

# Describing Charm time dependent CPV in the Precision Era

Alex Kagan

University of Cincinnati

based on A.K. and Luca Silvestrini, 2001.07207, in PRD

Thanks to Yuval Grossman, Zoltan Ligeti, Gilad Perez, Alexey Petrov for collaboration in earlier *stages*

CHARM 2021

# Plan

- Introduction
- Absorptive and dispersive CPV in  $D^0 - \bar{D}^0$  mixing
- Time-dependent CPV phenomenology
- Intrinsic mixing phases and approximate universality
  - SCS decays
  - CF/DCS decays  $D^0 \rightarrow K^\pm X$
  - CF/DCS decays  $D^0 \rightarrow K^0 X, \bar{K}^0 X$
- Current Status
- Conclusion

# Introduction

- In the SM, CP violation (CPV) in  $D^0 - \bar{D}^0$  mixing and  $D$  decays enters at  $O(V_{cb}V_{ub}/V_{cs}V_{us}) \sim 10^{-3}$ , due to weak phase  $\gamma$ , yielding all 3 types of CPV:
  - direct CPV (dCPV)
  - CPV in pure mixing (CPVMIX): due to interference between dispersive and absorptive mixing amps
  - CPV in the interference of decays with and without mixing (CPVINT)
- Our interest here is in CPVMIX and CPVINT, both of which result from mixing, and which we refer to as “indirect CPV”



## Questions:

- How large are the indirect CP asymmetries in the SM?
- What is the appropriate minimal parametrization of indirect CPV?
- How large is the current window for new physics (NP)?
- Can this window be closed in the Belle-II / LHCb Precision Era ?

## ● Answers:

- obtained by describing CPVINT in terms of pairs of **dispersive** and **absorptive** CPV phases  $\phi_f^M$  and  $\phi_f^\Gamma$ , for CP conjugate final states  $f, \bar{f}$
- they parametrize CPVINT from interference of  $D^0$  decays with and without **dispersive** mixing, and with and without **absorptive** mixing.
- These are **separately** measurable effects.
- simpler, physically transparent expressions for indirect CP asymmetries
- can be used to extract an “intrinsic” pair of **pure mixing** absorptive and dispersive phases  $\phi_2^M, \phi_2^\Gamma$ , with controlled errors
  - ⇒ these two phases suffice to describe indirect CPV in the precision charm era
- SM estimates for  $\phi_2^M, \phi_2^\Gamma$  follow from  $U$ -spin arguments

# Absorptive and Dispersive CPV

- Transition amplitudes for  $D^0 - \overline{D}^0$  mixing:

$$\langle D^0 | H | \overline{D}^0 \rangle = M_{12} - \frac{i}{2} \Gamma_{12}, \quad \langle \overline{D}^0 | H | D^0 \rangle = M_{12}^* - \frac{i}{2} \Gamma_{12}^*$$

- $M_{12}$  is the **dispersive mixing amplitude**: due to long-distance exchange of off-shell intermediate states; and short-distance effects
  - long distance dominates in SM
  - significant short distance would be new physics (NP)
- $\Gamma_{12}$  is the **absorptive mixing** amplitude: due to long distance exchange of on-shell intermediate states

● Mass eigenstates  $|D_{1,2}\rangle = p|D^0\rangle \pm q|\overline{D}^0\rangle$ :

● mass and width differences expressed in terms of parameters  $x, y$

$$x = \frac{m_2 - m_1}{\Gamma_D}, \quad y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma_D}$$

● introduce three “theoretical” physical mixing parameters

$$x_{12} \equiv 2|M_{12}|/\Gamma_D, \quad y_{12} \equiv |\Gamma_{12}|/\Gamma_D, \quad \phi_{12} \equiv \arg(M_{12}/\Gamma_{12})$$

●  $\phi_{12}$  is the CPV phase responsible for CPVMIX, e.g. semileptonic CP asymmetry

$$A_{\text{SL}} = \frac{2x_{12} y_{12}}{x_{12}^2 + y_{12}^2} \sin \phi_{12} .$$

●  $|x| = x_{12} + O(\text{CPV}^2), \quad |y| = y_{12} + O(\text{CPV}^2)$



● Time-evolved meson solutions, for  $t \lesssim \tau_D$ :

For  $D^0(0) = D^0$ , the mixed component at time  $t$ ,

$$\langle \bar{D}^0 | D^0(t) \rangle = e^{-i\left(M_D - i\frac{\Gamma_D}{2}\right)t} \left( e^{-i\pi/2} M_{12}^* - \frac{1}{2} \Gamma_{12}^* \right) t, \dots$$

- the phase  $\pi/2$  is a CP-even “dispersive strong phase”
- it is the CP-even phase difference between the interfering dispersive and absorptive mixing amplitudes required to obtain CPVMIX
- It contributes to the CP-even “strong phase” differences required for CPVINT

## The dispersive and absorptive CPV phases $\phi_f^M, \phi_f^\Gamma$ in hadronic decays

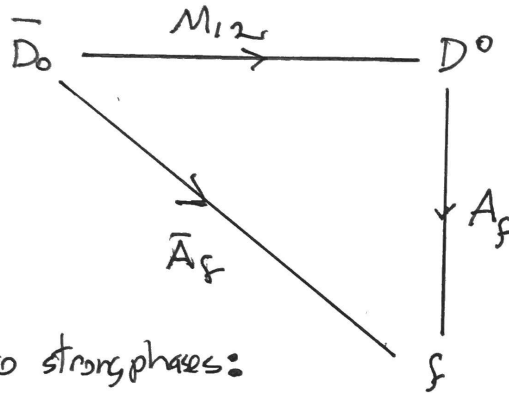
- Hadronic  $D^0(t), \bar{D}^0(t)$  decay amplitudes sum over contributions with/without mixing:

$$A(\bar{D}^0(t) \rightarrow f) = A_f \langle D^0 | \bar{D}^0(t) \rangle + \bar{A}_f \langle \bar{D}^0 | \bar{D}^0(t) \rangle$$

$A_f \equiv \langle f | \mathcal{H} | D^0 \rangle$ ,  $\bar{A}_f \equiv \langle f | \mathcal{H} | \bar{D}^0 \rangle$  are the decay amplitudes

- $\phi_f^M$  and  $\phi_f^\Gamma$  are the **CPV phase differences** between the two interfering amplitudes:

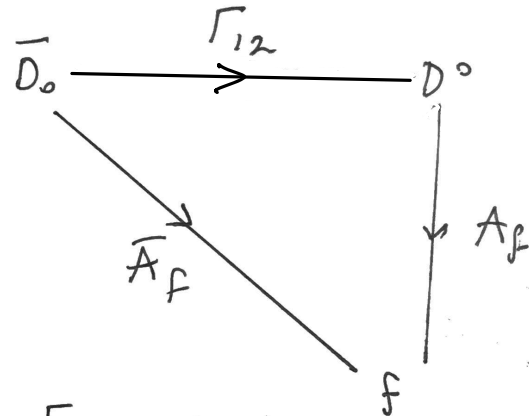
1) Interference between decays with and without dispersive mixing:



up to strong phases:

$$\phi_f^M = \arg\left(\frac{M_{12}}{|M_{12}|} \frac{A_f}{\bar{A}_f}\right)$$

2) Interference between decays with and without absorptive mixing



$$\phi_f^\Gamma = \arg\left(\frac{\Gamma_{12}}{|\Gamma_{12}|} \frac{A_f}{\bar{A}_f}\right)$$

## Relation to “phenomenological” CPVINT parameters

- The more familiar “phenomenological” CPV observables are

$$\text{CPVMIX} : \left| \frac{q}{p} \right| - 1$$

$$\text{CPVINT} : \phi_{\lambda_f} = \arg \left( \frac{q}{p} \frac{\bar{A}_f}{A_f} \right)$$

- Relation to absorptive and dispersive CPVINT phases

$$\left| \frac{q}{p} \right| - 1 = \frac{x_{12} y_{12} \sin \phi_{12}}{x_{12}^2 + y_{12}^2} + O(\text{CPV}^3), \quad \text{where } \phi_{12} = \phi_f^M - \phi_f^\Gamma$$
$$\sin 2\phi_{\lambda_f} = - \left( \frac{x_{12}^2 \sin 2\phi_f^M + y_{12}^2 \sin 2\phi_f^\Gamma}{x_{12}^2 + y_{12}^2} \right) + O(\text{CPV}^3)$$

- $\phi_{\lambda_f}$  is a sum over  $\phi_f^M$  and  $\phi_f^\Gamma$ , **weighted** by the dispersive and absorptive contributions to the CP averaged mixing probability,  $x_{12}^2/(x_{12}^2 + y_{12}^2)$  and  $y_{12}^2/(x_{12}^2 + y_{12}^2)$

🔴 Note  $\phi_{12} = \phi_f^M - \phi_f^\Gamma \Rightarrow$  same number of CPV quantities in each description

🔴 The LHCb parametrization  $\Delta x$ ,  $\Delta y$  (introduced in the  $D^0 \rightarrow K_S \pi^+ \pi^-$  analyses):

$$2 \Delta x_f = x \cos \phi_{\lambda_f} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) + y \sin \phi_{\lambda_f} \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right),$$
$$2 \Delta y_f = y \cos \phi_{\lambda_f} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) - x \sin \phi_{\lambda_f} \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right).$$

In terms of the dispersive and absorptive phases:

$$\Delta x_f = -y_{12} \sin \phi_f^\Gamma, \quad \Delta y_f = x_{12} \sin \phi_f^M$$

$\Rightarrow \Delta x_f$  and  $\Delta y_f$  are equivalent to the absorptive and dispersive CPVINT phases, up to the corresponding mixing factors

# **Time dependent CPV phenomenology**

## I. Phenomenology of SCS decays to CP eigenstates

The phases  $\phi_f^M$ ,  $\phi_f^\Gamma$  enter the decay widths via the dimensionless observables  $\lambda_f^M$ ,  $\lambda_f^\Gamma$ :

● for SCS decays to **CP-eigenstate** final states, e.g.  $f = K^+ K^-$ ,  $\pi^+ \pi^-$ :

$\bar{f} = \eta_f^{CP} f$ , where  $\eta_f^{CP} = +(-)$  for  $f$  a CP-even (odd) final state

$$\lambda_f^M \equiv \frac{M_{12}}{|M_{12}|} \frac{A_f}{\bar{A}_f} = \eta_f^{CP} \left| \frac{A_f}{\bar{A}_f} \right| e^{i\phi_f^M}, \quad \lambda_f^\Gamma \equiv \frac{\Gamma_{12}}{|\Gamma_{12}|} \frac{A_f}{\bar{A}_f} = \eta_f^{CP} \left| \frac{A_f}{\bar{A}_f} \right| e^{i\phi_f^\Gamma}.$$

- recall CP asymmetries require both a CPV phase difference ( $\phi$ ), and a CP-even phase difference ( $\delta$ ), between interfering amplitudes  $\Rightarrow A_{CP} \propto \sin \phi \sin \delta$
- Trivial strong phase difference between  $A_f$ ,  $\bar{A}_f \Rightarrow$  the only CP-even phase available for generation of CP asymmetries is the **dispersive** phase  $\pi/2$
- Therefore, for CP-eigenstate final states, in general, CPVINT is purely **dispersive** and  $\propto x_{12} \sin \phi_f^M$

- time-dependent decay widths for **SCS decays to CP eigenstates** ( $\tau \equiv \Gamma_D t$ ),  
e.g.  $f = K^+ K^-, \pi^+ \pi^-, \rho^0 \pi^0, K^{*+} K^{*-}, \rho^+ \rho^-$

$$\Gamma(\overleftarrow{D}^0(t) \rightarrow f) = e^{-\tau} |\overleftarrow{A}_f|^2 \left( 1 + c_f^{\pm} \tau + c_f^{\prime \pm} \tau^2 \right)$$

- The time-dependent CPVINT asymmetry:

$$\Delta Y_f \equiv \frac{(c_f^+ - c_f^-)}{2} = \frac{\hat{\Gamma}_{\overline{D}^0 \rightarrow f} - \hat{\Gamma}_{D^0 \rightarrow f}}{2}$$

*ignores  $\mathcal{O}(\tau^2)$*

- CPVINT is indeed **purely dispersive** (up to dCPV effects):

$$\Delta Y_f = \eta_{CP}^f (-x_{12} \sin \phi_f^M + a_f^d y_{12})$$

- In usual parametrization:

$$\Delta Y_f = \frac{y}{2} \cos \phi_{\lambda_f} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) - \frac{x}{2} \sin \phi_{\lambda_f} \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) + a_f^d |y|$$

- physical interpretation is obscured by combination of CPVMIX and CPVINT contributions

## II. Phenomenology of CF/DCS Decays to $K^\pm X$

- For CF/DCS decays to  $K^\pm X$ , e.g.  $K^+ \pi^-$ ,  $K^+ \pi^- \pi^0$  (and SCS decays to non-CP eigenstates), have two pairs of observables: one for  $f$ , one for  $\bar{f}$  **ADD second eq:**

$$\lambda_f^M \equiv \frac{M_{12}}{|M_{12}|} \frac{A_f}{\bar{A}_f} = - \left| \frac{A_f}{\bar{A}_f} \right| e^{i(\phi_f^M - \Delta_f)}, \quad \lambda_f^\Gamma \equiv \frac{\Gamma_{12}}{|\Gamma_{12}|} \frac{A_f}{\bar{A}_f} = - \left| \frac{A_f}{\bar{A}_f} \right| e^{i(\phi_f^\Gamma - \Delta_f)},$$

- $\Delta_f$  = strong phase difference between  $\bar{A}_f$  (DCS) and  $A_f$  (CF), and between  $A_{\bar{f}}$  (DCS) and  $\bar{A}_{\bar{f}}$  (CF)
  - the total **CP-even** phase difference between decays with and without mixing is  $\Delta_f - \pi/2$  (dispersive) and  $\Delta_f$  (absorptive)
- $\Rightarrow$  time dependent CPVINT asymmetries

$$\propto x_{12} \sin \phi_f^M \cos \Delta_f \quad (\text{dispersive mixing})$$

$$\propto y_{12} \sin \phi_f^\Gamma \sin \Delta_f \quad (\text{absorptive mixing})$$



- The time-dependent decay widths for the “wrong sign” decays  $D^0 \rightarrow \bar{f}$  and  $\bar{D}^0 \rightarrow f$ , e.g.  $\bar{f} = K^+ \pi^-$ , are:

$$\Gamma(D^0(t) \rightarrow \bar{f}) = e^{-\tau} |A_f|^2 \left( R_f^+ + \sqrt{R_f^+} c_{\text{WS},f}^+ \tau + c_{\text{WS},f}^{\prime+} \tau^2 \right),$$

$$\Gamma(\bar{D}^0(t) \rightarrow f) = e^{-\tau} |\bar{A}_f|^2 \left( R_f^- + \sqrt{R_f^-} c_{\text{WS},f}^- \tau + c_{\text{WS},f}^{\prime-} \tau^2 \right)$$

where  $R_f^+ = |A_{\bar{f}}/A_f|^2$ ,  $R_f^- = |\bar{A}_f/\bar{A}_{\bar{f}}|^2$

- In the SM, and in NP models with negligible dCPV in CF/DCS decays, obtain the wrong sign CP asymmetry at linear order in  $\tau$ :

$$\delta c_{\text{WS},f} \equiv \frac{1}{2}(c_{\text{WS},f}^+ - c_{\text{WS},f}^-) = x_{12} \sin \phi_f^M \cos \Delta_f - y_{12} \sin \phi_f^\Gamma \sin \Delta_f$$

- expected  $\Delta_f$  dependence for dispersive and absorptive CPV
- non-CP eigenstate final states (non-trivial  $\Delta_f$ ) **yield sensitivity** to  $\phi_f^\Gamma$

# **Intrinsic Mixing Phases and Approximate Universality**

- 🔴 To arrive at a minimal parametrization of indirect CPV effects in the precision era, we need to understand the final state dependence of  $\phi_f^M$ ,  $\phi_f^\Gamma$
- 🔴 accomplished via a ***U-spin flavor symmetry decomposition*** of the SM mixing amplitudes. Using CKM unitarity:

$$\Gamma_{12}^{\text{SM}} = \frac{(\lambda_s - \lambda_d)^2}{4} \Gamma_2 + \frac{(\lambda_s - \lambda_d)\lambda_b}{2} \Gamma_1 + \frac{\lambda_b^2}{4} \Gamma_0$$

- 🟢  $\Gamma_{2,1,0}$  are the  $\Delta U_3 = 0$  elements of  $\Delta U = 2, 1, 0$  multiplets. Can be seen from their flavor structures

$$\Gamma_2 = \Gamma_{ss} + \Gamma_{dd} - 2\Gamma_{sd} \sim (\bar{s}s - \bar{d}d)^2 = O(\epsilon^2),$$

$$\Gamma_1 = \Gamma_{ss} - \Gamma_{dd} \sim (\bar{s}s - \bar{d}d)(\bar{s}s + \bar{d}d) = O(\epsilon),$$

$$\Gamma_0 = \Gamma_{ss} + \Gamma_{dd} + 2\Gamma_{sd} \sim (\bar{s}s + \bar{d}d)^2 = O(1).$$

- 🟢 the orders in the ***U-spin breaking*** parameter  $\epsilon$  are shown
- 🟢  $M_{12}^{\text{SM}}$  is analogous (except for small internal  $b$  quark contributions in  $M_1, M_0$ )

- small  $|\lambda_b/\lambda_s| \sim 0.7 \times 10^{-3} \Rightarrow$  mass and width differences ( $x_{12}$  ,  $y_{12}$ ) are due to  $M_2$  and  $\Gamma_2$ , even though  $O(\epsilon^2)$
- Therefore,  $U$ -spin breaking is large, e.g. large phase space effects Falk et al.
- CPV in mixing arises at  $O(\epsilon)$ , due to  $\Gamma_1$  and  $M_1$  ( $\lambda_b \propto e^{i\gamma}$ )
- Introduce the “intrinsic” pure mixing phases

$$\phi_2^\Gamma \equiv \arg \left[ \frac{\Gamma_{12}}{\frac{1}{4}(\lambda_s - \lambda_d)^2 \Gamma_2} \right], \quad \phi_2^M \equiv \arg \left[ \frac{M_{12}}{\frac{1}{4}(\lambda_s - \lambda_d)^2 M_2} \right],$$

$$\phi_2 \equiv \arg \left[ \frac{q}{p} \frac{(\lambda_s - \lambda_d)^2}{4} \Gamma_2 \right]$$

- $\phi_2^\Gamma, \phi_2^M, \phi_2$  are the intrinsic analogs of  $\phi_f^M, \phi_f^\Gamma, \phi_{\lambda_f}$ , respectively
- defined w.r.t the direction of the dominant  $\Delta U = 2$  mixing amplitudes
- in principle, can be measured on the lattice

- rough SM estimates of  $\phi_2^\Gamma$  and, similarly,  $\phi_2^M$ :

$$\phi_2^\Gamma \approx \text{Im} \left( \frac{2\lambda_b}{\lambda_s - \lambda_d} \frac{\Gamma_1}{\Gamma_2} \right) \sim \left| \frac{\lambda_b}{\theta_c} \right| \sin \gamma \times \frac{1}{\epsilon},$$

- CKM fits yield

$$\phi_2^\Gamma \sim \phi_2^M \sim (2.2 \times 10^{-3}) \times \left[ \frac{0.3}{\epsilon} \right]$$

- a robust SM upper bound on  $|\phi_2^\Gamma|$ , via the relation  $|\Gamma_2| \cong |y|\Gamma_D/\lambda_s^2$ :

$$|\phi_2^\Gamma| = \left| \frac{\lambda_b \lambda_s \sin \gamma}{y} \right| \frac{|\Gamma_1|}{\Gamma_D} < 0.005 \left( \frac{0.66\%}{|y|} \right) \epsilon_1 [1 + O(\epsilon)]$$

where  $\epsilon_1 \equiv |\Gamma_{dd} - \Gamma_{ss}|/|\Gamma_{sd}| = O(\epsilon)$ . It is conservatively  $< 1$ .

- used the upper bound (details in A.K., L. Silvestrini, to appear)

$$\Gamma_{sd}/\Gamma_D < 1 + O(\epsilon)$$

- The  $O(\epsilon)$  correction is expected to be small - it does not depend on  $U$ -spin breaking from phase space effects - those enter at  $O(\epsilon^2)$

## Approximate Universality in the SM

- the misalignments  $\delta\phi_f$  between the measured phases  $\phi_f^M$ ,  $\phi_f^\Gamma$ ,  $\phi_{\lambda_f}$ , and their intrinsic counterparts are equal in magnitude,

$$\delta\phi_f = \phi_f^\Gamma - \phi_2^\Gamma = \phi_f^M - \phi_2^M = \phi_2 - \phi_{\lambda_f},$$

- in general, up to strong phases,  $\delta\phi_f = \arg \left[ \frac{A_f}{A_f} (\lambda_s - \lambda_d)^2 \right]$
- what are the misalignments in the various classes of decays? or, what is the **uncontrolled theoretical error on measurements** of  $\phi_2^M$ ,  $\phi_2^\Gamma$ ?
- CF/DCS decays to  $K^\pm X$ , e.g.  $K^+\pi^-$ ,  $K^+\pi^-\pi^0$ :

$$\delta\phi_f = \arg \left[ -\frac{V_{cs}^* V_{ud}}{V_{cd} V_{us}^*} (\lambda_s - \lambda_d)^2 \right] = O \left( \frac{\lambda_b^2}{\lambda_s^2} \right) \sim 4 \times 10^{-5}$$

- the misalignment is negligible, i.e.  $\delta\phi_f \sim 10^{-2} \phi_2^{M,\Gamma}$

- SCS decays, e.g.  $K^+ K^-$ ,  $\pi^+ \pi^-$ : for CP eigenstate final states

$$\delta\phi_f = -2r_f \cos\delta_f \sin\gamma = -a_f^d \cot\delta_f \sim a_f^d$$

- In the SM,  $r_f = |P/T|$  is the relative magnitude of the subleading QCD penguin amplitude, while  $\phi_f = -\gamma$  and  $\delta_f$  are the weak and strong phase differences
- formally,  $\delta\phi_f/\phi_2^{M,\Gamma} = O(\epsilon)$ , but  $U$ -spin  $\Rightarrow \delta\phi_{K^+K^-} \sim -\delta\phi_{\pi^+\pi^-}$ , or

$$\frac{1}{2}(\phi_{K^+K^-}^{M,\Gamma} + \phi_{\pi^+\pi^-}^{M,\Gamma}) = \phi_2^{M,\Gamma} [1 + O(\epsilon^2)]$$

- $\epsilon$  could be large, but  $O(\epsilon^2)$  suppression of QCD penguin pollution in the average is welcome, if the  $K^+ K^-$  and  $\pi^+ \pi^-$  modes are included in global fits to  $\phi_2^M$ ,  $\phi_2^\Gamma$ .

## CPVINT in $D^0 \rightarrow K_S \pi^+ \pi^-$

- Two-step transitions  $D^0 \rightarrow [K_{S,L} \rightarrow \pi^+ \pi^-] + X$ . The CP conjugate final states  $f = [\pi^+ \pi^-]X$ ,  $\bar{f} = [\pi^+ \pi^-]X$  related by interchanging Dalitz plot variables

- Including kaon CPV, the misalignment satisfies  $(\epsilon_K \cong (1.62 + i 1.53) \times 10^{-3})$

$$\delta\phi_f = 2\epsilon_I + \left| \frac{\lambda_b}{\lambda_s} \right| \sin \gamma = 3.7 \times 10^{-3},$$

- $O(0.1 \phi_2^{M,\Gamma})$  corrections, due to the DCS amplitudes and  $\epsilon'/\epsilon$ , can be neglected

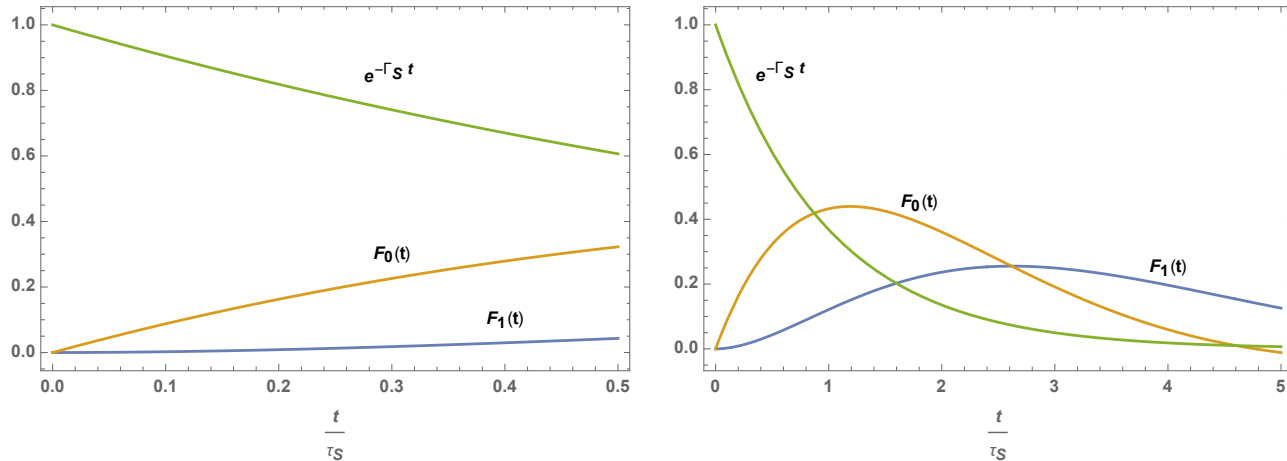
- Incorporating  $\epsilon_K$  effects in the  $K_S \pi^+ \pi^-$  time dependent CP asymmetries, obtain for example  $(t'$  is the time at which  $K_{S,L}$  decay following their production)

$$\Gamma_f - \bar{\Gamma}_{\bar{f}} \propto e^{-\tau} \left\{ \epsilon_R F_0(t') + \sqrt{R_f} \tau \left[ (x_{12} \cos \Delta_f + y_{12} \sin \Delta_f) \epsilon_I F_1(t') \right. \right. \\ \left. \left. + \underbrace{\left( x_{12} \sin \left( \phi_2^M + \left| \frac{\lambda_b}{\lambda_s} \right| \sin \gamma \right) \right)}_{\Delta y_f} \cos \Delta_f + \underbrace{y_{12} \sin \left( \phi_2^\Gamma + \left| \frac{\lambda_b}{\lambda_s} \right| \sin \gamma \right)}_{\Delta x_f} \sin \Delta_f \right] e^{-\Gamma_S t'} \right\},$$

- The  $F_0$  term is associated with dCPV Grossman, Nir 2012

- The  $F_1$  term includes the  $K_S - K_L$  interference effects





Shown are  $F_0(t)$ ,  $F_1(t)$ , and  $\exp[-\Gamma_S t]$ , plotted over a short time interval of relevance to LHCb (left), and a longer time interval of relevance to Belle-II (right)

- over the time scale for observed  $K^0$ 's at LHCb, e.g.  $t' \lesssim 0.5\tau_S$ , cancellations suppress  $F_1$  to the few percent level, while  $e^{-\Gamma_S t'} = O(1)$
- $\epsilon_K$  effects in the CPVINT asymmetries can be neglected at LHCb
- over the Belle-II time scale, e.g.  $t' \lesssim 10\tau_S$ , the cancellation in  $F_1$  subsides, and  $\epsilon_K$  ultimately dominates the SM CPVINT asymmetries.

- Approximate universality **generalizes beyond the SM** under conservative assumptions regarding subleading decay amplitudes containing new weak (CPV) phases:
  - they can be neglected in CF/DCS decays: exotic flavor structure would be required to evade  $\epsilon_K$  constraint
  - in SCS decays, they are of similar magnitude to, or smaller than SM QCD penguins, as hinted at by  $\Delta A_{CP}$
  - these assumptions can ultimately be tested via **dCPV** measurements
- Significant short distance NP in  $\phi_2^M$  would be consistent with approximate universality

## Current Status

● **Superweak Approximation:** in the past, sensitivity to  $\phi_{12}$  of  $O(100)$  mrad probed short-distance NP

- it was appropriate to neglect the effects of weak phases in subleading decay amplitudes in indirect CPV observables. In this limit,

$$\phi_f^M = \phi_2^M = \phi_{12}, \quad \phi_f^\Gamma = 0, \quad \phi_{\lambda_f} = \phi_2$$

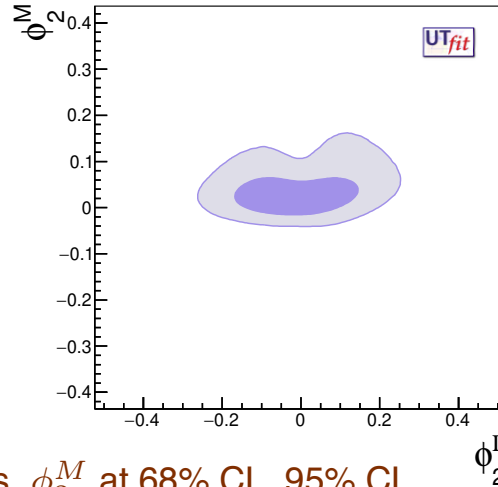
- the superweak global fit is highly constrained, since there is only one CPV phase controlling all indirect CPV phenomena

fit for  $\phi_2^M, \chi_{12}, \psi_{12}$

- Prior to the new LHCb  $\Delta Y_f$  measurements (for experimental inputs mostly from HFLAV), we obtained  $\phi_2^M = (-0.5 \pm 2.2)^\circ$  at  $1\sigma$
- similar to the HFLAV result,  $\phi_2^M = (-0.4 \pm 1.6)^\circ$
- comparison with the SM estimate,  $\phi_2^M = O(0.2^\circ)$ , implies an **order of magnitude window for NP**

## Approximate Universality global fit

- the approximate universality global fit is less constrained, given there are now two CPVINT phases,  $\phi_2^M$  and  $\phi_2^\Gamma$ . Includes new LHCb  $\Delta Y_f$  measurements:



$\phi_2^\Gamma$  vs.  $\phi_2^M$  at 68% CL, 95% CL

- fit for  $\phi_2^M, \phi_2^\Gamma, x_{12}, y_{12}$
- equivalent to traditional fit for  $|b/p|, \phi_2, x, y$

$$\phi_2^M = (2.5 \pm 2.8) \%, \quad \phi_2^\Gamma = (-1.2 \pm 10.2) \% \quad \text{at } 1\sigma$$

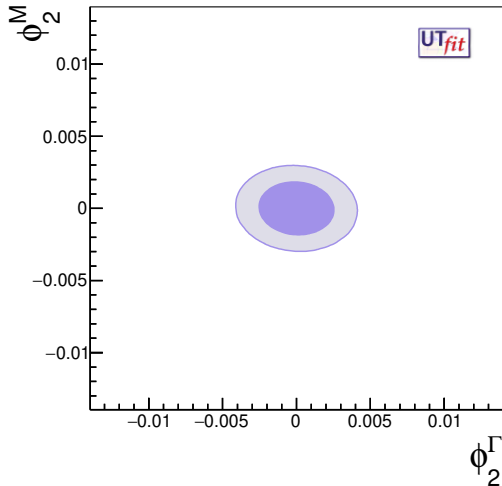
- error on  $\phi_2^M$  is approximately a factor of **3-4 smaller** than on  $\phi_2^\Gamma$ , and not much larger than superweak
- largely due to  $\Delta Y_f$ , which only depends on  $\phi_2^M$

## Future projections

Naively estimated experimental uncertainties for the LHCb Phase II Upgrade era, for three CF/DCS decay modes:  $D^0 \rightarrow K_S \pi^+ \pi^-$ ,  $K^+ \pi^-$ ,  $K^+ \pi^- \pi^+ \pi^-$

$\delta(x_{CP})$ $3.8 \cdot 10^{-5}$	$\delta(y_{CP})$ $8.6 \cdot 10^{-5}$	$\delta(\Delta x)$ $1.7 \cdot 10^{-5}$	$\delta(\Delta y)$ $3.8 \cdot 10^{-5}$	1903.03074, scaled by luminosity
$\delta(y'_{+})_{K\pi}$ $3.2 \cdot 10^{-5}$	$\delta(y'_{-})_{K\pi}$ $3.2 \cdot 10^{-5}$	$\delta(x'_{+})^2_{K\pi}$ $1.7 \cdot 10^{-6}$	$\delta(x'_{-})^2_{K\pi}$ $1.7 \cdot 10^{-6}$	1712.03220, scaled by luminosity
$\delta(x_{K\pi\pi\pi})$ $2 \cdot 10^{-5}$	$\delta(y_{K\pi\pi\pi})$ $2 \cdot 10^{-5}$	$\delta( q/p _{K\pi\pi\pi})$ $2 \cdot 10^{-3}$	$\delta(\phi_{K\pi\pi\pi})$ $0.1^\circ$	1812.07638 (Yellow Rept)

300 fb<sup>-1</sup>



$$\delta \phi_2^M \approx \pm 0.12^\circ \text{ at } 1\sigma$$

$$\delta \phi_2^\Gamma \approx \pm 0.17^\circ \text{ at } 1\sigma$$

- suggests SM sensitivity may be achievable

# Conclusion

- Description of indirect CPV in terms of the absorptive and dispersive phases  $\phi_f^M, \phi_f^\Gamma$  is simpler, and more physically transparent than  $\phi_{\lambda_f}, |q/p| - 1$
- ultimately, the goal is to measure the two intrinsic mixing phases  $\phi_2^M, \phi_2^\Gamma$
- **approximate universality**: minimal uncontrolled pollution from the decay amplitudes
  - **CF/DCS decays**: to excellent approximation, it is negligible in the CF/DCS decays in the SM, and in models with negligible new weak phases in these decays
  - **SCS decays**: there is uncontrolled final state dependent pollution, formally of  $O(\epsilon)$  for individual modes, but of  $O(\epsilon^2)$  for the sum  $\phi_{K^+K^-}^{M,\Gamma} + \phi_{\pi^+\pi^-}^{M,\Gamma}$
  - in the future, it will be instructive to compare the SCS and CF/DCS measurements
- $\phi_2^M$  and  $\phi_2^\Gamma$  can, in principle, be measured on the lattice - this will be **crucial for a precision test of the SM**
- There is currently an  $O(10)$  window for NP in mixing CPV. Based on very naive projections, SM sensitivity may be achieved during the LHCb Phase II era, particularly if  $\phi_2^M, \phi_2^\Gamma$  lie on the high end of the  $U$ -spin based estimates