

# (Semi-) leptonic charmed meson decays at BESIII

**Huijing Li<sup>1</sup>**

**1. Henan Normal University  
(On behalf of BESIII Collaboration)**

**June 4<sup>th</sup> , 2021**



**The 10 th International Workshop  
on Charm Physics (CHARM 2020)**

**May 31th – June 4 th, 2021, Mexico.**

# Outline

## ➤ Introduction

## ➤ Pure leptonic decay

- $D_s^+ \rightarrow \tau^+ \nu_\tau$ 
  - $\tau^+ \rightarrow e^+ \nu_e \bar{\nu}_\tau$
  - $\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}_\tau$
  - $\tau^+ \rightarrow \pi^+ \bar{\nu}_\tau$
- $D_s^+ \rightarrow \mu^+ \nu_\mu$

BESIII Preliminary

[arXiv: 2105.07178 \[hep-ex\]](#)

[arXiv: 2102.11734 \[hep-ex\]](#)

## ➤ Semi-leptonic decay

- $D^+ \rightarrow \eta \mu^+ \nu_\mu$
- $D^+ \rightarrow \bar{K}_1(1270)^0 e^+ \nu_e$
- $D^0 \rightarrow K_1(1270)^- e^+ \nu_e$
- $D_s^+ \rightarrow X e^+ \nu_e$

[PRL124\(2020\)231801](#)

[PRL123\(2019\)231801](#)

[arXiv: 2102.10850 \[hep-ex\]](#)

[arXiv: 2104.07311 \[hep-ex\]](#)

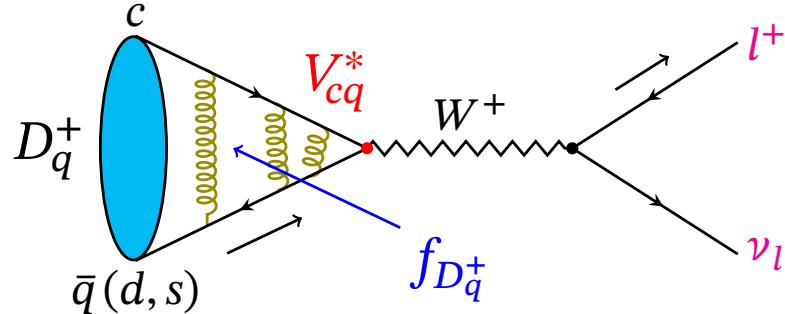
## ➤ Summary

# Main goals

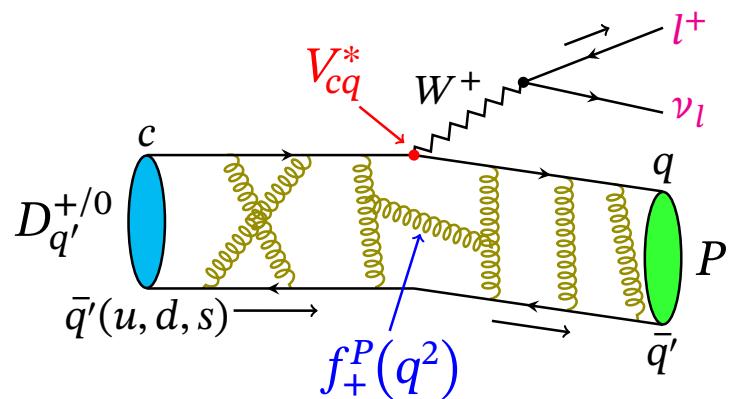
Please see Prof. Shen's talk

In the SM:

$D_{(s)}$  pure leptonic decay



$D_{(s)}$  semi-leptonic decay



$$\Gamma(D_{(s)}^+ \rightarrow l^+ \nu_l) \propto |f_{D_{(s)}^+}|^2 \cdot |V_{cd(s)}|^2$$

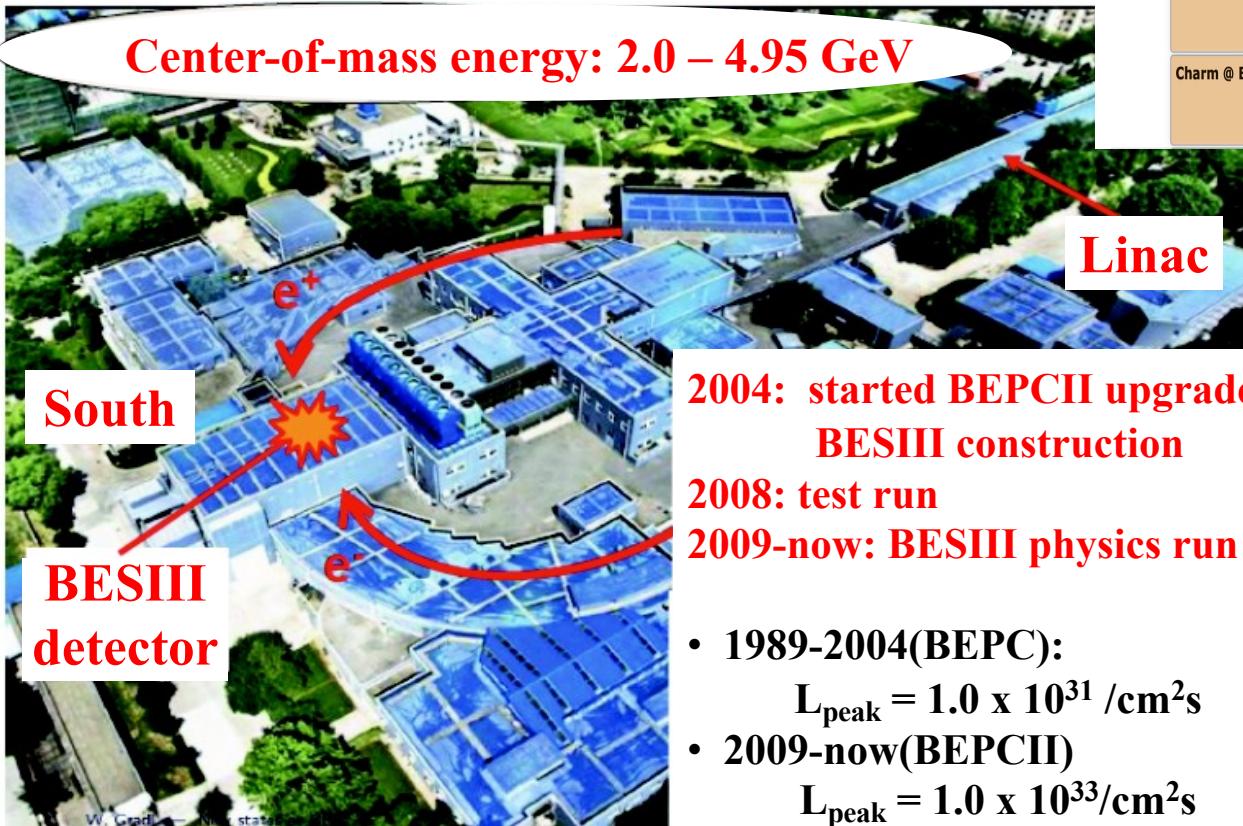
$$\Gamma(D_{(s)} \rightarrow P l^+ \nu_l) \propto |f_+(q^2)|^2 \cdot |V_{cd(s)}|^2$$

- ❖ Decay constant  $f_{D_{(s)}^+}$ , form factor  $f_+(0)$ : calibrate Lattice QCD
- ❖ CKM matrix element  $|V_{cd(s)}|$ : test the unitarity of the CKM matrix
- ❖ Lepton flavor universality (LFU) test.

# Beijing Electron Positron Collider (BEPCCII) in China

Please see Prof. Shen's talk

A double-ring collider with high luminosity



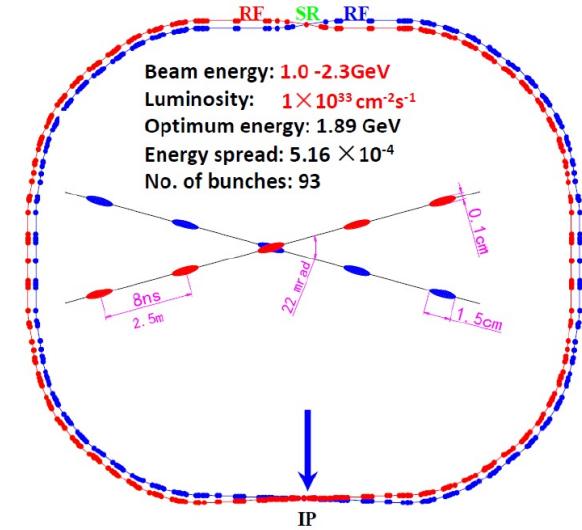
Tue 01/06

07:00 Charm at Belle Dr. Longke LI

07:00 - 07:30 Charm @ BES-III Prof. Xiaoyan SHEN

07:30 - 08:00

Print Full screen Filter



# BESIII Detector

Please see Prof. Shen's talk

From inner to outside[1]:

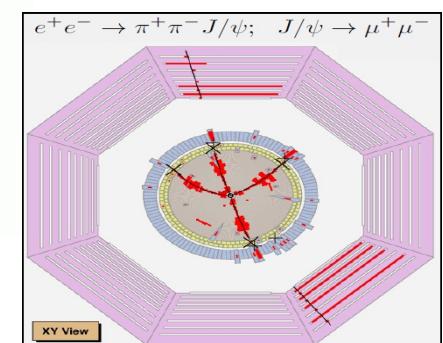
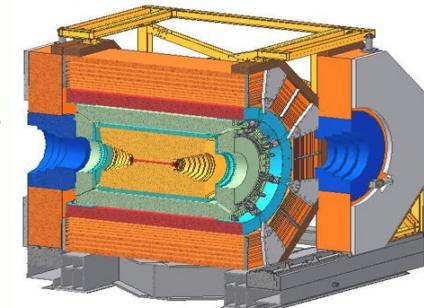
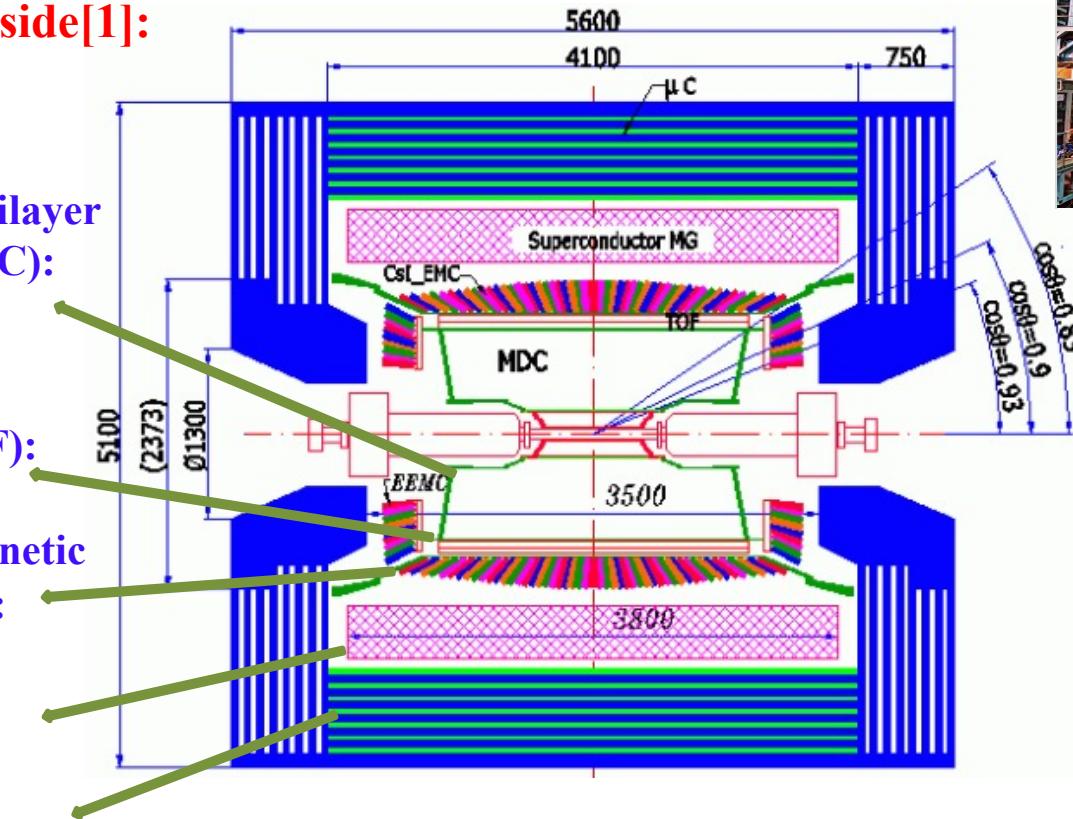
Helium-based multilayer drift chamber (MDC):

Plastic scintillator time-of-flight (TOF):

CsI (Tl) electromagnetic calorimeter (EMC):

Superconducting solenoidal magnet:

Muon Chamber (MUC):



[1] M. Ablikim *et al.* (BESIII Collaboration), Nucl. Instr. Meth. A614, 345 (2010).

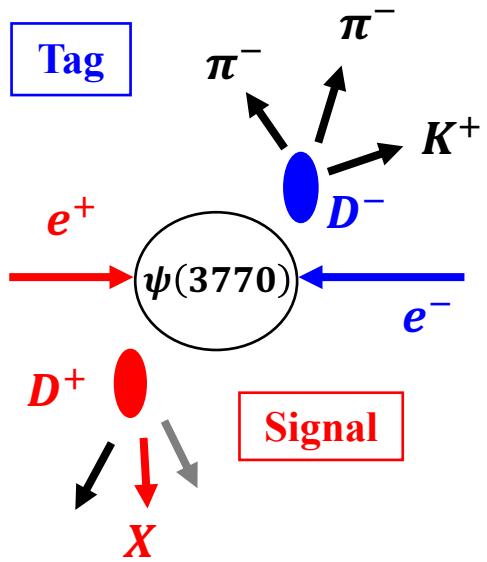
# $D^{0(+)}$ and $D_s^+$ data set at BESIII

Please see Prof. Shen's and Ms. Yulan Fan's talks

$\sqrt{s}$ (GeV)	Integrated luminosity	Decay chain of interest
3.773	$2.93 \text{ fb}^{-1}$	$e^+e^- \rightarrow \psi(3770) \rightarrow D^0\bar{D}^0$ $e^+e^- \rightarrow \psi(3770) \rightarrow D^+\bar{D}^-$
$\sqrt{s}$ (GeV)	Integrated luminosity( $\text{pb}^{-1}$ )	
4.178	$3189.0 \pm 0.9 \pm 31.9$	
4.189	$526.7 \pm 0.1 \pm 2.2$	$e^+e^- \rightarrow D_s^*D_s$
4.199	$526.0 \pm 0.1 \pm 2.1$	Total: $6.32 \text{ fb}^{-1}$
4.209	$517.1 \pm 0.1 \pm 1.8$	
4.219	$514.6 \pm 0.1 \pm 1.8$	
4.226	$1047.3 \pm 0.1 \pm 10.2$	

# Analysis technique

Please see Prof. Shen's and  
Ms. Yulan Fan's talks



Charge conjugated  
processes are implied

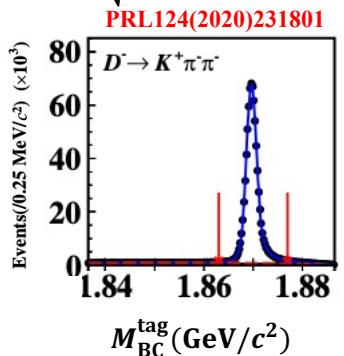
The signal branching  
fraction:

$$B_{\text{sig}} = \frac{N_{D^-}^{\text{signal}}}{N_{D_{(s)}}^{\text{ST}} \times \epsilon}$$

- Single tag (ST):  
fully reconstruct one  $D^-$

$$\Delta E = E_{D^-} - E_{\text{beam}}$$

$$M_{\text{BC}} = \sqrt{E_{\text{beam}}^2 - |\vec{p}_{D^-}|^2}$$



- Double tag (DT):  
in the recoil ST  $D_{(s)}^-$ ,  
analyze the signal  $D_{(s)}^+$

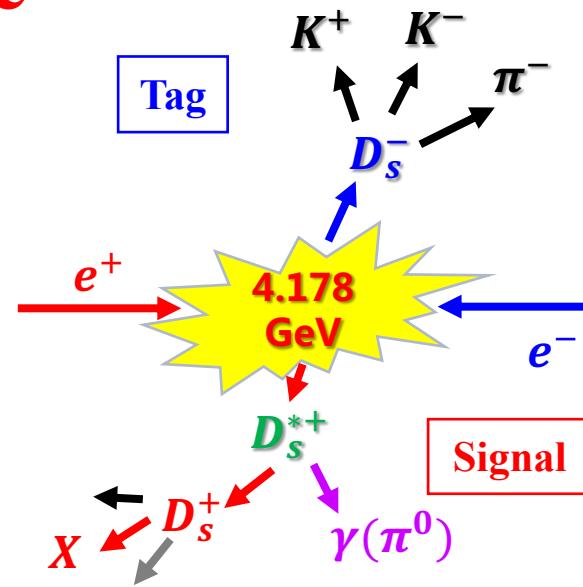
$$MM^2 = E_{\text{miss}}^2 - |\vec{p}_{\text{miss}}|^2$$

$$E_{\text{miss}} = E_{\text{cm}} - \sqrt{|\vec{p}_{D_{(s)}^-}|^2 + M_{D_{(s)}}^2} - E_X$$

$$\vec{p}_{\text{miss}} = -\vec{p}_{D_{(s)}^-} - \vec{p}_X$$

$$U_{\text{miss}} = E_{\text{miss}} - |\vec{p}_{\text{miss}}|$$

or other variables

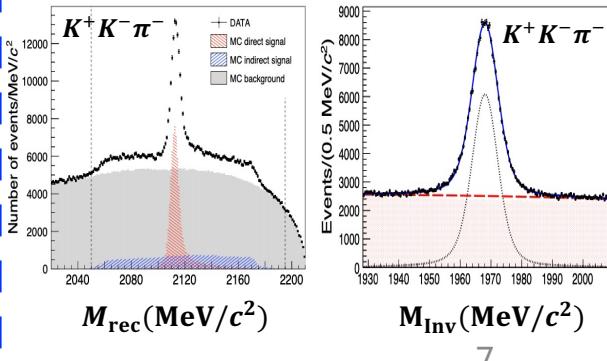


- Single tag (ST):  
fully reconstruct one  $D_s^-$

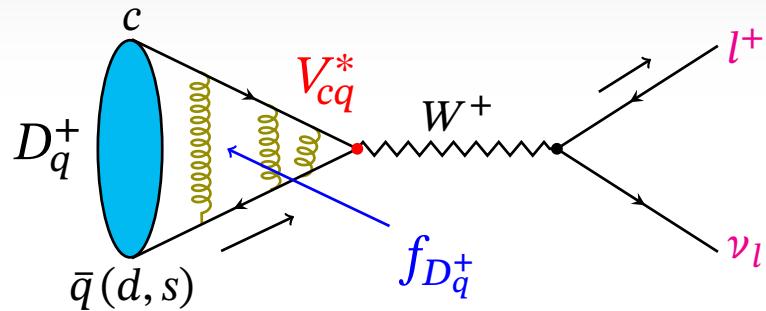
$$M_{\text{rec}} = \sqrt{\left(E_{\text{cm}} - \sqrt{|\vec{p}_{D_s^-}|^2 + m_{D_s^-}^2}\right)^2 - |\vec{p}_{D_s^-}|^2}$$

arXiv: 2102.11734 [hep-ex]

arXiv: 2104.07311 [hep-ex]



# $D_{(s)}^+$ pure leptonic decay

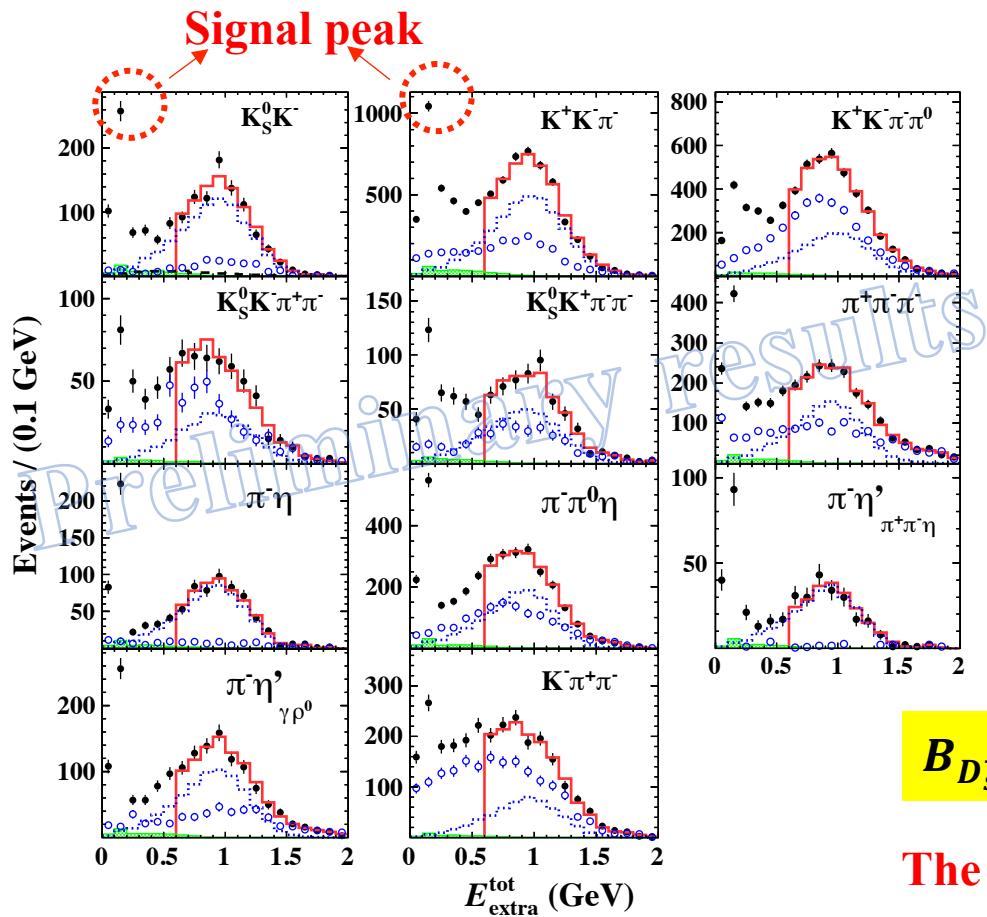


In the SM:

$$\Gamma(D_{(s)}^+ \rightarrow l^+ \nu) = \frac{G_F^2 f_{D_{(s)}^+}^2}{8\pi} |V_{cd(s)}|^2 m_l^2 m_{D_{(s)}^+} \left(1 - \frac{m_l^2}{m_{D_{(s)}^+}^2}\right)^2$$

$$D_s^+ \rightarrow \tau^+ \nu_\tau \text{ via } \tau^+ \rightarrow e^+ \nu_e \bar{\nu}_\tau$$

- ✓  $E_{\text{extra}}^{\text{tot}}$ : the total energy of the good EMC showers, excluding those associated with the ST  $D_s^-$  candidates and those within  $5^\circ$  of the initial direction of the positron.
- ✓ DT yield  $N_{\text{DT}} = N_{\text{DT}}^{\text{tot}} - N_{\text{DT}}^{\text{non-}D_s^-} - N_{\text{DT}}^{K_L^0 e^+ \nu_e} - N_{\text{DT}}^{X e^+ \nu_e}$  (in signal  $E_{\text{extra}}^{\text{tot}} < 0.4 \text{ GeV}$ )



The background yields of  $D_s^+ \rightarrow X e^+ \nu_e$  extrapolated from the fits to  $E_{\text{extra}}^{\text{tot}} > 0.6 \text{ GeV}$ .

● Data  
 — Best fit  
 ○ non- $D_s^-$  bkg  
 .....  $D_s^+ \rightarrow X e^+ \nu_e$  bkg  
 ■  $D_s^+ \rightarrow K_L^0 e^+ \nu_e$  bkg  
 - - -  $D^- \rightarrow K_S^0 \pi^-$  bkg for  $D_s^- \rightarrow K_S^0 K^-$

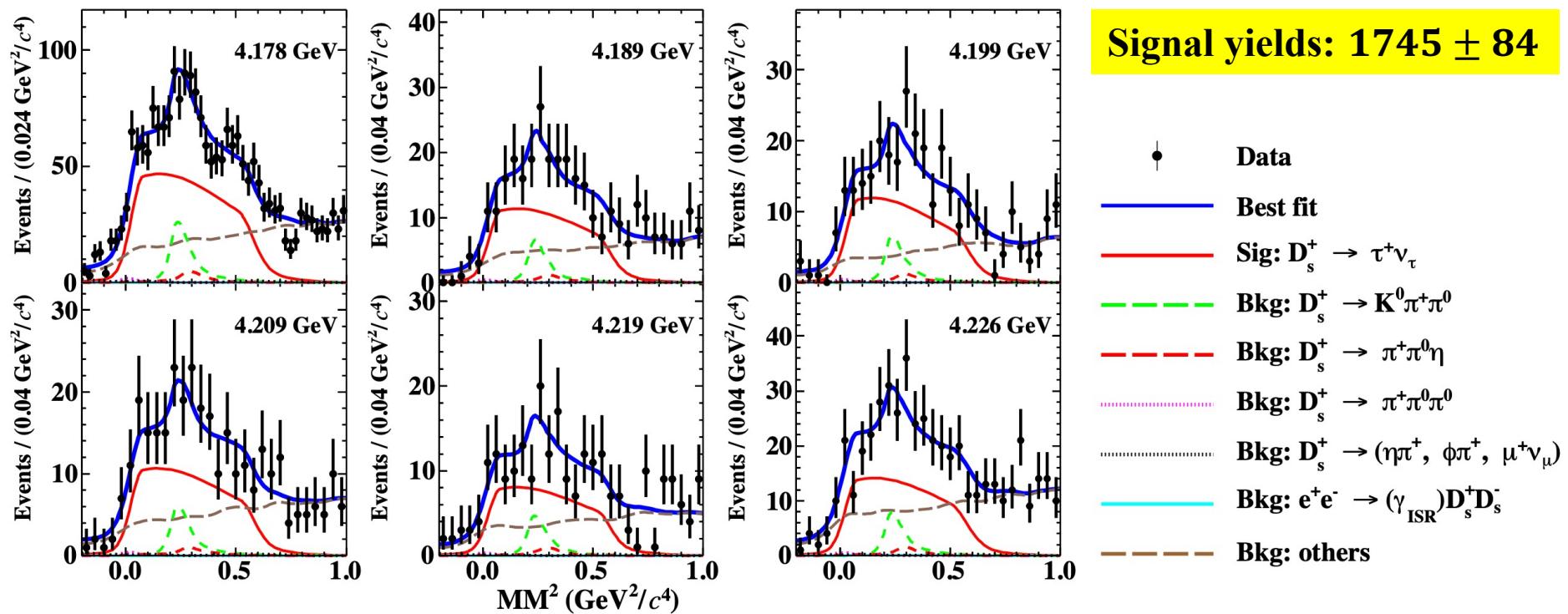
$$B_{D_s^+ \rightarrow \tau^+ \nu_\tau} = (5.27 \pm 0.10_{\text{stat.}} \pm 0.12_{\text{syst.}})\%$$

The most precise result to date

# $D_s^+ \rightarrow \tau^+ \nu_\tau$ via $\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}_\tau$

arXiv: 2105.07178 [hep-ex]

- **Simultaneous fit to the MM<sup>2</sup> for six energy points shared with a common leptonic branching fraction.**



$$MM^2 = E_{\text{miss}}^2 - |\vec{p}_{\text{miss}}|^2$$

$$E_{\text{miss}} = E_{\text{cm}} - \sqrt{|\vec{p}_{D_s^-}|^2 + M_{D_s}^2} - E_\gamma - E_{\pi^+ \pi^0}$$

$$\vec{p}_{\text{miss}} = -\vec{p}_{D_s^-} - \vec{p}_\gamma - \vec{p}_{\pi^+ \pi^0}$$

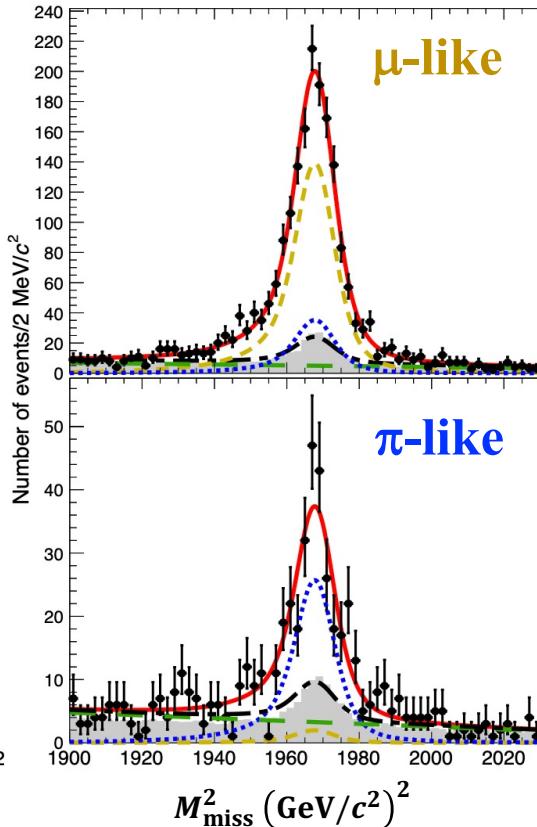
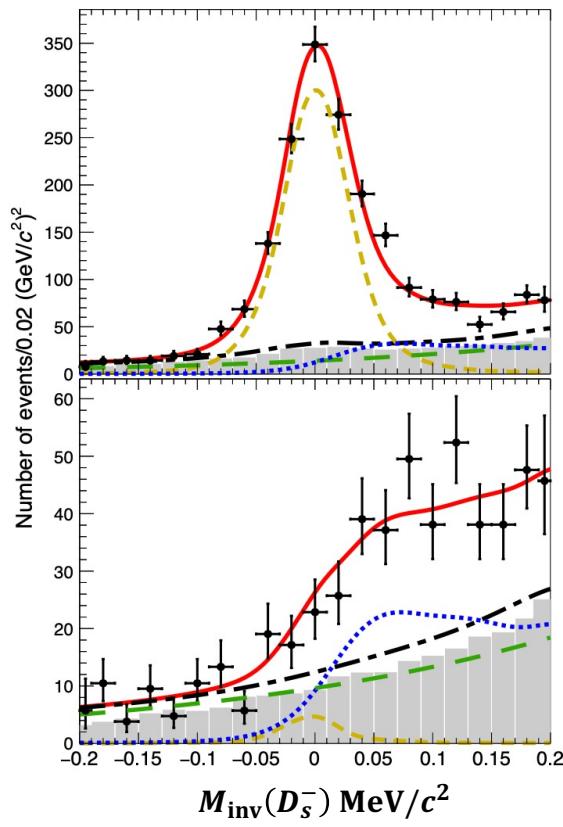
$$B_{D_s^+ \rightarrow \tau^+ \nu_\tau} = (5.29 \pm 0.25_{\text{stat.}} \pm 0.20_{\text{syst.}})\%$$

$$D_s^+ \rightarrow \tau^+ \nu_\tau \text{ via } \tau^+ \rightarrow \pi^+ \bar{\nu}_\tau \text{ and } D_s^+ \rightarrow \mu^+ \nu_\mu$$

arXiv: 2102.11734 [hep-ex]

- An unbinned simultaneous maximum likelihood fit to two-dimensional distributions

Only show @ 4.178 GeV



For all data samples

$$N_{D_s^+ \rightarrow \tau^+ \nu_\tau}^{\text{signal}} = 946^{+46}_{-45}$$

$$N_{D_s^+ \rightarrow \mu^+ \nu_\mu}^{\text{signal}} = 2198 \pm 55$$

- Data
- Best fit
- Sig:  $D_s^+ \rightarrow \tau^+ \nu_\tau$  via  $\tau \rightarrow \pi^+ \bar{\nu}_\tau$
- - - Sig:  $D_s^+ \rightarrow \mu^+ \nu_\mu$
- Total background
- Bkgs: both tag and signal are wrong
- 40 × MC sample scaled

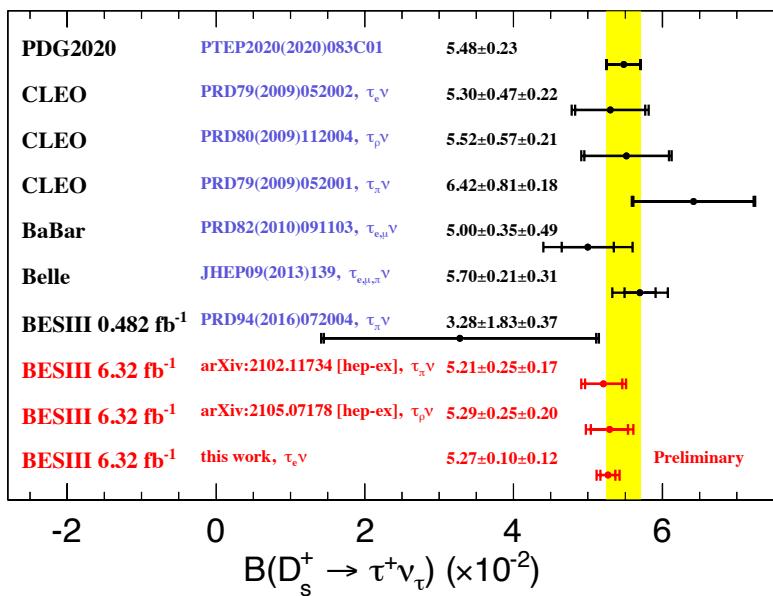
$$B(D_s^+ \rightarrow \tau^+ \nu_\tau) = (5.21 \pm 0.25_{\text{stat.}} \pm 0.17_{\text{syst.}}) \times 10^{-2}$$

$$B(D_s^+ \rightarrow \mu^+ \nu_\mu) = (5.35 \pm 0.13_{\text{stat.}} \pm 0.16_{\text{syst.}}) \times 10^{-3}$$

The most precise to date.

# Lepton flavor universality

- Combine results from **BESIII measurements** and **PDG2020**



In the SM:

$$R_{\tau/\mu} = \frac{\bar{\Gamma}(D_s^+ \rightarrow \tau^+ \nu_\tau)}{\bar{\Gamma}(D_s^+ \rightarrow \mu^+ \nu_\mu)} = \frac{m_{\tau^+}^2 \left(1 - \frac{m_{\tau^+}^2}{m_{D_s^+}^2}\right)^2}{m_{\mu^+}^2 \left(1 - \frac{m_{\mu^+}^2}{m_{D_s^+}^2}\right)^2}$$

↓   ↓

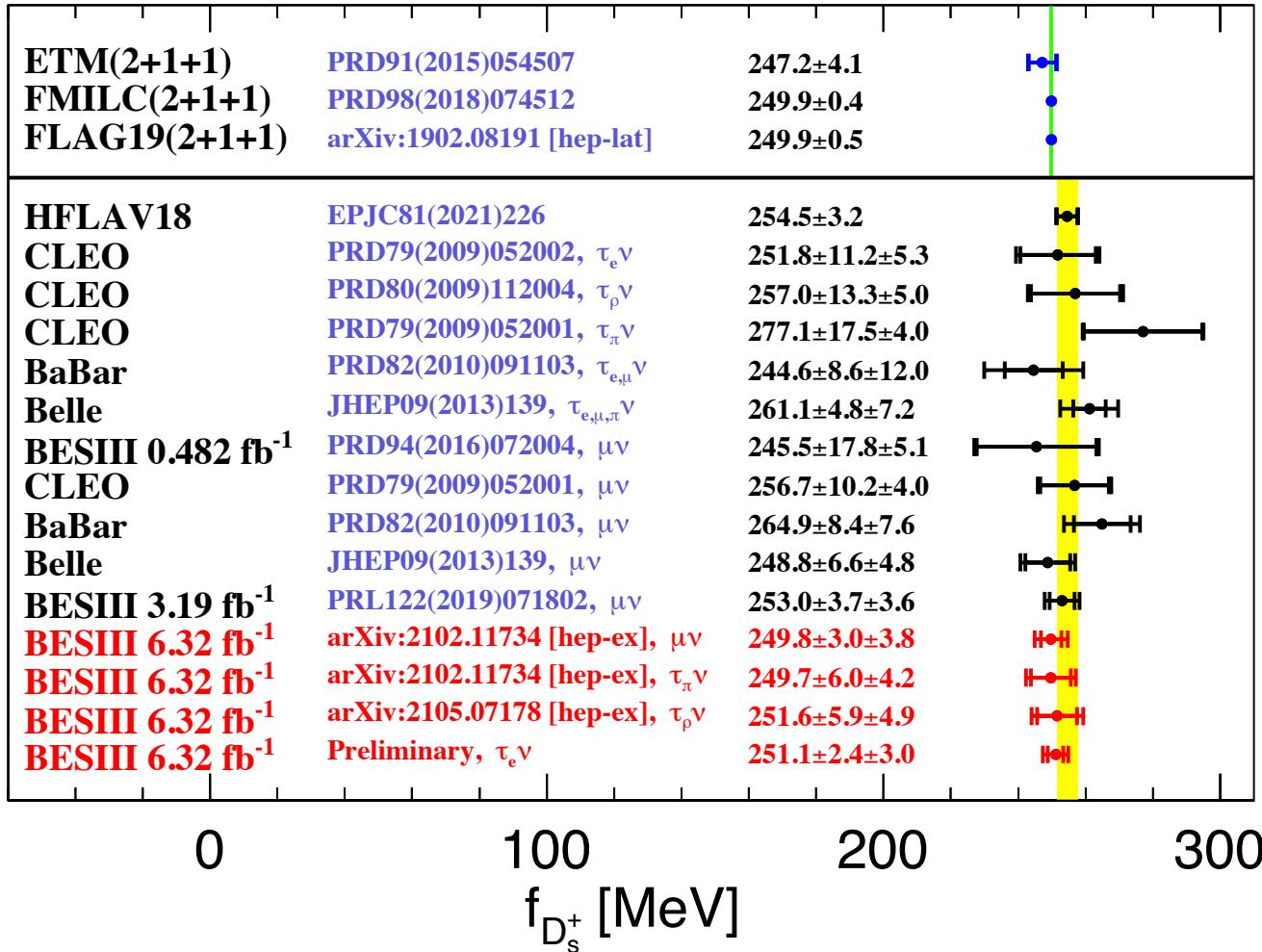
$= 9.67 \pm 0.34$

$9.75 \pm 0.01$   
 (SM prediction)

No LFU violation in  $\tau - \mu$  flavors with the current precision.

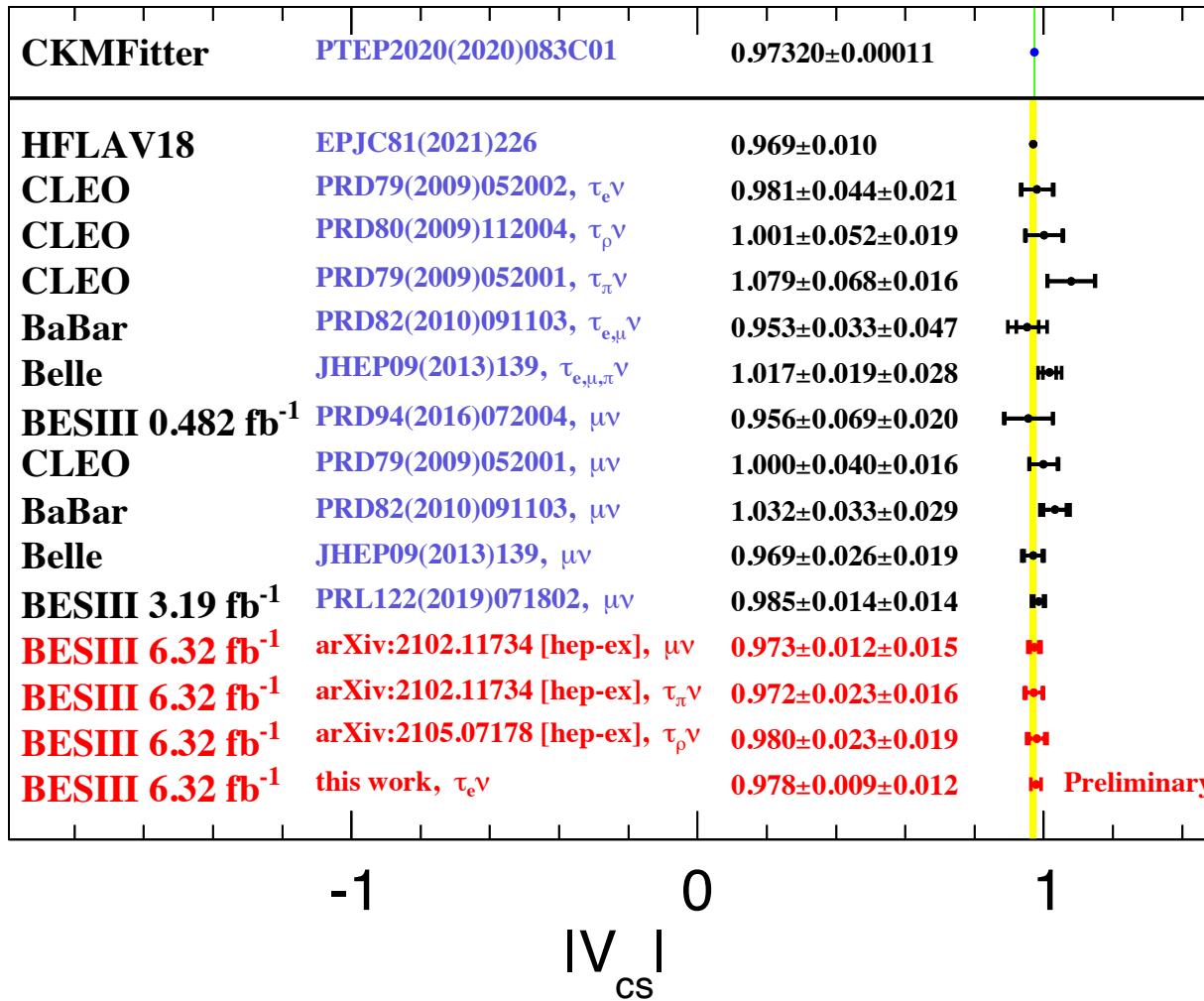
# Comparison of decay constant $f_{D_s^+}$

- Input  $|V_{cs}| = 0.97320 \pm 0.00011$  from CKM global fit

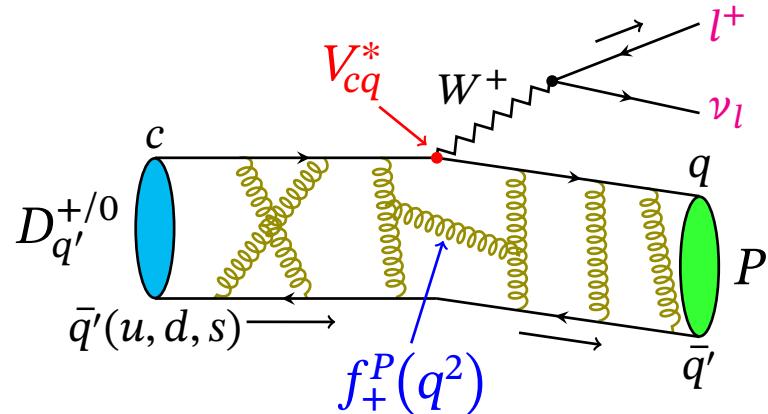


# Comparison of $|V_{cs}|$

- Input  $f_{D_s^+} = 249.9 \pm 0.5$  from LQCD calculations



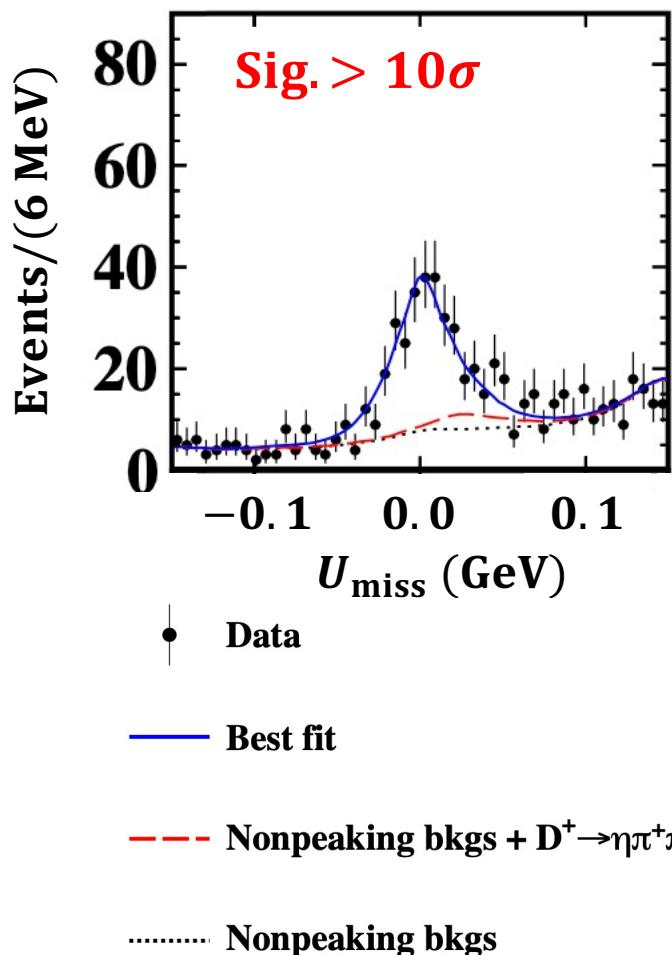
# $D_{(s)}$ semi-leptonic decay



In the SM:

$$\frac{d\Gamma}{dq^2} = X \frac{G_F^2 p^3}{24\pi^3} |f_+(q^2)|^2 |V_{cd(s)}|^2, \quad (X = 1 \text{ for } K^-, \pi^-, \bar{K}^0, \eta^{(\prime)}; X = \frac{1}{2} \text{ for } \pi^0)$$

- Verification of LFU via  $c \rightarrow d l^+ \nu_l$



- ✓  $\eta \rightarrow \gamma\gamma$
- ✓ Unbinned fit to  $U_{\text{miss}}$

$$N_{\text{DT}}^{\text{signal}} = 234 \pm 22$$

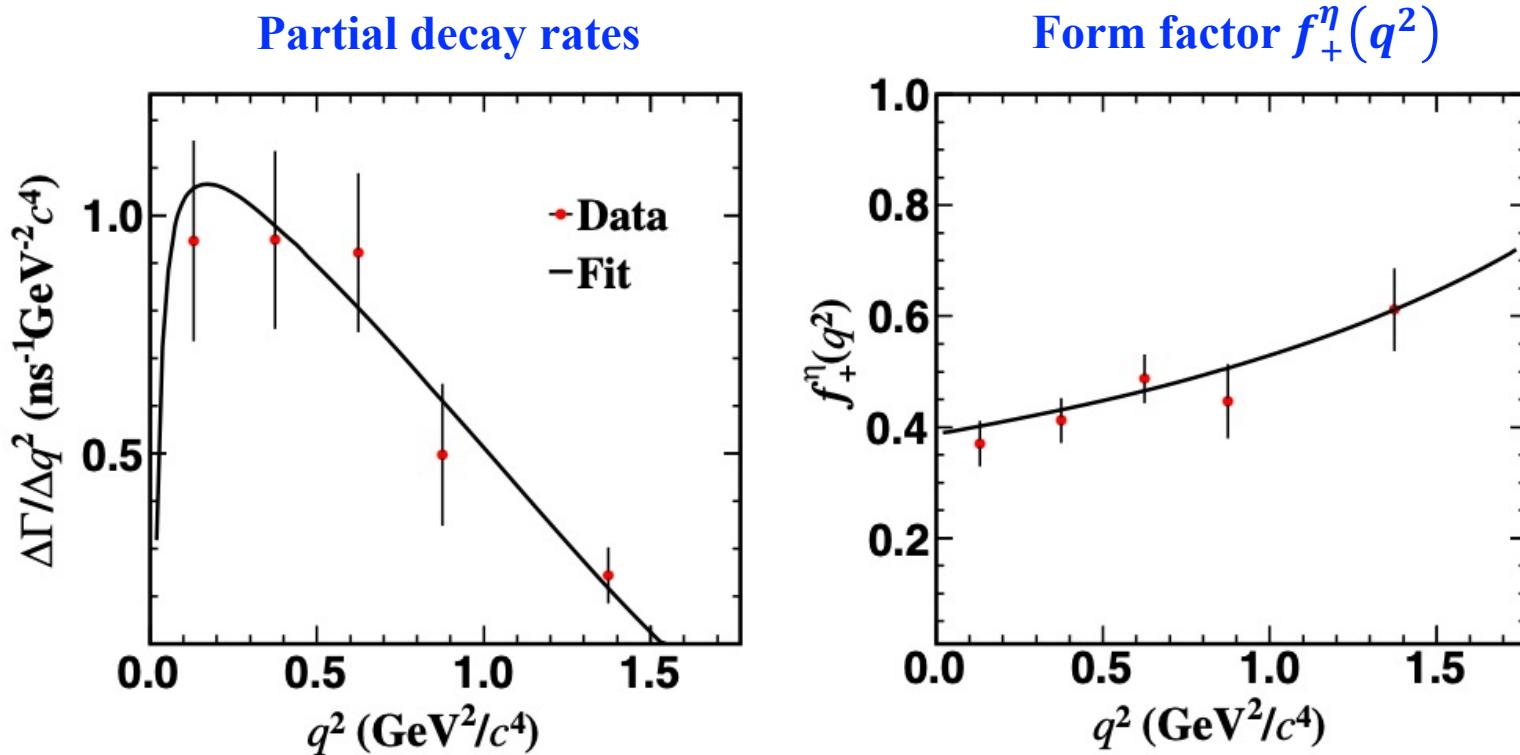
$$B_{D^+ \rightarrow \eta \mu^+ \nu_\mu} = (10.4 \pm 1.0_{\text{stat.}} \pm 0.5_{\text{syst.}}) \times 10^{-4}$$

$$R_{\mu/e} = \frac{B_{D^+ \rightarrow \eta \mu^+ \nu_\mu}}{B_{D^+ \rightarrow \eta e^+ \nu_e}^{\text{PDG}}} = 0.91 \pm 0.13$$

SM prediction: (0.97 – 1.00)

No LFU violation within current sensitivity.

- First measurement on dynamics of  $D^+ \rightarrow \eta\mu^+\nu_\mu$  decay



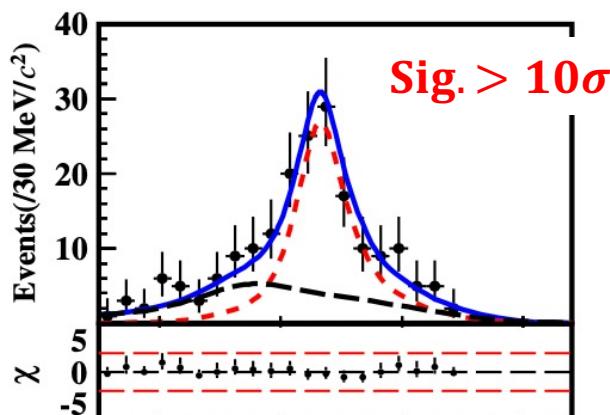
$$f_+^\eta(0)|V_{cd}| = 0.087 \pm 0.008_{\text{stat.}} \pm 0.002_{\text{syst.}}$$

$$f_+^\eta(0) = 0.39 \pm 0.04_{\text{stat.}} \pm 0.01_{\text{syst.}}$$

$$|V_{cd}| = 0.242 \pm 0.022_{\text{stat.}} \pm 0.006_{\text{syst.}} \pm 0.033_{\text{theory}}$$

$f_+^\eta(q^2)$  is parameterized by the two parameter series expansion.

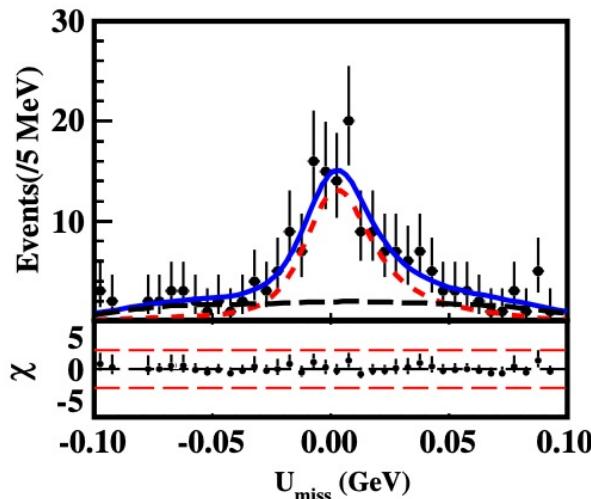
- The physical mass eigenstates of the strange axial-vector mesons  $K_1(1270)$  and  $K_1(1400)$  are the mixtures of the  ${}^1P_1$  and  ${}^3P_1$  with a mixing angle  $\theta_{K_1}$ .



⊕ Data

— Best fit

- - - Sig:  $D^+ \rightarrow \bar{K}_1(1270)^0 e^+ \nu_e$



- ✓  $\bar{K}_1(1270)^0 \rightarrow K^- \pi^+ \pi^0$
- ✓ A two-dimensional unbinned extended maximum-likelihood fit

$$N_{\text{DT}}^{\text{signal}} = 119.7 \pm 13.3$$

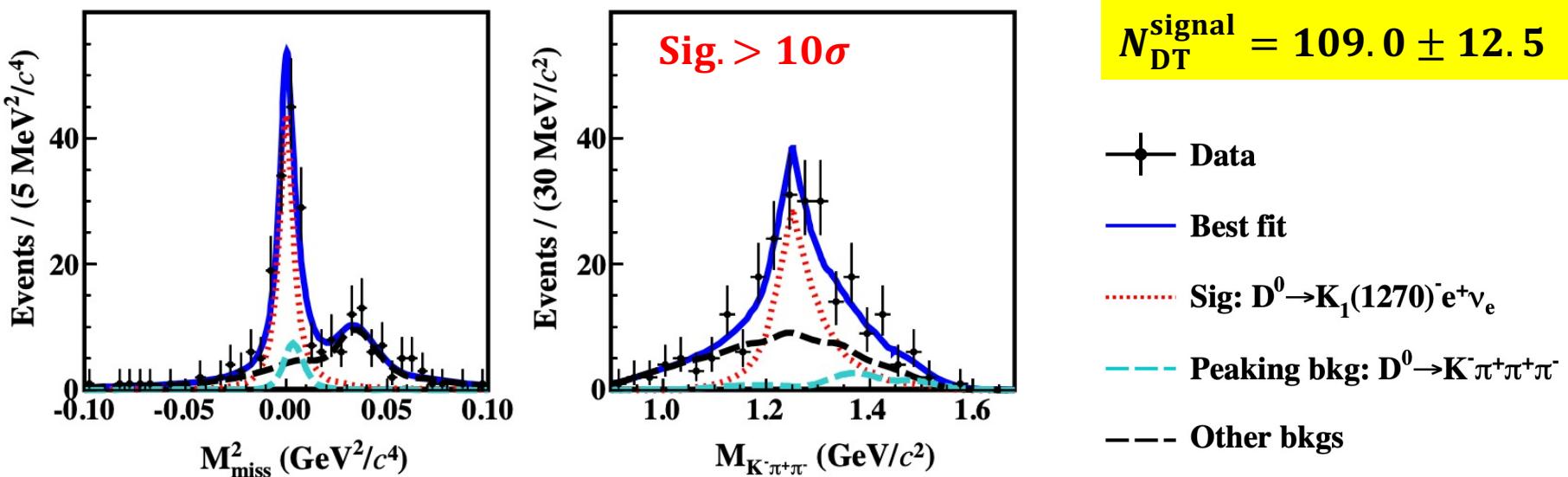
$$B_{D^+ \rightarrow \bar{K}_1(1270)^0 e^+ \nu_e} = (2.30 \pm 0.26^{+0.18}_{-0.21} \pm 0.25_{\text{ex.}}) \times 10^{-3}$$

--- MC-simulated bkg

# $D^0 \rightarrow K_1(1270)^- e^+ \nu_e$

arXiv: 2102.10850 [hep-ex]

- ✓  $K_1(1270)^- \rightarrow K^- \pi^+ \pi^-$
- ✓ Two-dimensional unbinned extended maximum-likelihood simultaneous fits shared with the same value of  $[B_{D^0 \rightarrow K_1(1270)^- e^+ \nu_e} \cdot B_{K_1(1270)^- \rightarrow X \rightarrow K^- \pi^+ \pi^-}]$ .

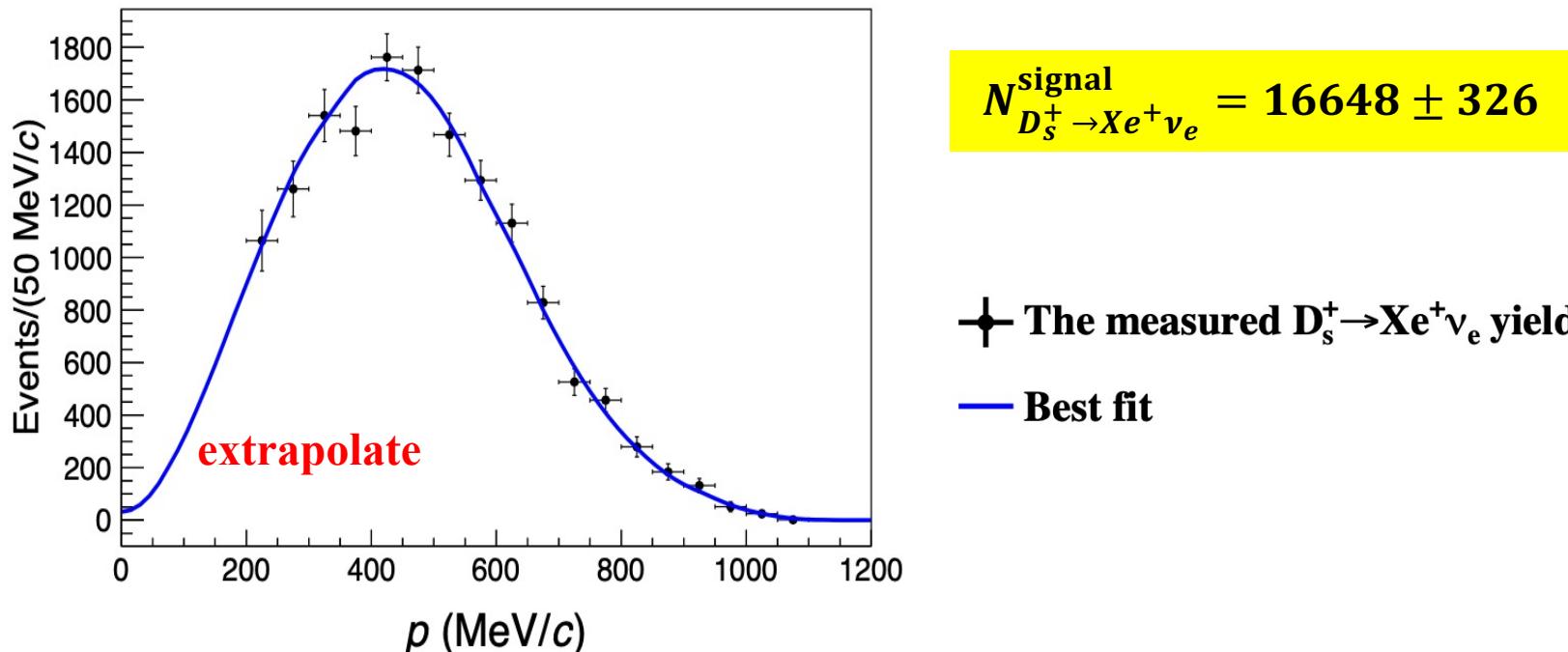


$$B_{D^0 \rightarrow K_1(1270)^- e^+ \nu_e} = (1.09 \pm 0.13^{+0.09}_{-0.13} \pm 0.12_{\text{ex.}}) \times 10^{-3}$$

$$\frac{\Gamma_{D^0 \rightarrow K_1(1270)^- e^+ \nu_e}}{\Gamma_{D^+ \rightarrow \bar{K}_1(1270)^0 e^+ \nu_e}} = 1.20 \pm 0.02_{\text{stat.}} \pm 0.14_{\text{syst.}} \pm 0.04_{\text{ex.}}$$

Agrees with unity as predicted by isospin symmetry.

- ✓  $X$  means **inclusive** decays
- ✓ Sort recoil-side selected tracks into eighteen momentum ( $p_e$ ) bins for  $p_e > 200$  MeV/c
- ✓ The signal yield  $N_{D_s^+ \rightarrow X e^+ \nu_e}^{\text{signal}} = N_{p_e > 200 \text{ MeV}/c} + N_{p_e \leq 200 \text{ MeV}/c}$  (**extrapolate**)



- The measured spectrum can be used to further constrain the decay rates of modes with characteristic momentum spectra.

$$\mathcal{B}(D_s^+ \rightarrow X e^+ \nu_e) = (6.30 \pm 0.13_{\text{stat.}} \pm 0.10_{\text{syst.}}) \times 10^{-2}$$

Consistent, improved by **a factor of 2.5** compared to that from CLEO

$$\mathcal{B}(D_s^+ \rightarrow X e^+ \nu_e) - \sum_i \mathcal{B}(D_s^+ \rightarrow X_i e^+ \nu_e)_{\text{known}} = (-0.04 \pm 0.13_{\text{stat.}} \pm 0.20_{\text{syst.}}) \times 10^{-2}$$

**No evidence** for the existence of unobserved  $D_s^+$  semileptonic decay modes

$$\frac{\Gamma_{D_s^+ \rightarrow X e^+ \nu_e}}{\Gamma_{D^0 \rightarrow X e^+ \nu_e}} = 0.790 \pm 0.016_{\text{stat.}} \pm 0.020_{\text{syst.}}$$
consistent
 $\longleftrightarrow$ 
0.813 (prediction)

Supports the conclusion that the difference in the semileptonic decay widths of  $D_s^+$  ( $c\bar{s}$ ) and  $D^0$  ( $c\bar{u}$ ) mesons can be accounted for within the Standard model by **non-spectator interactions**.

# Other analyses

- $D^+ \rightarrow \omega \mu^+ \nu_\mu$  PRD101(2020)072005
- $D^0 \rightarrow K^- e^+ \nu_e$  and  $D^+ \rightarrow \bar{K}^0 e^+ \nu_e$  arXiv: 2104.08081 [hep-ex]
- $D_s^+ \rightarrow a_0(980)^0 e^+ \nu_e$  arXiv: 2103.11855 [hep-ex], accepted by PRD
- $D^{0(+)} \rightarrow b_1(1235)^{-(0)} e^+ \nu_e$  PRD102(2020)112005
- ...

# Summary

- With  $2.93 \text{ fb}^{-1}$  @ 3.773 GeV and  $6.32 \text{ fb}^{-1}$  from 4.178-4.226 GeV data samples, BESIII have studied the pure and semi-leptonic  $D_{(s)}$  decay,
- Results with a higher precision for
  - ✓  $D_s^+ \rightarrow \tau^+ \nu_\tau$ 
    - $\tau^+ \rightarrow e^+ \nu_e \bar{\nu}_\tau$
    - $\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}_\tau$
    - $\tau^+ \rightarrow \pi^+ \bar{\nu}_\tau$
  - ✓  $D_s^+ \rightarrow \mu^+ \nu_\mu$
  - ✓  $D_s^+ \rightarrow X e^+ \nu_e$
- First measurement on dynamics of
  - ✓  $D^+ \rightarrow \eta \mu^+ \nu_\mu$
- First observations for
  - ✓  $D^+ \rightarrow \eta \mu^+ \nu_\mu (> 10\sigma)$
  - ✓  $D^- \rightarrow \bar{K}_1(1270)^0 e^+ \nu_e (> 10\sigma)$
  - ✓  $D^0 \rightarrow K_1(1270)^- e^+ \nu_e (> 10\sigma)$
- In the near future, BESIII will collect  $20 \text{ fb}^{-1}$  @ 3.773 GeV data sample, and another  $3 \text{ fb}^{-1}$  @ 4.178 GeV, the single precisions will be further improved.

# Backup

- Form factor  $f_+(0)$  with input  $|V_{cs}|^{\text{CKMfitter}}$

- Single pole model

$$f_+(q^2) = \frac{f_+(0)}{1 - q^2/M_{\text{pole}}^2}$$

- ISGW2 model

$$f_+(q^2) = f_+(q_{\max}^2) \left( 1 + \frac{r^2}{12} (q_{\max}^2 - q^2) \right)^{-2}$$

- Modified pole model

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{M_{\text{pole}}^2}\right)\left(1 - \alpha \frac{q^2}{M_{\text{pole}}^2}\right)}$$

- Series expansion

$$f_+(t) = \frac{1}{P(t)\Phi(t, t_0)} a_0(t_0) (1 + \sum_{k=1}^{\infty} r_k(t_0) [\mathbf{z}(t, t_0)]^k)$$