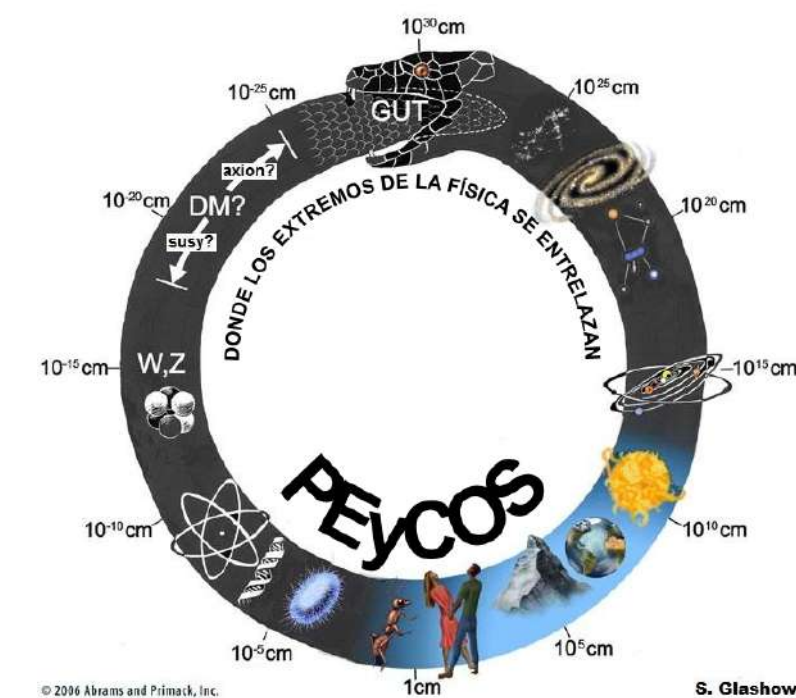


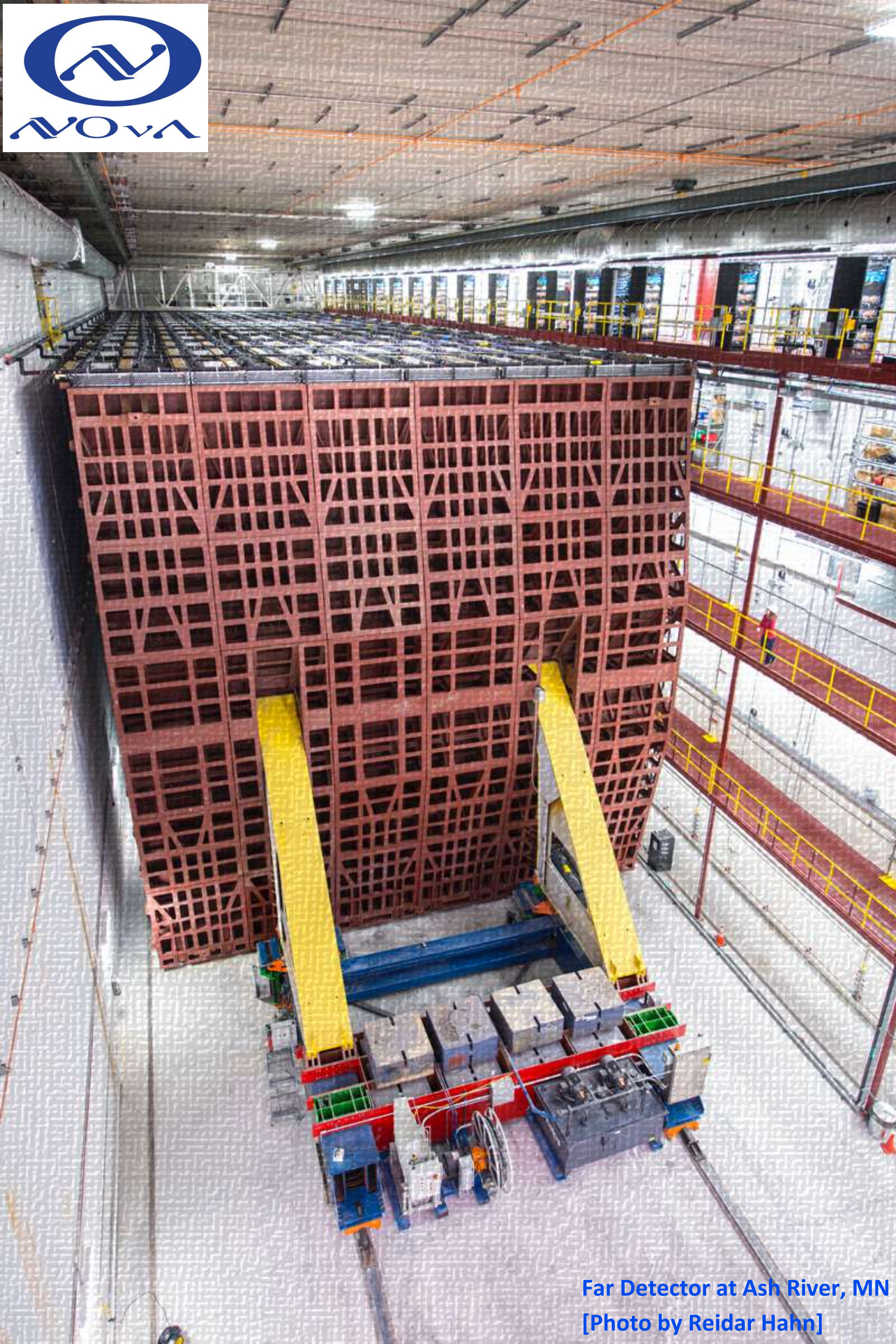
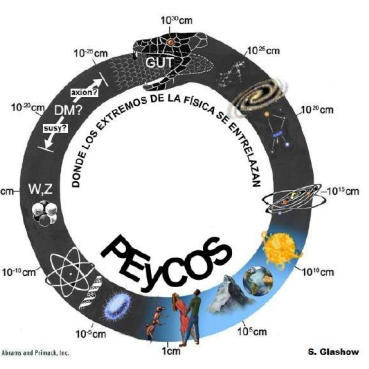
What's new about  
**Neutrino oscillations at NOvA**

Mario A. Acero Ortega, UniAtlántico  
for the NOvA Collaboration

Seminario de Altas Energías, Instituto de Ciencias Nucleares, UNAM  
21 de Octubre de 2020







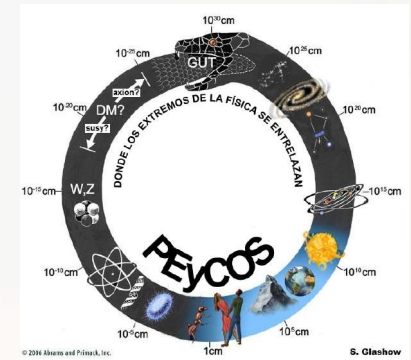
Far Detector at Ash River, MN  
[Photo by Reidar Hahn]

# Outline

Focusing on latest oscillations results

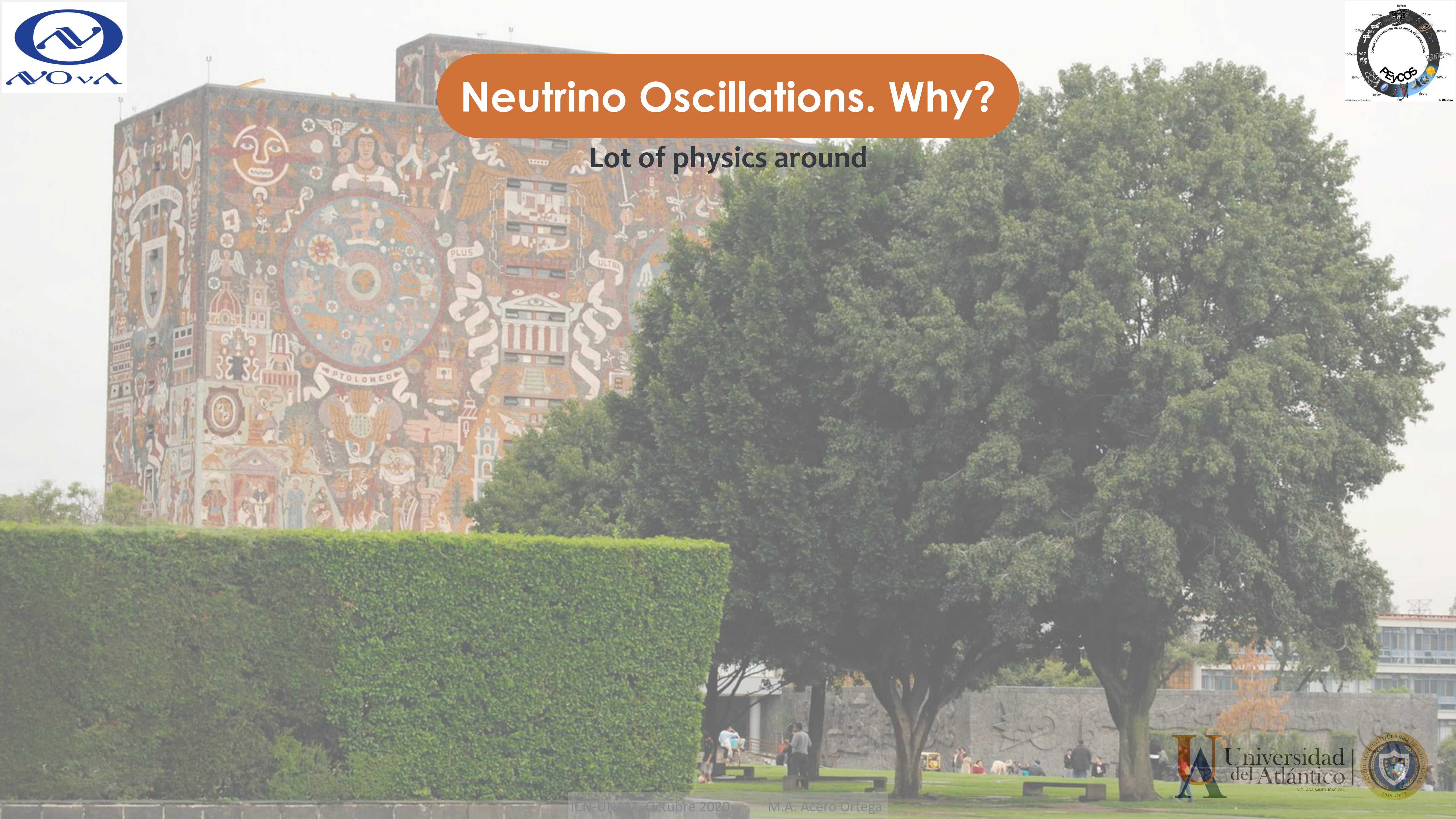
- Neutrino oscillations: why?
- NOvA, the experiment
- The results
- The future
- Conclusions





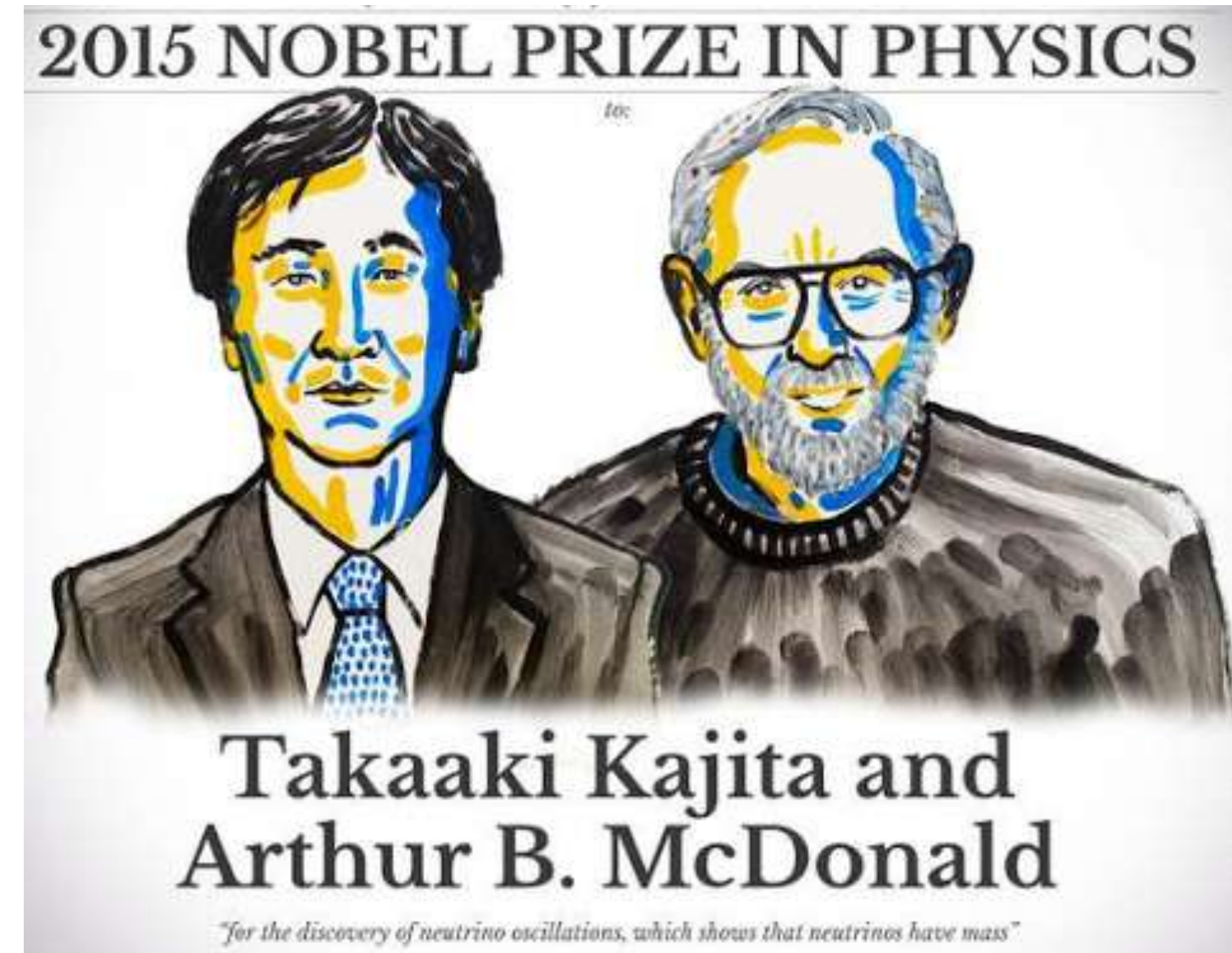
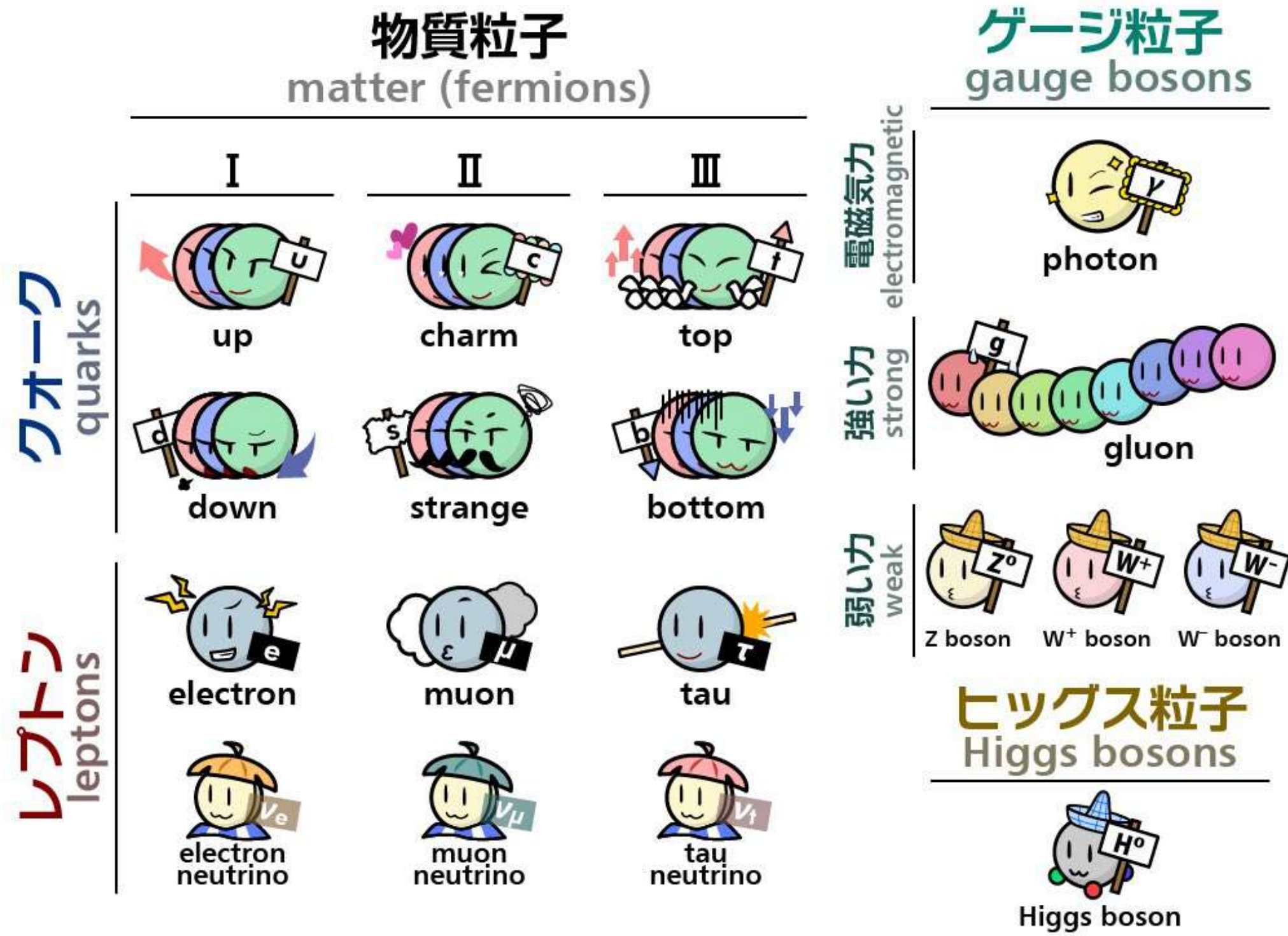
# Neutrino Oscillations. Why?

Lot of physics around





# Neutrino oscillations: Why?

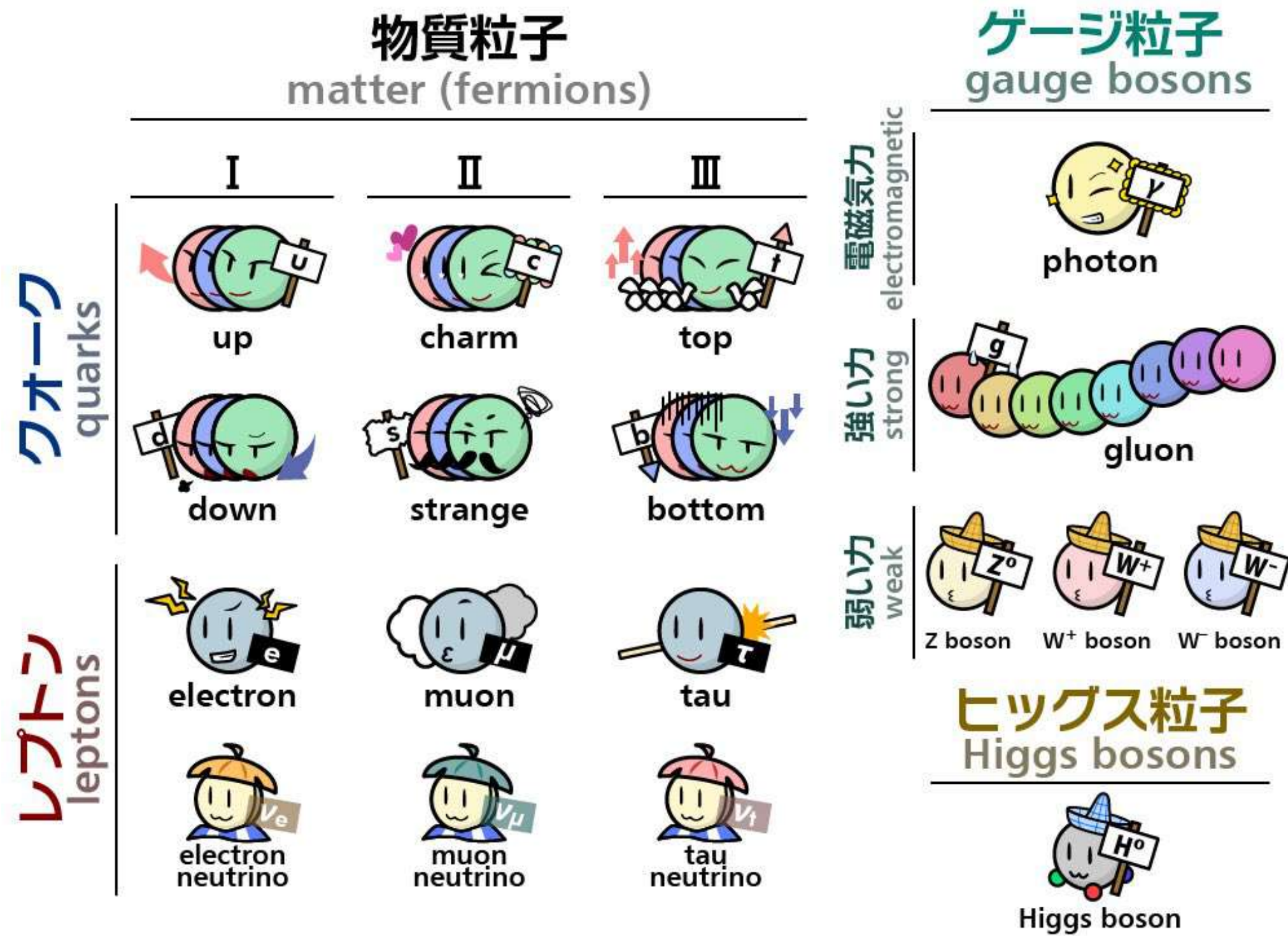


**The Standard Model of Particle Physics**  
 Such a successful theory and yet, so many questions yet to be answered

“For the discovery of neutrino oscillations, which shows that neutrinos have mass”



# Neutrino oscillations: Why?



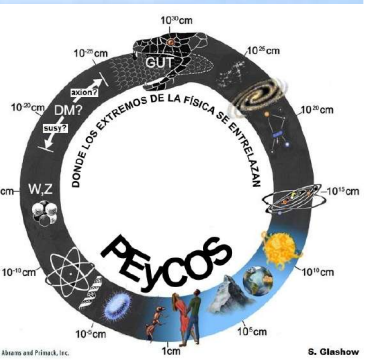
Questions addressed from the study of neutrino oscillations:

- Neutrino flavor mixing: predictable?
- Neutrino mass distribution: regular? similar to other fermions?
- Neutrinos vs. antineutrinos: the same oscillation pattern?
- Number of neutrinos: are there “only” three?

## The Standard Model of Particle Physics

Such a successful theory and yet, so many questions yet to be answered





# Neutrino Oscillations



[Teotihuacan]



# Neutrino oscillations



The neutrino of **flavor  $\alpha$**  is the one created in W boson decay together with the charged lepton of flavor  $\alpha$ .

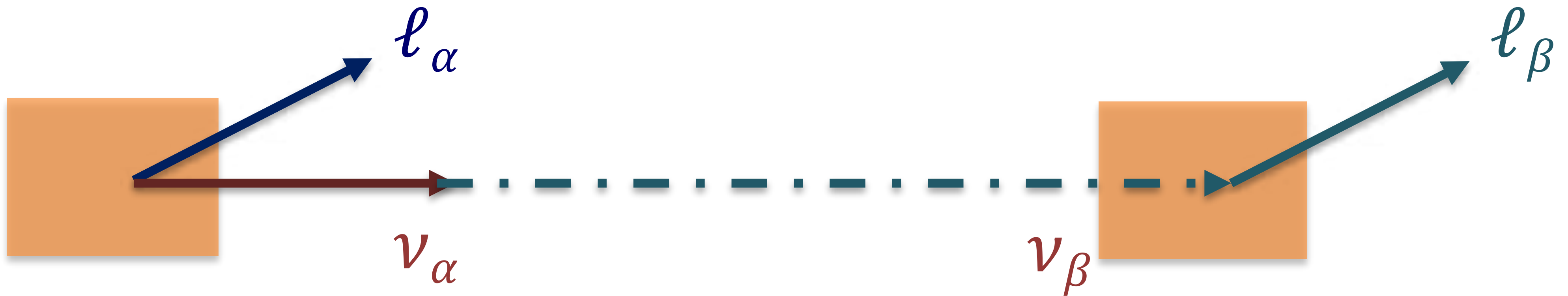
And creates a charged lepton of **flavor  $\alpha$**  when it undergoes a charged-current interaction.



# Neutrino oscillations



Flavor may change...



... if neutrinos have mass and mix



# Neutrino oscillations

- Neutrinos have mass and mix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U^\dagger \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Interactions (creation and detection)

Propagation



# Neutrino oscillations

- Neutrinos have mass and mix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12})}_{\text{Mixing matrix}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$R(\theta_{23}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

$$R(\theta_{12}) = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$R(\theta_{13}, \delta_{CP}) = \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{CP}} & 0 & \cos \theta_{13} \end{pmatrix}$$



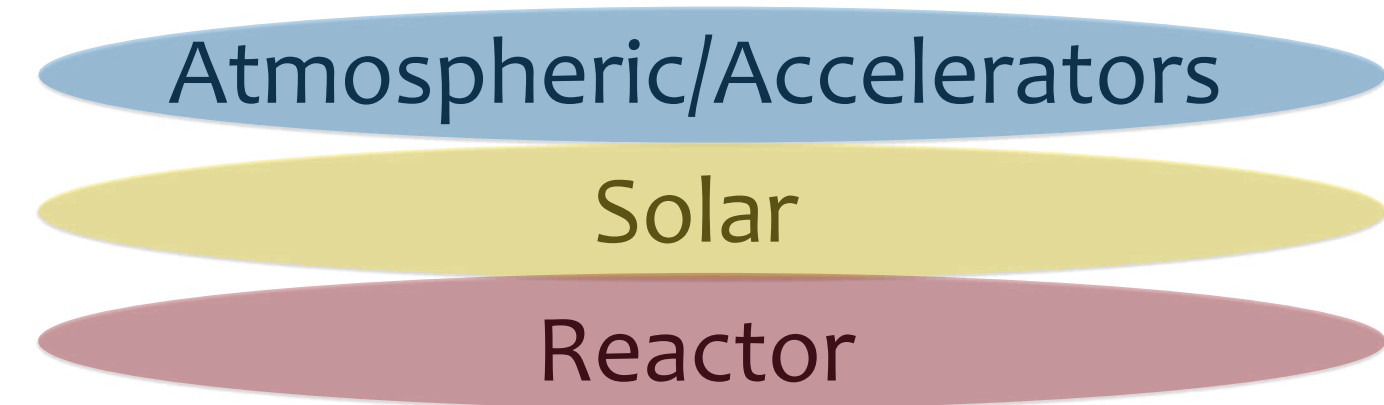
# Neutrino oscillations

- Neutrinos have mass and mix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$R(\theta_{23}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

$$R(\theta_{12}) = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



$$R(\theta_{13}, \delta_{CP}) = \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{CP}} & 0 & \cos \theta_{13} \end{pmatrix}$$



# Neutrino oscillations

- Neutrinos have mass and mix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

- The oscillation probability

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \left| \sum_k U_{\beta k}^* \exp\left(-i \frac{m_k^2 L}{2E}\right) U_{\alpha k} \right|^2$$



# Neutrino oscillations

- Neutrinos have mass and mix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

- From Global fits...

$$\theta_{12} = 33.44^\circ$$


$$\theta_{13} = 8.57^\circ \quad \Delta m_{31}^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

$$\theta_{23} = 49.2^\circ$$


[I. Esteban et al., JHEP178 (2020)]

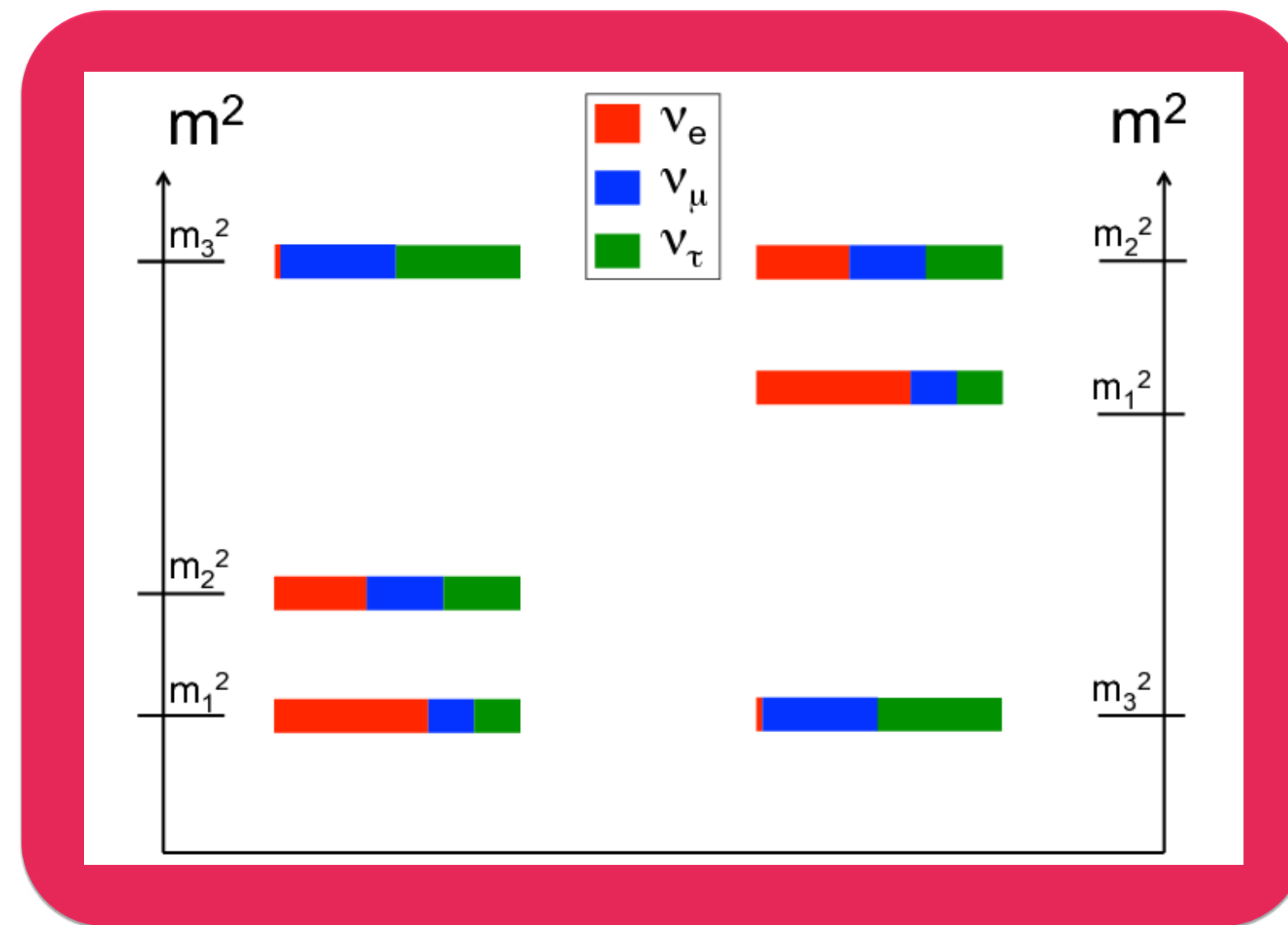
[NuFIT 5.0 (2020), <http://www.nu-fit.org/>]



# Neutrino oscillations

- And the open questions...

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$





# Neutrino oscillations

- And the open questions...

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Maximal mixing?

CP Violation?

② Is there a symmetry governing the  $\nu_\mu/\nu_\tau$  mixing into the 2<sup>nd</sup> and 3<sup>rd</sup> mass states? i.e.: is  $\theta_{23}$  "maximal" = 45°?

$\nu_3 =$    $\nu_e$    $\nu_\mu$    $\nu_\tau$

[J. Wolcott (2020), Fermilab W&C]

③ Is  $\delta_{CP}/\pi$  non-integral?

If it is, neutrinos — and thus leptons — violate CP symmetry.  
Related to wider matter/antimatter asymmetry in universe???



# Neutrino oscillations

- And the open questions...

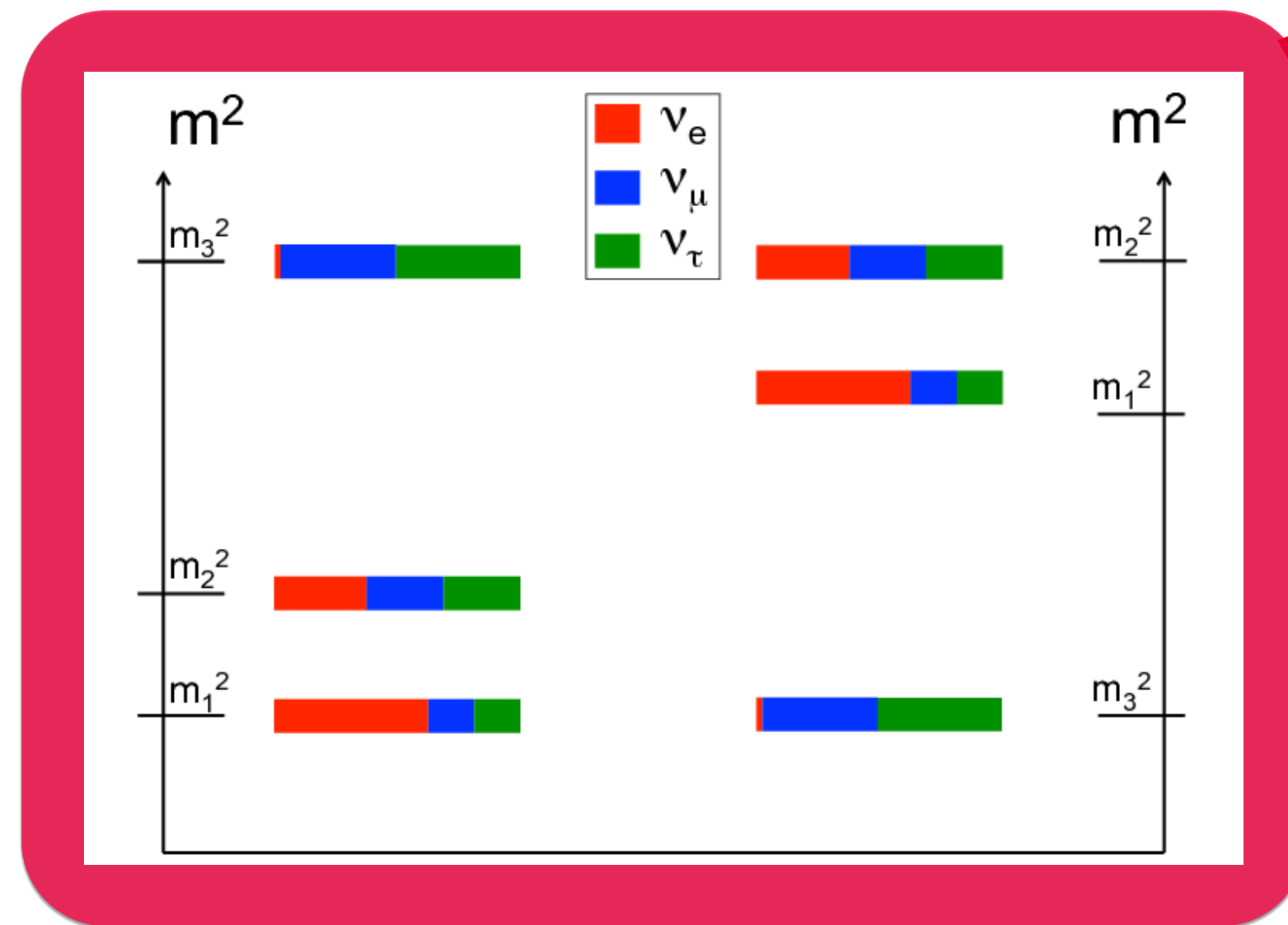
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Maximal mixing?
CP Violation?

Mass ordering?

$$\Delta m_{32}^2 > 0$$

$$\Delta m_{32}^2 < 0$$



① Is there a symmetry governing the ordering of the lepton mass states?  
Is the most electron-like state the lightest one, like with the charged leptons?

[J. Wolcott (2020), Fermilab W&C]



# Neutrino oscillations

• And the open questions...

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R(\theta_{23}) \cdot R(\theta_{13}, \delta_{CP}) \cdot R(\theta_{12}) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Only 3 flavors?

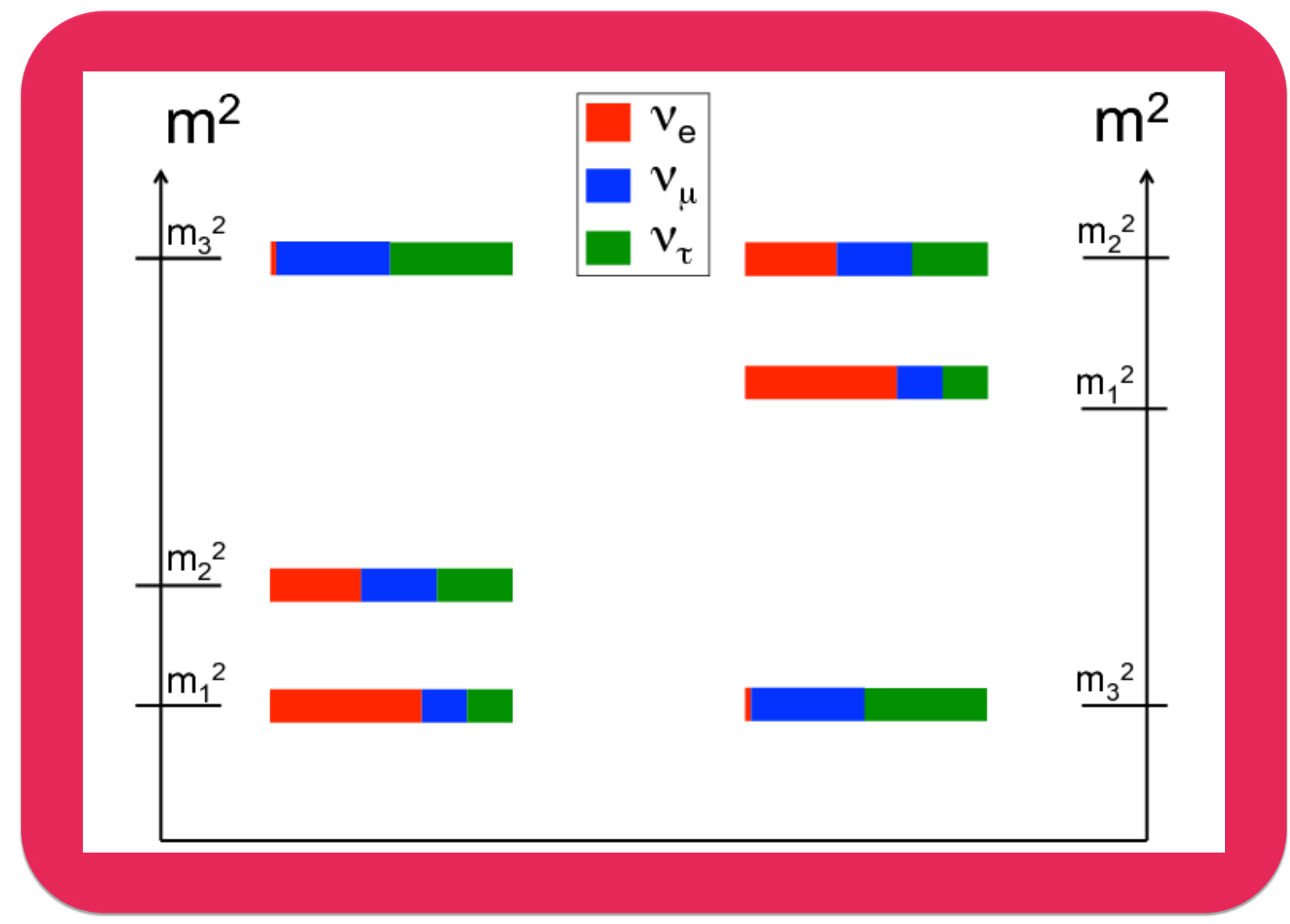
Maximal mixing?

CP Violation?

Mass ordering?

$$\Delta m_{32}^2 > 0$$

$$\Delta m_{32}^2 < 0$$



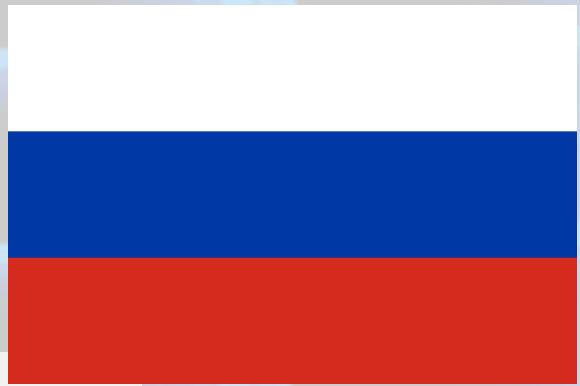
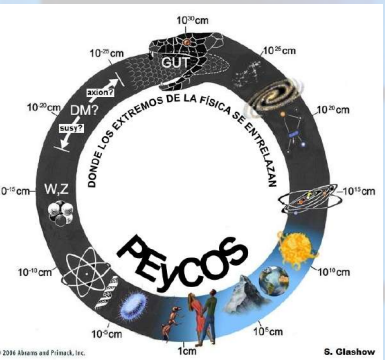
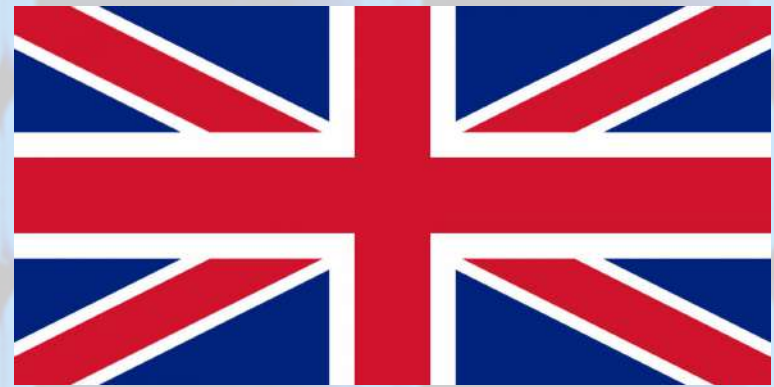
• Absolute neutrino mass?  
 •  $\nu = \bar{\nu}$  ?  
 No answer from neutrino oscillations



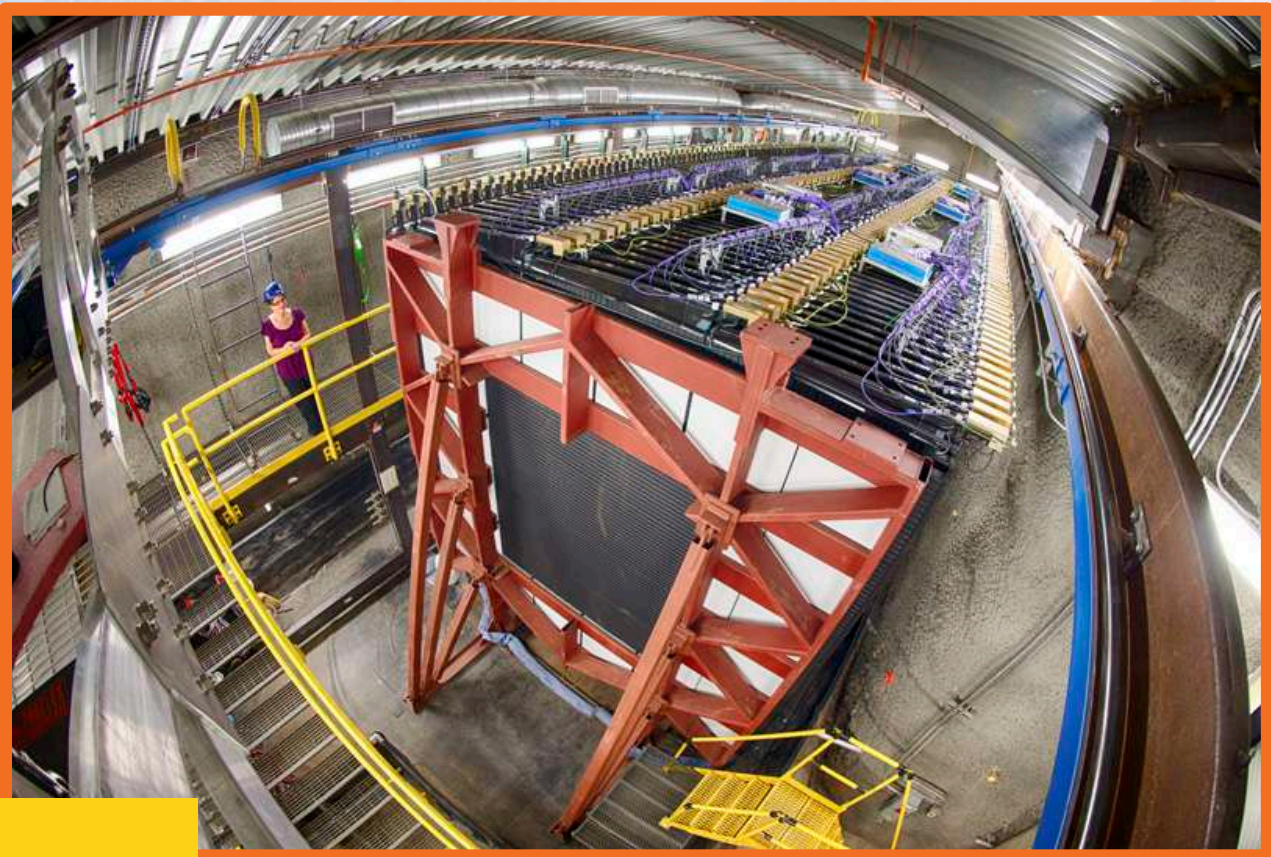


# NOvA: The Experiment

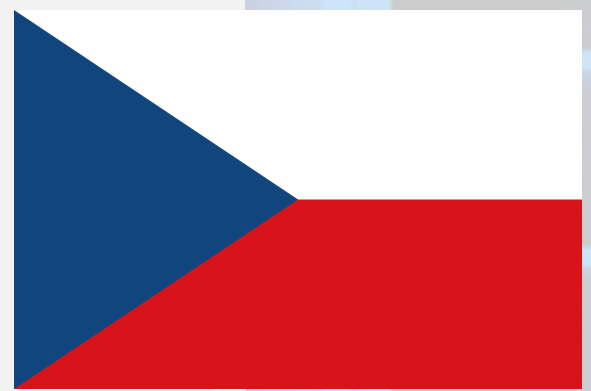
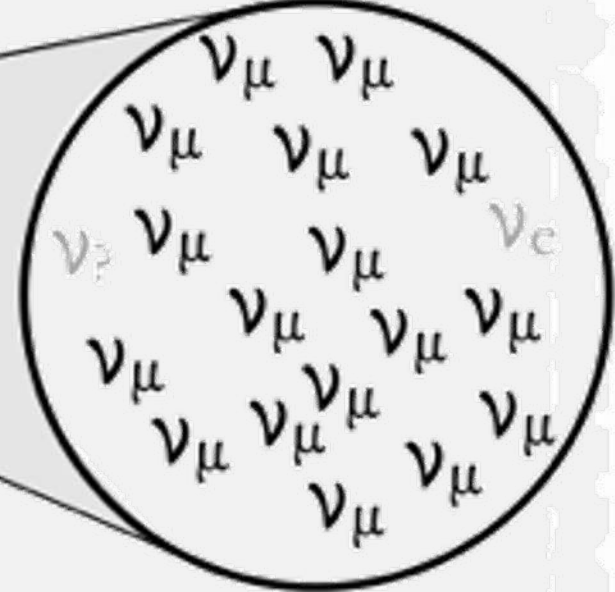
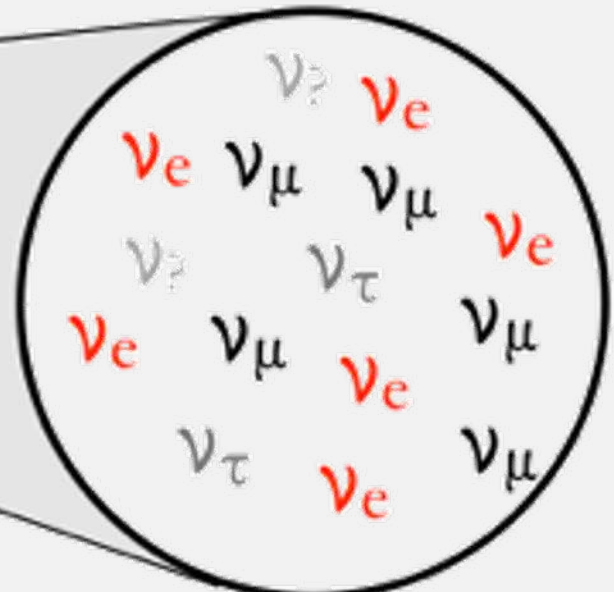
## NuMI Off-axis $\nu_e$ Appearance



Far Detector

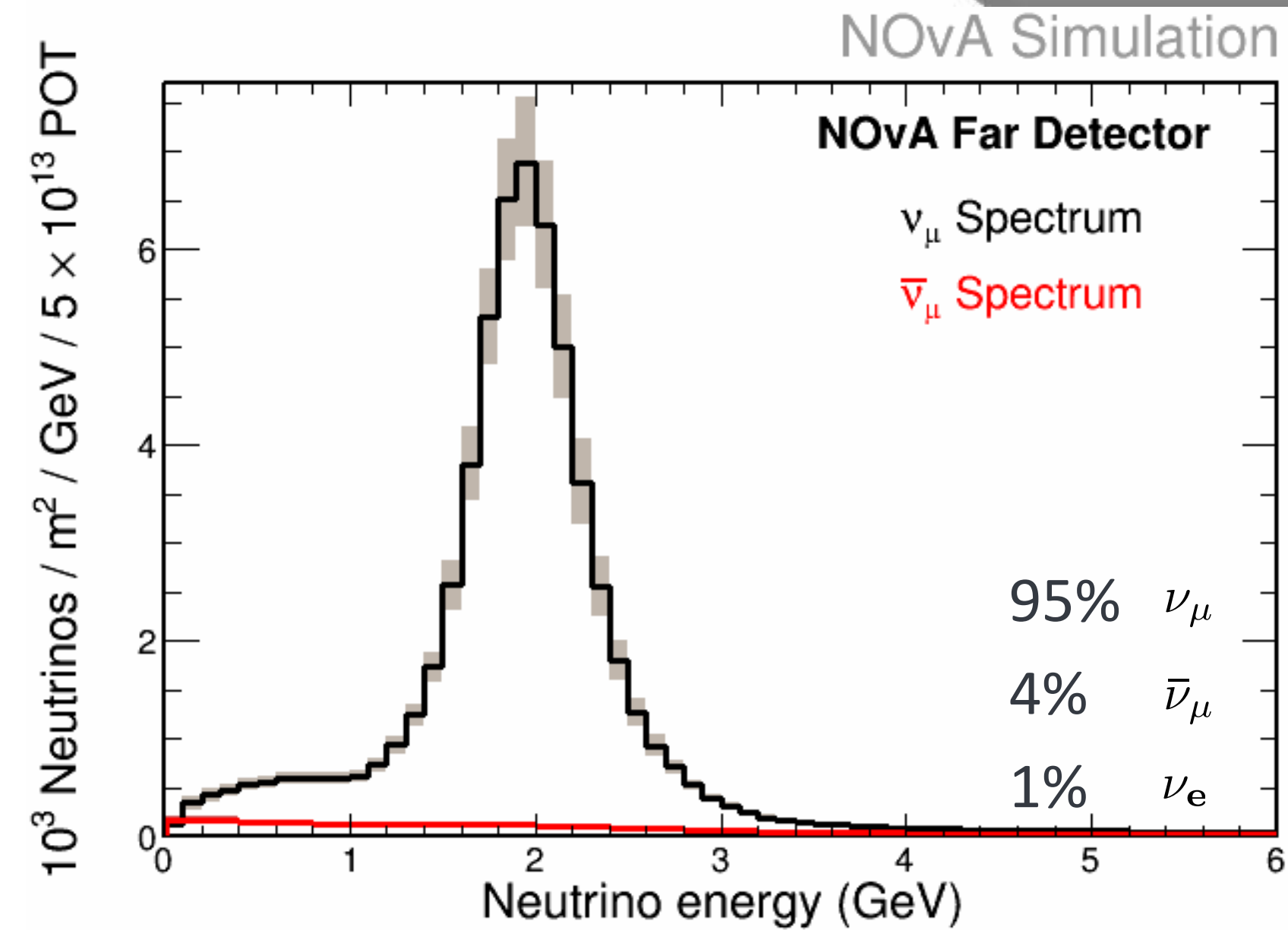
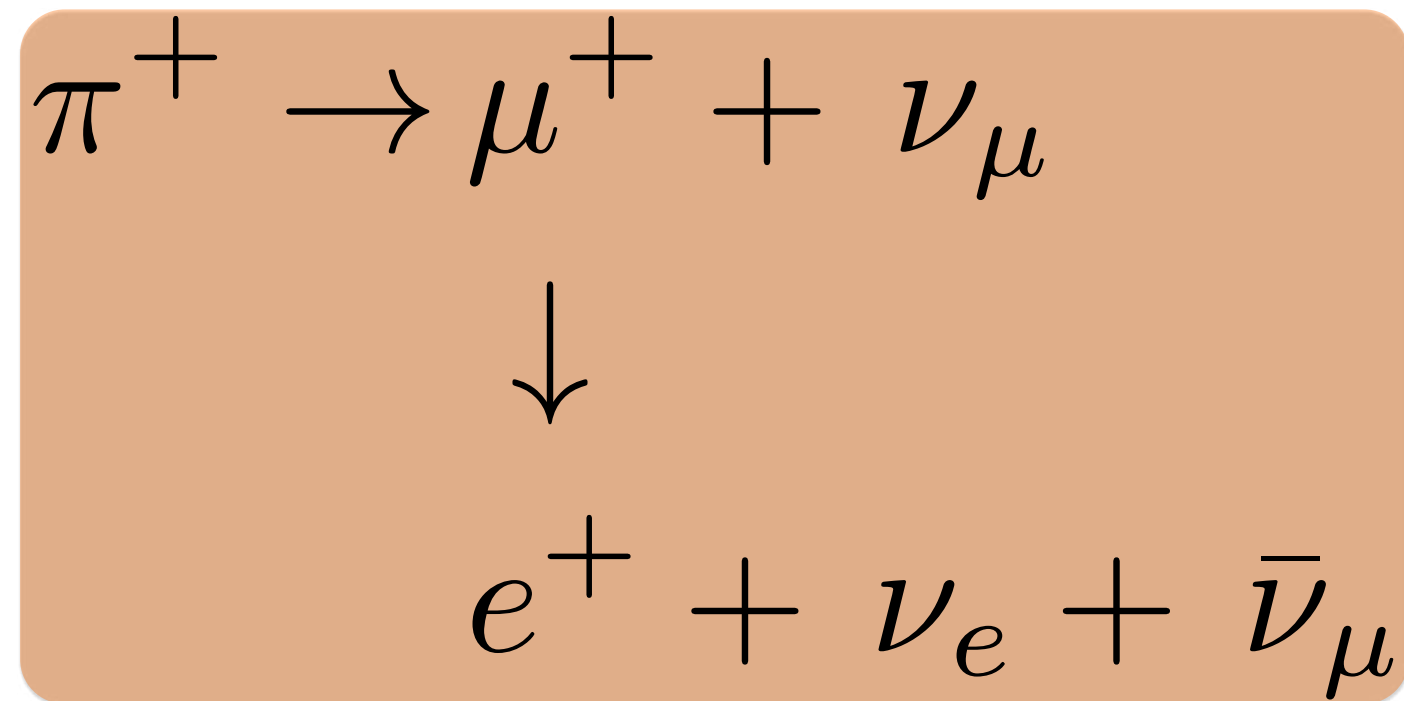
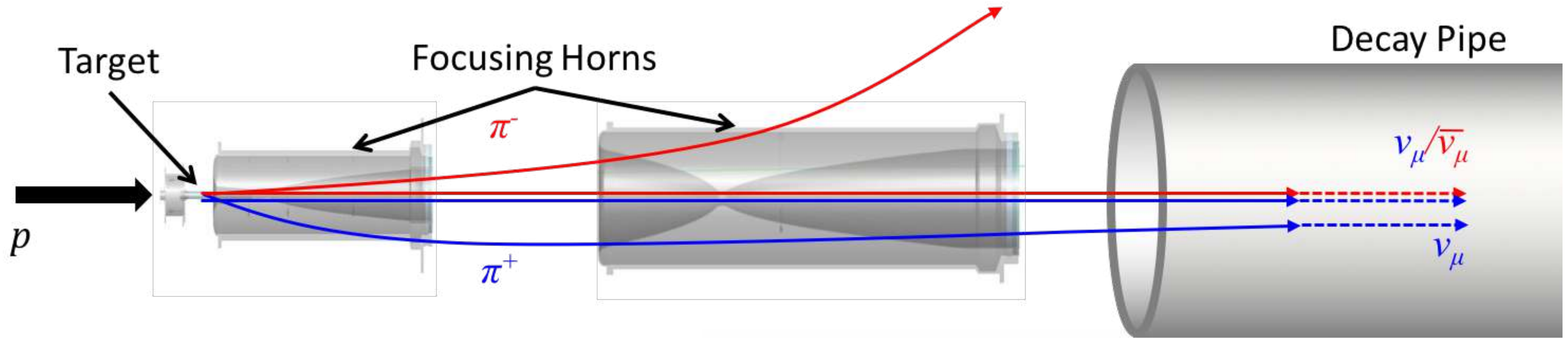


Near Detector



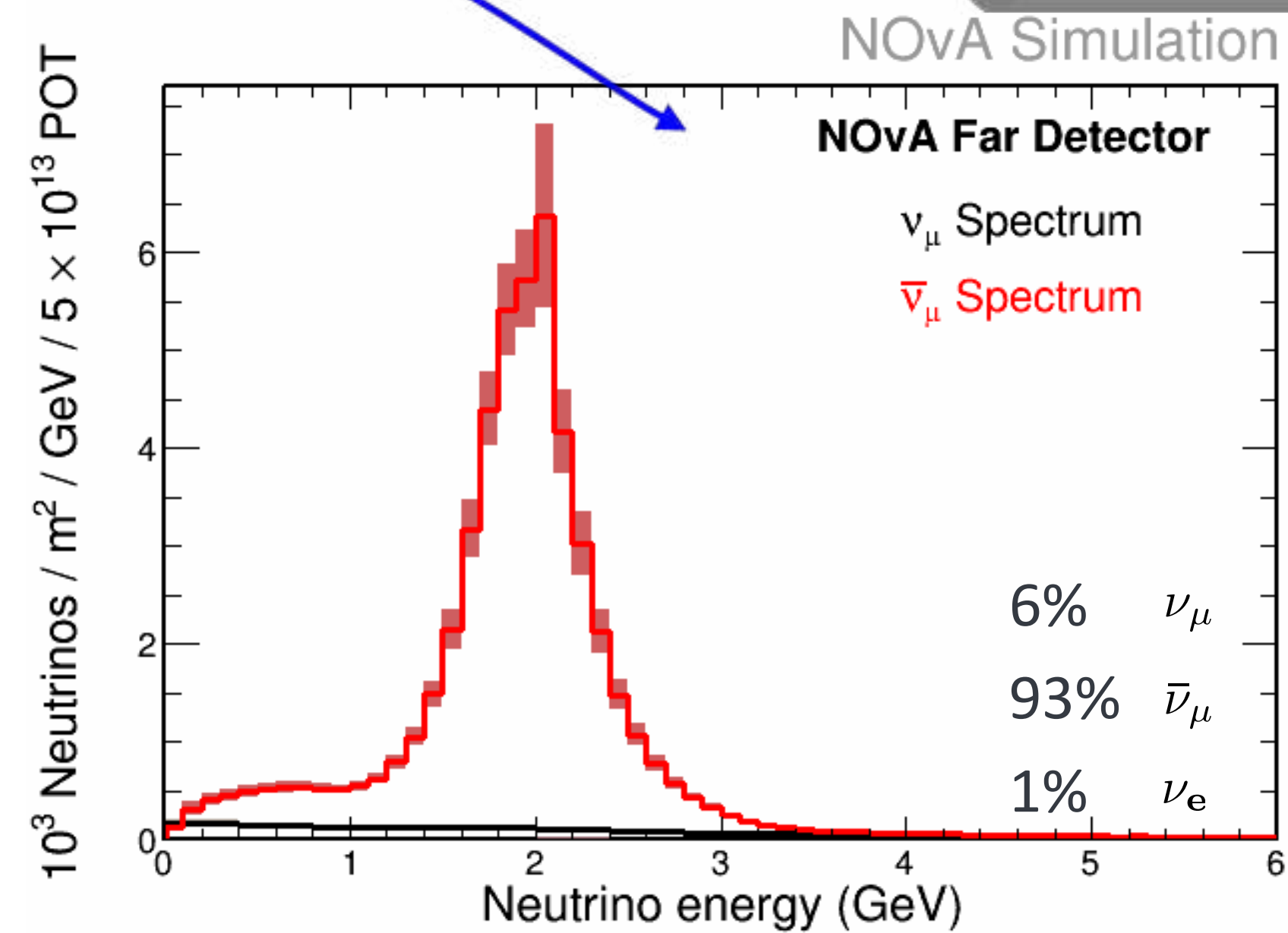
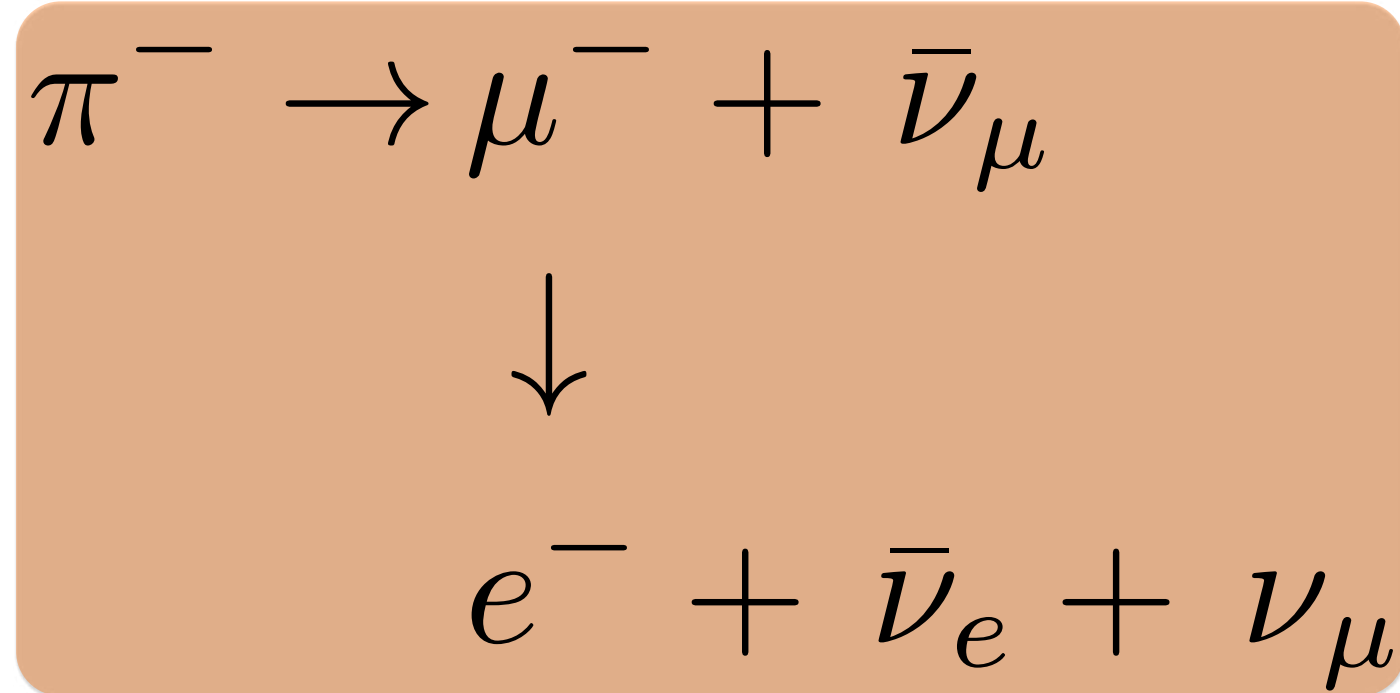
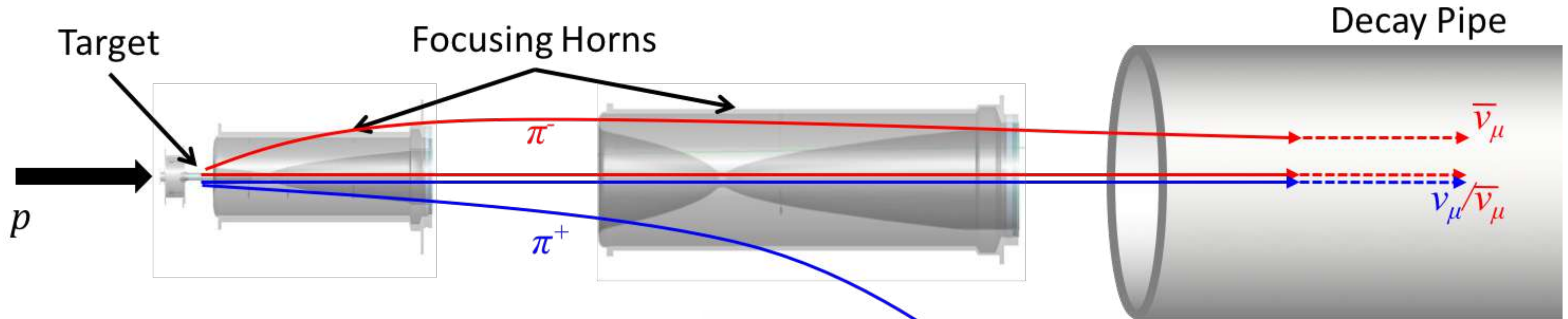


# The Neutrino Beam



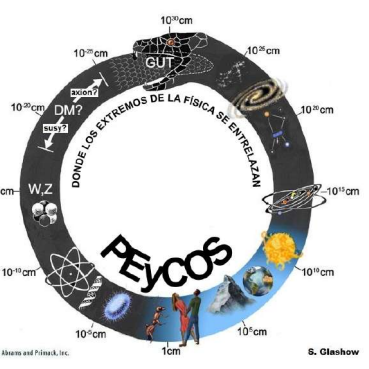


# The Neutrino Beam



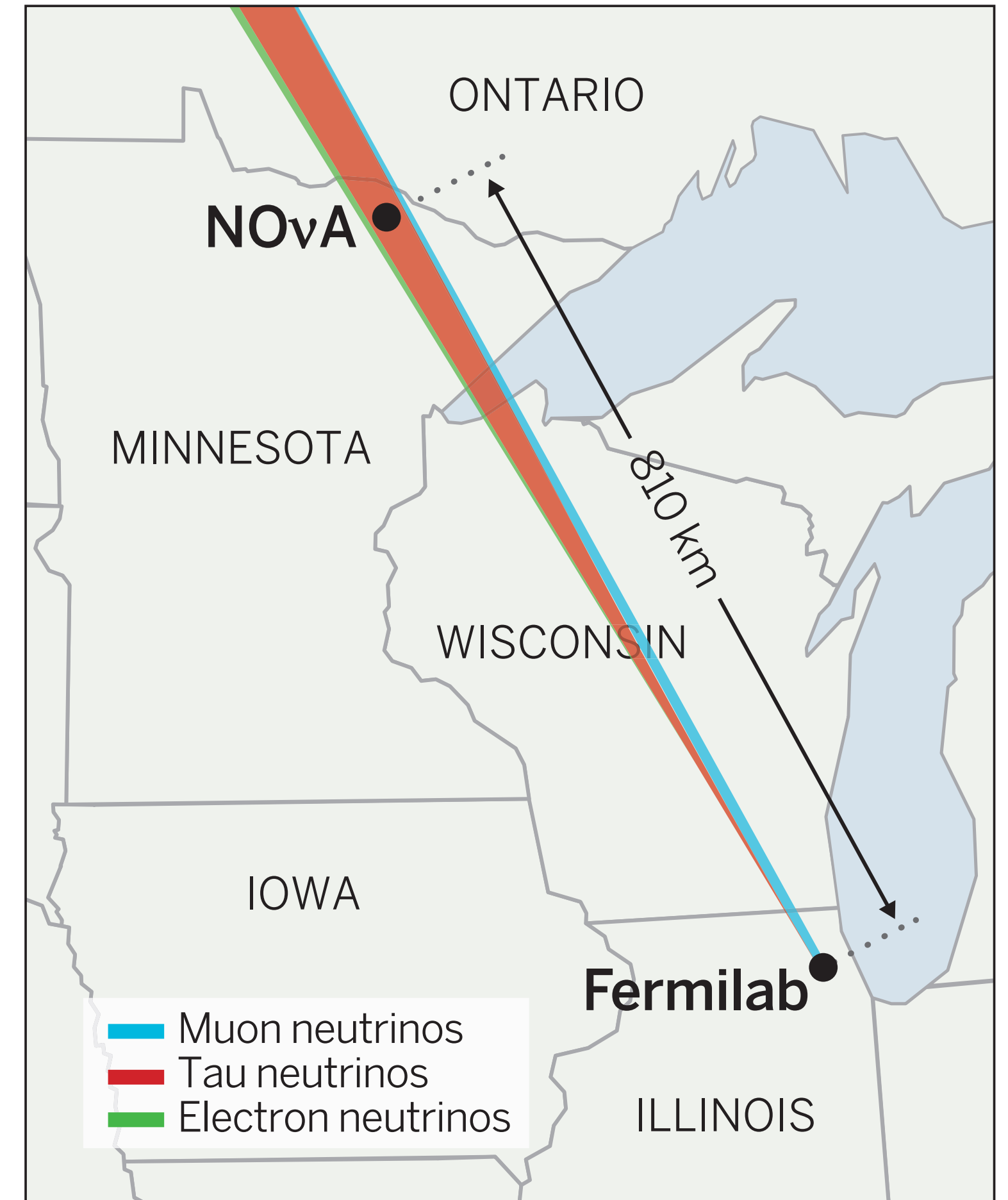


# The NOvA Experiment



## Scientific Goals

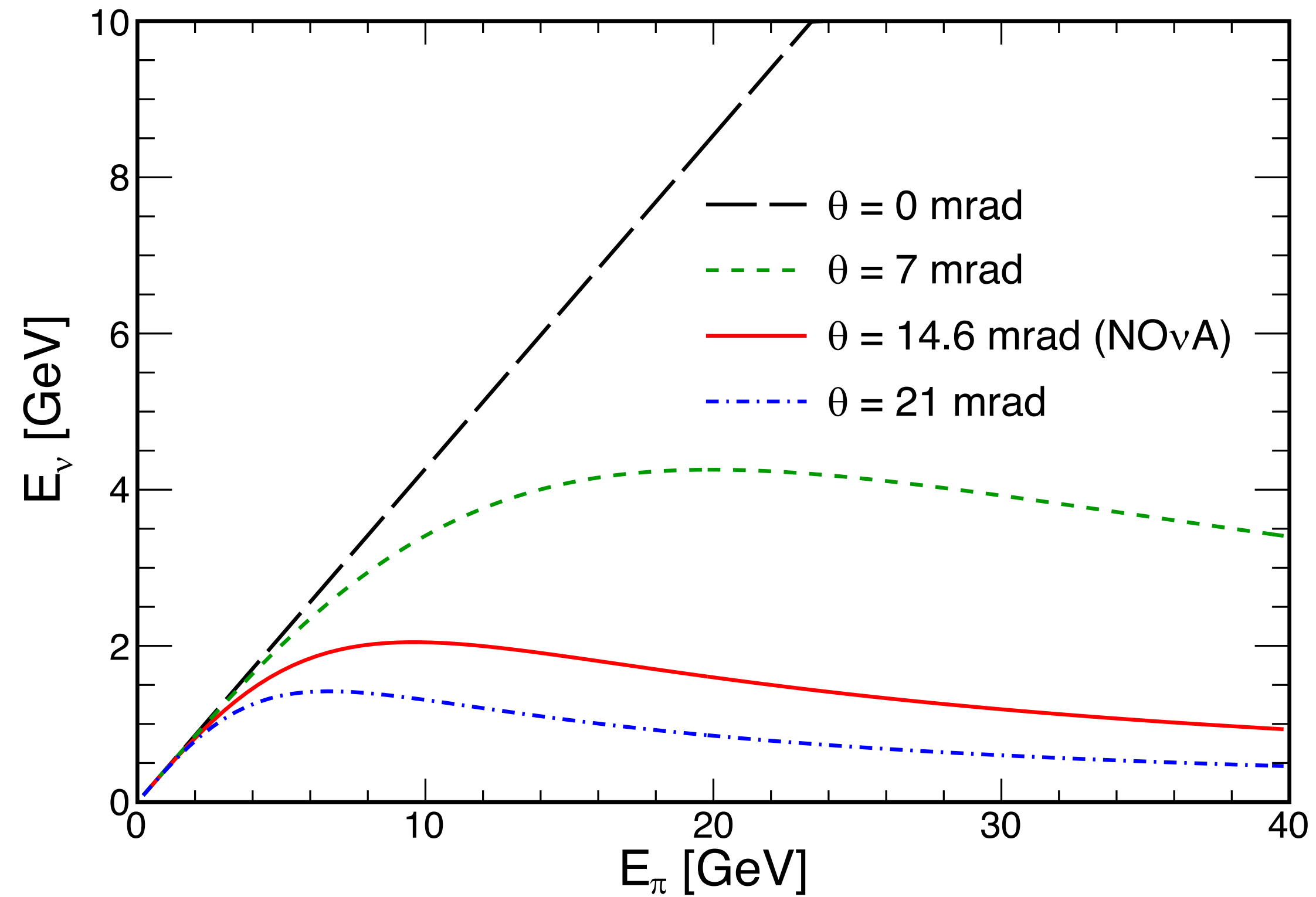
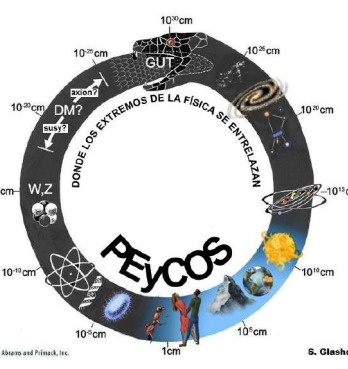
- Studying  $\nu_\mu \rightarrow \nu_\mu$  ( $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$ )
  - ✓ Measurement of  $\Delta m_{32}^2$
  - ✓ Mixing angle  $\theta_{23}$
- Studying  $\nu_\mu \rightarrow \nu_e$  ( $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ )
  - ✓ Mass hierarchy
  - ✓ CP violation
  - ✓ Mixing angle  $\theta_{23}$
- Sterile neutrinos
- Neutrino cross sections
- Supernova neutrinos
- Cosmic ray physics
- More 'exotic' physics (monopoles, GW,...)



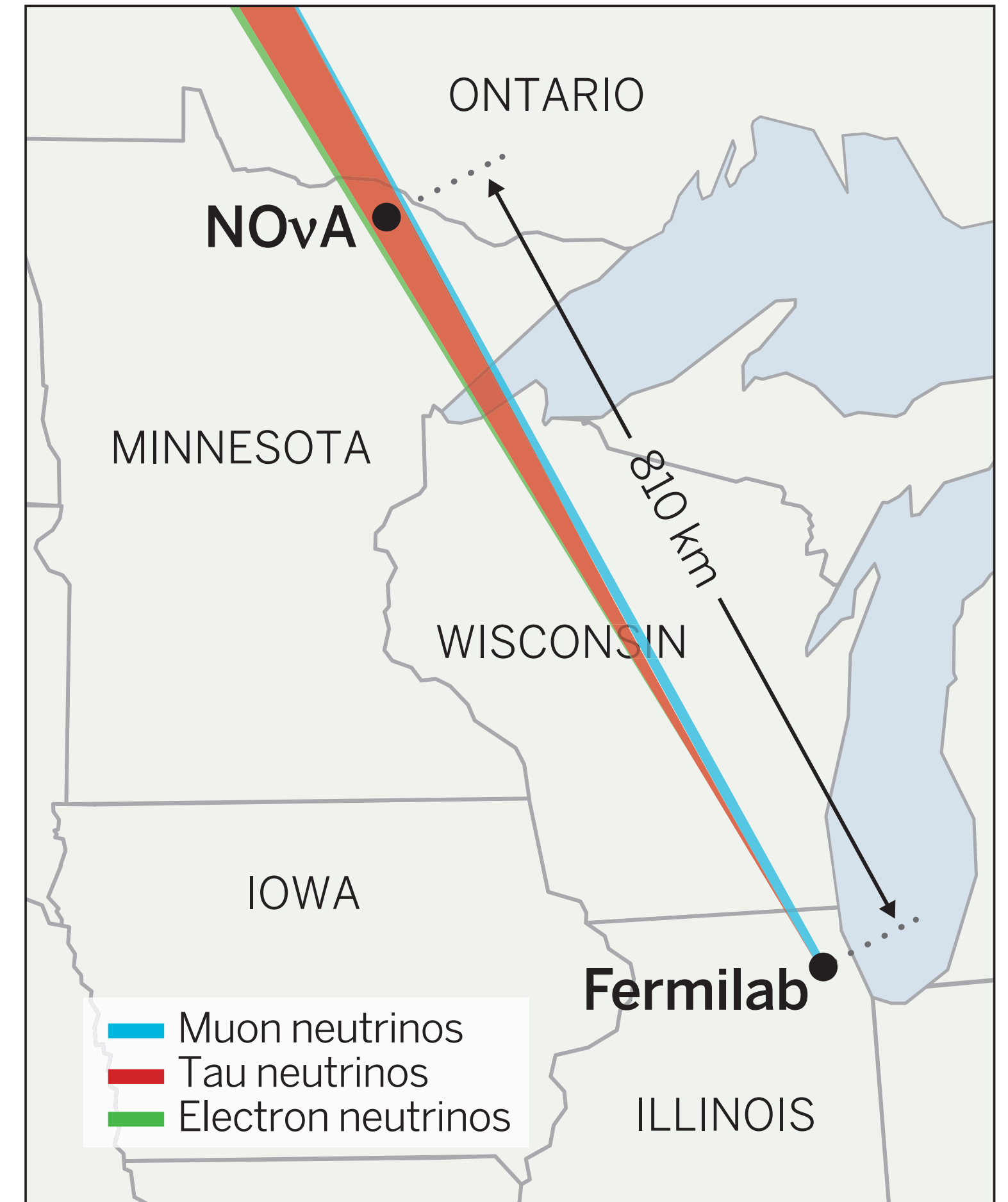
[A. Cho, Science 345, 6204 (2014)]



# The NOvA Experiment



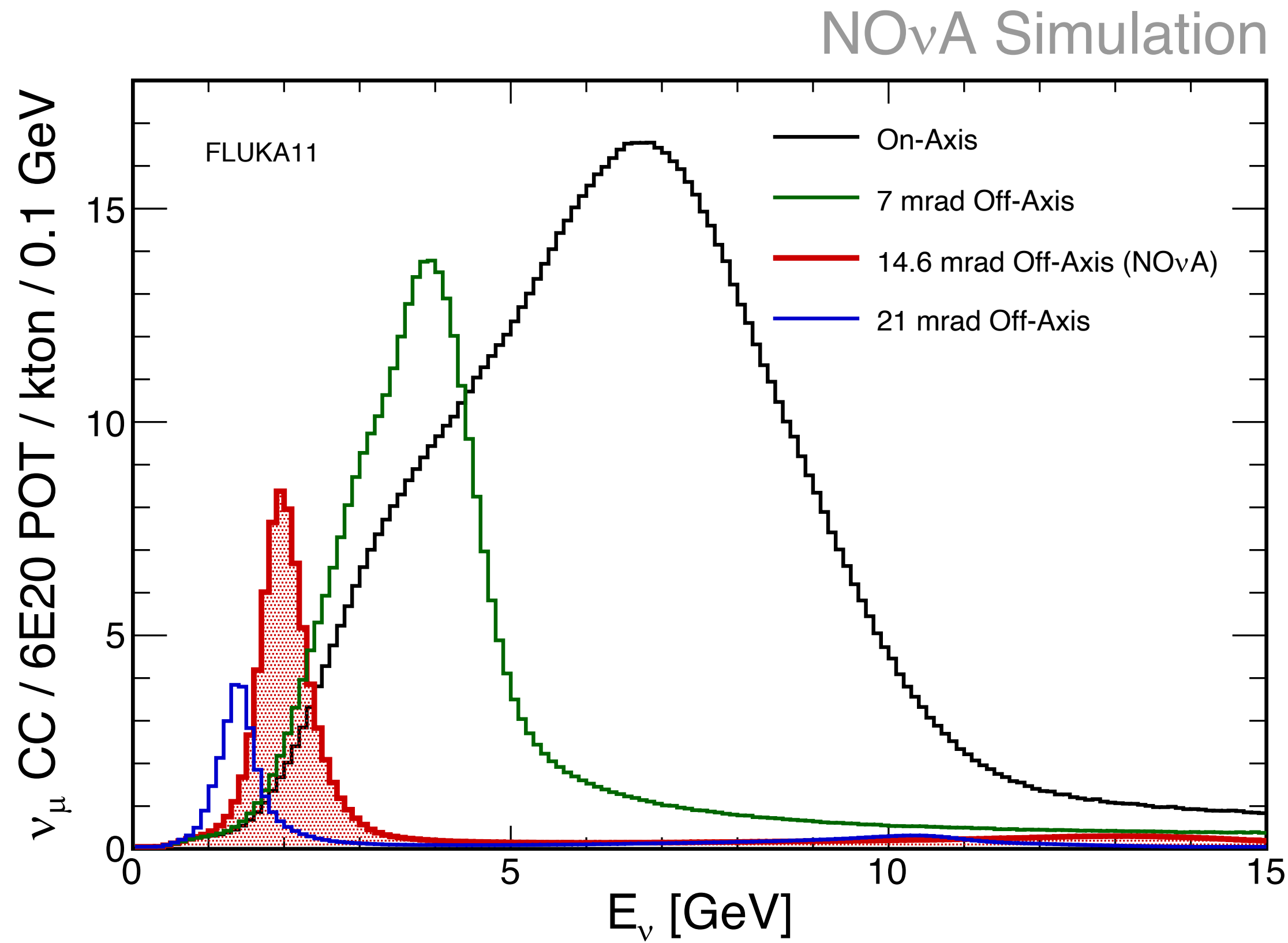
- NOvA is 14 mrad off-axis (narrow band beam at 2 GeV)
- Emphasizes  $\nu_\mu \rightarrow \nu_e$



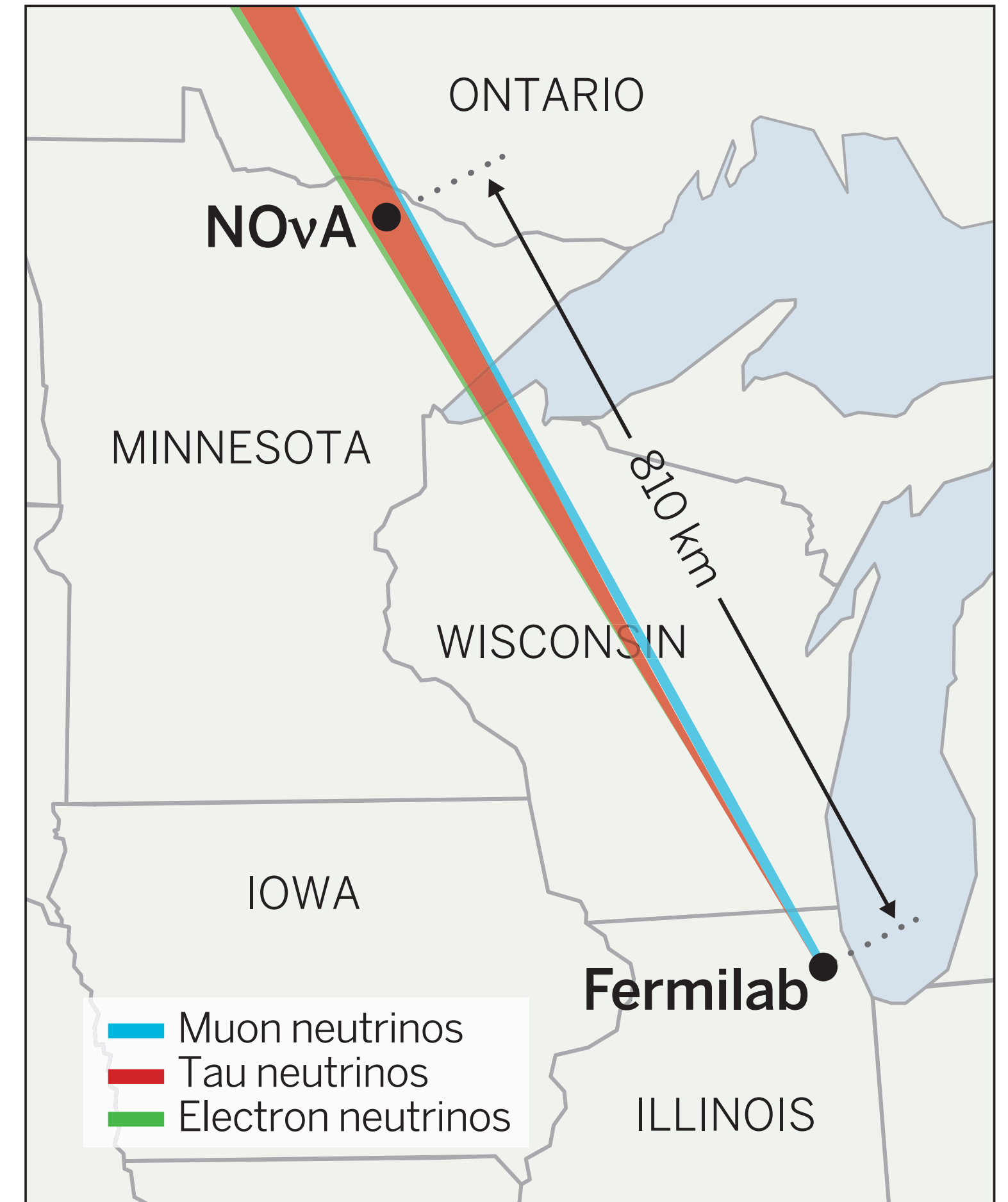
[A. Cho, Science 345, 6204 (2014)]



# The NOvA Experiment



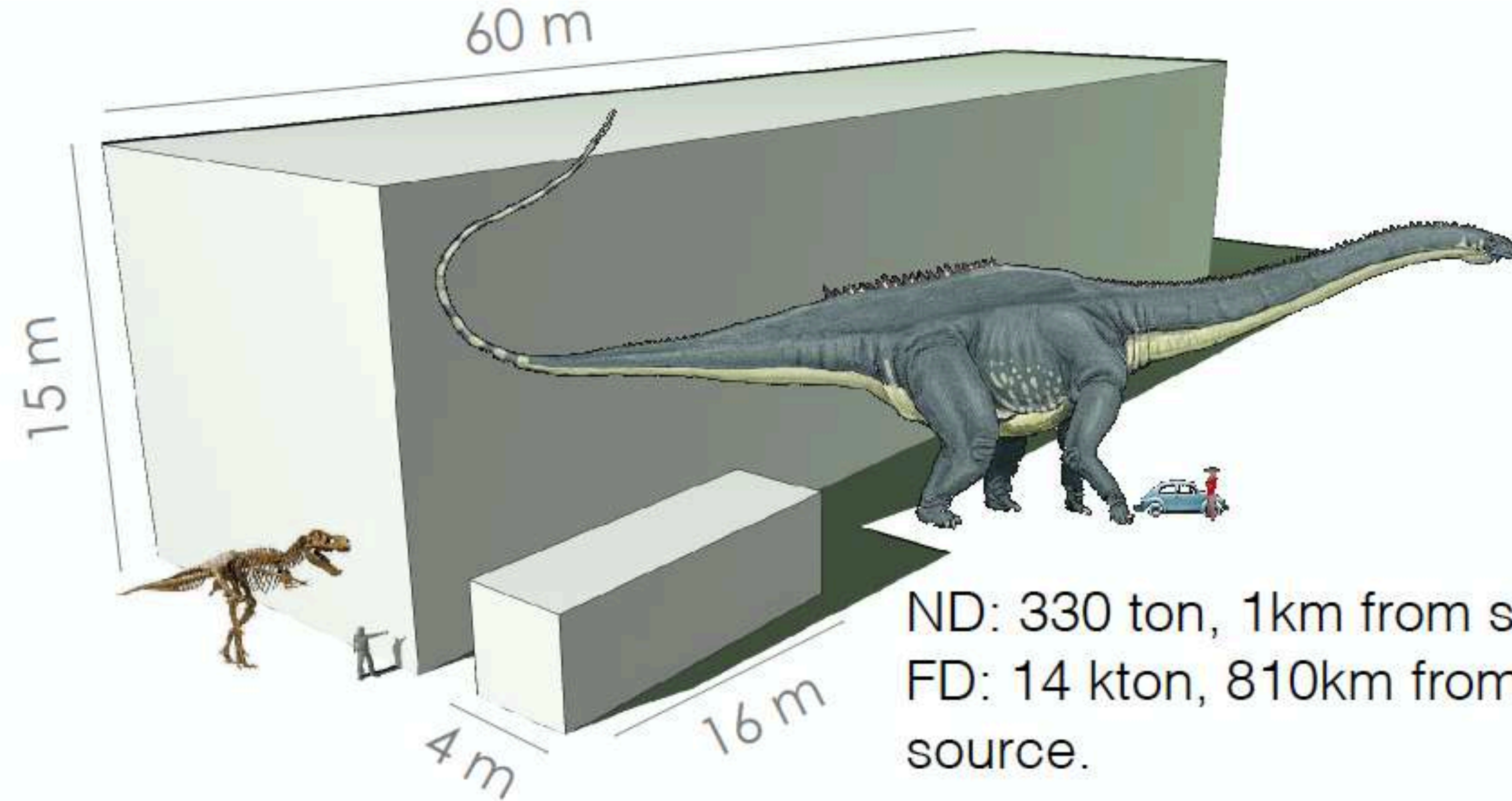
- NOvA is 14 mrad off-axis (narrow band beam at 2 GeV)
- Emphasizes  $\nu_{\mu} \rightarrow \nu_e$



[A. Cho, Science 345, 6204 (2014)]



# The NOvA Experiment



## Near Detector

100 m underground, 20K channels

## Far Detector

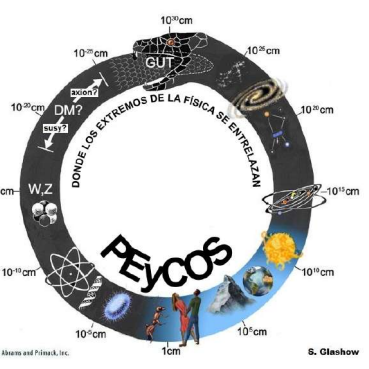
On the surface, 344K channels

ND: 330 ton, 1km from source.

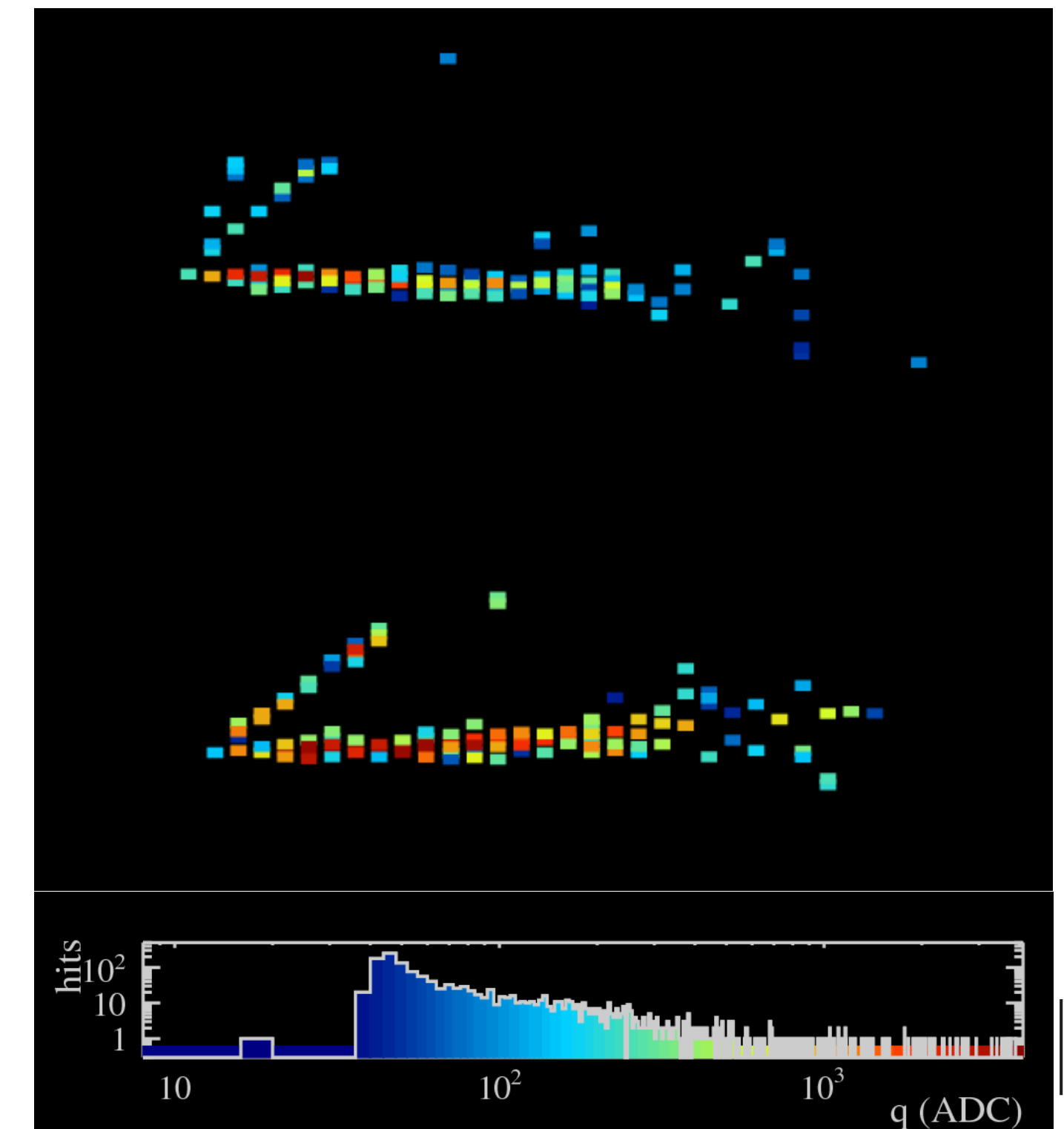
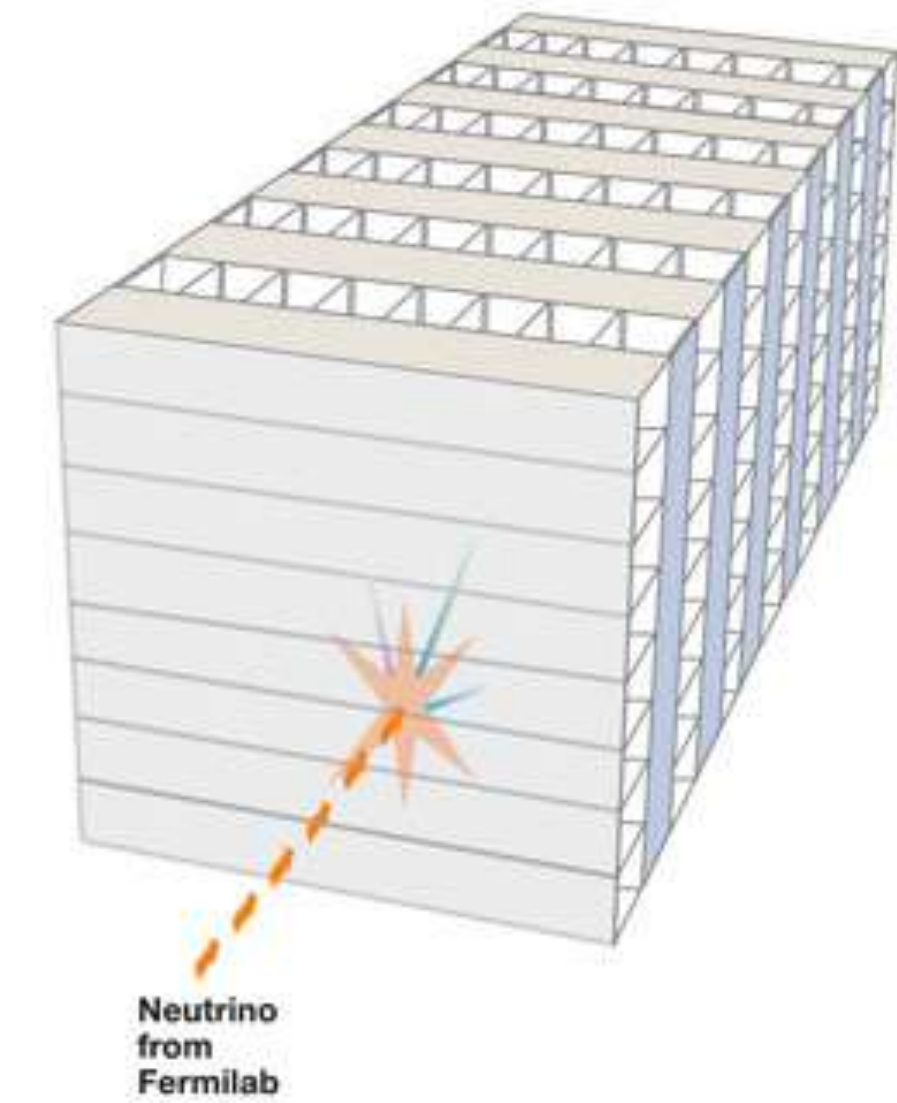
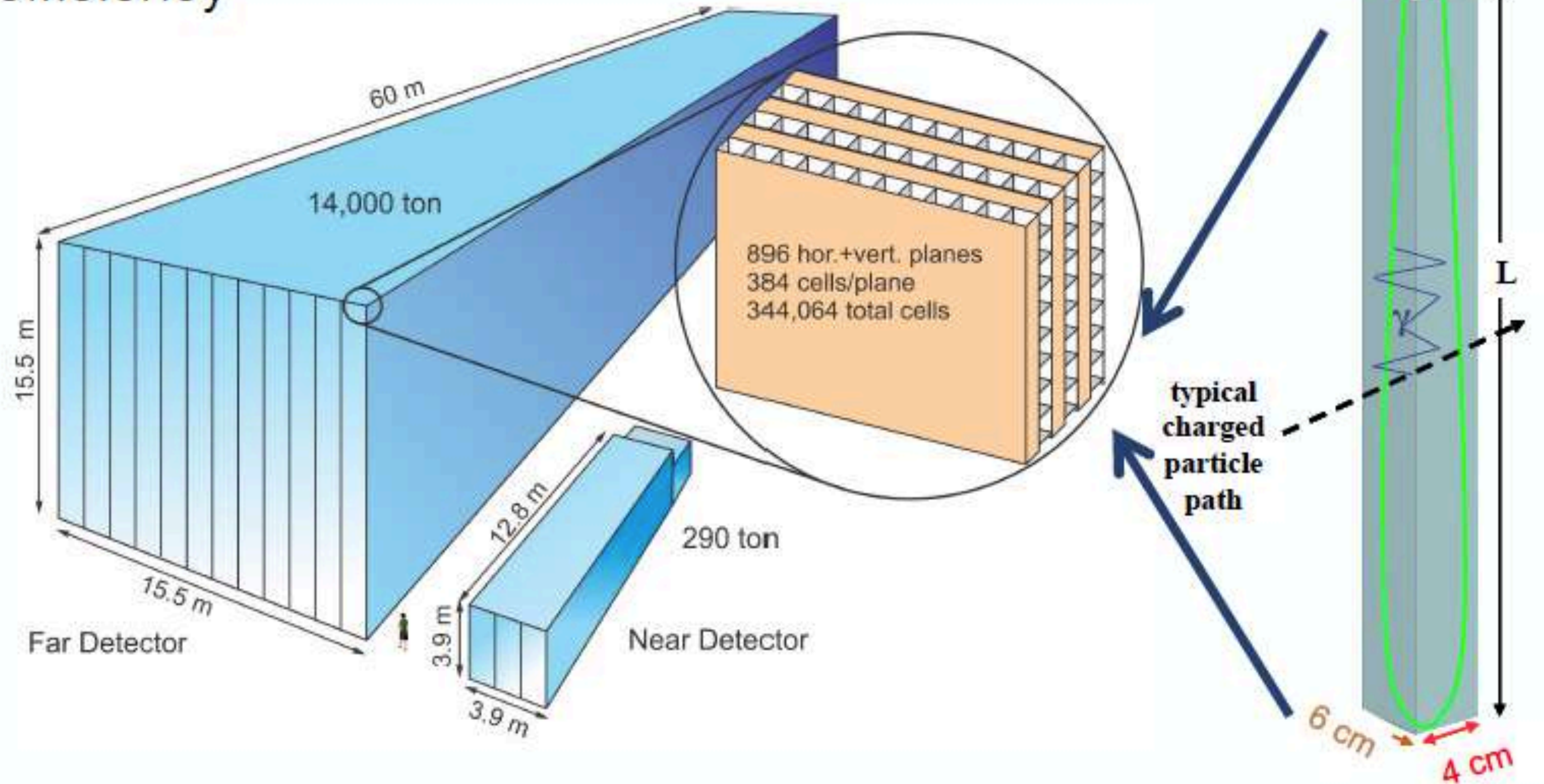
FD: 14 kton, 810km from source.



# The NOvA Experiment



Designed to maximize electron neutrino selection efficiency

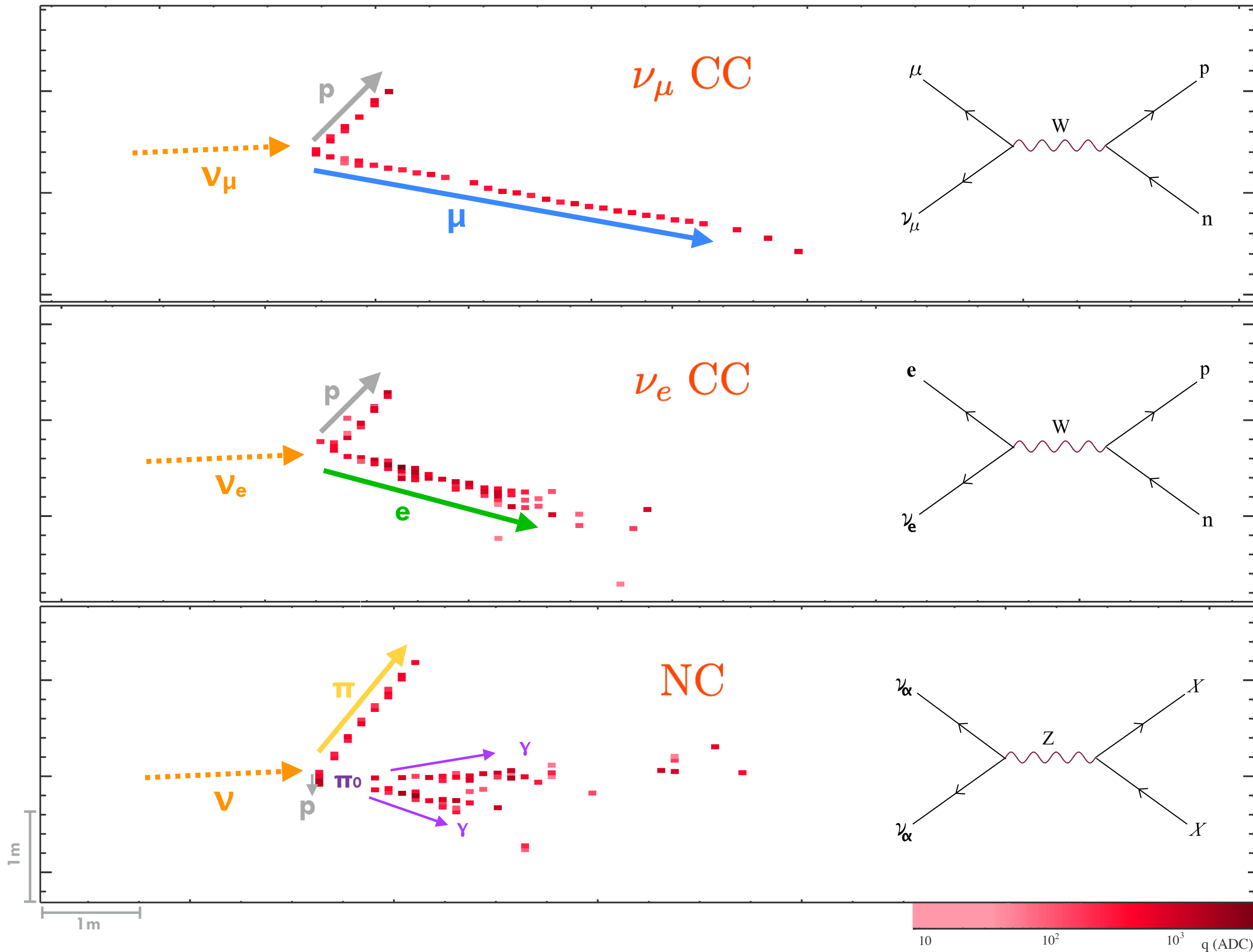


Data event from the FD

The detectors are functionally identical



# Event topology



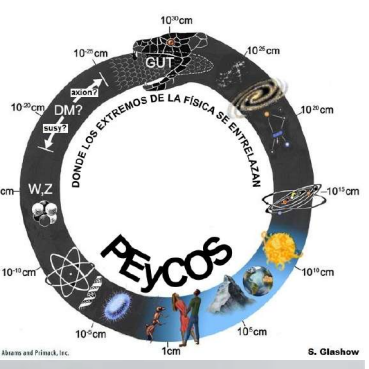
## Near Detector Event Topologies

NOvA uses computer vision and deep learning techniques for **event selection**

Convolutional Visual Networks (CVN)

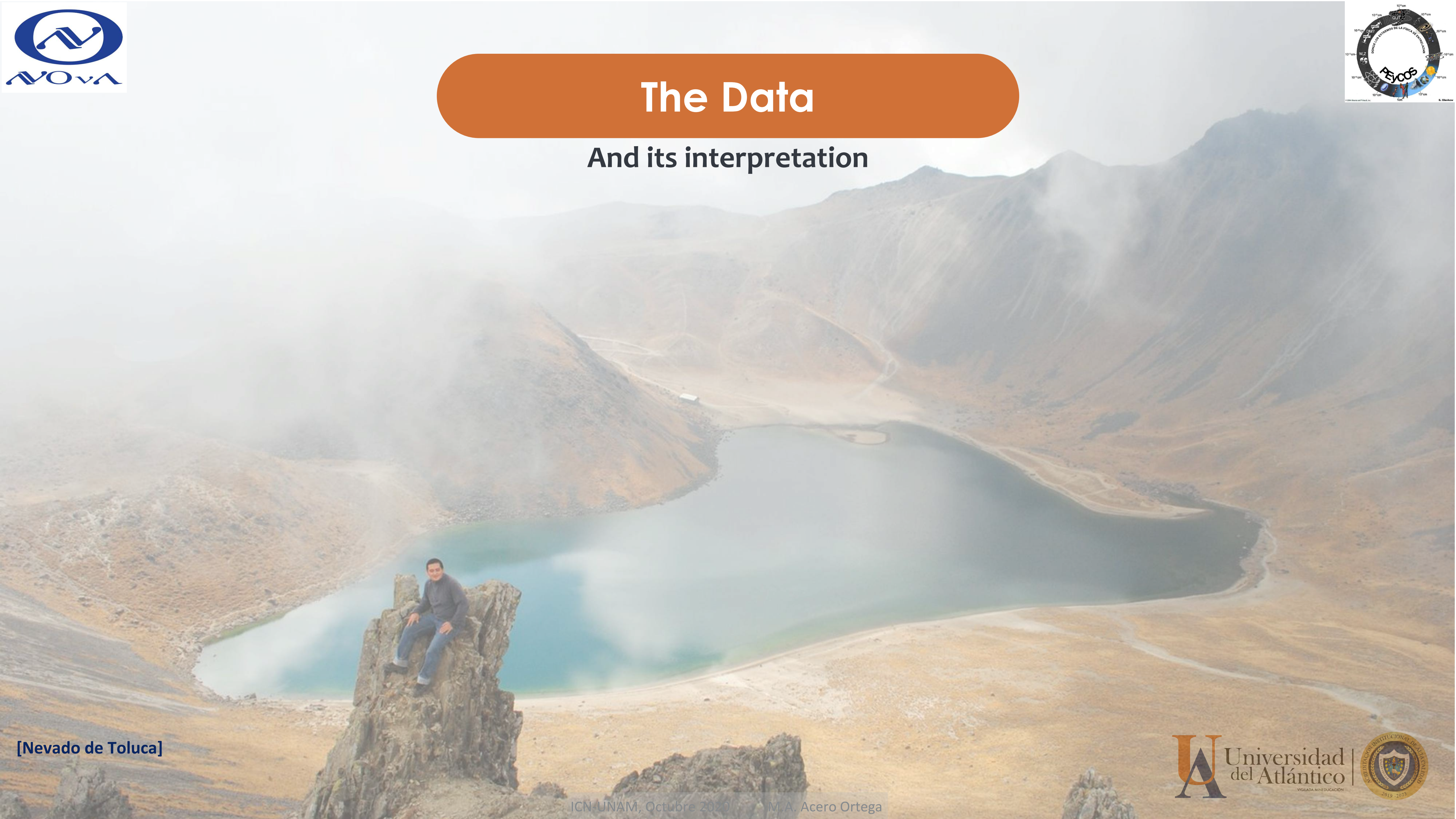
[A. Aurisiano et al., JINST11 (2016), arXiv:1604.01444]





# The Data

And its interpretation



[Nevado de Toluca]



# The Data



Data are well described by a 3-flavor model

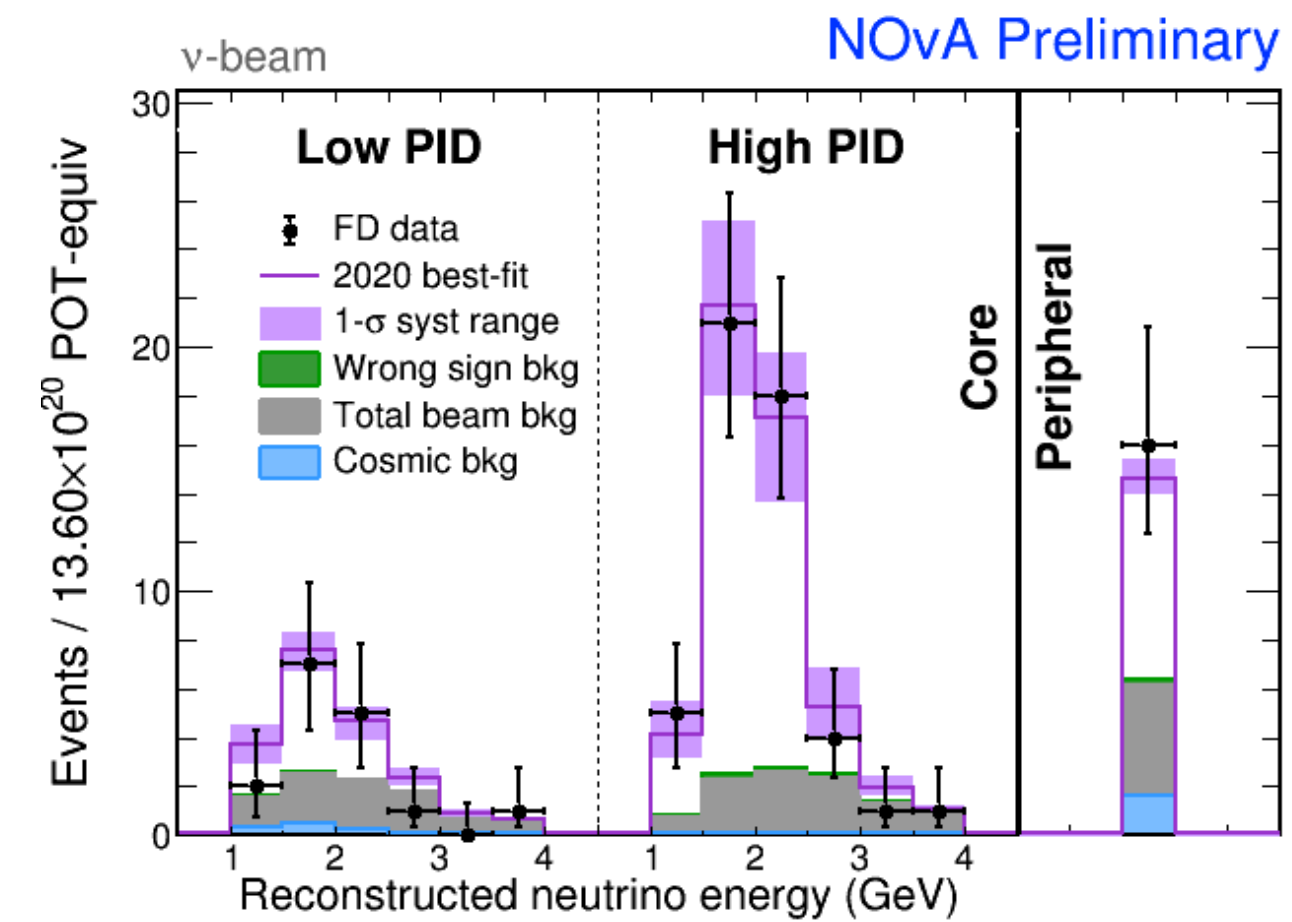
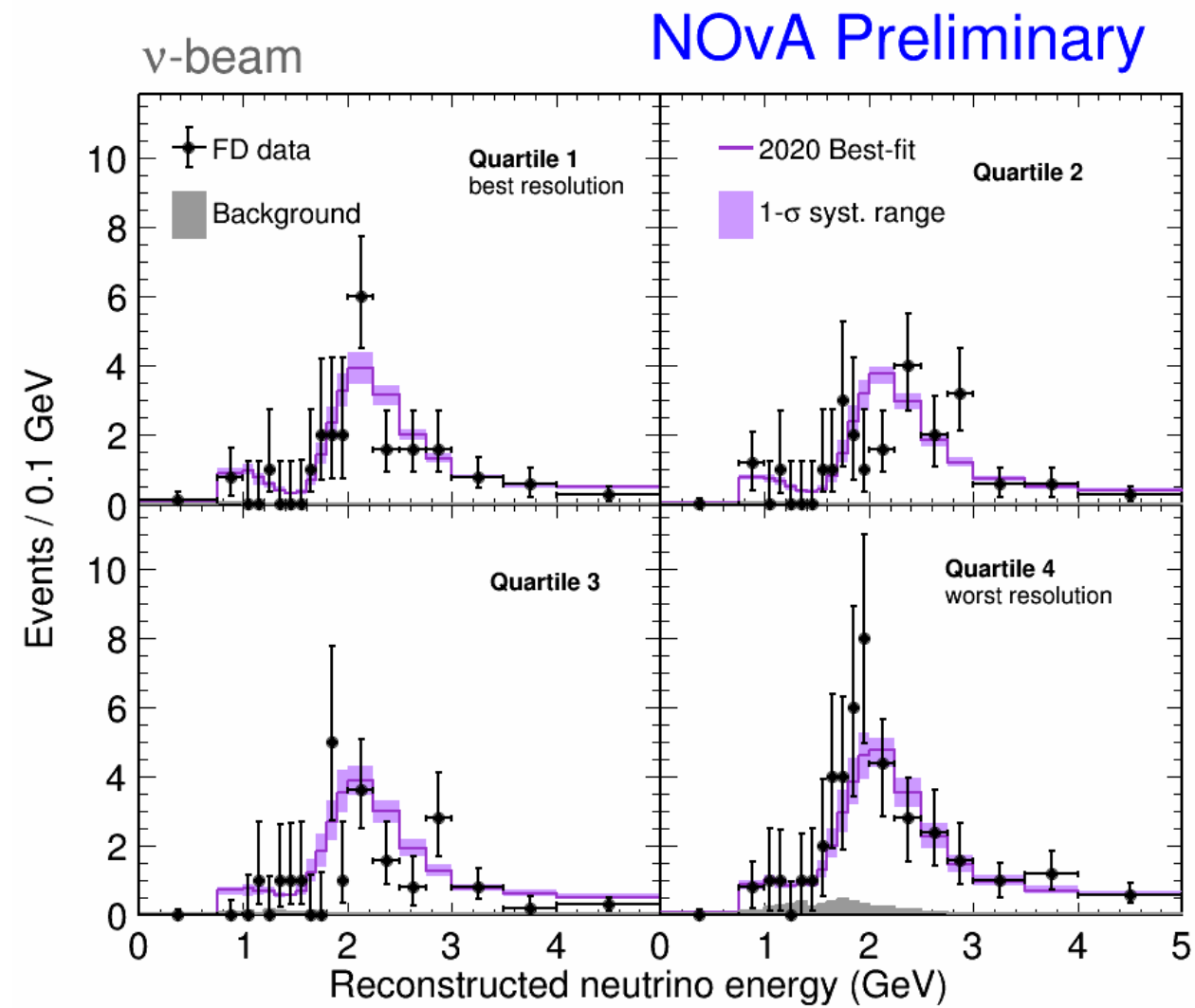
[[www.sanfordlab.org/](http://www.sanfordlab.org/)]



# The Data

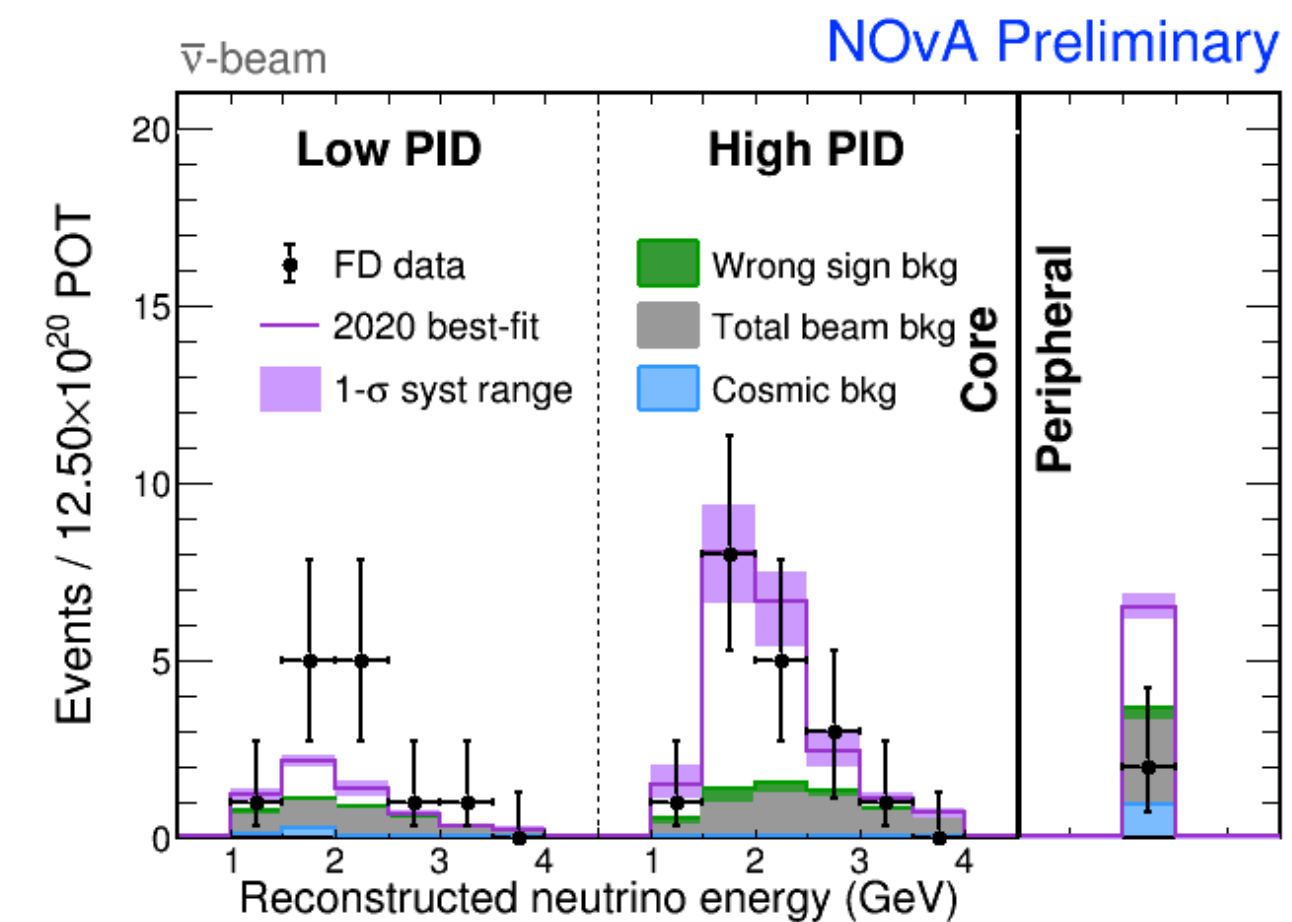
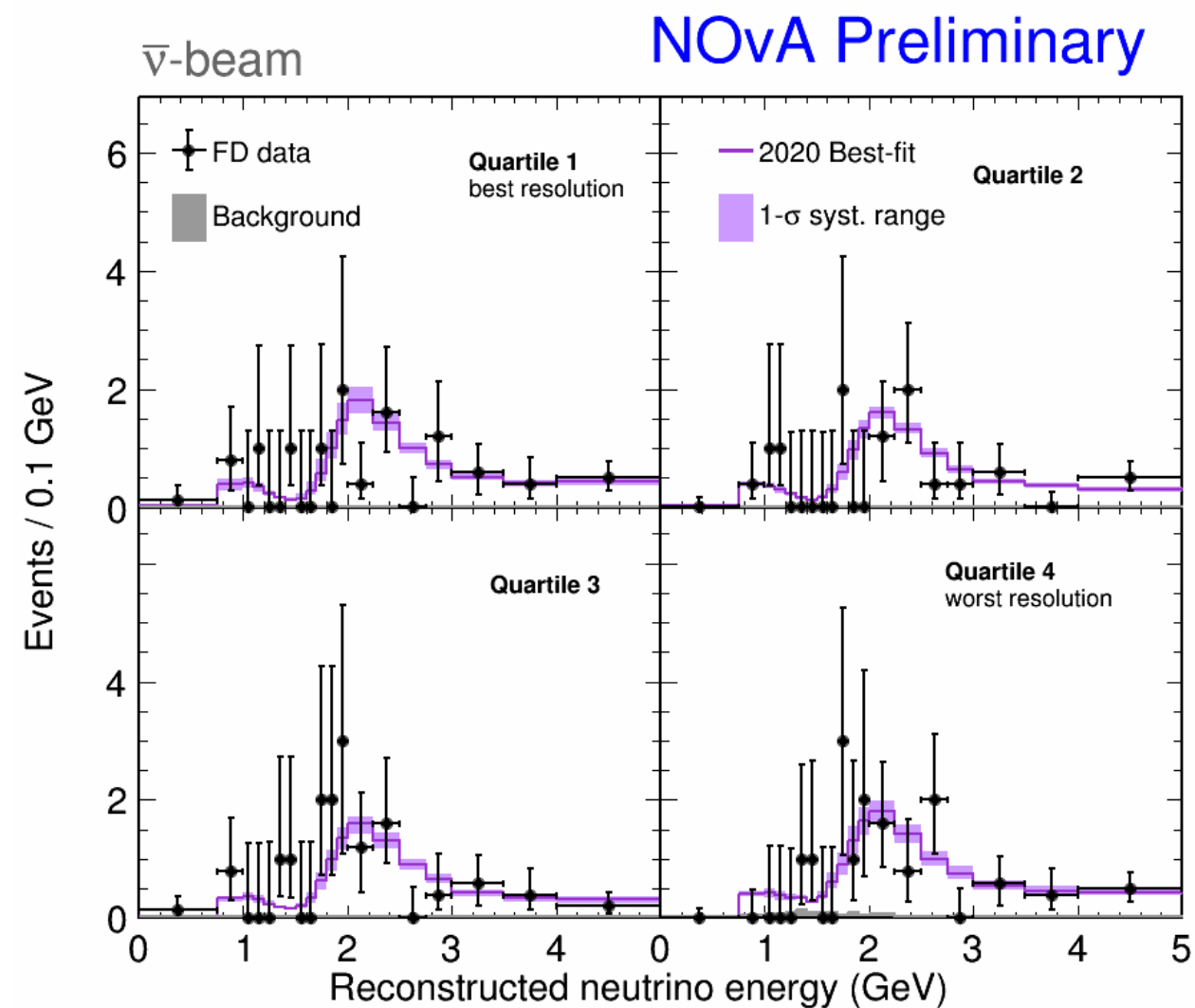
$\nu_{\mu}$

Events: 211  
Bkgd: 8.2



$\nu_e$

Events: 82  
Bkgd: 23.8



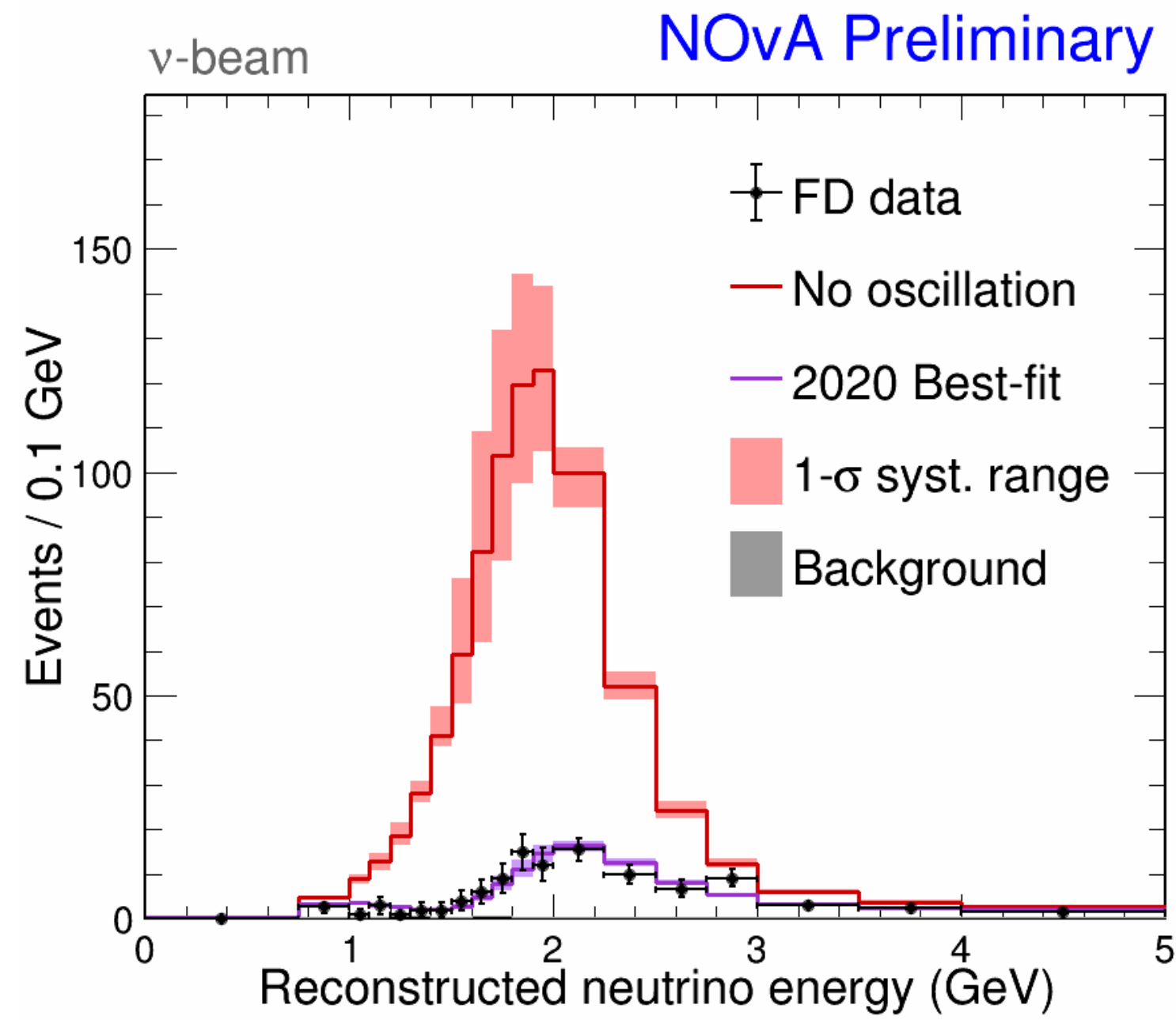
$\bar{\nu}_e$

Events: 33  
Bkgd: 14.1

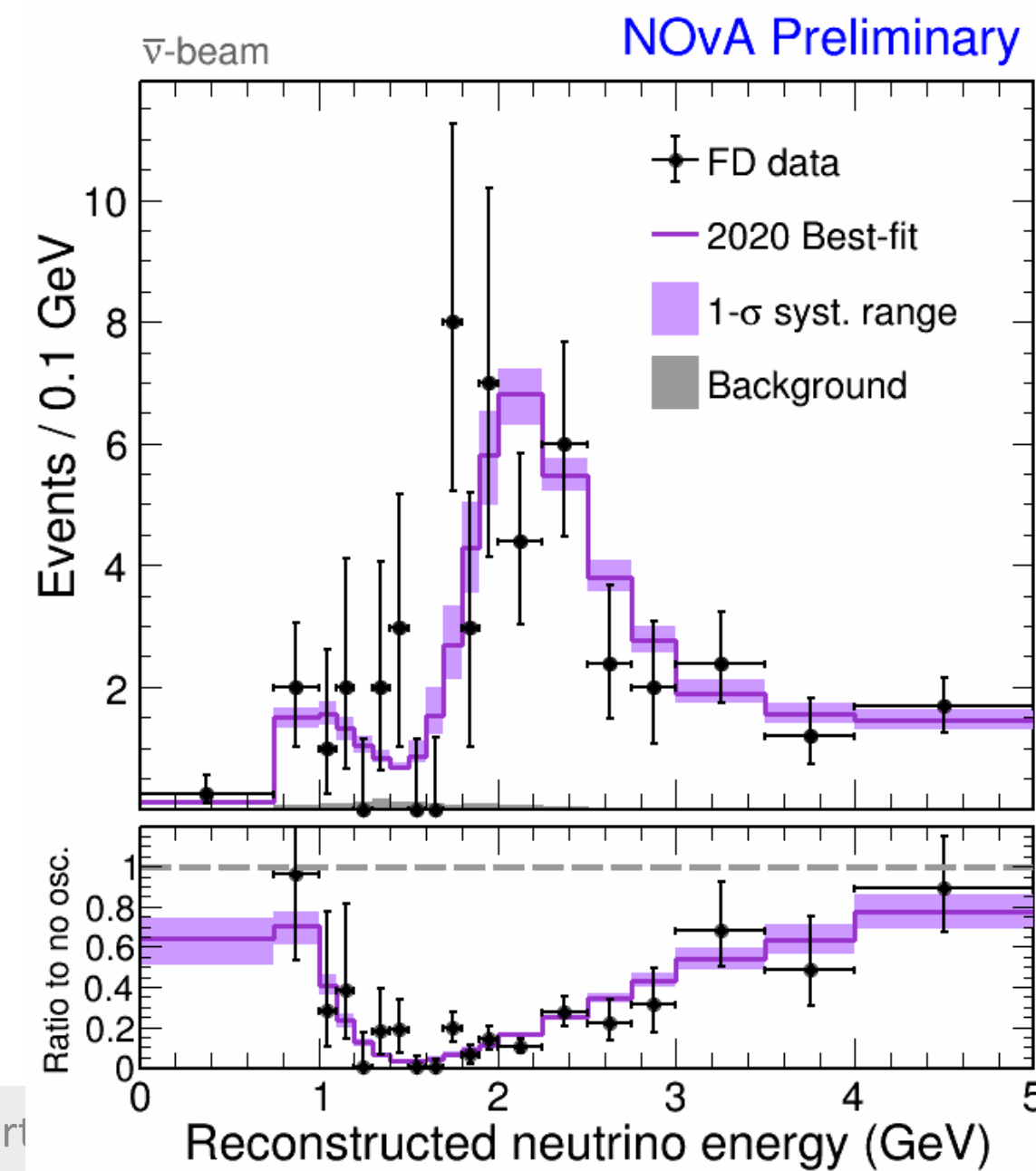
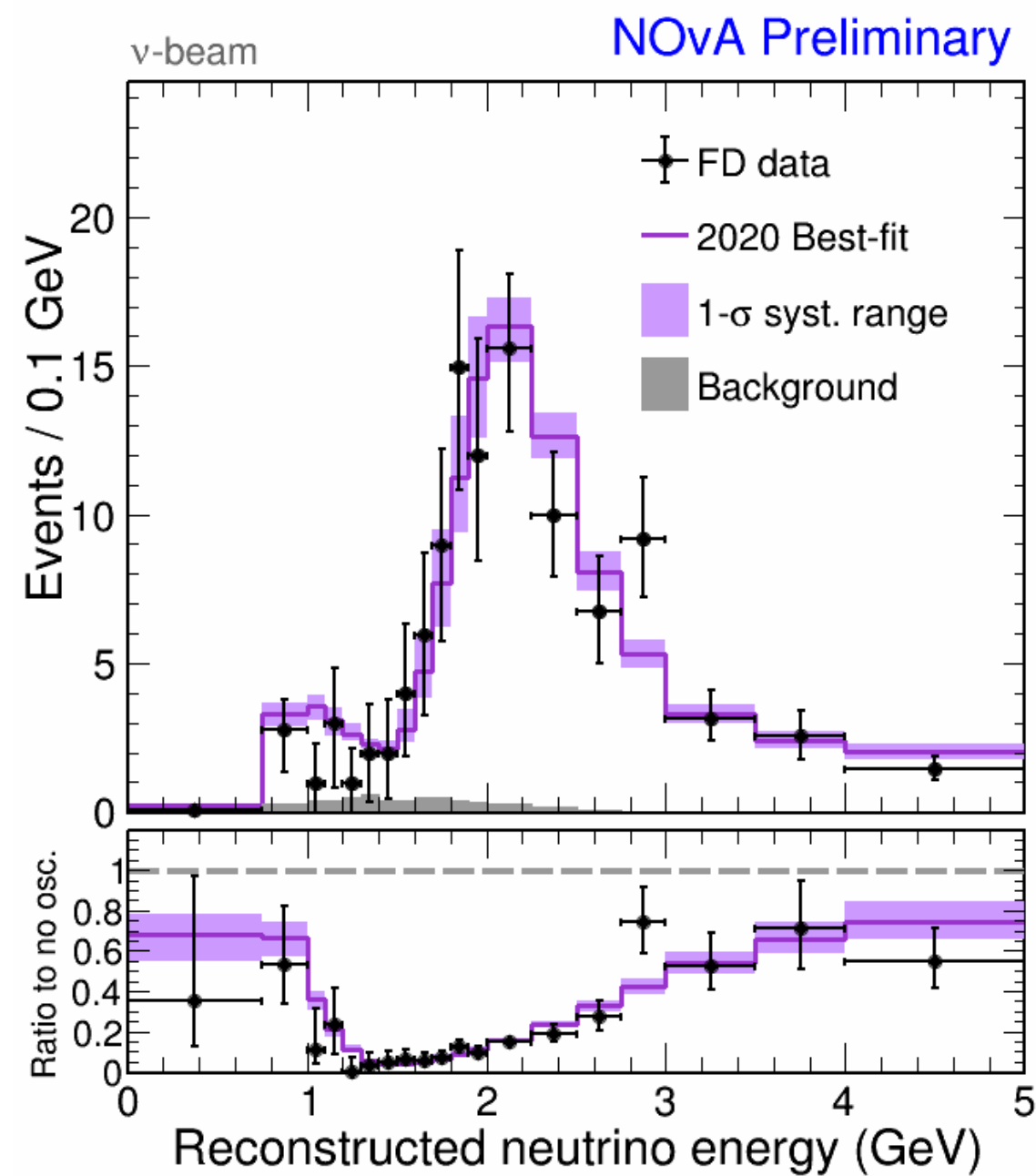
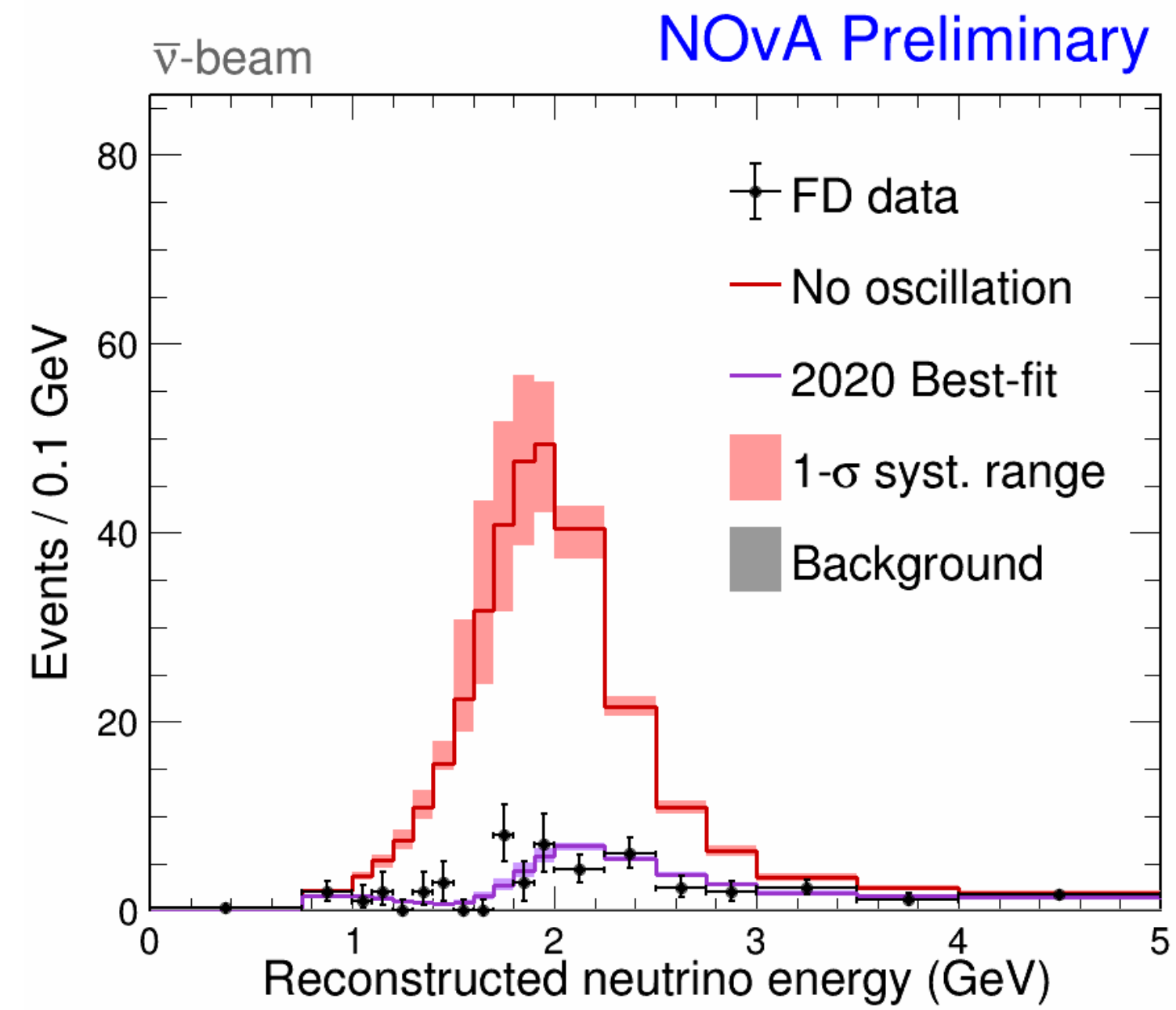
$> 4\sigma \bar{\nu}_e$  appearance



$\nu_{\mu}$



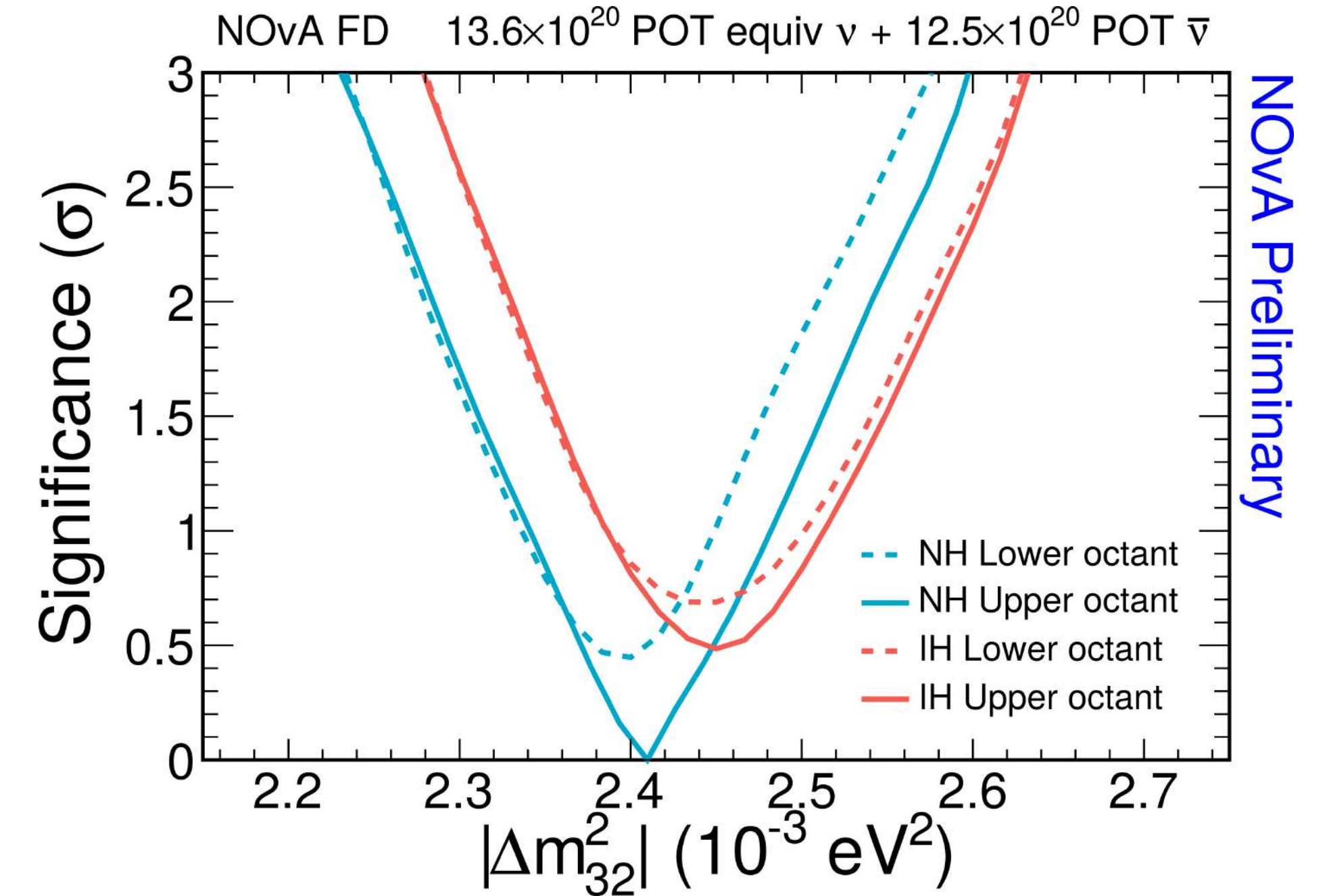
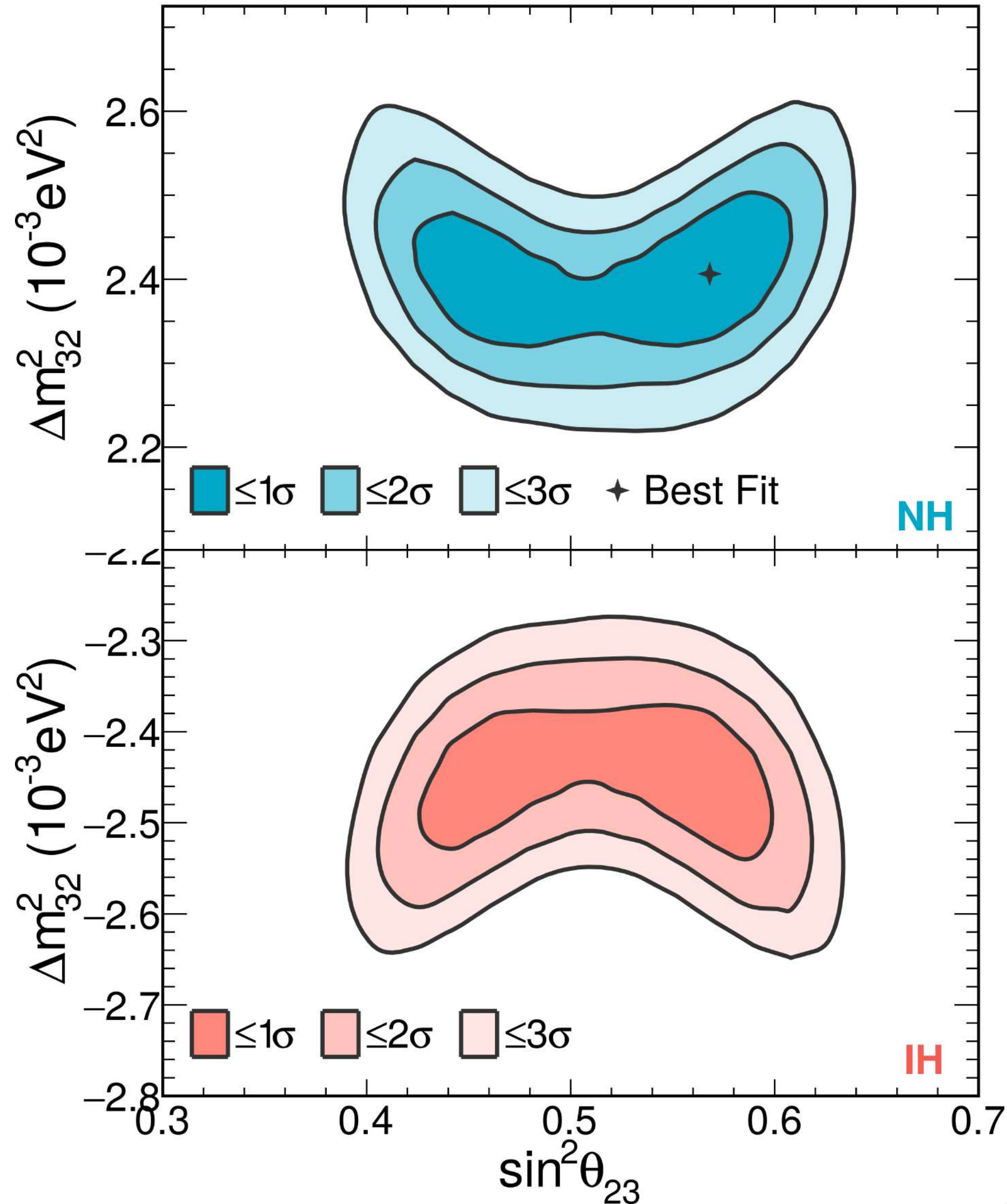
# The Data





# Oscillations results

NOvA Preliminary



## Best Fit

$$\Delta m_{32}^2 = (+2.41 \pm 0.07) \times 10^{-3} \text{ eV}^2 \text{ (NH)}$$

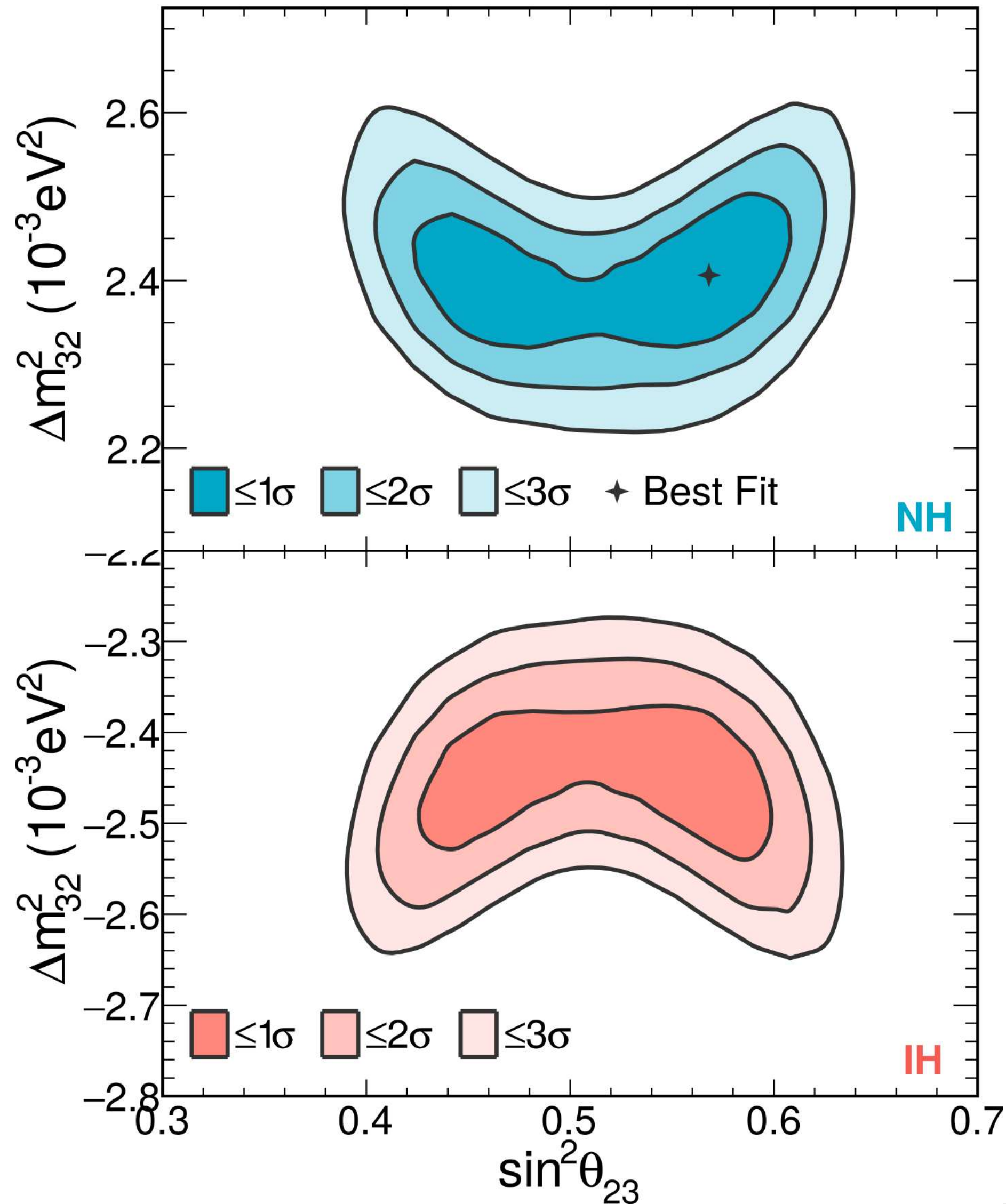
$$\sin^2 \theta_{23} = 0.57^{+0.03}_{-0.04}$$

$$\delta_{CP} = 0.82\pi$$

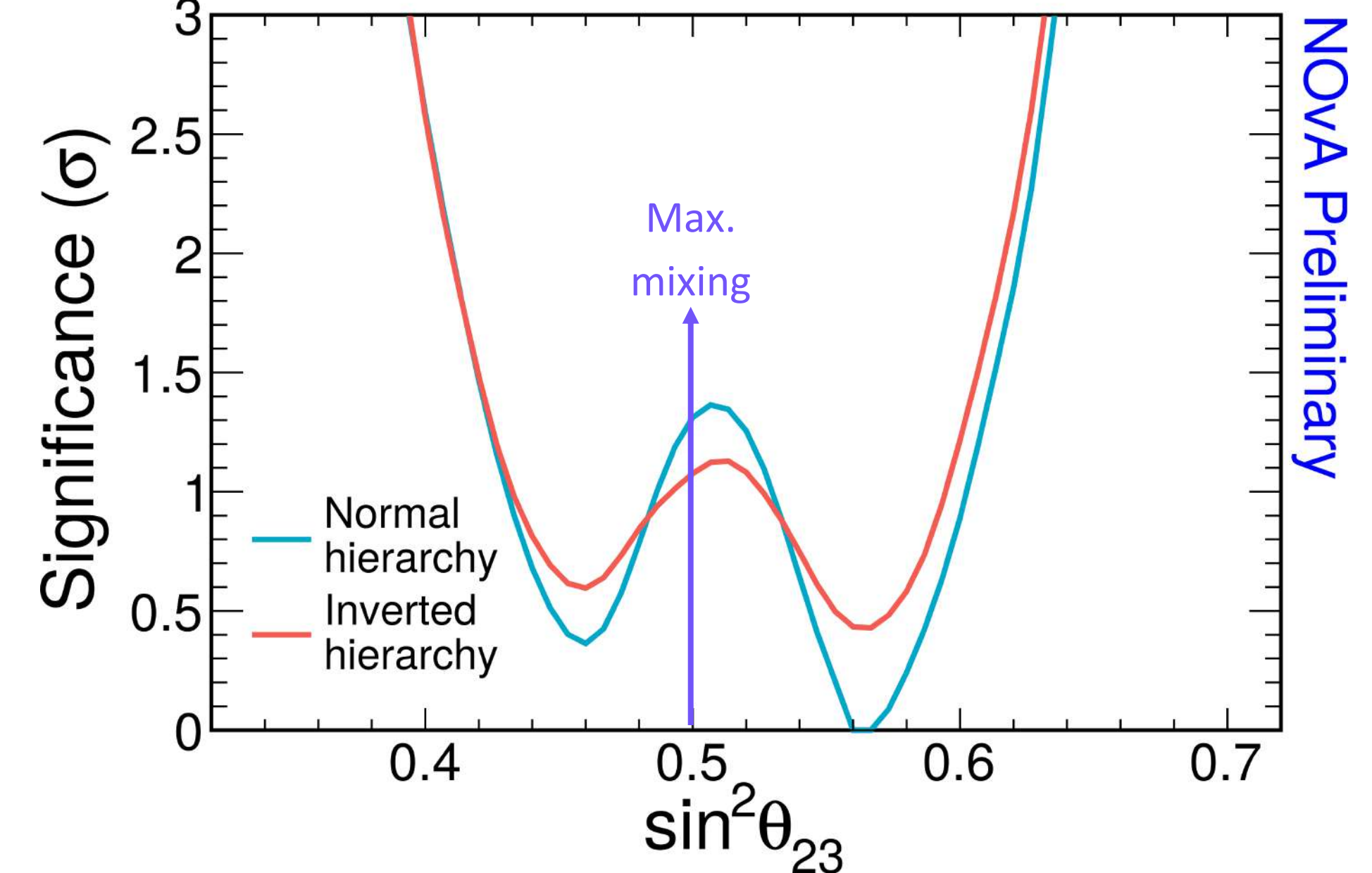


# Oscillations results

NOvA Preliminary



NOvA FD 13.6×10<sup>20</sup> POT equiv ν + 12.5×10<sup>20</sup> POT ν̄



## Best Fit

$$\Delta m_{32}^2 = (+2.41 \pm 0.07) \times 10^{-3} \text{ eV}^2 \text{ (NH)}$$

$$\sin^2 \theta_{23} = 0.57^{+0.03}_{-0.04}$$

$$\delta_{CP} = 0.82\pi$$

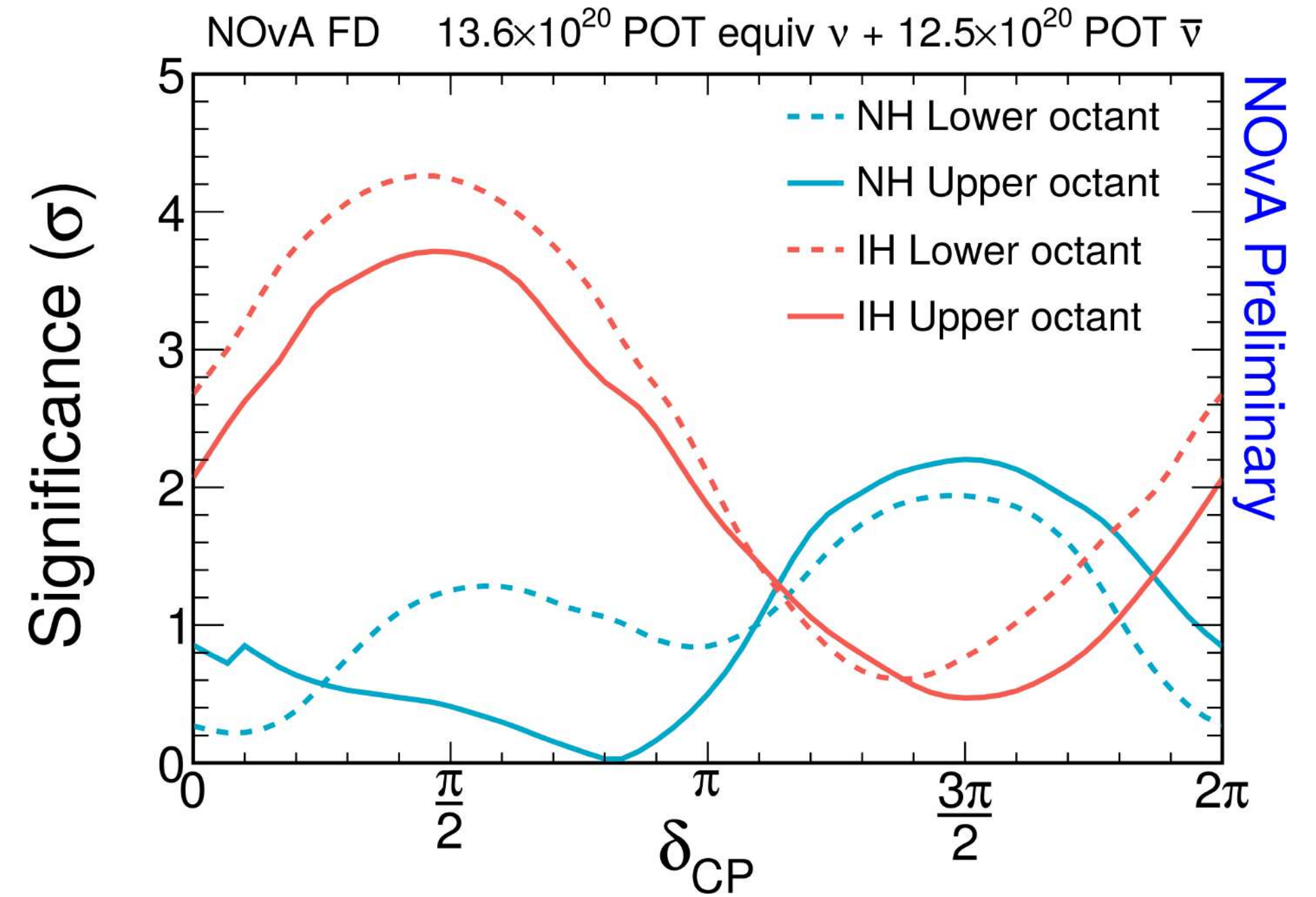
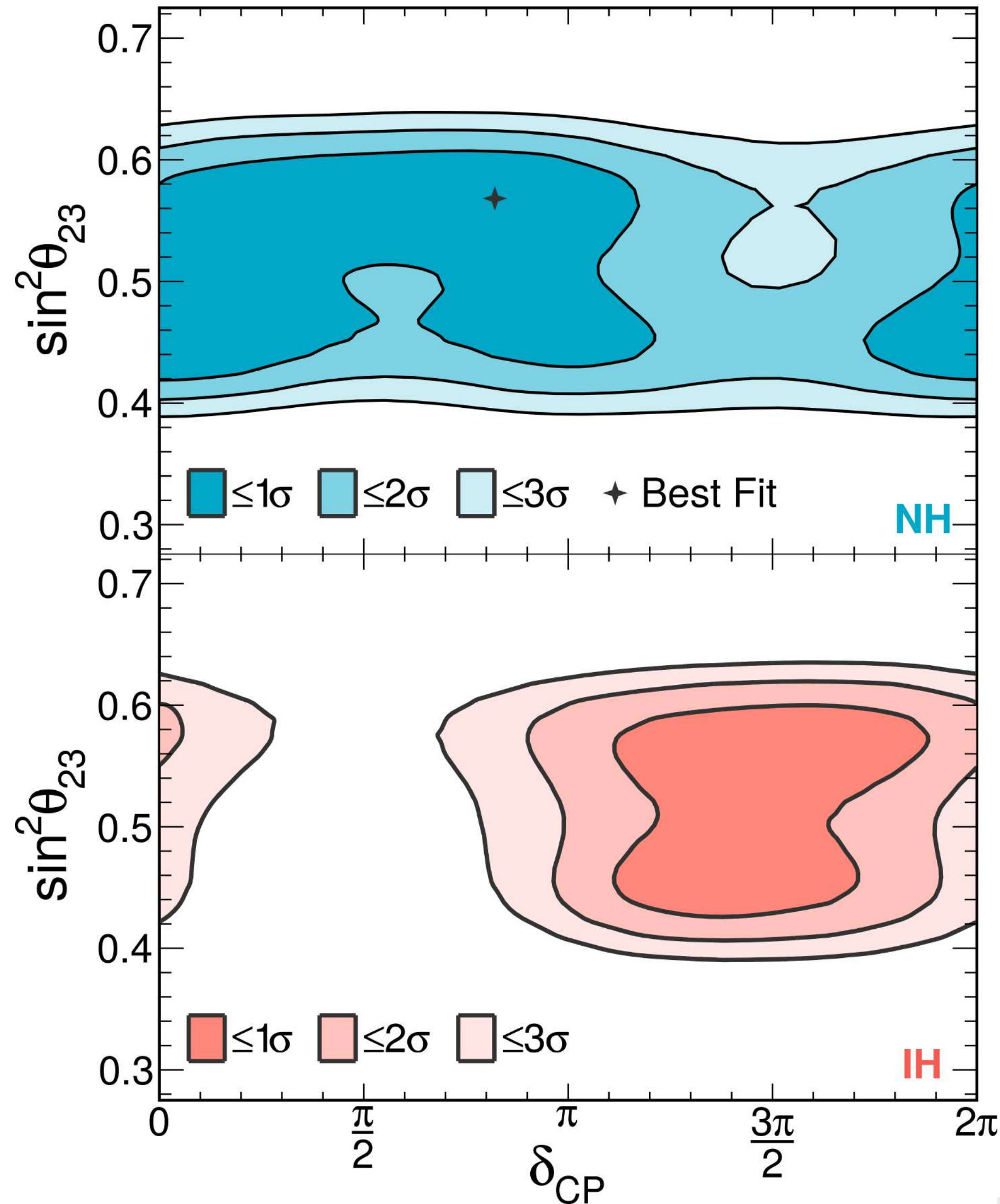
Lower octant disfavored at 1.2σ

Maximal mixing disfavored at 1.1σ



# Oscillations results

NOvA Preliminary



## Best Fit

$$\Delta m_{32}^2 = (+2.41 \pm 0.07) \times 10^{-3} \text{ eV}^2 \text{ (NH)}$$

$$\sin^2 \theta_{23} = 0.57^{+0.03}_{-0.04}$$

$$\delta_{CP} = 0.82\pi$$

$$\sin^2 \theta_{13} = 0.085 \pm 0.005 \text{ (PDG)}$$

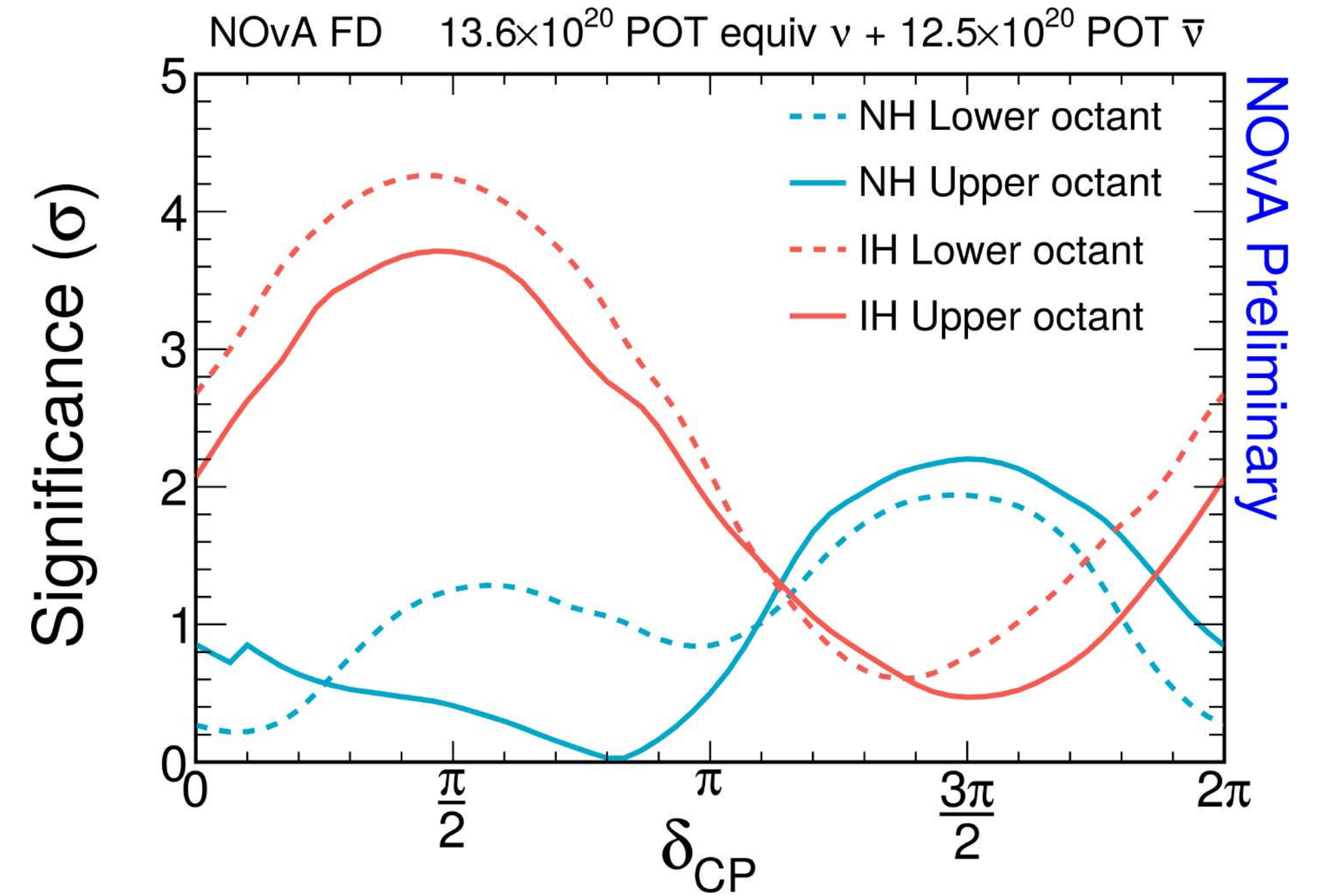
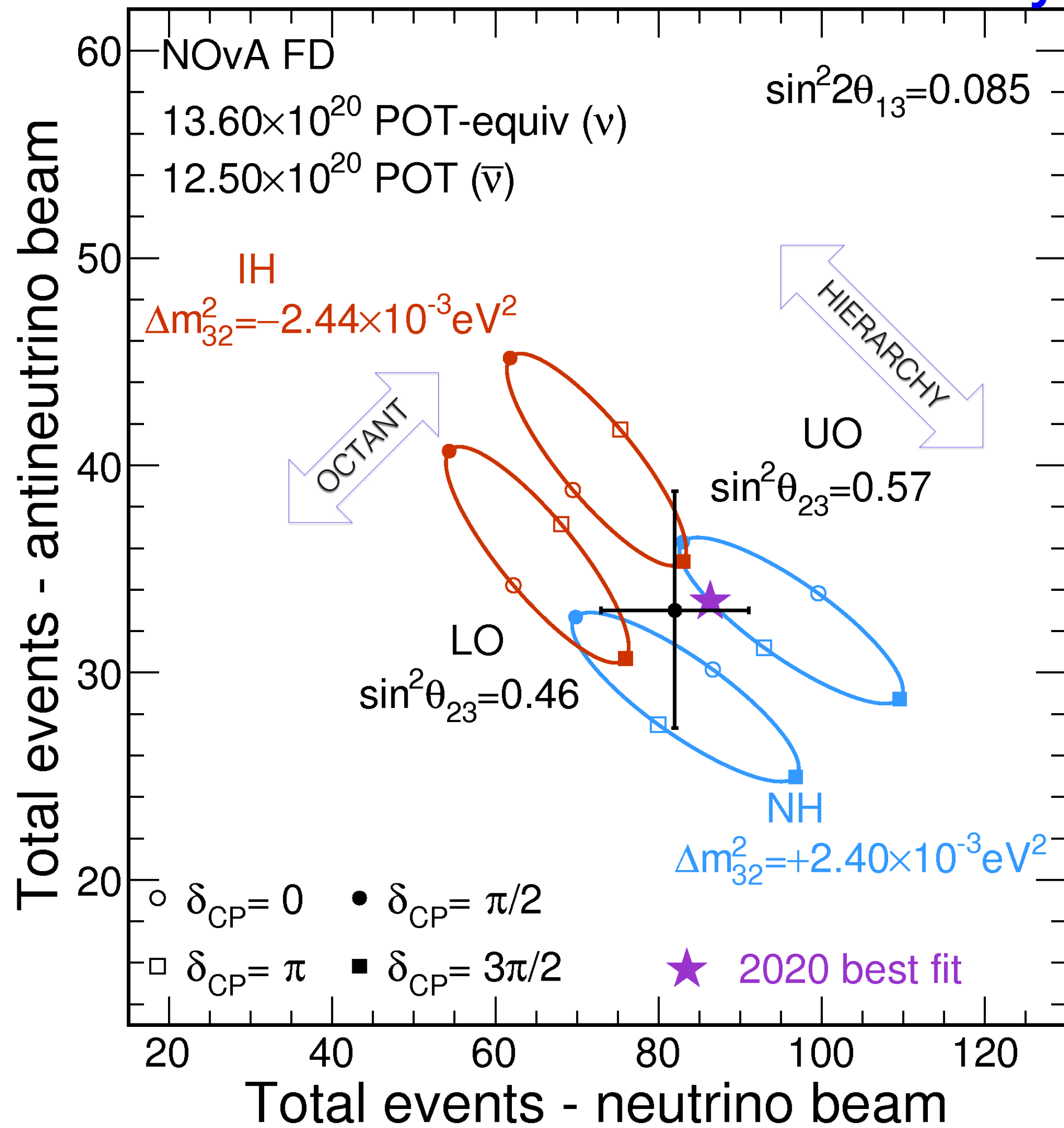
Lower octant disfavored at  $1.2\sigma$

Inverted Hierarchy disfavored at  $1\sigma$



# Oscillations results

## NOvA Preliminary

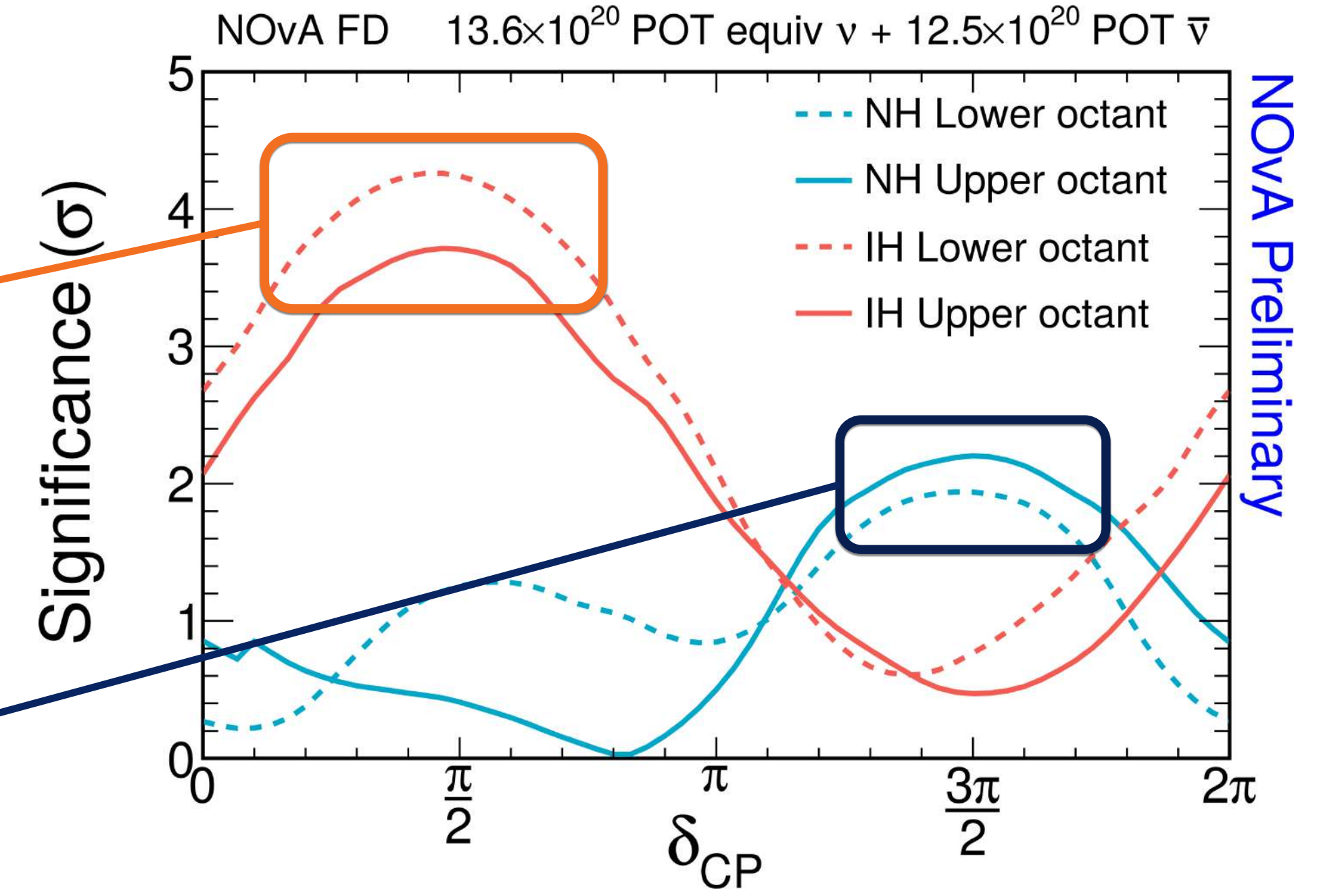
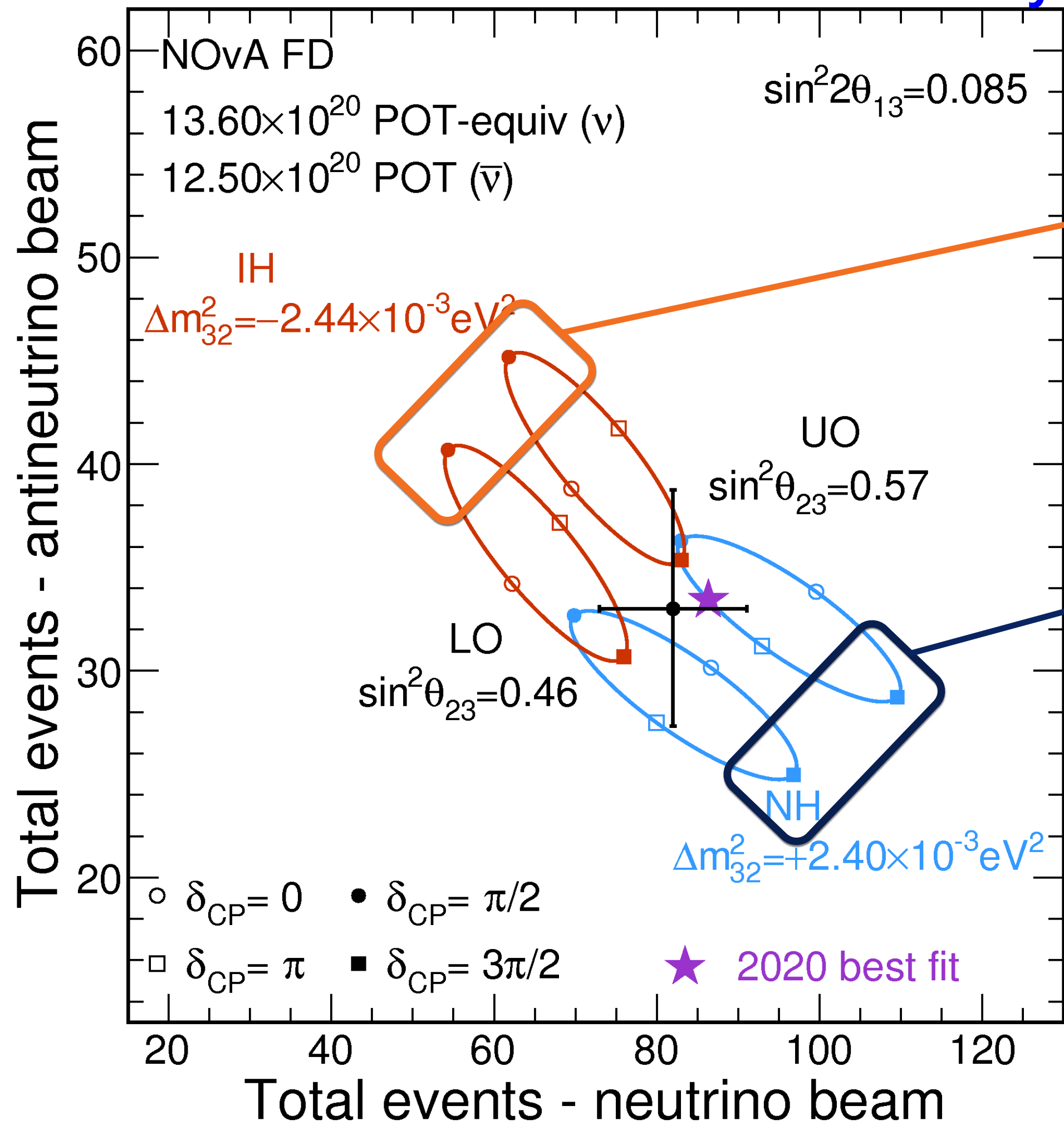


NOVA Preliminary



# Oscillations results

## NOvA Preliminary

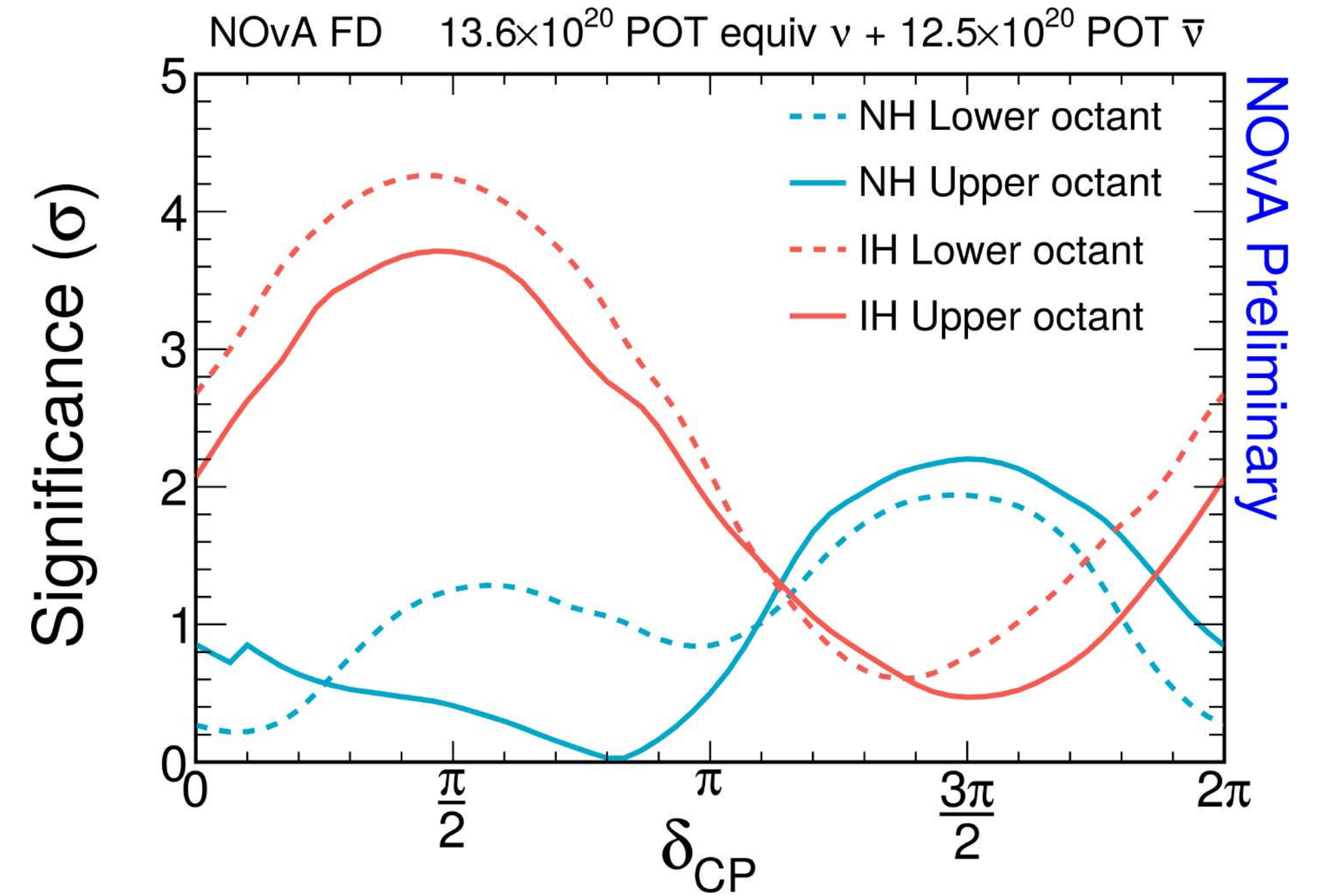
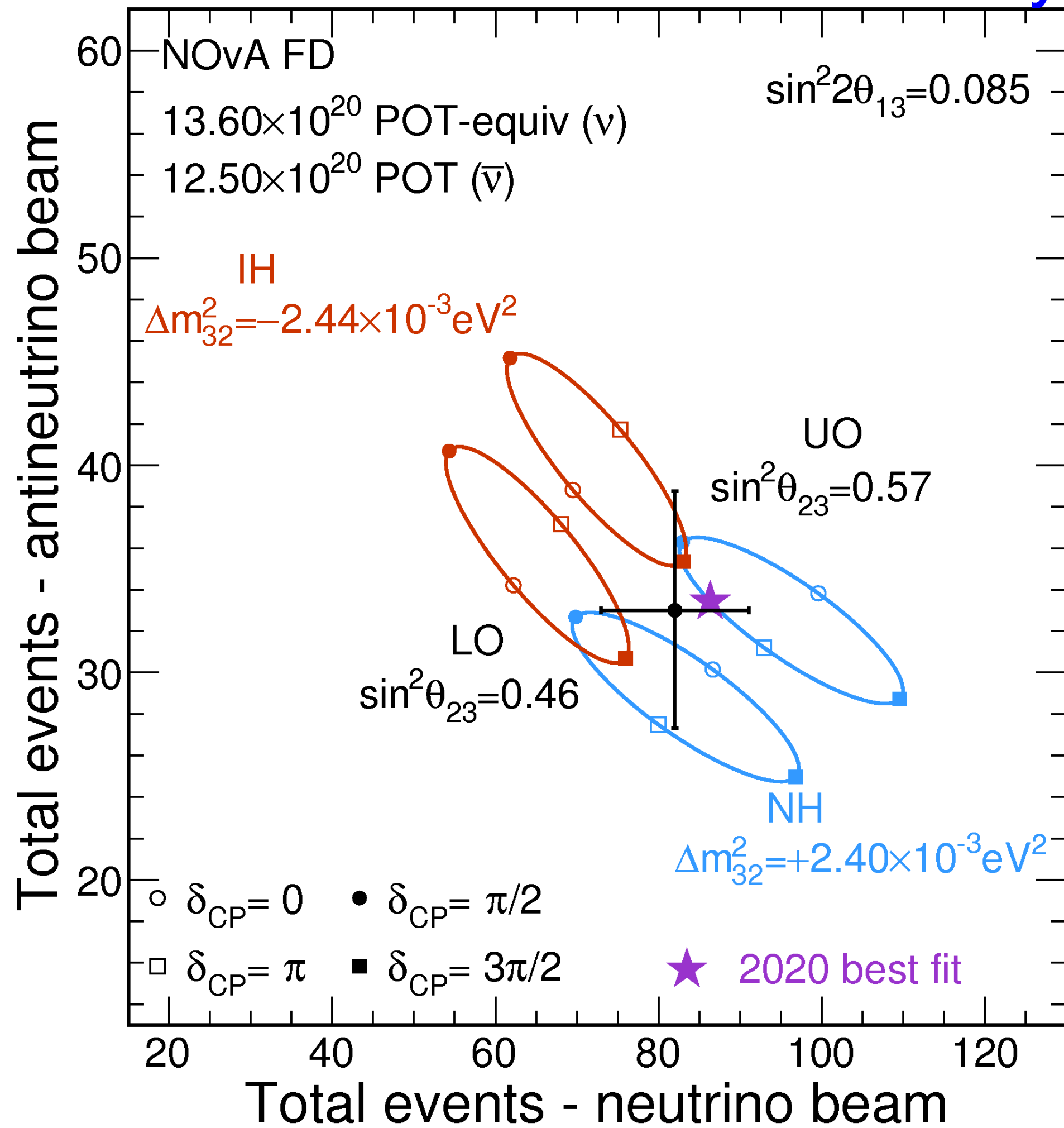


- Hie-oct- $\delta_{CP}$  combinations that produce *asymmetric*  $\nu_e - \bar{\nu}_e$  appearance are disfavored
- Combinations that include some “*cancellation*” are preferred



# Oscillations results

## NOvA Preliminary

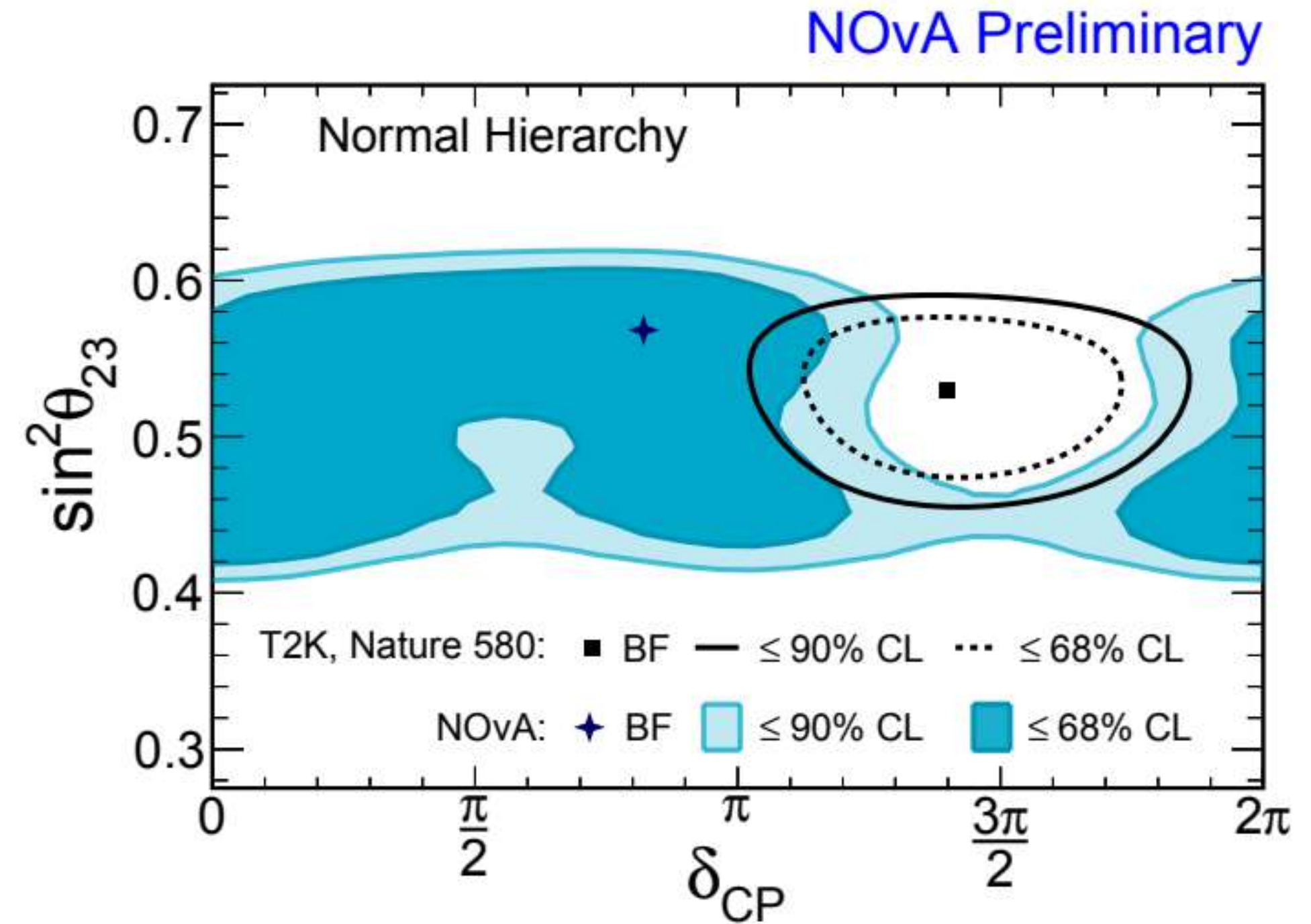
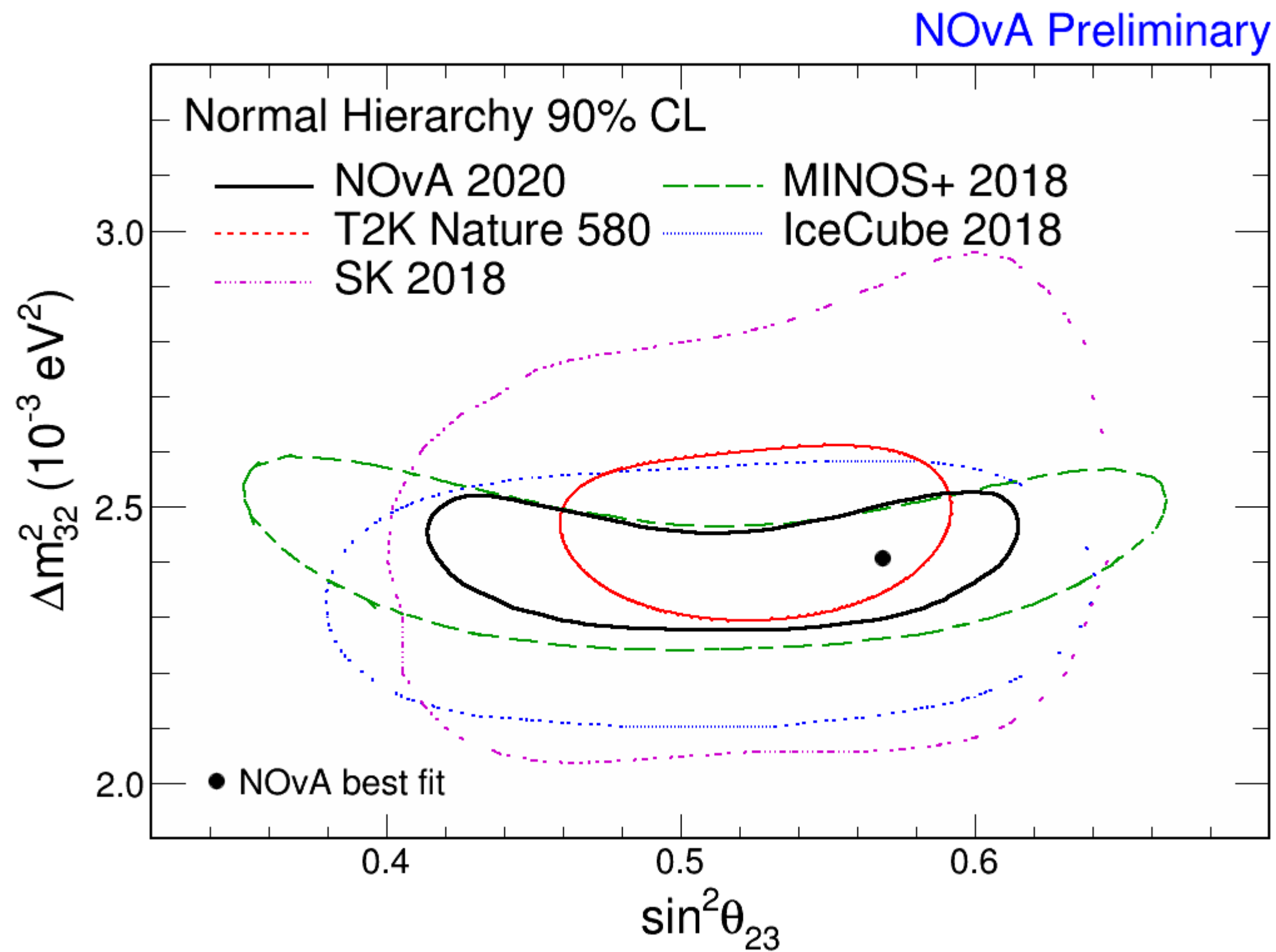


- No strong preference for hierarchy or octant
- Disfavor (NH,  $\delta_{CP} = 3\pi/2$ ) at  $\sim 2\sigma$
- Exclude (IH,  $\delta_{CP} = \pi/2$ ) at  $> 3\sigma$



# Other oscillations results

NB: not yet updated after NEUTRINO 2020 results from all experiments



- Agreement with other *atmospheric* parameters measurements
- Apparent tension in allowed values of  $\delta_{CP}$  between NOvA and T2K



# Other oscillations results

Attempts to “resolve” or explain this tension

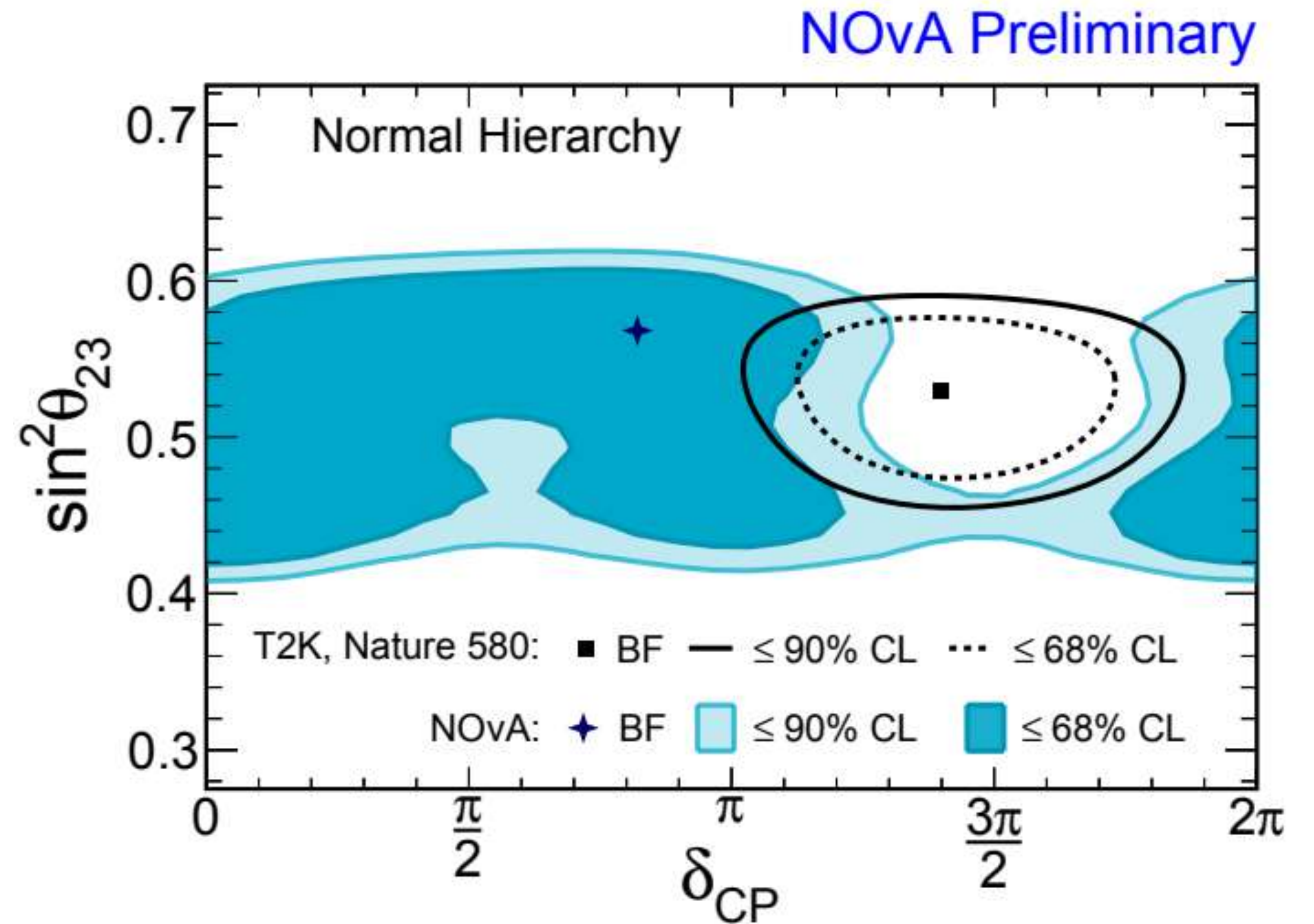
- IH as the favored solution

[K. Kelly et al., arXiv:2007.08526]

- New Physics: Non-Standard Interactions

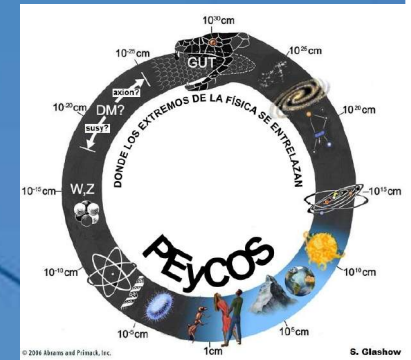
[P. Denton et al., arXiv:2008.01110;

S. Chatterjee & A. Palazzo, arXiv:2008.04161]



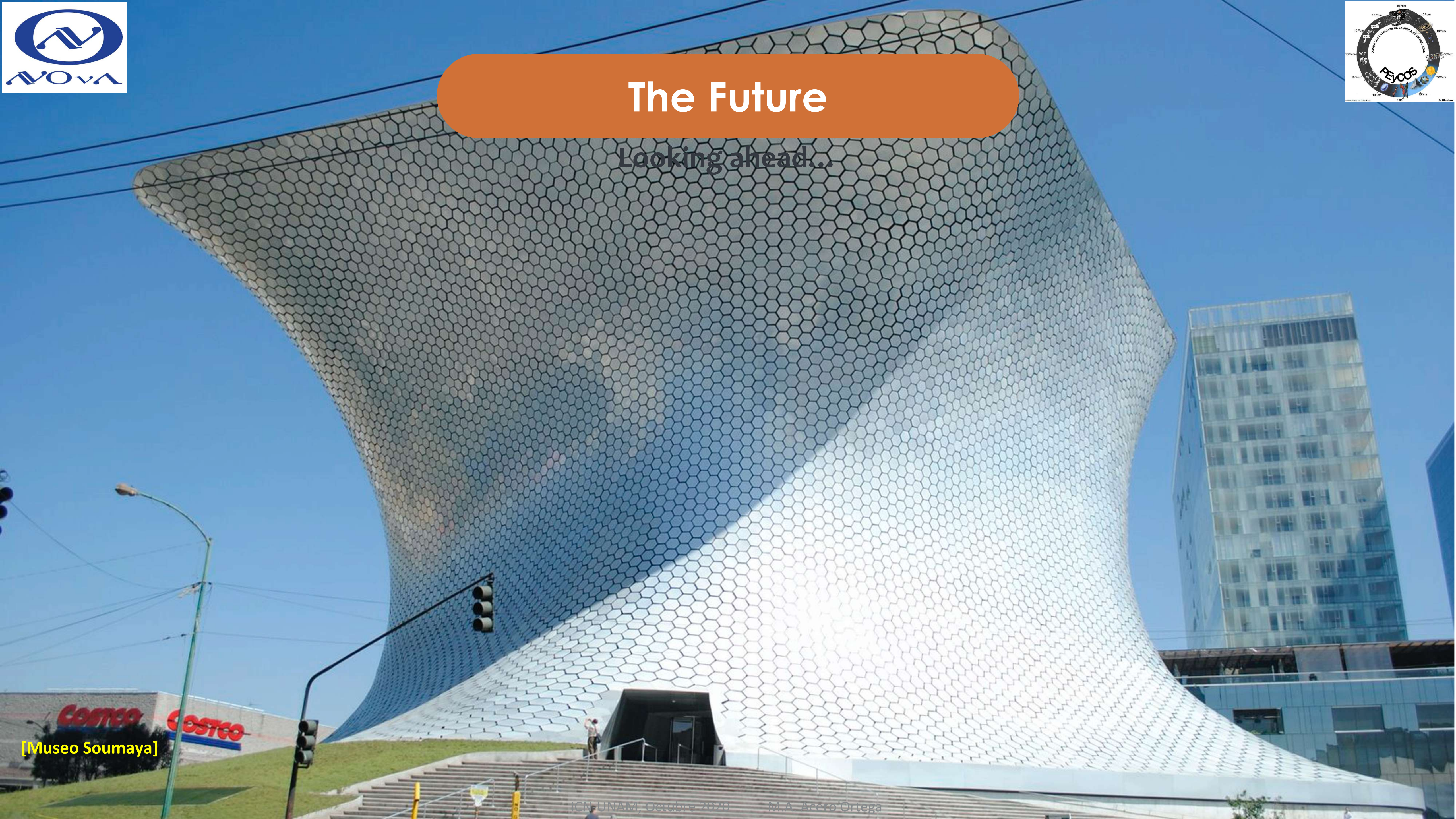
NOvA & T2K are working together on a fully self-consistent joint fit





# The Future

Looking ahead...



[Museo Soumaya]



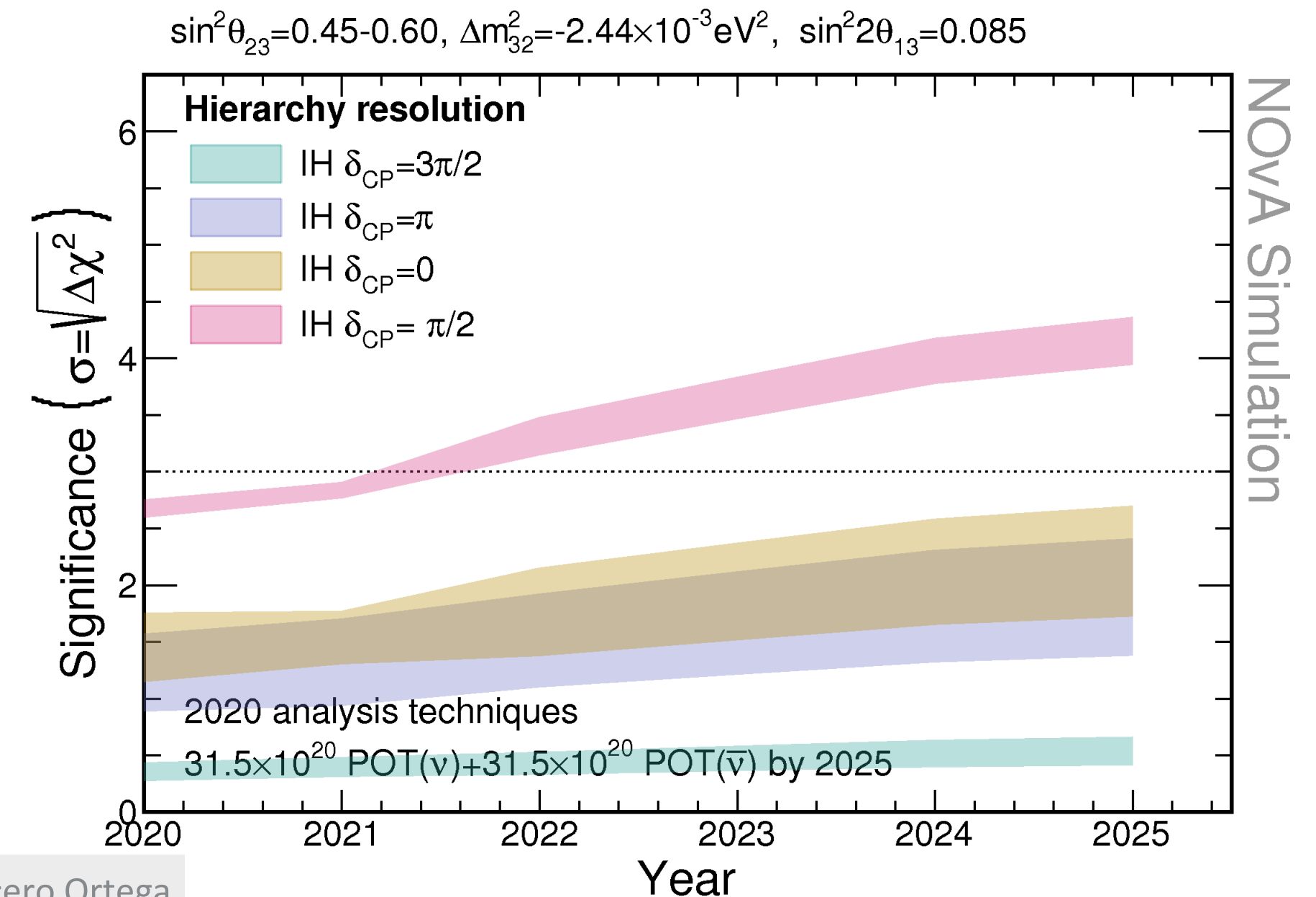
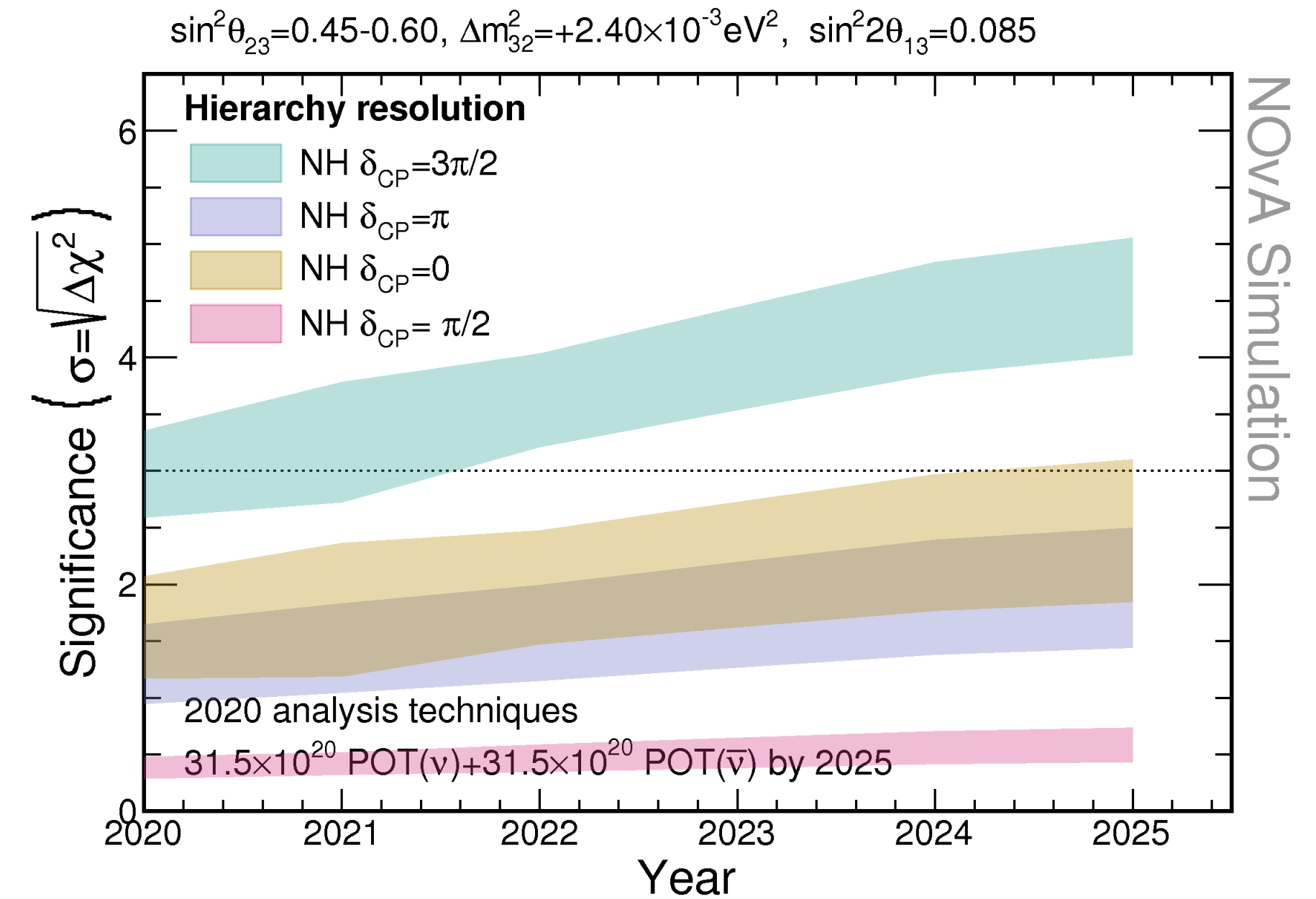
# The Future

Currently beam is off...

- Will resume in neutrino mode shortly
- Plan to get 50:50  $\nu:\bar{\nu}$
- NOvA is expected to run until 2025

Sensitivity expectations...

- Potential 3-5 $\sigma$  sensitivity to Hierarchy for favorable parameters





# The Future

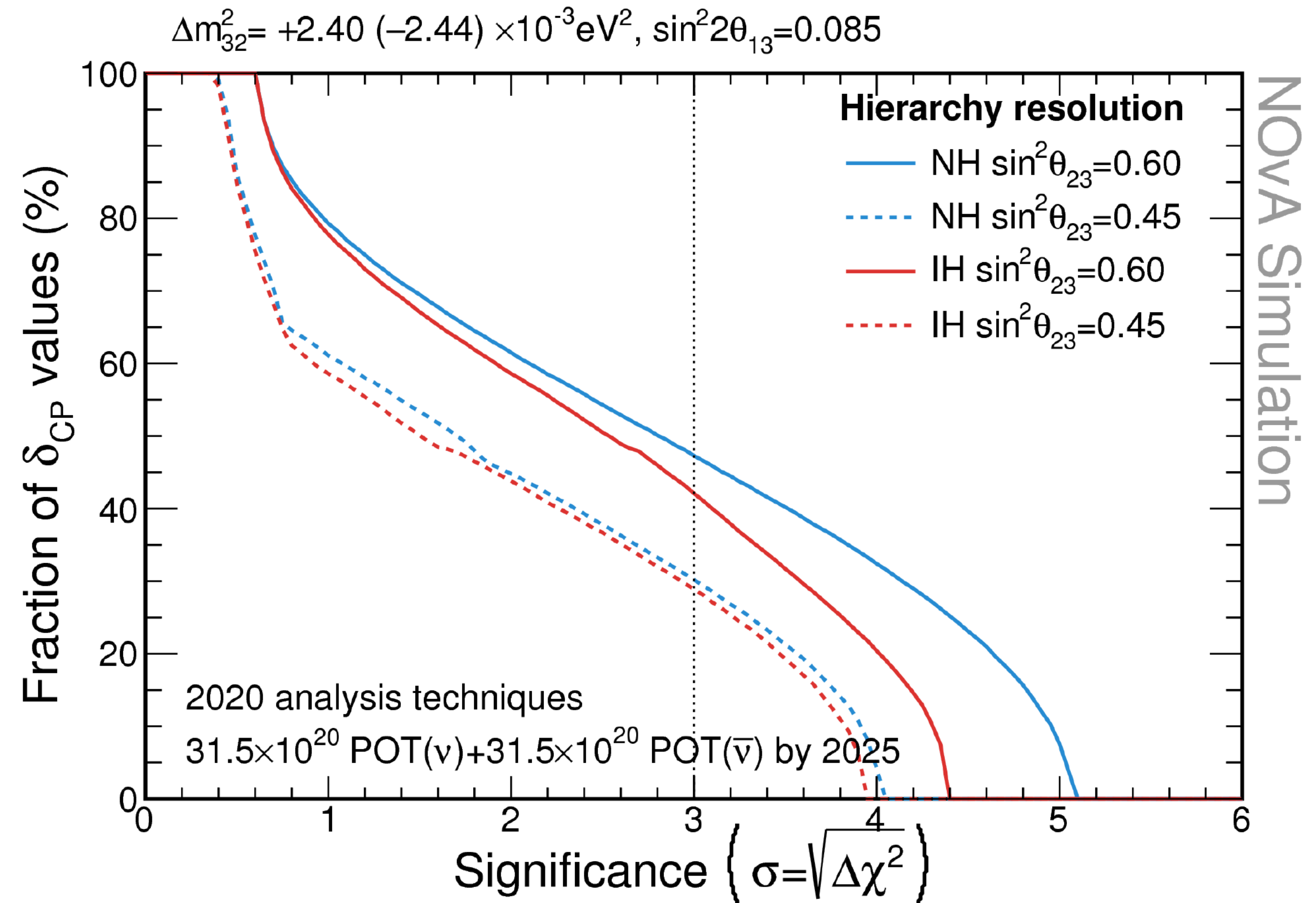
Currently beam is off...

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Sensitivity expectations...

- Potential 3-5 $\sigma$  sensitivity to Hierarchy
- Even 4-5 $\sigma$  for  $\delta_{CP} = 3\pi/2$
- $\geq 3\sigma$  for 30-50% of  $\delta_{CP}$  values

depending on the mixing and hierarchy





# The Future

Currently beam is off...

- Will resume in neutrino mode shortly
- Plan to get 50:50  $\nu:\bar{\nu}$
- NOvA is expected to run until 2025

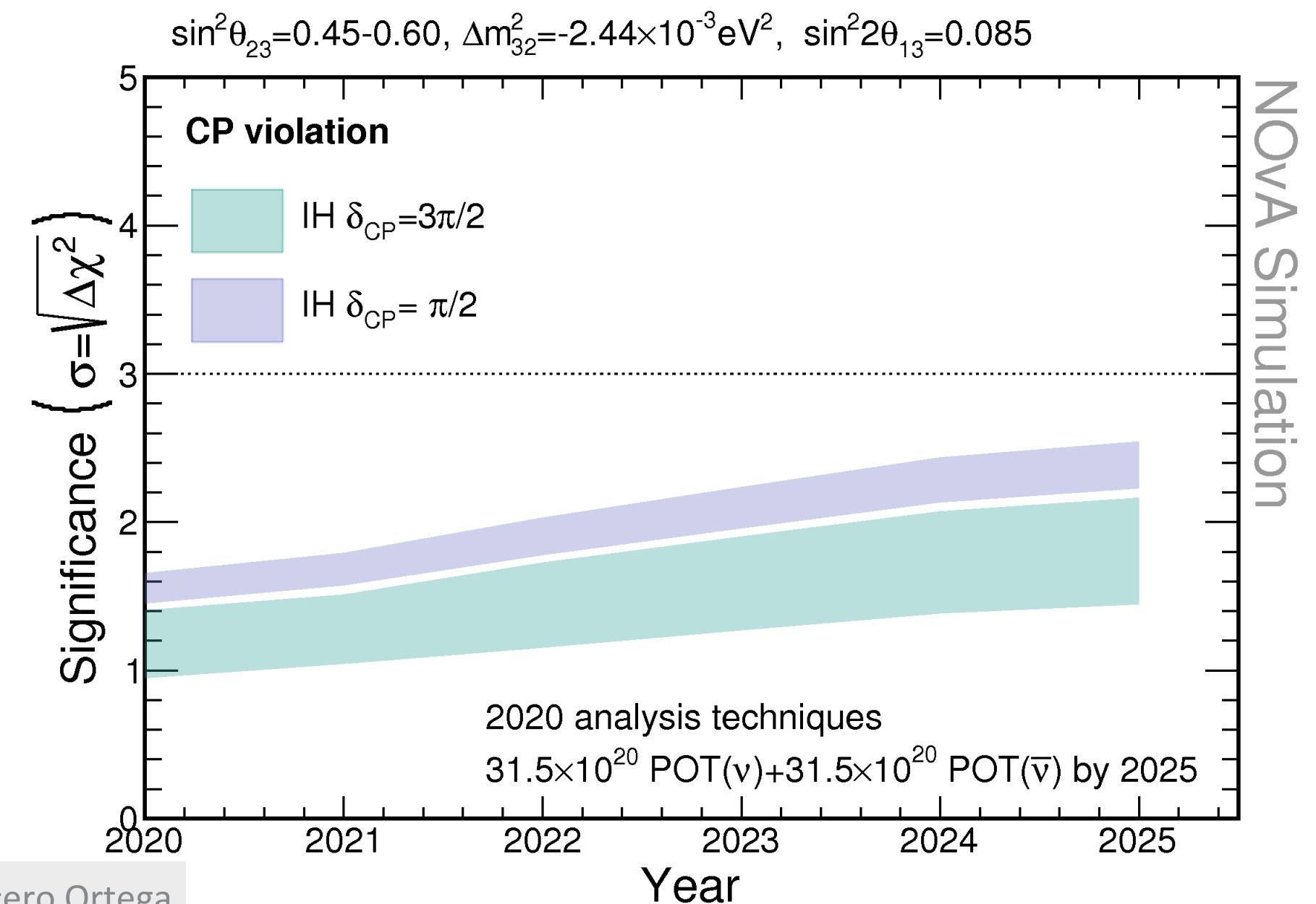
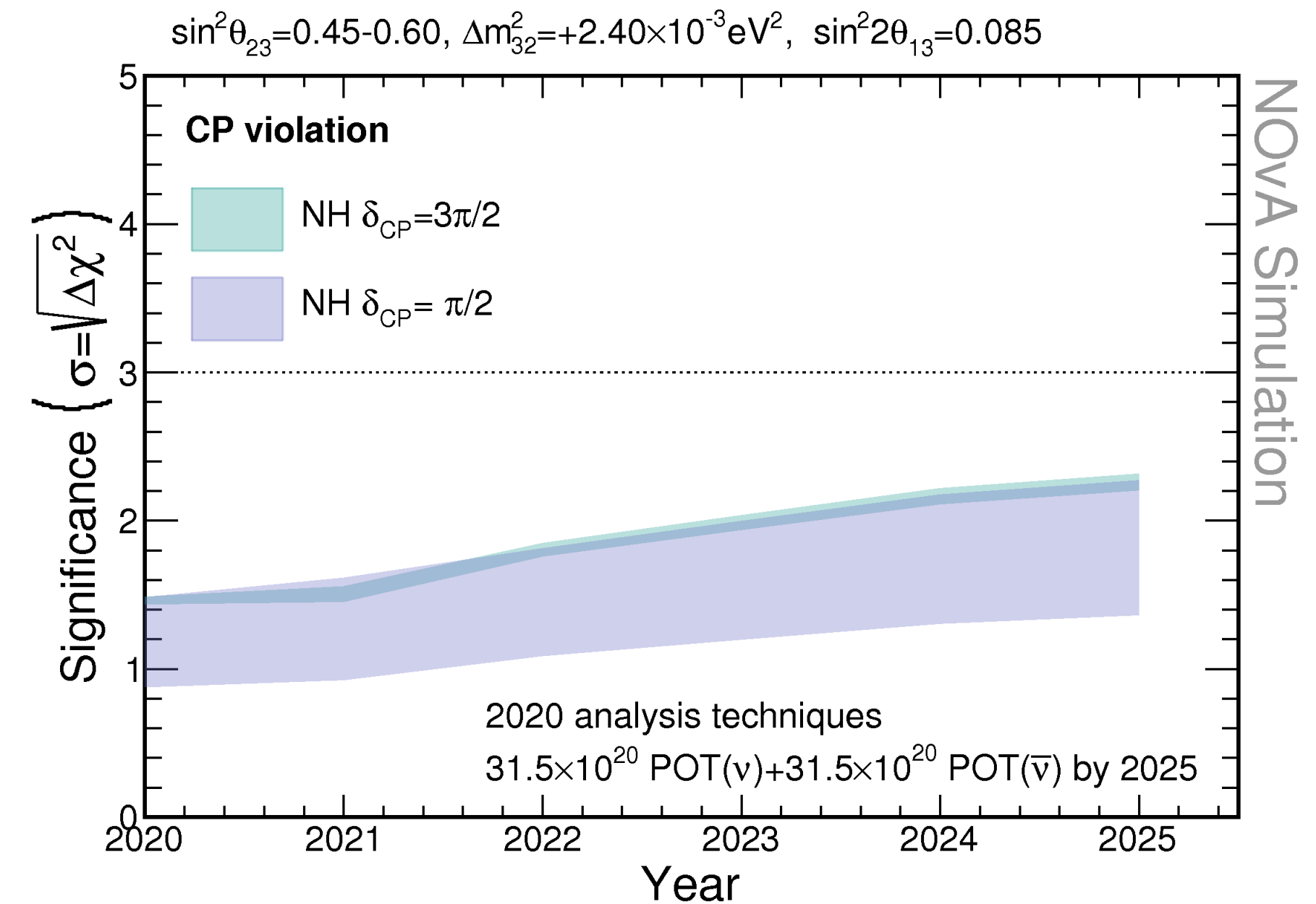
Sensitivity expectations...

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- Even 4-5 $\sigma$  for  $\delta_{CP} = 3\pi/2$
- $\geq 3\sigma$  for 30-50% of  $\delta_{CP}$  values
- Possible  $>2\sigma$  to CP violation

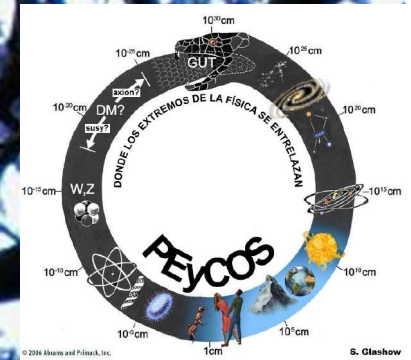
**All depends on true values in Nature**

Improvements to come...

- Beam intensity
- Test Beam program (detector response)







# Conclusions

Jacarandas





# Conclusions

With an exposure of  $13.6 \times 10^{20}(\nu) + 12.5 \times 10^{20}(\bar{\nu})$  POT, we got:

- Atmospheric parameters

$$\Delta m_{32}^2 = (2.41 \pm 0.07) \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{23} = 0.57^{+0.04}_{-0.03}$$

- Constraints on strongly asymmetric  $\nu_e - \bar{\nu}_e$  appearance PNMS solutions

$$(\text{IH}, \delta_{\text{CP}} = \pi/2) \rightarrow \text{excluded at } > 3\sigma$$

$$(\text{NH}, \delta_{\text{CP}} = 3\pi/2) \rightarrow \text{disfavored at } \sim 2\sigma$$





# Conclusions

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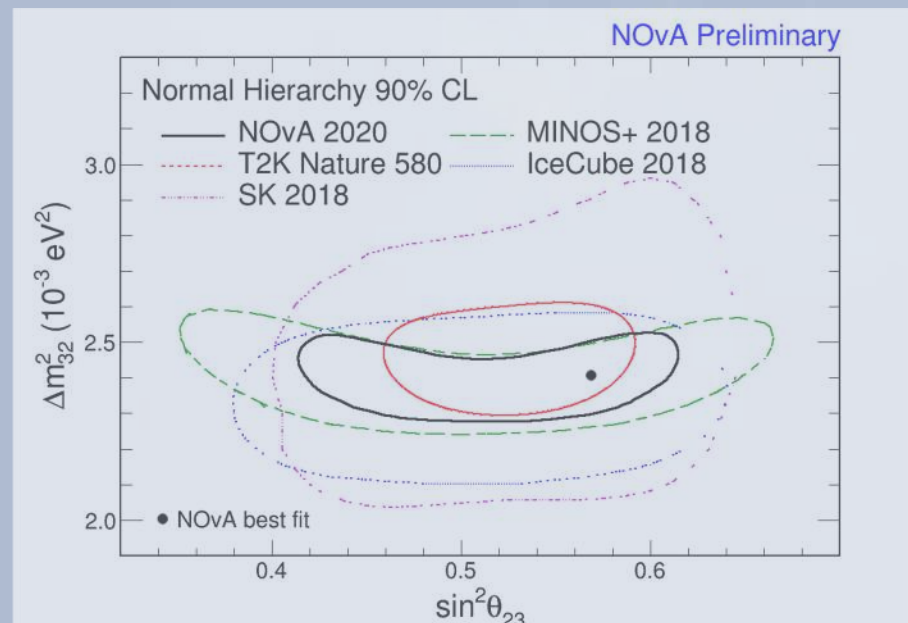
➤ Constraints on strongly asymmetric  $\nu_e - \bar{\nu}_e$  appearance PNMS solutions

(IH,  $\delta_{CP} = \pi/2$ )  $\rightarrow$  excluded at  $> 3\sigma$

(NH,  $\delta_{CP} = 3\pi/2$ )  $\rightarrow$  disfavored at  $\sim 2\sigma$

➤ More to come!

- $\sim 3 - 5\sigma$  sensitivity to mass hierarchy determination for some values of  $\delta_{CP}$
- Potential sensitivity to  $\delta_{CP}$  up to  $2\sigma$
- Input from NOvA Test Beam program and neutrino interactions community to further improve robustness to systematics





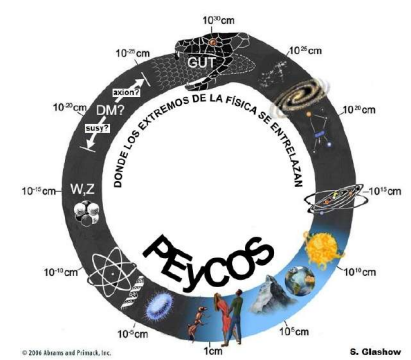


¡Gracias!



MAY 2020





# Supplement

Additional information



# Supplement

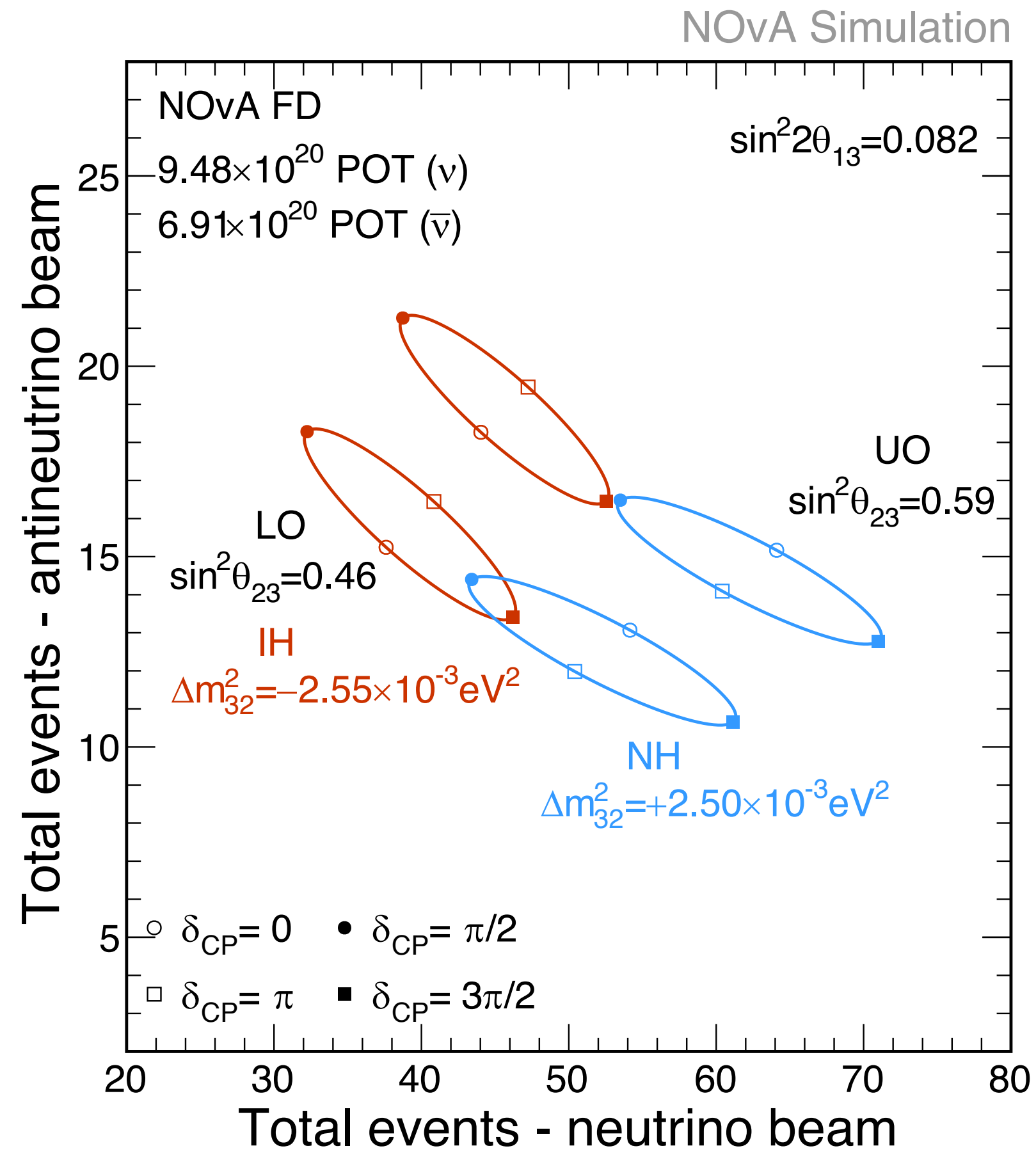
1- $\sigma$  range

$\sin^2 \theta_{23}$ NH	[0.431 ; 0.487] $\cup$ [0.530 ; 0.602]
$\sin^2 \theta_{23}$ IH	[0.433 ; 0.492] $\cup$ [0.526 ; 0.595]
$\Delta m_{32}^2$ NHUO	[2.341 ; 2.482]
$\Delta m_{32}^2$ NHLO	[2.336 ; 2.449]
$\Delta m_{32}^2$ IHUO	[2.386 ; 2.513]
$\Delta m_{32}^2$ IHLO	[2.386 ; 2.502]
$\delta_{CP}$ NHUO	[0 ; 1.09] $\cup$ [1.95 ; 2]
$\delta_{CP}$ NHLO	[0 ; 0.37] $\cup$ [0.84 ; 1.10] $\cup$ [1.81;2]
$\delta_{CP}$ IHUO	[1.22 ; 1.79]
$\delta_{CP}$ IHLO	[1.19 ; 1.59]
$\delta_{CP}$ NH	[0 ; 1.06] $\cup$ [1.82 ; 2]
$\delta_{CP}$ IH	[1.26 ; 1.73]

Ranges for the oscillation parameters under different Mass Hierarchy and Octant constraints



# Supplement

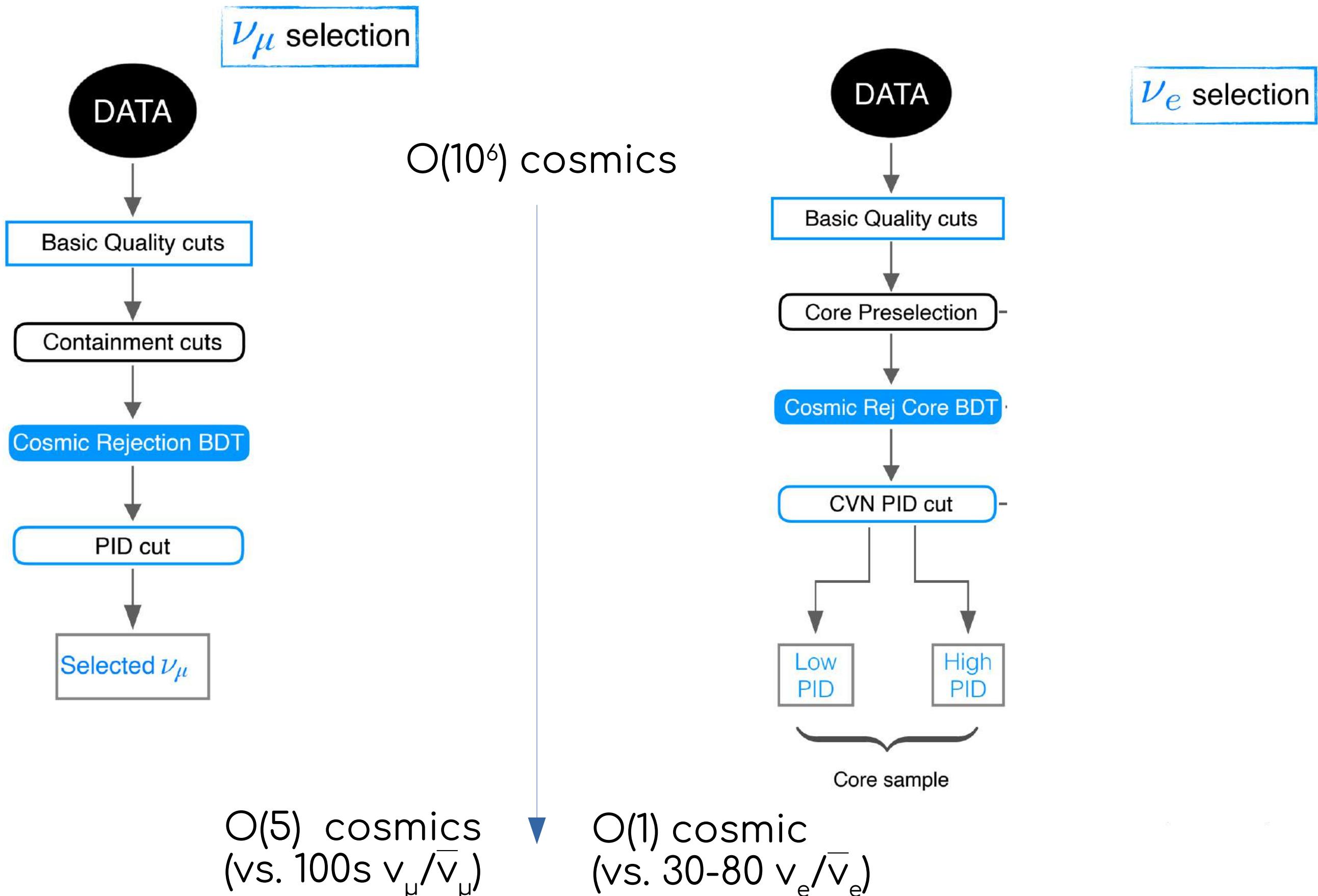


Key Comparison: Neutrino and Antineutrino events

- ✓ Mass Ordering/Hierarchy?
- ✓ Maximal mixing?
  - Upper Octant (larger oscillation probability)
  - Lower Octant (smaller oscillation probability)
  - $\nu_{\mu} - \nu_{\tau}$  Symmetry
- ✓ CP violation?
  - Different effects for neutrinos and antineutrinos



## Goal #1: finding $\nu_\mu$ s and $\nu_e$ s



Key Procedure: event selection

[J. Wolcott (2020), Fermilab W&C]

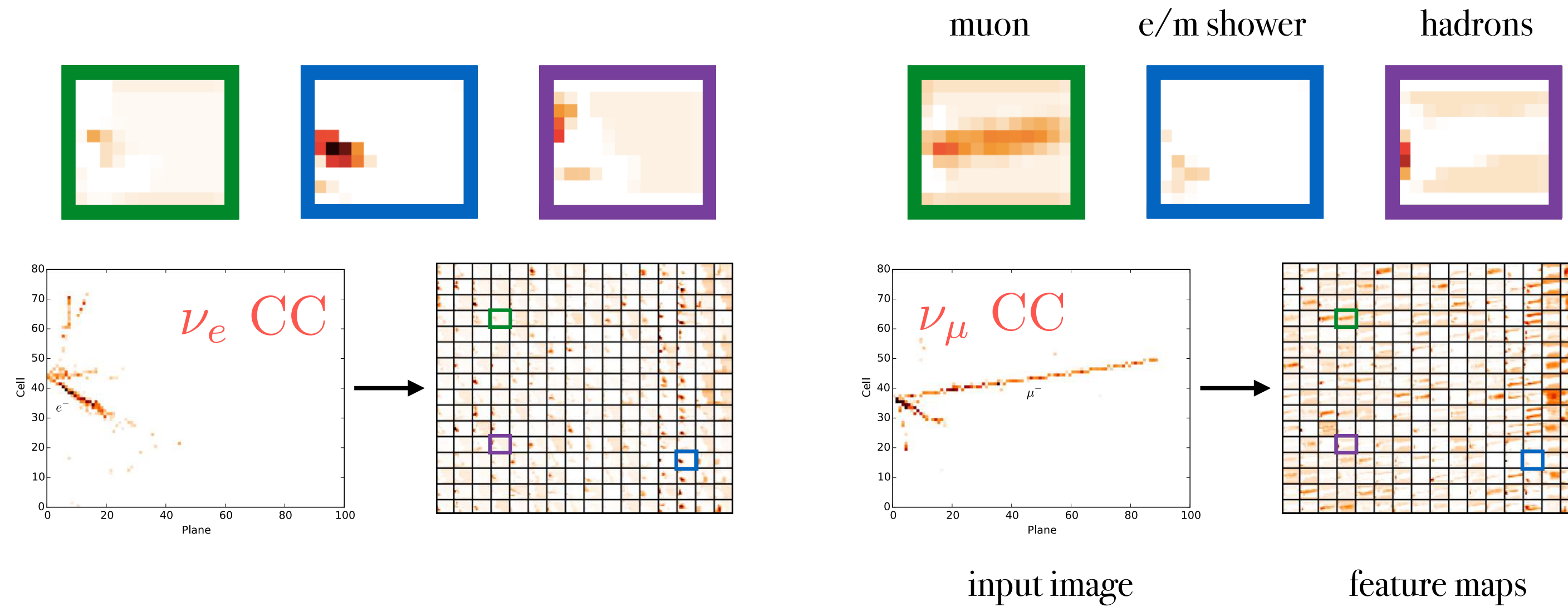
$O(5)$  cosmics  
(vs. 100s  $\nu_\mu/\bar{\nu}_\mu$ )

$O(1)$  cosmic  
(vs. 30-80  $\nu_e/\bar{\nu}_e$ )



# Supplement

## $\nu_e/\nu_\mu$ event selection with CVN



Key Procedure: event selection

**CVN**

- \* We use convolution neural network called **CVN** (Convolutional Visual Network).
- \* Particle identification technique based on ideas from GoogLeNet (computer vision and deep learning).
- \* Multi-label classifier – the same network used in multiple analyses: can classify  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ , NC and cosmic.



# Supplement

- More physics results from NOvA

Search for active-sterile neutrino mixing using neutral-current interactions in NOvA

P. Adamson *et al.* (The NOvA Collaboration)  
Phys. Rev. D **96**, 072006 – Published 30 October 2017

Measurement of neutrino-induced neutral-current coherent  $\pi^0$  production in the NOvA near detector

M. A. Acero *et al.* (NOvA Collaboration)  
Phys. Rev. D **102**, 012004 – Published 9 July 2020

Observation of seasonal variation of atmospheric multiple-muon events in the NOvA Near Detector

M. A. Acero *et al.* (NOvA Collaboration)  
Phys. Rev. D **99**, 122004 – Published 28 June 2019

Search for multimessenger signals in NOvA coincident with LIGO/Virgo detections

M. A. Acero *et al.* (NOvA Collaboration)  
Phys. Rev. D **101**, 112006 – Published 22 June 2020

Supernova neutrino detection in NOvA

M.A. Acero<sup>b</sup>, P. Adamson<sup>l</sup>, G. Agam<sup>s</sup>, L. Aliaga<sup>l</sup>, T. Alion<sup>o</sup>, V. Allakhverdian<sup>A</sup>, N. Anfimov<sup>A</sup>, A. Antoshkin<sup>A</sup>, E. Arrieta-Diaz<sup>C</sup>, L. Asquith<sup>o</sup> [+ Show full author list](#)

Published 5 October 2020 • © 2020 IOP Publishing Ltd and Sissa Medialab  
[Journal of Cosmology and Astroparticle Physics, Volume 2020, October 2020](#)

**Adjusting Neutrino Interaction Models and Evaluating Uncertainties using NOvA Near Detector Data**

[NOvA Collaboration and R. Group](#) • [M.A. Acero \(U. Atlantico, Barranquilla\)](#) et al. (Jun 15, 2020)  
e-Print: [2006.08727](#) [hep-ex]

**Search for Slow Magnetic Monopoles with the NOvA Detector on the Surface**

[NOvA Collaboration](#) • [M.A. Acero \(U. Atlantico, Barranquilla\)](#) et al. (Sep 10, 2020)  
e-Print: [2009.04867](#) [hep-ex]





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