

# New physics interpretations to the $R(D)$ and $R(D^*)$ anomalies: a $W'$ boson

Néstor Quintero

Universidad Santiago de Cali  
Cali - Colombia

Based on: [C. H. García-Duque, J. H. Muñoz, NQ and E. Rojas, PRD 103, 073003 \(2021\)](#)  
[\[arXiv:2103.00344 \[hep-ph\]\]](#)

10th International Workshop on Charm Physics  
31 May to 4 June  
2021

# OUTLINE

- 1 Introduction/Motivation
  - Test of LFU in semileptonic  $B$  meson decays
  - Test of LFU in leptonic  $\Upsilon$  meson decays
  - NP explanations to the charged-current  $b \rightarrow c\tau\bar{\nu}_\tau$  anomalies
- 2 Vector Triplet boson model
  - Phenomenological analysis
  - Parametric space ( $g_b, g_\tau$ )
- 3 Conclusions

# Test of LFU in semileptonic $B$ meson decays

Recent tests of lepton flavor universality (LFU) in  $B$  meson decays ( $b \rightarrow c\tau\bar{\nu}_\tau$ ), performed by the BABAR, Belle and LHCb experiments, have shown consistent deviations from the SM predictions.

[Fajfer and Murgui (CHARM 2020)]

$$R(D^{(*)}) = \frac{\text{BR}(B \rightarrow D^{(*)}\tau\bar{\nu}_\tau)}{\text{BR}(B \rightarrow D^{(*)}\ell'\bar{\nu}_{\ell'}), \quad (\ell' = e \text{ or } \mu)}.$$

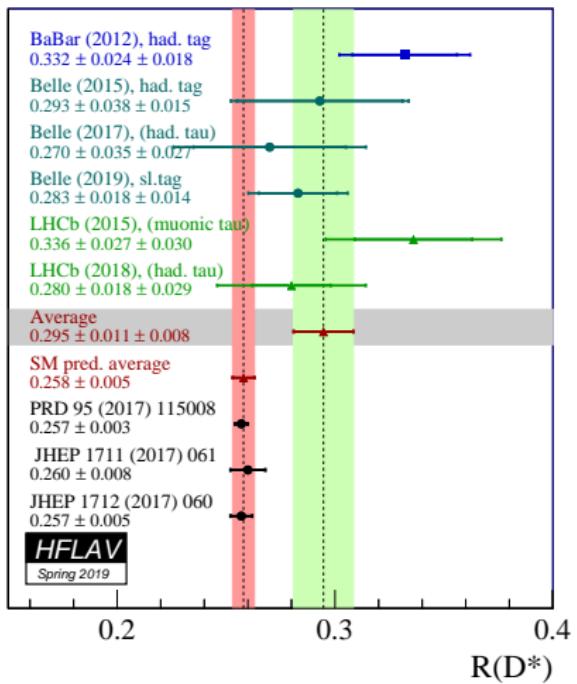
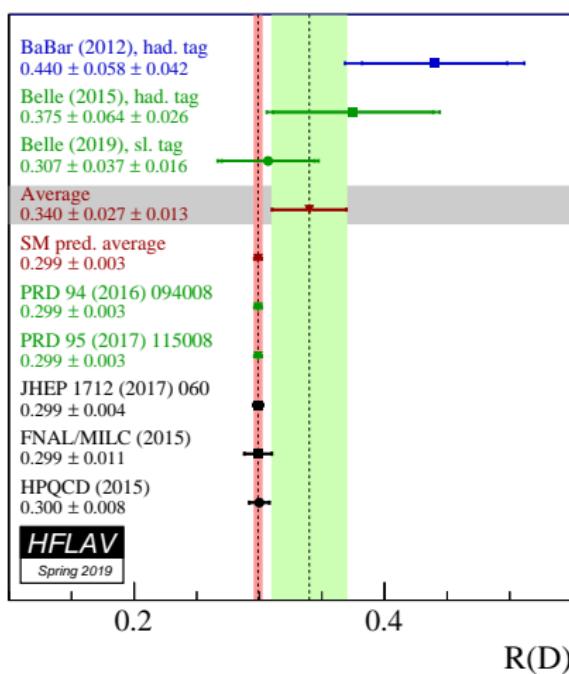
Observable	Measurement	Experiment	SM prediction	Tension
$R(D)$	$0.307 \pm 0.037 \pm 0.016$	Belle-2019	$0.299 \pm 0.003$	$0.2\sigma$
	$0.340 \pm 0.027 \pm 0.013$	HFLAV-2019		$1.4\sigma$
$R(D^*)$	$0.283 \pm 0.018 \pm 0.014$	Belle-2019	$0.258 \pm 0.005$	$1.1\sigma$
	$0.295 \pm 0.011 \pm 0.008$	HFLAV-2019		$2.5\sigma$

Experimental status on observables related to the charged transition  $b \rightarrow c\tau\bar{\nu}_\tau$ .

**$R(D)$  and  $R(D^*)$  anomalies!**

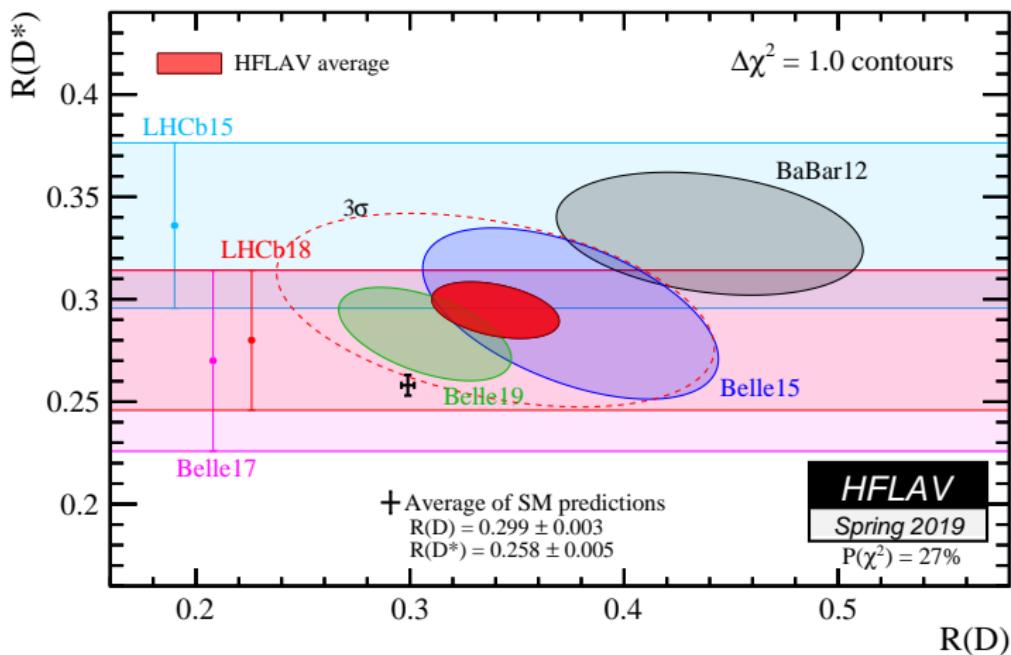
# Test of LFU in semileptonic $B$ meson decays

Heavy Flavor Averaging Group (HFLAV) - 2019



# Test of LFU in semileptonic $B$ meson decays

Heavy Flavor Averaging Group (HFLAV) - 2019



# Test of LFU in semileptonic $B$ meson decays

In addition, the LHCb reported a measurement on  $R(J/\psi) = \text{BR}(B_c \rightarrow J/\psi \tau \bar{\nu}_\tau) / \text{BR}(B_c \rightarrow J/\psi \mu \bar{\nu}_\mu)$ , and the polarization observables  $\tau$  lepton polarization  $P_\tau(D^*)$  and  $D^*$  longitudinal polarization  $F_L(D^*)$  have been observed by the Belle experiment [Murgui (CHARM 2020)].

Observable	Measurement	Experiment	SM prediction	Tension
$R(D)$	$0.307 \pm 0.037 \pm 0.016$	Belle-2019	$0.299 \pm 0.003$	$0.2\sigma$
	$0.340 \pm 0.027 \pm 0.013$	HFLAV-2019		$1.4\sigma$
$R(D^*)$	$0.283 \pm 0.018 \pm 0.014$	Belle-2019	$0.258 \pm 0.005$	$1.1\sigma$
	$0.295 \pm 0.011 \pm 0.008$	HFLAV-2019		$2.5\sigma$
$R(J/\psi)$	$0.71 \pm 0.17 \pm 0.18$	LHCb-2018	$0.283 \pm 0.048$	$2.0\sigma$
$P_\tau(D^*)$	$-0.38 \pm 0.51^{+0.21}_{-0.16}$ (large uncertainty!)	Belle-2018	$-0.497 \pm 0.013$	$0.2\sigma$
$F_L(D^*)$	$0.60 \pm 0.08 \pm 0.035$	Belle-2019	$0.46 \pm 0.04$	$1.6\sigma$
$R(X_c)$	$0.223 \pm 0.030$	PDG	$0.216 \pm 0.003$	$0.2\sigma$
$B_c^- \rightarrow \tau^- \bar{\nu}_\tau$	$< 10\%$		$(2.16 \pm 0.16)\%$	

Experimental status on observables related to the charged transition  $b \rightarrow c \tau \bar{\nu}_\tau$ .

charged-current  $b \rightarrow c \tau \bar{\nu}_\tau$  anomalies!

# Test of LFU in leptonic $\Upsilon$ meson decays

- LFU can also be tested through the ratio of leptonic decays of bottomonium meson  $\Upsilon(nS)$  [Aloni, Efrati, Grossman, & Nir, 1702.07356].

$$R_{\Upsilon(nS)} \equiv \frac{\text{BR}(\Upsilon(nS) \rightarrow \tau^+ \tau^-)}{\text{BR}(\Upsilon(nS) \rightarrow \ell^+ \ell^-)}, \quad (n = 1, 2, 3)$$

Observable	Measurement	Experiment	SM prediction	Tension
$R_{\Upsilon(1S)}$	$1.005 \pm 0.013 \pm 0.022$	BABAR-2010	$0.9924 \pm \mathcal{O}(10^{-5})$	$0.5\sigma$
$R_{\Upsilon(2S)}$	$1.04 \pm 0.04 \pm 0.05$	CLEO-2007	$0.9940 \pm \mathcal{O}(10^{-5})$	$0.8\sigma$
$R_{\Upsilon(3S)}$	$1.05 \pm 0.08 \pm 0.05$	CLEO-2007	$0.9948 \pm \mathcal{O}(10^{-5})$	$0.6\sigma$
	$0.966 \pm 0.008 \pm 0.014$	BABAR-2020		$1.8\sigma$
	$0.968 \pm 0.016$	Average		$1.7\sigma$

Experimental status on observables related to the neutral transition  $b\bar{b} \rightarrow \tau^+ \tau^-$ .

[Banerjee (CHARM 2020)].

- New physics scenarios aiming to provide an explanation to the LFU violation anomalies in  $b \rightarrow c\tau\bar{\nu}_\tau$  decays also induce effects in the neutral-current  $b\bar{b} \rightarrow \tau^+ \tau^-$  transition [Faroughy, Greljo, & Kamenik, 1609.07138; Aloni, Efrati, Grossman, & Nir, 1702.07356].

# NP explanations to the charged-current $b \rightarrow c\tau\bar{\nu}_\tau$ anomalies.

## Model-independent approach

Effect of NP operators regarding the most general dimension-six effective Lagrangian contributing to  $b \rightarrow c\tau\bar{\nu}_\tau$ . **[Murgui (CHARM 2020)]**

- NP arising from **LH vector  $C_{V_L}$**  associated with the **operator**  $(\bar{c}\gamma_\mu P_L b)(\bar{\tau}\gamma^\mu P_L \nu_\tau)$  is a preferred solution to address the anomalies, providing a **good fit to the data**.

Murgui, Peñuelas, Jung & Pich, 1904.09311; Mandal, Murgui, Peñuelas, & Pich, 2004.06726; Shi *et al.*, 1905.08498; Blanke *et al.*, 1905.08253; Bhardam & Ghosh, 1904.10432

## $C_{V_L}$ : NP scenarios

- Vector Leptoquarks

Hati, Kriewald, Orloff & Teixeira, 1907.05511; Fornal, Gadom & Grinstein, 1812.01603; Becirevic *et al.*, 1806.05689; Yan, Yang & Yuan, 1905.01795; Cornella, Fuentes-Martin & Isidori, 1903.11517

- Extra gauge bosons  $W'$

Gomez, NQ, & Rojas, 1907.08357; He & Valencia, 1711.09525; Boucenna *et al.*, 1608.01349; Greljo, Isidori & Marzocca, 1506.01705; Abdullah *et al.*, 1805.01869; Greljo, Camalich & Ruiz-Álvarez, 1811.07920

We reanalyze the extra gauge boson scenario within the vector triplet model.

# Vector Triplet boson model (SM + Extra gauge bosons)

- The SM is extended by including a color-neutral real  $SU(2)_L$  triplet of massive vectors  $W'$  and  $Z'$  that coupled **predominantly** to LH fermions from the **third-generation** [Greljo, Isidori & Marzocca, 1506.01705; Faroughy, Greljo, & Kamenik, 1609.07138]

$$\mathcal{L}^{\text{LH-VB}} = g_b \bar{Q}_3 \frac{\sigma_a}{2} \gamma^\mu W_\mu^a Q_3 + g_\tau \bar{L}_3 \frac{\sigma_a}{2} \gamma^\mu W_\mu^a L_3,$$

where  $g_b$  and  $g_\tau$  are the corresponding couplings of LH quarks and leptons to vector bosons.

- NP effects are **negligible** for light lepton modes ( $e$  or  $\mu$ ).
- After the heavy vector bosons are integrating out, the relevant charged-current and neutral-current operators are given by

$$\begin{aligned}\mathcal{L}_{\text{CC}}(b \rightarrow c\tau\bar{\nu}_\tau) &= -\frac{g_b g_\tau}{2M_{W'}^2} V_{cb} (\bar{c} \gamma_\mu P_L b)(\bar{\tau} \gamma^\mu P_L \nu_\tau) + \text{H.c.}, \\ \mathcal{L}_{\text{NC}}(b\bar{b} \rightarrow \tau\bar{\tau}) &= -\frac{g_b g_\tau}{4M_{Z'}^2} (\bar{b} \gamma_\mu P_L b)(\bar{\tau} \gamma^\mu P_L \tau),\end{aligned}$$

- The gauge bosons are (almost) degenerate  $M_{W'} \simeq M_{Z'}$  (EW precision data).
- The NP effects are driven by the mass scale of the heavy mediators and the size of couplings  $g_b$  and  $g_\tau$  (we will take these couplings to be real).

# Phenomenological analysis of the VTB model

To provide a robust phenomenological study we perform a  $\chi^2$  analysis by taking into account the following data:

- $b \rightarrow c\tau\nu_\tau$  data:  $R(D^{(*)})$  (HFLAV 2019 averages),  $R(J/\psi)$ ,  $R(X_c)$ ; the polarizations  $P_\tau(D^*)$ ,  $F_L(D^*)$ ; and the upper limit  $\text{BR}(B_c^- \rightarrow \tau^-\bar{\nu}_\tau) < 10\%$ .
- $R_\Upsilon$  old data:  $R_{\Upsilon(1S)}$  BABAR-10,  $R_{\Upsilon(2S)}$  CLEO-07, and  $R_{\Upsilon(3S)}$  CLEO-07.
- $R_\Upsilon$  with BABAR-20 data:  $R_{\Upsilon(1S)}$  BABAR-10,  $R_{\Upsilon(2S)}$  CLEO-07, and  $R_{\Upsilon(3S)}$  BABAR-20.
- $R_\Upsilon$  combined data:  $R_{\Upsilon(1S)}$  BABAR-10,  $R_{\Upsilon(2S)}$  CLEO-07, and  $R_{\Upsilon(3S)}$  average.
- Projected Belle II scenarios (**New!**): for  $50 \text{ ab}^{-1}$  data improvements at the level of  $\sim 2 - 3\%$  and  $\sim 2\%$  will be achieved for the uncertainties (statistical and systematic) of  $R(D^*)$  [[Belle II Physics Book, 1808.10567](#)].

**Belle II-P1:** Belle II measurements on  $R(D^{(*)})$  keep the central values of Belle combination averages with the projected Belle II sensitivities .

**Belle II-P2:** Belle II measurements on  $R(D^{(*)})$  are in agreement with the current SM predictions at the  $0.1\sigma$  level with the projected Belle II sensitivities.

- LHC bounds and prospects at the high-luminosity (HL)-LHC [[Marzocca, Min, & Son, 2008.07541](#); [Iguro, Takeuchi, and Watanabe, 2011.02486](#)].

# Phenomenological analysis of the VTB model

Fit results for  $M_{W'} = 1$  TeV (couplings in the perturbative regime  $< \sqrt{4\pi}$ )

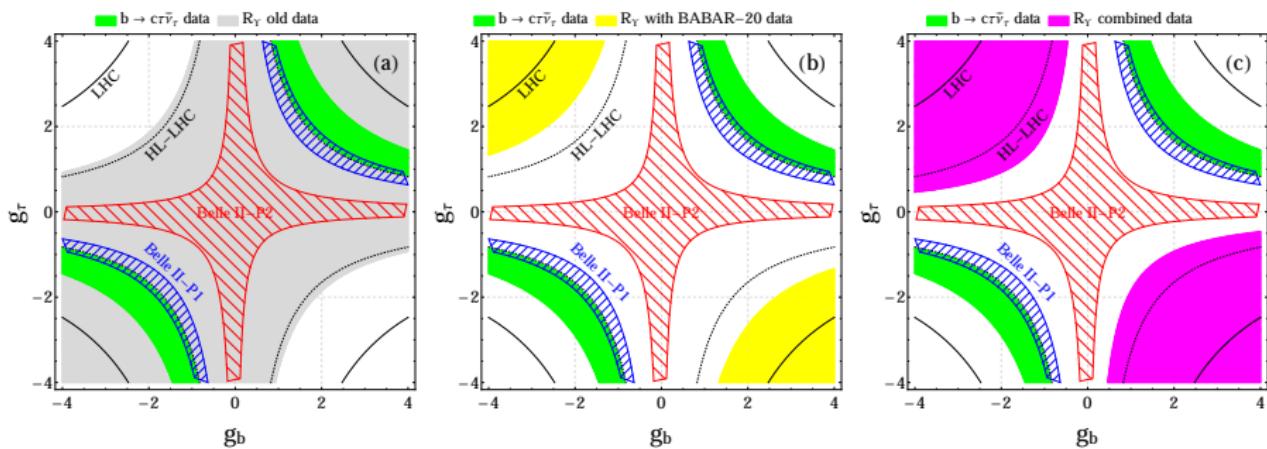
Dataset	$(g_b, g_\tau)$	$\chi^2_{\min}/N_{\text{dof}}$	p-value (%)	pull <sub>SM</sub>
$b \rightarrow c\tau\bar{\nu}_\tau$	(2.99, 1.54)	1.04	39.0	3.72
$b \rightarrow c\tau\bar{\nu}_\tau + R_\Upsilon$ old	(3.05, 1.52)	0.79	61.3	3.75
$b \rightarrow c\tau\bar{\nu}_\tau + R_\Upsilon$ with BABAR-20	(3.27, 1.39)	1.20	29.3	3.68
$b \rightarrow c\tau\bar{\nu}_\tau + R_\Upsilon$ combined	(3.05, 1.52)	1.11	35.3	3.68

BFP values of gauge couplings,  $\chi^2_{\min}/N_{\text{dof}}$ , p-value, and  $\text{pull}_{\text{SM}} = \sqrt{\chi^2_{\text{SM}} - \chi^2_{\min}}$  for different datasets of observables.

## Our results show that:

- BABAR measurement on  $R_{\Upsilon(3S)}$  induces tension in the analysis, causing the quality of the fit to decrease (smaller p-value)
- BABAR's result seems to challenge the VTB model explanation.

# Parametric space ( $g_b, g_\tau$ )



Our results show that:

- BABAR results on  $R_{\Upsilon(3S)}$  generates tension; therefore, charged-current and neutral-current data of  $b$ -flavored mesons cannot be addressed simultaneously in this model.
- Only relaxing the  $R_{\Upsilon(3S)}$  experimental uncertainties to the  $2\sigma$  level can a common allowed region be obtained.
- Remarkably, the Belle II-P2 scenario would provide stronger bounds on the  $(g_b, g_\tau)$  plane than prospects at the HL-LHC.

# Concluding Remarks

- The charged current  $b \rightarrow c\tau\bar{\nu}_\tau$  anomalies constitute a tantalizing **window for NP**.
- New physics scenarios aiming to provide an explanation to the LFU violation anomalies in  $b \rightarrow c\tau\bar{\nu}_\tau$  decays also induce effects in the neutral-current  $b\bar{b} \rightarrow \tau^+\tau^-$  transition.
- Motivated by the very recent **BABAR measurement on  $R_{\Upsilon(3S)}$** , we revisited the **VTB model** proposed as a viable solution to the  $b \rightarrow c\tau\bar{\nu}_\tau$  anomalies.
- We found that the BABAR measurement of  $R_{\Upsilon(3S)}$  is particularly challenging and the  $1\sigma$  range uncertainties **cannot be explained simultaneously** with charged-current  $b \rightarrow c\tau\bar{\nu}_\tau$  data.
- The recent BABAR results on  $R_{\Upsilon(3S)}$  hint toward a **new anomalous measurement**.
- Future measurements from **Belle II** (as well as LHCb) will be a matter of importance.

**THANK YOU !**

**BACK UP**

# Lepton flavor universality

What is Lepton flavor universality?

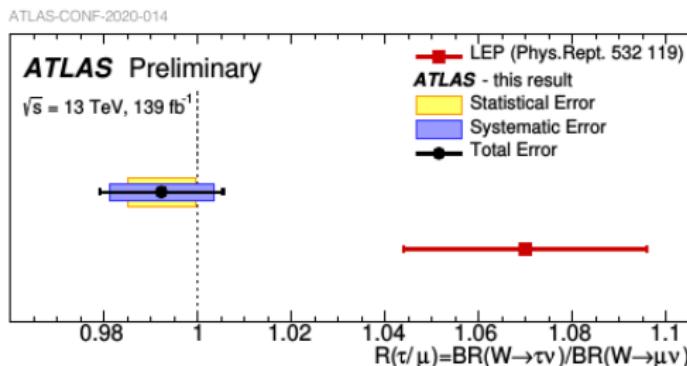
The couplings of the leptons to the gauge bosons  $W$  and  $Z$  are flavour-independent: the interactions between leptons and gauge bosons are the same for all leptons. This property is called **lepton flavor universality (LFU)**.

LFU has been tested in:

- $W$  bosons partial decay widths from LEP measurements

$$R_W^{\tau/\ell} = \frac{\text{BR}(W \rightarrow \tau \bar{\nu}_\tau)}{\text{BR}(W \rightarrow \mu \bar{\nu}_\mu)} = 1.070 \pm 0.026 \quad (2.7\sigma) \quad [R_W^{\tau/\ell}]_{\text{SM}} = 0.999$$

- ATLAS [[arXiv:2007.14040](https://arxiv.org/abs/2007.14040)] :  $R_W^{\tau/\ell} = 0.992 \pm 0.013$  ( $0.5\sigma$ )



# Introduction/Motivation

LFU has been tested in:

- $W$  and  $Z$  bosons partial decay widths from LEP measurements

$$R_W^{\mu/e} = \frac{\text{BR}(W \rightarrow \mu\bar{\nu}_\mu)}{\text{BR}(W \rightarrow e\bar{\nu}_e)} = 0.983 \pm 0.018 \quad [R_W^{\mu/e}]_{\text{SM}} = 1.000$$

$$R_Z^{\mu/e} = \frac{\text{BR}(Z \rightarrow \mu\bar{\mu})}{\text{BR}(Z \rightarrow e\bar{e})} = 1.0009 \pm 0.0028 \quad [R_Z^{\mu/e}]_{\text{SM}} = 1.000$$

$$R_Z^{\tau/e} = \frac{\text{BR}(Z \rightarrow \tau\bar{\tau})}{\text{BR}(Z \rightarrow \mu\bar{\mu})} = 1.0020 \pm 0.0032 \quad [R_Z^{\tau/e}]_{\text{SM}} = 0.998$$

- Leptonic  $\tau$  decays pose very stringent constraints on lepton universality [[Pich, PPNP 75, 41 \(2014\)](#)], as well as  $P \rightarrow \ell\bar{\nu}_\ell$  and  $P \rightarrow P'\ell\bar{\nu}_\ell$ .

$$R_P^{\mu/e} = \frac{\text{BR}(P \rightarrow \mu\bar{\nu}_\mu)}{\text{BR}(P \rightarrow e\bar{\nu}_e)} \quad P = \pi, K, D, D_s$$

$$R_P^{\mu/e} = \frac{\text{BR}(P \rightarrow P'\mu\bar{\nu}_\mu)}{\text{BR}(P \rightarrow P'e\bar{\nu}_e)} \quad P^{(\prime)} = \pi, K, D, D_s$$

Test  $\mu/e$  in excellent agreement between SM and experiment.