

Tau-muon lepton flavor universality in Upsilon(3S) decays



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On behalf of the *B_AB_AR* collaboration



Lepton Universality in Υ decays

Width of a spin-1 $q\bar{q}$ bound state (mass M) decaying into $\ell^+\ell^-$:

$$\Gamma_{\Upsilon \rightarrow \ell^+\ell^-} = 4\alpha^2 e_q^2 \frac{|\Psi(0)|^2}{M^2} \left(1 + 2\frac{m_\ell^2}{M^2} \right) \sqrt{1 - 4\frac{m_\ell^2}{M^2}}$$

Hadronic corrections cancel in the ratio:

$$R_{\tau\mu} = \frac{\Gamma_{\Upsilon \rightarrow \tau^+\tau^-}}{\Gamma_{\Upsilon \rightarrow \mu^+\mu^-}} = \frac{M^2 + 2m_\tau^2}{M^2 + 2m_\mu^2} \sqrt{\frac{M^2 - 4m_\tau^2}{M^2 - 4m_\mu^2}}$$

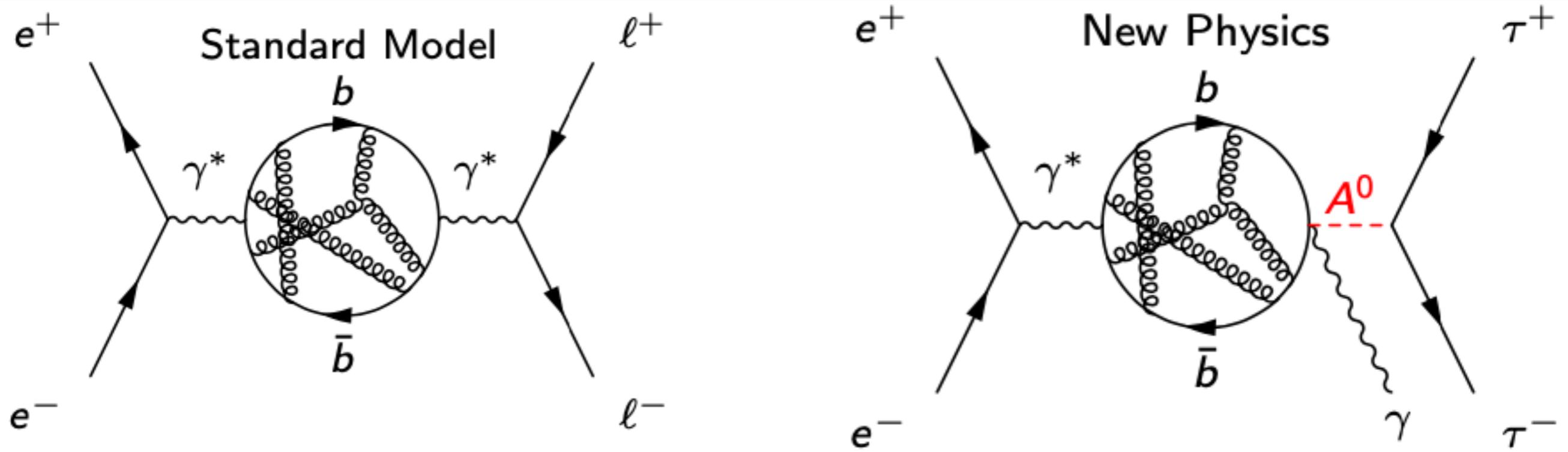
Such ratios are very well known in the Standard Model (SM):

$V(nS)$	SM prediction
$\Upsilon(1S)$	$0.9924 \pm \mathcal{O}(10^{-5})$
$\Upsilon(2S)$	$0.9940 \pm \mathcal{O}(10^{-5})$
$\Upsilon(3S)$	$0.9948 \pm \mathcal{O}(10^{-5})$

Aloni, Efrati, Grossman & Nir
JHEP 06 (2017) 019



Lepton Universality in Υ decays



Excellent probe for new physics:

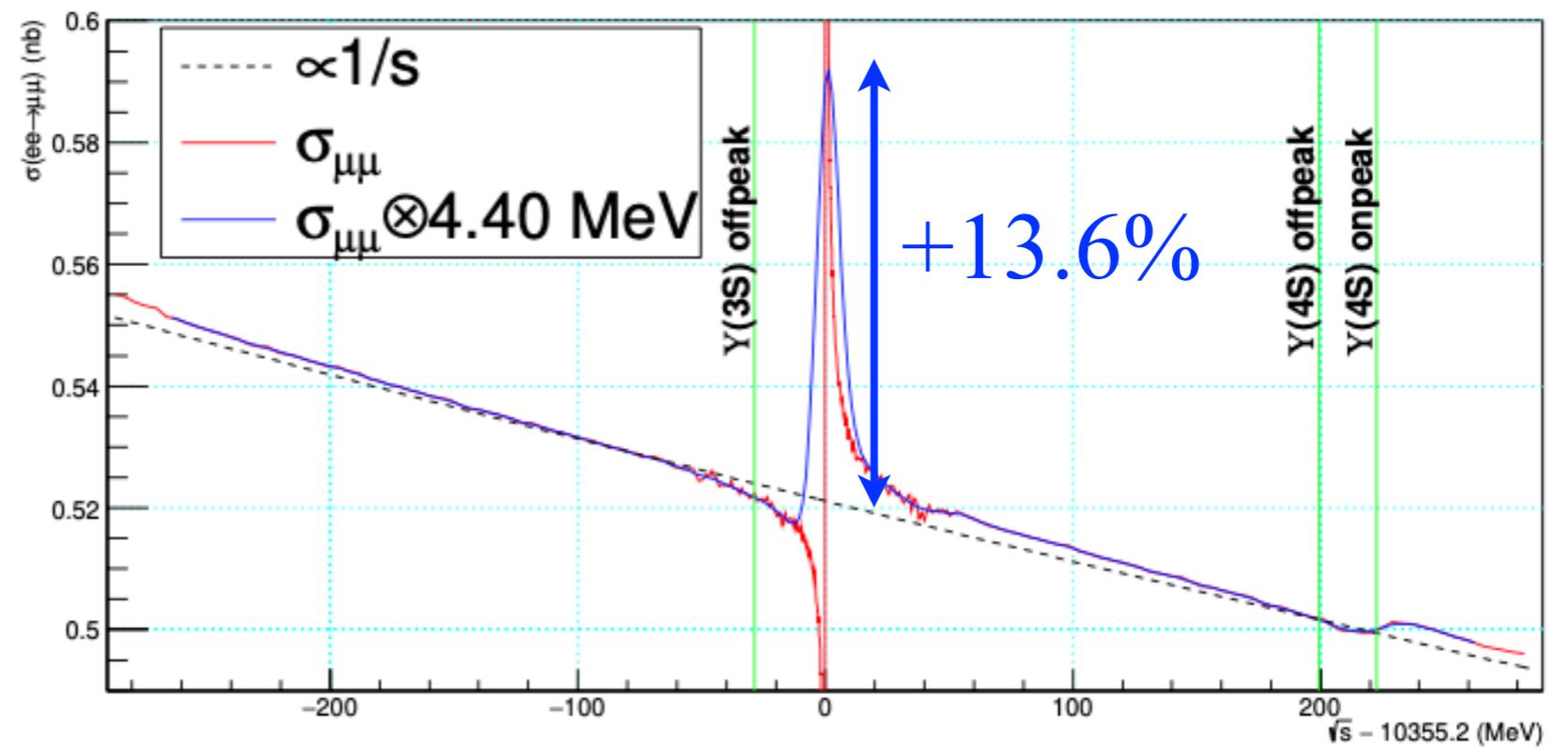
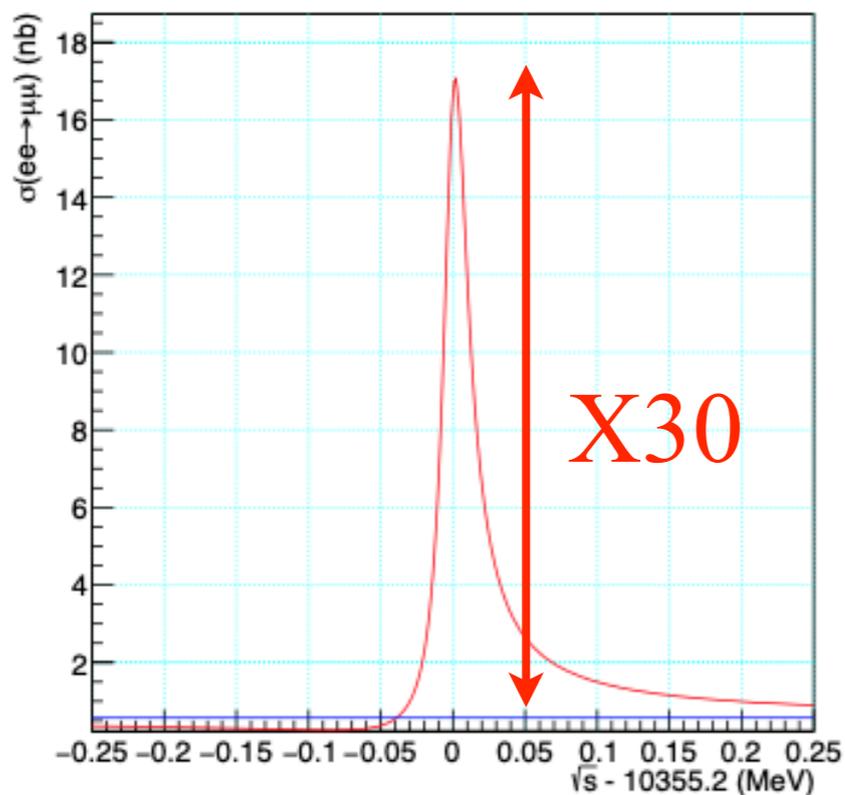
- Light CP-odd Higgs (A^0) in 2HDM (Type-II) models with large $\tan\beta$ can increase $R_{\tau\mu}$ [Sanchis-Lozano, *Int.J.Mod.Phys.A* 19 (2004) 2183]
- New physics contribution in $b \rightarrow c\tau\nu$ decays that resolves the existing tension in $R(D^*)$ measurements can modify $R_{\tau\mu}$ [Aloni, Efrati, Grossman & Nir, *JHEP* 06 (2017) 019]



Di-muon production cross-section

- MCGPJ, a high precision ($<0.2\%$) generator, shows at $\Upsilon(3S)$ the resonance production is a factor of 30 larger than continuum production

[Arbuzov, Fedotov, Ignatov, Kuraev, Sibidanov, Eur.Phys.J.C46 (2006) 689]

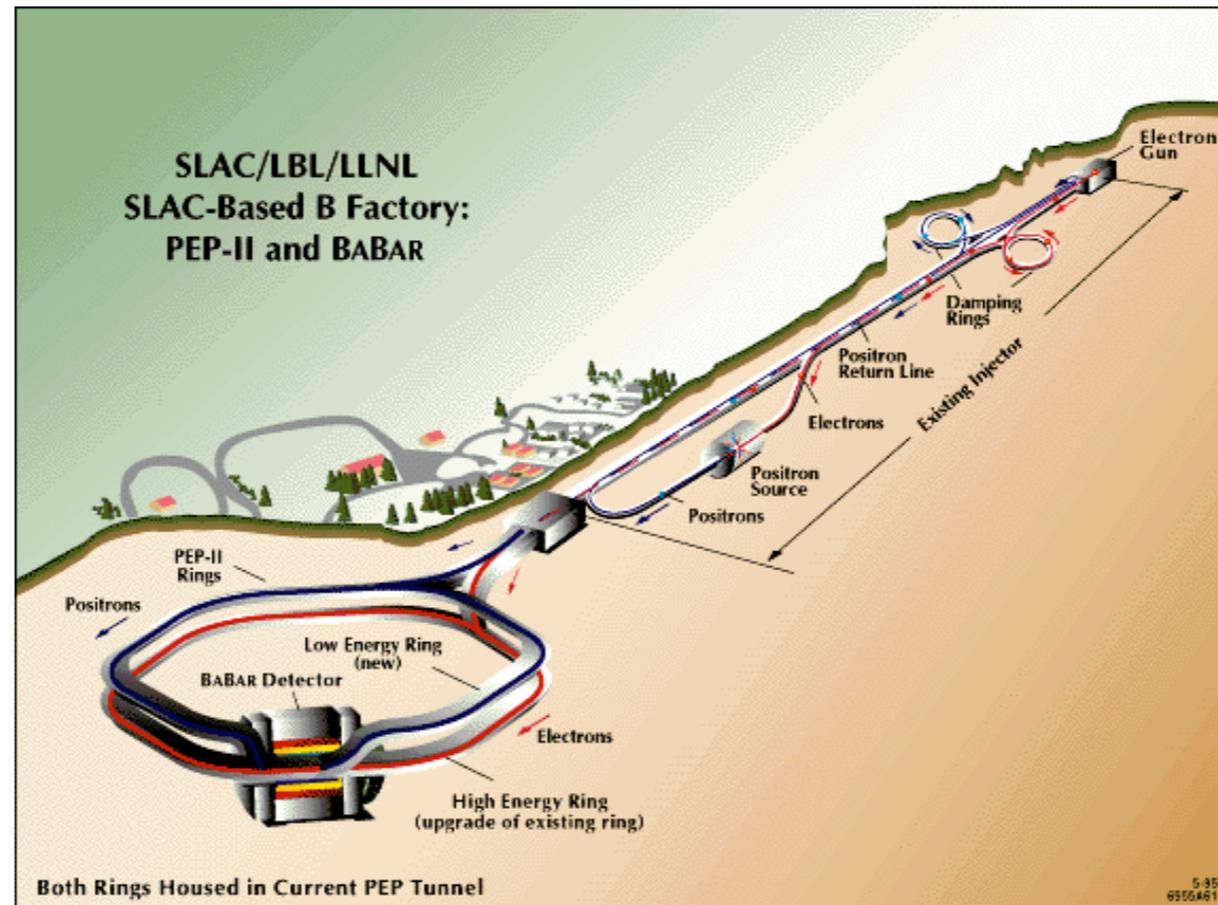


- However, after smearing with realistic beam spread at $\Upsilon(3S)$,

$$\frac{\sigma(e^+e^- \rightarrow \Upsilon(3S) \rightarrow \mu^+\mu^-)}{\sigma(e^+e^- \rightarrow \gamma^* \rightarrow \mu^+\mu^-)} = 1.136$$



The PEP-II Accelerator

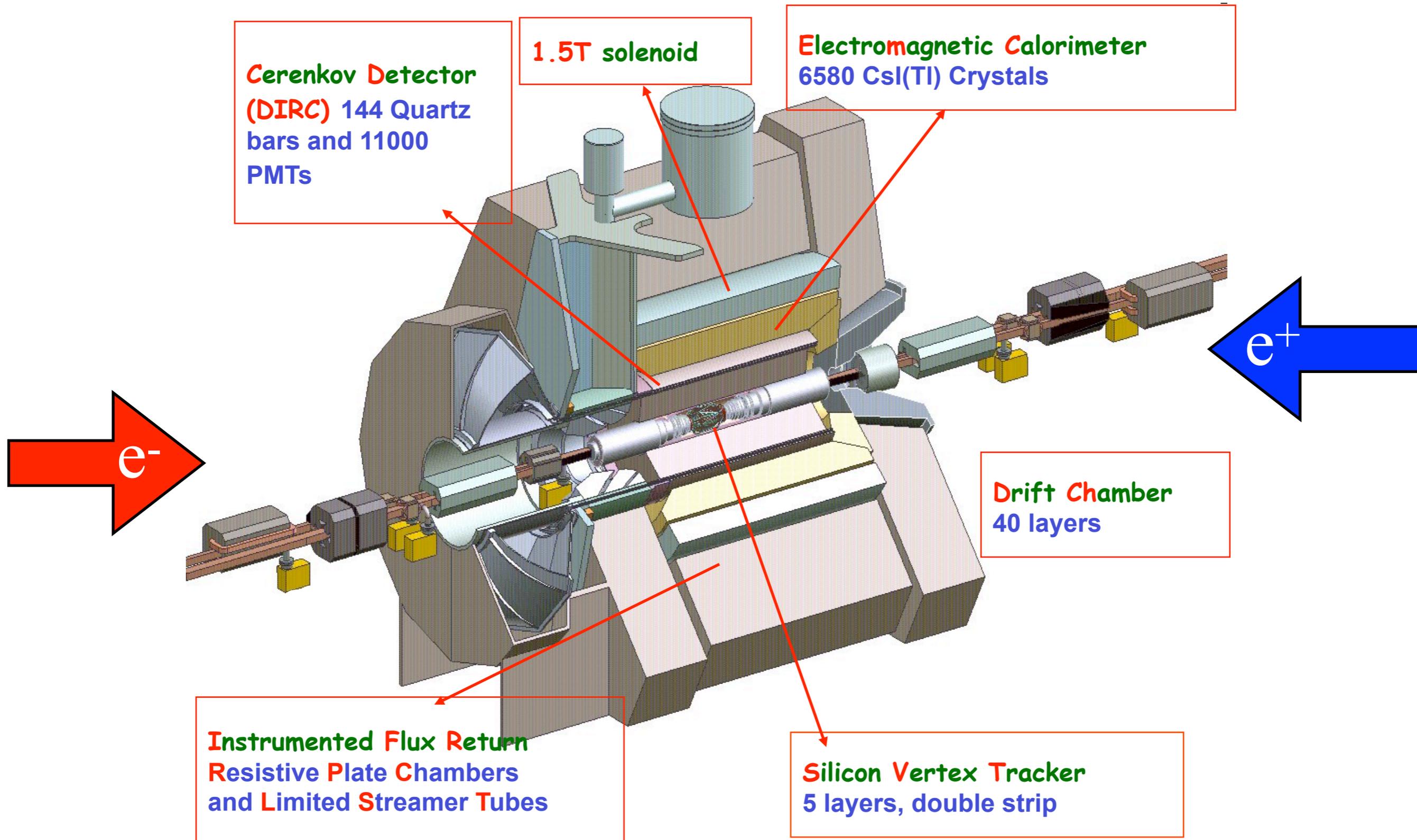


Datasets used in this analysis:

	\sqrt{s}	e^-	e^+	On-peak Luminosity	Off-peak Luminosity	Year
$\Upsilon(3S)$	10.355 GeV	8.6 GeV	3.1 GeV	27.96 fb ⁻¹	2.62 fb ⁻¹	2008
$\Upsilon(4S)$	10.58 GeV	9.0 GeV	3.1 GeV	78.3 fb ⁻¹	7.72 fb ⁻¹	2007



The BABAR Detector



Analysis overview

Select $\mu\mu$ events

- 2 high-momentum & back-to-back tracks identified as muons
- Invariant mass close to \sqrt{s} used as the discriminating fit variable
- Parameterize the shape of $\Upsilon(3S)$ and continuum contributions

Select $\tau\tau$ events

- 2 tracks in the event which are not back-to-back; one track identified as an electron and the other track fails electron identification
- Total reconstructed energy used as the discriminating fit variable
- Parameterize the shape of $\Upsilon(3S)$ and continuum contributions

Measure yields of $\mu\mu$ and $\tau\tau$ events

- Simultaneous binned maximum likelihood fit to data
- Blind analysis strategy: 2.41 fb⁻¹ of on-peak data at $\Upsilon(3S)$ as well as the off-peak data at $\Upsilon(3S)$ and $\Upsilon(4S)$ used to optimize analysis



Event Selection

$\mu^+\mu^-$ selections

- 1 Two and only two charged particles with opposite charges
- 2 $P_{\text{high}}^{\text{cm}} > 4 \text{ GeV}/c$ and $P_{\text{low}}^{\text{cm}} > 2 \text{ GeV}/c$
- 3 $2.8 \text{ rad} < \theta_{-}^{\text{cm}} + \theta_{+}^{\text{cm}} < 3.5 \text{ rad}$
- 4 $E_{+}^{\text{EMC}} + E_{-}^{\text{EMC}} < 2 \text{ GeV}$
- 5 $0.65 \text{ rad} < \theta_{-}^{\text{cm}} < 2.5 \text{ rad}$ and $0.58 \text{ rad} < \theta_{+}^{\text{cm}} < 2.56 \text{ rad}$
- 6 $\psi^{\text{cm}} = \arccos \frac{\vec{p}_{+}^{\text{cm}} \cdot \vec{p}_{-}^{\text{cm}}}{|\vec{p}_{+}^{\text{cm}}| \cdot |\vec{p}_{-}^{\text{cm}}|} > 160^\circ$
- 7 $0.8 < M_{\mu\mu}/\sqrt{s} < 1.1$
- 8 At least one particle having IFR response

99.9% purity

$\tau^+\tau^-$ selections

- 1 Two and only two charged particles with opposite charges
- 2 $41^\circ < \theta_{\pm}^{\text{cm}} < 148^\circ$.
- 3 $\psi^{\text{cm}} > 110^\circ$
- 4 $E_{\text{tot}}^{\text{EMC}} < 0.7 \times E_{\text{PEP-II}}$
- 5 One of the particles must be an electron and the other not electron
- 6 $|\phi_{+} - \phi_{-} - 180^\circ| > 3^\circ$
- 7 $|M_{\text{miss}}^2| > 0.01 \times s$
- 8 $|\cos \theta_{\text{miss}}| < 0.85$
- 9 $P_{\pm}^{\perp} \notin \gamma^*\gamma^*$ region
- 10 $|\Delta\phi| = |\phi_{e\gamma} - \phi_{\not{e}} - 180^\circ| > 2^\circ$ and $|\Delta\theta| = |\theta_{e\gamma} + \theta_{\not{e}} - 180^\circ| > 2^\circ$

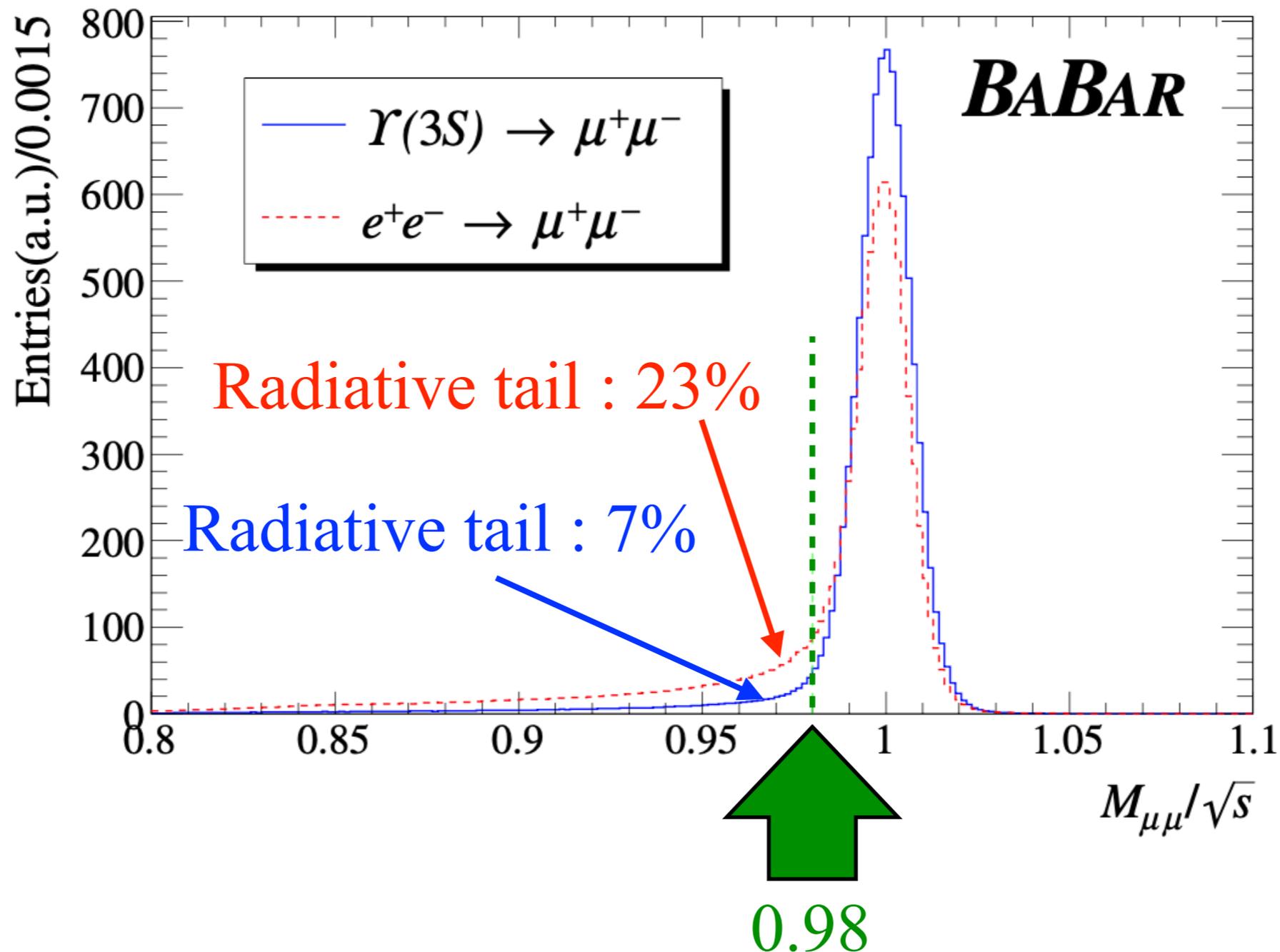
99% purity

All selections are designed to be beam-energy insensitive.



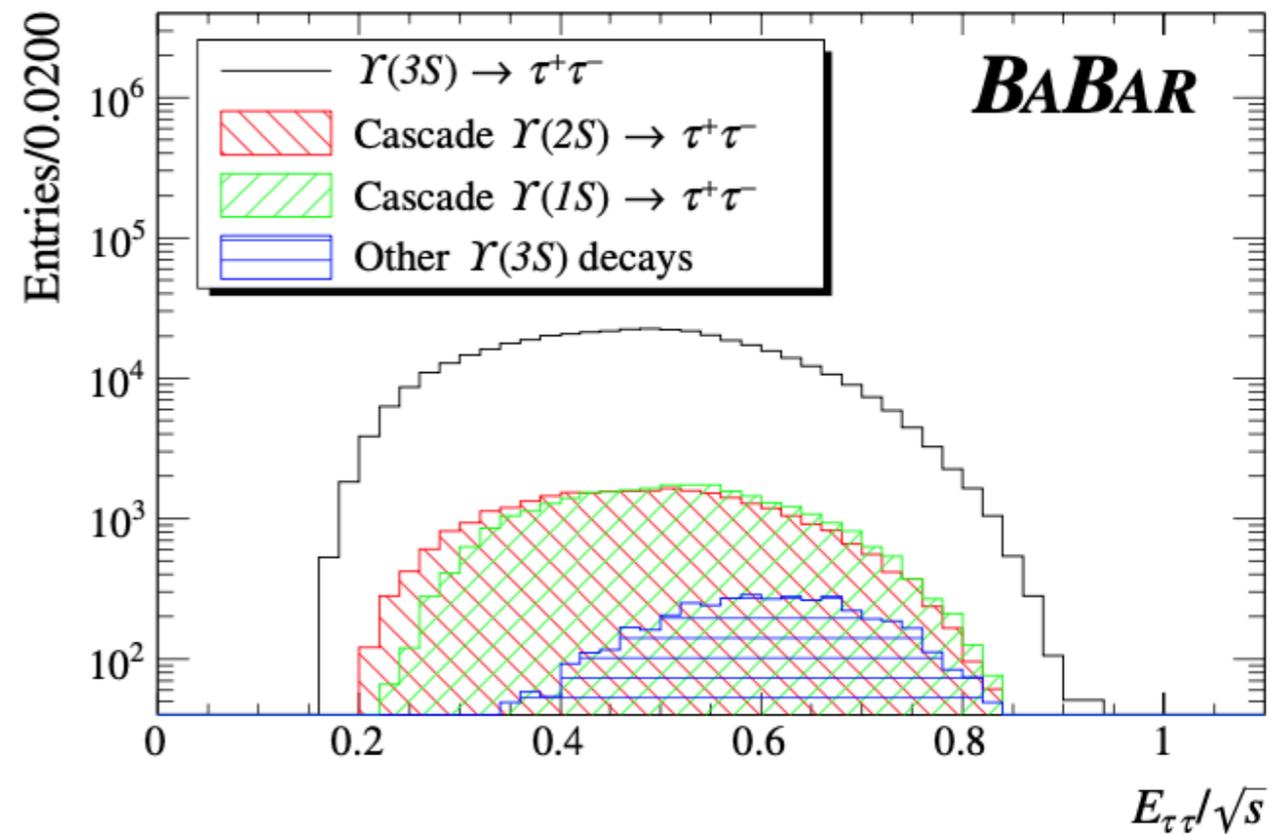
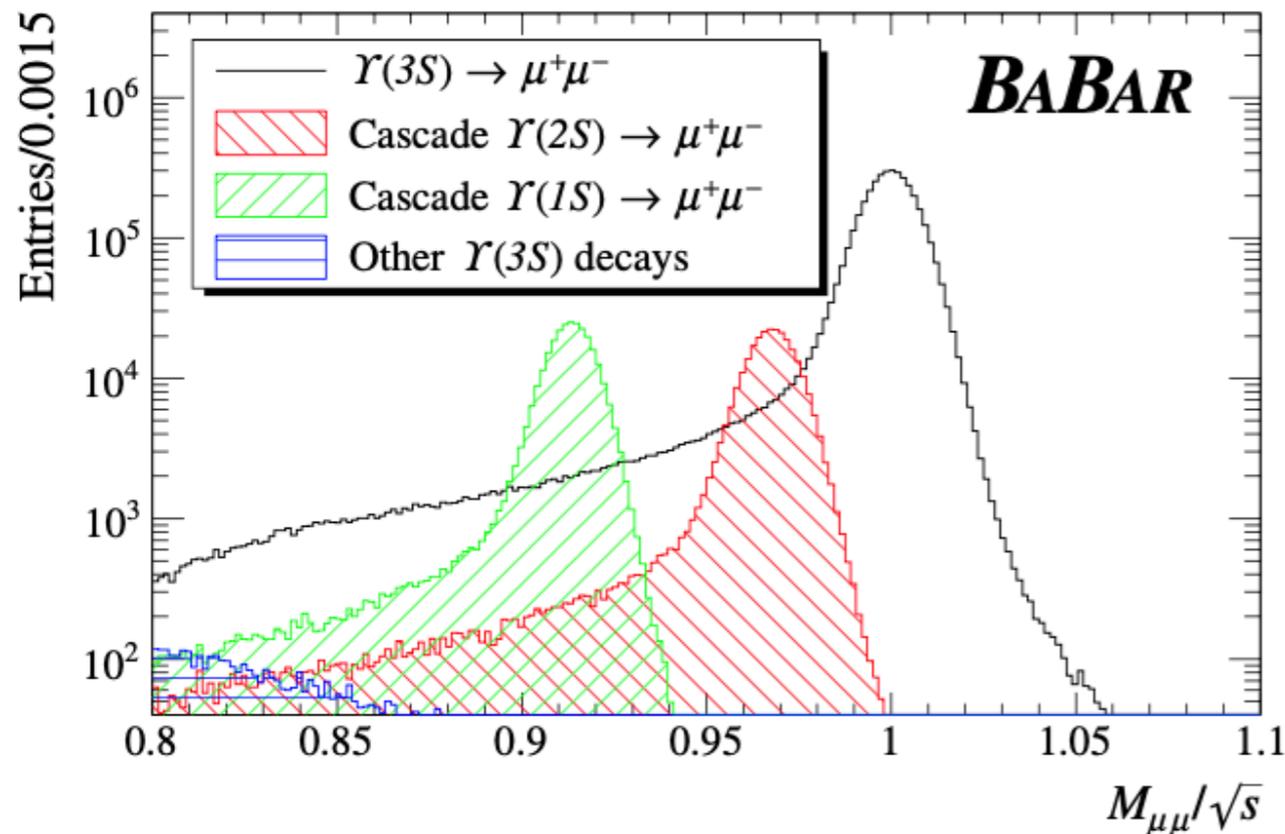
Continuum modeling

Template fit exploits differences in shapes of $\Upsilon(3S)$ and continuum, where the latter is described by high-statistics $\Upsilon(4S)$ & off-peak data



Cascade contributions

Model prompt di-lepton production from $\Upsilon(3S)$ decays as well as contributions from cascade $\Upsilon(2S)$ & $\Upsilon(1S)$ decays:



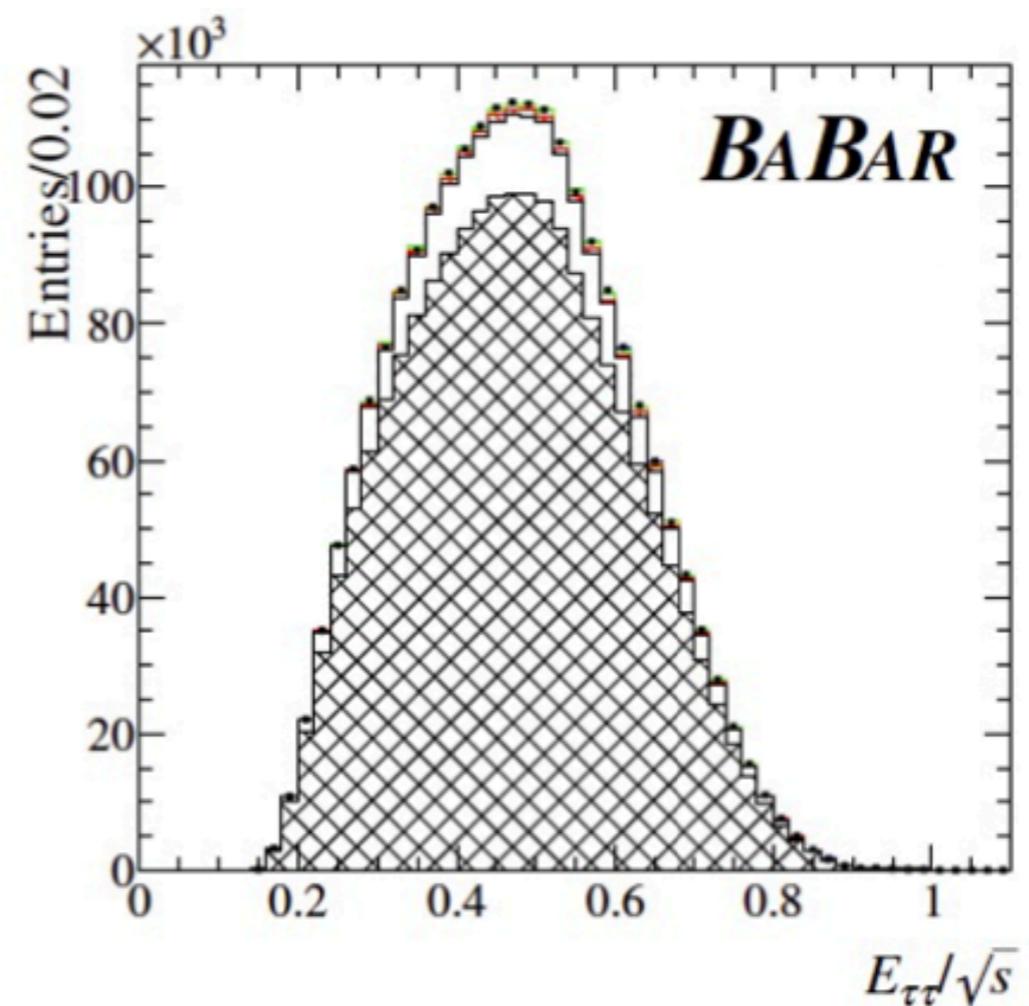
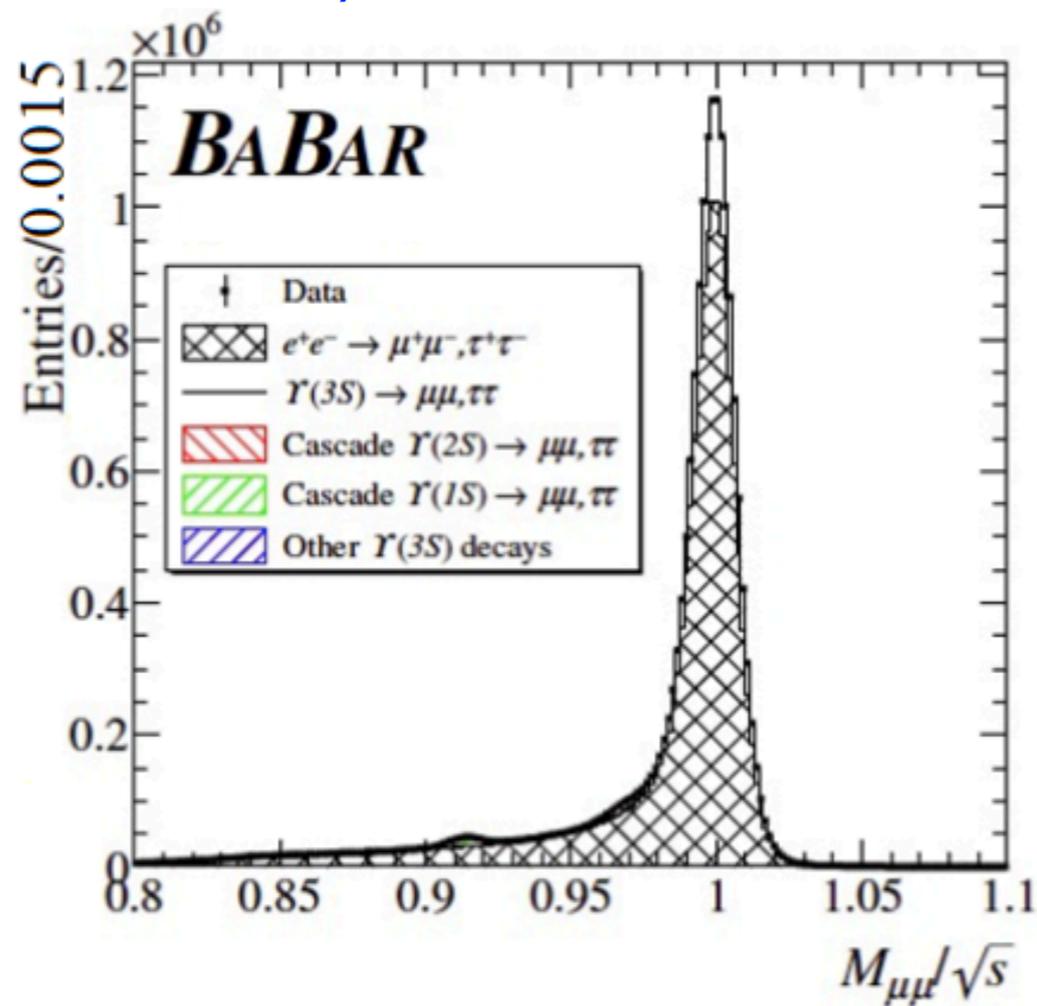
Monte Carlo (MC) based templates used:

- $\Upsilon(3S) \rightarrow \mu^+\mu^-$ and $\Upsilon(3S) \rightarrow \tau^+\tau^-$ are taken from KKMC
- $\Upsilon(2S) \rightarrow \ell^+\ell^-$ and $\Upsilon(1S) \rightarrow \ell^+\ell^-$ are from EvtGen MC



Fit results

- Simultaneous fit to distributions of $M_{\mu\mu}/\sqrt{s}$ and $E_{\tau\tau}/\sqrt{s}$
- Fit yields number of $\Upsilon(3S) \rightarrow \mu^+\mu^-$ events ($N_{\mu\mu}$) and the ratio ($\tilde{R}_{\tau\mu}$) of number of $\Upsilon(3S) \rightarrow \tau^+\tau^-$ events ($N_{\tau\tau}$) to $N_{\mu\mu}$

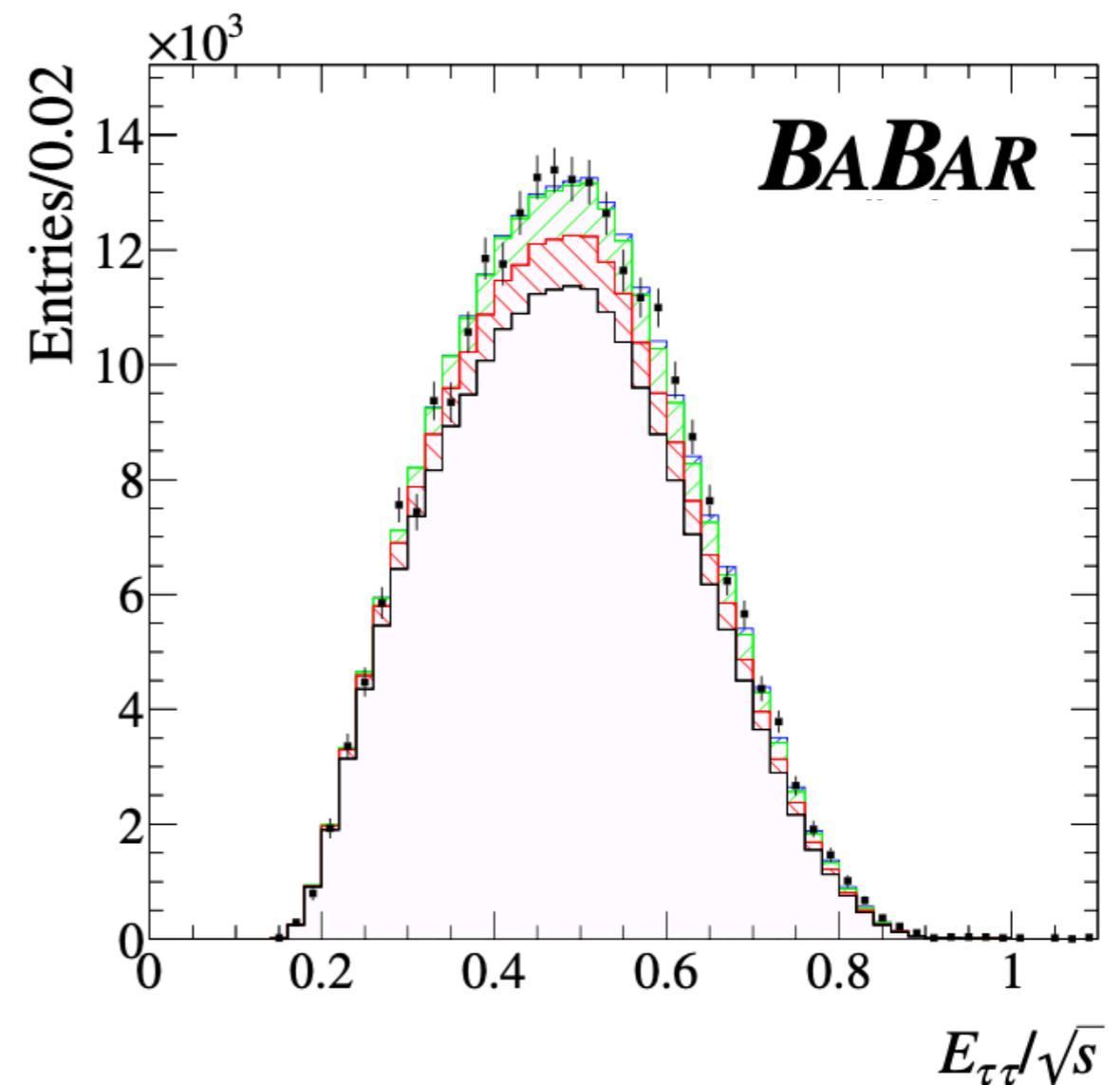
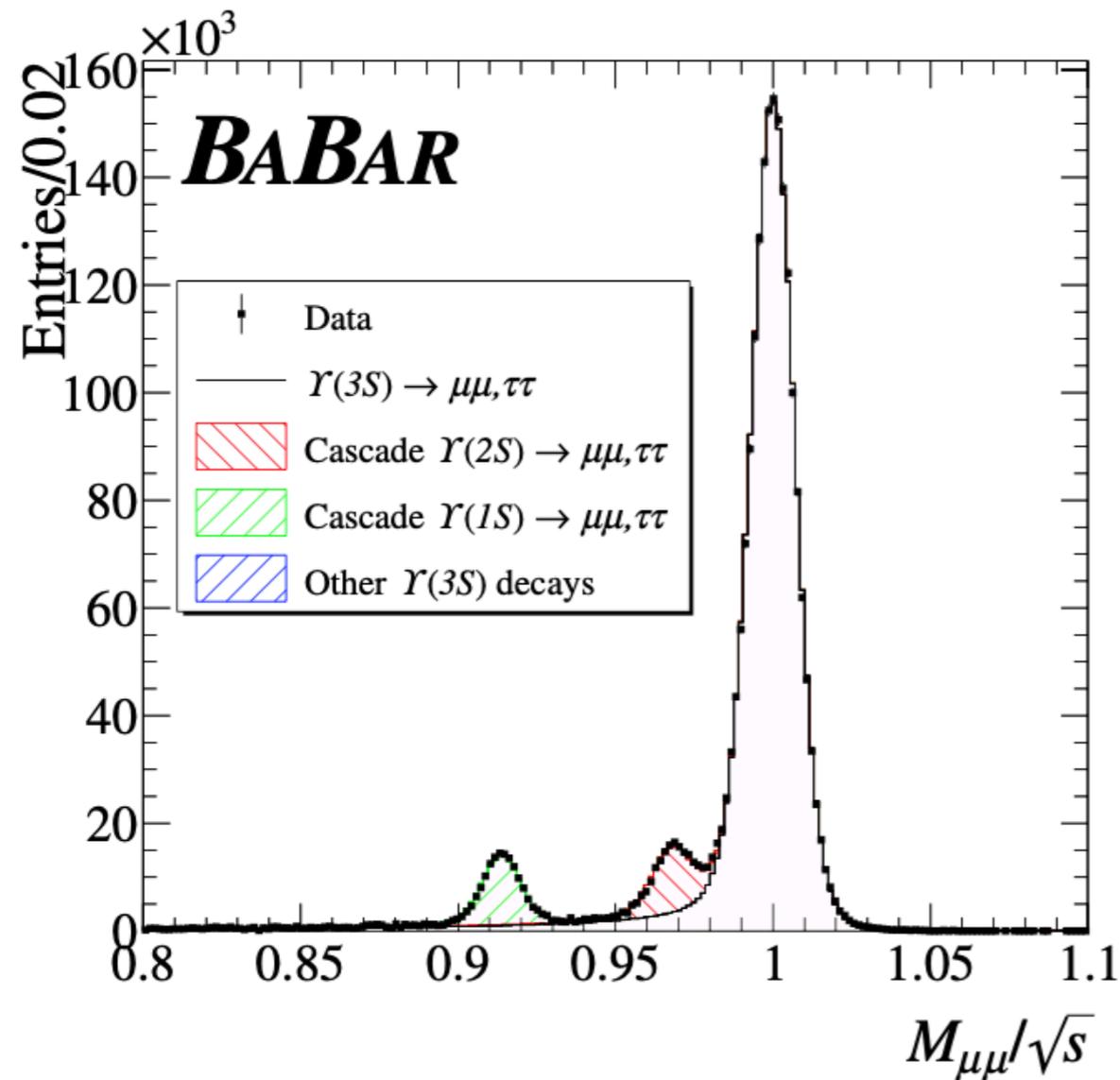


$$N_{\mu\mu} = (2.02 \pm 0.02) \times 10^6, \quad \tilde{R}_{\tau\mu} = 0.10778 \pm 0.00091$$



Fit results (continuum subtracted)

- Dominant contribution to the above fit comes from continuum
- When the continuum background is subtracted, $\Upsilon(3S)$ signal & “cascade” backgrounds can be seen in $M_{\mu\mu}/\sqrt{s}$ distribution



Correction factors & systematics

$$R_{\tau\mu}^{\Upsilon(3S)} = \tilde{R}_{\tau\mu} \frac{\varepsilon_{\mu\mu}}{\varepsilon_{\tau\tau}} \frac{1}{C_{MC}} \left(1 + \delta_{B\bar{B}} \right)$$
$$= 0.9662 \pm 0.0084_{\text{stat}} \pm 0.0135_{\text{syst}} = 0.9662 \pm 0.0159_{\text{tot}}$$



- $\frac{\varepsilon_{\mu\mu}}{\varepsilon_{\tau\tau}} = 0.11041 \pm 0.00015_{\text{stat}}$ is the ratio of MC efficiencies
- $C_{MC} = 1.0146 \pm 0.0016_{\text{stat}}$ is the data/MC correction factor
- $\delta_{B\bar{B}} = (0.4 \pm 0.2) \%$ corrects for a small amount of $B\bar{B}$ in the $\tau\tau$ sample

Summary of systematic uncertainties

Source	Uncertainty (%)
Particle identification	0.9
Cascade decays	0.6
Two-photon production	0.5
$\Upsilon(3S) \rightarrow$ hadrons	0.4
MC shape	0.4
$B\bar{B}$ contribution	0.2
ISR subtraction	0.2
Total	1.4



Comparison with previous measurement

$$R_{\tau\mu}^{\Upsilon(3S)} = \tilde{R}_{\tau\mu} \frac{\epsilon_{\mu\mu}}{\epsilon_{\tau\tau}} \frac{1}{C_{MC}} (1 + \delta_{B\bar{B}})$$
$$= 0.9662 \pm 0.0084_{\text{stat}} \pm 0.0135_{\text{syst}} = 0.9662 \pm 0.0159_{\text{tot}}$$



- This result is 6 times more precise than the only previous measurement:

$$R_{\tau\mu}^{\Upsilon(3S)} = 1.05 \pm 0.08 \pm 0.05$$

D. Besson et. al. (CLEO)
PRL 98 (2007) 052002

- CLEO analysis used 1.2 fb^{-1} of data at the $\Upsilon(3S)$ resonance
- *BABAR* statistical uncertainty is 2 times more precise, which scales as:

$$\sigma_{\text{stat}}^{\text{BABAR}} = \frac{\sigma_{\text{stat}}^{\text{CLEO}}}{\sqrt{\mathcal{L}_{\text{BABAR}}/\mathcal{L}_{\text{CLEO}}}} = \frac{0.08}{\sqrt{27.96/1.2}} = 0.0166$$

- Careful modeling of continuum using $\Upsilon(4S)$ and off-peak data and radiative returns allowed inclusion of low mass tail in the *BABAR* fit, whereas the CLEO analysis suppressed the backgrounds using cuts



Summary

- The *BABAR* datasets of $\Upsilon(nS)$ decays are excellent probes of new physics
- Using 27.96 fb⁻¹ of data collected at the $\Upsilon(3S)$ resonance and 78.3 fb⁻¹ of data collected at the $\Upsilon(4S)$ resonance as well as off-peak data, a precise measurement of the following ratio is obtained:

$$R_{\tau\mu}^{\Upsilon(3S)} = \frac{\Gamma_{\Upsilon \rightarrow \tau^+\tau^-}}{\Gamma_{\Upsilon \rightarrow \mu^+\mu^-}} = 0.966 \pm 0.008_{\text{stat}} \pm 0.014_{\text{syst}}$$

J.P. Lees et. al. (*BABAR*)
PRL 125 (2020) 241801



- The result agrees with the SM prediction of 0.9948 within $\pm 2\sigma$
- Almost an order of magnitude more precise than previous measurement

