

# 10<sup>th</sup> International Workshop on Charm Physics – CHARM 2020



## Radiative and rare charm decays @ BESIII

*Isabella Garzia*

*University of Ferrara and INFN  
On behalf of the BESIII Collaboration*



CHARM2020  
Virtual Conference



Istituto Nazionale di Fisica Nucleare



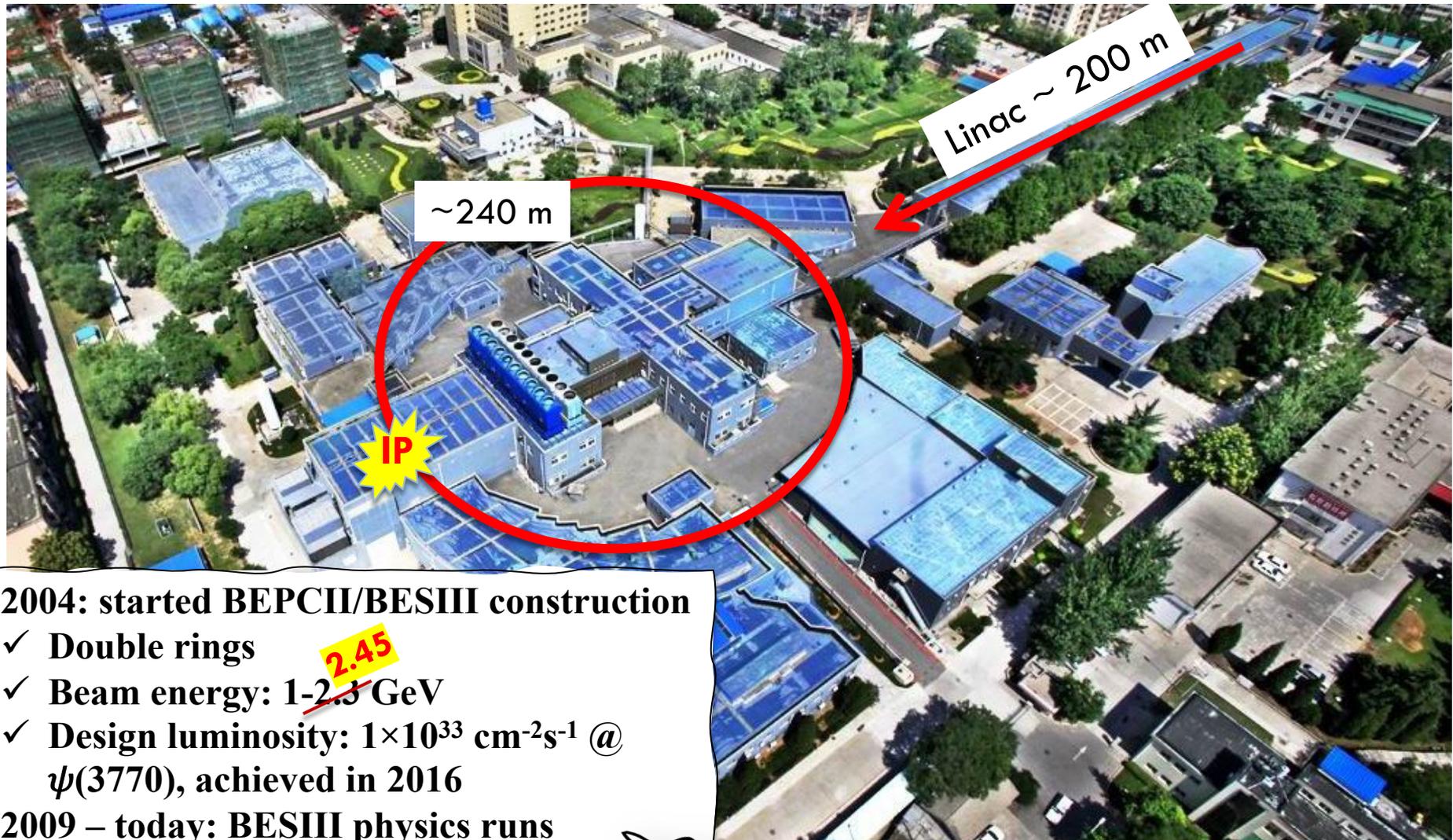
Università  
degli Studi  
di Ferrara

*May 30 – June 4, 2021  
Mexico City, Mexico*

**BESIII**

# Beijing Electron Positron Collider II

<http://english.ihep.cas.cn>



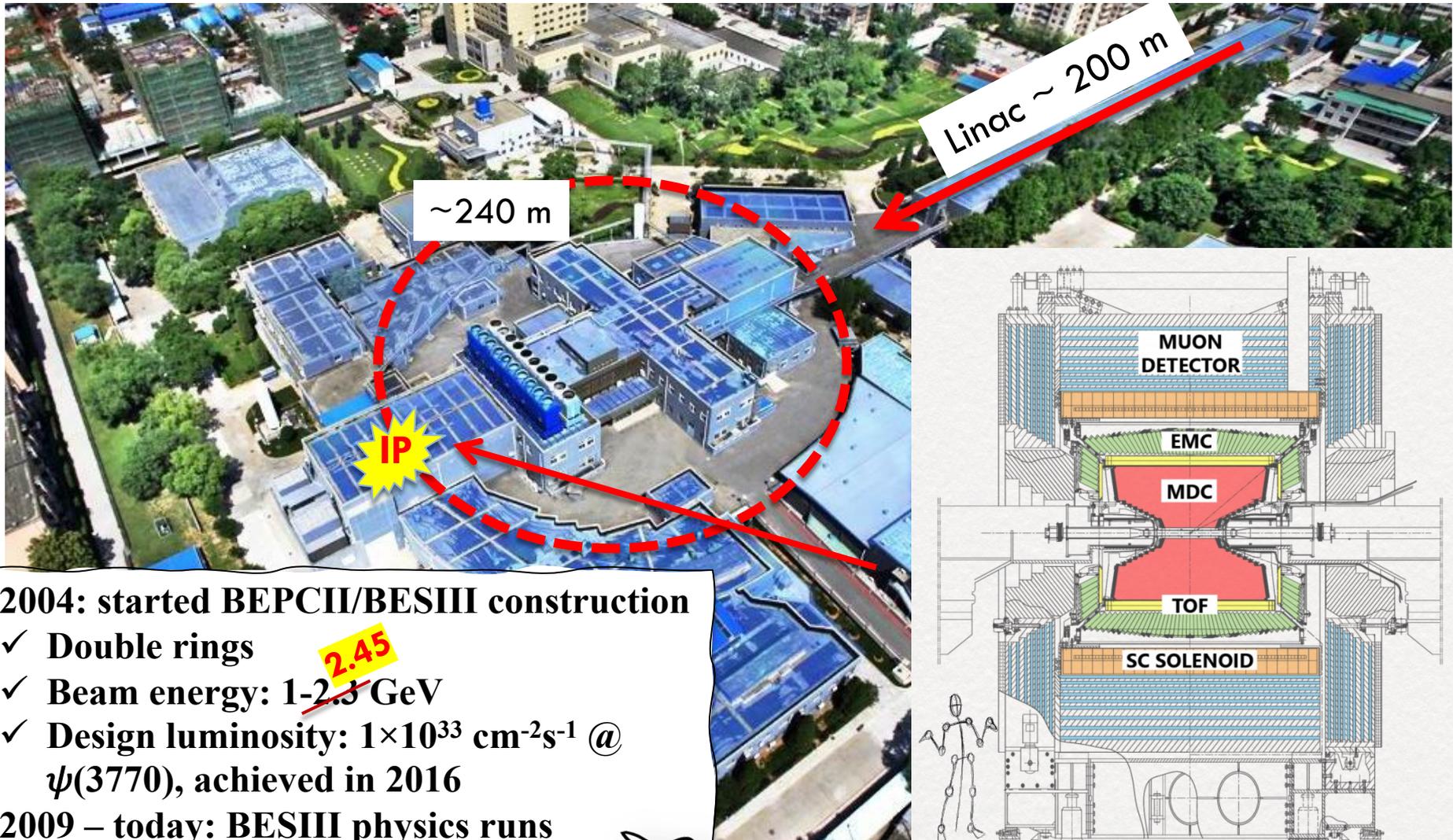
2004: started BEPCII/BESIII construction

- ✓ Double rings
- ✓ Beam energy: 1-~~2.3~~ **2.45** GeV
- ✓ Design luminosity:  $1 \times 10^{33} \text{ cm}^{-2}\text{s}^{-1}$  @  $\psi(3770)$ , achieved in 2016

2009 – today: BESIII physics runs

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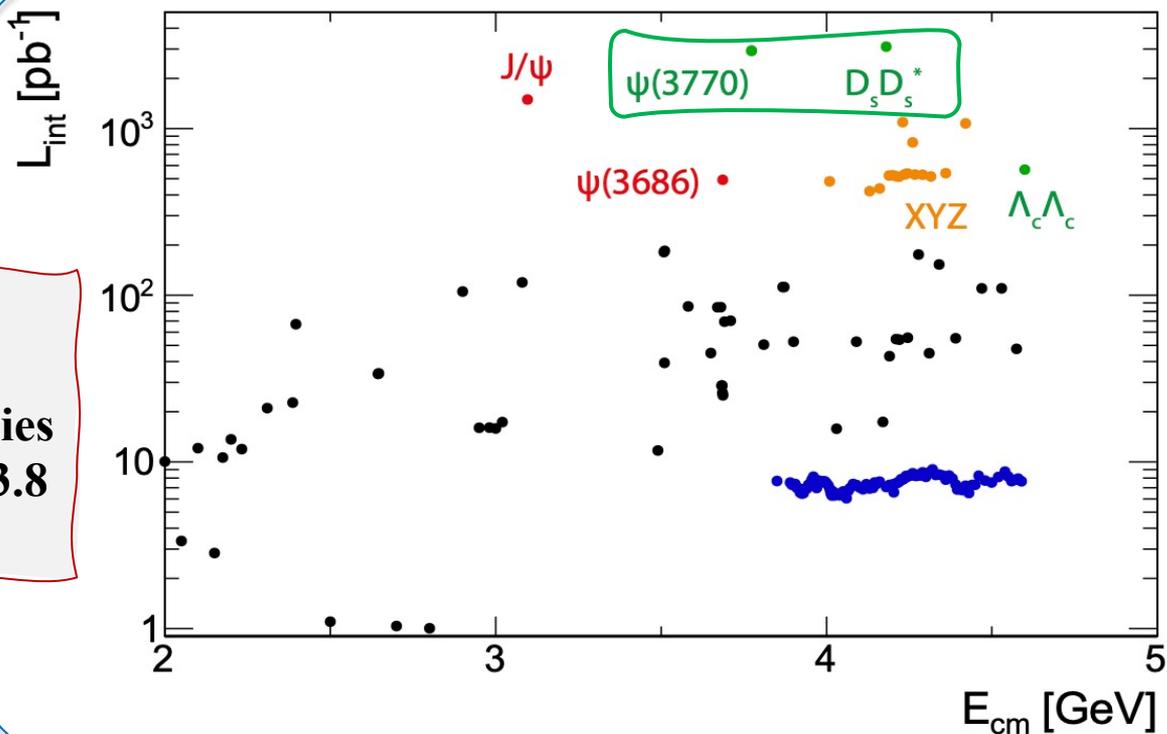
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2009 – today: BESIII physics runs

# BESIII Dataset

World largest  
 $J/\psi$ ,  $\psi(2S)$  and  
 $\psi(3770)$  samples

- ✓  $10^{10}$   $J/\psi$  event
- ✓  $448 \times 10^6$   $\psi(2S)$  event
- ✓ Large dataset for XYZ studies
- ✓ Scan data between 2.0 and 3.8 GeV, and above 3.735 GeV

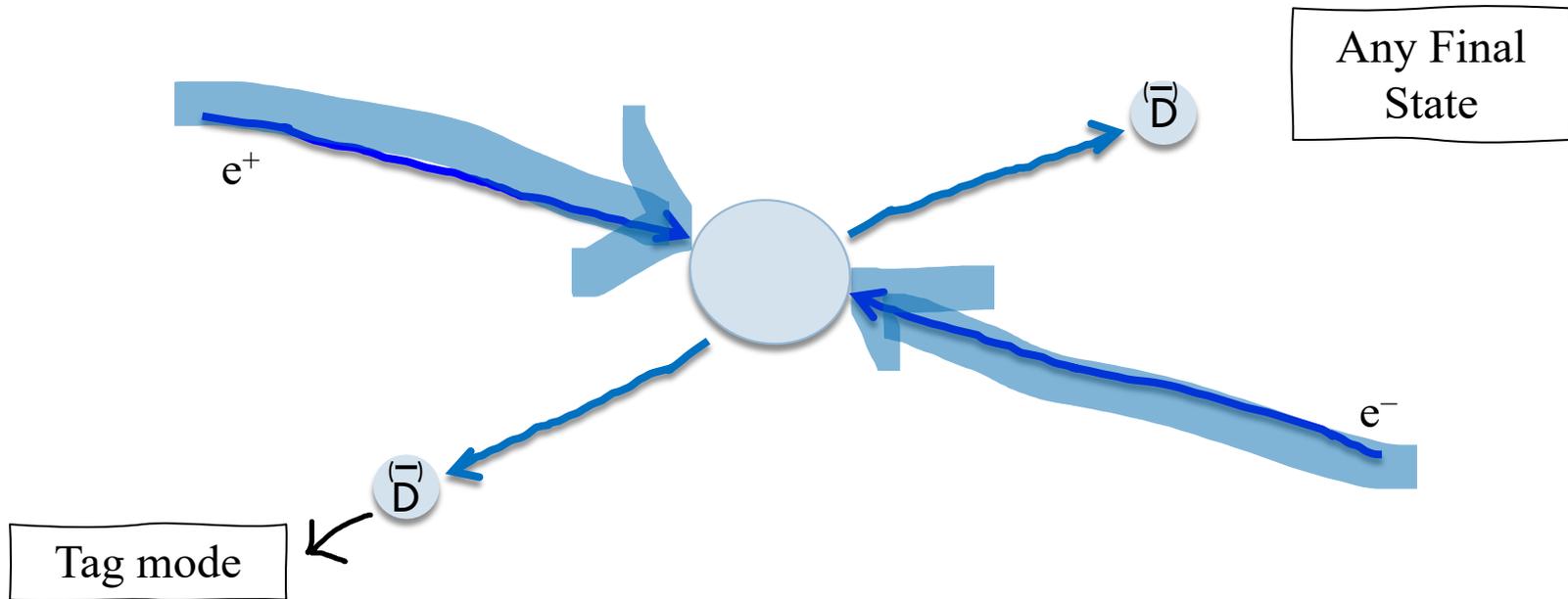


Unique dataset for open charm studies (used for the results in this talk):

$\sqrt{s}$ (GeV)	L (fb <sup>-1</sup> )	
<b>3.773</b>	<b>2.93</b>	<b><math>D\bar{D}</math></b>
<b>4.178</b>	<b>3.19</b>	<b><math>D_s D_s^*</math></b>

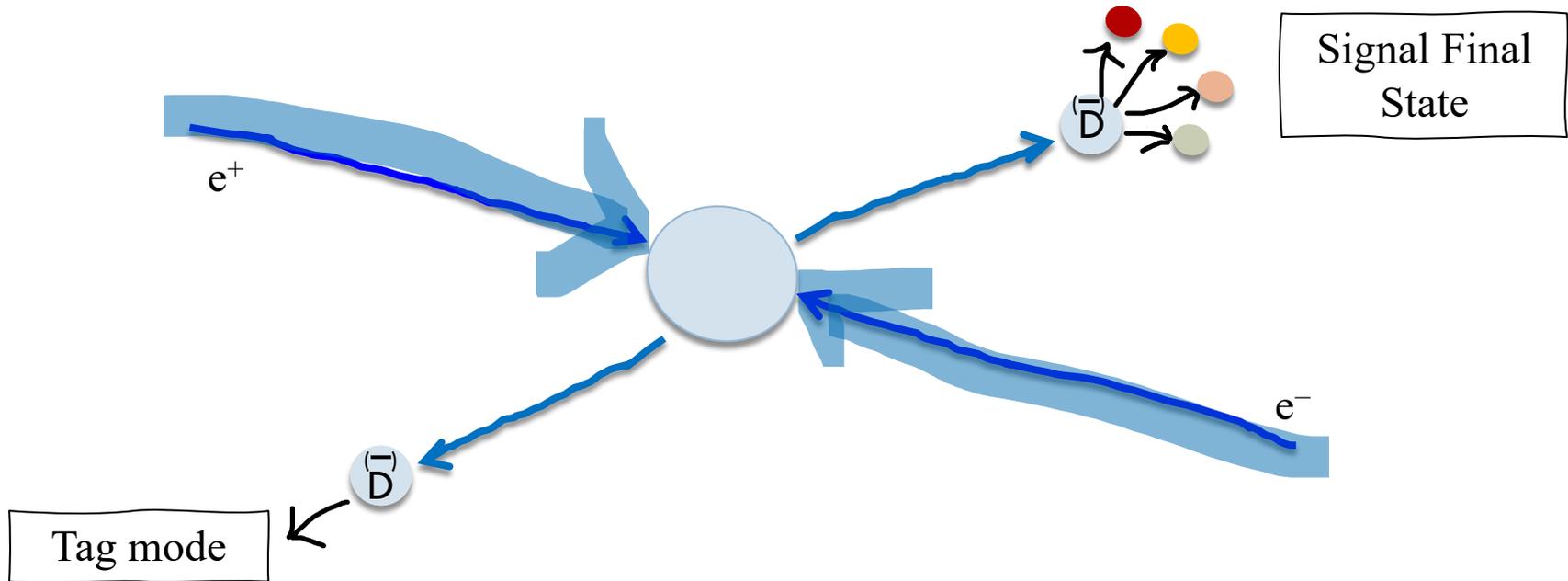
quantum  
 correlated  
 state

# Single tag and Double tag method



Single tag: 
$$\mathcal{B}(D \rightarrow f) = \frac{N_{sig}}{2 \cdot N_{D\bar{D}} \cdot \epsilon \cdot \mathcal{B}}$$
 Channels with low background, ...

# Single tag and Double tag method

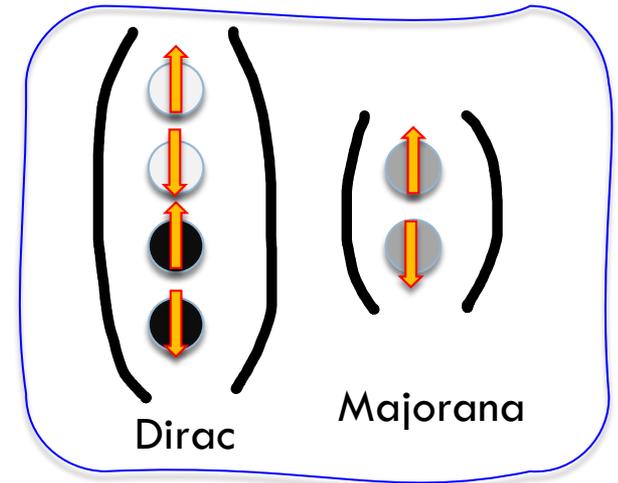


Single tag:  $\mathcal{B}(D \rightarrow f) = \frac{N_{sig}}{2 \cdot N_{D\bar{D}} \cdot \epsilon \cdot \mathcal{B}}$  Channels with low background, ...

Double tag:  $\mathcal{B}(D \rightarrow f) = \frac{N_{sig,DT}}{N_{ST} \cdot \epsilon \cdot \mathcal{B}}$  Channels with high background, undetected particles, ...

# Search for LN Violation in $D$ decays

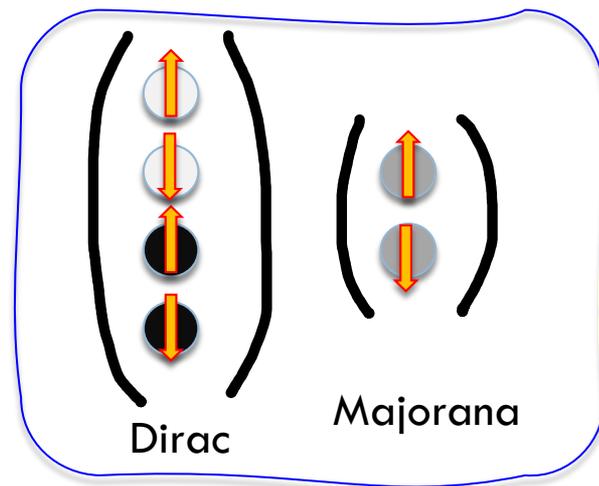
- Lepton Number (LN) is conserved in Standard Model (SM)
  - $\nu$  massless LH particle
- Experimental evidence that  $m_\nu \neq 0$ 
  - New Physics scenario
- $\nu$  nature: Dirac or Majorana particle?
- Majorana neutrino can be manifested through the processes violating LN by two units:  $\Delta L = 2$



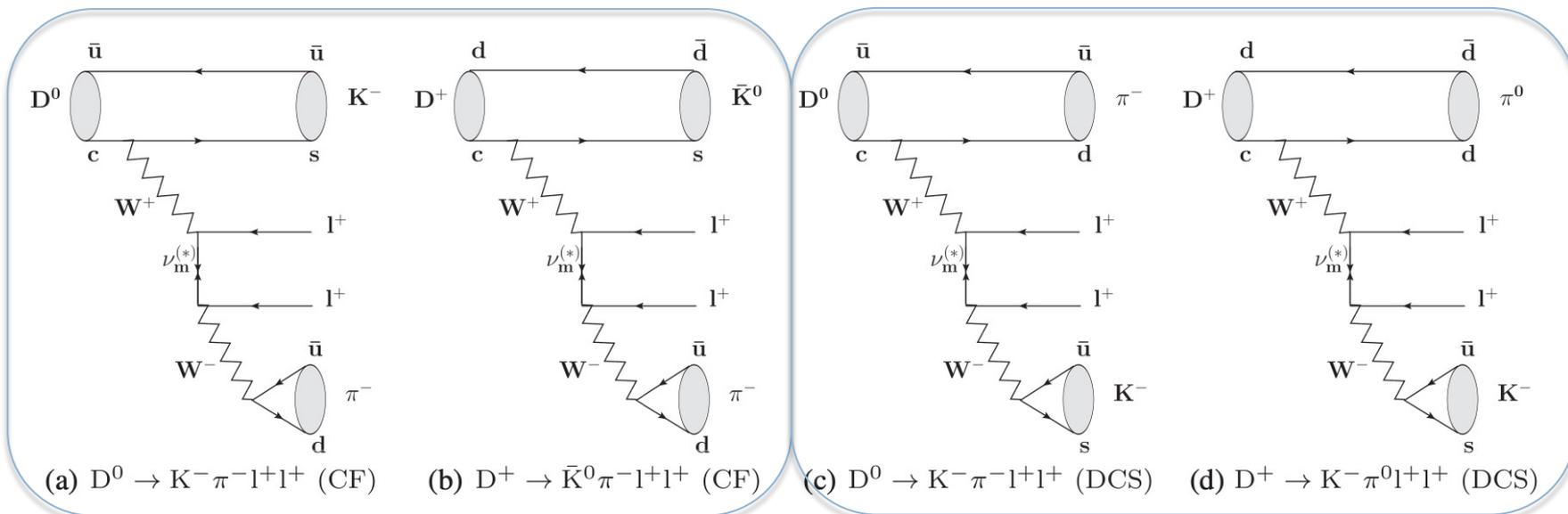
# Search for LN Violation in D decays

PRD 99, 112002 (2019)

- Lepton Number (LN) is conserved in Standard Model (SM)
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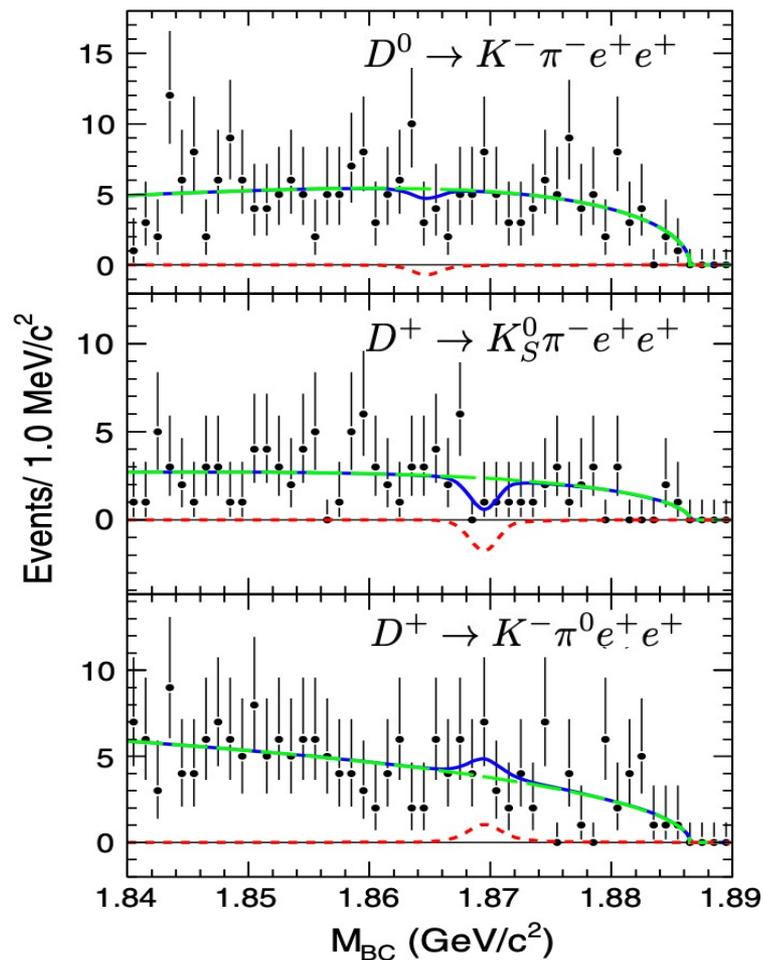
➤ @ BESIII, using  $2.93 \text{ fb}^{-1}$  at  $\sqrt{s}=3.773 \text{ GeV}$ :



# Search for LN Violation in D decays

PRD 99, 112002 (2019)

## ➤ Single tag method



$$\Delta E = E_D - E_{beam}$$

$$M_{BC} = \sqrt{E_{beam}^2 - |\vec{p}_d|^2}$$

90% Confidence level

Channels	Upper limits
$D^0 \rightarrow K^- \pi^- e^+ e^+$	$< 2.8 \times 10^{-6}$ (*)
$D^+ \rightarrow K_S^0 \pi^- e^+ e^+$	$< 3.3 \times 10^{-6}$
$D^+ \rightarrow K^- \pi^0 e^+ e^+$	$< 8.5 \times 10^{-6}$ (*)

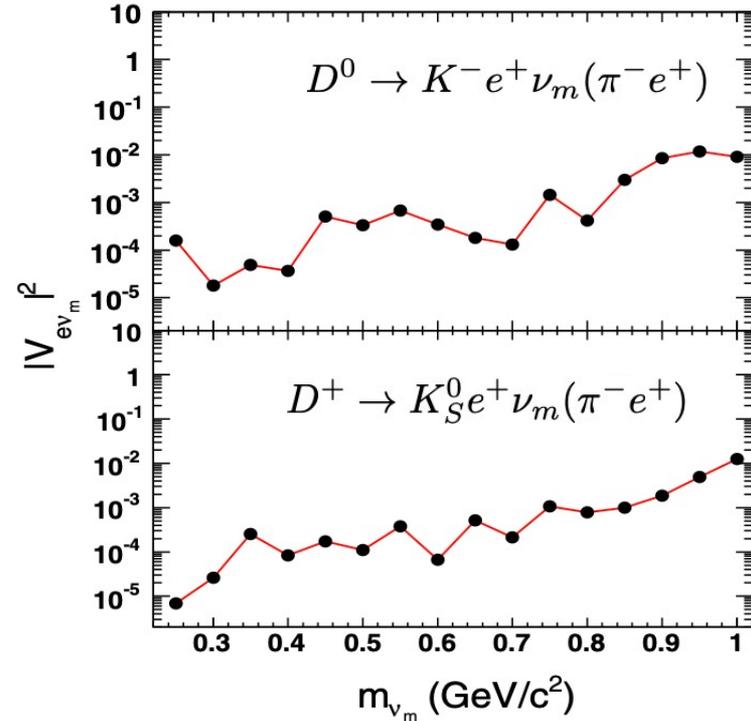
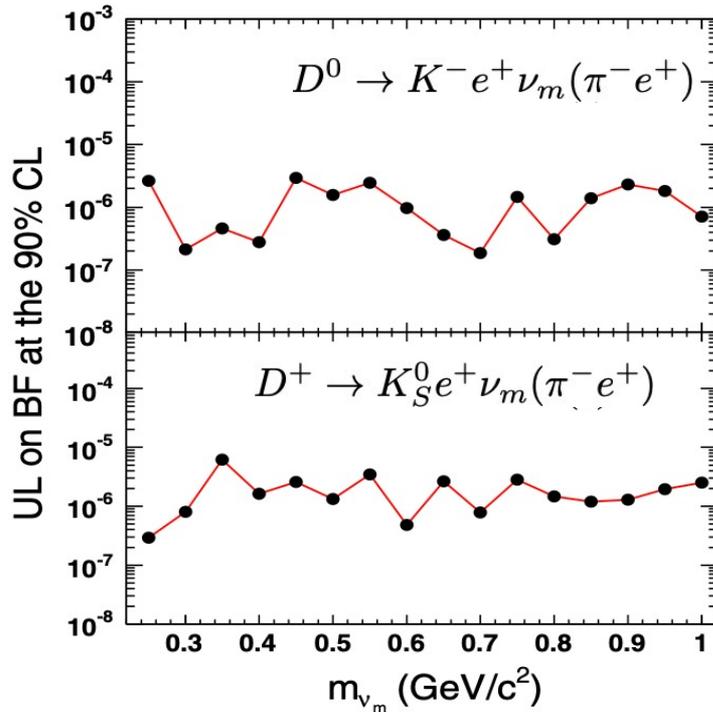
*best limits on these channels up to now!!!*

(\*) from E791 Collaboration [PRL86, 3969 (2001)  $BR^{UL} \sim 10^{-4} - 10^{-5}$ ]

# Search for LN Violation in D decays

PRD 99, 112002 (2019)

- **Single tag method**
- Search for Majorana neutrino in the decay chains  $D^0 \rightarrow K^- e^+ \nu_m (\pi^- e^+)$ ,  $D^+ \rightarrow K_S^0 e^+ \nu_m (\pi^- e^+)$



$$\frac{\Gamma(m_{\nu_m}, V_{e\nu_m}(m_{\nu_m}))}{\Gamma(m_{\nu_m}, V'_{e\nu_m}(m_{\nu_m}))} = \frac{|V_{e\nu_m}(m_{\nu_m})|^4}{|V'_{e\nu_m}(m_{\nu_m})|^4}$$

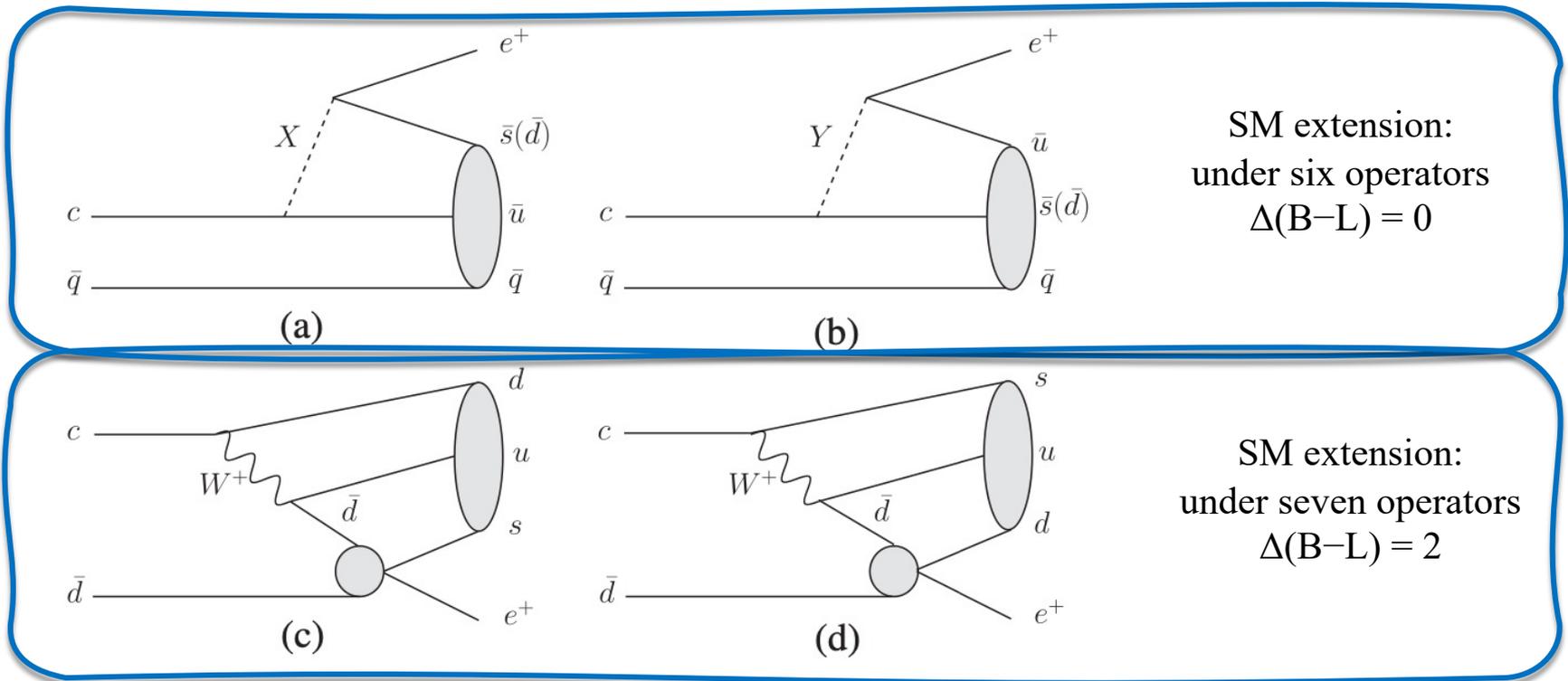
PRD71,077901

JHEP05,030  
PRD93,094026  
CP C39,013101

# Search for BN Violation in D decays

- The excess of baryons over antibaryons in the Universe suggests the existence of baryon number violating (BNV) processes
- BNV described in SM extensions and GUTs
- Prediction of BR of  $D^+ \rightarrow \bar{\Lambda} \ell^+$  no more than  $10^{-29}$  (PRD 72,095001)

Hint of NP



These decays amplitudes may be comparable (PRD 72,095001)

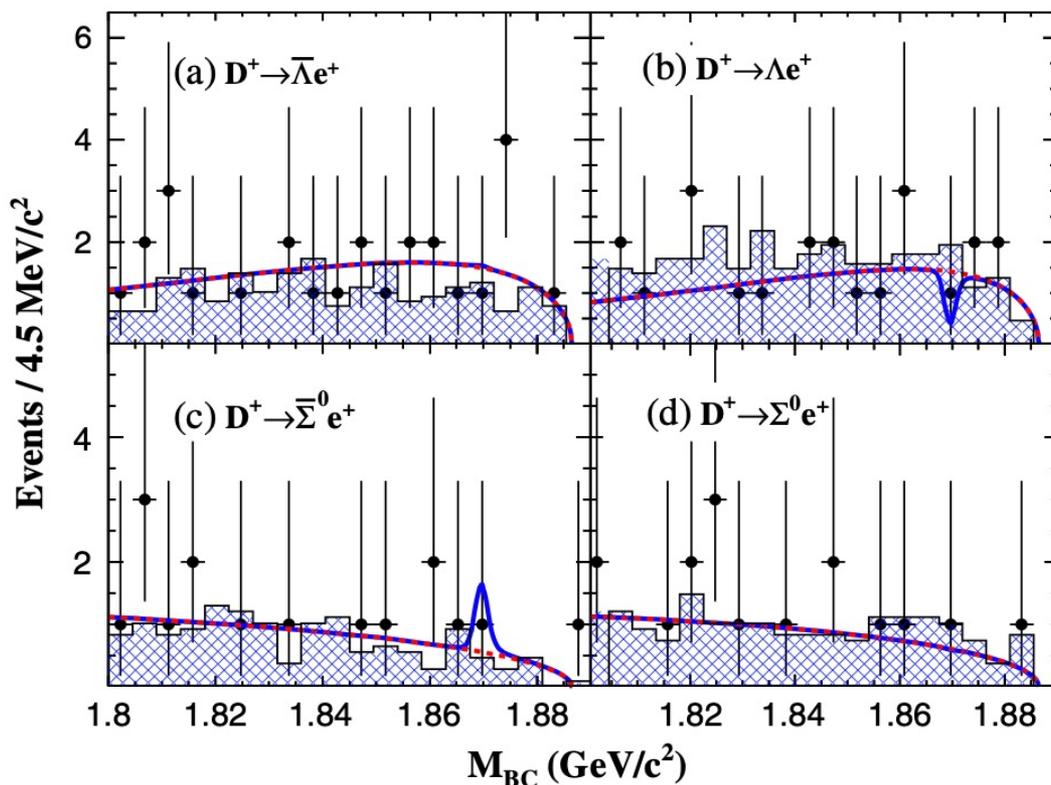
# Search for BN Violation in D decays

PRD 101, 031102 (2019)

➤ 2.93 fb<sup>-1</sup> at  $\sqrt{s}=3.773$  GeV

➤ Single tag

➤  $D^+ \rightarrow \bar{\Lambda}e^+$ ,  $D^+ \rightarrow \bar{\Sigma}^0e^+$  ( $\Delta(B-L) = 0$ )     $D^+ \rightarrow \Lambda e^+$ ,  $D^+ \rightarrow \Sigma^0e^+$  ( $\Delta(B-L) = 2$ )



$$\Delta E = E_D - E_{beam}$$

$$M_{BC} = \sqrt{E_{beam}^2 - |\vec{p}_d|^2}$$

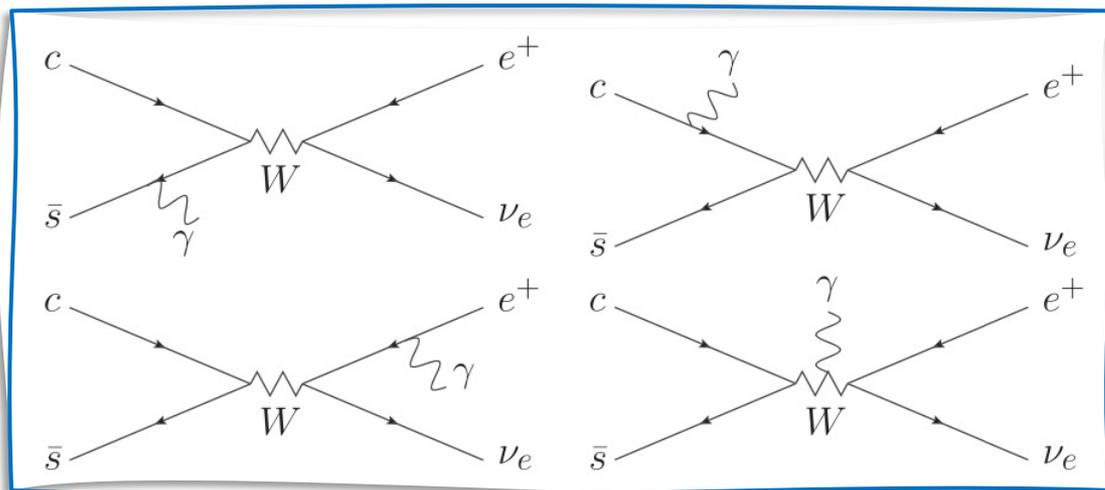
Decay mode	B <sup>UL</sup> (90% CL)
$\Lambda e^+$	$1.1 \times 10^{-6}$
$\bar{\Lambda} e^+$	$6.5 \times 10^{-7}$
$\Sigma^0 e^+$	$1.7 \times 10^{-6}$
$\bar{\Sigma}^0 e^+$	$1.3 \times 10^{-6}$

*first time searches*

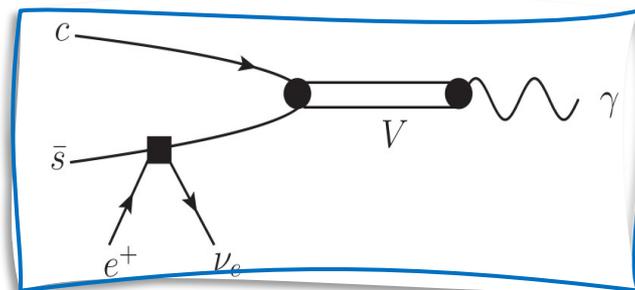
# Search for $D_s^+ \rightarrow \gamma e^+ \nu_e$ radiative decays

PRD 99, 072002 (2019)

- $P \rightarrow l^+ \nu_l$  decays are helicity suppressed
- Radiative decays avoid helicity suppression
  - $B(D^+ \rightarrow \gamma e^+ \nu_e) < 3.0 \times 10^{-5}$  (Cabibbo suppressed): PRD 95, 071102 (2017)
- $D_s^+ \rightarrow \gamma e^+ \nu_e$  is Cabibbo favored process
- BF is predicted to be of the order of  $10^{-4} - 10^{-5}$  in the light front quark model (MPL A15, 2087, PLB 562, 75)



Tree-level Feynman diagrams contribution



Long distance contribution described by the VDM model (MPL A27,1250120)

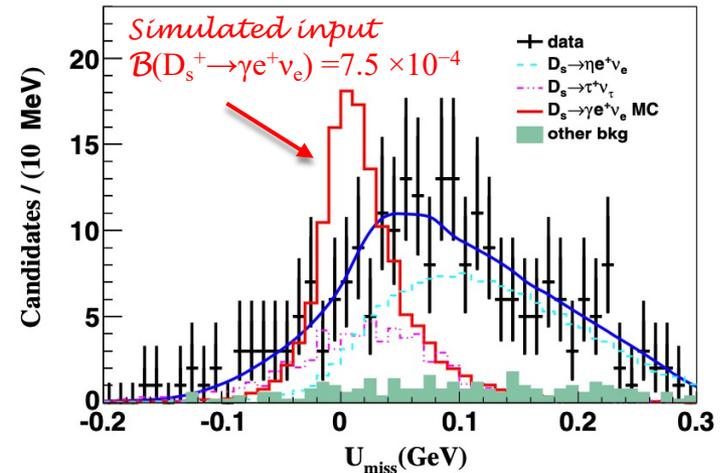
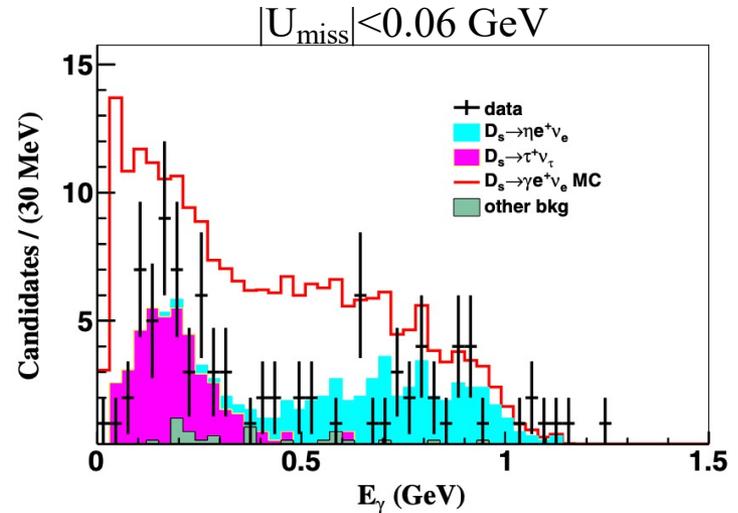
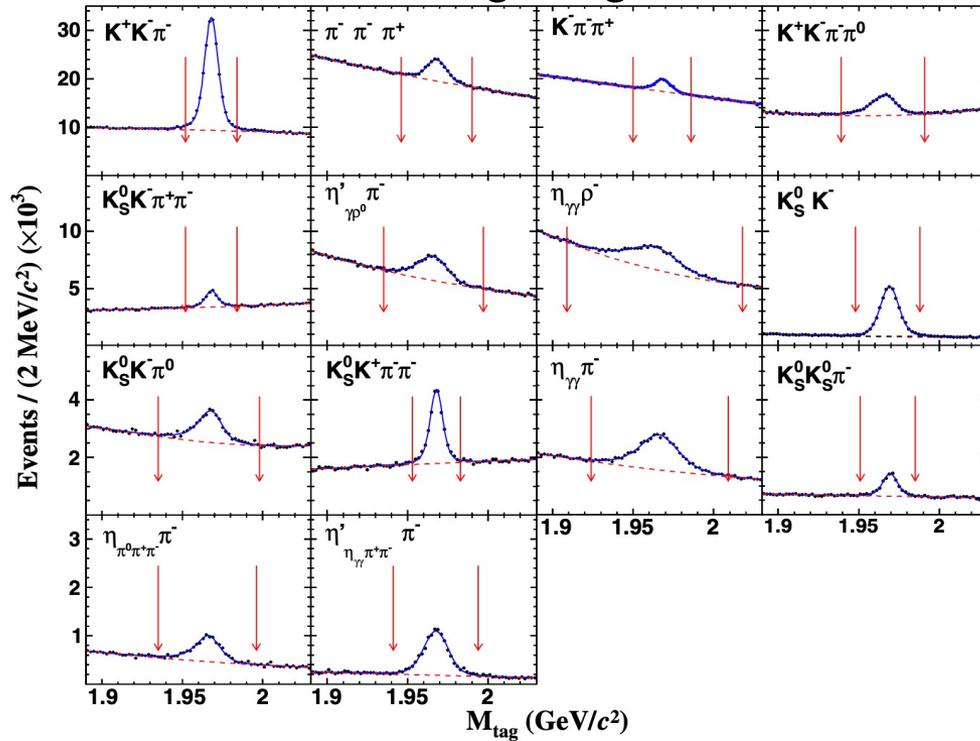
**BF of the order of  $10^{-3}$**

# Search for $D_s^+ \rightarrow \gamma e^+ \nu_e$ radiative decays

PRD 99, 072002 (2019)

- **3.19 fb<sup>-1</sup> at  $\sqrt{s}=4.178$  GeV**
- **Double tag + Blind analysis**

## Single Tag



$$\mathcal{B}(D_s^+ \rightarrow \gamma e^+ \nu_e) < 1.3 \times 10^{-4} @ 90\% \text{ C.L.}$$

# Singly CS decays $D \rightarrow \omega \pi \pi$

PRD 102, 052003 (2020)

- Multibody hadronic decays important to understand the decay dynamics of both strong and weak interaction
- Important input to the beauty sector for SM predictions
- **2.93 fb<sup>-1</sup> at  $\sqrt{s}=3.773$  GeV**
- **Double tag**

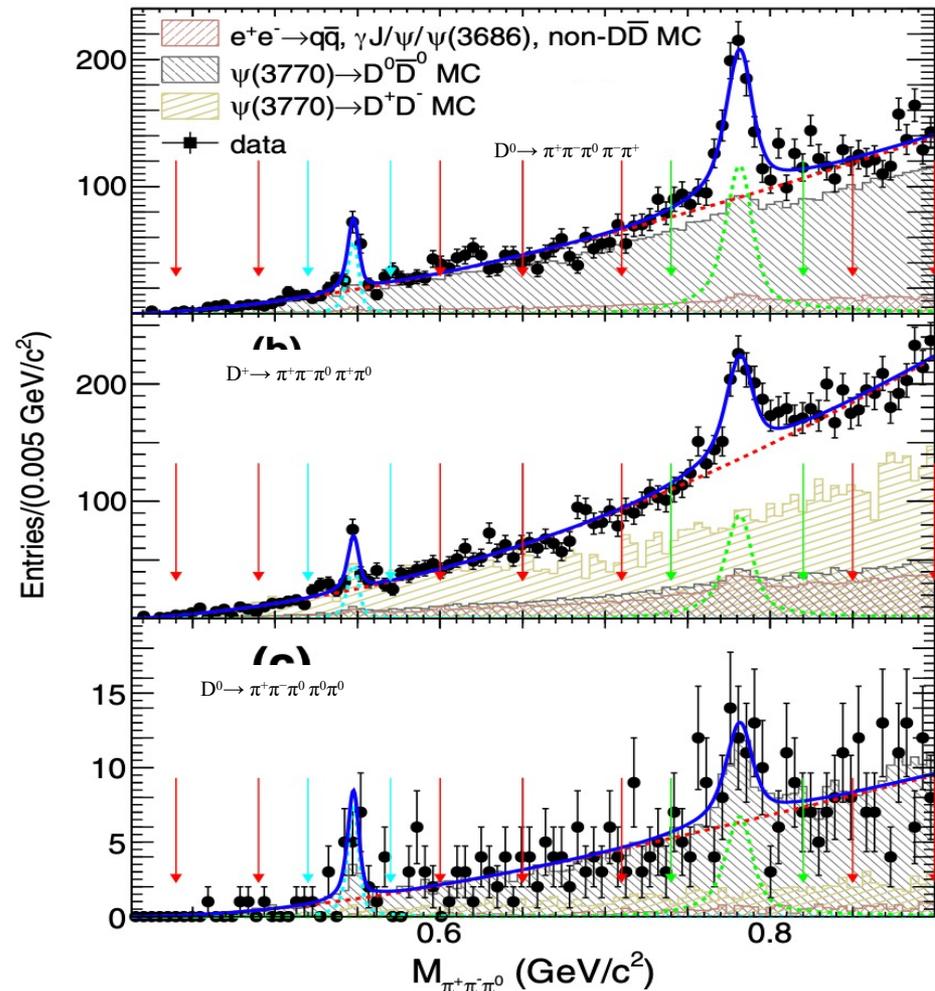
ST:

- $D^0 \rightarrow K^+ \pi^-, K^+ \pi^- \pi^0, K^+ \pi^- \pi^+ \pi^-$
- $D^- \rightarrow K^- \pi^+ \pi^-, K^+ \pi^- \pi^- \pi^0, K_S \pi^-, K_S \pi^- \pi^0, K_S \pi^- \pi^+ \pi^-, K^+ \pi^- \pi^-$

DT:

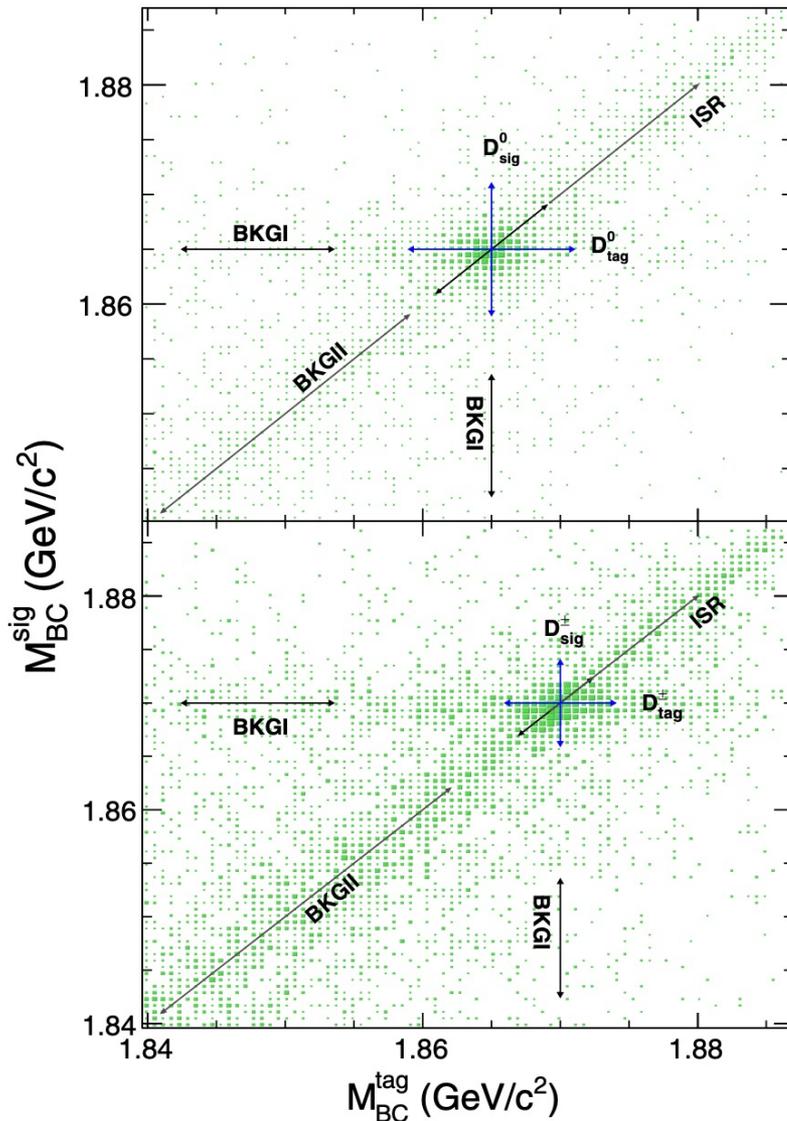
- $D^0 \rightarrow \pi^+ \pi^- \pi^0 \pi^- \pi^+, \pi^+ \pi^- \pi^0 \pi^0 \pi^0$
- $D^+ \rightarrow \pi^+ \pi^- \pi^0 \pi^+ \pi^0$

Clear  $\omega$  and  $\eta$  signal



# Singly CS decays $D \rightarrow \omega \pi \pi$

PRD 102, 052003 (2020)



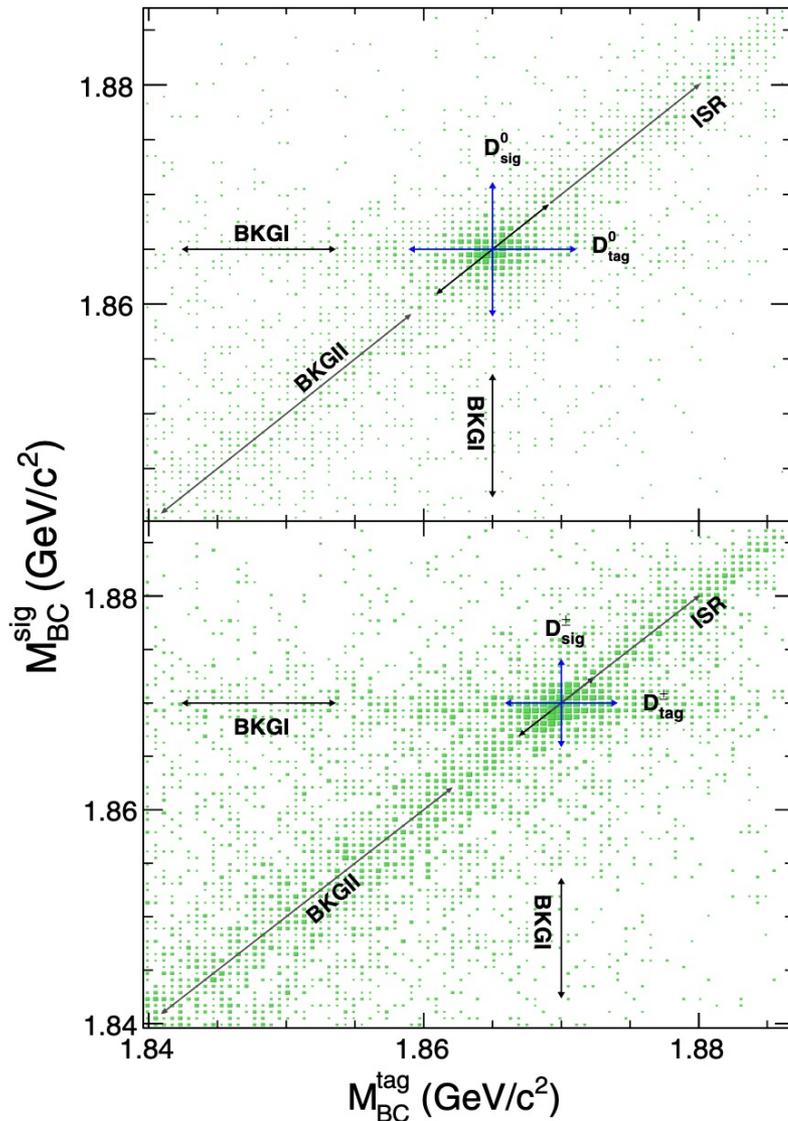
2D unbinned maximum likelihood fit is performed to  $M_{BC}^{\text{sig}}$  and  $M_{BC}^{\text{tag}}$  in the signal region

$$N_{DT}^{\text{sig}} = N_{SG}^{\omega/\eta} - f \cdot N_{SB}^{\omega/\eta} - N_{\text{peak}}^{\text{BKG V}}$$

- BKG I: only one of the two D mesons are incorrectly reconstructed
- BKG II:  $e^+e^- \rightarrow q\bar{q}$
- BKG III: PHSP

# Singly CS decays $D \rightarrow \omega \pi \pi$

PRD 102, 052003 (2020)



2D unbinned maximum likelihood fit is performed to  $M_{BC}^{\text{sig}}$  and  $M_{BC}^{\text{tag}}$  in the signal region

$$N_{DT}^{\text{sig}} = N_{SG}^{\omega/\eta} - f \cdot N_{SB}^{\omega/\eta} - N_{\text{BKGV peak}}$$

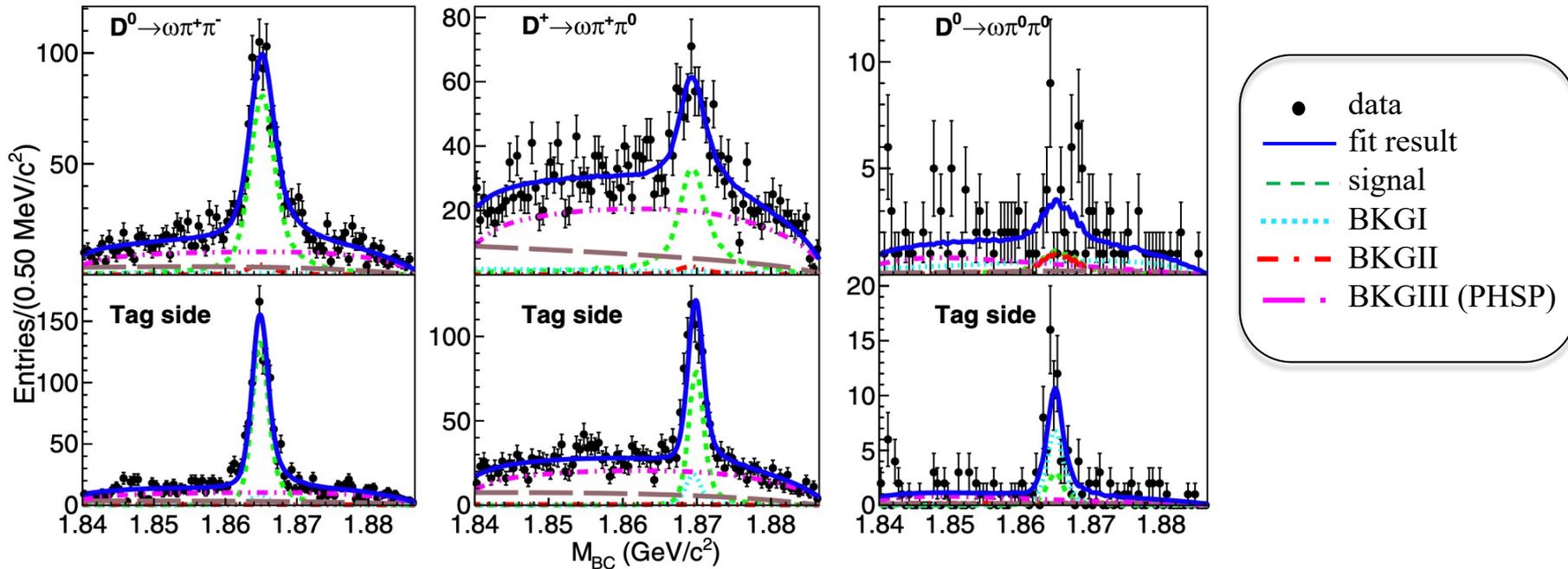
Bkg with same final states but without  $\omega/\eta$  (from sidebands)

Small peaking bkg from CF processes  $D^0 \rightarrow K_S^0 \omega/\eta$  (from events surviving  $K_S^0$  mass veto)

- BKG I: only one of the two D mesons are incorrectly reconstructed
- BKG II:  $e^+e^- \rightarrow q\bar{q}$
- BKG III: PHSP

# Singly CS decays $D \rightarrow \omega \pi \pi$

PRD 102, 052003 (2020)



Improved BF measurement

**First measurement**

No evidence is found

Consistent with previous results  
(PRD101,052009; PLB781,368)

Decay mode	$\mathcal{B}^{\text{sig}} (\times 10^{-3})$	$\mathcal{B}_{\text{PDG}} (\times 10^{-3})$
$D^0 \rightarrow \omega \pi^+ \pi^-$	$1.33 \pm 0.16 \pm 0.12$	$1.6 \pm 0.5$
$D^+ \rightarrow \omega \pi^+ \pi^0$	$3.87 \pm 0.83 \pm 0.25$	...
$D^0 \rightarrow \omega \pi^0 \pi^0$	$< 1.10$	...
$D^0 \rightarrow \eta \pi^+ \pi^-$	$1.06 \pm 0.18 \pm 0.07$	$1.09 \pm 0.16$
$D^+ \rightarrow \eta \pi^+ \pi^0$	$2.47 \pm 0.93 \pm 0.16$	$1.38 \pm 0.35$
$D^0 \rightarrow \eta \pi^0 \pi^0$	$< 2.38$	$0.38 \pm 0.13$

# Doubly CS decays $D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0$

PRL 125, 141802 (2020)

- Doubly Cabibbo-Suppressed (DCS) decays of charmed hadrons relatively unexplored
- DCS/CF is of the order of  $\tan^4\theta_C \sim 0.29\%$

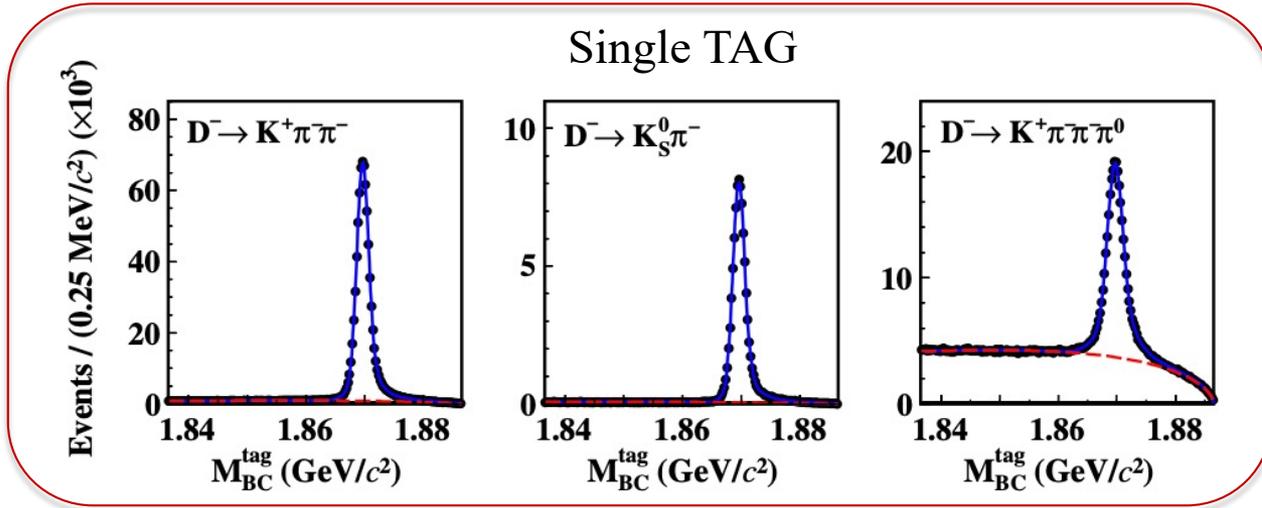
\*<https://pdg.lbl.gov/2020/tables/rpp2020-sum-mesons.pdf>

DCS decays	BF( $\times 10^{-4}$ )	CF decays	BF( $\times 10^{-2}$ )	Ratio( $\times 10^{-3}$ )
$D^0 \rightarrow K^+ \pi^-$	$1.50 \pm 0.07$	$D^0 \rightarrow K^- \pi^+$	$3.95 \pm 0.03$	$3.8 \pm 0.18$
$D^0 \rightarrow K^+ \pi^- \pi^0$	$3.06 \pm 0.15$	$D^0 \rightarrow K^- \pi^+ \pi^0$	$14.4 \pm 0.5$	$2.12 \pm 0.13$
$D^0 \rightarrow K^+ \pi^- \pi^- \pi^+$	$2.65 \pm 0.06$	$D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$	$8.23 \pm 0.14$	$3.19 \pm 0.10$
$D^+ \rightarrow K^+ \pi^- \pi^+$	$4.91 \pm 0.09$	$D^+ \rightarrow K^- \pi^+ \pi^+$	$8.38 \pm 0.16$	$5.84 \pm 0.34$

- Study of  $D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0$  offers unique opportunity to search for  $D^+ \rightarrow K^+ \omega$  and to determine its BR
- Search for CP violation in DCS decays: even if it is expected to be very tiny it offers complementary information about CP violation in the charm sector
- **2.93 fb<sup>-1</sup> at  $\sqrt{s}=3.773$  GeV**
- **Double tag**

# Doubly CS decays $D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0$

PRL 125, 141802 (2020)

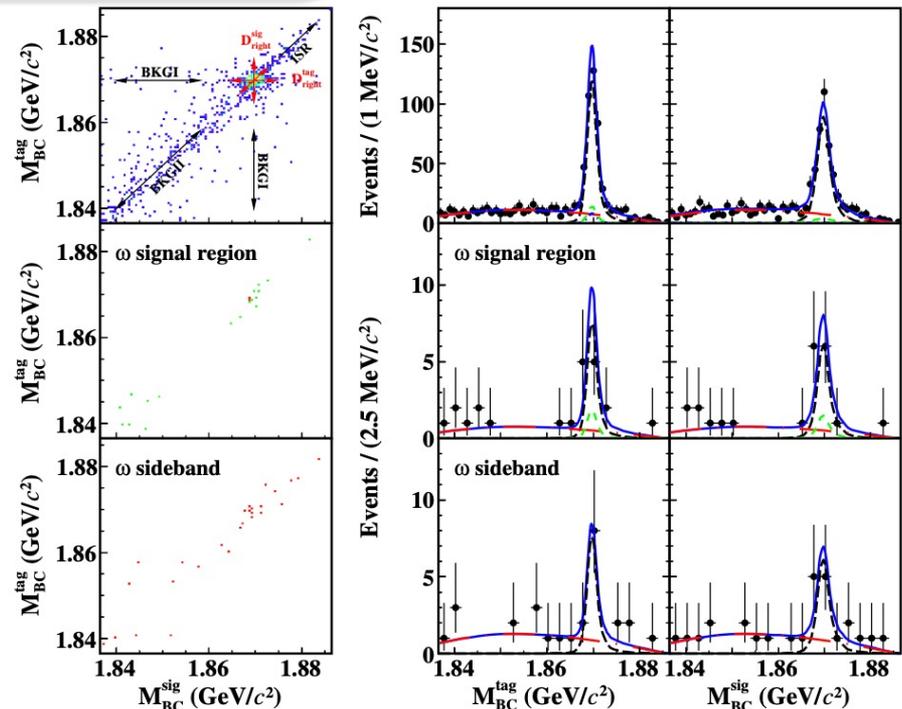


$$\Delta E = E_D - E_{\text{beam}}$$

$$M_{BC} = \sqrt{E_{\text{beam}}^2 - |\vec{p}_d|^2}$$

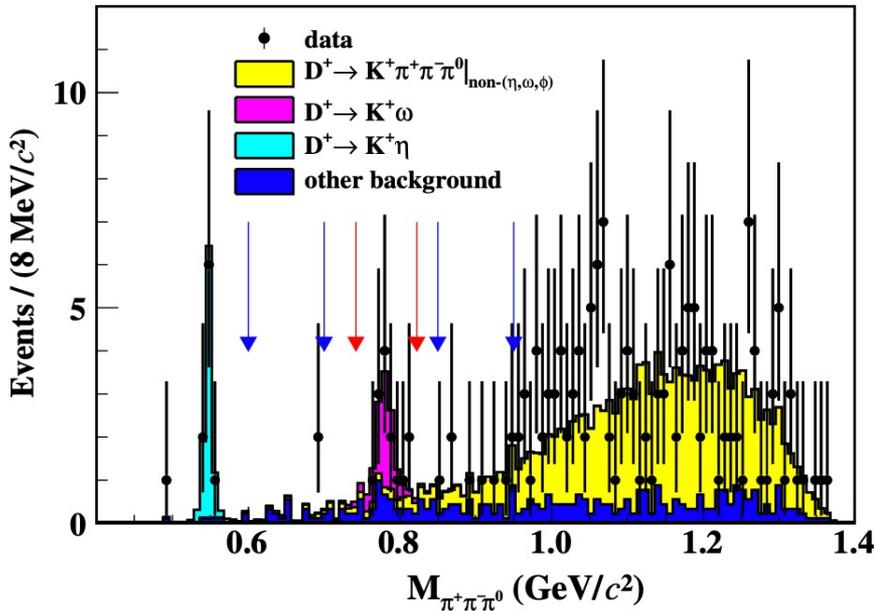
## Double TAG:

- $D^-$  in the tag side and  $D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0$  in the signal side
- 2D unbinned maximum likelihood fit to  $M_{BC}^{\text{tag}}$  and  $M_{BC}^{\text{sig}}$
- $D^+ \rightarrow K^+ \omega$  2D fit in the  $\omega$  signal and sideband regions



# Doubly CS decays $D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0$

PRL 125, 141802 (2020)



Decay mode	$\mathcal{B}_{\text{sig}}^* (\times 10^{-3})$
$D^\pm \rightarrow K^\pm \pi^\pm \pi^\mp \pi^0$	$1.13 \pm 0.08$
$D^\pm \rightarrow K^\pm \omega$	...
$D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0$	$1.17 \pm 0.11$
$D^- \rightarrow K^- \pi^- \pi^+ \pi^0$	$1.08 \pm 0.11$

\* without contributions from  $D^+ \rightarrow K^+ \omega, K^+ \eta, K^+ \phi$

- Evidence of  $D^+ \rightarrow K^+ \omega$

- $\text{BF}(D^+ \rightarrow K^+ \omega) = (5.7^{+2.5}_{-2.1} \pm 0.2) \times 10^{-5}$  ( $3.3\sigma$  of statistical significance)

- $\frac{\mathcal{B}(D^+ \rightarrow K^+ \pi^- \pi^+ \pi^0)}{\mathcal{B}(D^+ \rightarrow K^- \pi^+ \pi^+ \pi^0)} = (1.81 \pm 0.15)\% = (6.28 \pm 0.52) \tan^4 \theta_c$  significantly

larger than the values (0.21-0.58)% measured from the other DCS decays

- Amplitude analysis with more data are needed

- No evidence for CP violation:

$$\mathcal{A}_{CP}^{D^\pm \rightarrow K^\pm \pi^\pm \pi^\mp \pi^0} = \frac{\mathcal{B}(D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0) - \mathcal{B}(D^- \rightarrow K^- \pi^- \pi^+ \pi^0)}{\mathcal{B}(D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0) + \mathcal{B}(D^- \rightarrow K^- \pi^- \pi^+ \pi^0)} = -0.04 \pm 0.06 \pm 0.01$$

# Conclusions

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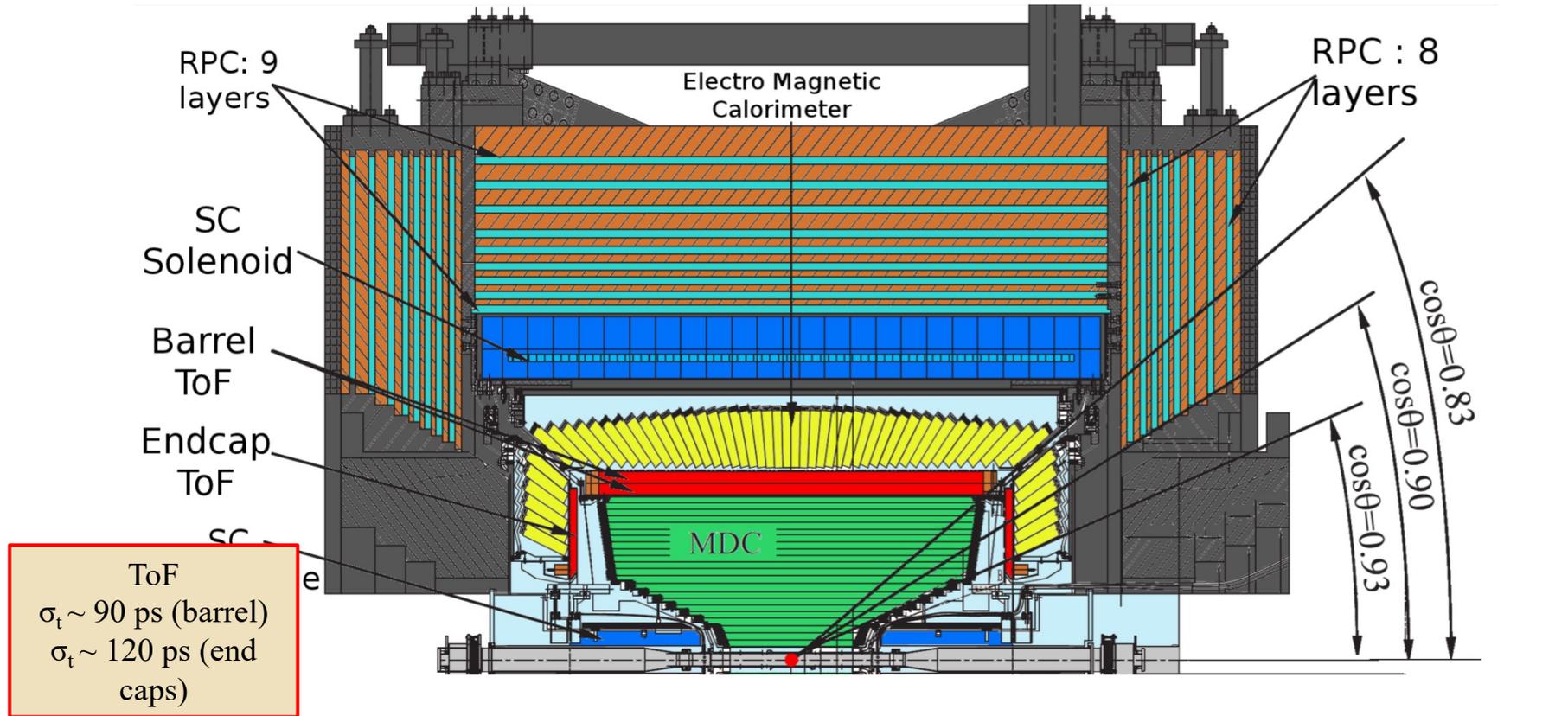
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- The world largest datasets collected by the BESIII experiment provide unique opportunity to study and search for rare and radiative charm decays
  - Single Tag and Double Tag techniques
  - Low backgrounds
- Covered in this talk:
  - Search for LN violation in D decays PRD **99**, 112002 (2019)
  - Search for BN Violation in D decays PRD **101**, 031102(2019)
  - Search for  $D_s^+ \rightarrow \gamma e^+ \nu_e$  radiative decays PRD **99**, 072002 (2019)
  - $D \rightarrow \omega \pi \pi$  singly CS decay PRD **102**, 052003 (2020)
  - $D^+ \rightarrow K^+ \pi^+ \pi^- \pi^0$  singly CS decay PRL **125**, 141802 (2020)
  - .... many other results shown in this Conference .....
- **New data @  $\psi(3770)$  ( $\sim 20 \text{ fb}^{-1}$ ) will be available**
  - New interesting results expected

*Back-up slides*

# The BESIII Detector

Nucl. Instr. Meth. A614, 345 (2010)



Drift Chamber  
 $\sigma_{r\phi} \sim 130$   $\mu\text{m}$  (single wire)  
 $\sigma_{pt}/p_t \sim 0.5$  % @ 1 GeV

Electromagnetic CsI(Tl) Calorimeter  
 $\sigma_E/E < 2.5$ % @ 1 GeV (barrel)  
 $\sigma_E/E < 5$ % @ 1 GeV (end caps)  
 $\sigma_{xy} \sim (6 \text{ mm})/E^{1/2}$  @ 1 GeV

RPC Muon Detector  
 $\Delta\Omega/4\pi=93$ %

# BESIII physics programme

## Light hadron physics

- Meson and baryon spectroscopy
- Multiquark states
- Threshold effects
- Glueballs and hybrids
- two-photon physics
- Form factors

## QCD and $\tau$

- Precision R measurement
- $\tau$  decay

## Charmonium physics

- Precision spectroscopy
- Transitions and decays

## XYZ meson physics

- $Y(4260)$ ,  $Y(4360)$  properties
- $Z_c(3900)^+$ , ...

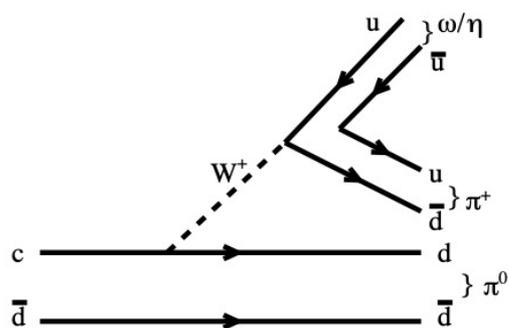
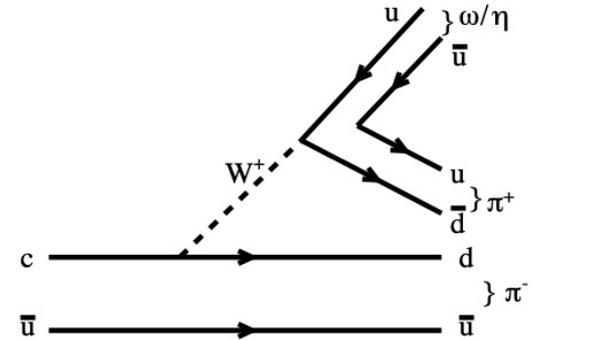
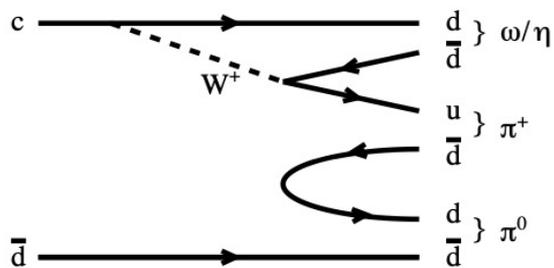
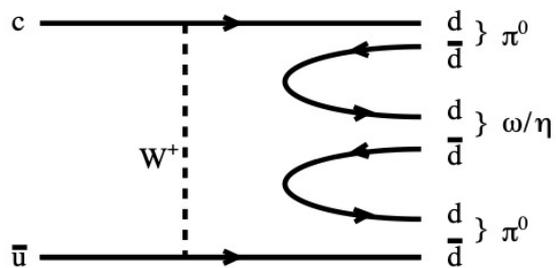
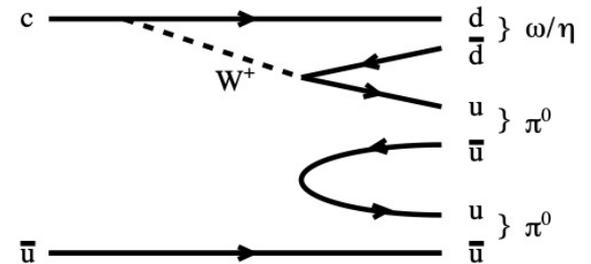
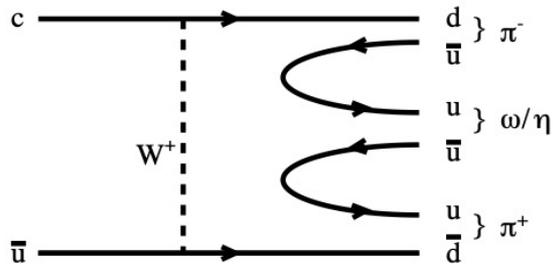
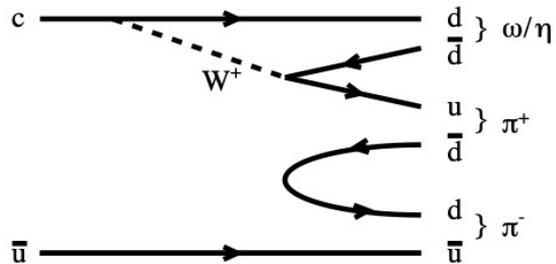
## Charm physics

- Semi-leptonic form factors
- Decay constants  $f_D$  and  $f_{D_s}$
- CKM matrix:  $|V_{cd}|$  and  $|V_{cs}|$
- $D^0$ - $\bar{D}^0$  mixing, CPV
- Strong phases

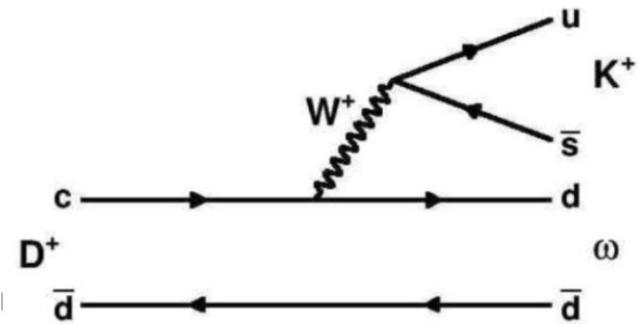
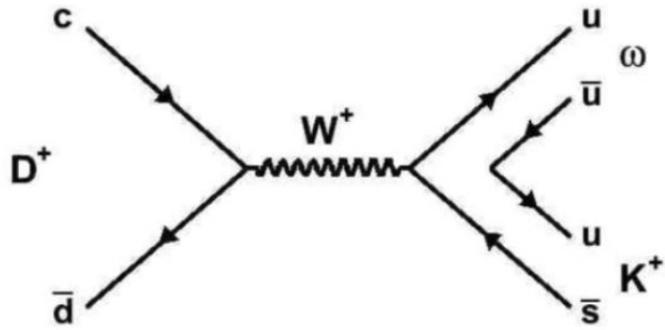
## Precision mass measurements

- $\tau$  mass
- $D$ ,  $D^*$  mass

# Single CS

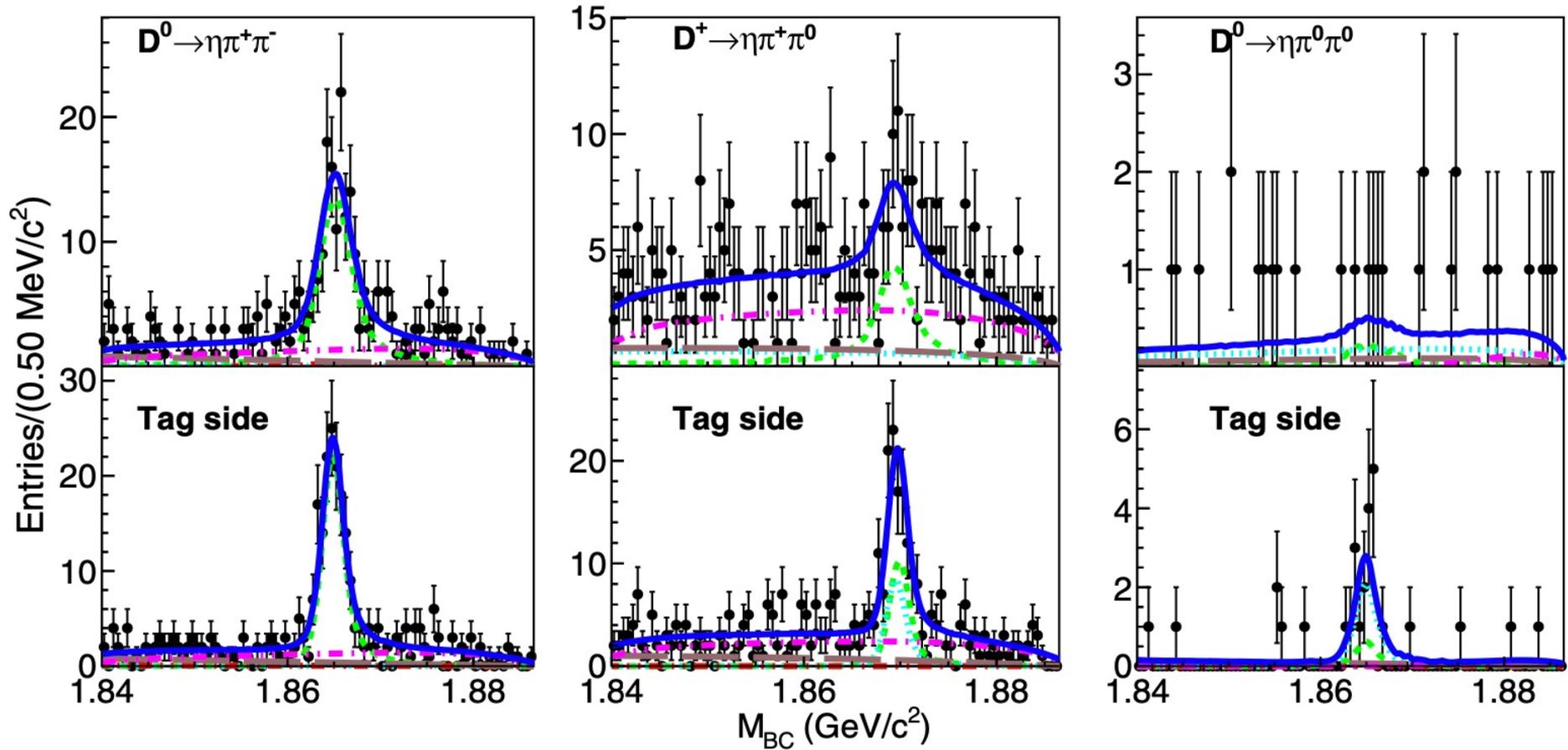


# Double CS



# Singly CS decays $D \rightarrow \eta \pi \pi$

PRD 102, 052003 (2020)

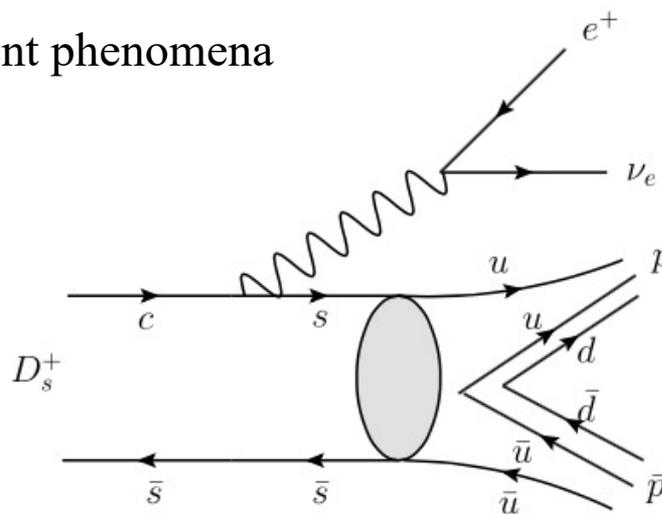


# Rare semi-leptonic $D$ decays

- Transition between charm meson and baryon pairs is still unexplored
- $D_s^+ \rightarrow p \bar{n}$  observed by CLEO (PRL **100**, 181802) and confirmed by BESIII (PRD **99**, 031101)
  - Observed BR of the order of  $10^{-3}$ ,
  - Predicted to be of the order of  $10^{-2}$  (PRL **45**, 1663)
- Promising candidate to study is  $D_s^+ \rightarrow p \bar{p} e^+ \nu_e$ 
  - BR prediction  $\sim 10^{-8}$ - $10^{-9}$  (PLB **780**, 100)
  - Important to study the near-threshold enhancement phenomena

Differential rate:

$$\frac{d\Gamma(D_s^+ \rightarrow X e^+ \nu_e)}{dq^2} = \frac{G_F^2 |V_{cs}|^2}{24\pi^3} p_X^3 |f_+(q^2)|^2$$

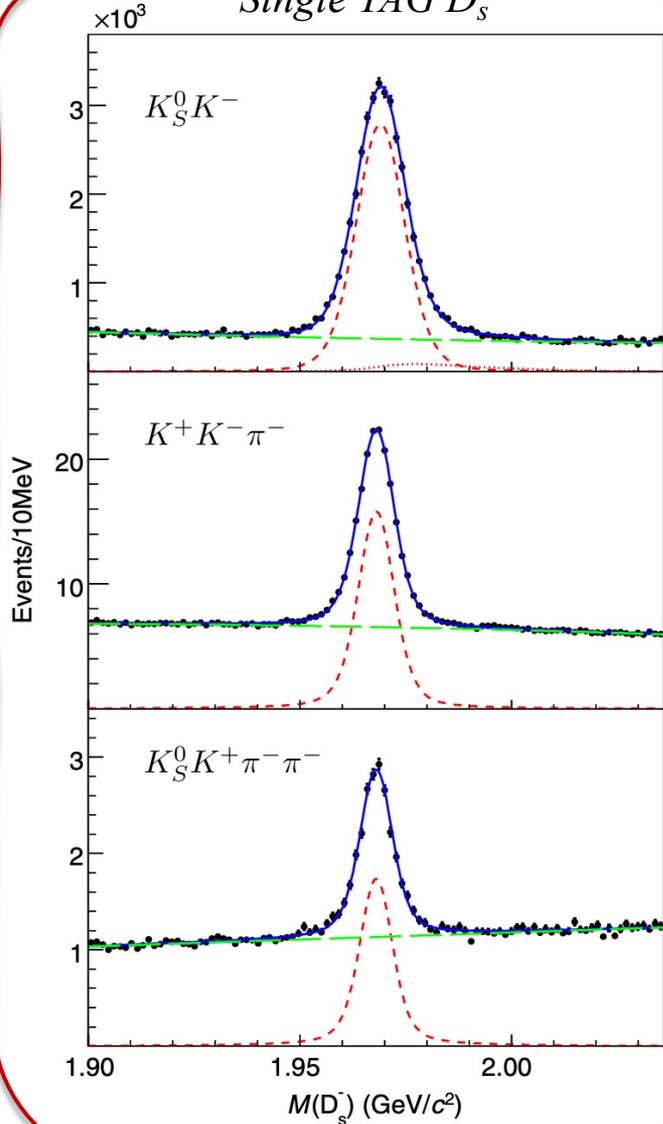


- **@ BESIII:  $3.19 \text{ fb}^{-1}$  at  $\sqrt{s}=4.178 \text{ GeV}$**
- **Double tag**

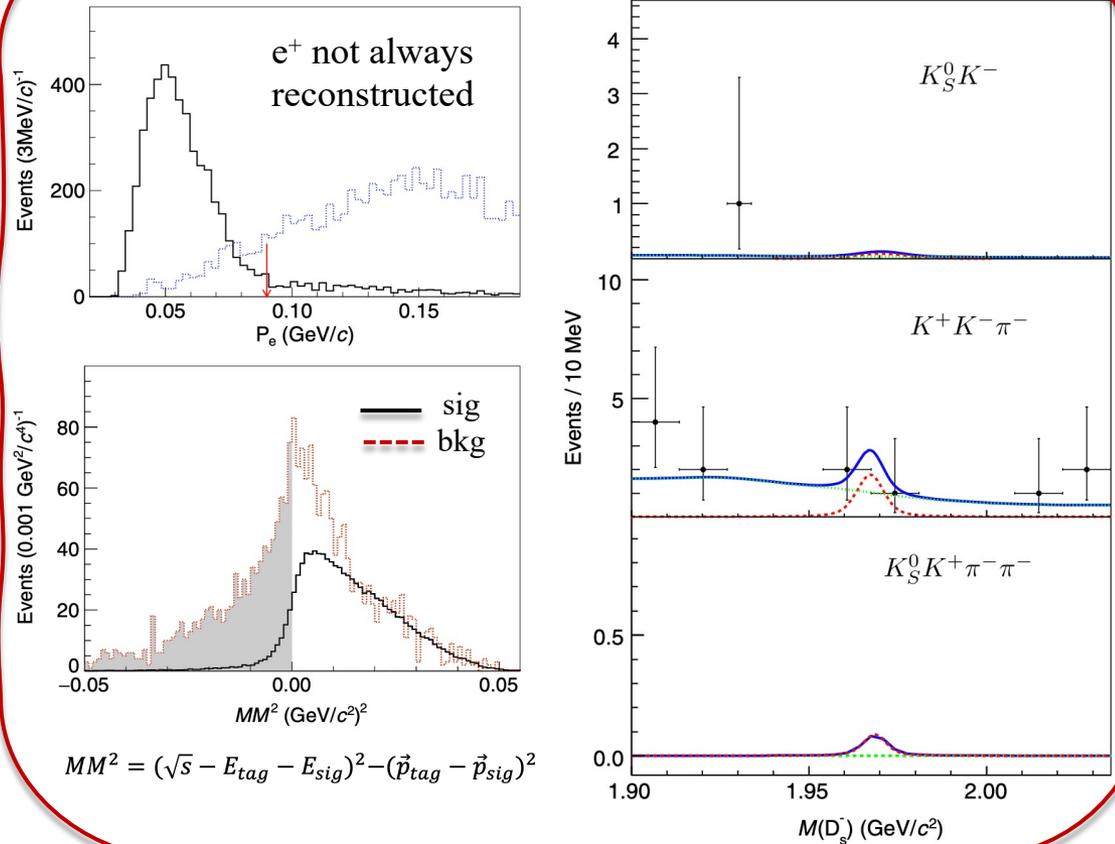
# $D_s^+ \rightarrow p\bar{p}e^+\nu_e$

PRD 100, 112008 (2019)

### Single TAG $D_s$



### Double TAG



$$\mathcal{B}(D_s^+ \rightarrow p\bar{p}e^+\nu_e) < 2.0 \times 10^{-4}$$

# Observation of $D^+ \rightarrow \omega \mu^+ \nu_\mu$

PRD 101, 072005 (2020)

➤ Test for Lepton Flavor Universality

➤  $\mathcal{R}^+ \equiv \mathcal{B}_{D^+ \rightarrow \pi^0 \mu^+ \nu_\mu} / \mathcal{B}_{D^+ \rightarrow \pi^0 e^+ \nu_e} = 0.964 \pm 0.037_{\text{stat}} \pm 0.026_{\text{syst}}$

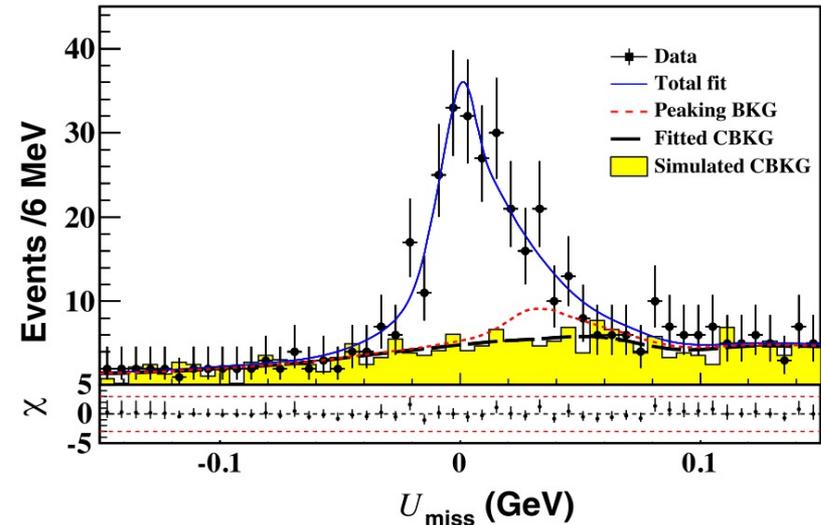
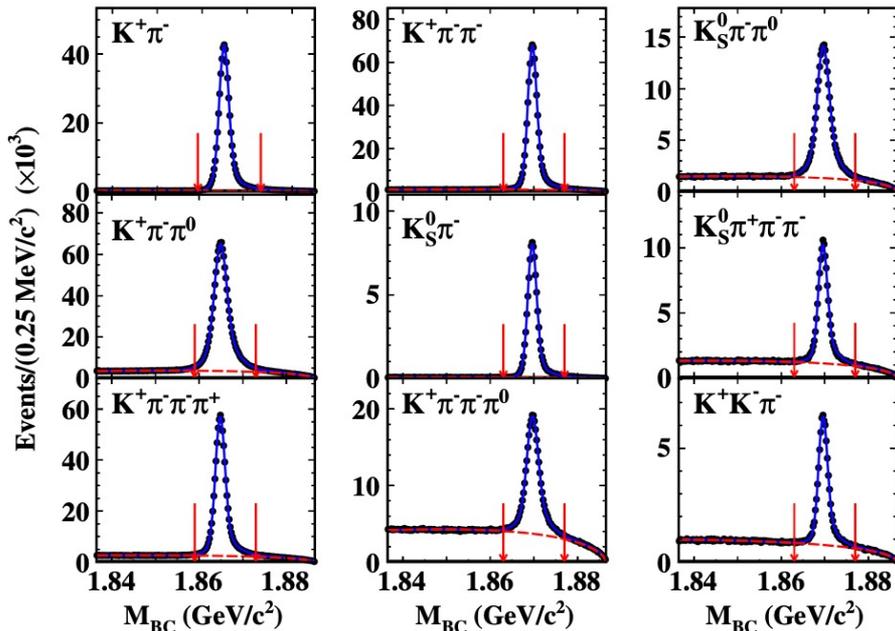
$\mathcal{R}^0 \equiv \mathcal{B}_{D^0 \rightarrow \pi^- \mu^+ \nu_\mu} / \mathcal{B}_{D^0 \rightarrow \pi^- e^+ \nu_e} = 0.922 \pm 0.030_{\text{stat}} \pm 0.022_{\text{syst}}$

} PRL 121, 171803  
(BESIII Coll.)

➤ 0.5 $\sigma$  and 1.7 $\sigma$  compatibility with expectations, respectively

➤  $D^+ \rightarrow \omega \mu^+ \nu_\mu \rightarrow$  @ BESIII: 2.93 fb<sup>-1</sup> at  $\sqrt{s}=3.773$  GeV for the first time

Single TAG



$$\mathcal{B}(D^+ \rightarrow \omega \mu^+ \nu_\mu) = (17.7 \pm 1.8 \pm 1.1) \times 10^{-4}$$

$$\frac{\mathcal{B}(D^+ \rightarrow \omega \mu^+ \nu_\mu)}{\mathcal{B}(D^+ \rightarrow \omega e^+ \nu_e)^{PDG}} = 1.05 \pm 0.14 \quad (\text{SM: } 0.93\text{-}0.99)$$