coincidence signal

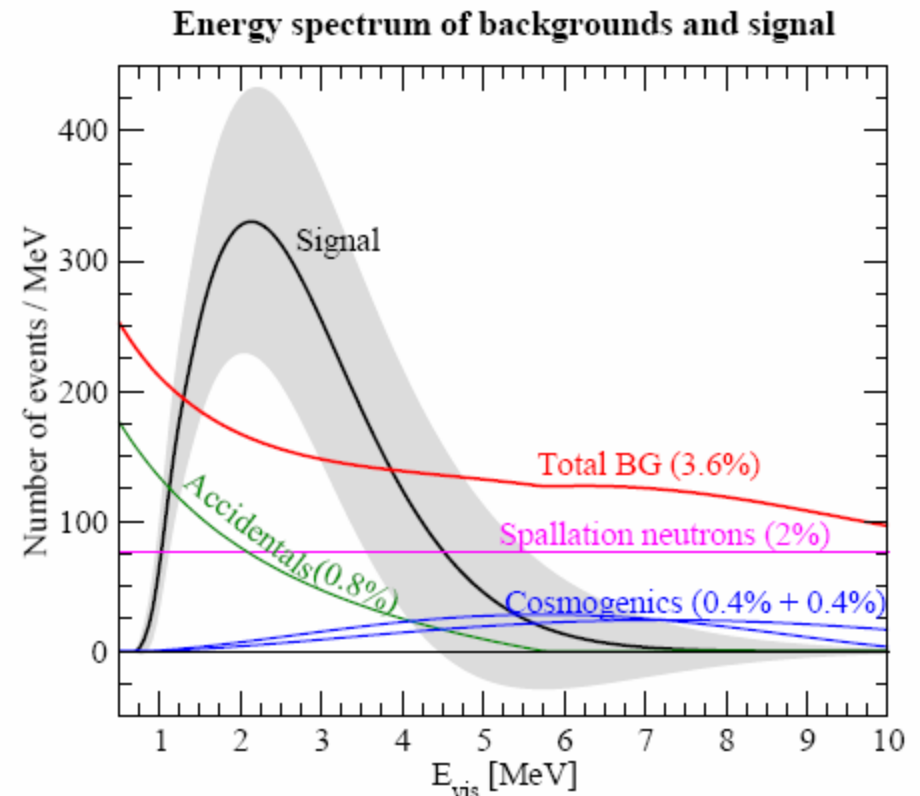
## *Uncorrelated Backgrounds*

- ambient radioactivity
- accidentals
- cosmogenic neutrons

## *Correlated Backgrounds*

- cosmic rays induce neutrons in the surrounding rock and buffer region of the detector
- cosmogenic radioactive nuclei that emit delayed neutrons in the detector

eg.  $^8\text{He}$  (T1/2=119ms)  
 $^9\text{Li}$  (T1/2=178ms)

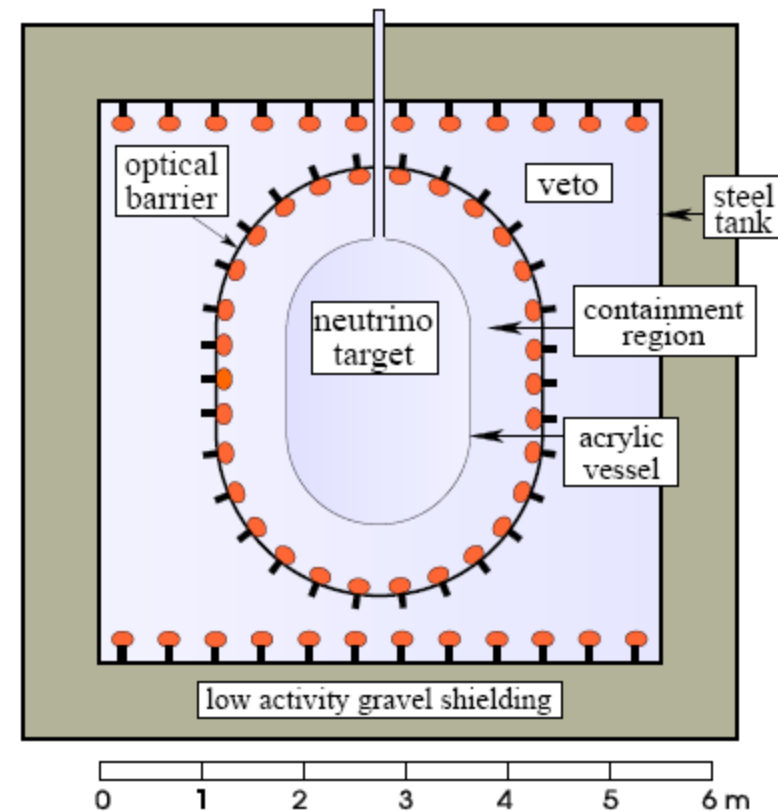




## Previous CHOOZ Reactor Experiment

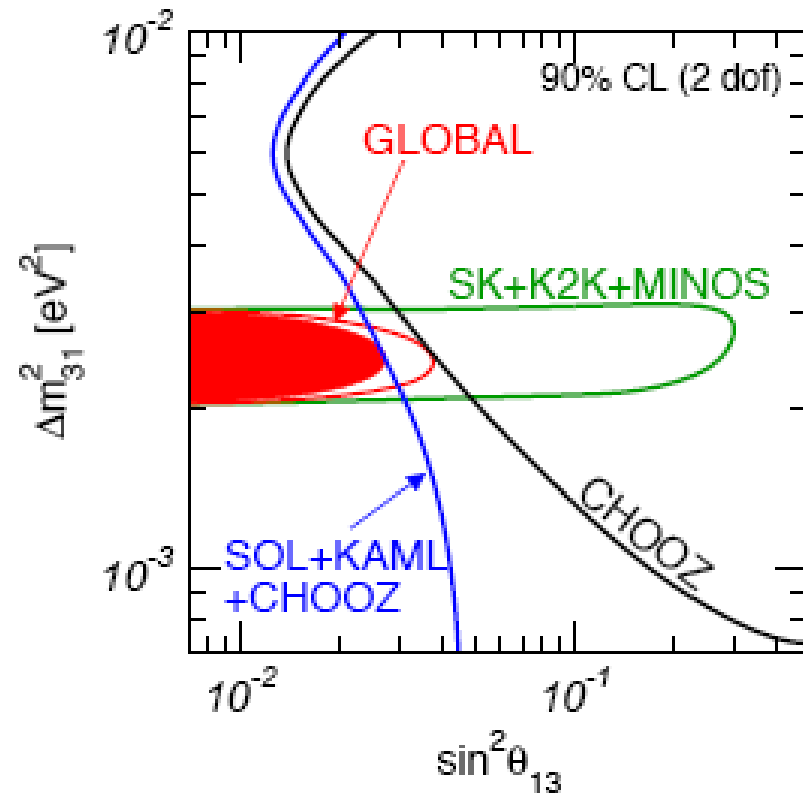
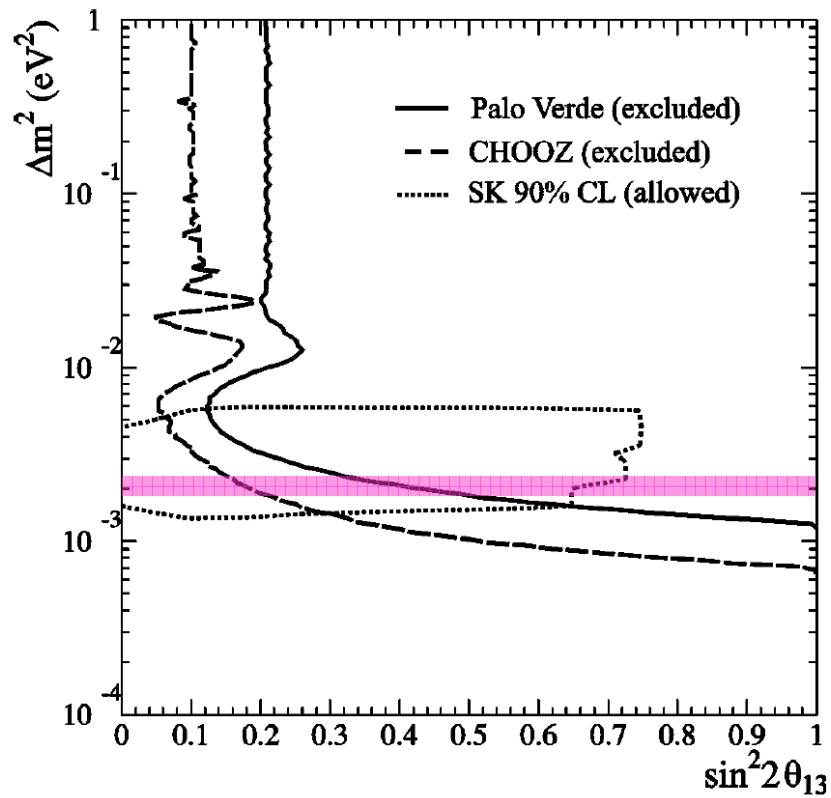
- CHOOZ Experiment probed this region
  - One detector experiments
    - Major systematic associated with reactor flux →
  - Detectors used liquid scintillator with gadolinium and buffer zones for background reduction
  - Shielding:
    - CHOOZ: 300 mwe
  - Fiducial mass:
    - CHOOZ: 5 tons @ 1km, 5.7 GW
      - ~2.2 evts/day/ton with 0.2-0.4 bkgnd evts/day/ton
      - ~3600  $\bar{\nu}$  events

parameter	relative error (%)
reaction cross section(flux)	1.9%
number of protons	0.8%
detection efficiency	1.5%
reactor power	0.7%
energy released per fission	0.6%
combined	2.7%



## Current Limits on $\sin^2\theta_{13}$

Best current limit from:  
**CHOOZ (single detector experiment)**  
 $\sin^2(2\theta_{13}) < 0.2$   
 $(\sin^2(\theta_{13}) < 0.05)$

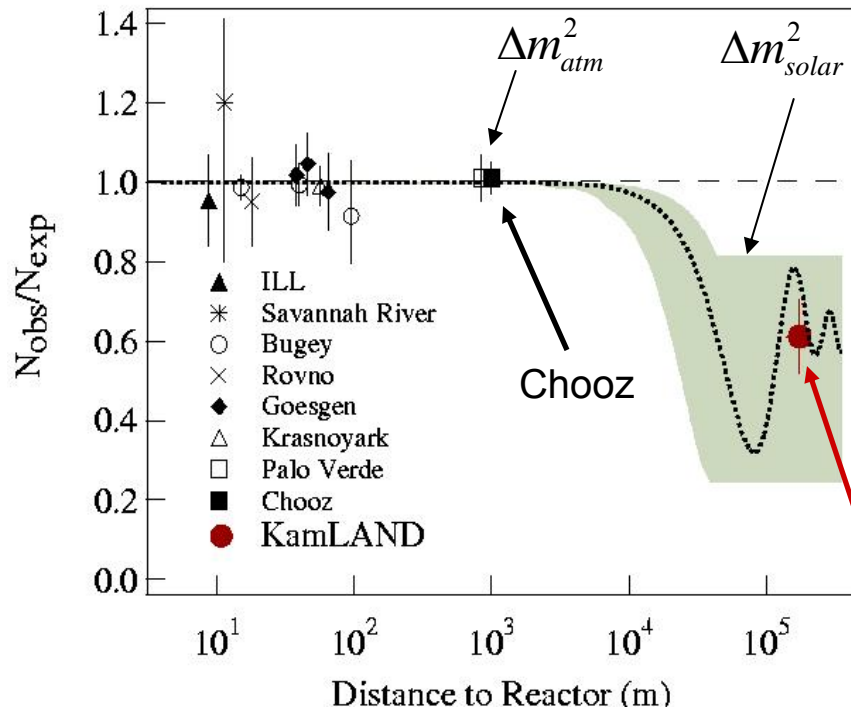


## **Upcoming Multi-Detector Reactor Experiments**

# Precision Reactor Disappearance Exp. Are Difficult

- Looking for a small change in the expected rate and/or shape of the observed event

Past reactor measurements:

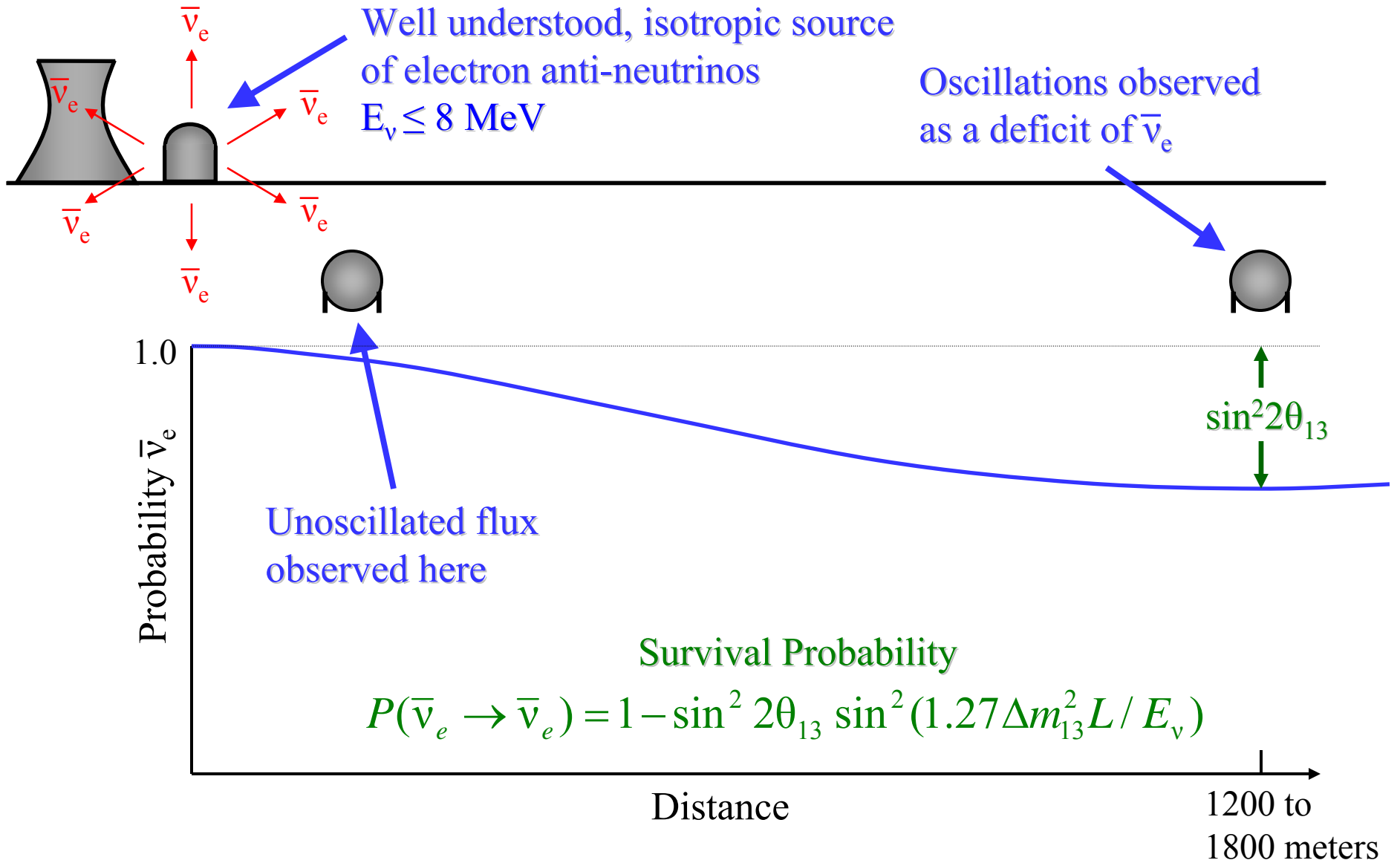


**How to do better than previous reactor experiments?**

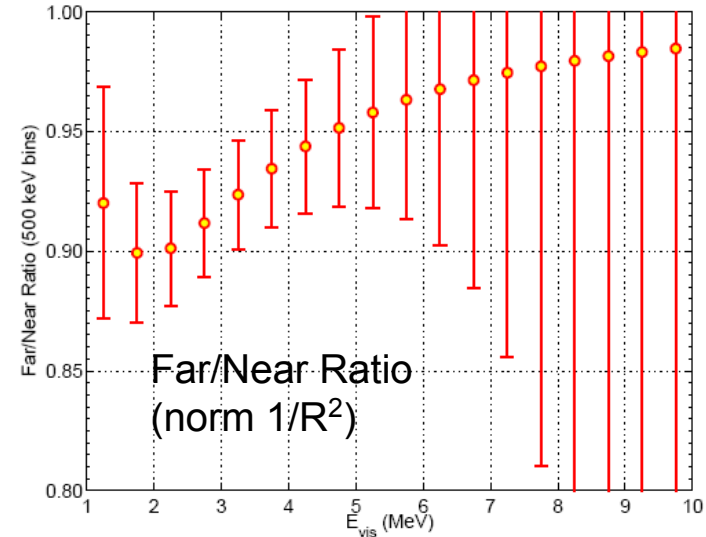
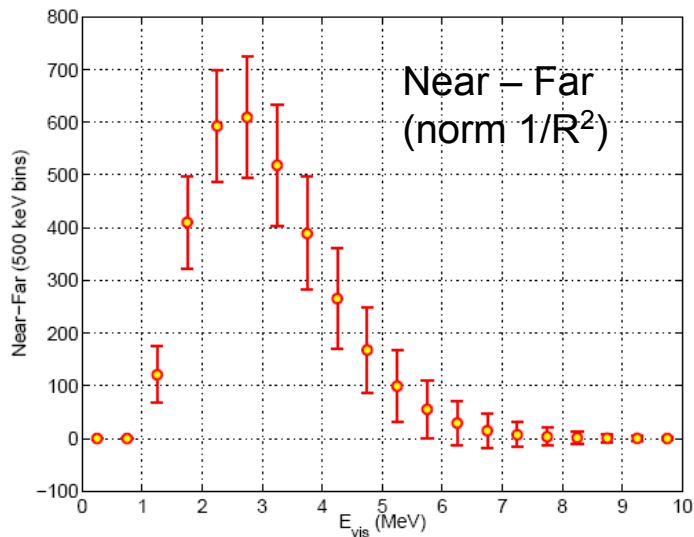
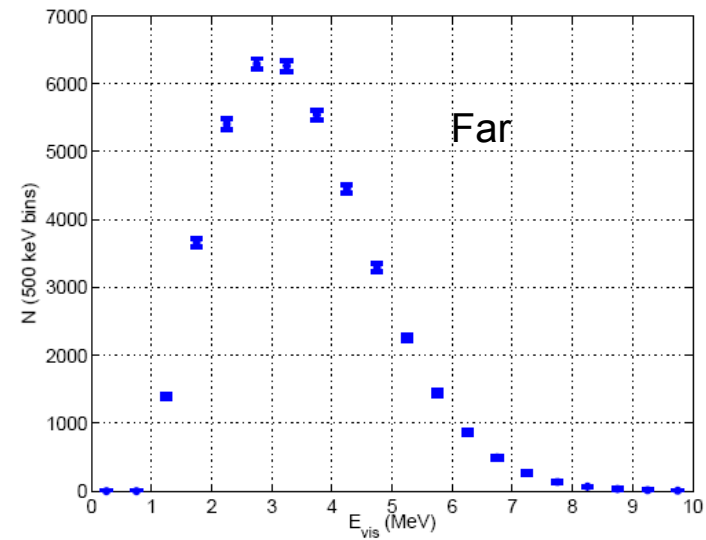
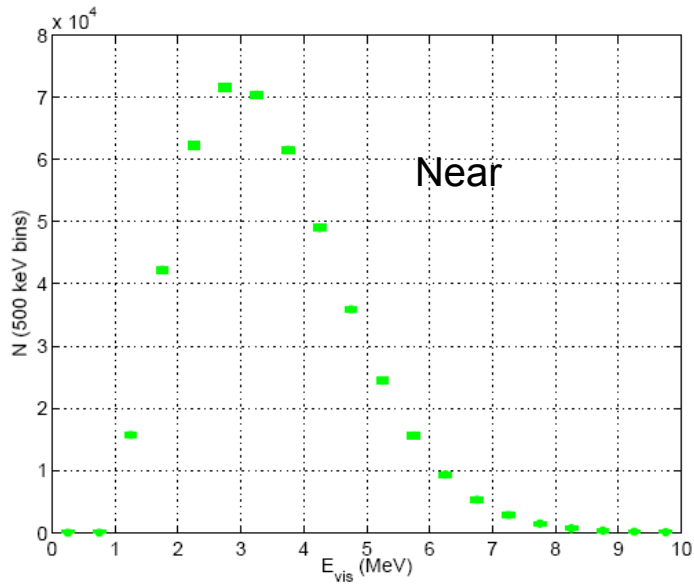
- ⇒ Reduce systematic uncertainties due to reactor flux and detector
- ⇒ Larger detectors
- ⇒ Reduce and control backgrounds
- ⇒ Use Near/Far Detectors

Kamland

## Two Detector Reactor Experiment



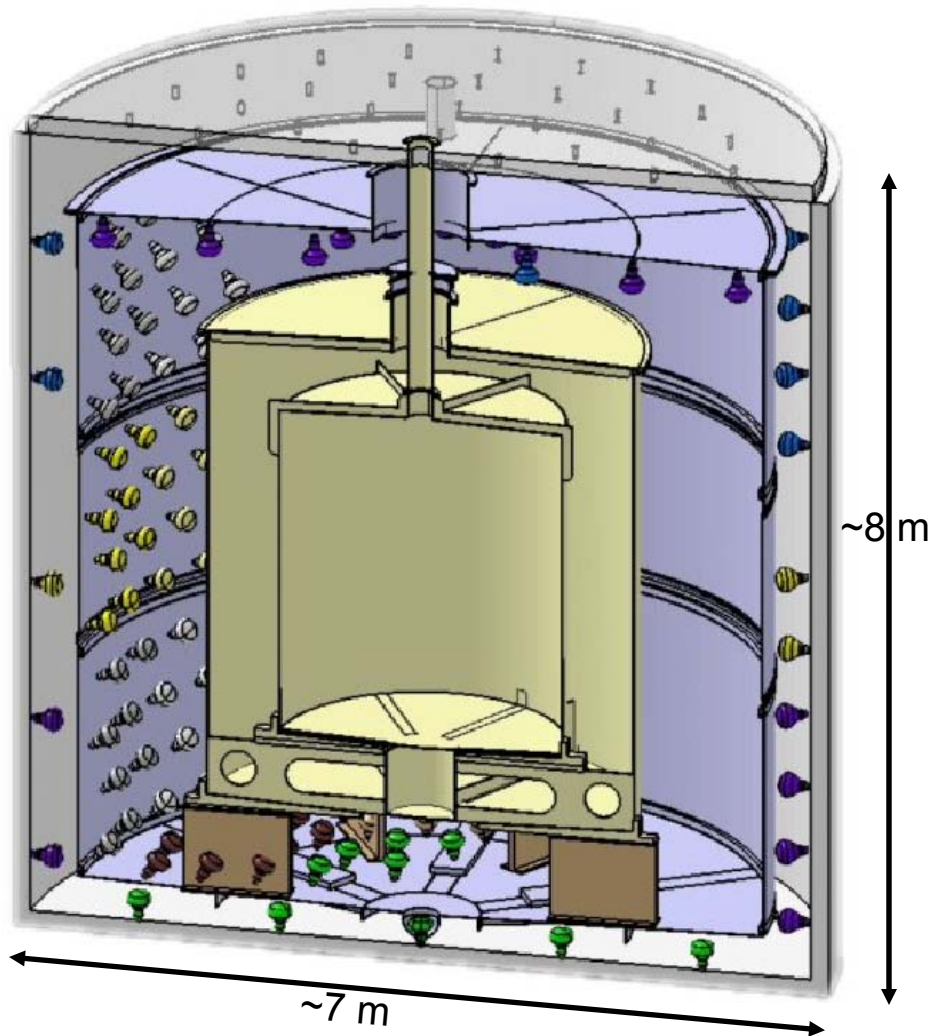
# Example Measurement (Double Chooz 3 yrs)



$$\sin^2(2\theta_{13}) = 0.1 \text{ and } \Delta m_{31}^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

# Detector Design Basics

35



- Multi-layer, high efficiency veto system

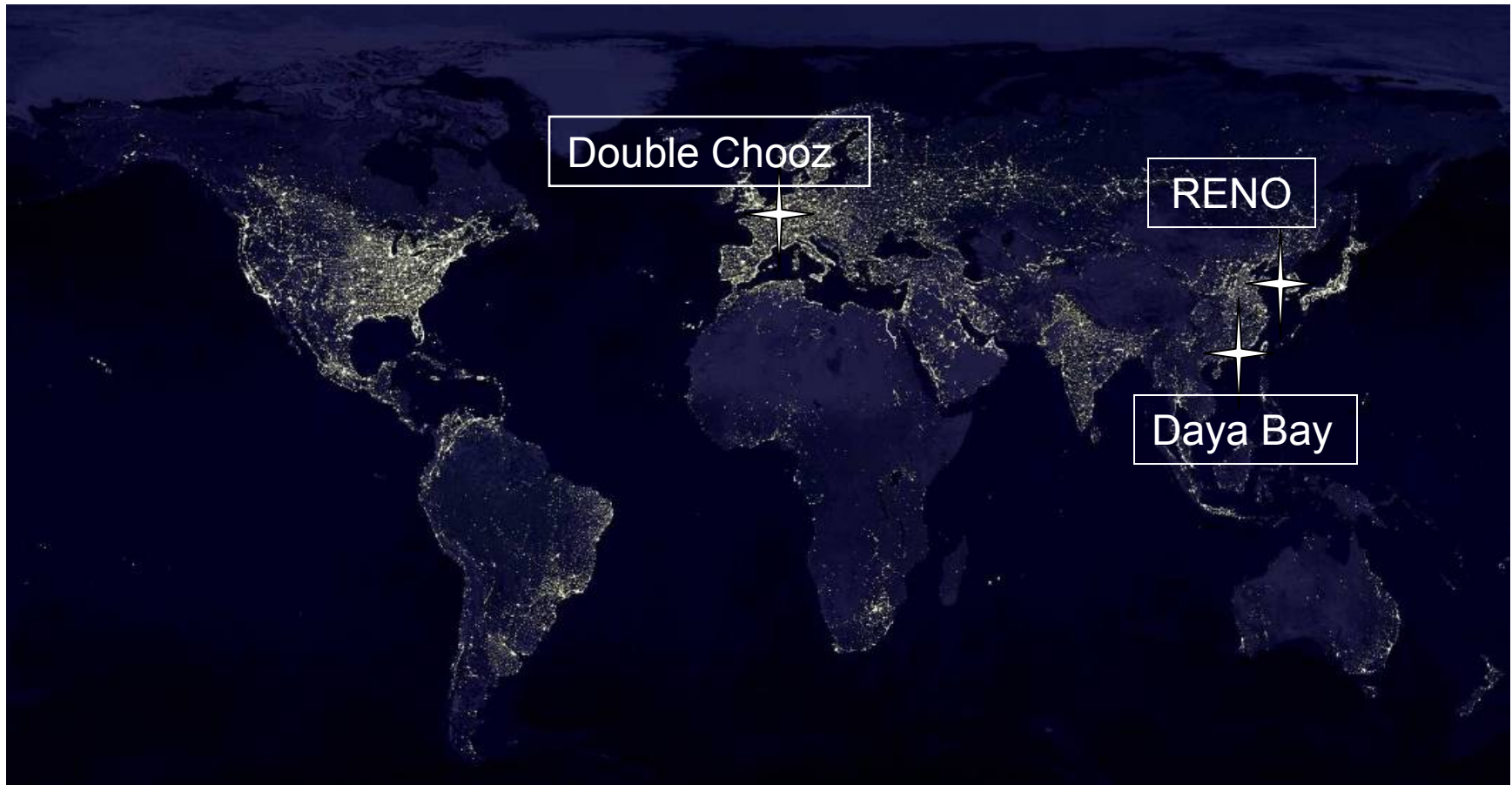
- Homogenous Volume
- Viewed by PMT's  
Coverage of 10% or better
- Gadolinium Loaded, Liquid Scintillator Target (10 – 20 tons)  
Enhances neutron capture
- Extra scintillator region to capture gammas that might leak out from Gd target region
- Pure Mineral Oil Buffer  
To shield the scintillator from radioactivity in the PMT glass.

## Proposed Reactor Oscillation Experiments (2005)

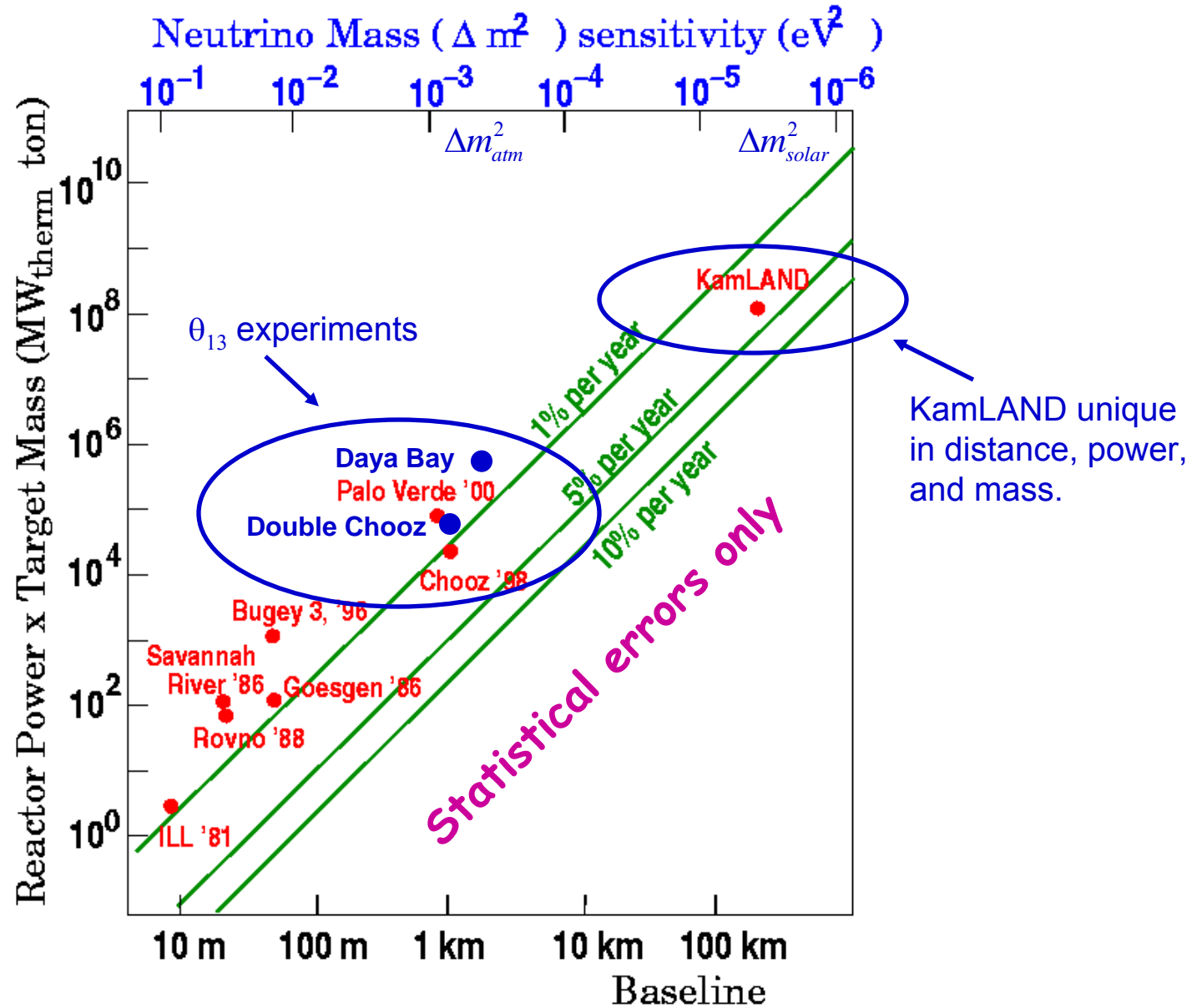




# Current Reactor $\theta_{13}$ Projects



# Event Rate (power x mass) vs Distance







# Double Chooz Reactor Experiment <sup>39</sup> in Ardennes, France

**Near 8.6t  
overbdn 45m**

**400m**

**1050m**

**Far 8.6t  
overbdn 110m**

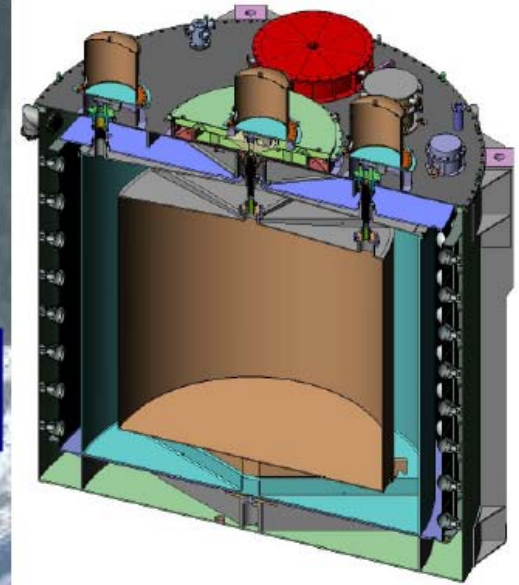
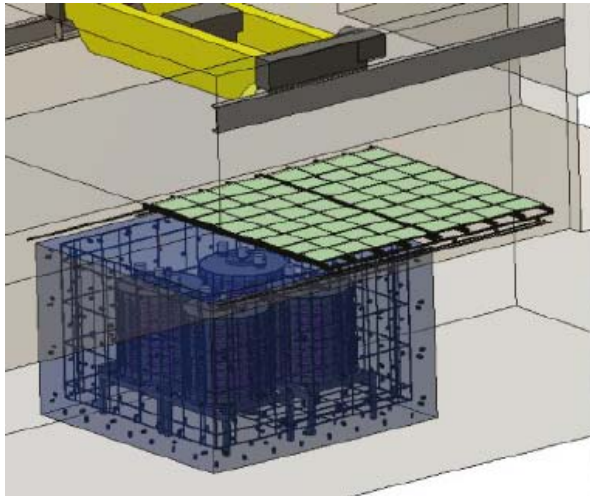
**Chooz-B Power Plant**  
• 2 cores, 8.6 GW<sub>th</sub>

© 1997 MAGELLAN Geographica  
(805) 895-3100 www.maps.com

## Systematic uncertainties

		Chooz	Double-Chooz	
Reactor-induced	$\nu$ flux and $\sigma$	1.9 %	<0.1 %	Two "identical" detectors, Low bkg
	Reactor power	0.7 %	<0.1 %	
	Energy per fission	0.6 %	<0.1 %	
Detector - induced	Solid angle	0.3 %	<0.1 %	Distance measured @ 10 cm + monitor core barycenter
	Volume	0.3 %	0.2 %	Precise control of detector filling
	Density	0.3 %	<0.1 %	Accurate T control (near/far)
	H/C ratio & Gd concentration	1.2 %	<0.1 %	Same scintillator batch + Stability
	Spatial effects	1.0 %	<0.1 %	Identical detectors and monitoring
	Live time	-----	0.25 %	Special electronic systems and monitoring
Analysis	From 7 to 3 cuts	1.5 %	0.2 - 0.3 %	Simplified cuts due to detector design
<b>Total</b>		<b>2.7 %</b>	<b>&lt; 0.6 %</b>	



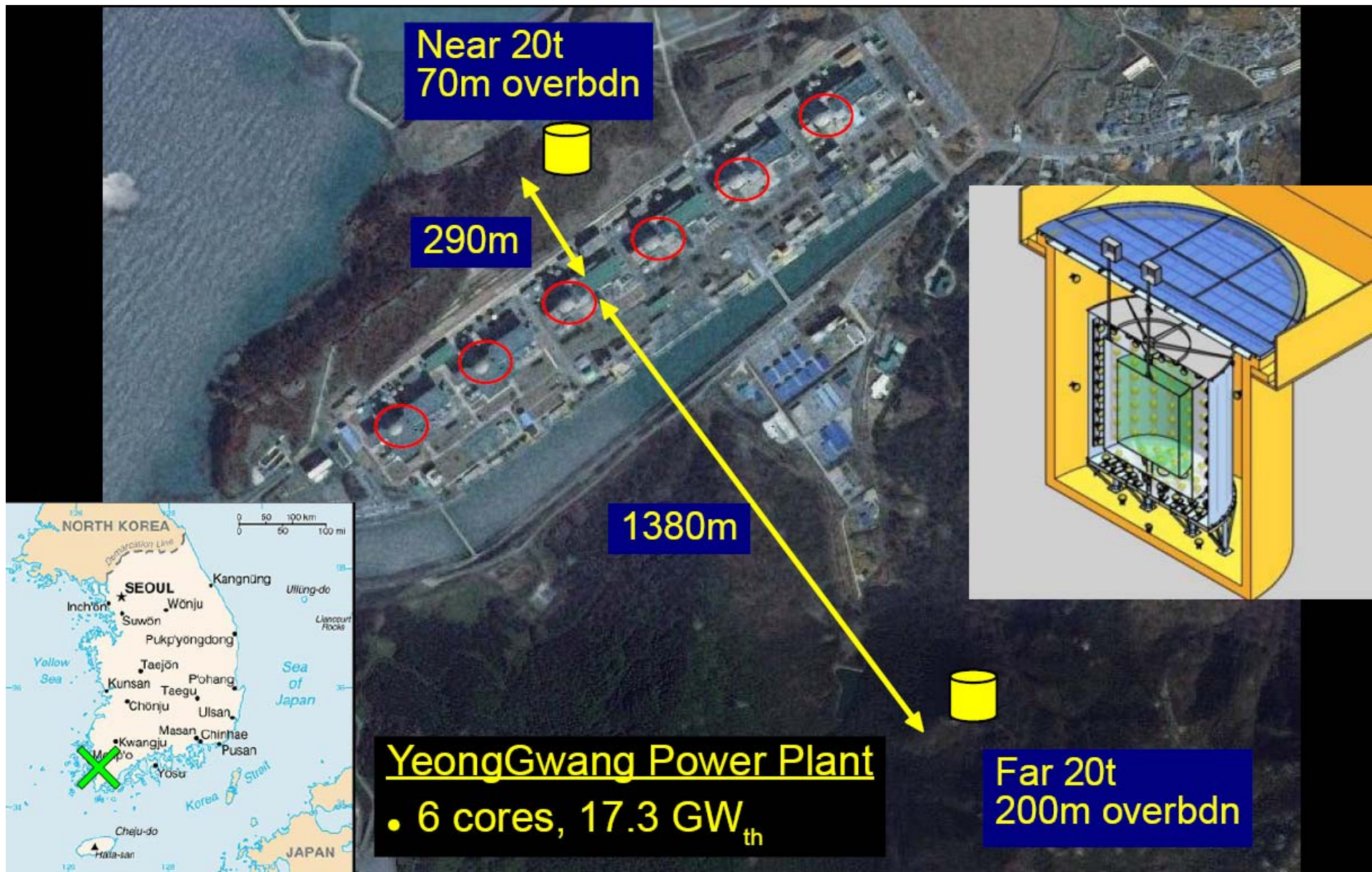


	DYB Site (m)	LA Site (m)	Far Site (m)
DYB	363	1347	1985
IA	857	481	1618
LA II	1307	526	1613

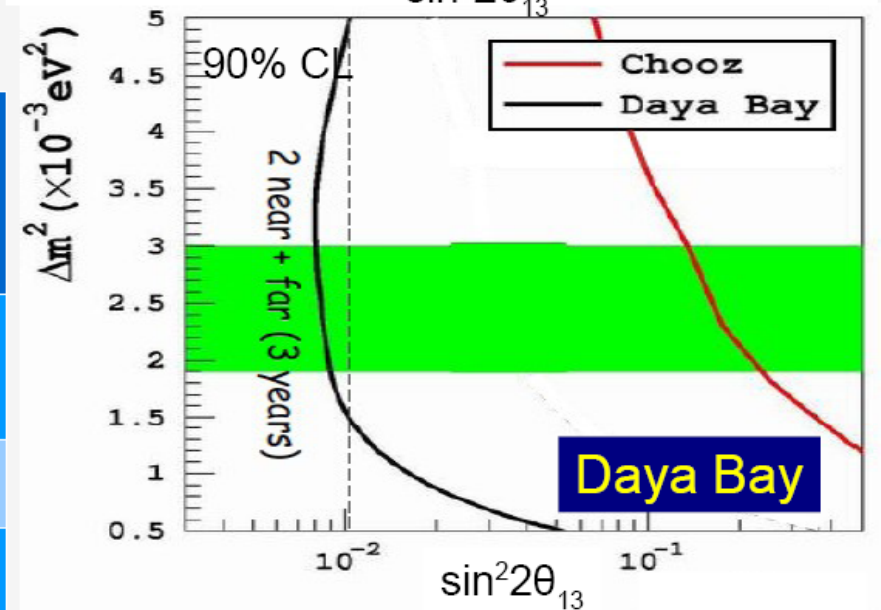
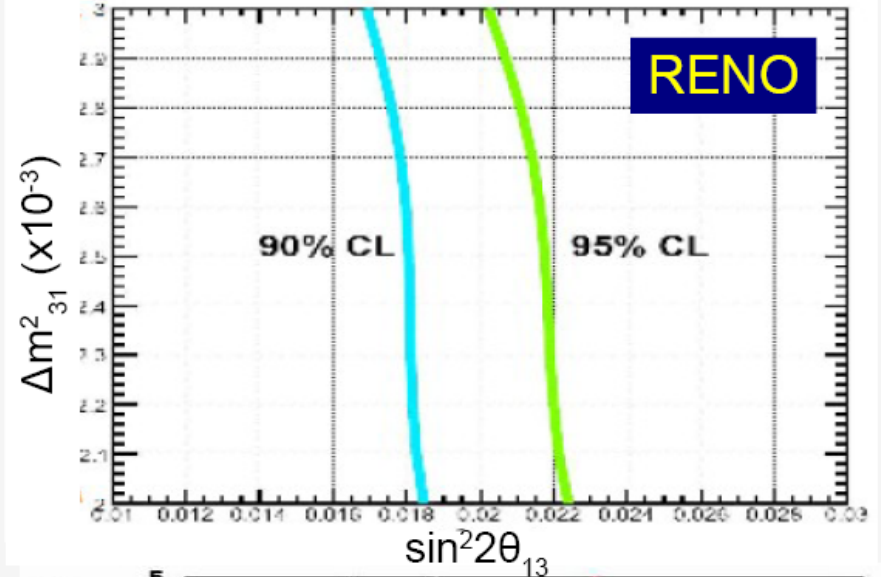
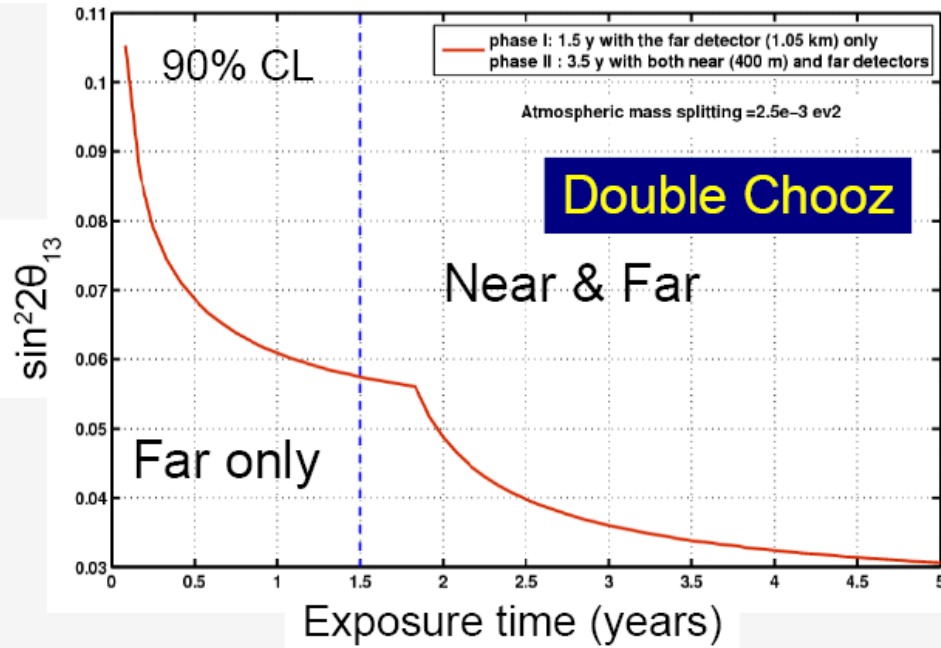
## Daya Bay/Ling Ao Power Plant

- 4 cores, 11.6 Gw<sub>th</sub>
- 2011: 6 cores, 17.4 GW<sub>th</sub>



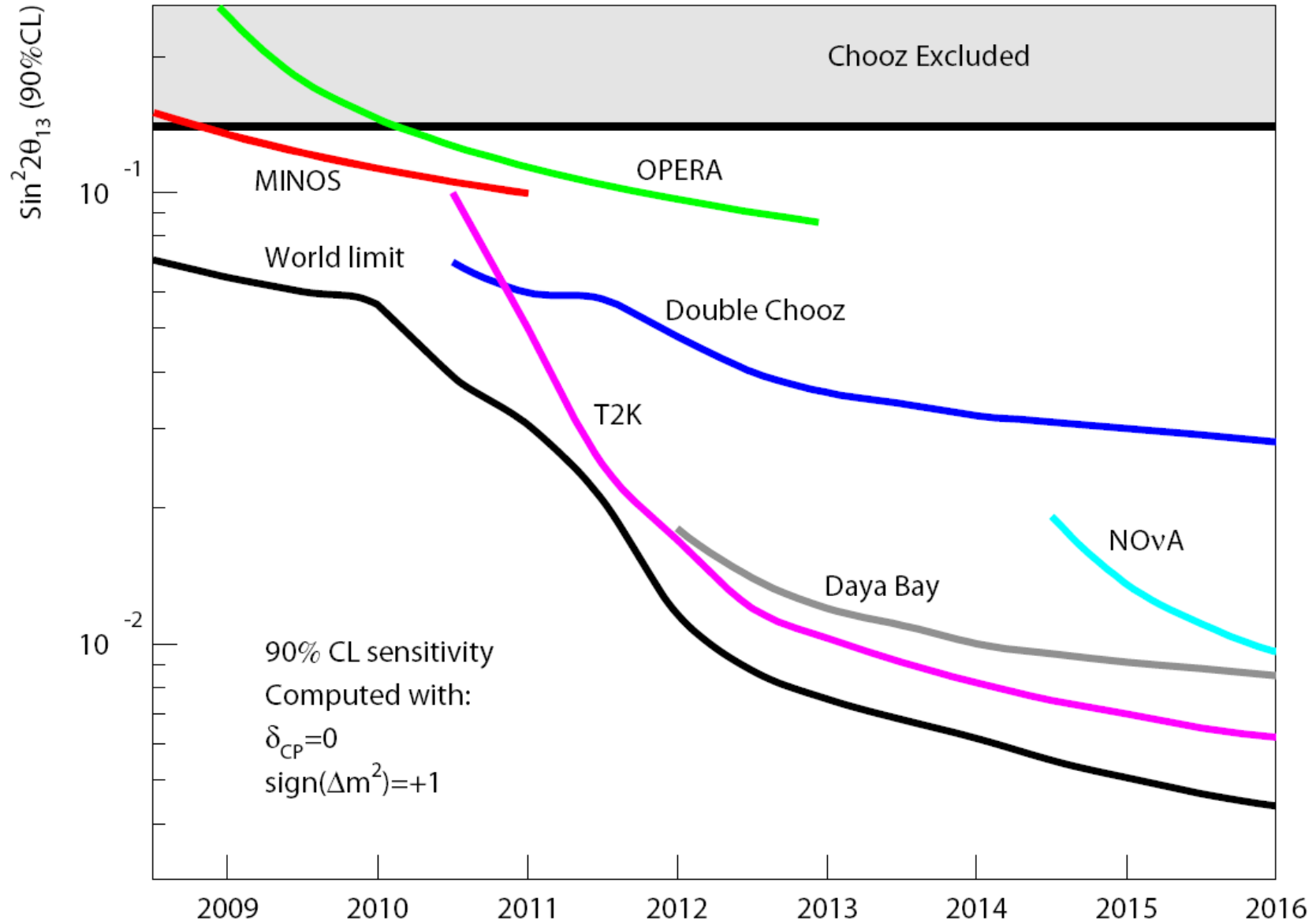


# Expected Sensitivities



Expt	$\sigma_{\text{stat}}$ [%]	$\sigma_{\text{syst}}$ rel. [%]	$\sin^2 2\theta_{13} >$ (90% CL)
Double Chooz	0.5	0.6	0.03
RENO	0.3	0.5	0.02
Daya Bay	0.2	0.4	0.01

# Sensitivity Estimates for $\theta_{13}$ vs Time



From Mauro Mezzetto NT 2009



## Longbaseline $\nu_e$ Appearance Experiments

## Long-Baseline Accelerator Appearance

- Oscillation probability dependent not only on mixing angles but also:
  1. CP violation parameter ( $\delta$ )
  2. Mass hierarchy (sign of  $\Delta m_{31}^2$ )
  3. Size of  $\sin^2\theta_{23}$  (as opposed to the measured  $\sin^2 2\theta_{23}$ )
- These are both complications and an opportunity to measure these parameters
  - Use information from other oscillation measurements: reactors, solar/atmospheric/accelerator disappearance
  - Use combinations of appearance measurements for neutrinos and antineutrinos at different baselines to determine CP  $\delta$  and mass hierarchy

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4C_{13}^2 S_{13}^2 S_{23}^2 \sin^2 \frac{\Delta m_{31}^2 L}{4E} \times \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2S_{13}^2) \right) \\
 & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta - S_{12} S_{13} S_{23}) \cos \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E} \\
 & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \sin \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E} \\
 & + 4S_{12}^2 C_{13}^2 \{ C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta \} \sin^2 \frac{\Delta m_{21}^2 L}{4E} \\
 & - 8C_{13}^2 S_{13}^2 S_{23}^2 \cos \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \frac{aL}{4E} (1 - 2S_{13}^2)
 \end{aligned}$$

where

$$S_{ij} = \sin \theta_{ij}$$

$$C_{ij} = \cos \theta_{ij}$$

# Ambiguities and Correlations in Appearance Measurements

$$\begin{aligned}
 P_{long-baseline} &\simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta \\
 &\mp \alpha \sin 2\theta_{13} \sin \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin^3 \Delta \\
 &+ \alpha \sin 2\theta_{13} \cos \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \Delta \sin^2 \Delta \\
 &+ \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 \Delta
 \end{aligned}$$

Mass Hierarchy

Expansion to second order in  $\alpha$  and  $\Delta$

with  $\alpha \equiv \Delta m_{21}^2 / \Delta m_{23}^2$  and  $\Delta \equiv \Delta m_{31}^2 L / (4E_\nu)$

Ambiguities due to:

- Need  $\sin^2 \theta_{23} = \frac{1 \pm \sqrt{1 - \sin^2 2\theta_{23}}}{2}$ , not  $\sin^2 2\theta_{23}$
- Sign of  $\Delta m_{31}^2 \Rightarrow$  Overall shifts

Measured by Atmos

Correlations:

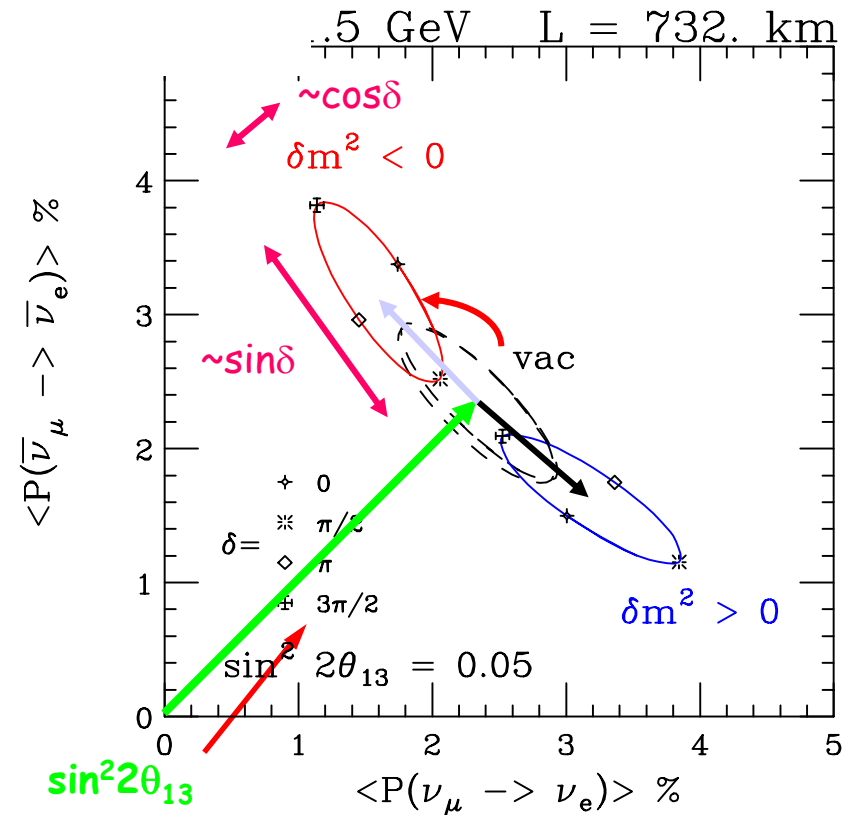
- CP violation phase  $\delta \Rightarrow$  Ellipse Regions
- Interference with subdominant  $\Delta m_{12}^2$  terms

Matter Effects:

$$P_{e\mu} = \sin^2 2\theta_M \sin^2 \left( \frac{\Delta_M L}{2} \right)$$

$$\Delta_M = \sqrt{(A - \Delta \cos 2\theta)^2 + \Delta^2 \sin^2 2\theta}$$

$$A = \pm \sqrt{2} G_F N_e \text{ (+ for neutrinos, - for antineutrinos)}$$



# The “Curse” and the “Blessing”

Oscillation  
probability  
vs  $\delta_{CP}$   
for T2K and  
Nova

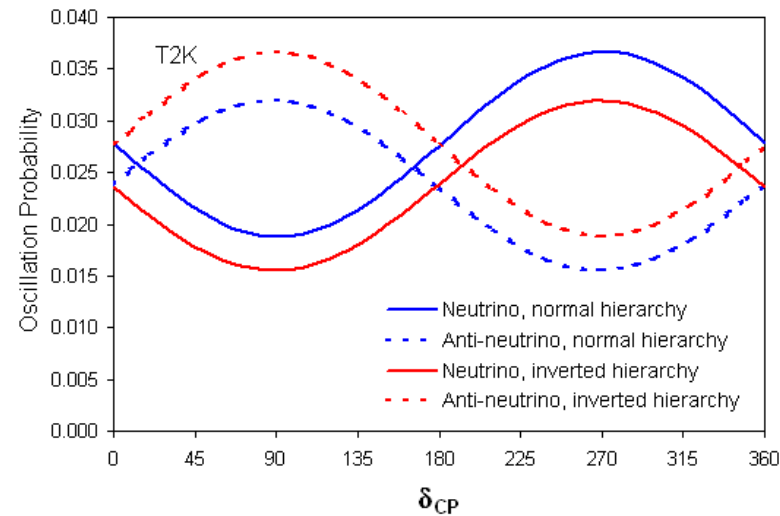
Blue: normal hierarchy

Red: inverted hierarchy

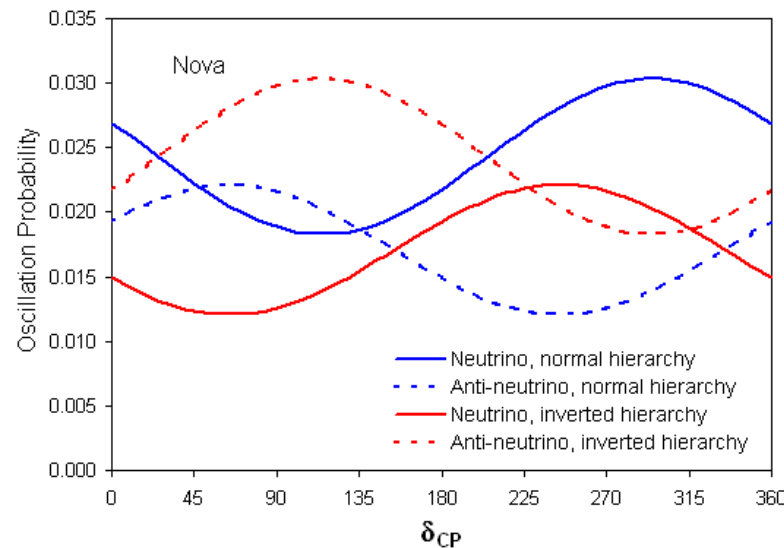
Solid: neutrino

Dashed: antineutrino

( $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{13} = 0.05$ )



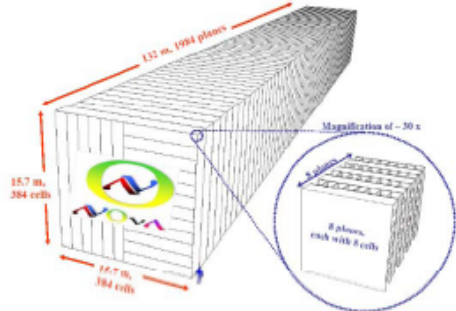
T2K:  
Small matter effects



Nova:  
Large matter effects

# Upcoming Longbaseline Experiment: T2K and Nova

*Improved Beams and Near/Far Detectors  
Much Higher Intensity*



**NOvA**  
(~2013 -)

15 kton totally active detector

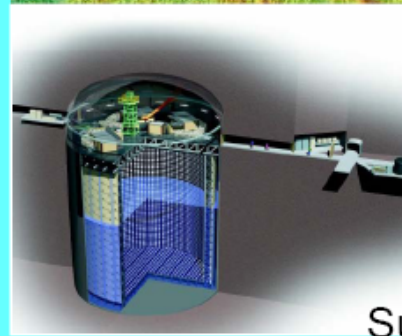
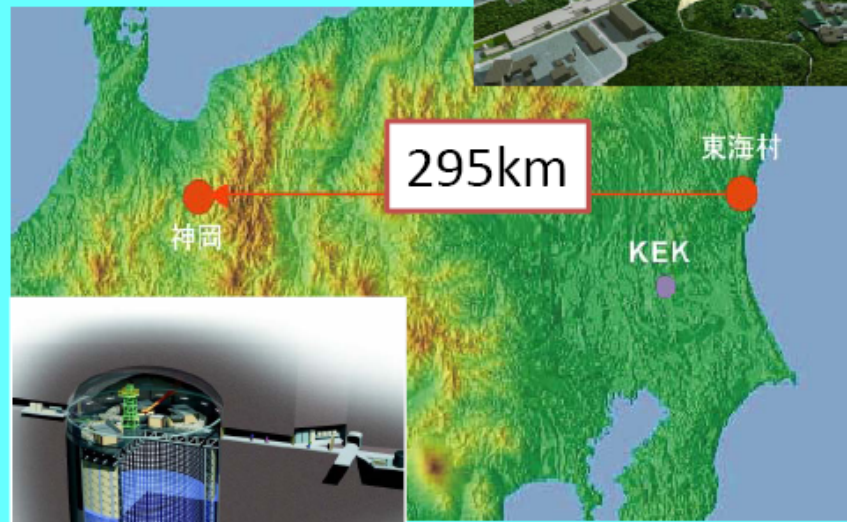


NuMI beam intensity upgrade to 700 kW



**T2K**  
(2009 -)

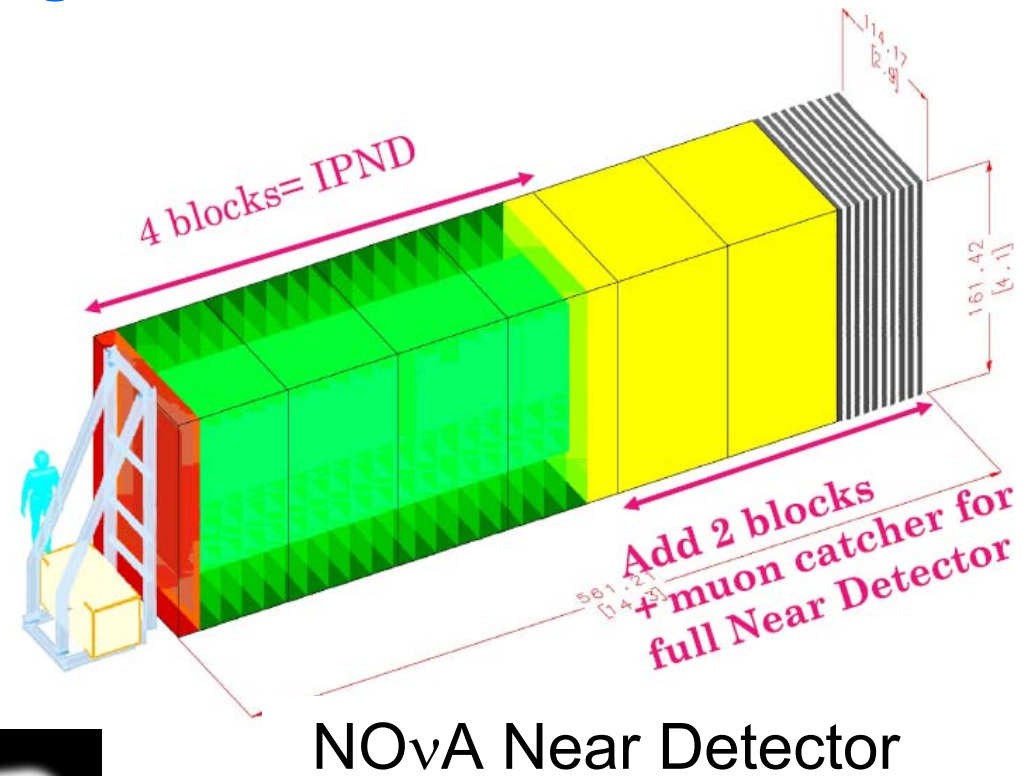
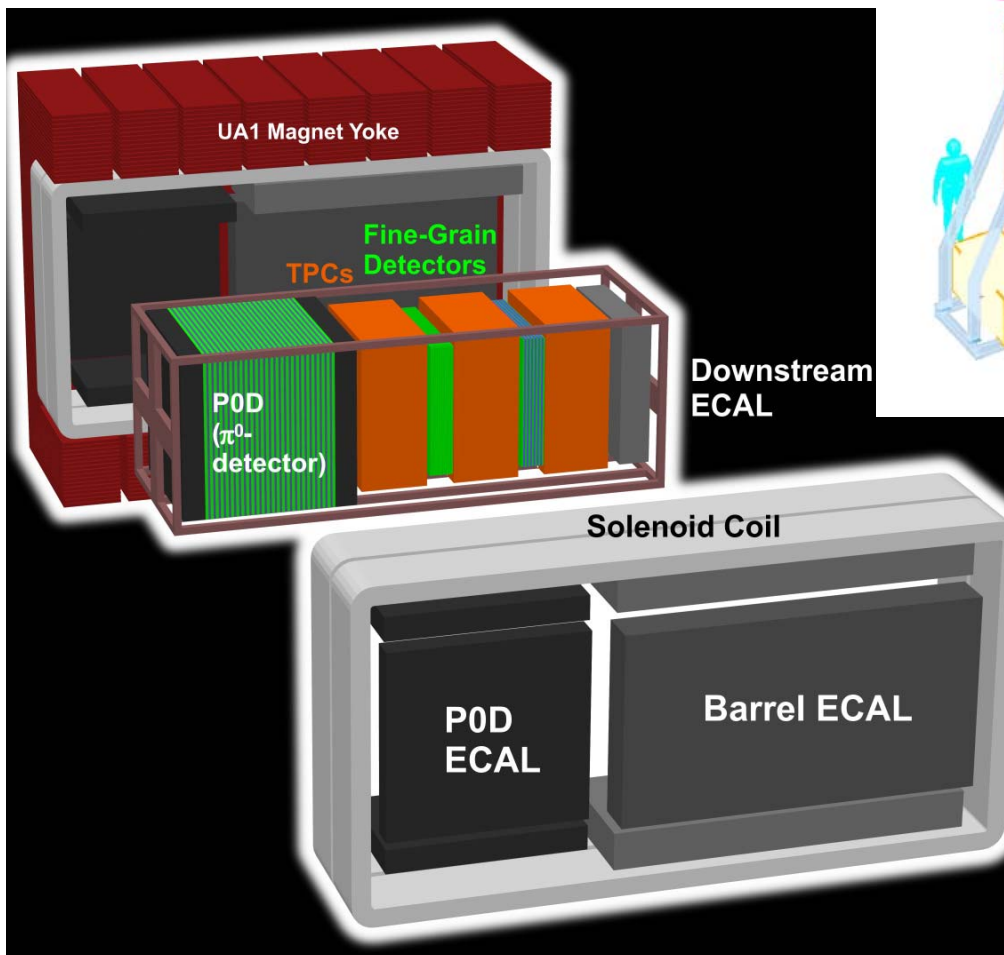
J-PARC  
(750kW design)



Super-Kamiokande  
(22.5 kton fid. vol)

# Use Near Detectors to Measure Beam Flux and Backgrounds

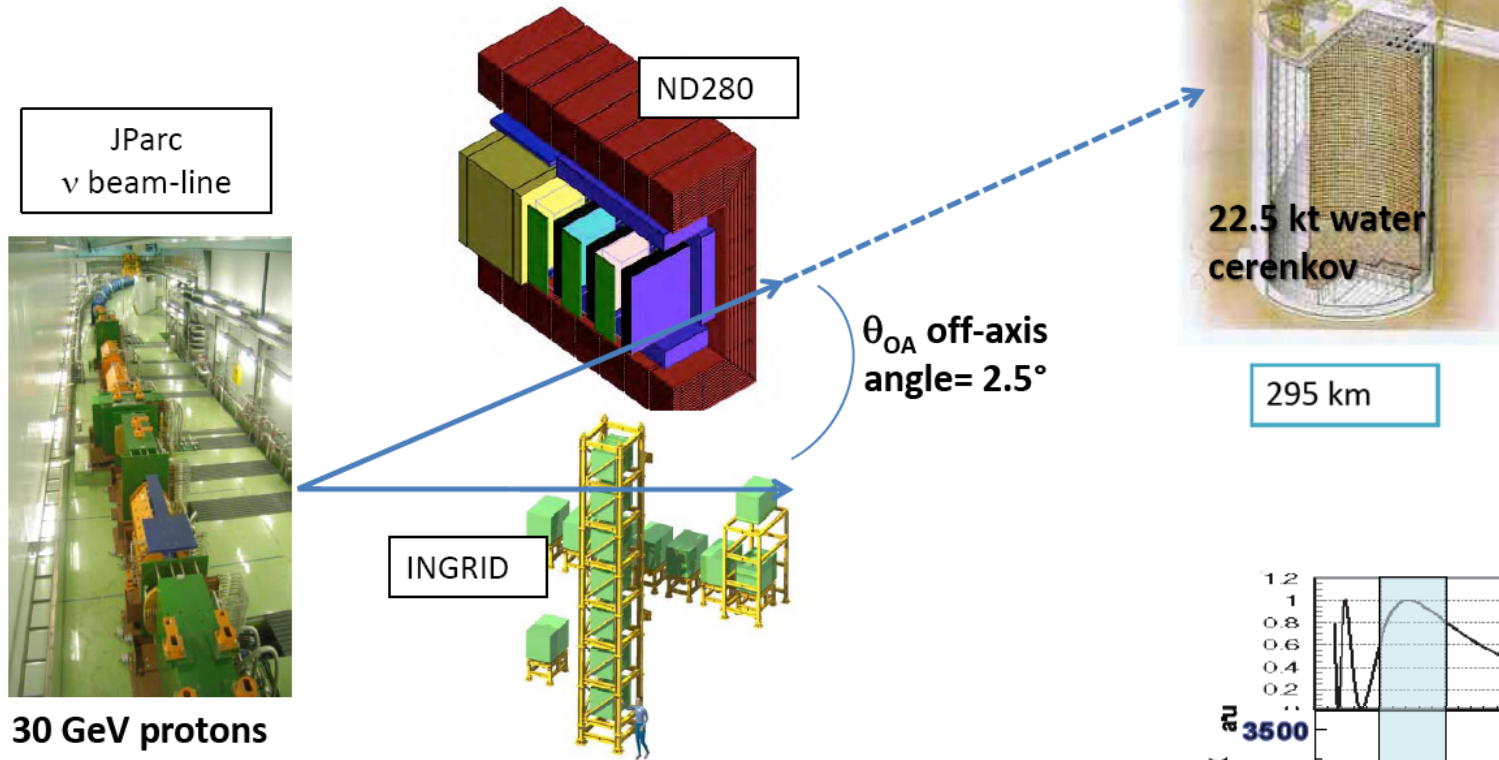
## T2K Near Detector



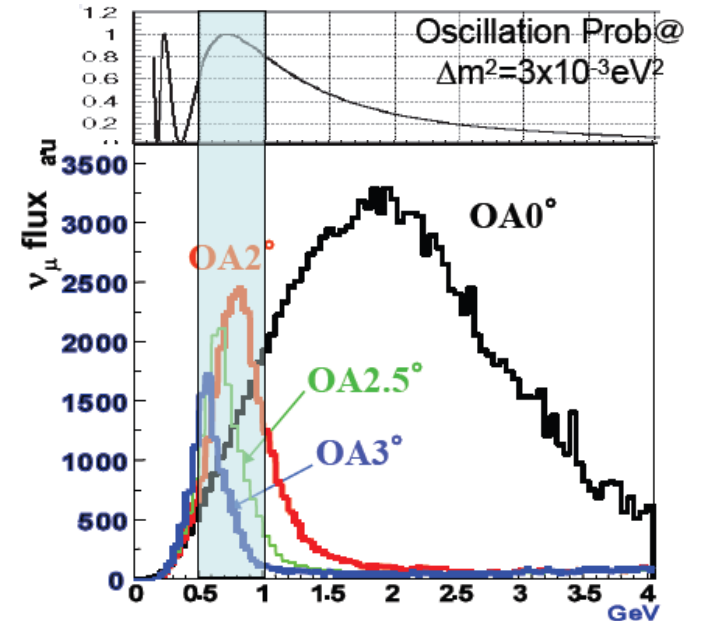
## NOvA Near Detector



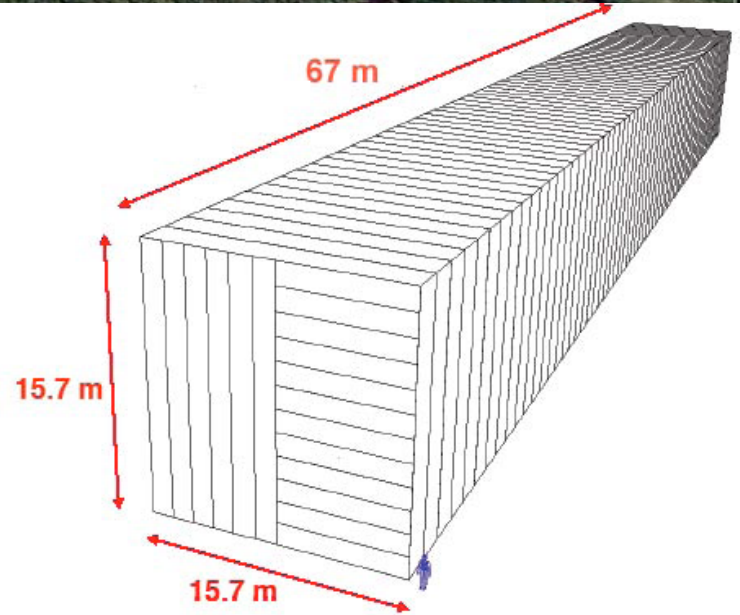
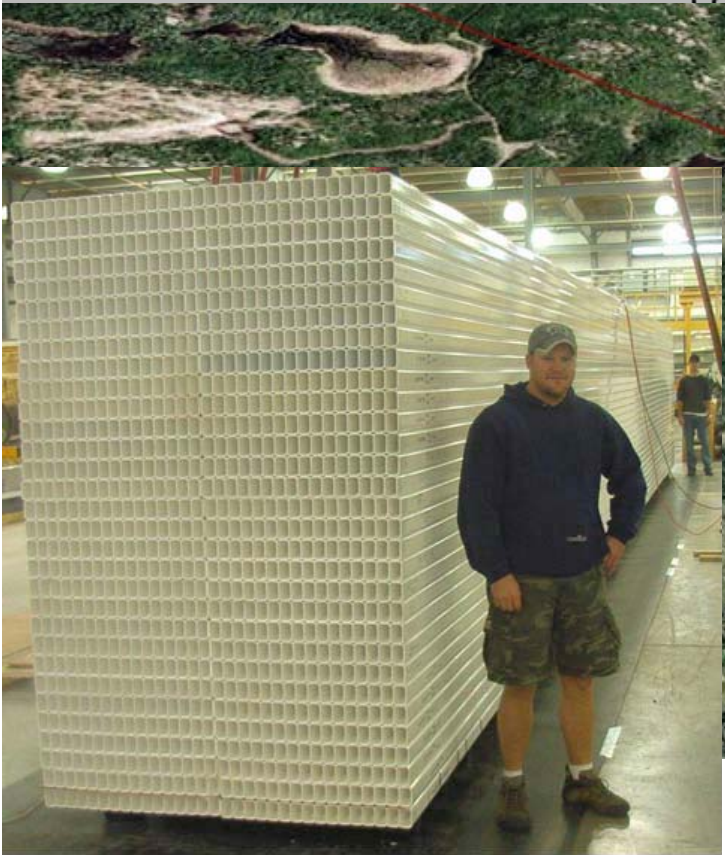
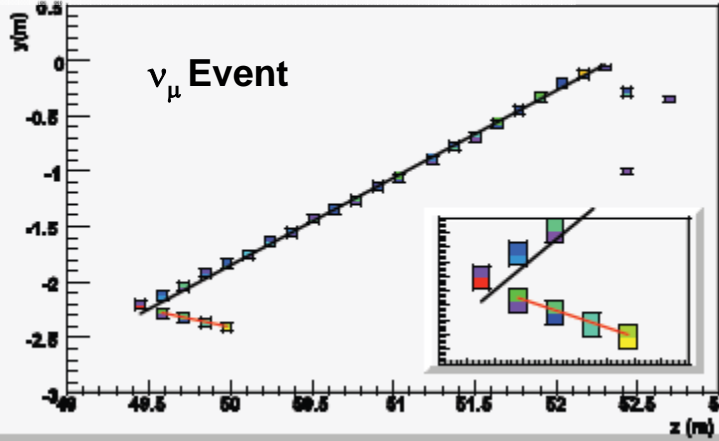
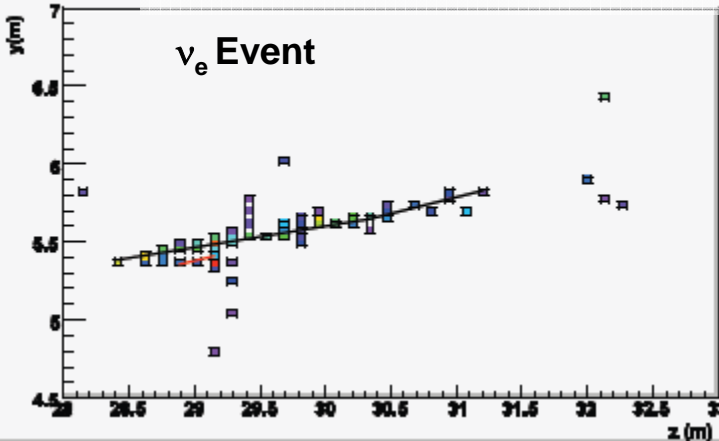
# T2K Experiment



**Statistics at SK**  
(OAB 2.5 deg, 1 yr, 22.5 kt)  
 $\sim 2200 \nu_\mu$  tot  
 $\sim 1600 \nu_\mu$  CC  
 $\nu_e \sim 0.4\%$  at  $\nu_\mu$  peak



# NOvA Experiment in Minnesota



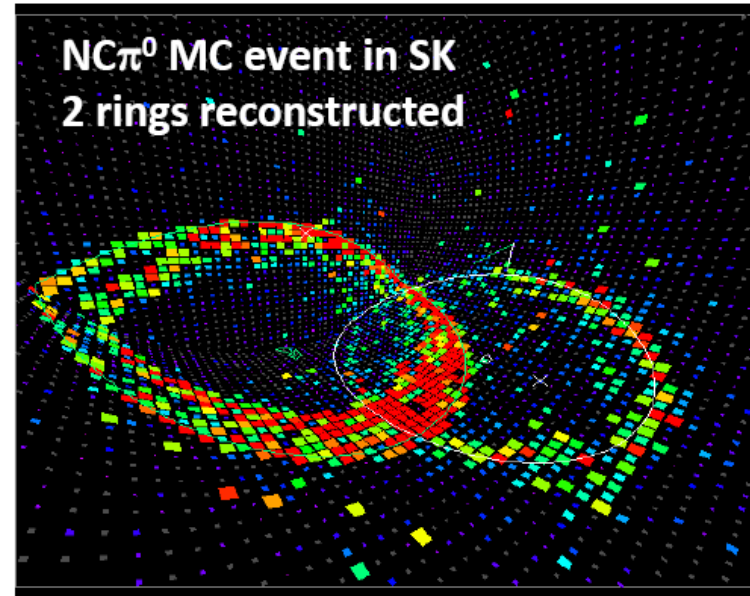
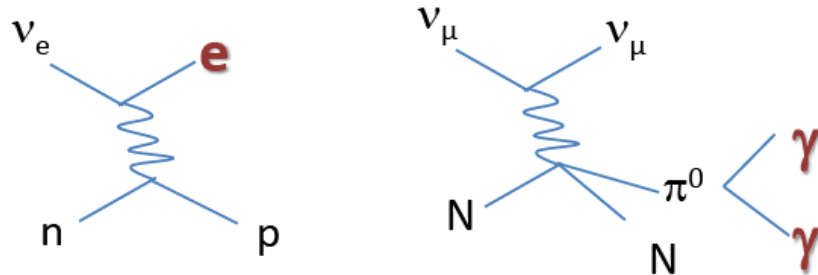


# Main Backgrounds For Appearance Experiments

•for  $\nu_e$  appearance

Measure  $\theta_{13}$

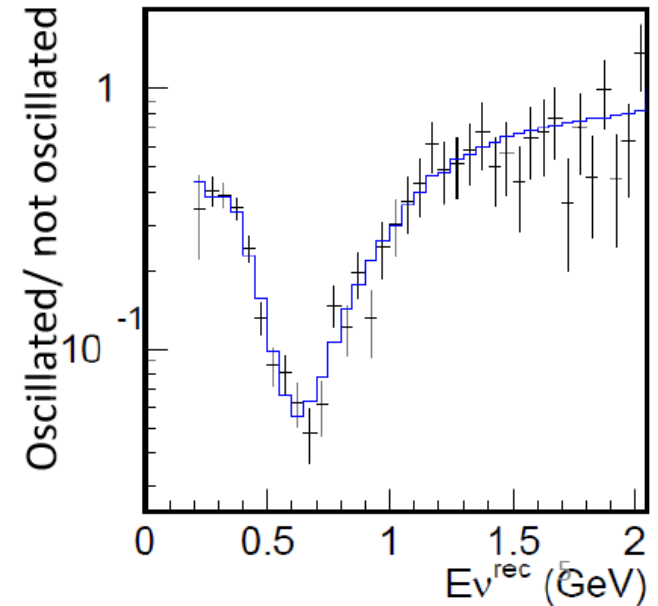
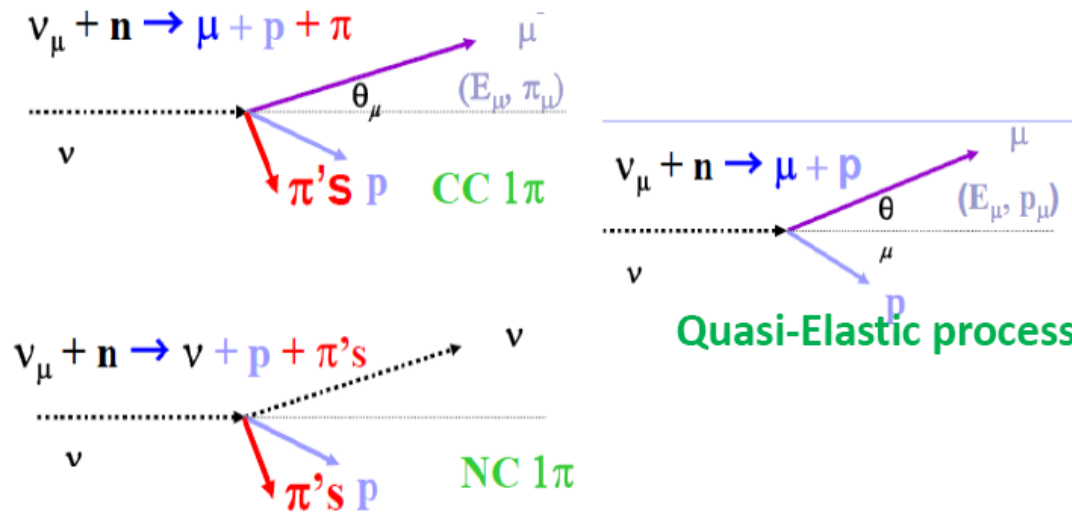
- beam  $\nu_e$
- NC $\pi^0$  events



•for  $\nu_\mu$  disappearance (muon energy measurement)

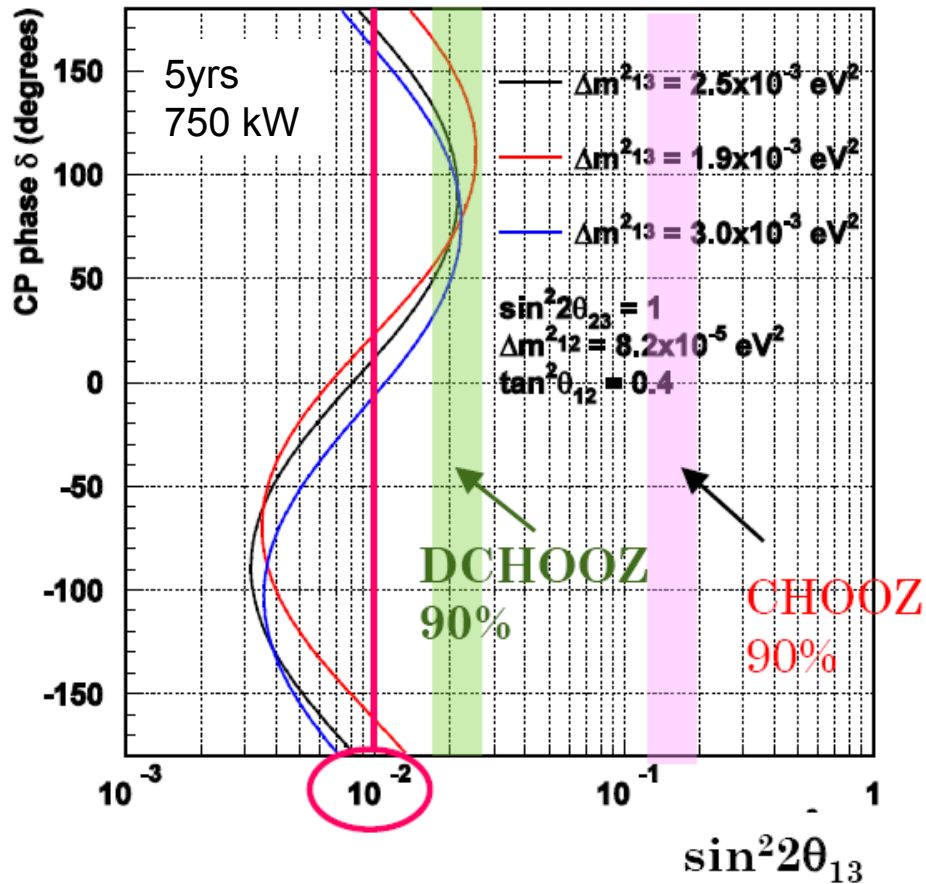
Measure  $\theta_{23}$

- inelastic processes



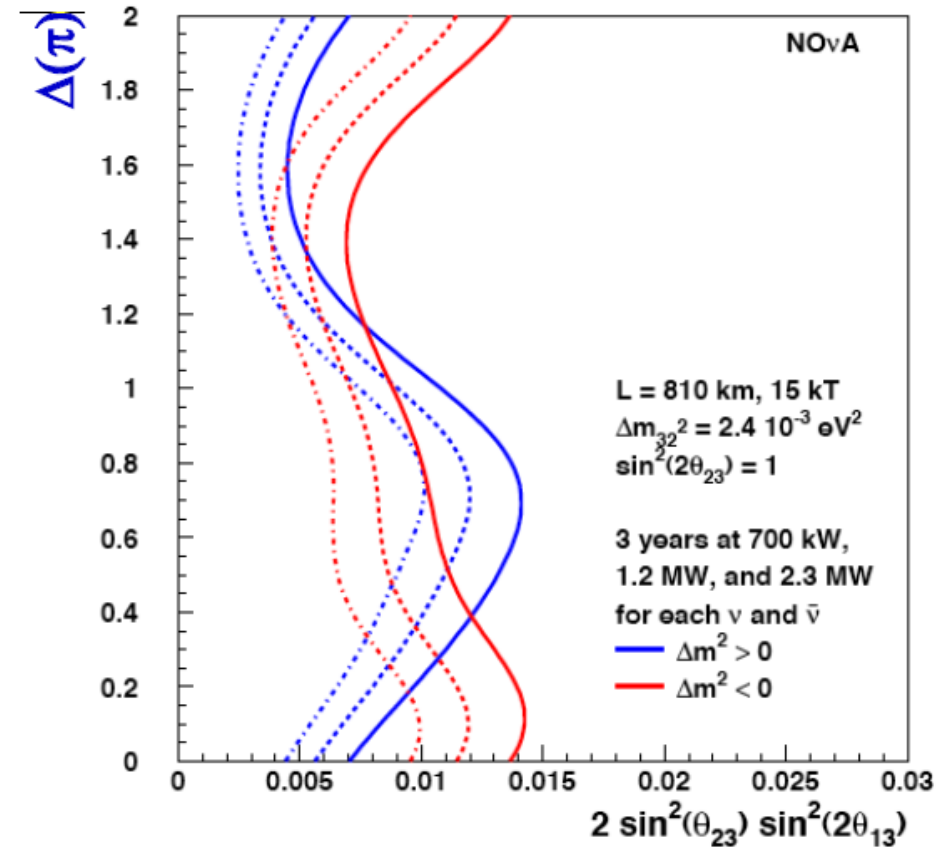
# Expected Sensitivity to $\theta_{13}$

## T2K



## NOvA

90% CL Sensitivity to  $\sin^2(2\theta_{13}) \neq 0$



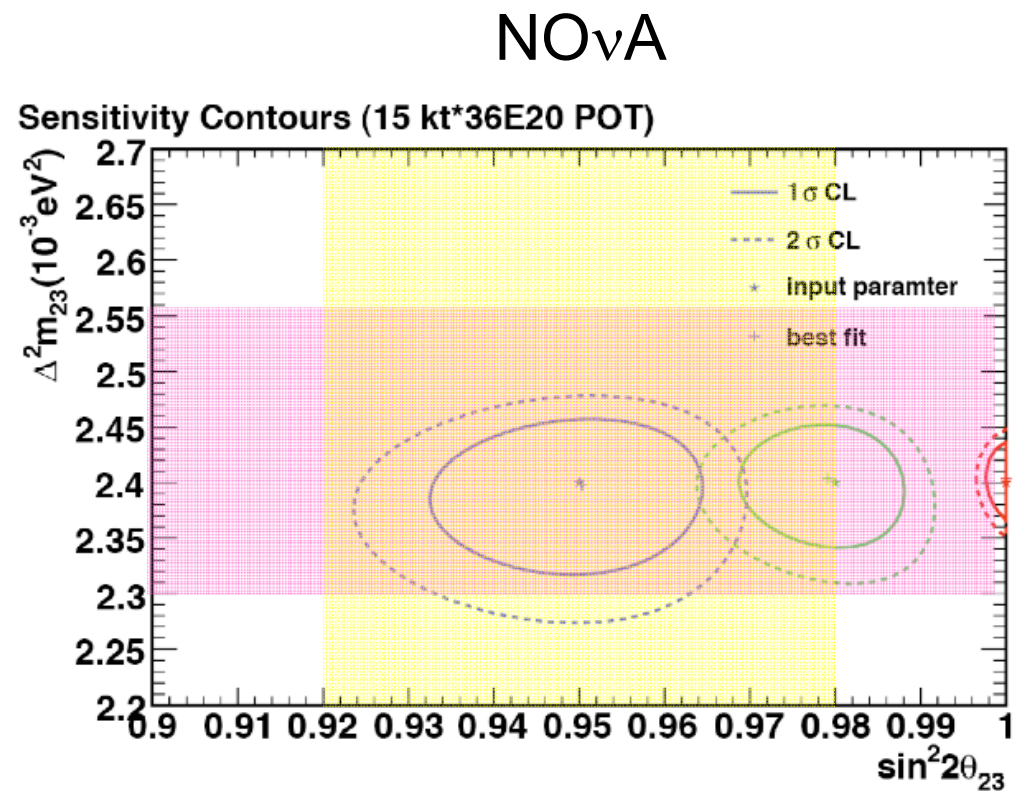
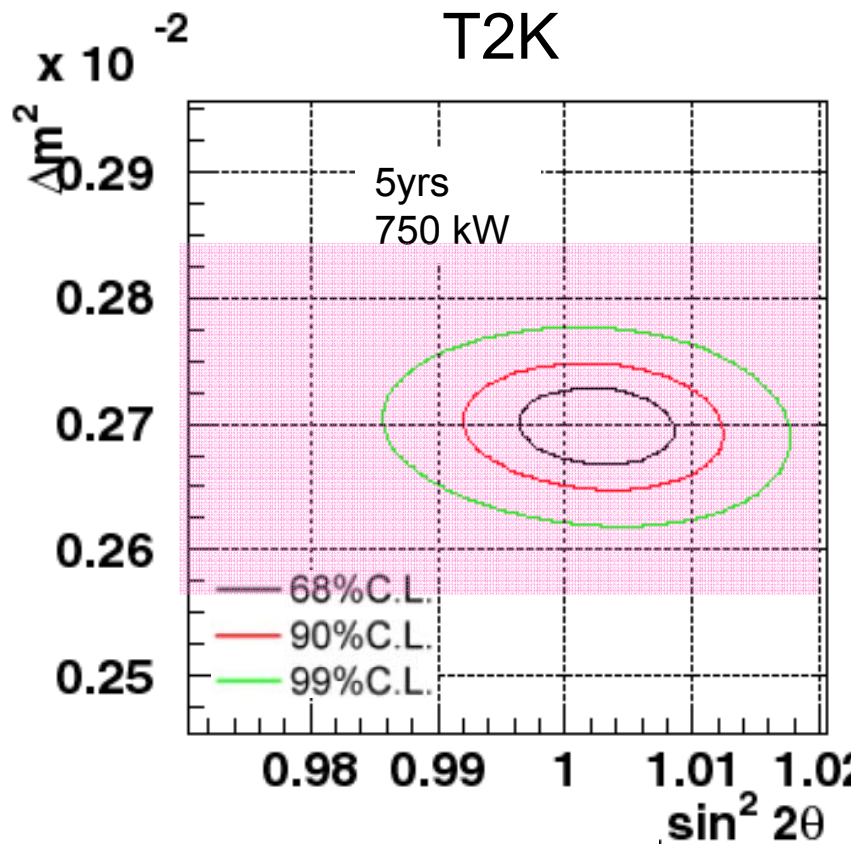
Experiments sensitive to  $\sin^2(2\theta_{13}) > 0.008$

## Better Measurements of $\theta_{23}$ and $\Delta m^2_{23}$

Current Measurements:

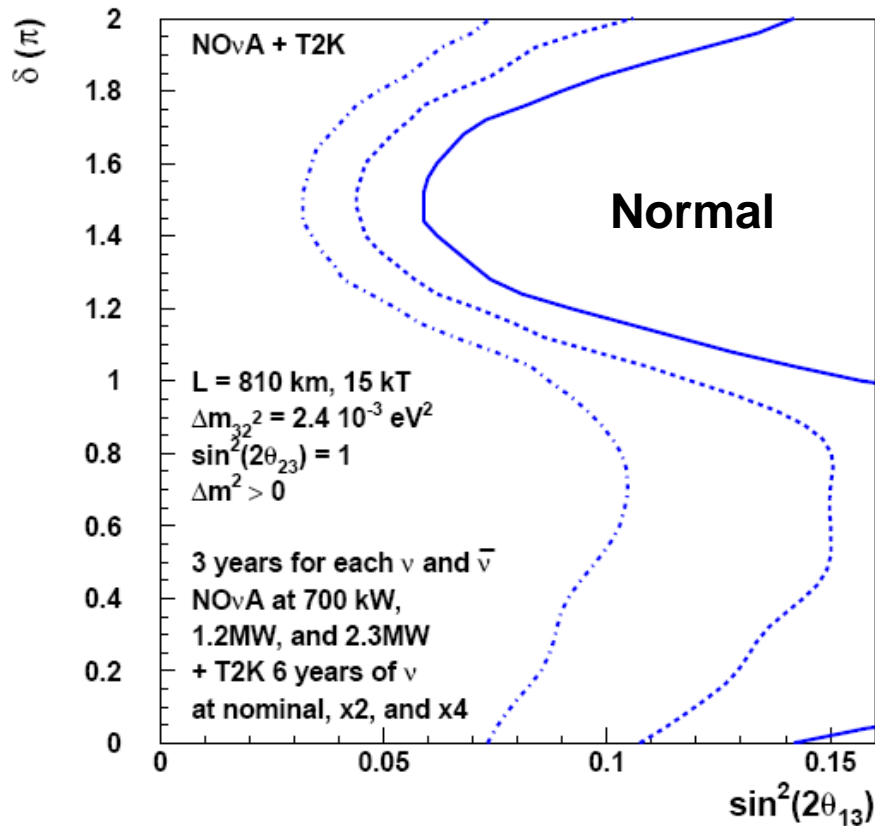
$$\Delta m^2 = 2.43 \pm 0.13 \times 10^{-3} \text{ eV}^2 \longrightarrow \text{Improvements by x3 to x5}$$

$$\sin^2(2\theta_{23}) = 1.00 \pm 0.03$$

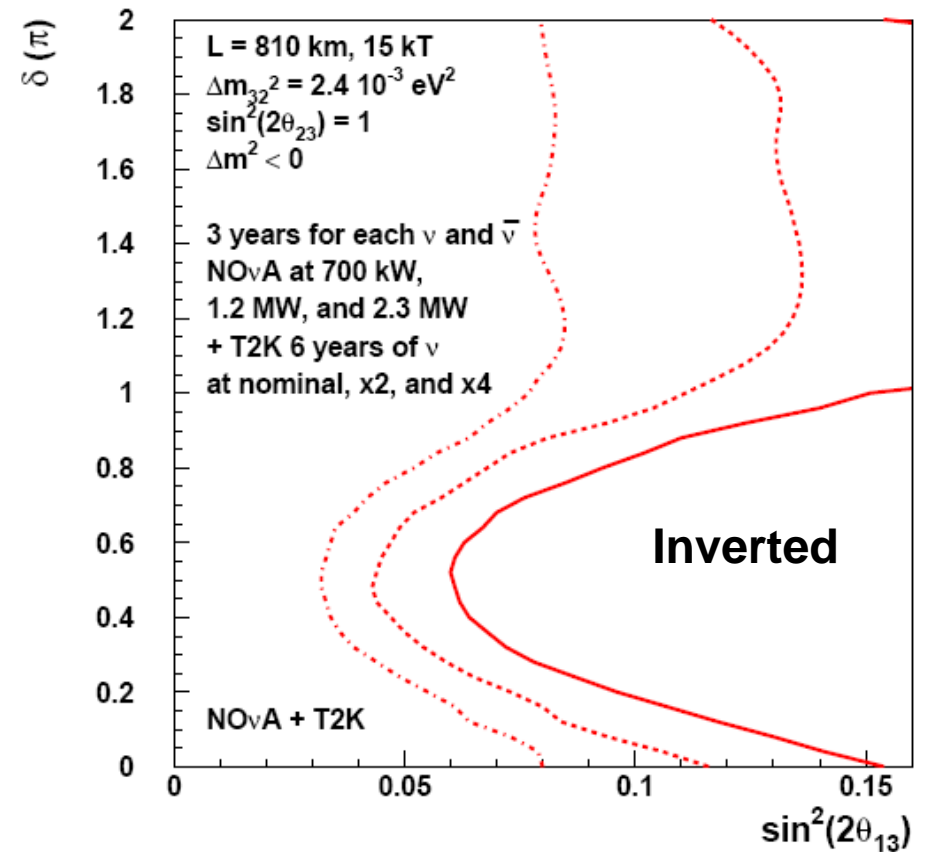


# NOvA + T2K Has Some Sensitivity to Mass Hierarchy (sign $\Delta m^2_{23}$ )

95% CL Resolution of the Mass Ordering



95% CL Resolution of the Mass Ordering



## And If One Is Lucky ....

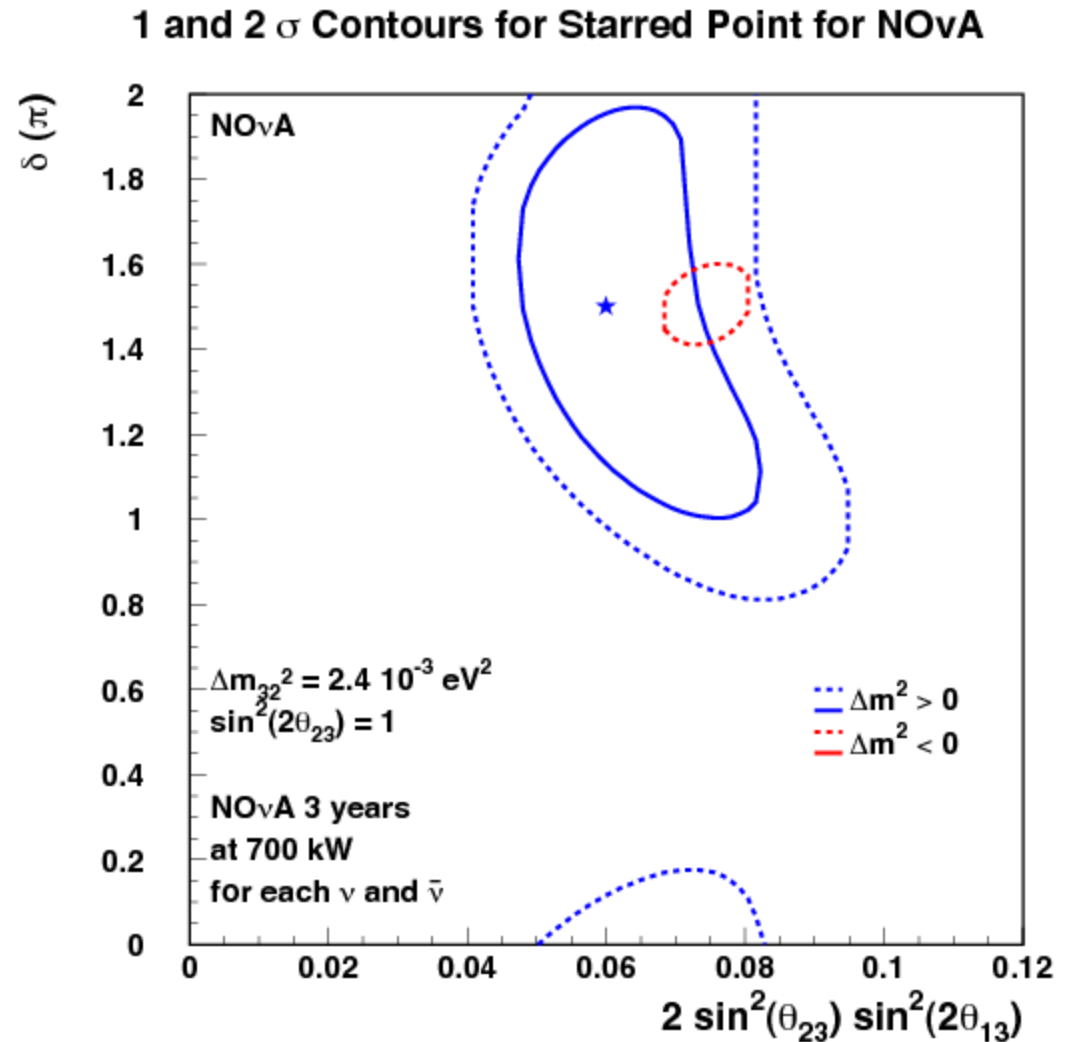
- There are some values of the CP parameter  $\delta$  that are easier to isolate and measure, i.e.

If  $\Rightarrow$

- $\theta_{13}$  is big enough
- Mass hierarchy is normal ( $\Delta m^2 > 0$ )
- $\delta$  around  $3\pi/2$

Then  $\Rightarrow$

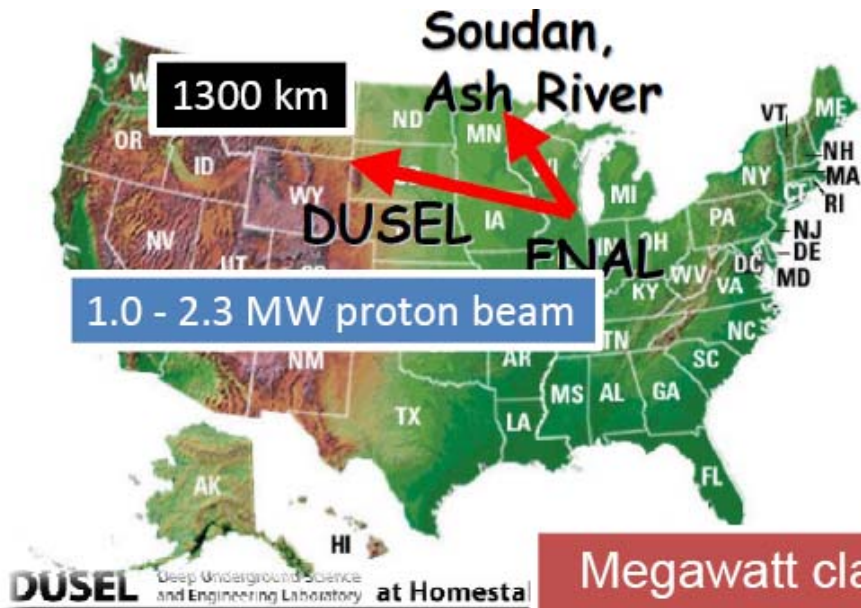
- Can observe a hint of CP violation at the 1 sigma level.



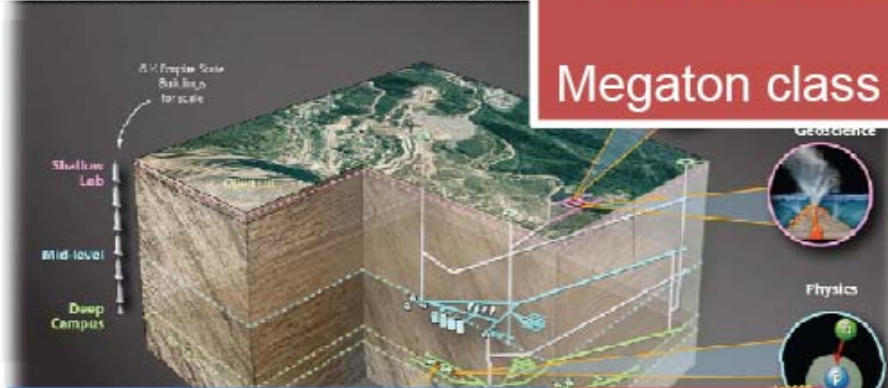
**Need Much Larger Experiments For Measuring CP Violation  $\Rightarrow$  Super-Beam Exps**



# Future Longbaseline Experiments

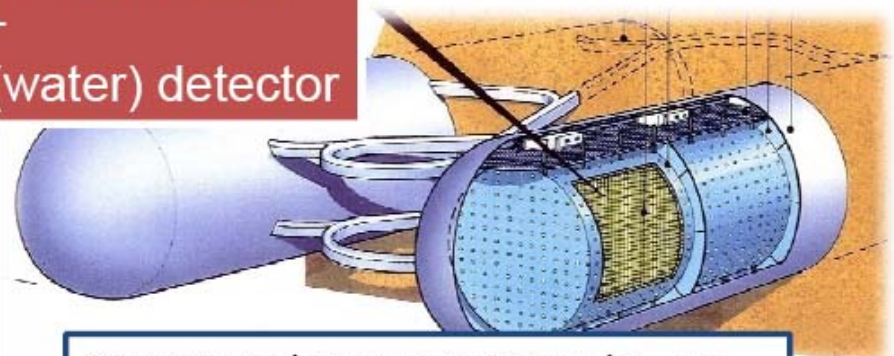


**Megawatt class super-beam  
+  
Megaton class (water) detector**



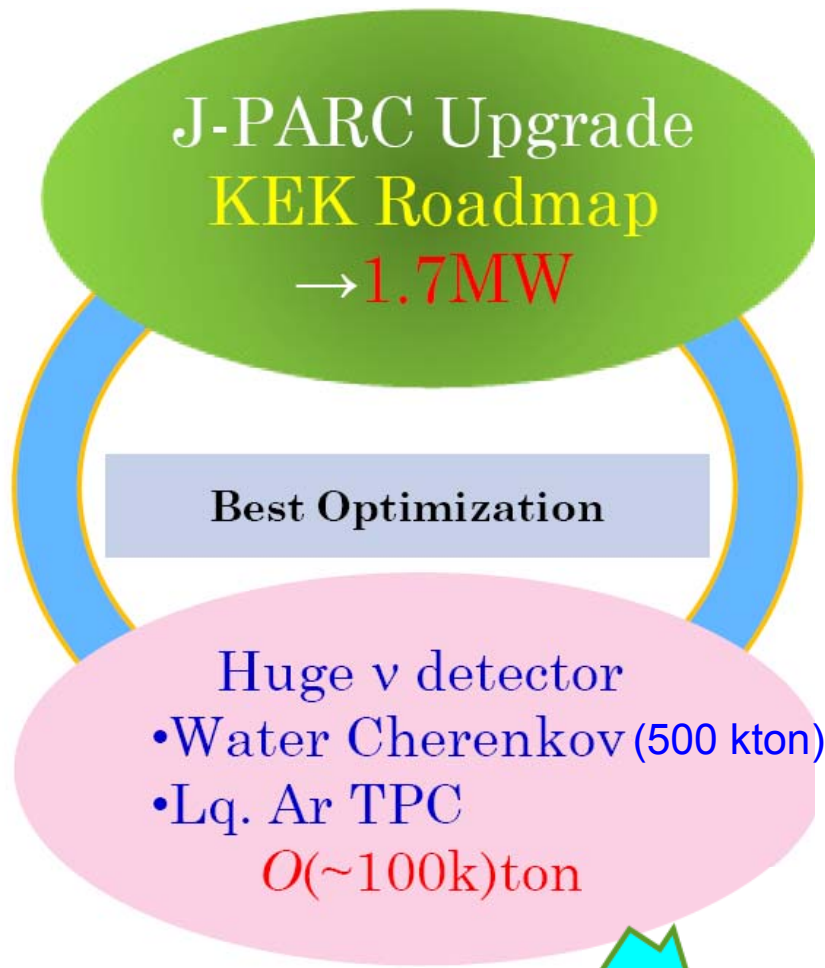
**100kton modular water Ch.  
→ Total mass = 300 ktons**

**Or, 50-100 kton LAr.**

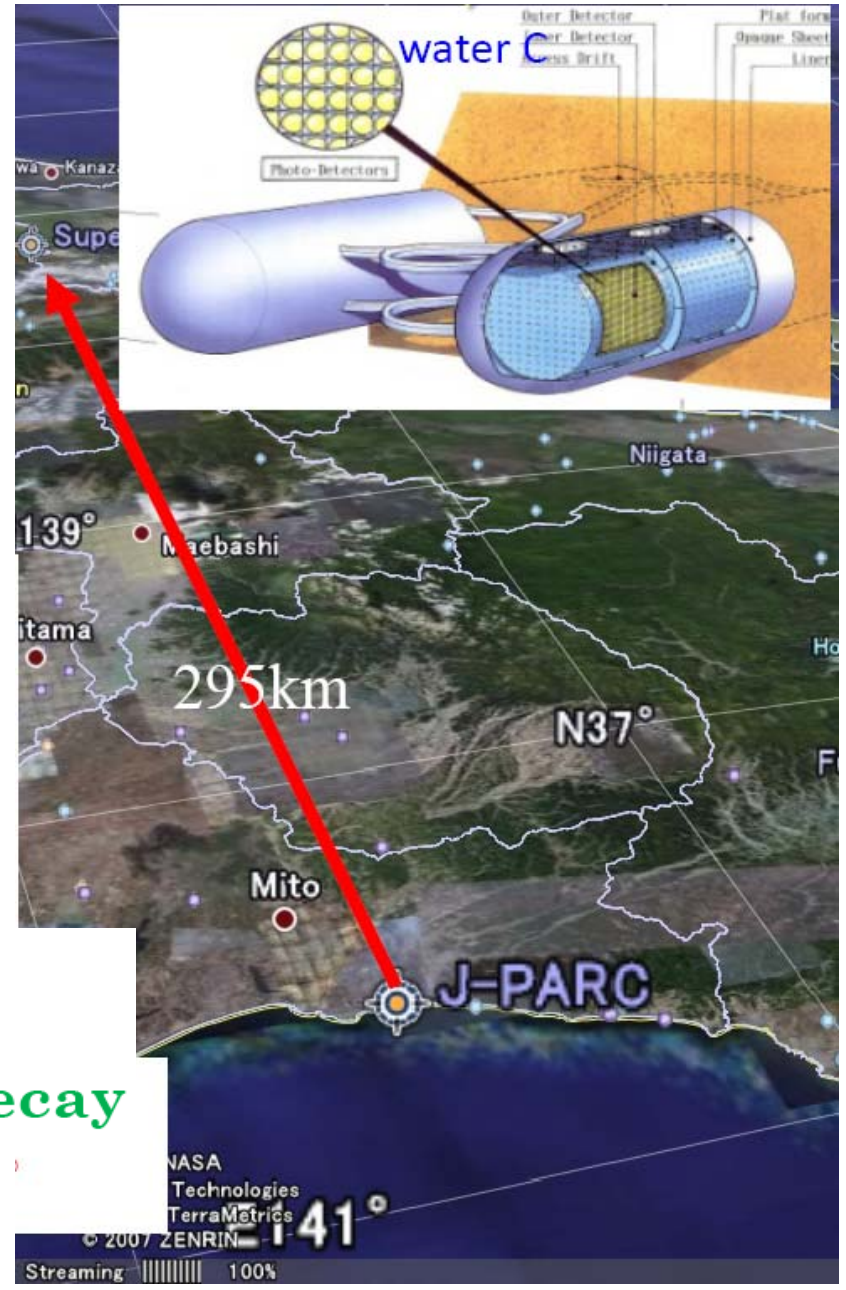


**0.54Mton detector in Kamioka, or  
0.27 Mton water Cherenkov detector  
in Kamioka and Korea.**

# Hyper-K Experiment



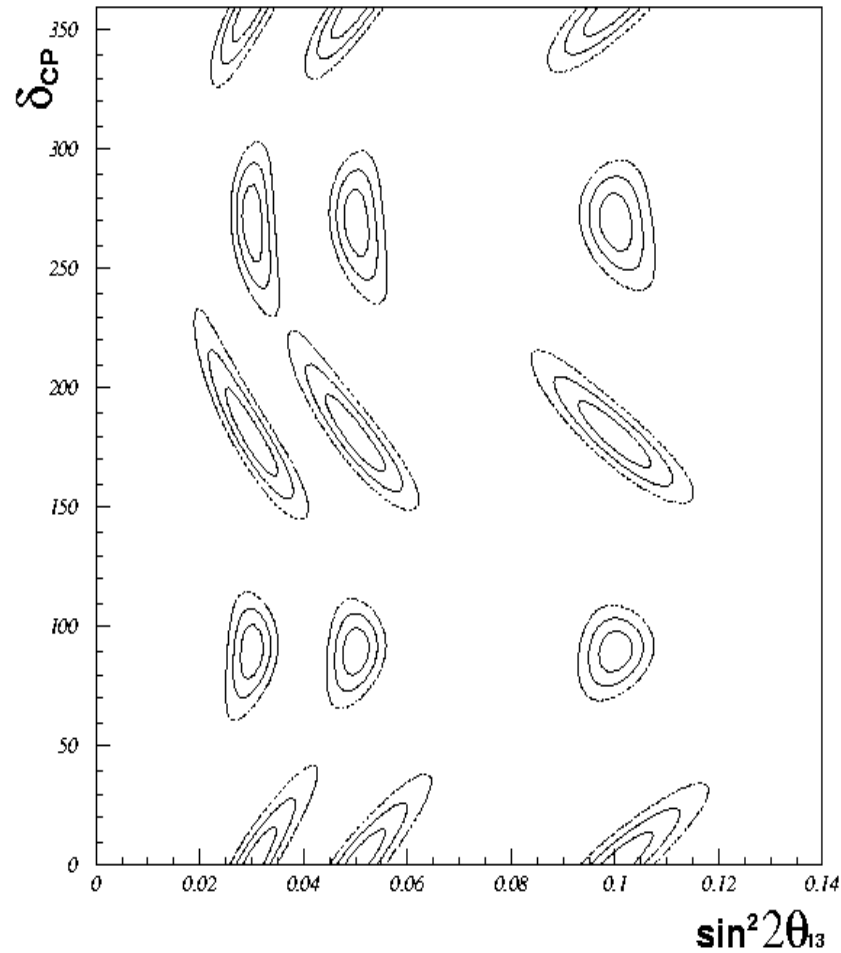
GUT  
Proton Decay



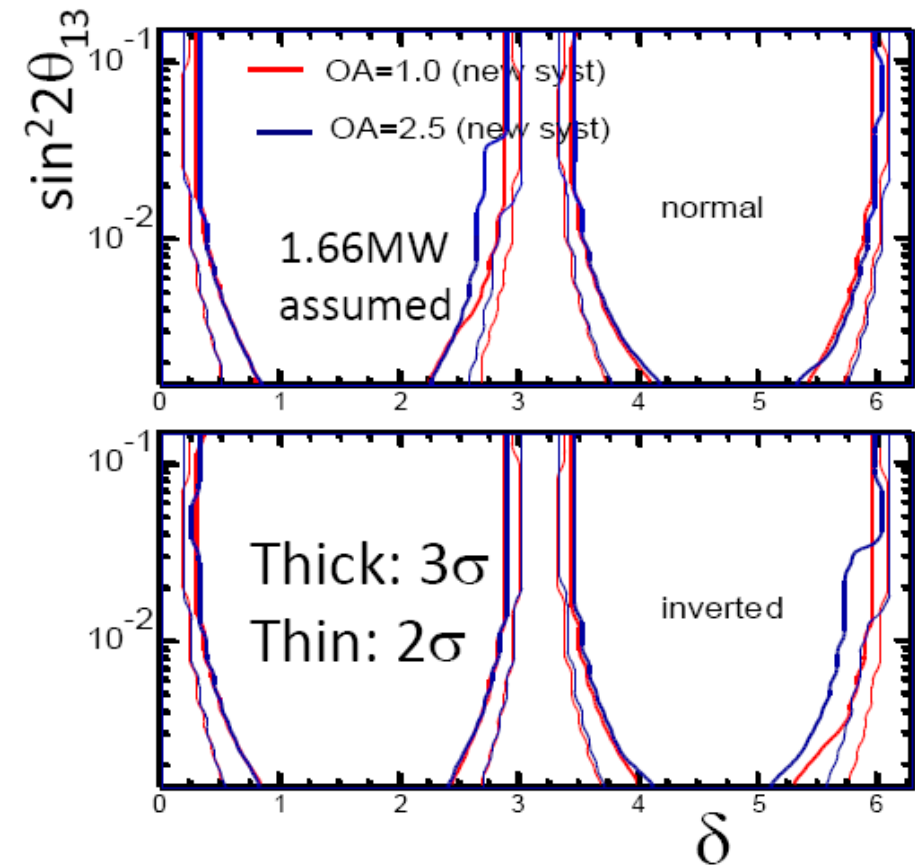


# Hyper-K CP Violation Sensitivities

## 100 kton Liquid Argon Detector



## J-PARC to Kamioka + Korea





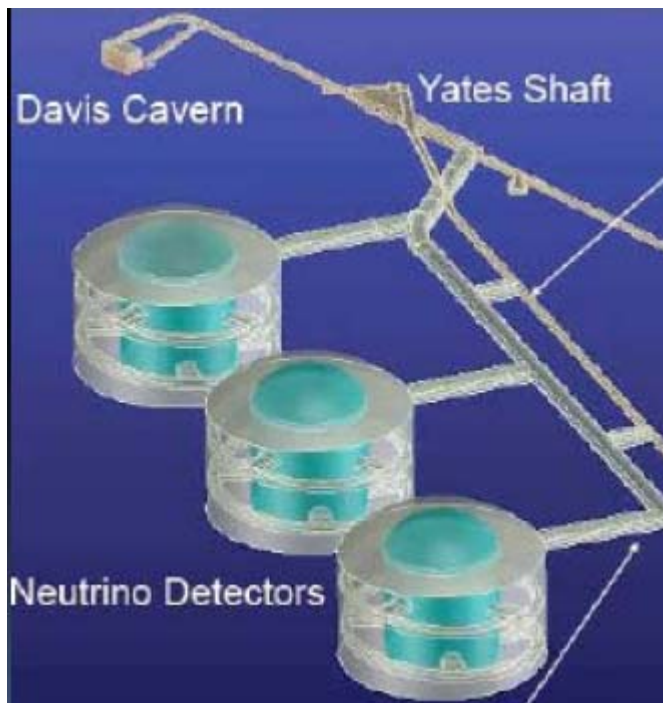
# Long Baseline Neutrino Experiment at DUSEL



- Beam Requirements:
    - Large neutrino flux covering 1<sup>st</sup> and 2<sup>nd</sup> oscillation max points (0.8 and 2.4 GeV)
    - High purity  $\nu_\mu$  flux with little  $\nu_e$  contamination
    - Minimize flux with energy above 5 GeV that causes background
- ⇒ Run at reduced energy  $90 \pm 30$  GeV but then less flux

# DUSEL LBNE Experiment and Expectations

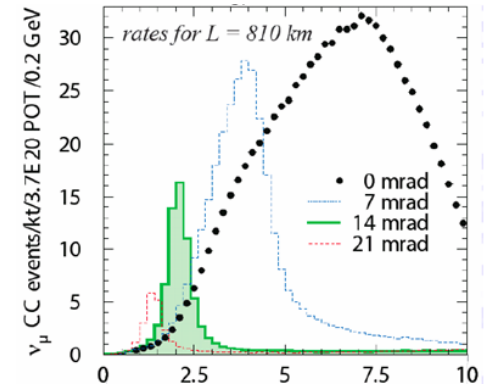
- Baseline experiment:
  - Three 100 kton fiducial “water Cherenkov” detectors (Each 5 times Super-K)
  - 1 MegaWatt (2.3 MW) 120 GeV beam with plug to reduce high  $E_\nu$
  - 3 yrs  $\nu$  + 3 yrs  $\bar{\nu}$  of data



	$\sin^2 2\theta_{13} \neq 0$	$\text{sign}(\Delta m_{31}^2)$	CPV
	$3\sigma$ , all $\delta_{cp}$	$3\sigma$ , all $\delta_{cp}$	$3\sigma$ , 50% $\delta_{cp}$
1 MW	0.007	0.021	0.019
2.3 M	0.004	0.014	0.012

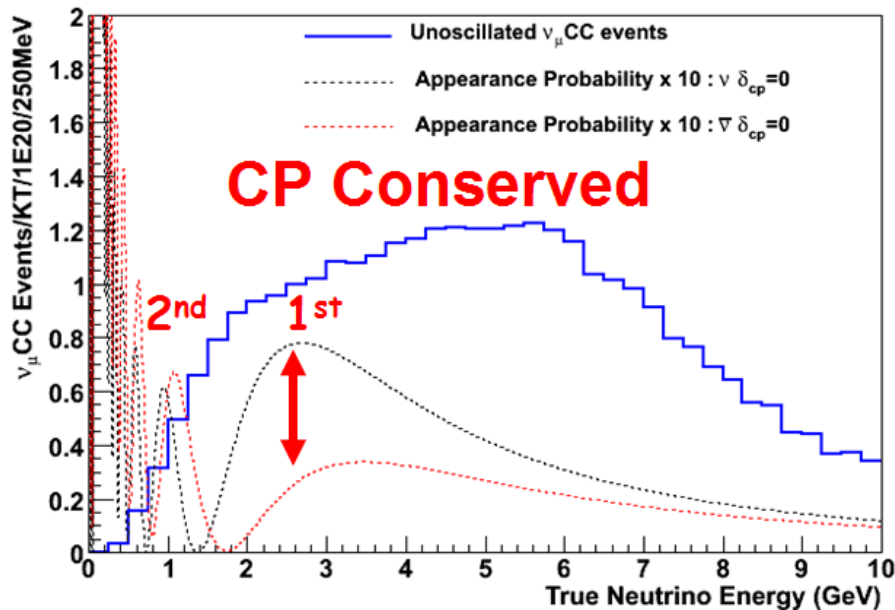
# On-axis Beam May Be Better for DUSEL Exp

- On-axis beam spans large energy region that allows one to measure the oscillation probability at both the first and second maximum ( $\sin^2(1.27\Delta m^2 L/E)$ )

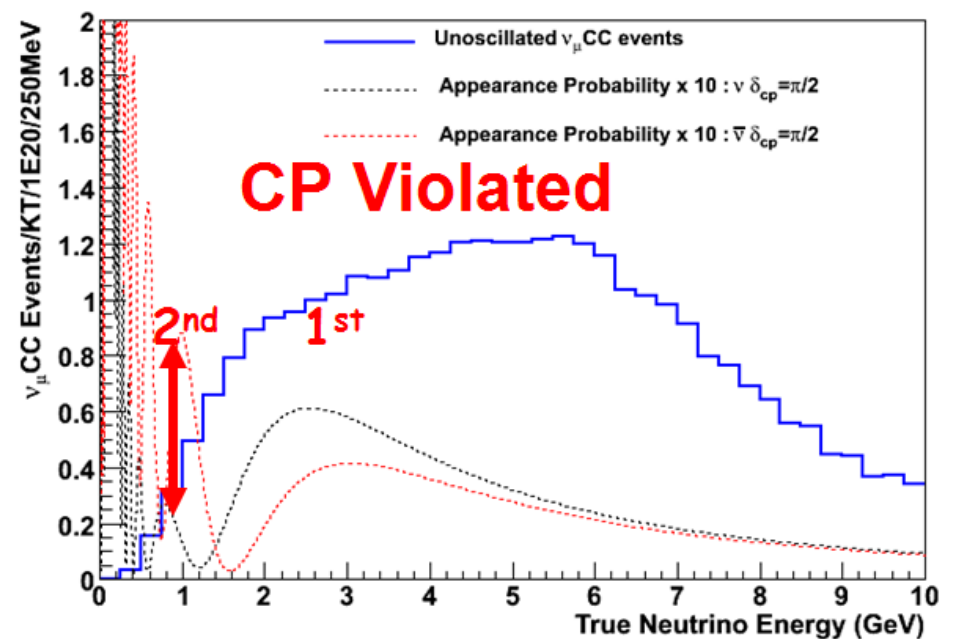


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1300 km On Axis new WBB



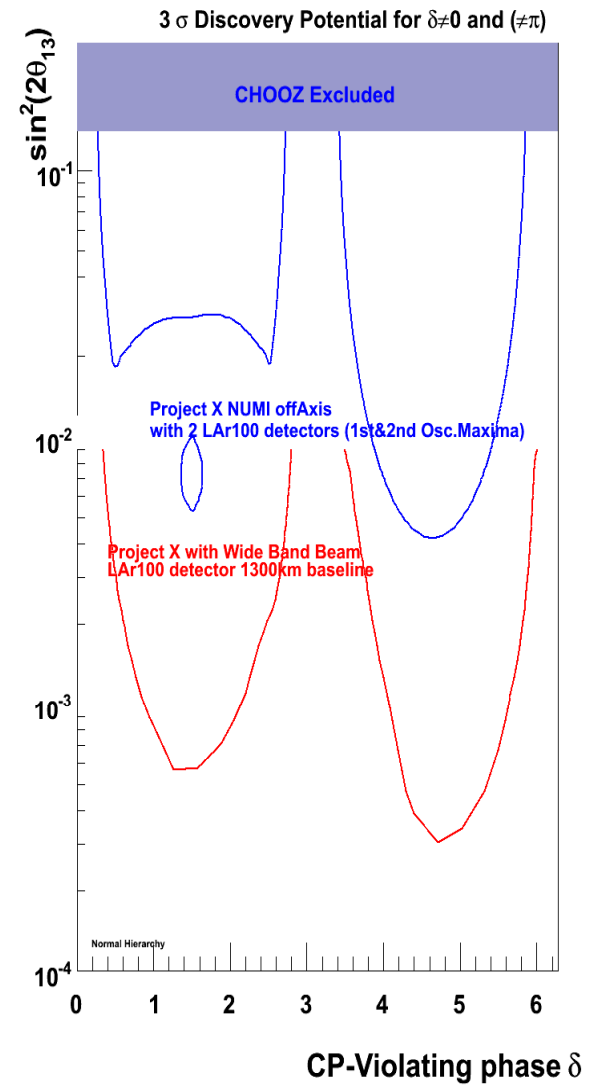
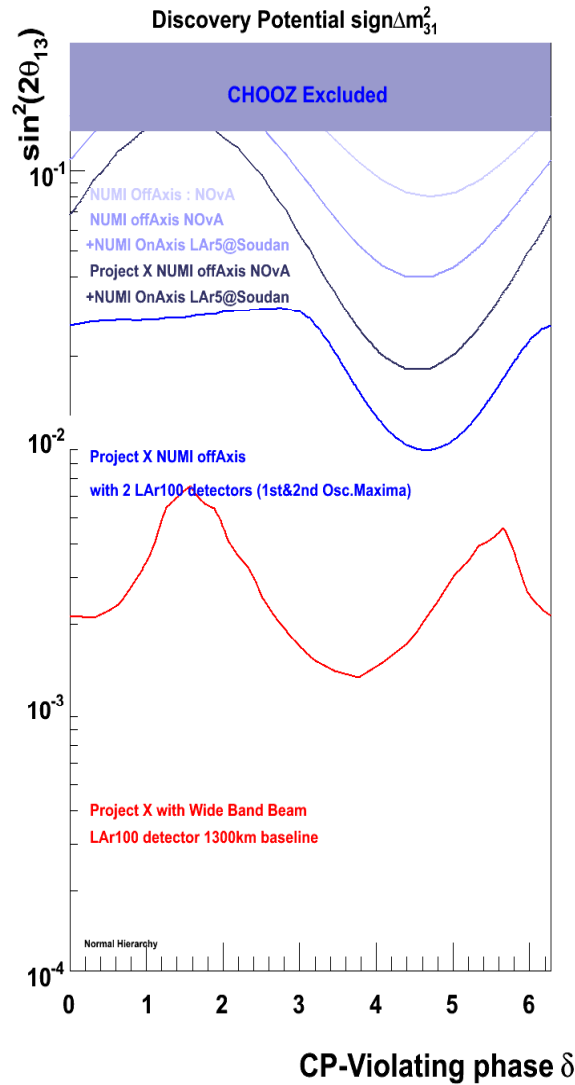
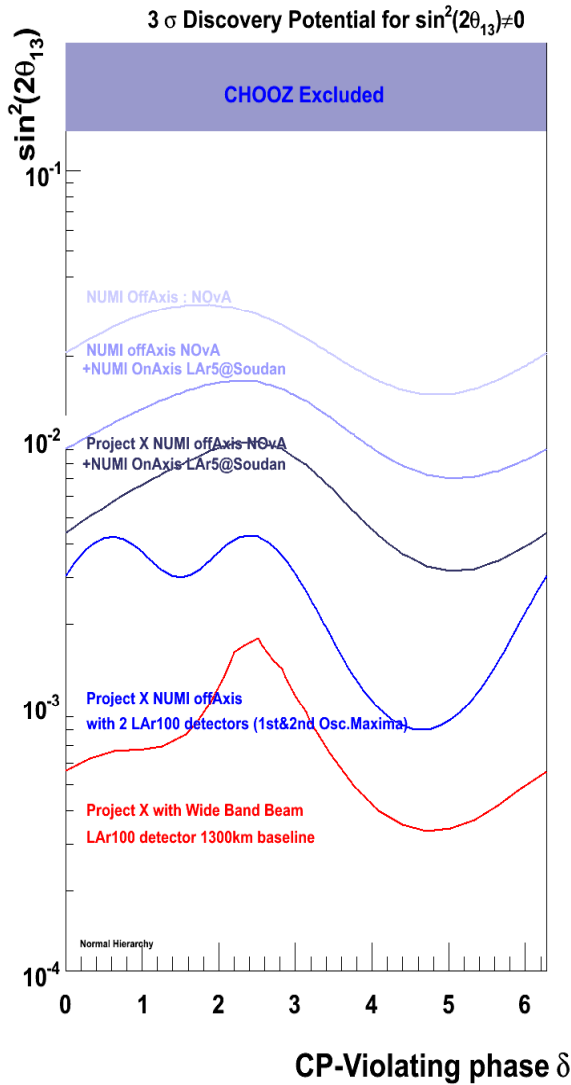
1300 km On Axis new WBB



**1<sup>st</sup> Maximum : Gives the neutrino mass hierarchy**

**2<sup>nd</sup> Maximum : Sensitive to CP Violation effects**

# Fermilab to DUSEL Sensitivities



NOvA - NOvA+5ktLAr - NOvA+5ktLAr+PX - NOvA+100kt LAr +PX  
 100ktLAr (OR 500kt WC) +New WBB+PX at DUSEL

# Final Comments

- Reactor and longbaseline experiments will be soon providing new information on  $\theta_{13}$ 
  - $\theta_{13}$  is a important physics parameter for modeling  $\nu$  mixing
  - $\theta_{13}$  is key for planning future long-baseline experiments to measure CP violation and the mass hierarchy
    - If  $\sin^2 2\theta_{13}$  is  $> \sim 0.03$ , T2K and Nova can make important measurements
    - If  $\sin^2 2\theta_{13}$  is  $< \sim 0.01$ , need other techniques to access the physics (1<sup>st</sup>, 2<sup>nd</sup> max. measurements; Superbeam exps, Neutrino Factory....)
- Longbaseline experiments are more complicated but have the promise to give information on the mass hierarchy and CP violation
  - T2K and Nova could give some early hints of these parameters
  - Next generation superbeams will be necessary to make quantitative measurements
- There is a strong ongoing program of oscillation experiments and serious plans for taking the next step to superbeams
  - Bright future for energetic young physicists to make all this happen



# Hallelujah !





**Changes sign with:**  
**1) Mass Hierarchy**  
**or**  
**2) 1<sup>st</sup> vs 2<sup>nd</sup> Max**

↓

$$P_{long-baseline} \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta$$

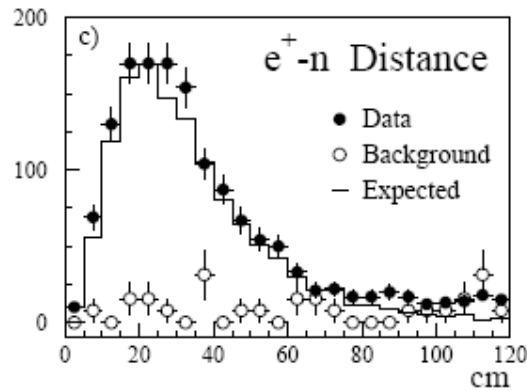
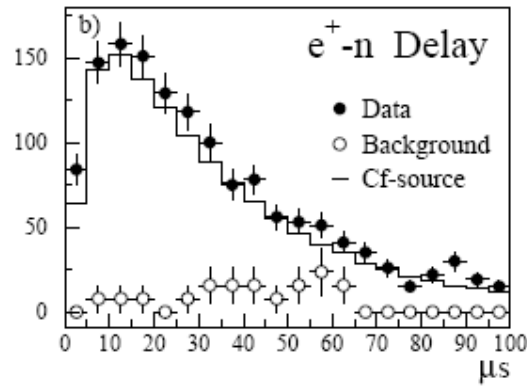
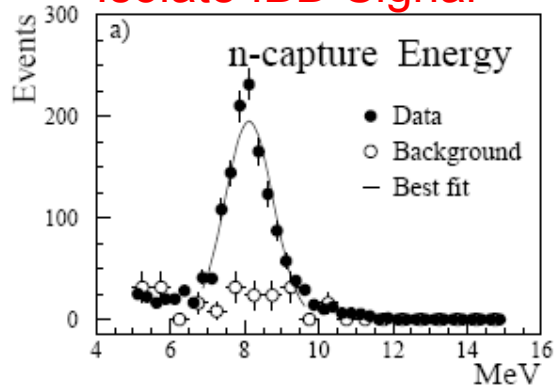
**Changes sign with:**  
 **$\nu$  or  $\bar{\nu}$**

$$\begin{aligned} & \left( \mp \right) \alpha \sin 2\theta_{13} \underbrace{\sin \delta_{CP}}_{\text{CP Violation}} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \underbrace{\sin^3 \Delta} \\ & + \alpha \sin 2\theta_{13} \cos \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \cos \Delta \sin^2 \Delta \\ & + \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 \Delta \end{aligned}$$

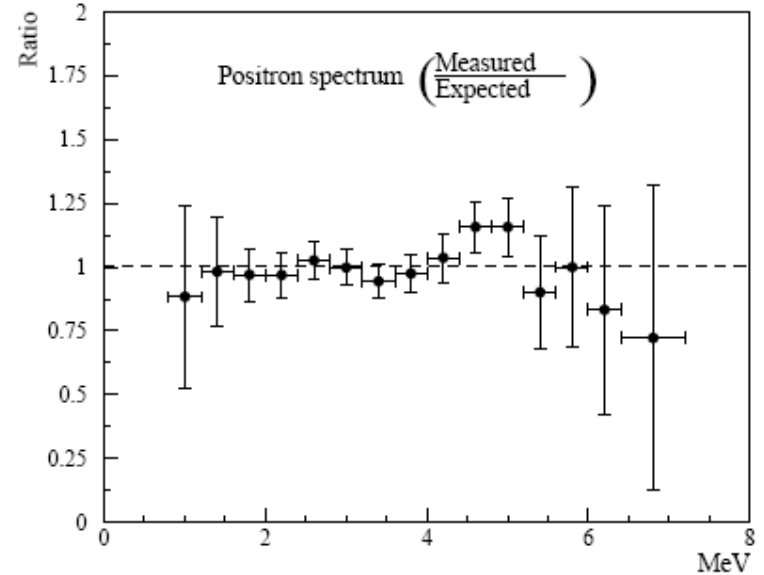
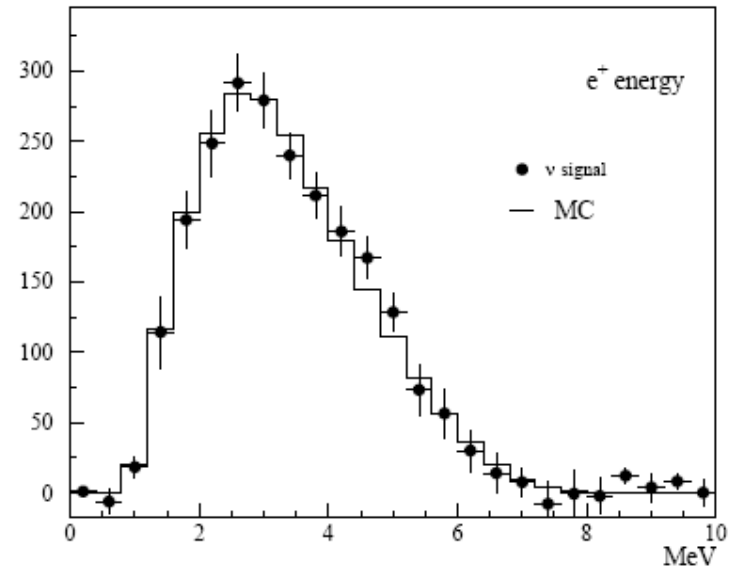
with  $\alpha \equiv \Delta m_{21}^2 / \Delta m_{23}^2$  and  $\Delta \equiv \Delta m_{31}^2 L / (4E_\nu)$

# CHOOZ Data and Predictions

## Experimental Cuts to Isolate IBD Signal



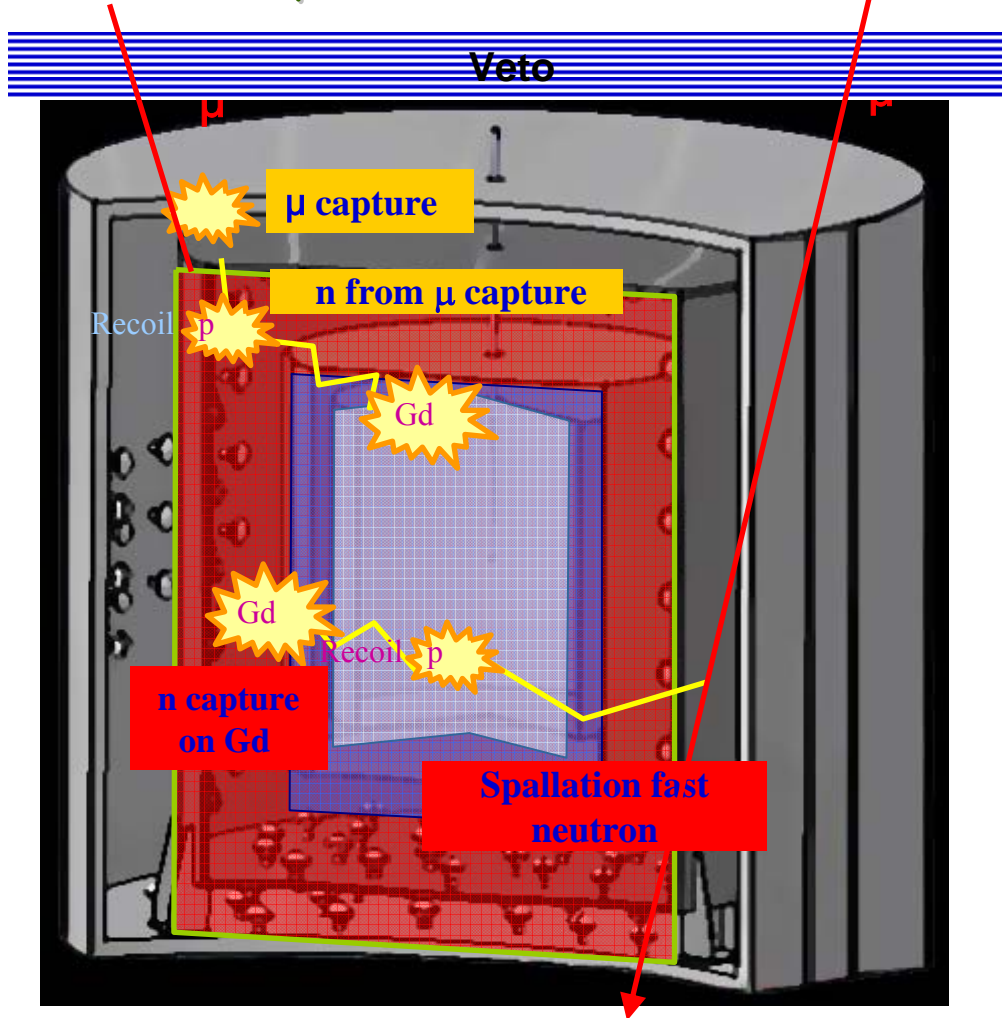
## Data Compared to Expectation



# Veto Background Events

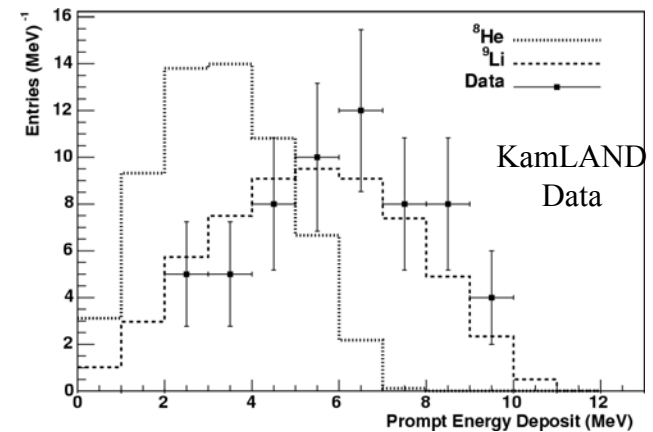
## Fast neutrons

Veto  $\mu$ 's and shield neutrons



## ${}^9\text{Li}$ and ${}^8\text{He}$

- Produced by a few cosmic ray muons through spallation
- Large fraction decay giving a correlated  $\beta+n$



A few second veto after every muon that deposits more than 2 GeV in the detector may be able to reduce this rate.