

# **High-Energy Cosmic Particle Sources II** **- Supernovae & Cosmic-Ray Emission -**



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**Penn State University**  
**ISAPP School**

PENNSTATE



# One Comment: Coincident Examples

**Black hole merger**

**GW150914**  
**GRB 150914**



**$\sim 3\sigma$**

**No simple concordance**



**Blazar flare**

**IceCube-170922A**  
**TXS 0506+056**



**$\sim 3\sigma$  ( $\sim 4\sigma$  w.o.  $\gamma$ )**

**No simple concordance**



**Neutron star merger**

**GW170817**  
**GRB 170817A**



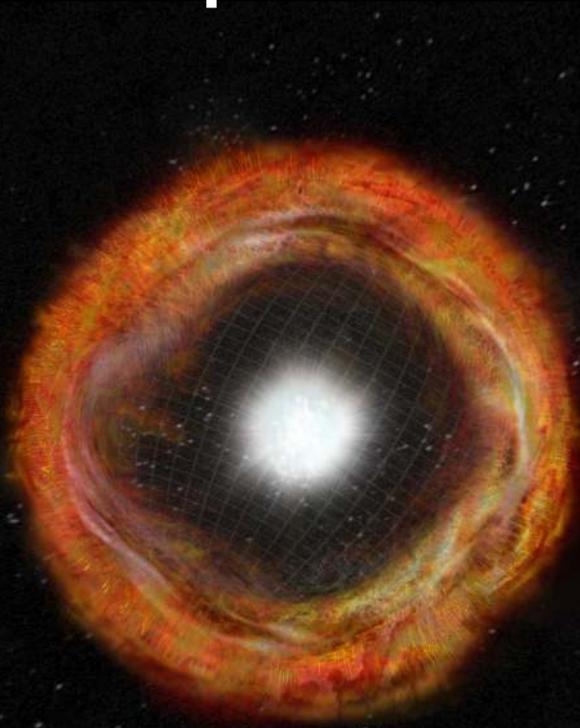
**$\sim 5\sigma$**

**Concordance**

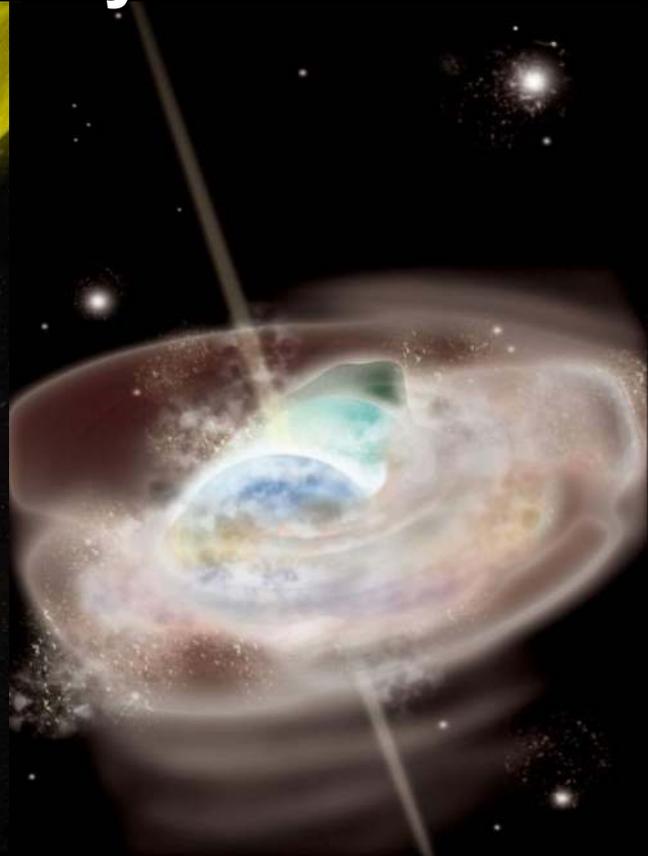
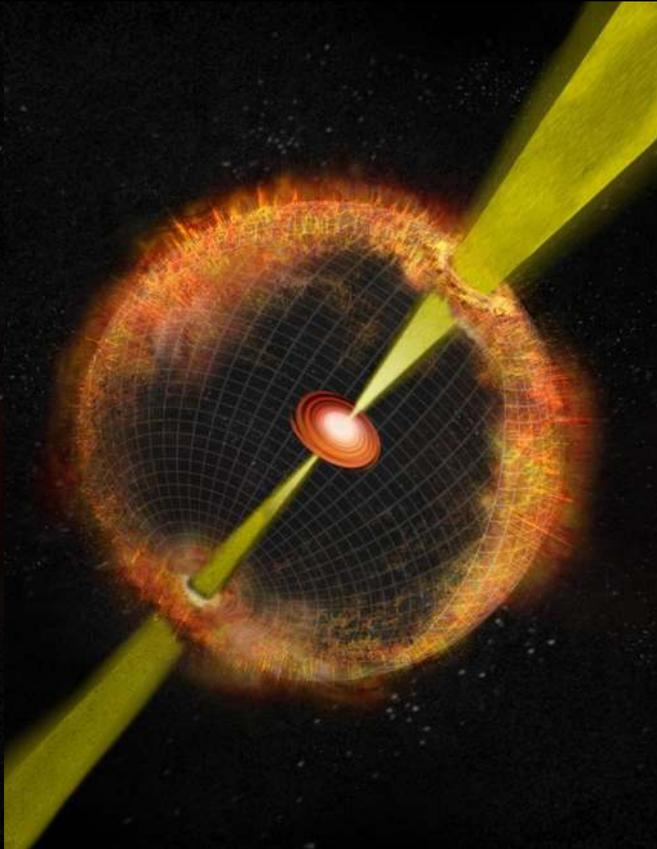


# *What Can $\nu$ s and GWs Tell Us?*

**Supernova**



**Gamma-ray burst**



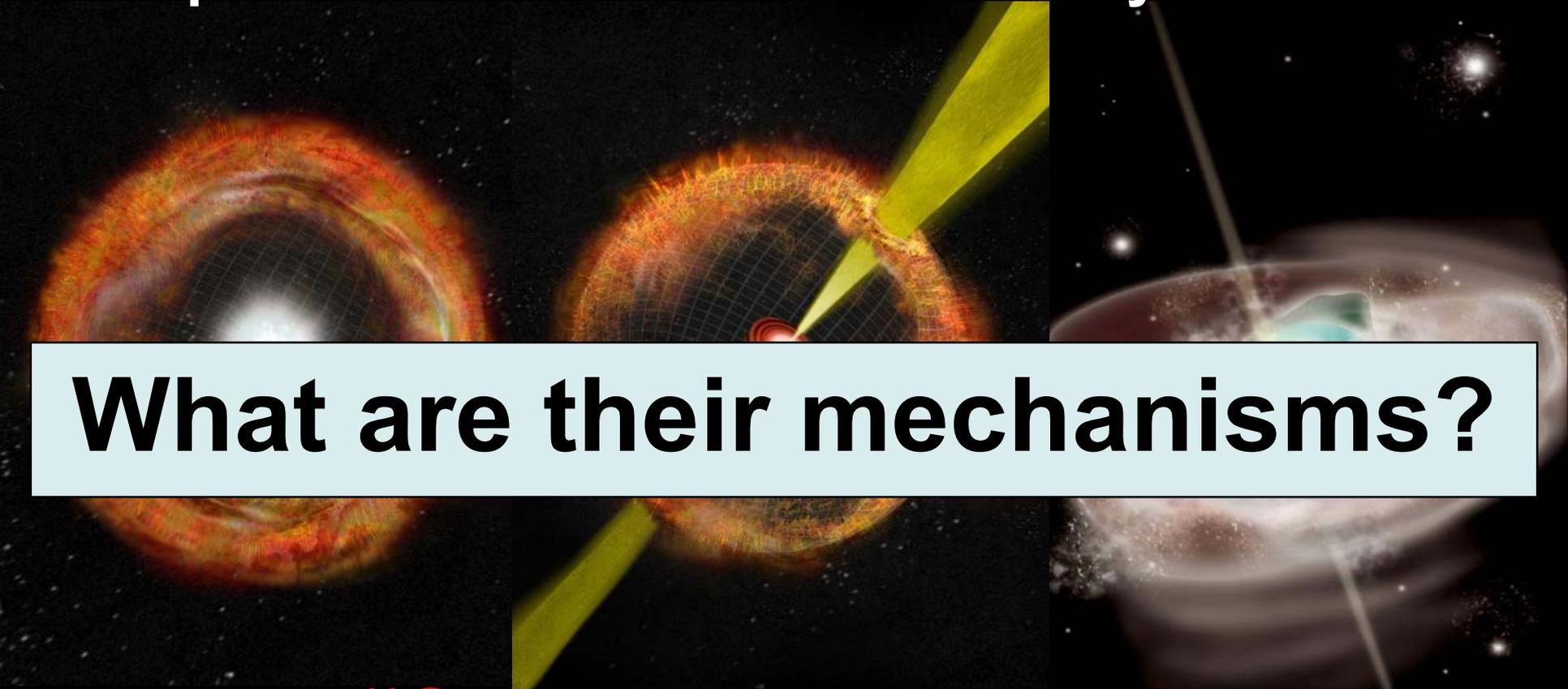
***“Cosmic explosions”***

**A single explosion can easily outshine an entire galaxy containing hundreds of billions of stars.**

# *What Can $\nu$ s and GWs Tell Us?*

**Supernova**

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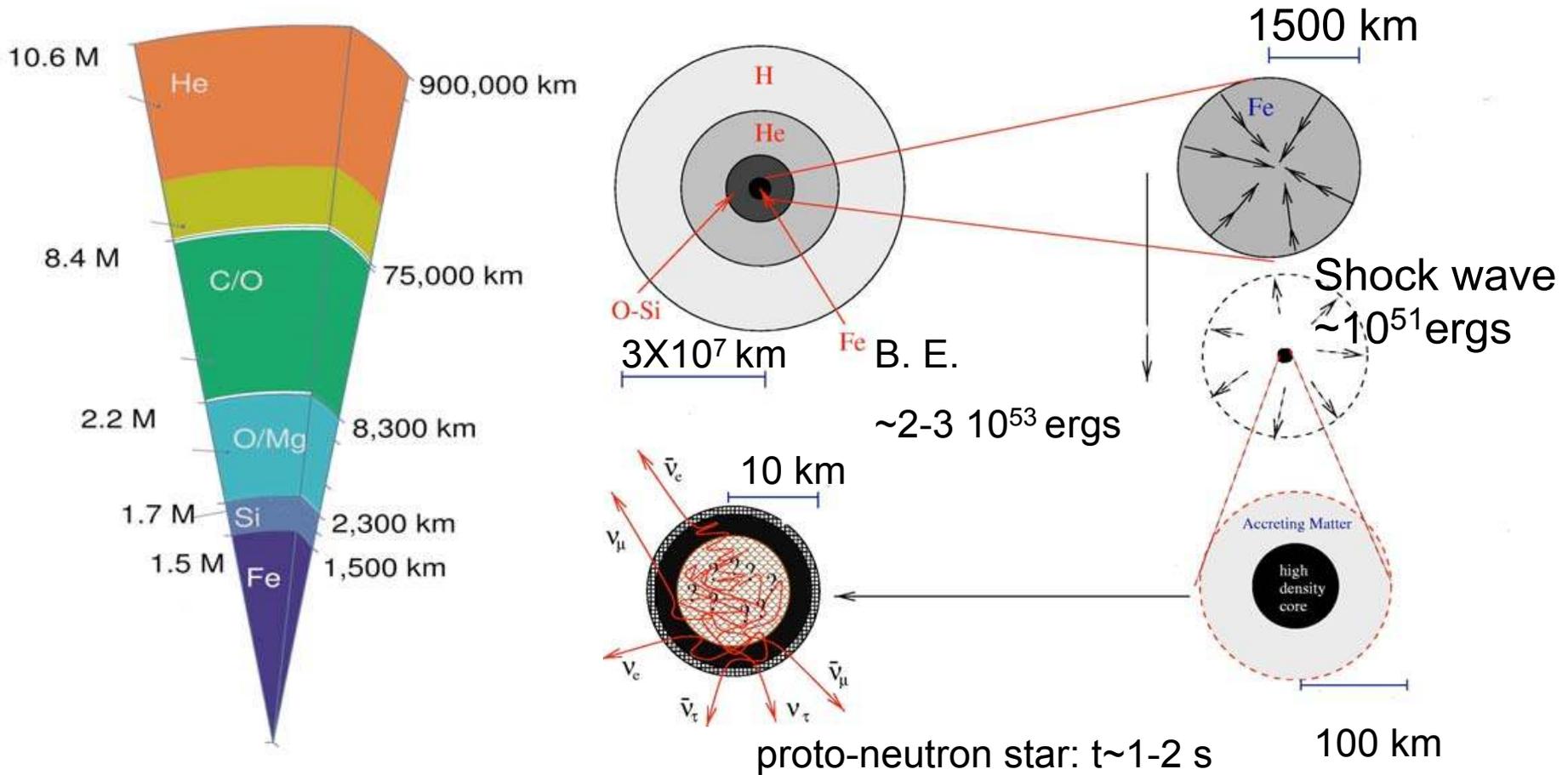


**What are their mechanisms?**

***“Cosmic explosions”***

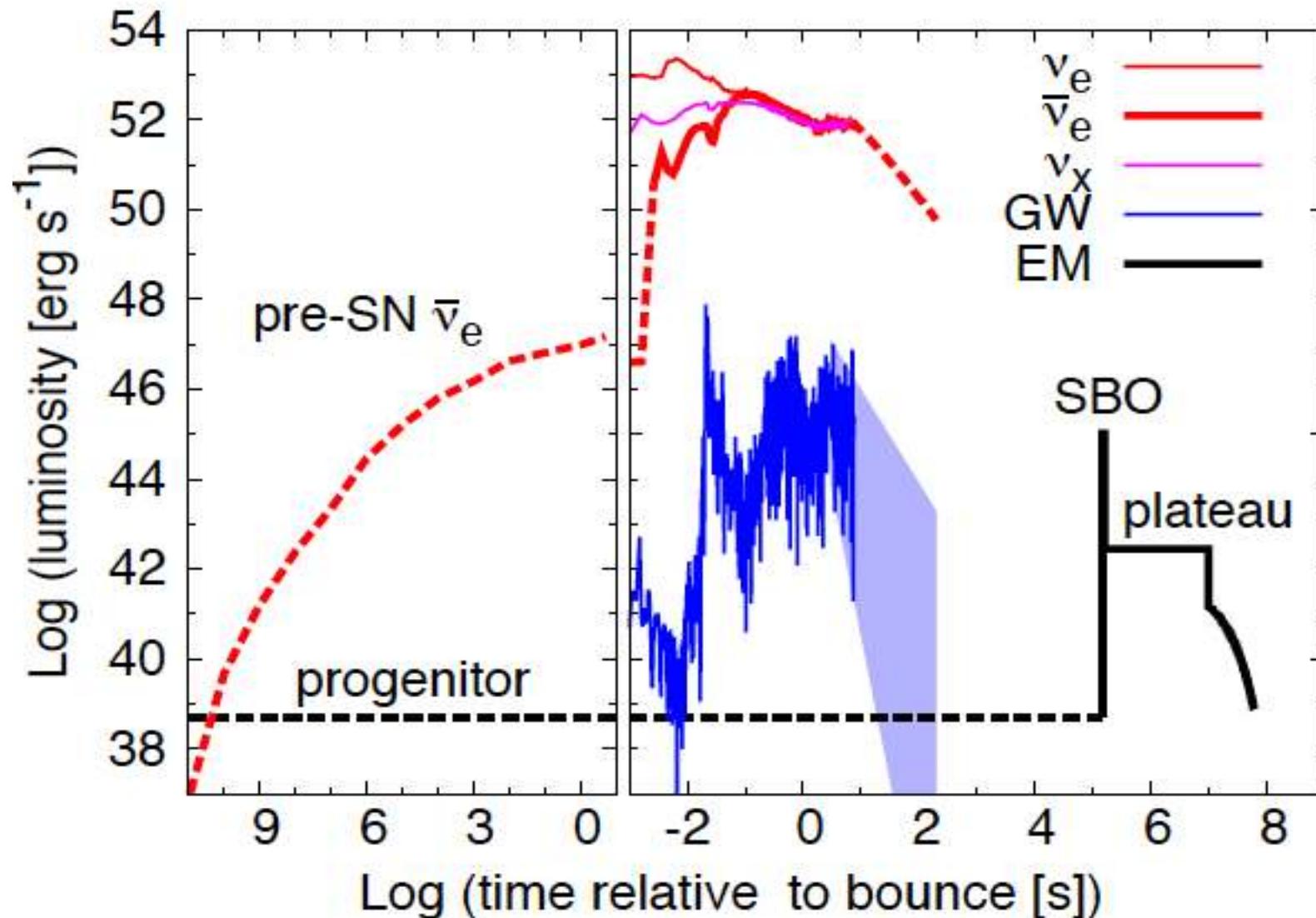
A single explosion can easily outshine an entire galaxy containing hundreds of billions of stars.

# Path to Core-Collapse Supernova

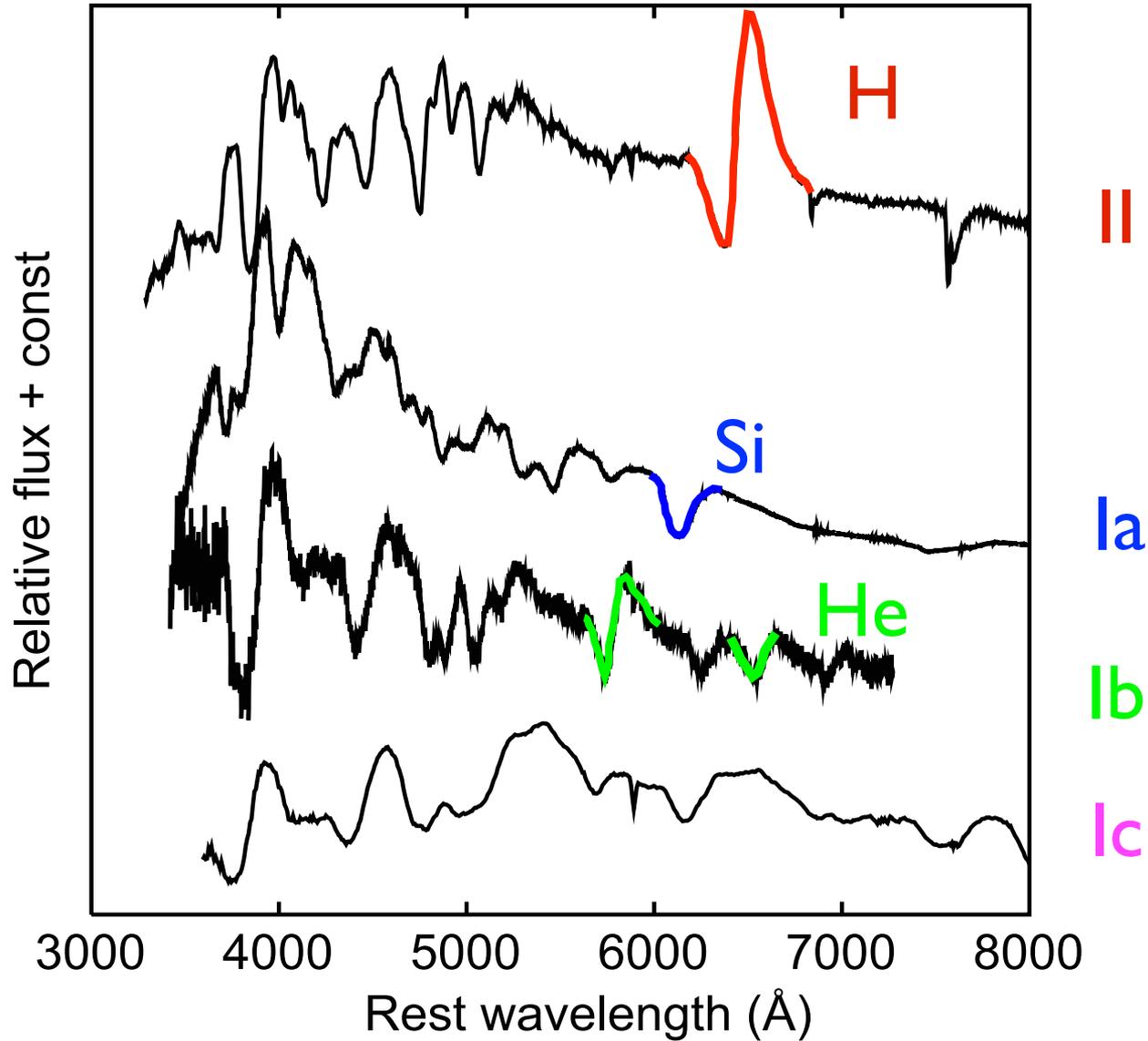


**How is gravitational energy converted into kinetic energy?**

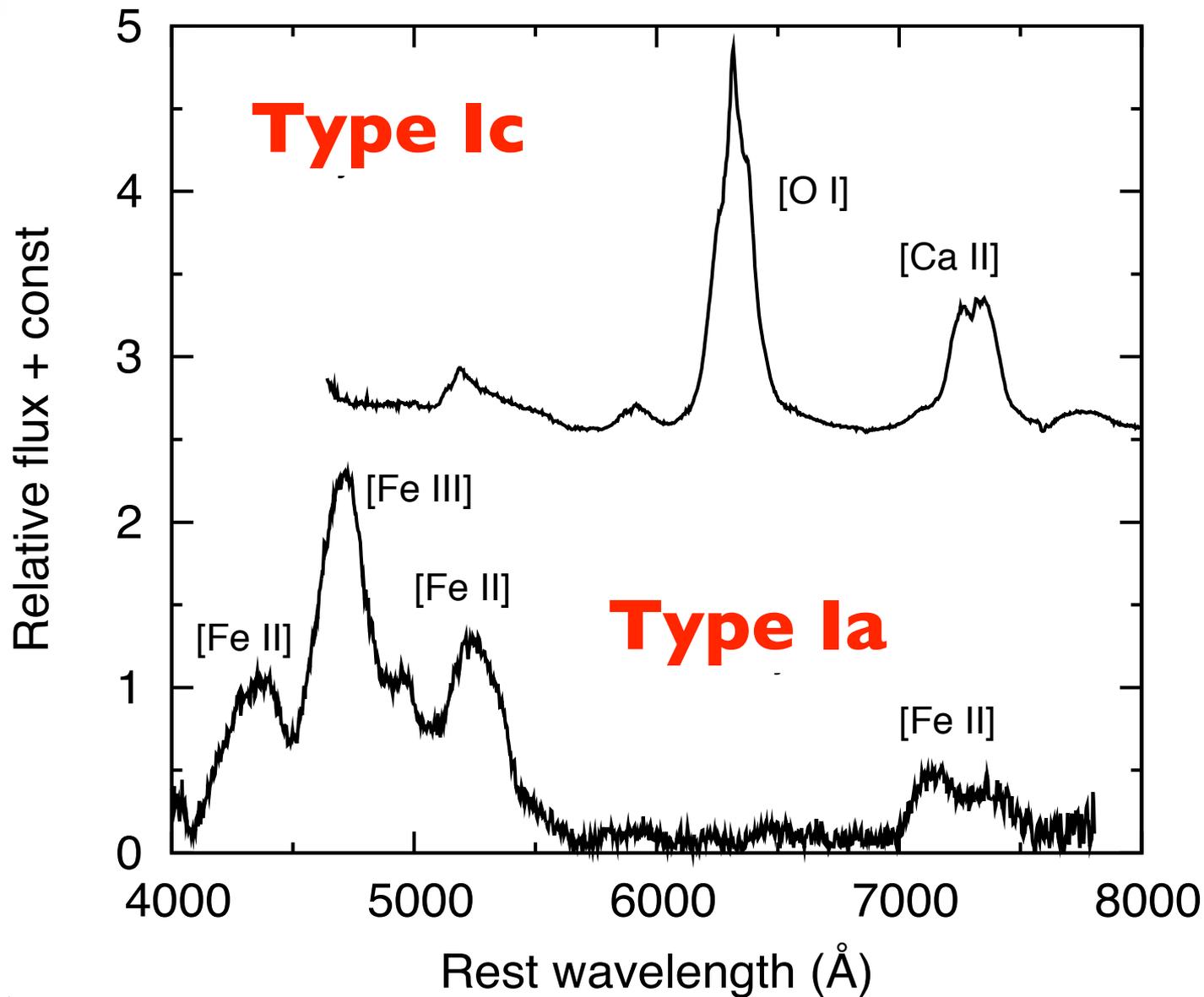
# Supernova as a Multi-Messenger Source



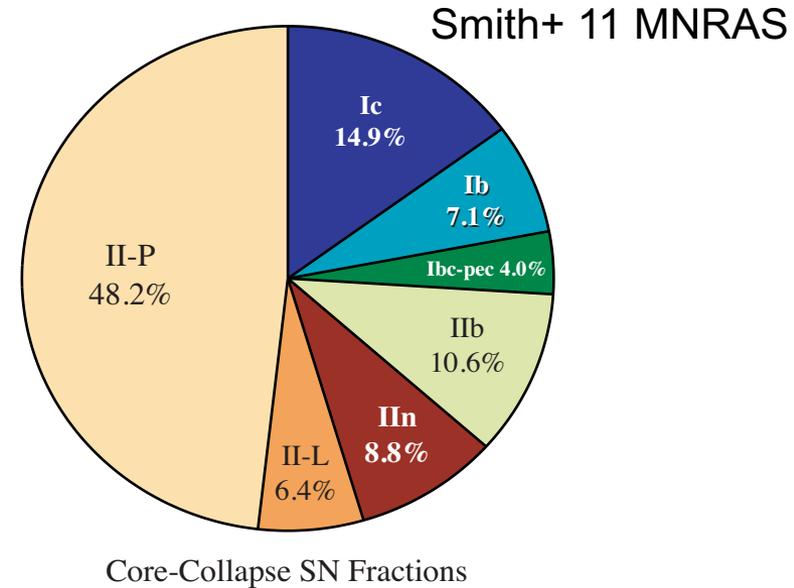
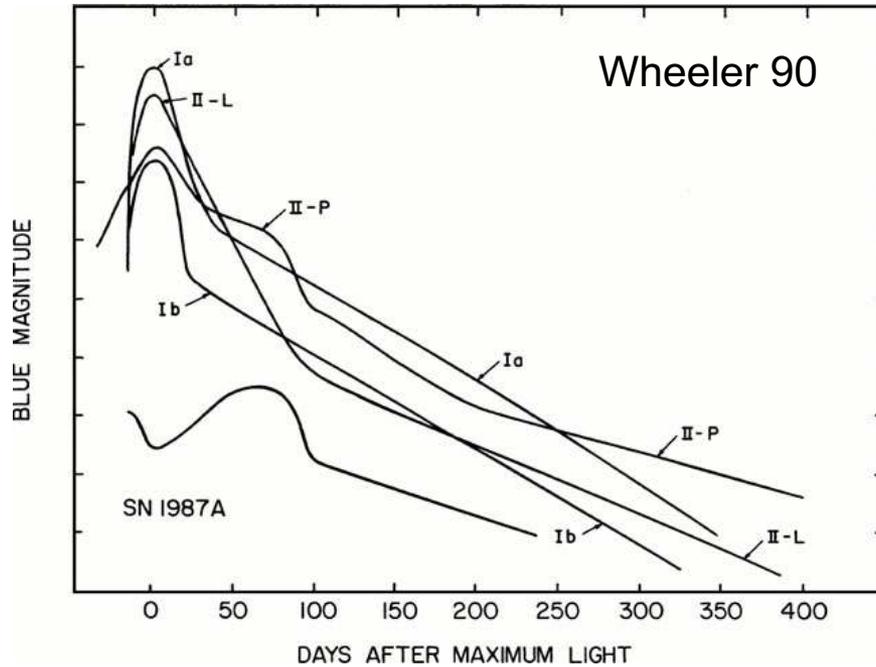
# Optical Spectra



# Late Time (Nebula Phase) Spectra



# Diversity of Core-Collapse Supernovae



Type II: ~ 2/3 of CCSN

II-P/II-L – core-collapse supernovae from red/blue super giants

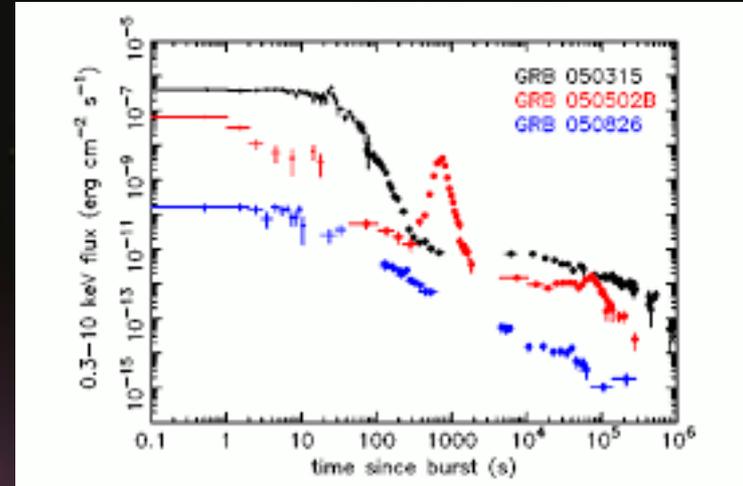
Ibc/IIb – core-collapse supernovae from binaries or WR stars

Ia – white dwarf merger or white dwarf – star binary

II/Ibc – found in star-forming galaxies

Ia – found in both star-forming and elliptical galaxies

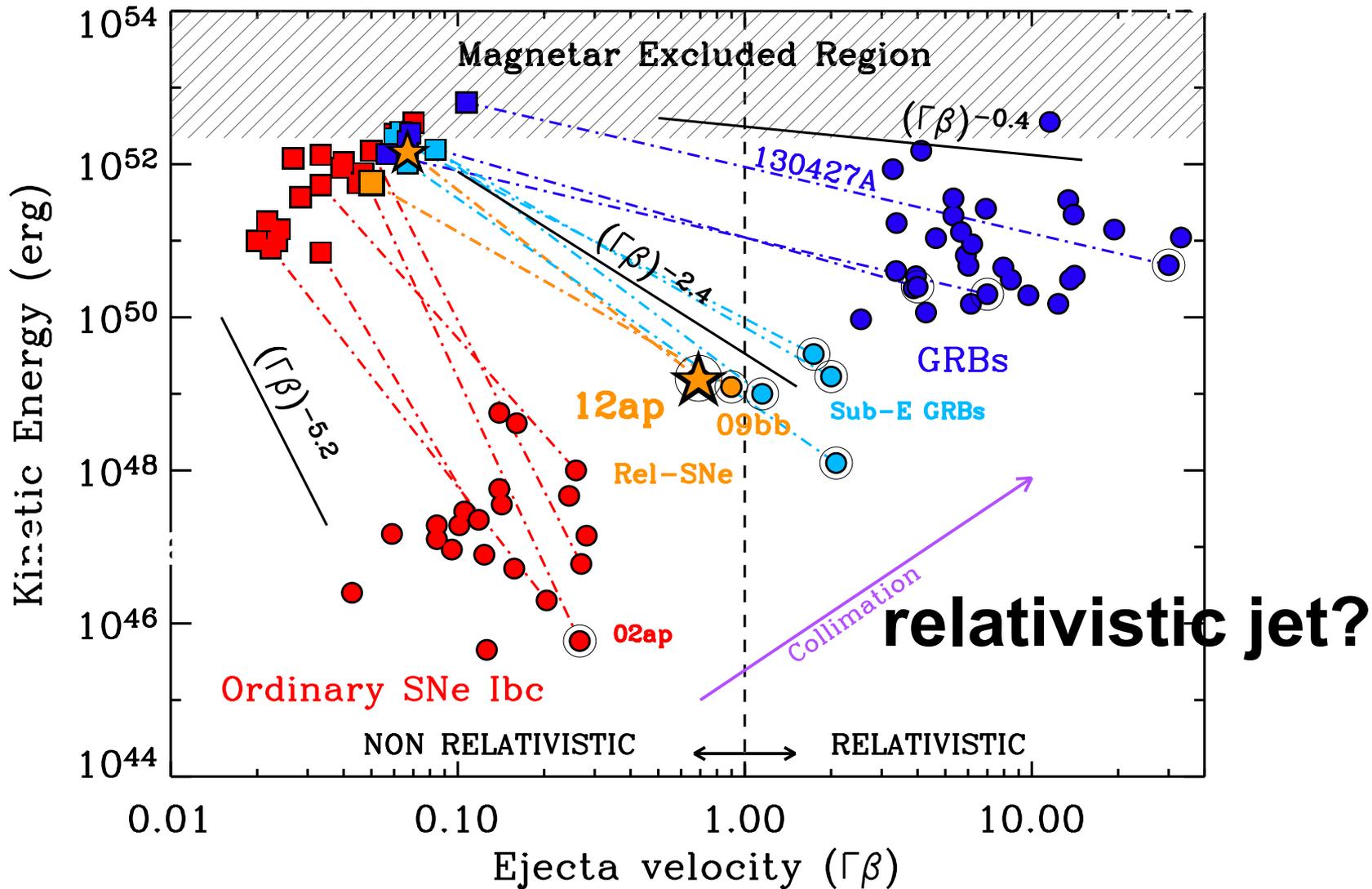
# Long Gamma-Ray Bursts



- $\sim 10^{51}$  erg in  $\gamma$  rays
- Relativistic jets
- Black hole w. accretion disk
- Fast-rotating magnetar
- Broadline Ic supernovae/hypernovae

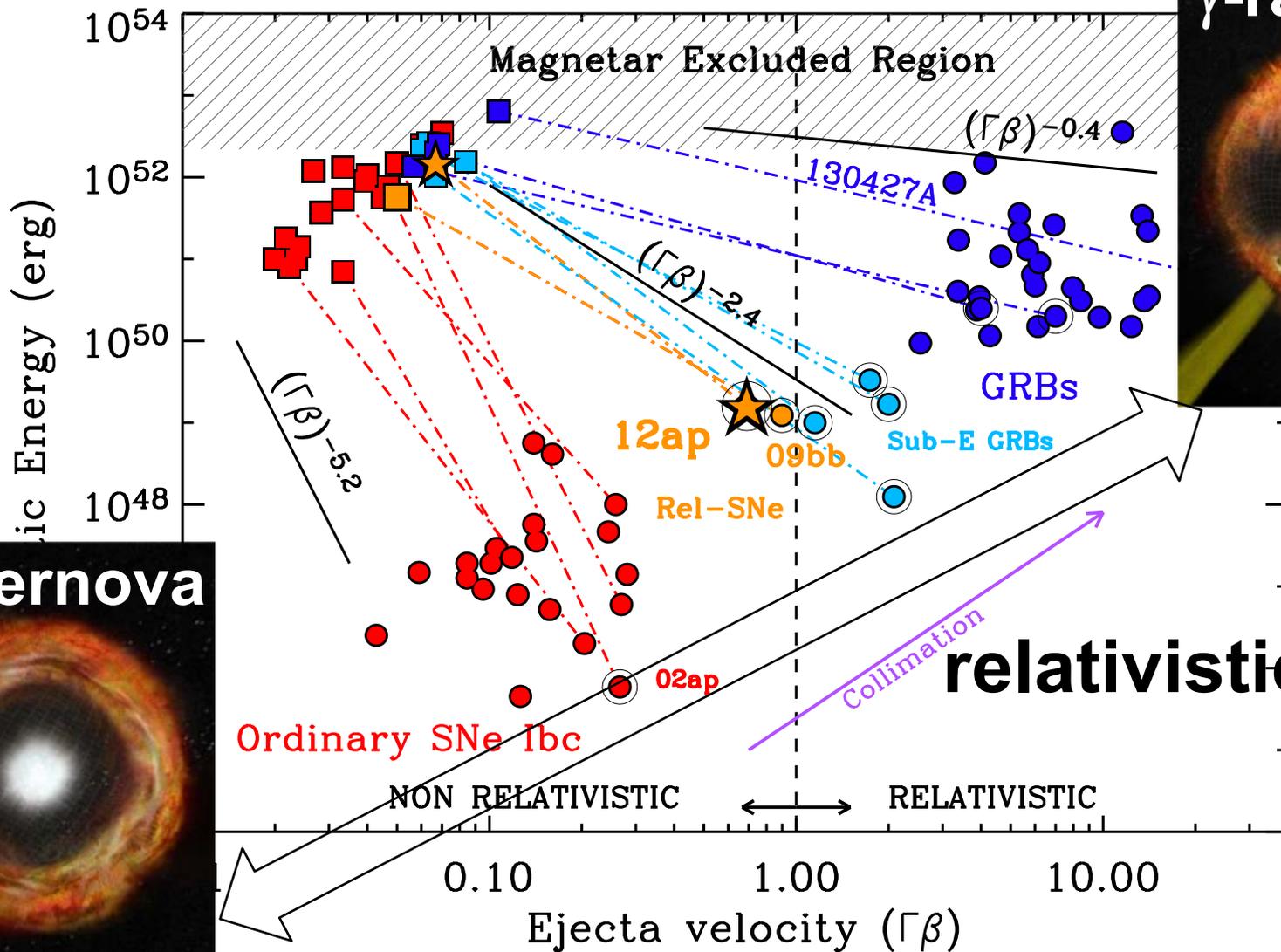
# Trans-Relativistic SNe (Low-Luminosity GRBs)

Margutti+ 14



# Trans-Relativistic SNe (Low-Luminosity GRBs)

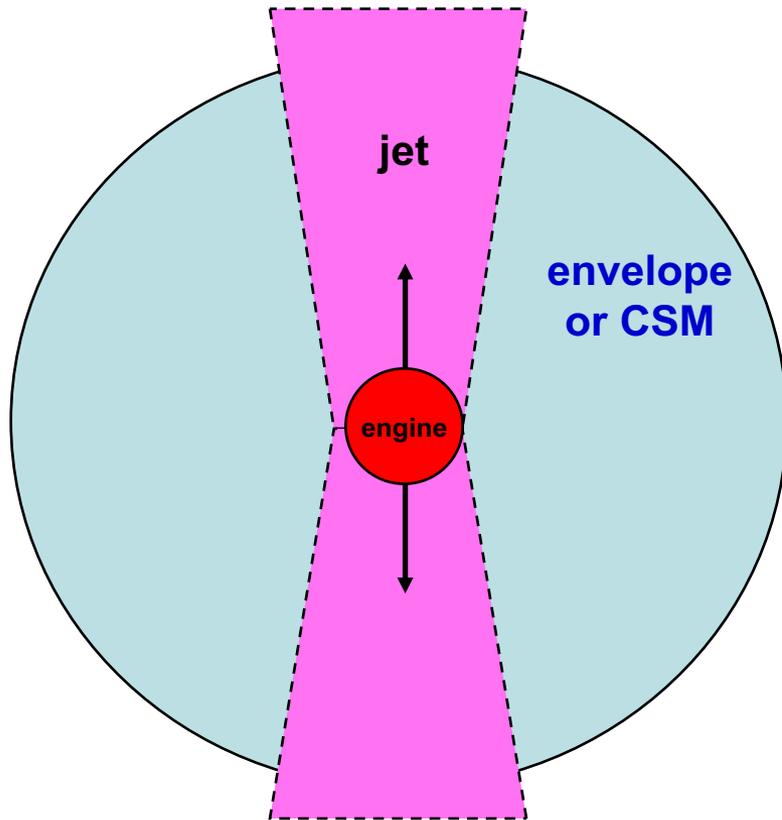
Margutti+ 14



**relativistic jet?**

# Jets: Key to GRB-SN Connection

**“Successful” Jet**



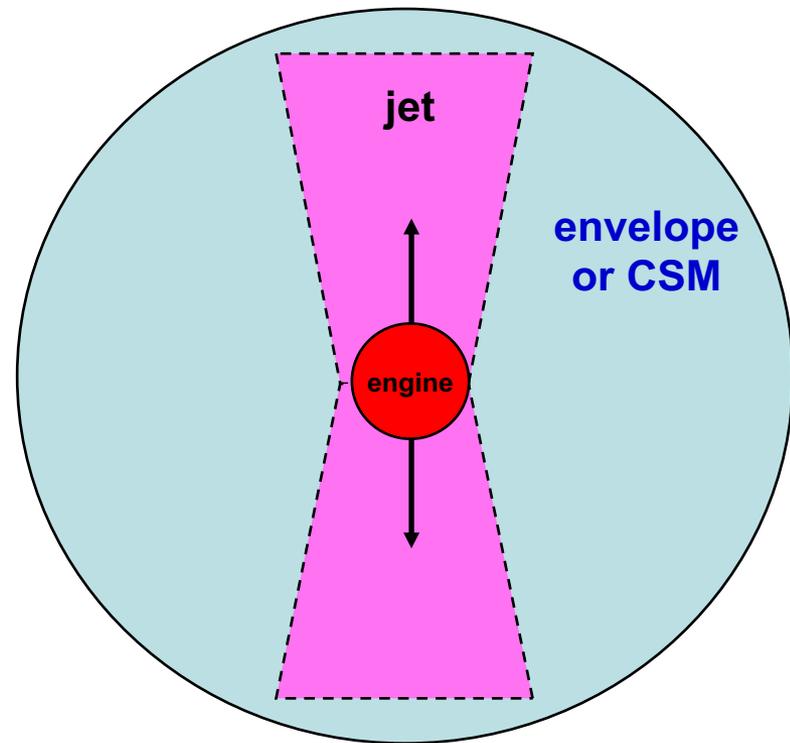
**long GRB**

direct counterparts in e.g.,  $\gamma$  rays

**“Choked” Jet**

jet  
dynamics  
jet power  
stellar size  
duration

A horizontal double-headed arrow pointing left and right, indicating a relationship or transition between the two jet models.

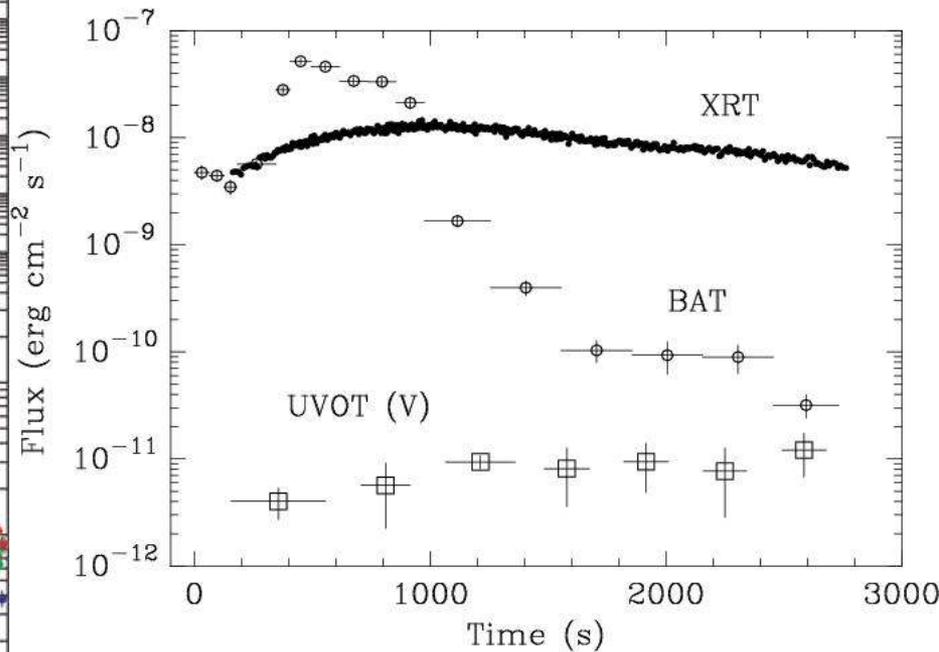
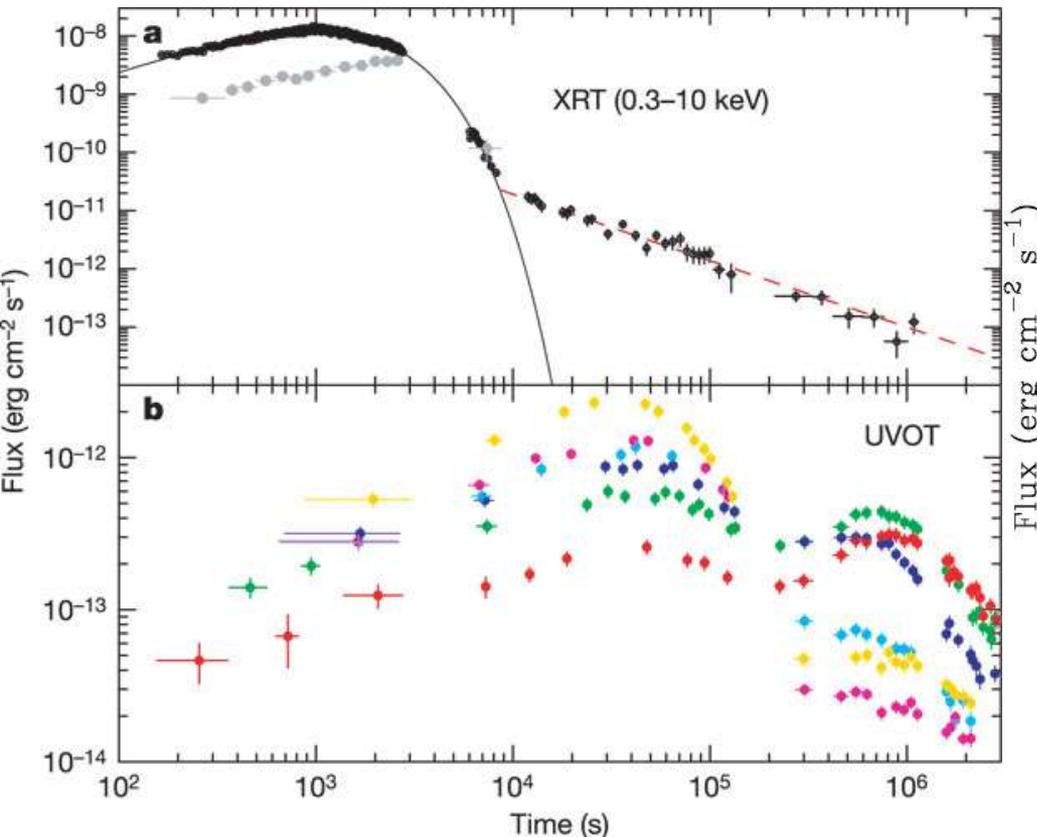


**GRB before breakout  
failed GRB or jet-powered SN  
(trans-relativistic SN? hypernova?)**

indirect counterparts in e.g., opt, X rays

# GRB 060218: Shock Breakout?

Campana+ 06 Nature



Prompt emission:  $T \sim 3000$  sec w.  $\varepsilon_{\gamma}^{\text{pk}} \sim 5$  keV  
long-lasting X-ray and UV (thermal) emission  
intermediate nature between GRBs and SNe

# Shock Breakout from Dense Circumstellar Wind

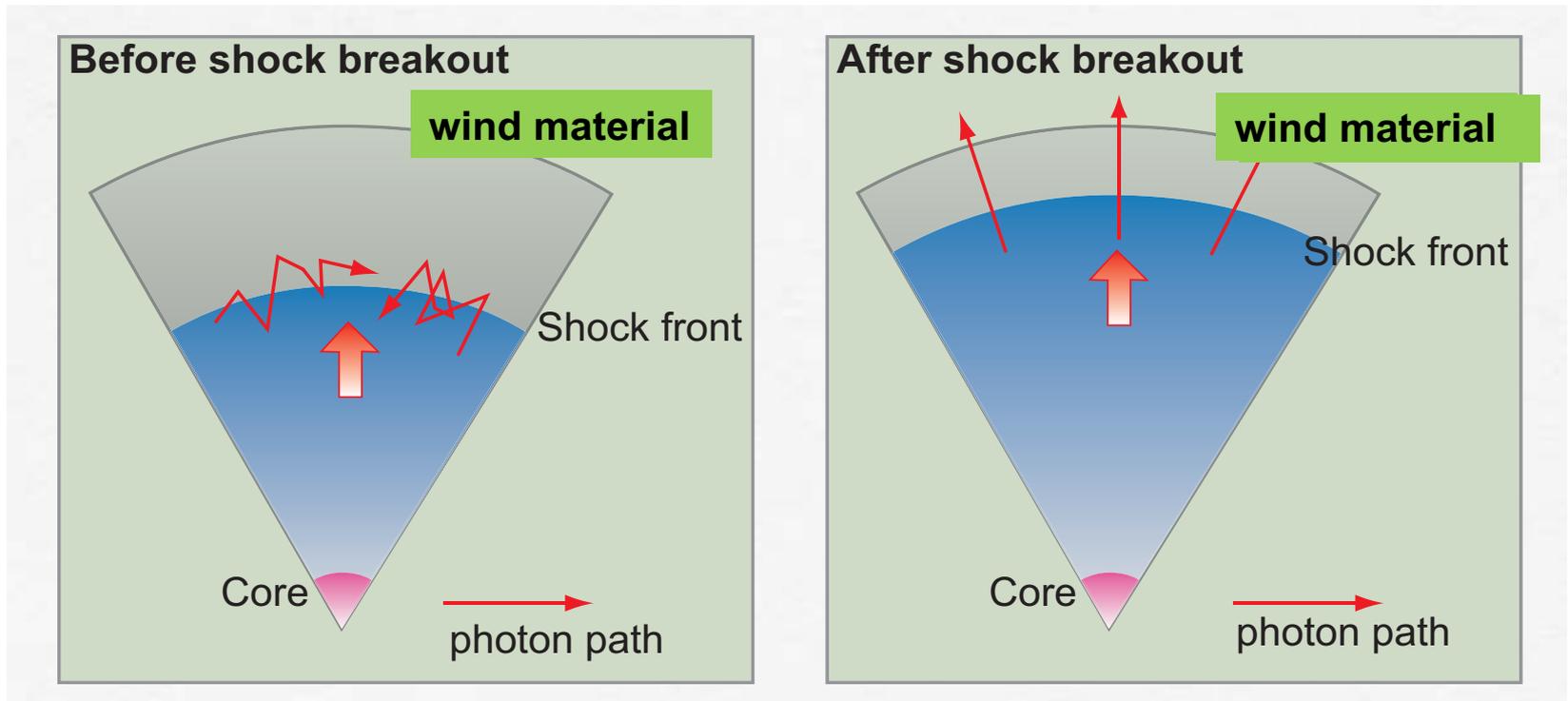
photon diffusion time  
 $t_{\text{diff}} \sim R^2/\kappa_{\text{rad}} \sim n\sigma_{\text{T}}R^2/c$



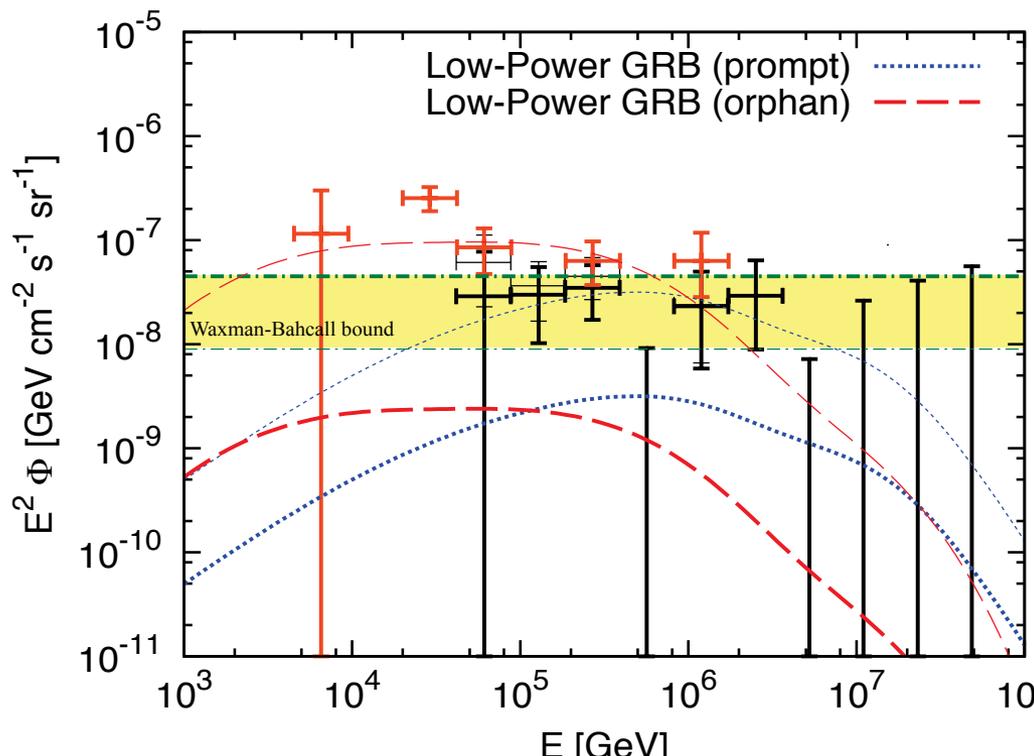
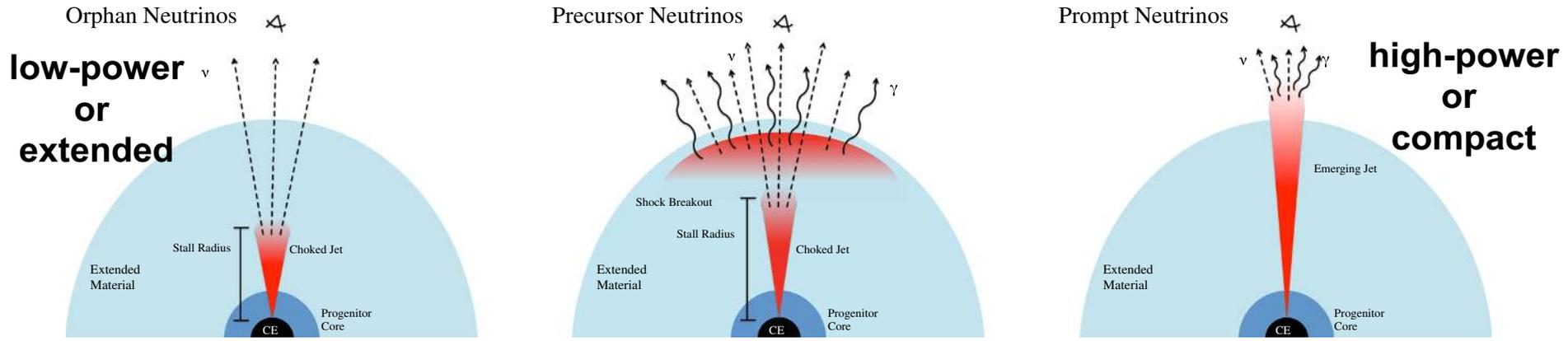
dynamical time:  
 $t_{\text{dyn}} \sim R/\beta c, \beta=V/c$

**at breakout from wind**

$$t_{\text{diff}} = t_{\text{dyn}} \Leftrightarrow \tau_{\text{T}} = 1/\beta = c/V$$



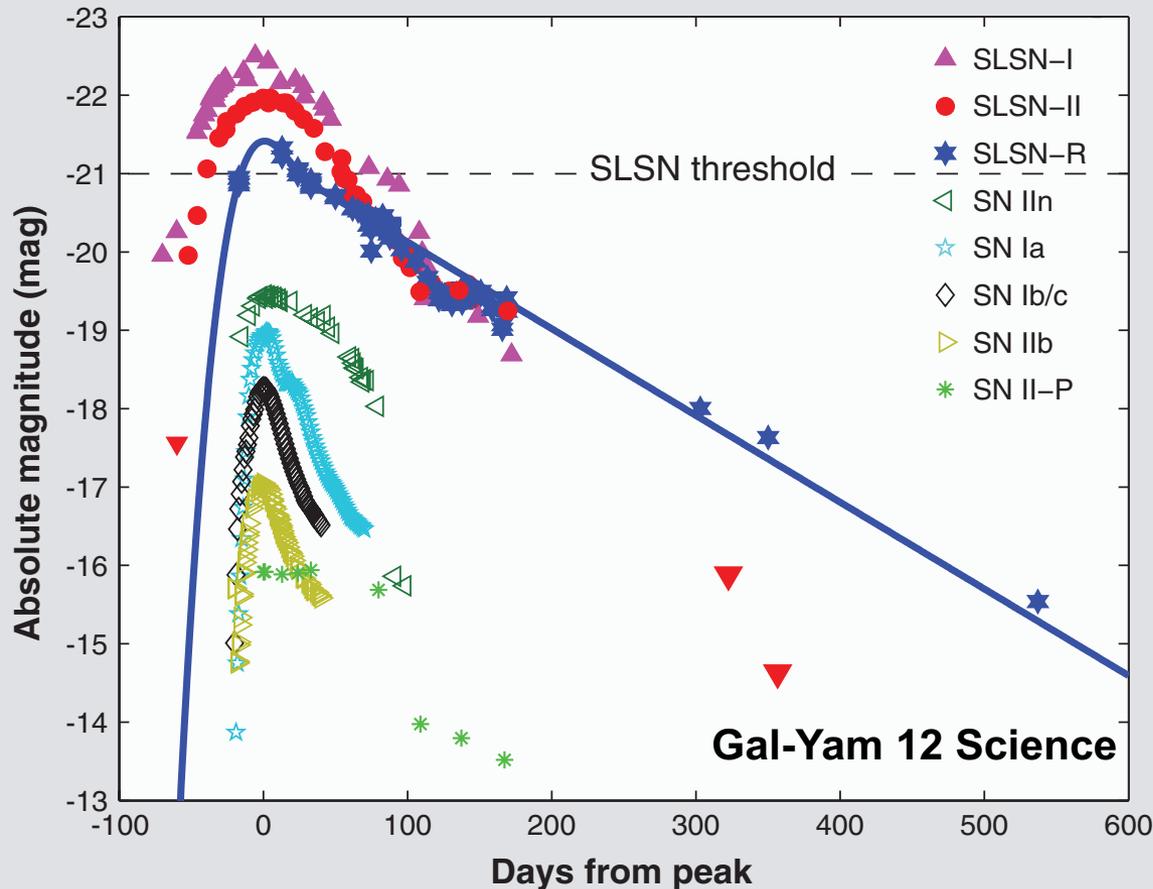
# Choked Jets as Hidden Neutrino Factories?



**IceCube  $\nu$  origin?**

from KM & Ioka 13  
Senno, KM & Meszaros 16

# Luminous Supernovae as Long-Duration Transients

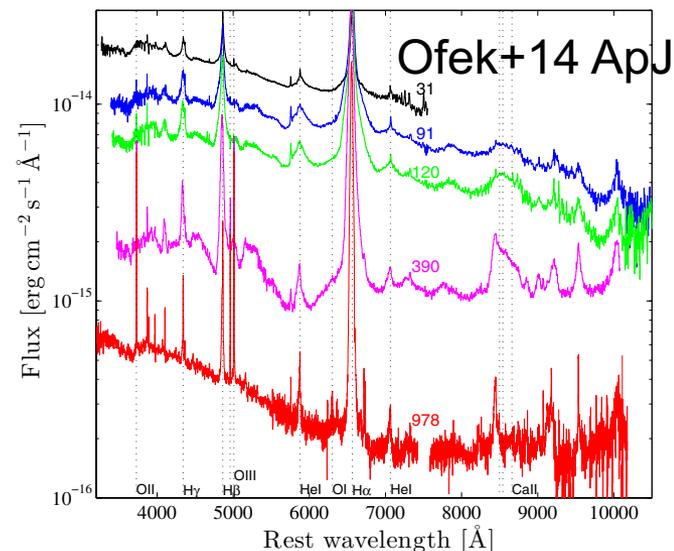
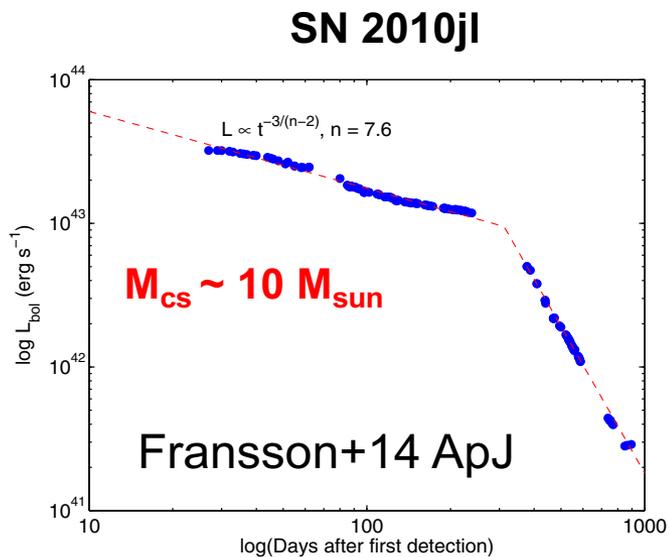


Luminous SNe explanations w. radioactivity for I and II often have difficulty

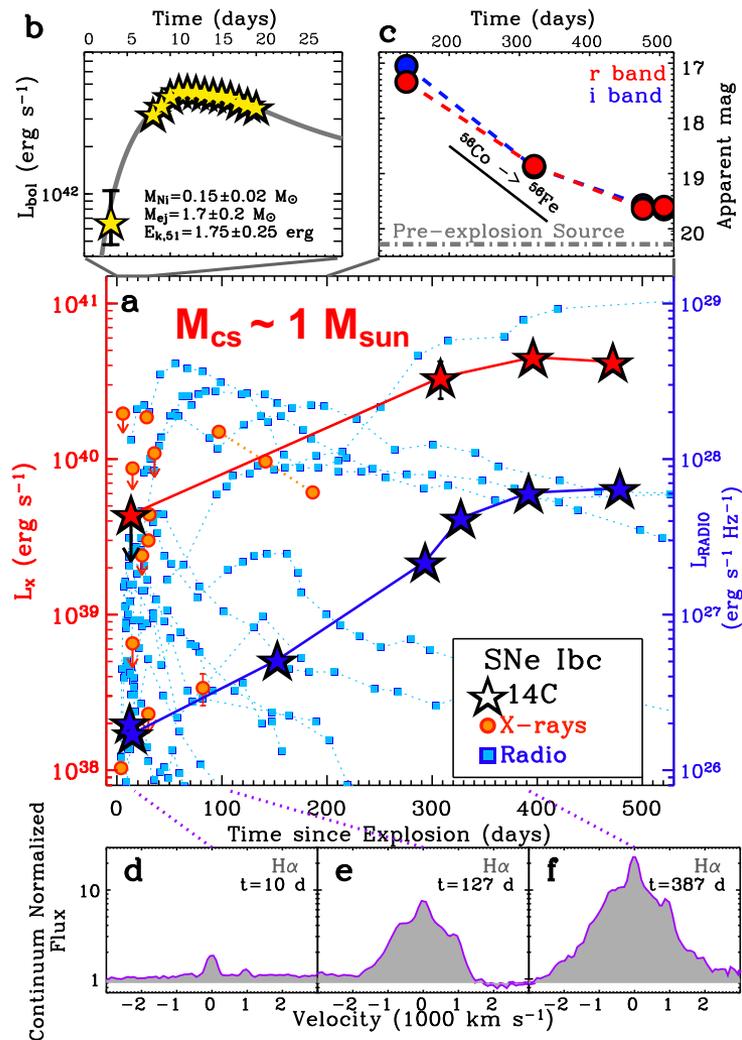


- SLSN-I (hydrogen poor) – energy injection by engine?
- SLSN-II (hydrogen) – [circumstellar material interaction](#)

# Type II<sub>n</sub>: Interactions w. Dense CSM

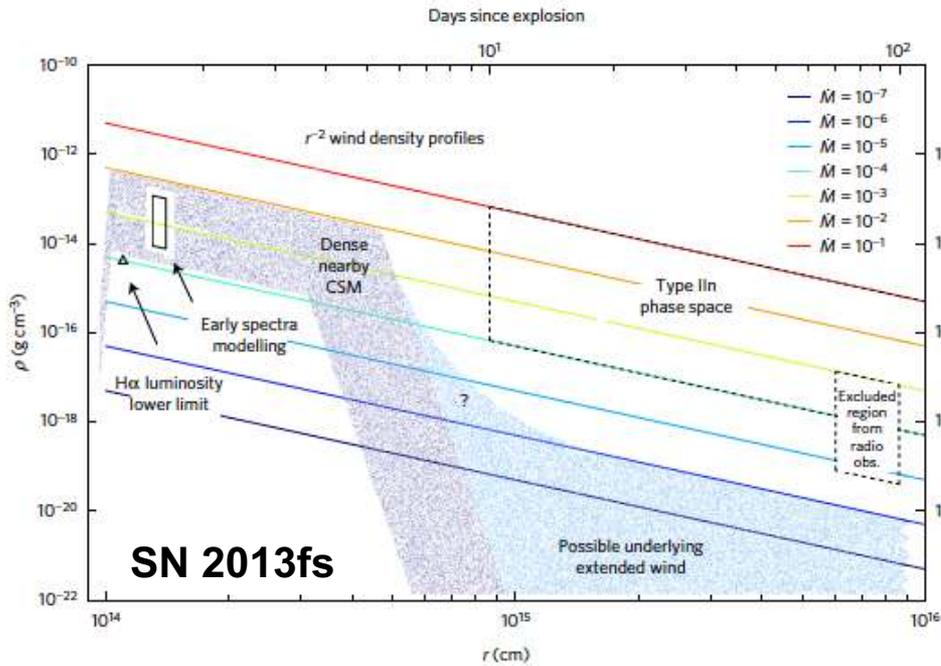


**SN 2014C** Margutti et al. 16



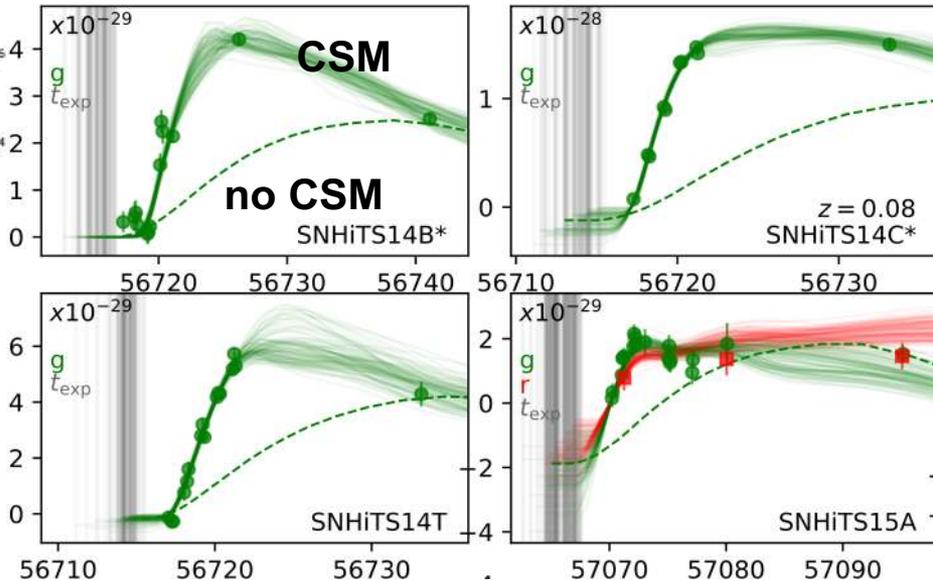
Interactions w. dense wind or CSM are common (SLSN-II, II<sub>n</sub>, II<sub>b</sub>)

# Evidence for Dense Material in “Ordinary” SNe II



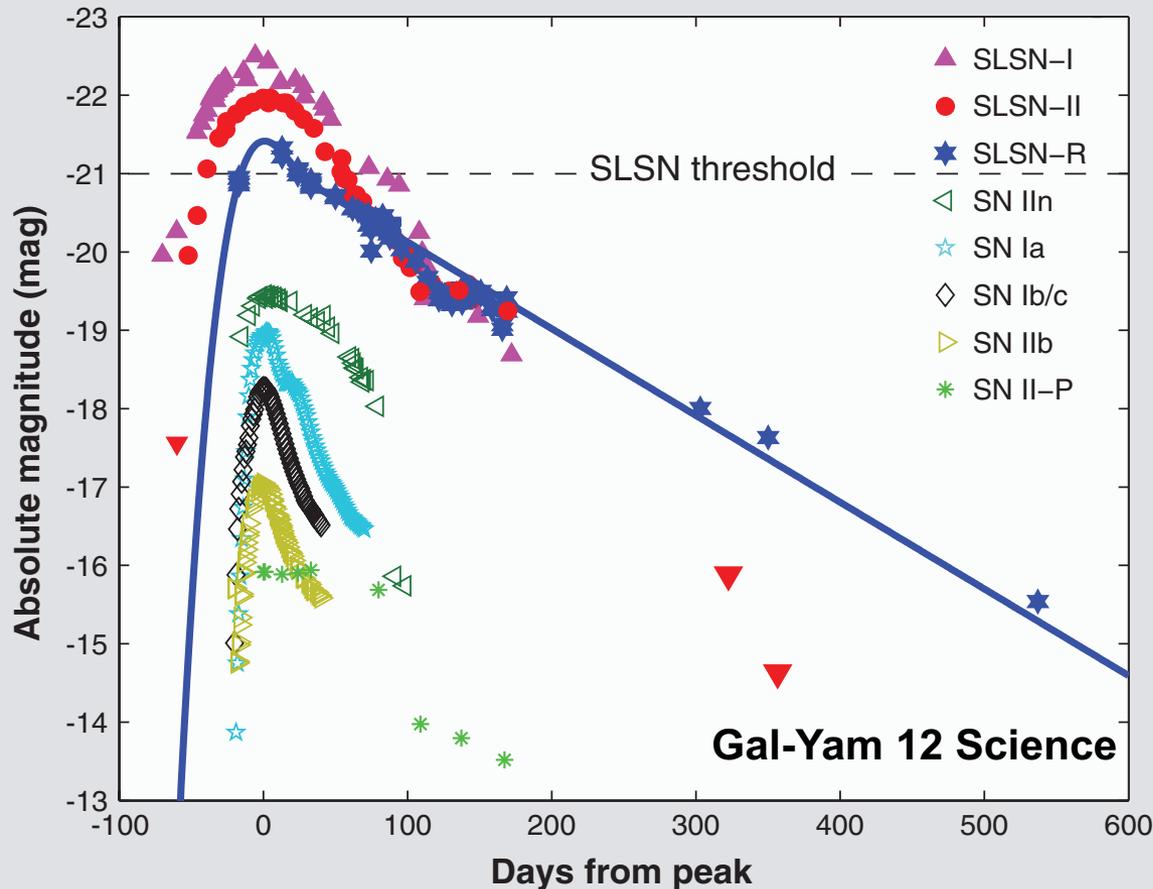
light curve modeling  
 Forster+ 18 Nat. Ast.  
 see also Morozova+ 17 ApJ

early spectroscopy  
 (Yaron+ 16 Nat. Phys.)



Extended material is common even for Type II-P SNe  
 $dM_{\text{CS}}/dt \sim 10^{-3} - 10^{-1} M_{\text{sun}} \text{ yr}^{-1}$  ( $\gg 3 \times 10^{-6} M_{\text{sun}} \text{ yr}^{-1}$  for RSG)

# Luminous Supernovae as Long-Duration Transients

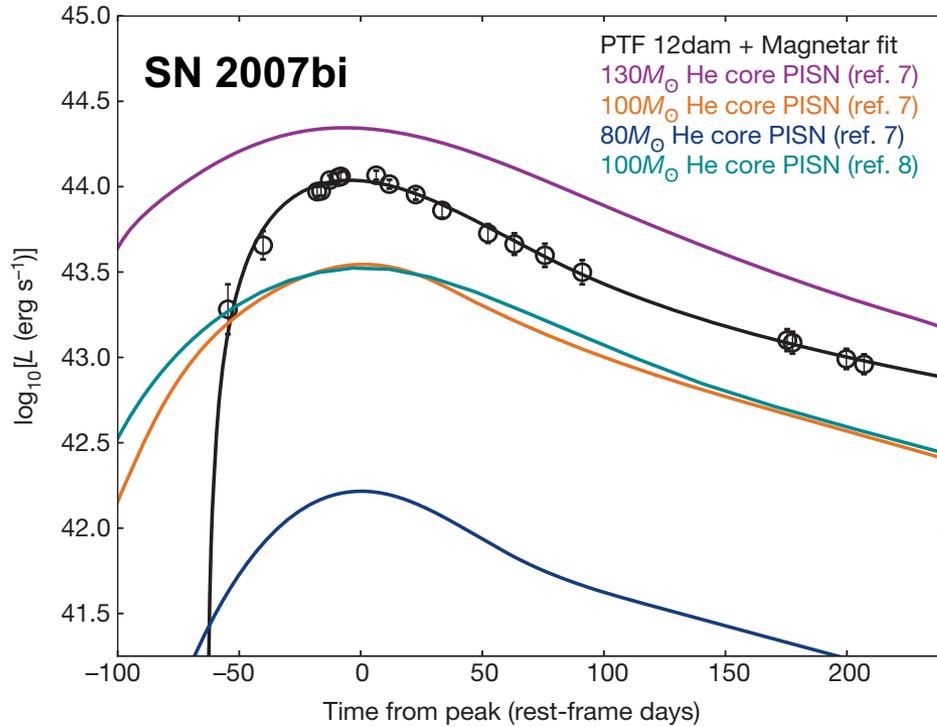


Luminous SNe explanations w. radioactivity for I and II often have difficulty

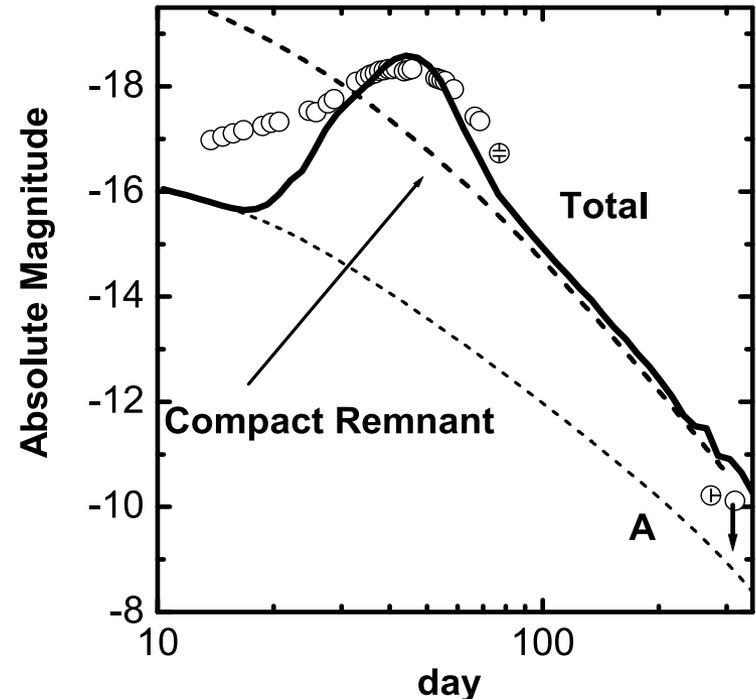


- SLSN-I (hydrogen poor) – energy injection by engine?
- SLSN-II (hydrogen) – circumstellar material interaction

# Newborn Pulsar Scenario for Ibc/SLSNe I



Nicholl et al. 13 Nature

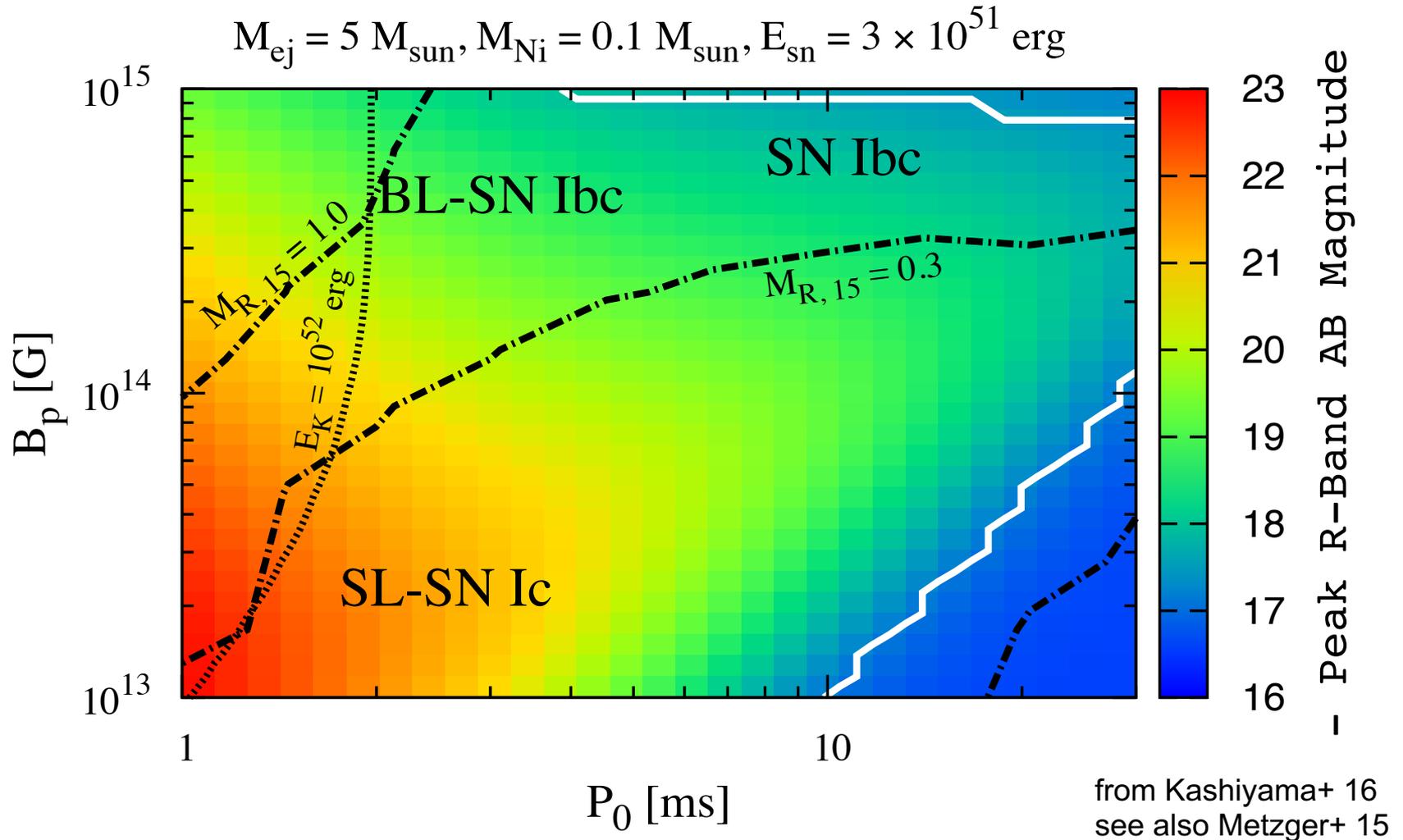


Maeda et al. 07 ApJ

- parameters:  $B_{\text{dip}}$  &  $P_i$  (or  $L_{\text{em}}$  &  $t_{\text{em}}$ ),  $V_{\text{ej}}$ ,  $M_{\text{ej}}$
- assumption: all Poynting energy is converted into thermal energy

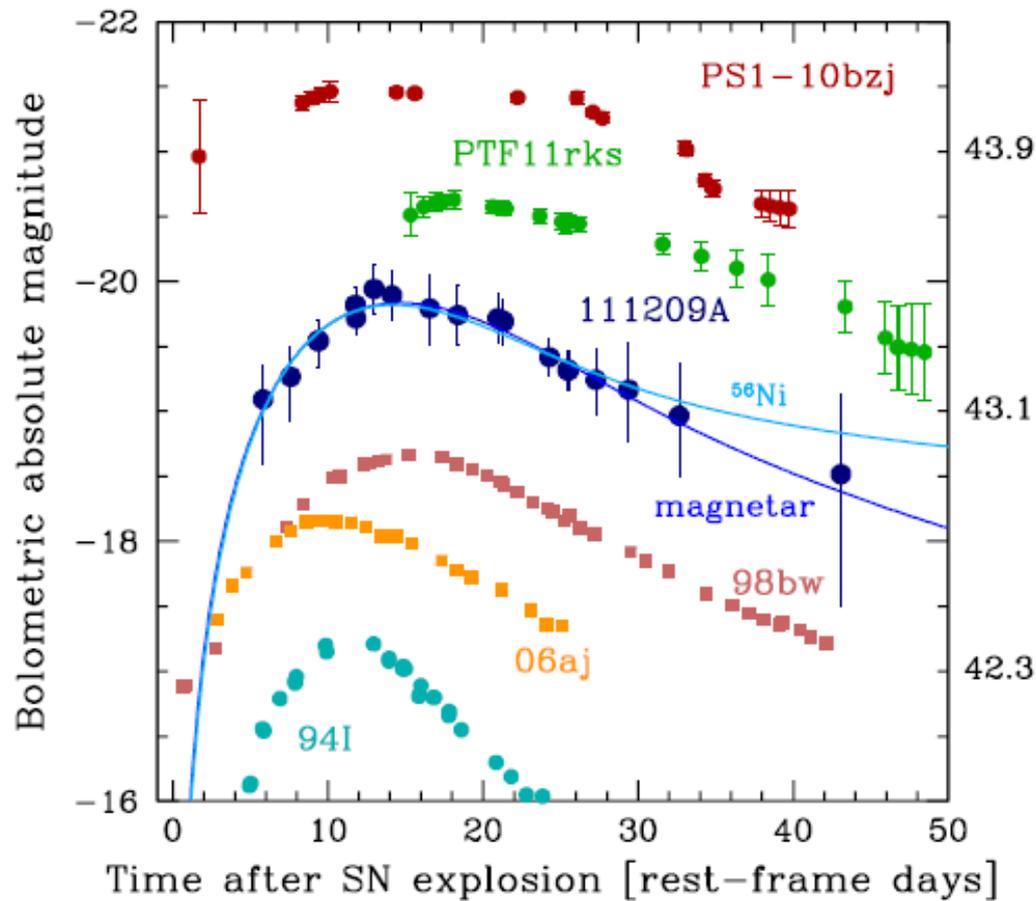
# Origin of Supernova Diversity?

Newborn pulsars: luminosity governed by B (mag.) and P (period)

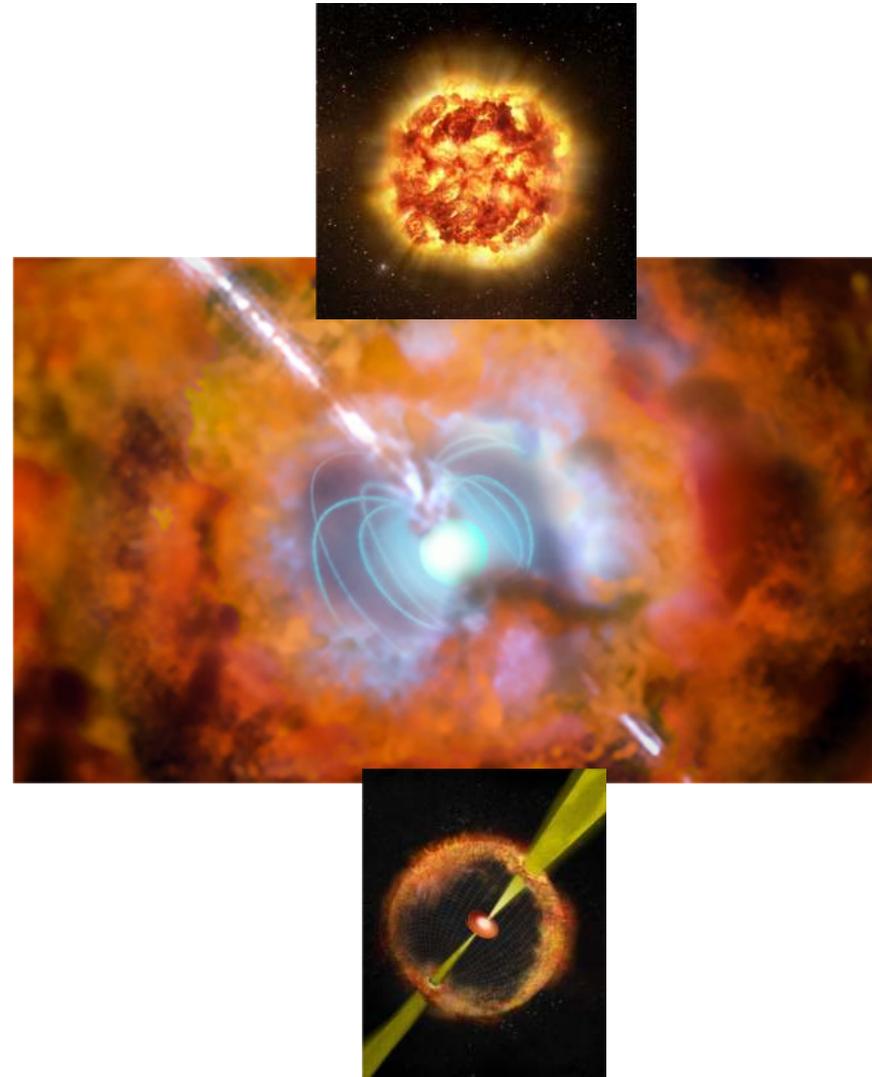


# GRB-SLSN Connection?

GRB 111209A: consistent w. SLSN-like SN

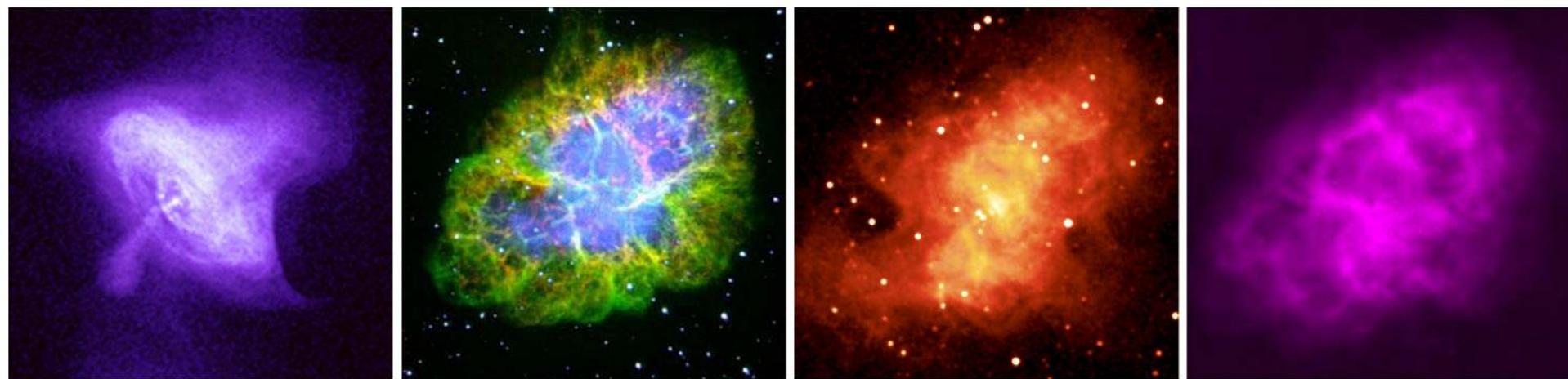


Greiner et al. 15 Nature

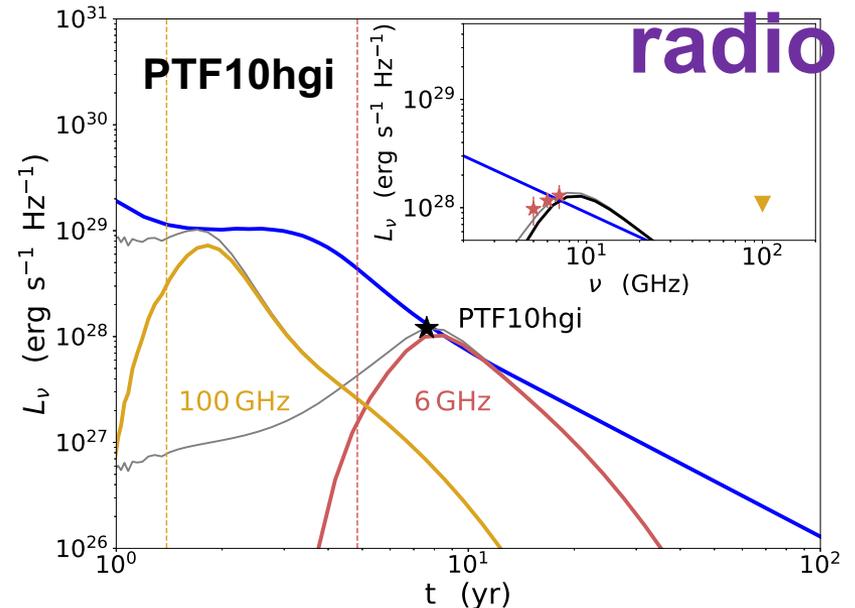
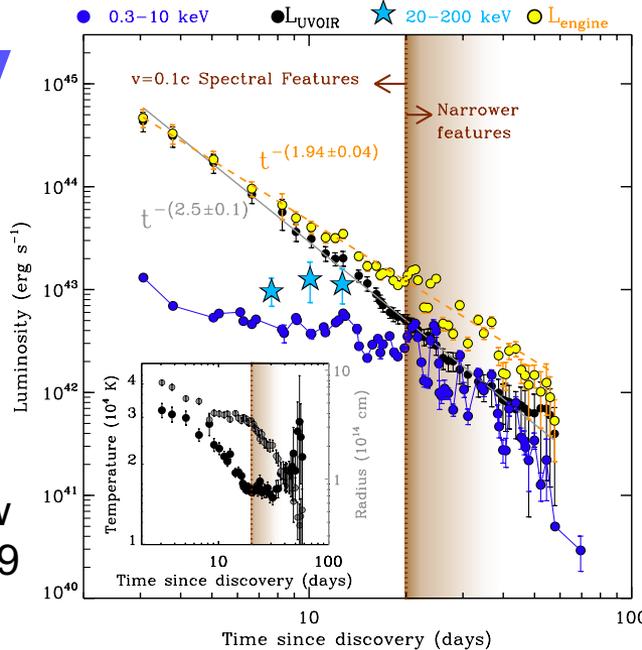


# Nonthermal Nebular Emission?

Crab pulsar (age  $\sim 1240$  yr)



X ray



Eftekhari+ 19 (see Omand, Kashiyama & KM 18)

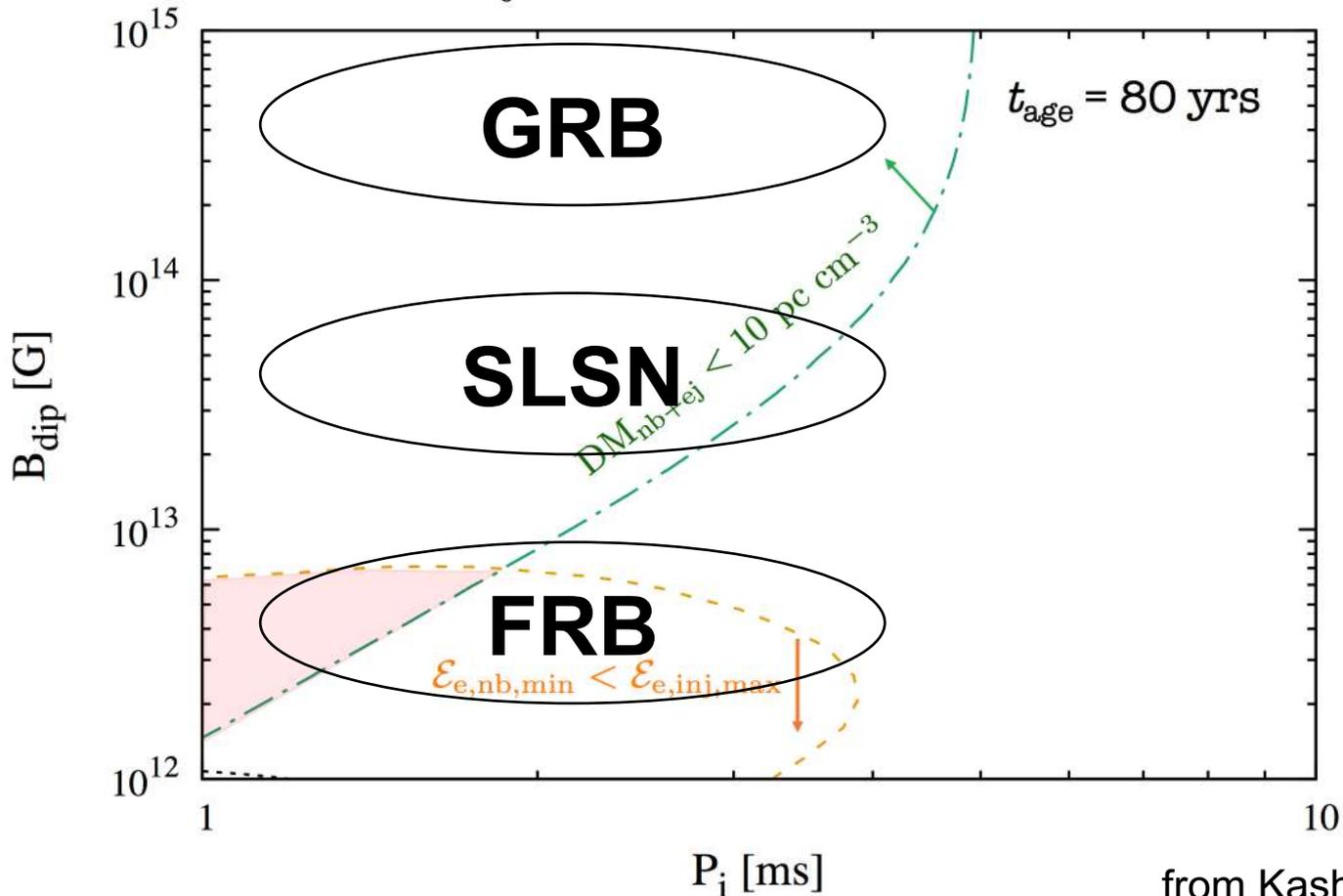
# Connection to Fast Radio Bursts?

Persistent radio emission seen in FRB 121102

Associated with non-thermal emission from a young pulsar?

(predicted by KM, Kashiyama & Meszaros 16) (see Metzger+ 17, Kashiyama & KM 17)

$$M_{\text{ej}} = 3M_{\odot}, \mathcal{E}_{\text{sn}} = 10^{51} \text{ erg}$$

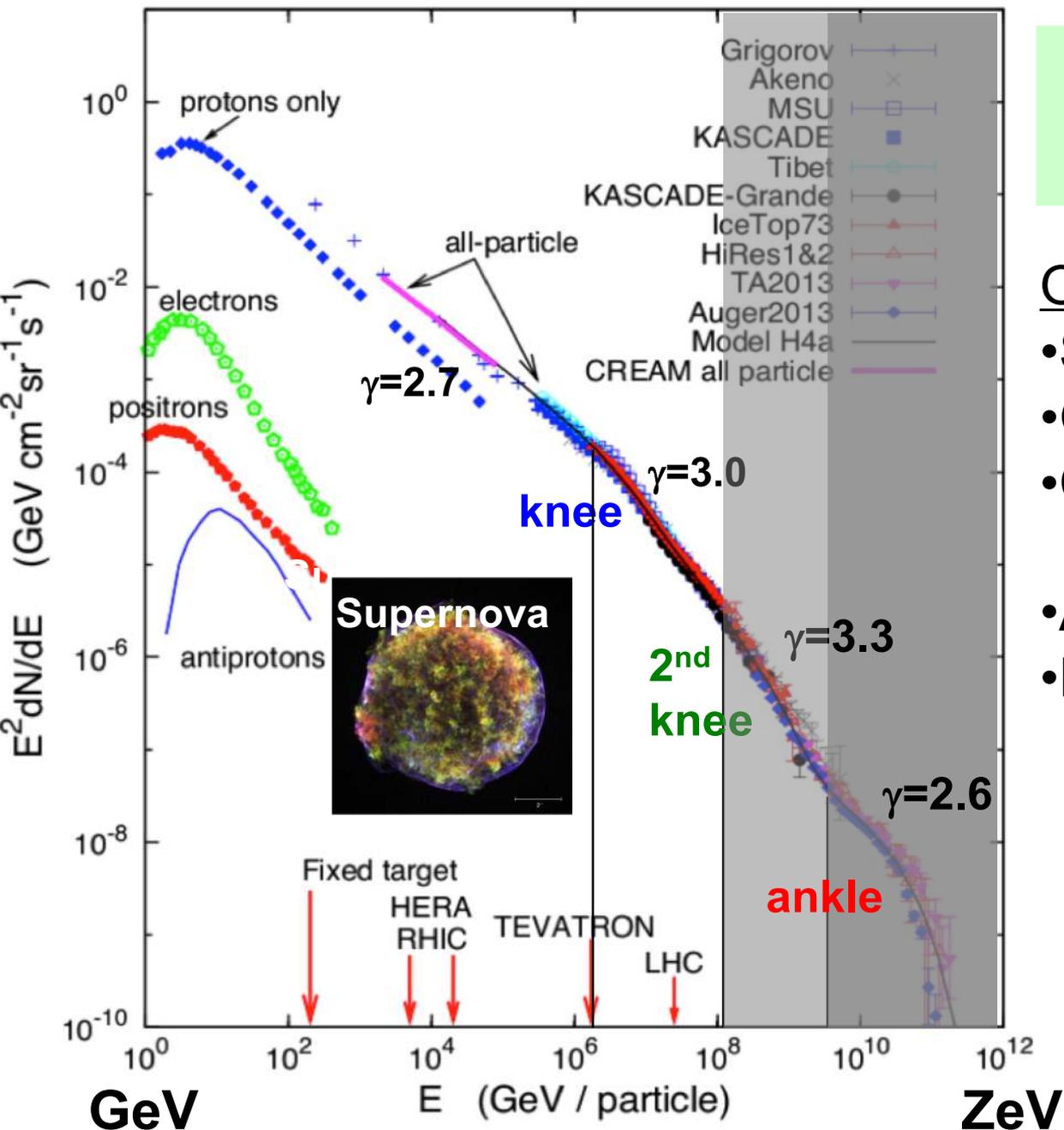


from Kashiyama & KM 17

# Energies and rates of the cosmic-ray particles

## CR spectrum

$$\frac{dN_{CR}}{dE} \propto E^{-s_{CR}}$$



## Open problems

- Sources?
- Galactic/extragalactic?
- Composition?
- Acceleration?
- Propagation?

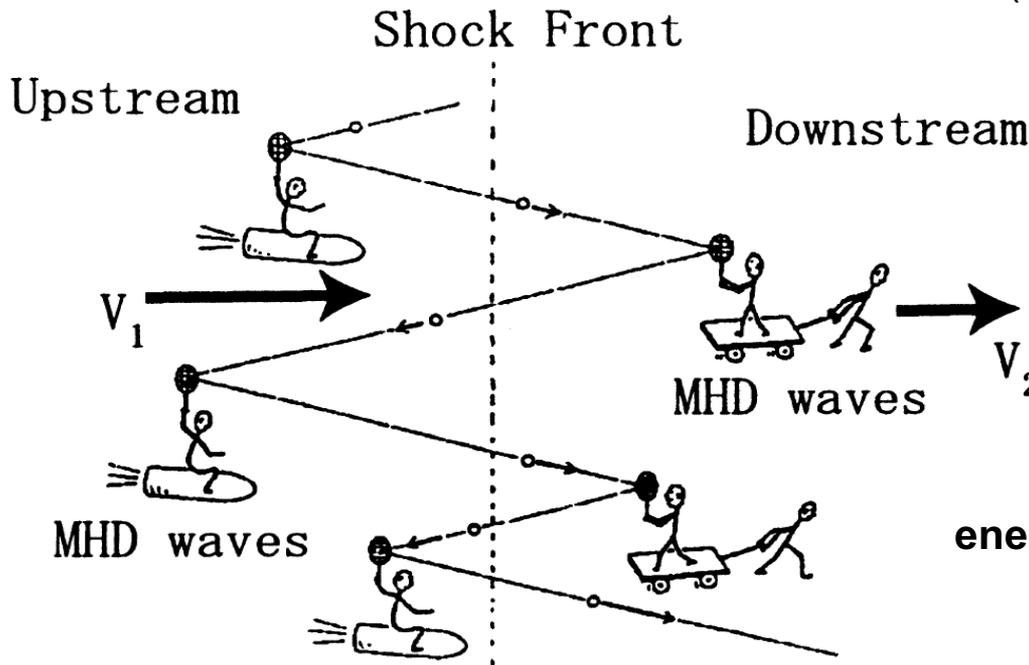
✖energy scale

MeV= $10^6$  eV, GeV= $10^9$  eV,  
 TeV= $10^{12}$  eV, PeV= $10^{15}$  eV,  
 EeV= $10^{18}$  eV, ZeV= $10^{21}$  eV

# First Order Fermi Acceleration

## Diffusive shock acceleration mechanism (DSA)

(Axford, Krymskii, Bell, Blandford & Ostriker)



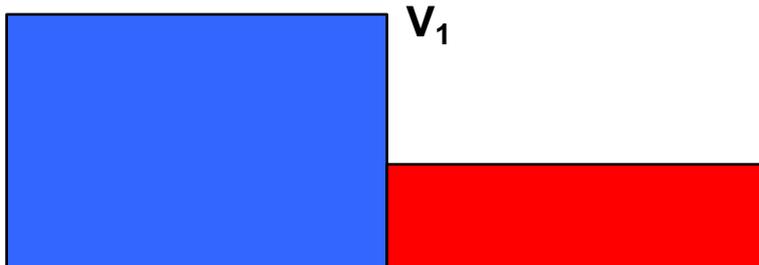
shock=converging flows

$$u = V_1 - V_2$$

$$E' = \gamma_u (E + u p \cos \theta)$$

energy gain per cycle  $\frac{\Delta E}{E} = 2 \frac{u}{c} \cos \theta$

collision rate  $\sim \cos \theta$   $\langle \cos \theta \rangle = \frac{2}{3}$



$$\frac{\langle \Delta E \rangle}{E} = 4 \frac{V_1 - V_2}{3c} \quad \text{"first-order"}$$

# Energy Gain vs Escape

**energy gain after N crossings**

$$p_N \propto \left(1 + \frac{\langle \Delta p \rangle}{p}\right)^N \sim \exp\left(\frac{4(V_1 - V_2)N}{3c}\right)$$

**escape: determined by the incoming and outgoing fluxes**

**incoming from upstream:**  $R_{\text{in}}(\text{up} \rightarrow \text{down}) = \int_{\text{up} \rightarrow \text{down}} dn_1 v \cos \theta = \frac{v n_1}{4\pi} \int_0^{2\pi} d\phi \int_0^1 d \cos \theta = \frac{1}{4} v n_1$

**outgoing from downstream:**  $R_{\text{out}} = n_2 V_2$

**escape probability**

$$P_{\text{esc}} = \frac{R_{\text{out}}}{R_{\text{in}}} = \frac{4V_2}{c}$$

**residual number after N crossings**

$$n_N \propto (1 - P_{\text{esc}})^N \sim \exp\left(-\frac{4V_2 N}{c}\right)$$

**final spectrum**

$$\frac{dn}{dp} = \frac{dn}{dt} \frac{dt}{dp} \propto \exp\left(-\frac{4(V_1 - V_2)N}{3c} \left[1 + \frac{3V_2}{V_1 - V_2}\right]\right) \sim p^{-\left(1 + \frac{3V_2}{V_1 - V_2}\right)}$$

**s**

# Maximum Energy in DSA

- Strong adiabatic shock:  $r_c = V_1/V_2 = 4$   
 ->  $s = 1 + 3V_2/(V_1 - V_2) = 2$  --- similar to the expected value
- Spectral index does not depend on details of turbulence but the maximum energy does
- Cycle/acceleration times depend on diffusion coefficient “ $\kappa$ ”

$$t_{cy} = t_u + t_d = \frac{4\kappa}{V_1 c} + \frac{4\kappa}{V_2 c} \quad \kappa = \frac{1}{3} r_{Lc} \left( \frac{B}{\delta B} \right)^2 \approx \frac{cE}{3Ze} \xi_B$$

**acceleration time**  $t_{acc} = \frac{t_{cy}}{\langle \Delta p \rangle / p} = \frac{3r_c(r_c + 1)}{r_c - 1} \frac{\kappa}{V_1^2}$

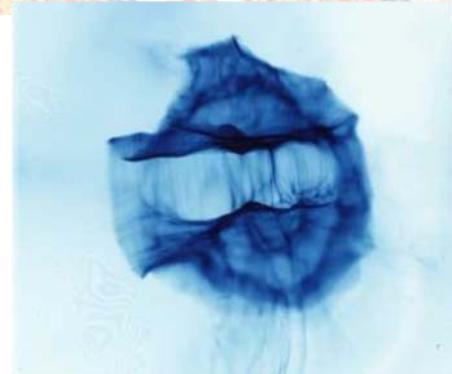
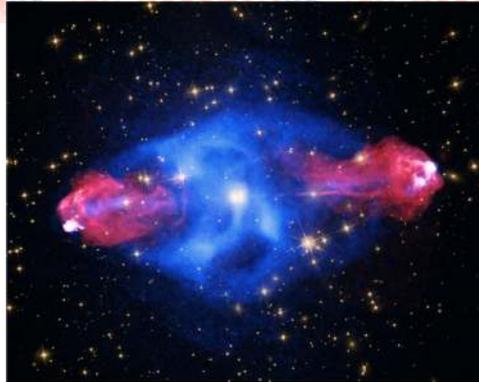
$t_{acc} < t_{dyn} = \frac{R}{V}$  **gives a necessary condition**

$$E < E_{max} = \frac{3}{20} \xi_B ZeBR(V/c) \sim Z 10^{15} \text{ eV } \xi_B \left( \frac{B}{300 \mu G} \right) \left( \frac{R}{3 \text{ pc}} \right) \left( \frac{V}{3000 \text{ km s}^{-1}} \right)$$

**Hillas condition**

$$E < ZeBR\beta$$

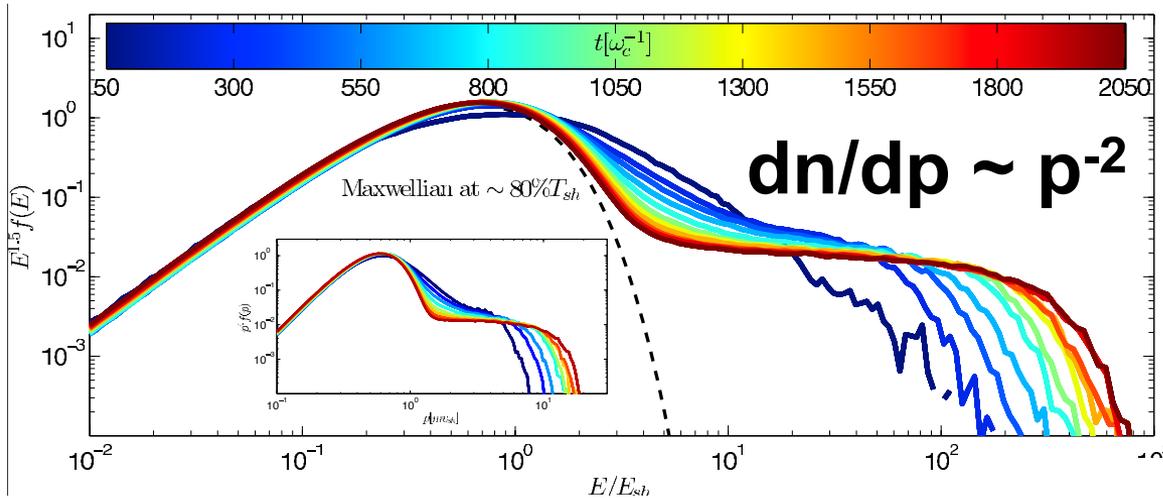
# Complications



- Diversity among different astrophysical shocks?
- Magnetic field amplification mechanism and cosmic-ray feedbacks on dynamics & waves
- Shock obliquity: parallel vs perpendicular
- Relativistic shocks
- Roles of radiation (radiative, radiation-mediated)
- Electron acceleration & heating
- Many other acceleration mechanisms

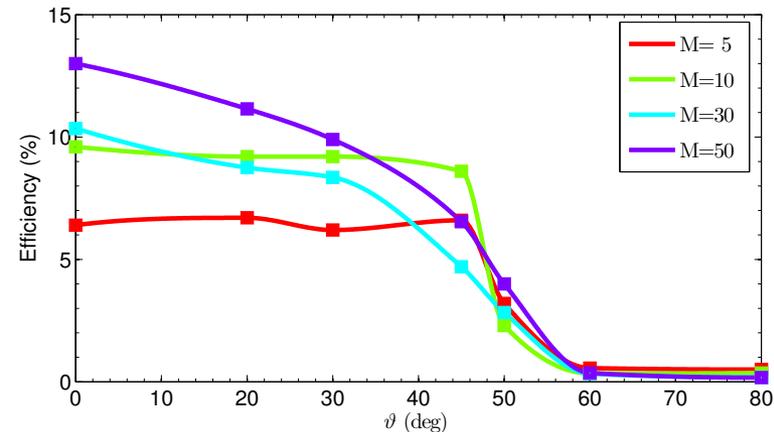
# Particle-In-Cell Simulations

- Collisionless shock: plasma-mediated  
 $v_{pl}, v_c \gg v_{Coul}$   $\rightarrow$  shocks are formed via plasma instabilities
- Injection & Energy fraction? Magnetic fields? CR feedbacks?



Caprioli & Spitkovsky 15 ApJ

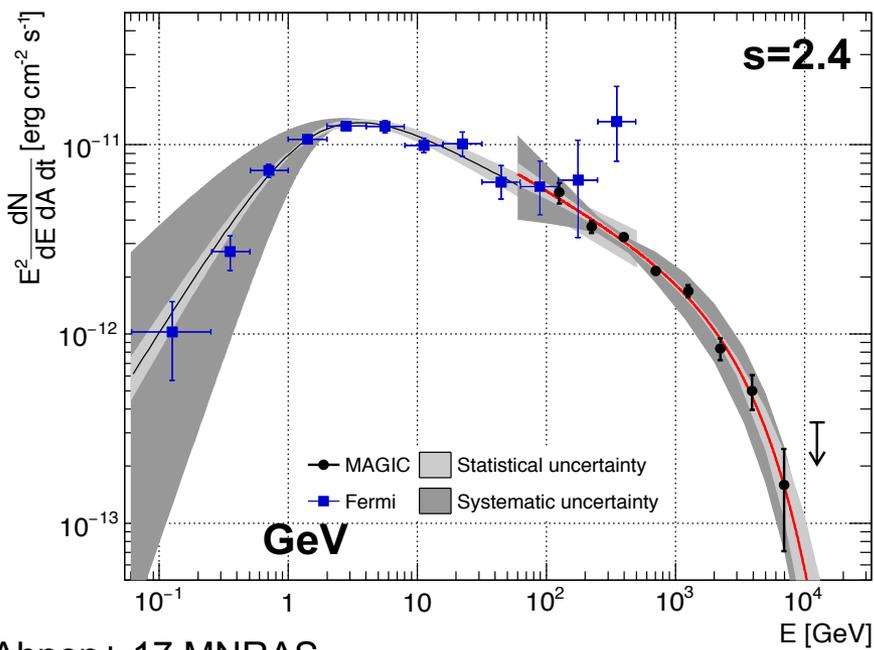
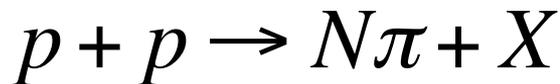
**CR energy fraction  
 $\sim 10\%$**



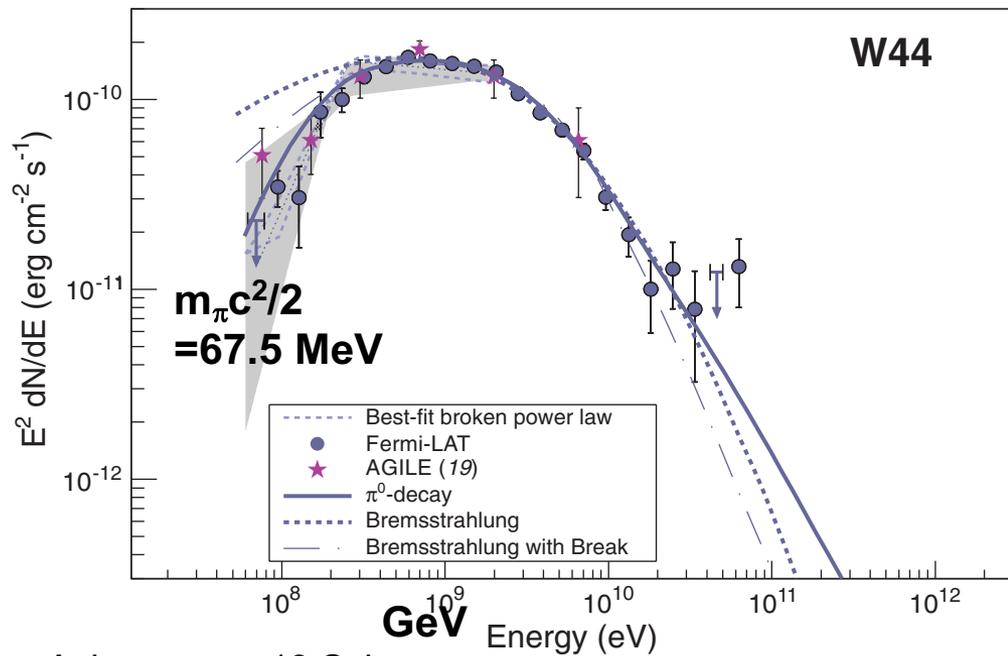
# Galactic Supernova Remnants as CR Ion Sources

Fermi established supernova remnants as CR “ion”

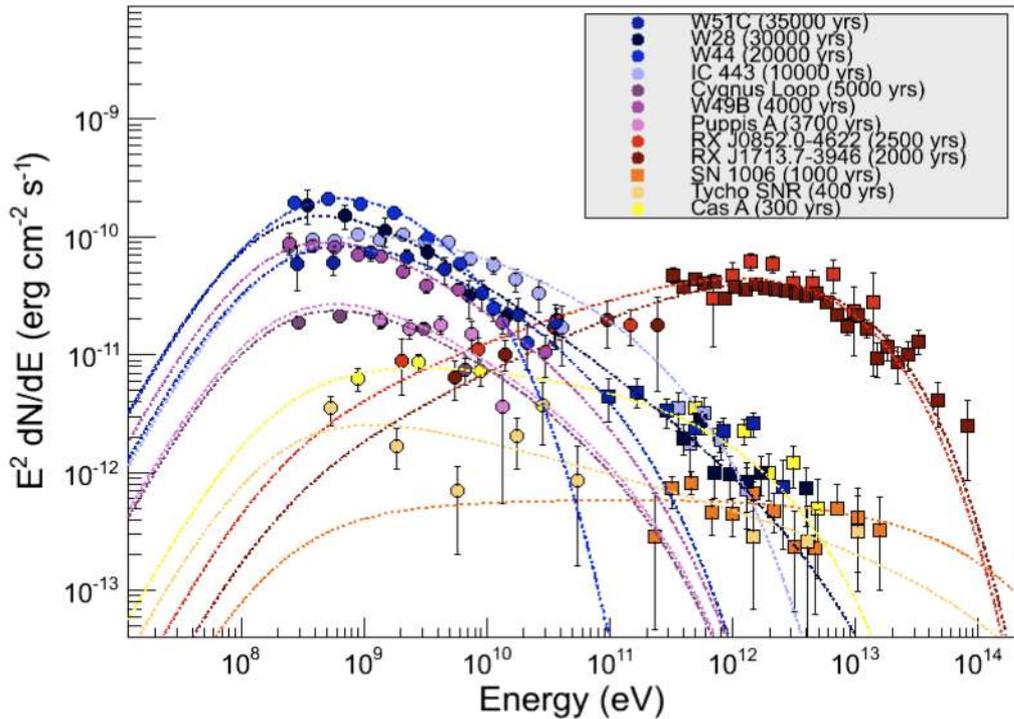
ac



B



# Knee Accelerators?



No evidence for PeVatrons  
(so far)

-> targets for HAWC/CTA

Zirakashvili & Ptuskin 16 APh

(cf. Sveshnikova 03 ApJ

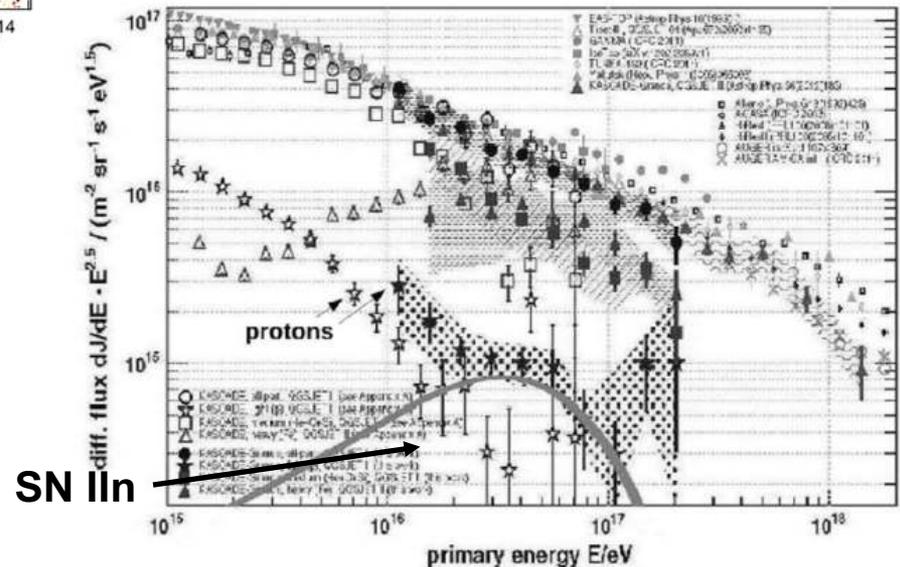
KM, Thompson & Ofek 14 MNRAS)

**Theoretically challenging**

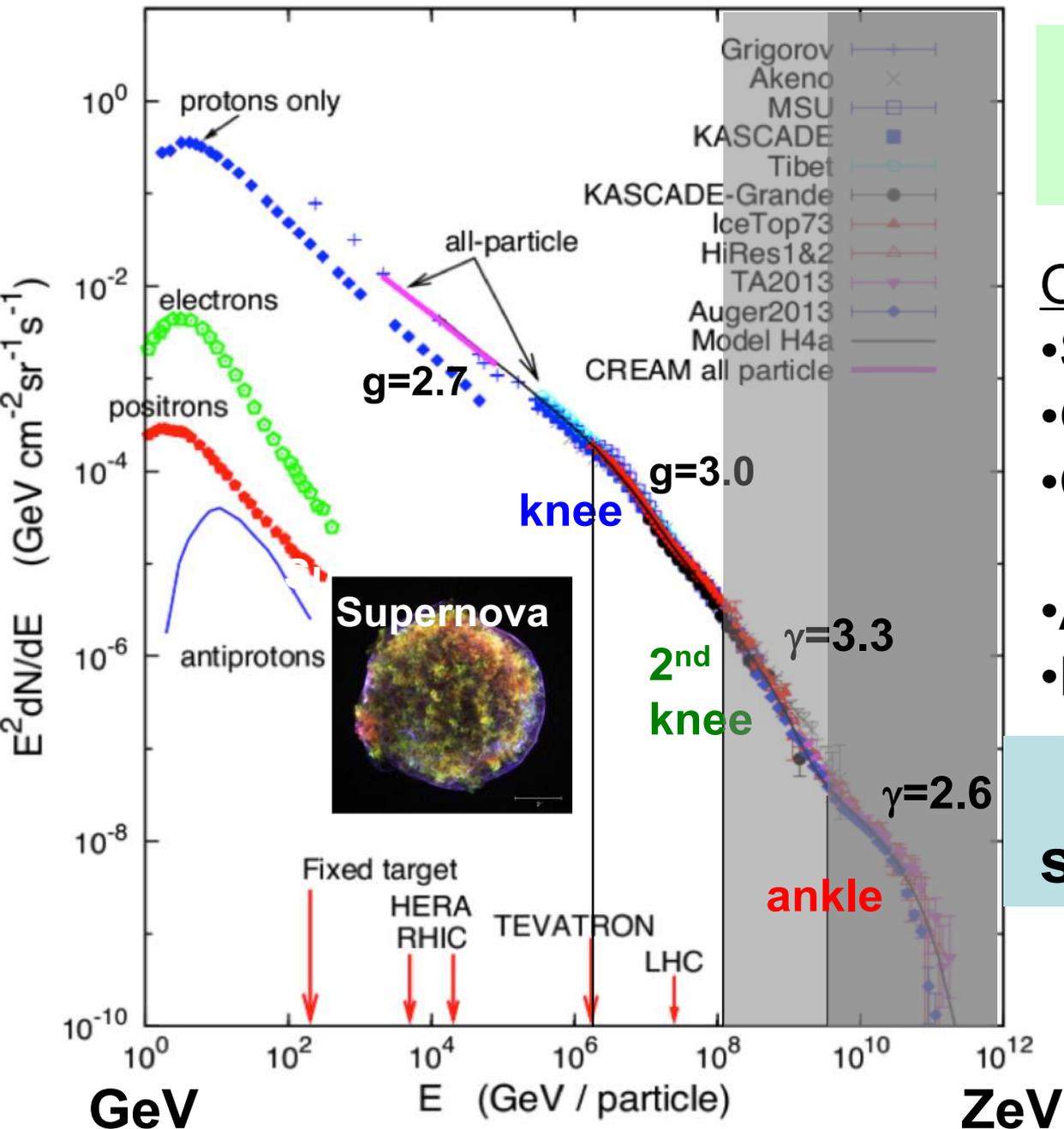
**(upstream) B amplification:  
CR streaming instability**

**supernovae in dense winds?**

ex. Bell+ 13 MNRAS



# Energies and rates of the cosmic-ray particles



## CR spectrum

$$\frac{dN_{\text{CR}}}{dE} \propto E^{-s_{\text{CR}}}$$

### Open problems

- Sources?
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$$\gamma = s + \delta$$

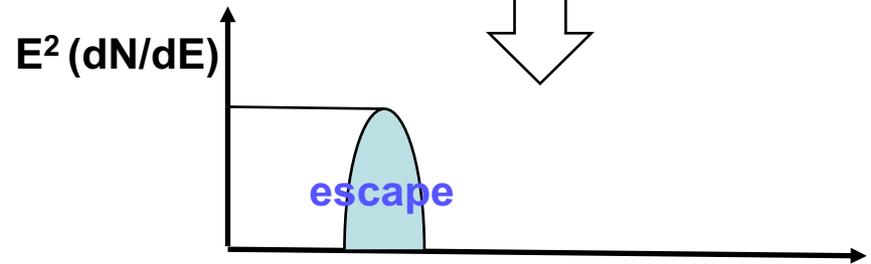
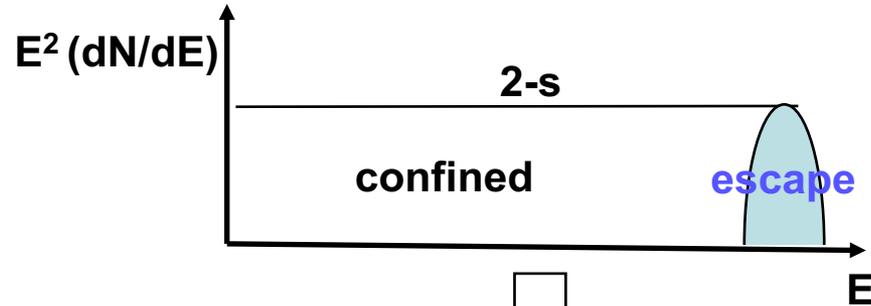
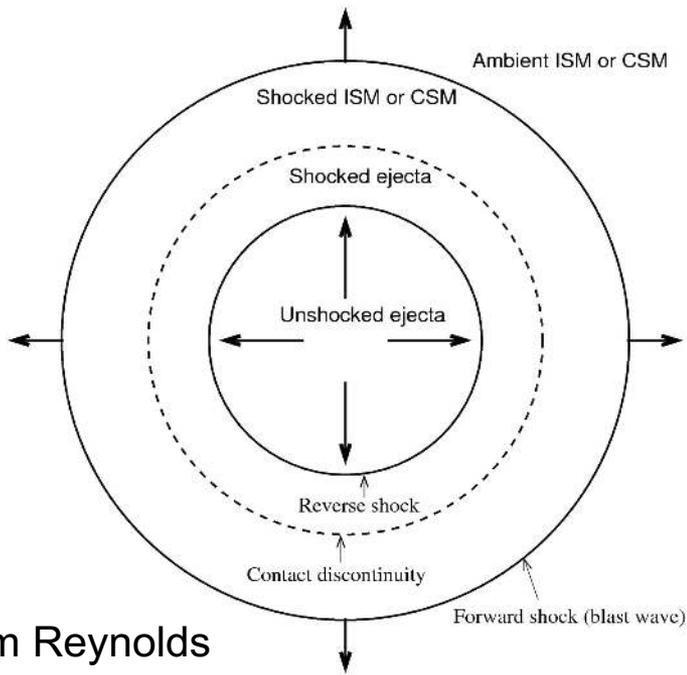
$$s \sim 2.2-2.4, \delta \sim 0.3-0.5$$

✱energy scale

MeV=10<sup>6</sup> eV, GeV=10<sup>9</sup> eV,  
 TeV=10<sup>12</sup> eV, PeV=10<sup>15</sup> eV,  
 EeV=10<sup>18</sup> eV, ZeV=10<sup>21</sup> eV

# “Simplified” View of Cosmic-Ray Escape

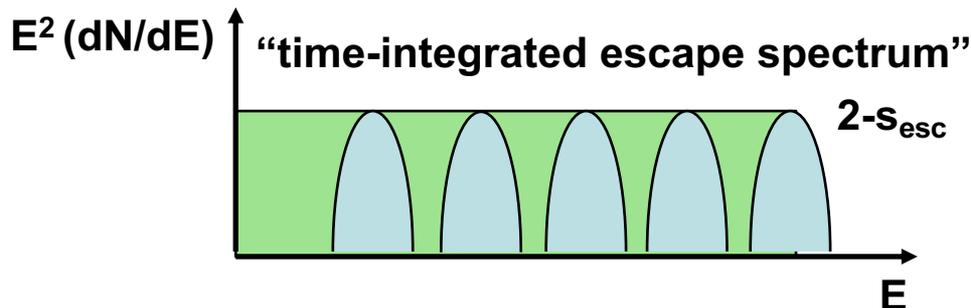
## Escaping CR spectrum $\neq$ Accelerated CR spectrum



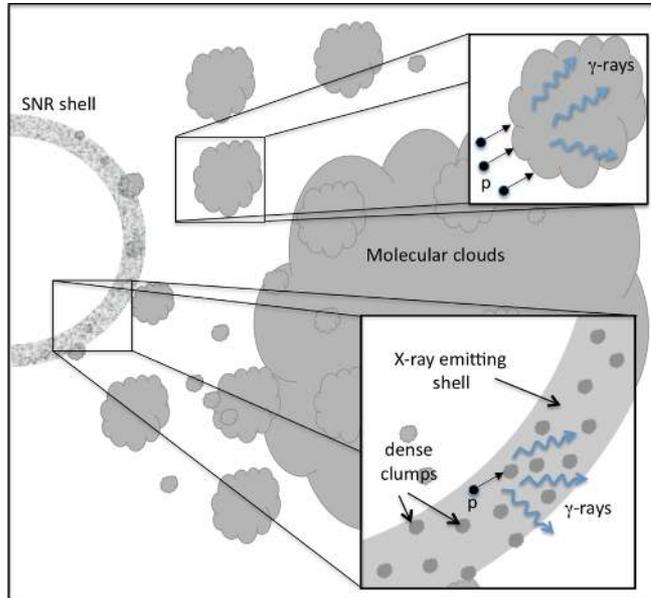
$$E_{\max} \propto R^{-\alpha} \quad E^2(dN/dE)|_{p=mc} \propto R^{\beta}$$

$$S_{\text{esc}} = s + \beta/\alpha$$

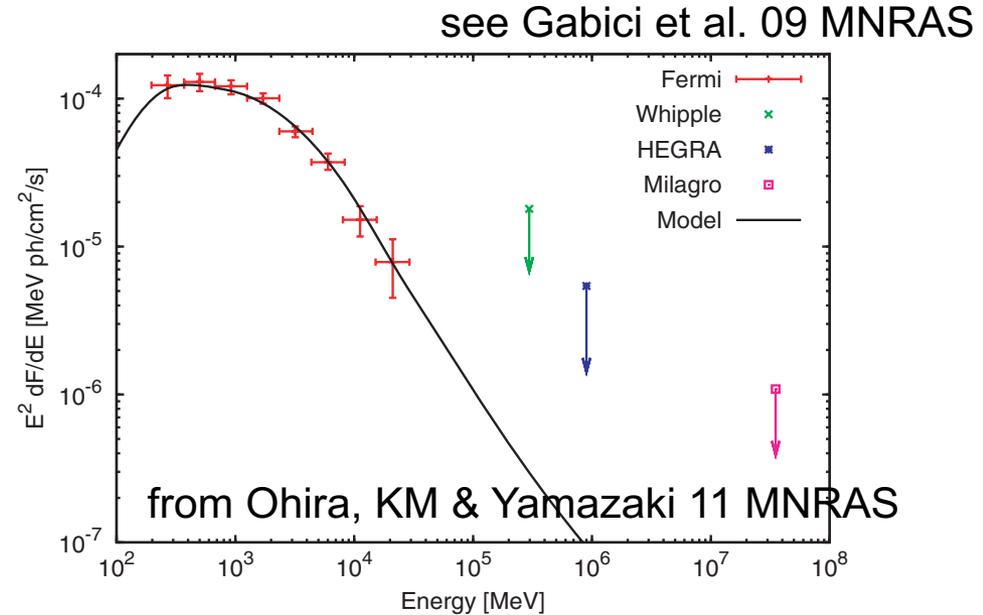
ex. Caprioni+ 10 Ohira, KM & Yamazaki 10  
(SNR case:  $\beta \sim 0$ )



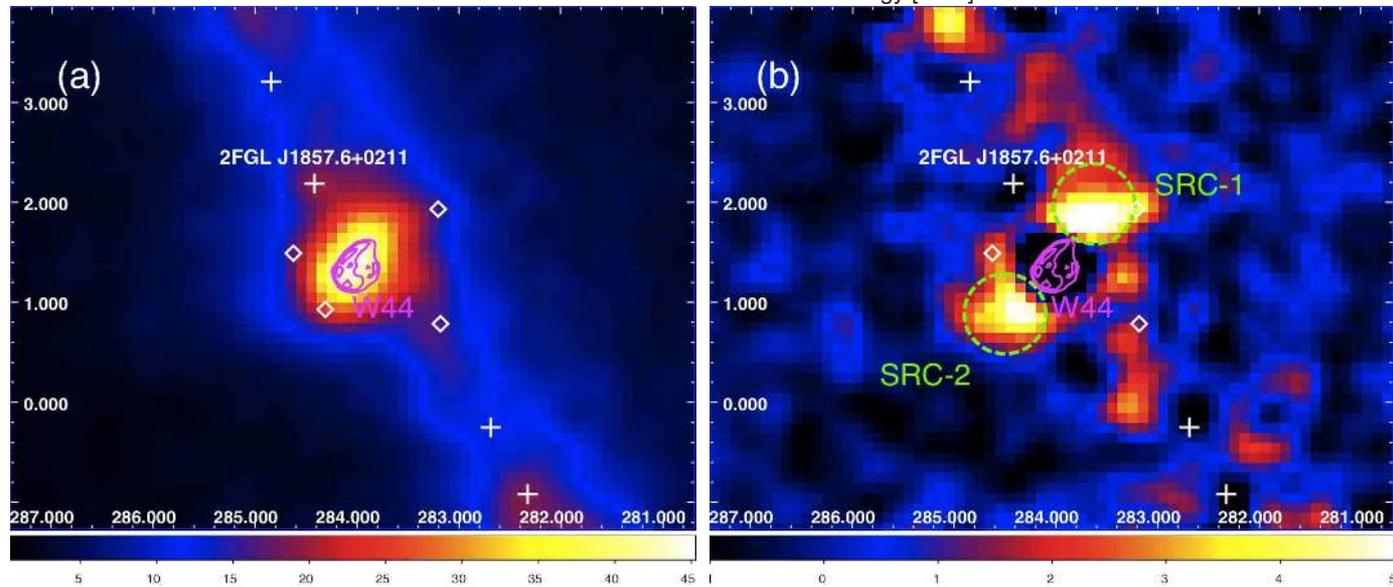
# Ex. Cosmic-Ray-Illuminated Molecular Clouds



Slane+



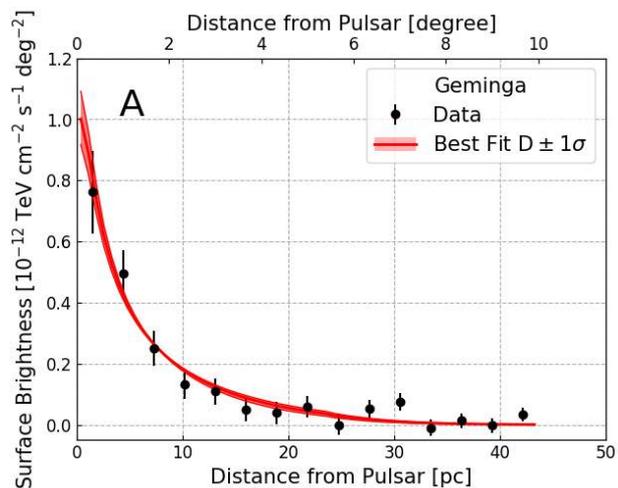
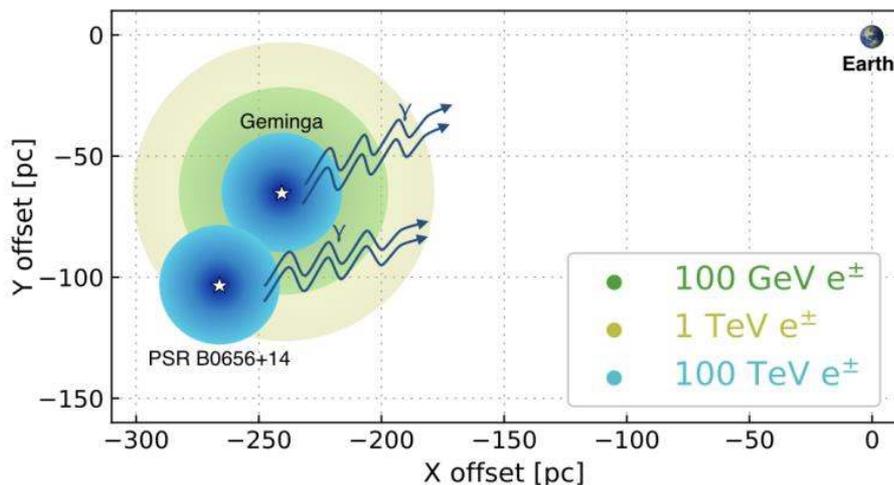
## W44



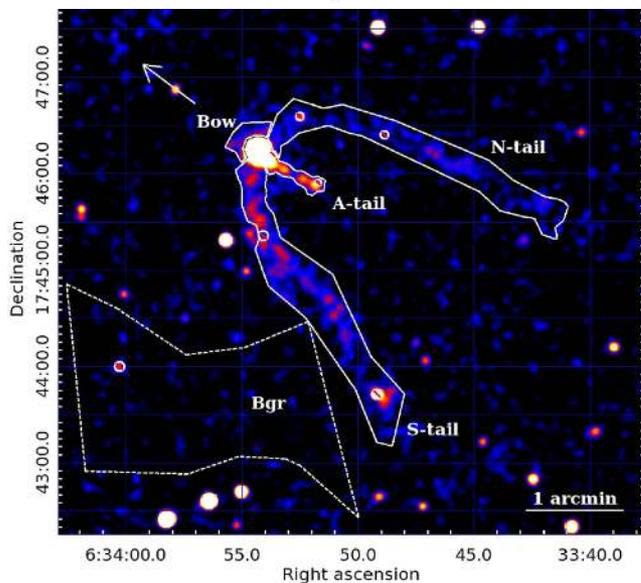
Uchiyama+ 12 ApJ

# Cf. TeV Gamma-Ray Halo

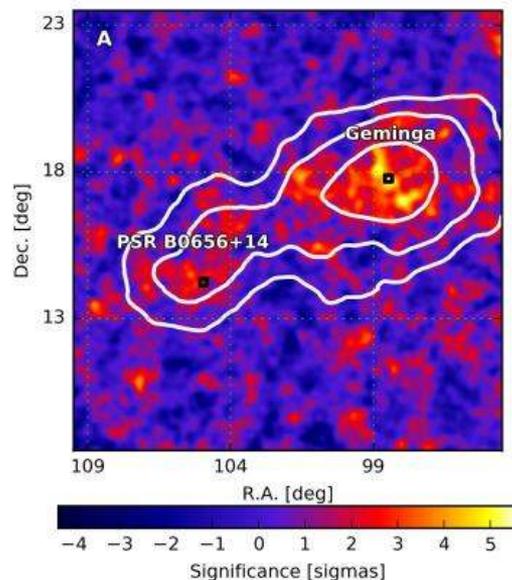
HAWC established PWNe as  $e^-e^+$  emitters



X ray



Possert + 17 ApJ

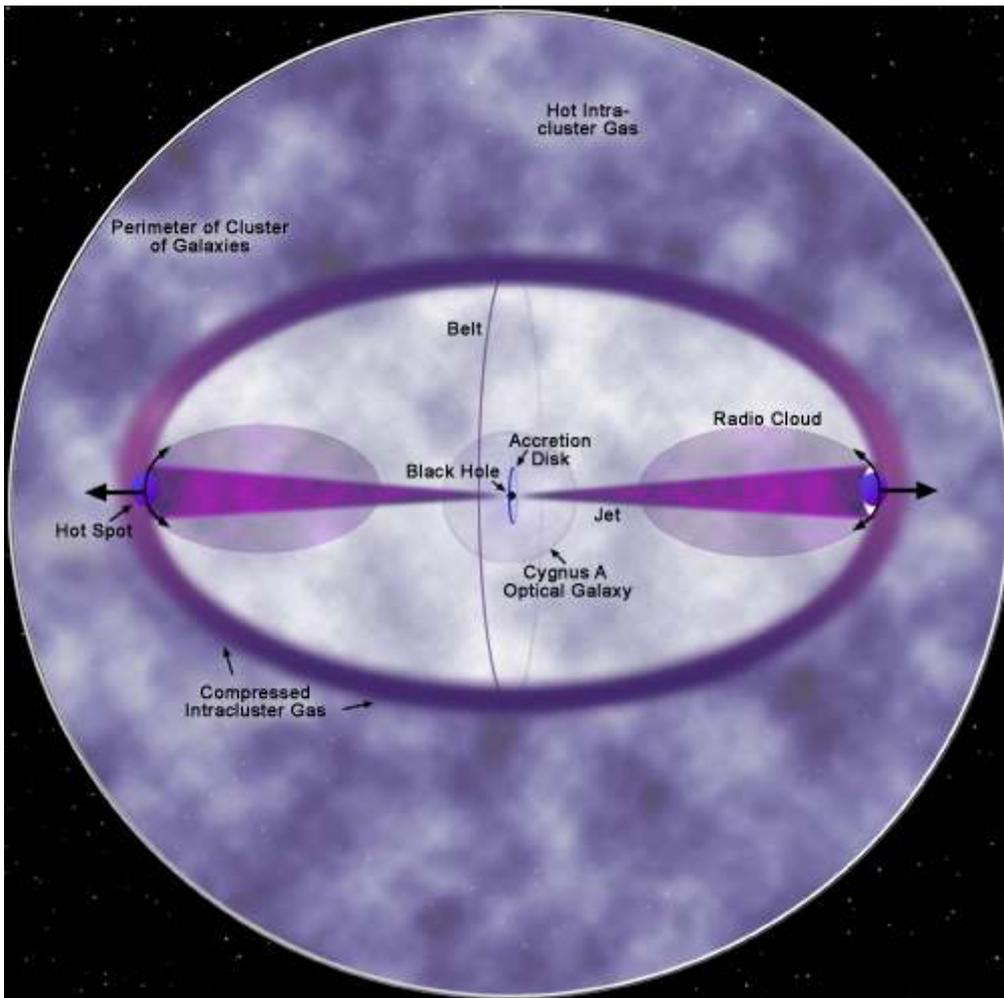


HAWC 17 Science

$\gamma$  ray

# Applications to Extragalactic Sources?

CR escape spectrum: source-dependent  
(dynamics & acceleration & magnetic field amplification)



## Jet-induced cocoon

(Begelman & Cioffi 89)

Jet velocity  $\sim$  const.

cocoon velocity  $V_c \propto t^{1/2}$

Jet head  $R_h \propto t$

cocoon size  $R_c \propto t^{1/2}$

Volume  $\propto R_h R_c^2 \propto t$

Pressure:  $P_{cr} \propto \rho V_c^2 \propto t^{-1}$

$E_{max} \propto R_c V_c B \propto B$

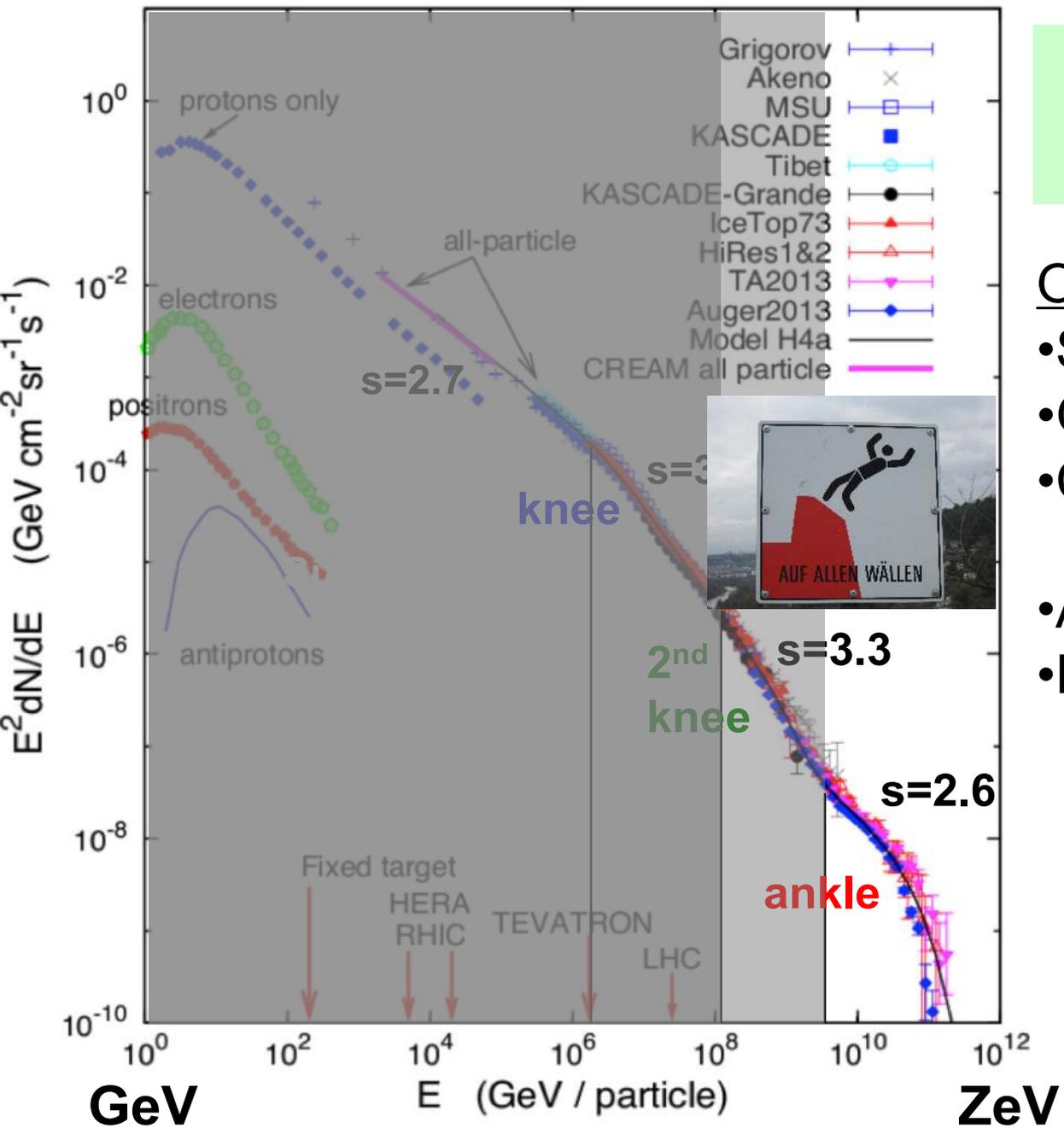
$\mathcal{E}_{inj} \propto R_h R_c^2 P_{cr} \propto t$

$S_{esc} \neq S$

# Energies and rates of the cosmic-ray particles

## CR spectrum

$$\frac{dN_{CR}}{dE} \propto E^{-s_{CR}}$$

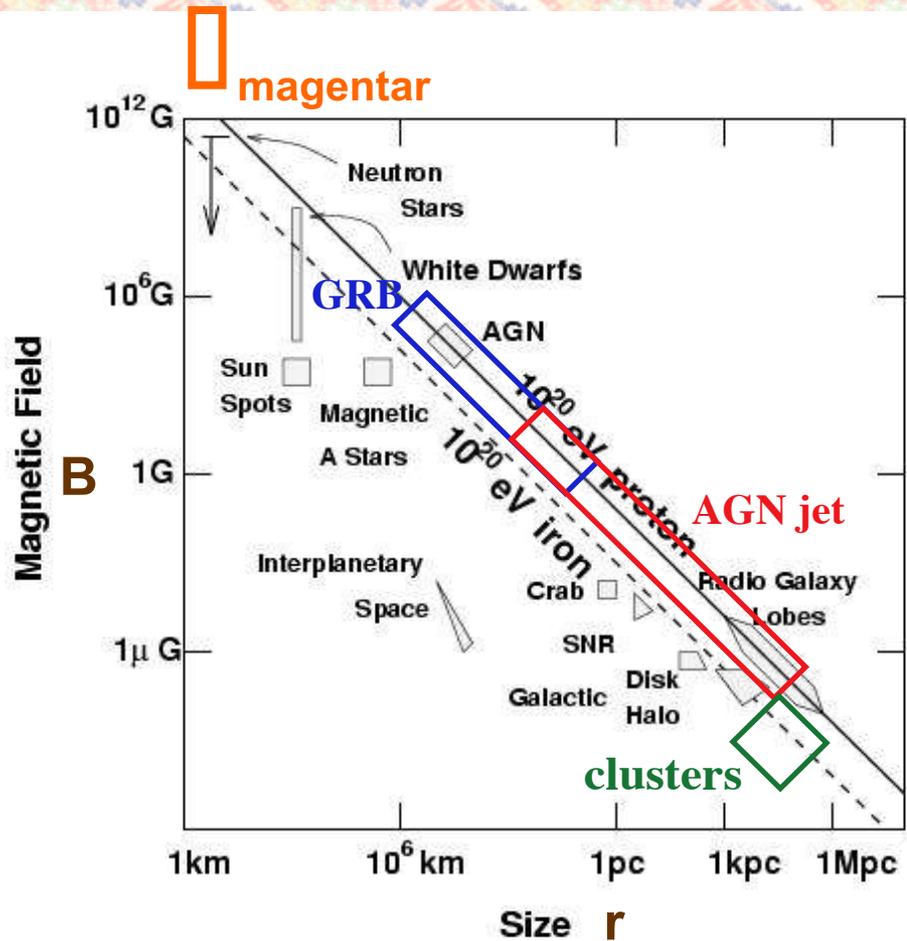


### Open problems

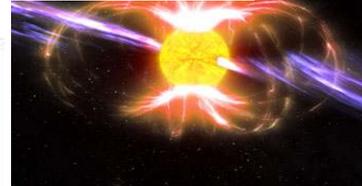
- Sources?
- Galactic/extragalactic?
- Composition?
- Acceleration?
- Propagation?

✳energy scale  
 MeV=10<sup>6</sup> eV, GeV=10<sup>9</sup> eV,  
 TeV=10<sup>12</sup> eV, PeV=10<sup>15</sup> eV,  
 EeV=10<sup>18</sup> eV, ZeV=10<sup>21</sup> eV

# UHECR Source Candidates: Cosmic Monsters



**Magnetar**



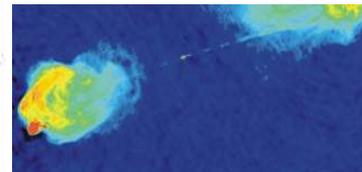
The **strongest** mag. fields  
 $B \sim 10^{15}$  G

**γ-ray burst (GRB)**



The **brightest** explosions  
 $L_{\gamma} \sim 10^{52}$  erg/s

**Active galaxy (AGN)**



The **most massive**  
 black holes  
 $M_{BH} \sim 10^{8-9} M_{sun}$

**Galaxy cluster**



The **largest**  
 gravitational object  
 $R_{vir} \sim$  a few Mpc

**Hillas condition**  
 $E < e B r \beta$

cf.  $B_{sun} \sim 1$  G,  $L_{sun} \sim 4 \times 10^{33}$  erg/s,  
 $M_{sun} \sim 2 \times 10^{33}$  g,  $R_{sun} \sim 7 \times 10^{10}$  cm

# Luminosity Requirement

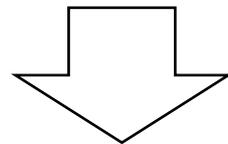
Blandford 00

**Hillas  
condition**

$$E < ZeB'l'\beta'\Gamma = ZeB'r\beta'$$

**magnetic  
luminosity**

$$L_B = \epsilon_B L = (4\pi r^2 \Gamma^2 c\beta) \frac{B'^2}{8\pi}$$

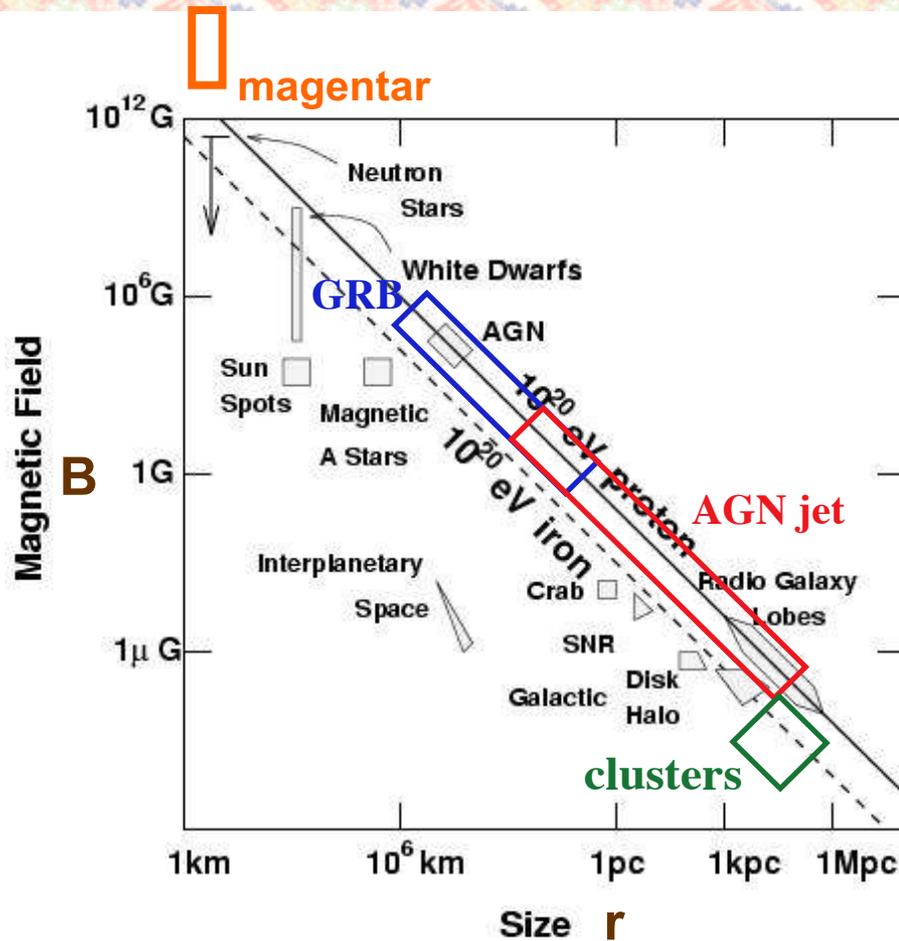


**if  $\beta \sim \beta'$**

$$L_B > \frac{1}{2} \Gamma^2 c\beta \left( \frac{E}{Ze\beta'} \right)^2 \sim 2 \times 10^{46} \text{ erg s}^{-1} \Gamma^2 \beta^{-1} [E / (Z10^{20.5} \text{ eV})]^2$$

**Transient Sources AND/OR Sources of Nuclei**

# UHECR Source Candidates: Cosmic *Monsters*



The **strongest** mag. fields  
 $B \sim 10^{15}$  G



The **brightest** explosions  
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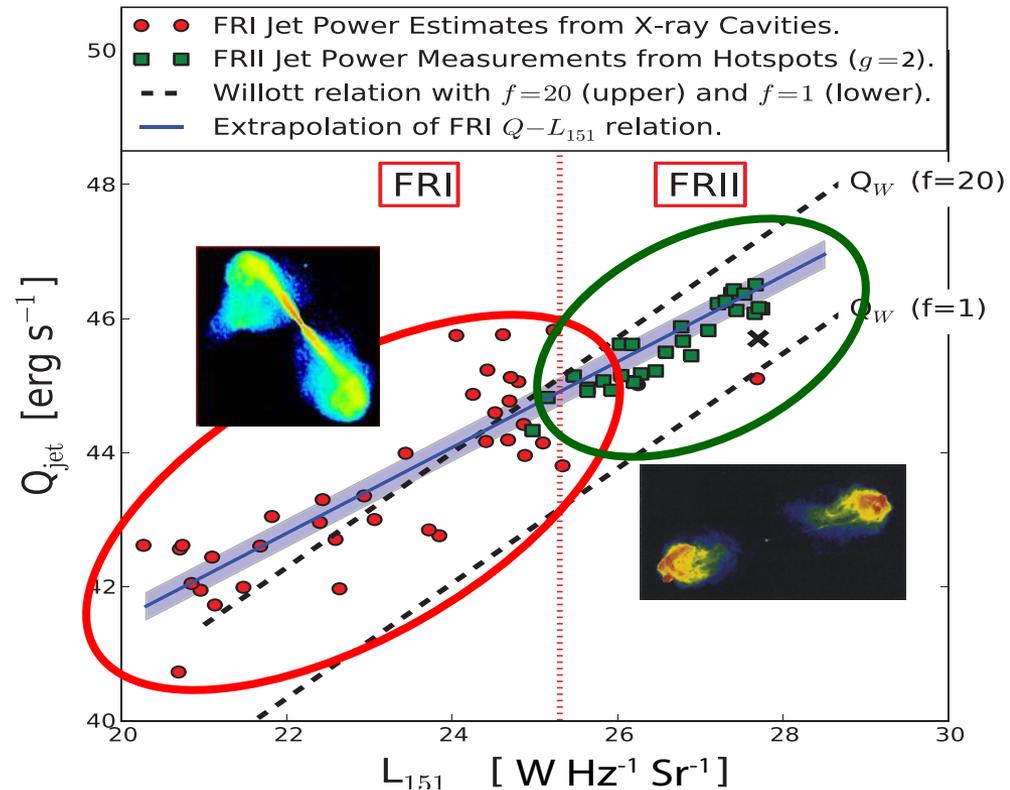
# Maximum CR Energy

## Nearby FR I & blazars seen by Fermi

## Radio & X-ray data

ID	Source	$d_L$ [Mpc]	$E_A^{\max (1)} / Z [10^{19}]$ [eV]
1	CenA(core)	3.7	0.004-3.3
2	M87	16.7	0.040
3	NGC1275	75.3	4.6
4	NGC6251	104	0.27
5	Mrk421	130.0	0.29
6	Mrk501 (h. <sup>(g)</sup> ,1997)	146.0	0.17-1.5
7	Mrk501 (l. <sup>(g)</sup> ,1997)	146.0	0.28-1.5
8	Mrk501 (l. <sup>(g)</sup> ,2007)	146.0	0.2
9	Mrk501 (l. <sup>(g)</sup> ,2009)	146.0	0.12-0.6
10	IES1959+650(h. <sup>(g)</sup> )	206	0.12-2.9
11	IES1959+650(l. <sup>(g)</sup> )	206	1.3
12	PKS2200+420/BL Lac	307.0	1.1
13	PKS2005-489	316.0	3.1
14	WComae	464.0	0.37-0.57
15	PKS2155-304	533.0	0.23

KM, Dermer, Takami, & Migliori 2012 ApJ



Godfrey & Shabala 13

$$E < ZeB'l'\beta'\Gamma$$

$$L_B > \sim 2 \times 10^{46} \text{ erg s}^{-1} \Gamma^2 \beta^{-1} [E / (Z10^{20.5} \text{ eV})]^2$$

# Energy Losses

acceleration (plasma frame)  $\mathbf{t}_{\text{acc}} = \eta \mathbf{r}_L / c = \eta \varepsilon_A / (ZeBc)$

photohadronic/photonuclear energy loss (plasma frame)

$$-\frac{1}{\varepsilon_p} \frac{d\varepsilon_p}{dt} = \frac{c}{2} \int d \cos \theta (1 - \beta \cos \theta) \int d\varepsilon \frac{dn}{d\varepsilon} \underbrace{\sigma_{p\gamma}(\bar{\varepsilon})}_{\text{cross section}} \underbrace{\kappa_{p\gamma}(\bar{\varepsilon})}_{\text{inelasticity}}$$

$$\mathbf{t}_{p\gamma} \sim (\mathbf{n}_\gamma \kappa_{p\gamma} \mathbf{c} \sigma_{p\gamma})^{-1} \propto \varepsilon_p^{1-\beta} \quad (\text{for photon index } \beta > 1)$$

synchrotron energy loss (plasma frame)

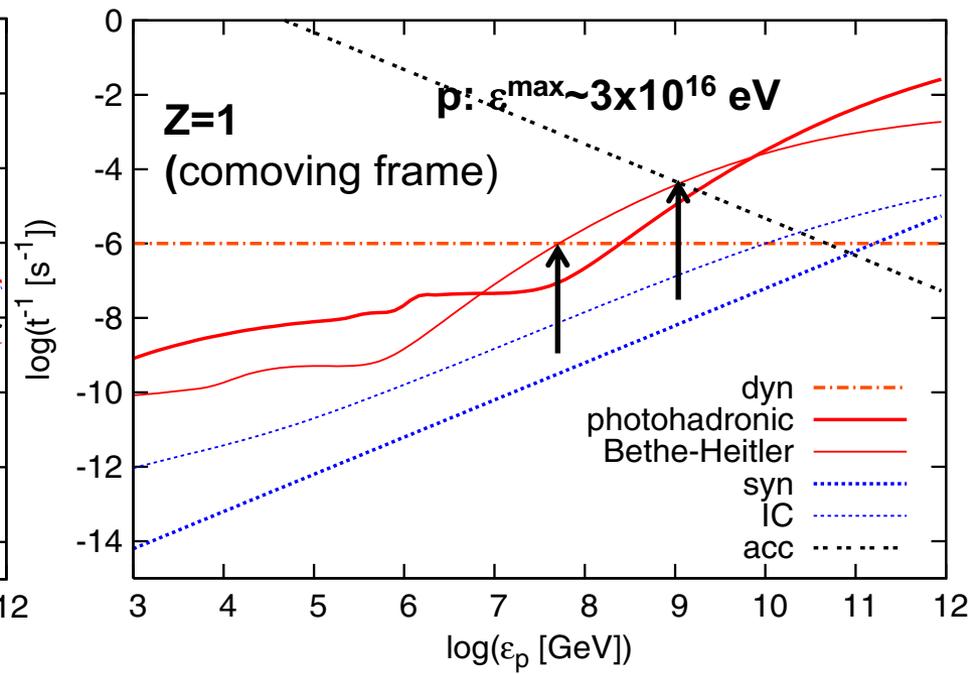
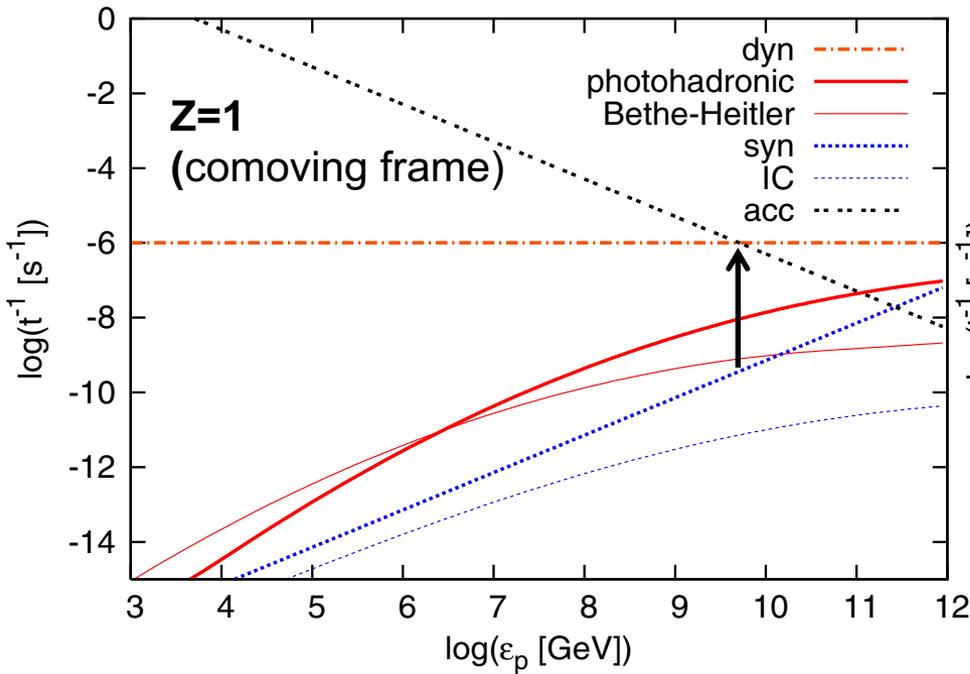
$$-\frac{1}{\varepsilon_N} \frac{d\varepsilon_N}{dt} = \frac{1}{\gamma_N m_N c^2} \frac{2}{3} \frac{Z^4 e^4}{m_N^2 c^4} c \beta_N^2 \sin^2 \alpha \gamma_N^2 B^2$$

$$\mathbf{t}_{\text{syn}} \sim \frac{6\pi m_N^4 c^3}{\sigma_T Z^4 B^2 m_e^2 \varepsilon_N} \propto B^{-2} \varepsilon_N^{-1} (A^4 / Z^4)$$

# Example: Active Galactic Nuclei

## BL Lac (low-power blazar)

## FSRQ (high-power blazar)



$$E \sim \Gamma \epsilon$$

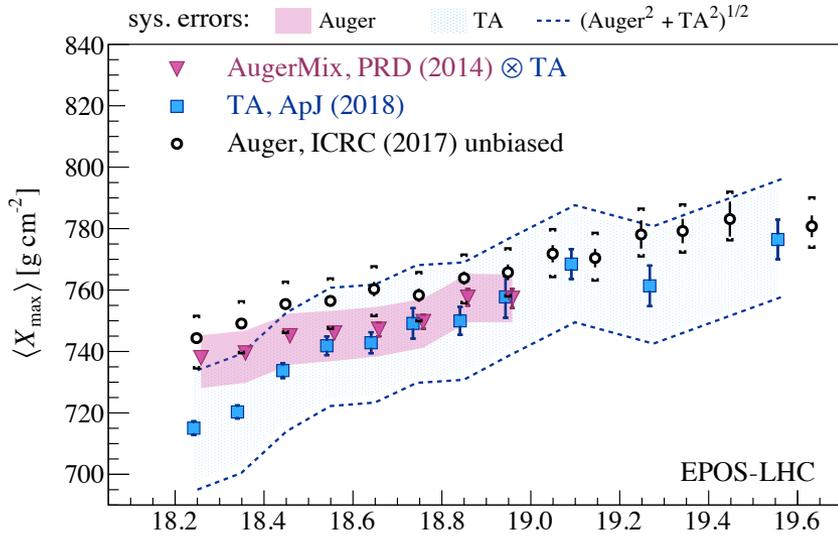
$$E^{\max} \sim 3 \times 10^{19} \text{ eV}$$

limited by dynamical time

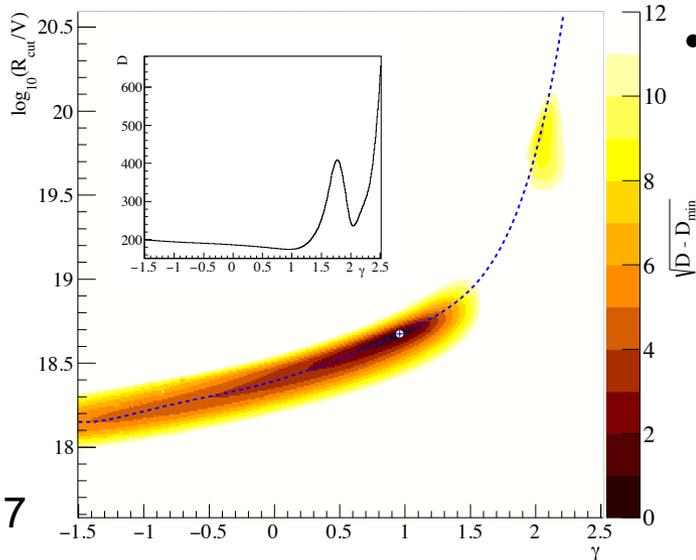
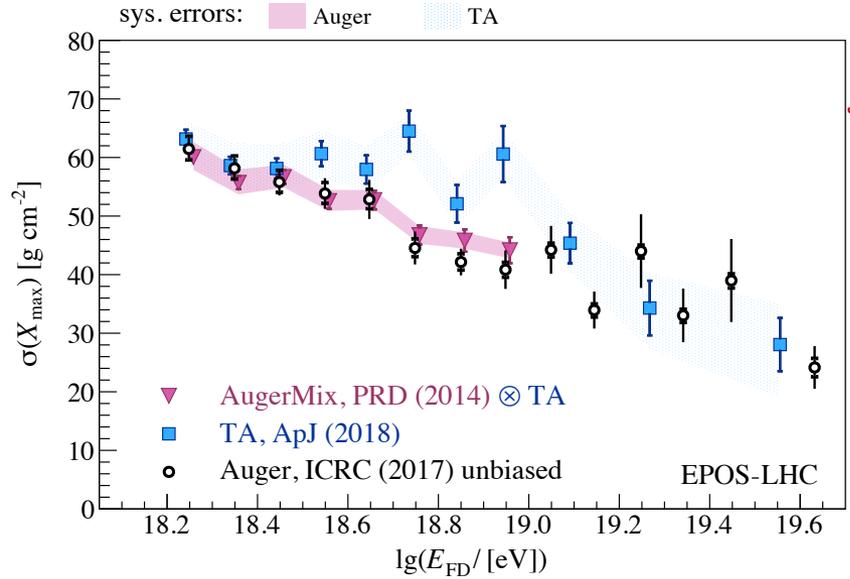
$$E^{\max} \sim 3 \times 10^{17} \text{ eV}$$

limited by  $p\gamma$  losses

# UHECR Composition?



TA-Auger @ UHECR2018  $\lg(E_{\text{FD}})$  [eV]



Auger 2017

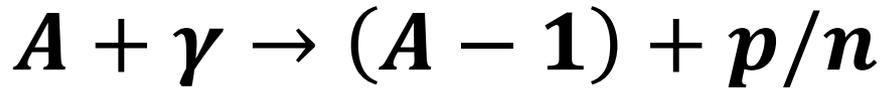
- Composition **well above** the ankle: ***under debate...***
- TA: compatible w. light (or mixed)
- Auger combined-fit:
  - nucleus-rich abundance ( $f_{\text{H}}=f_{\text{Fe}}=0$ ,  $f_{\text{He}}=0.67$ ,  $f_{\text{N}}=0.28$ ,  $f_{\text{Si}}=0.05$ )
  - hard spectra ( $s \sim 1$ : best-fit)
- again need TAx4***

# ***New Challenges for Source Modeling***

1. Nucleus-survival problem  
luminosity requirement → powerful sources  
powerful in radiation → efficient disintegration
  
2. Heavy-rich composition
  - a. intrinsic abundance
  - b. reacceleration
  - c. injection mechanism
  
3. Hard spectrum of nuclei
  - a. hard “escape” spectrum
  - b. hard “accelerated” spectrum
  - c. hardening due to “energy losses”

# photodisintegration

**Giant Dipole Resonance (GDR)**



**threshold:  $\sim 10$  MeV**

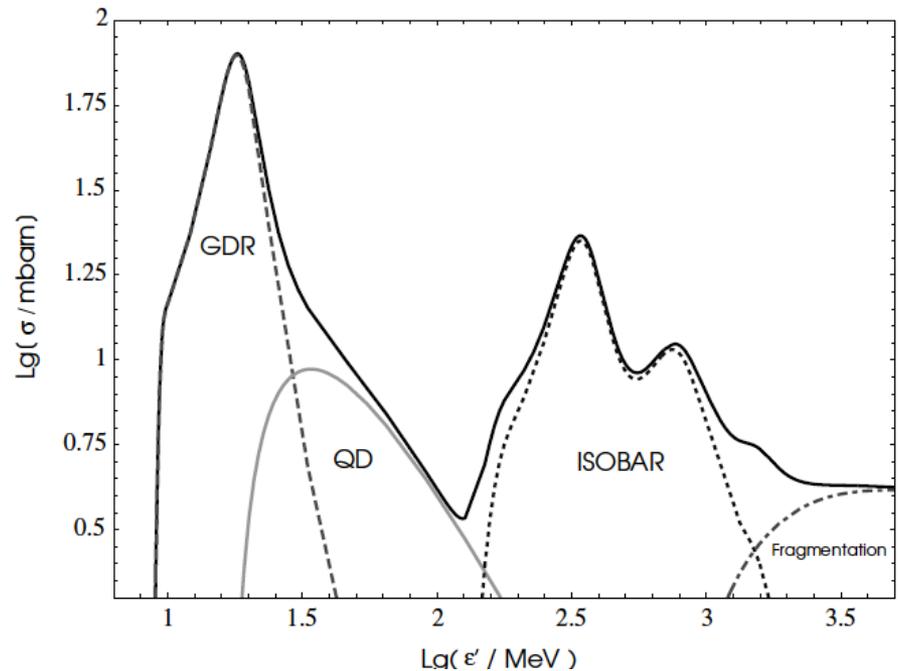
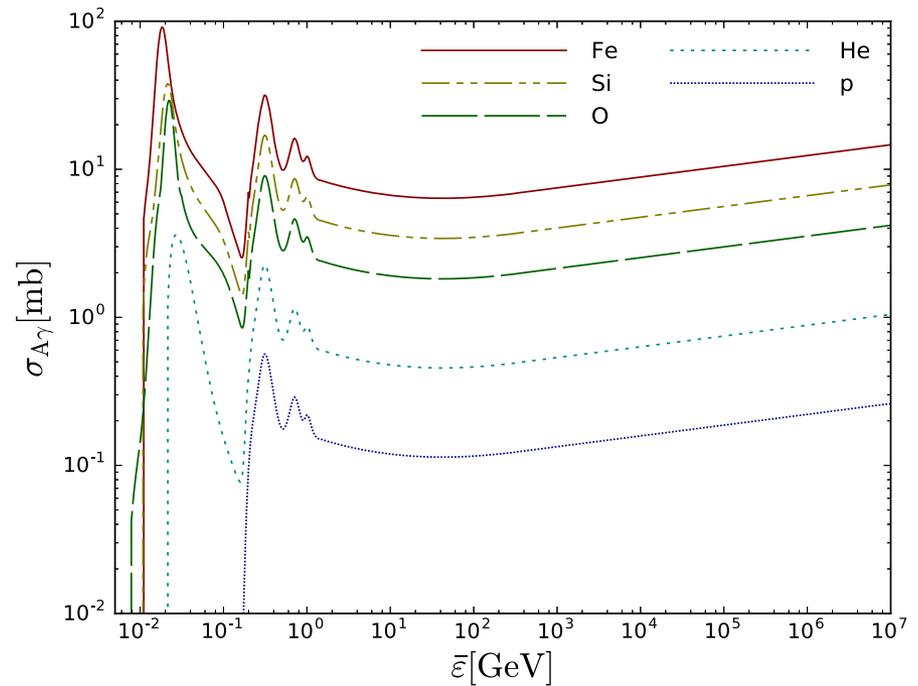
$$\sigma_{A\gamma} \sim \alpha \pi R_A^2 \sim 30 (A/56)^{0.7} \text{ mb}$$

$$\epsilon_A \epsilon_\gamma \sim 10^{-2} A \text{ GeV}^2$$

$$\epsilon_{\text{nuc}} = \kappa \epsilon_A \sim \epsilon_A / A$$

**Neutrinos from n decay**

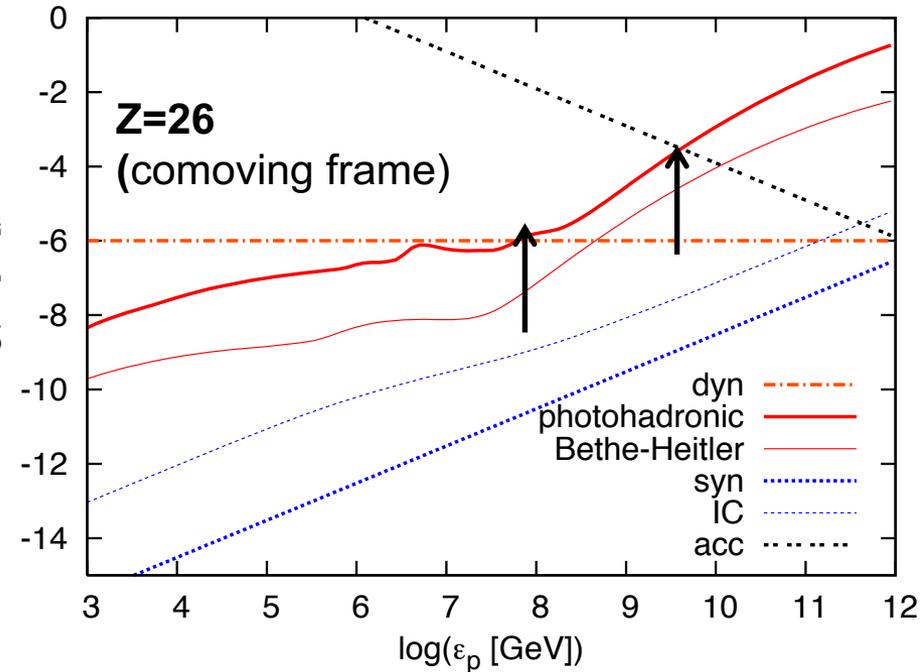
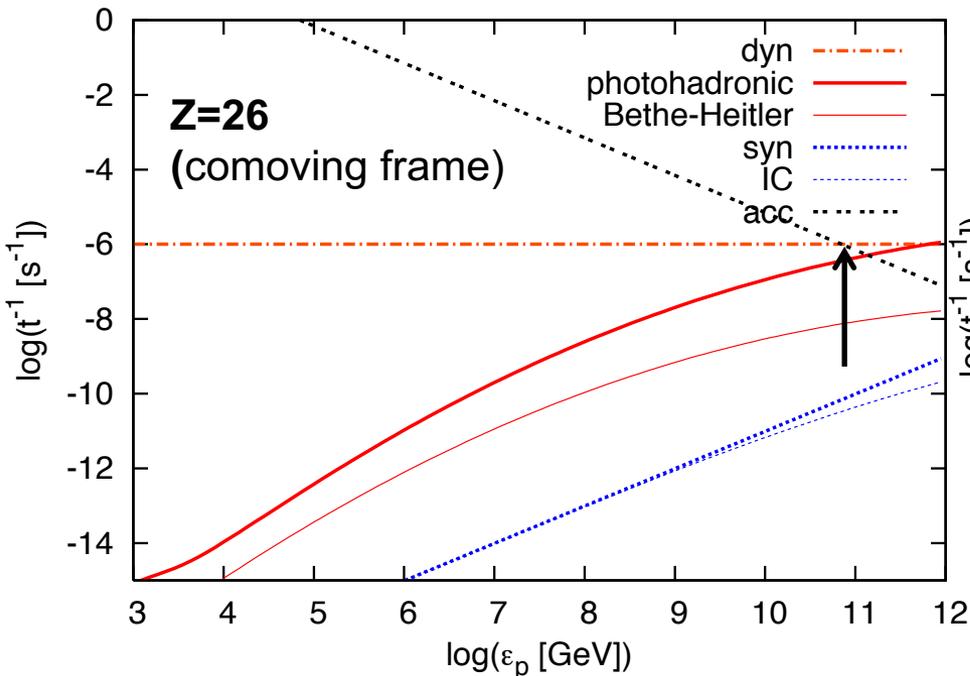
**analogy from  $p\gamma$  interactions**



# Example: Active Galactic Nuclei

## BL Lac (low-power blazar)

## FSRQ (high-power blazar)



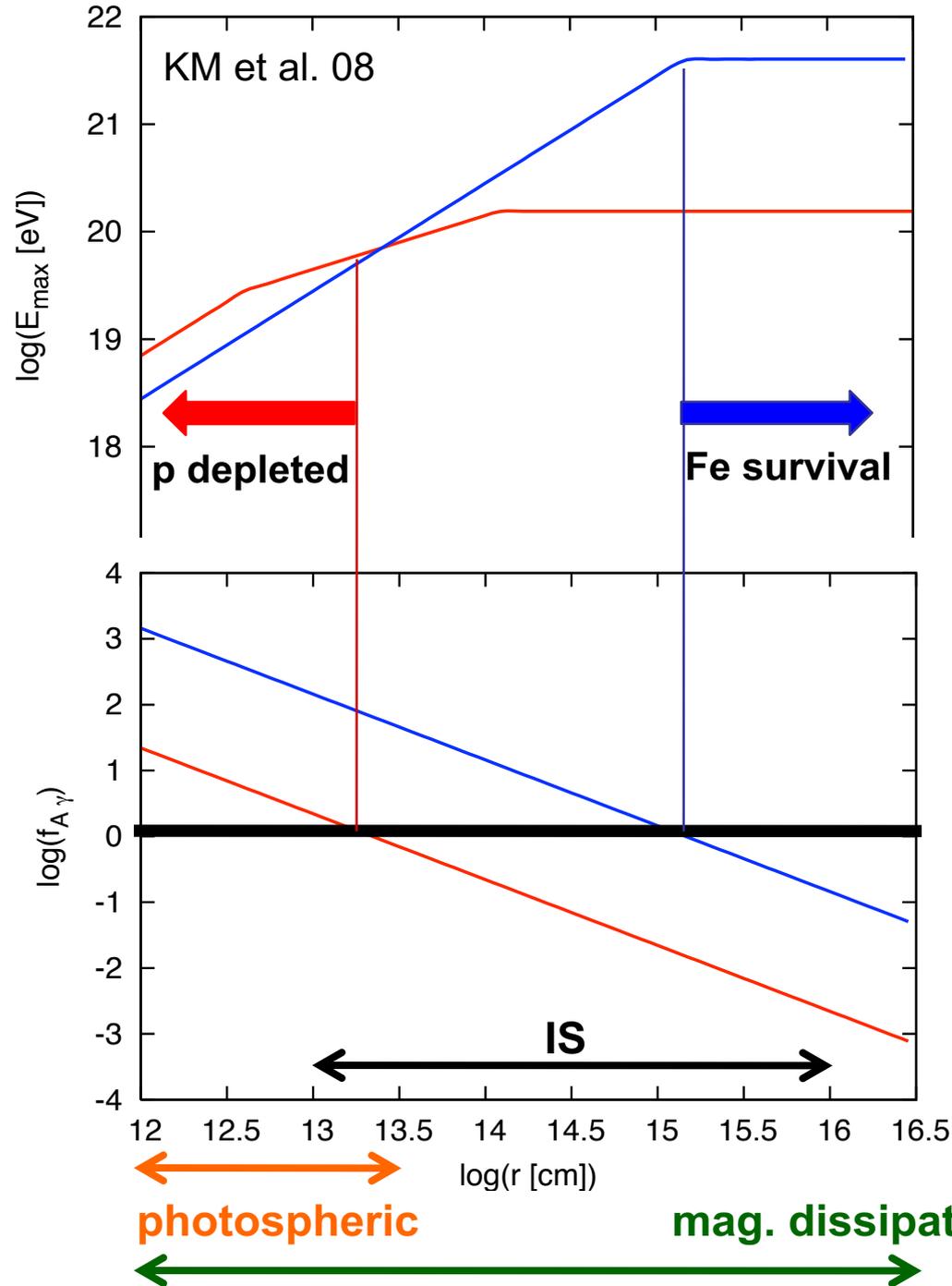
$$E \sim \Gamma \epsilon$$

$$E_{\max} \sim 10^{21} \text{ eV}$$

limited by dynamical time

$$E_{\max} \sim 5 \times 10^{17} \text{ eV}$$

limited by  $A_\gamma$  losses



**Fe: maximum energy**

**p: maximum energy**

## Gamma-ray burst

$$L_{\gamma}^b = 10^{51.5} \text{ erg/s}$$

$$\Gamma=300, U_e=U_B$$

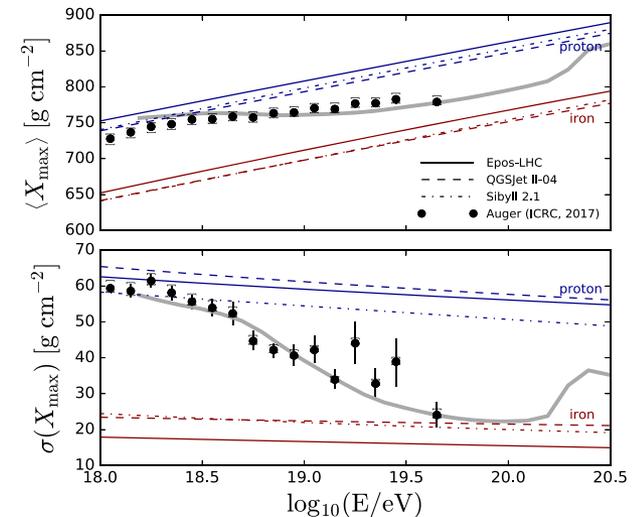
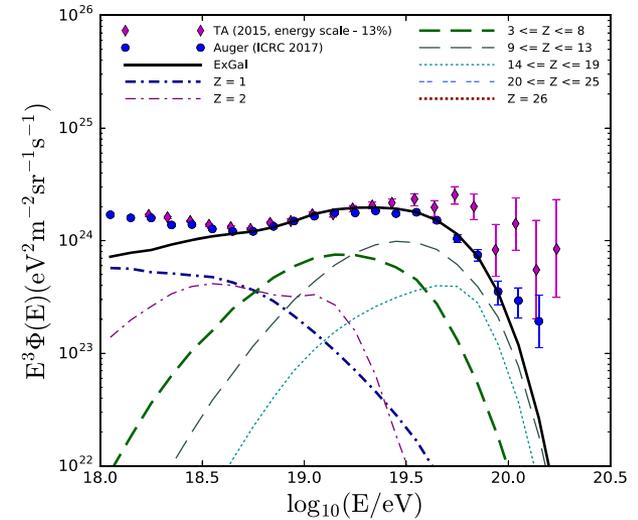
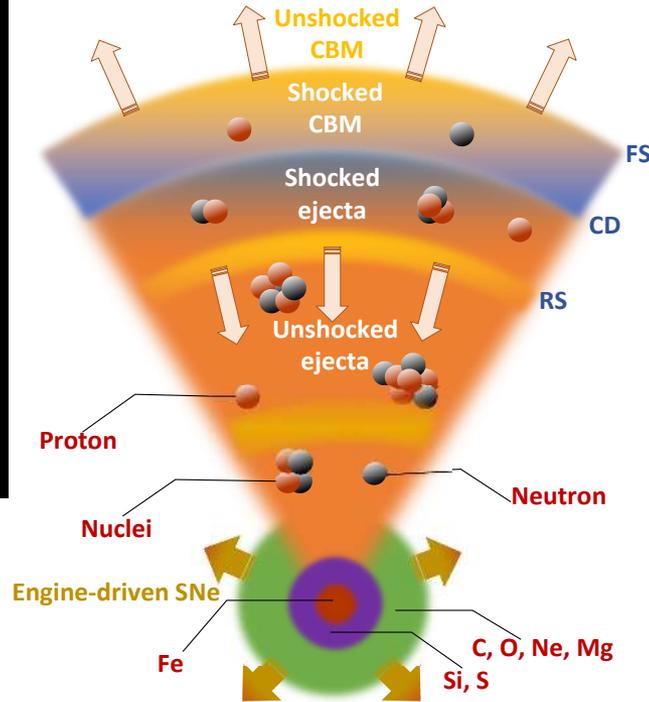
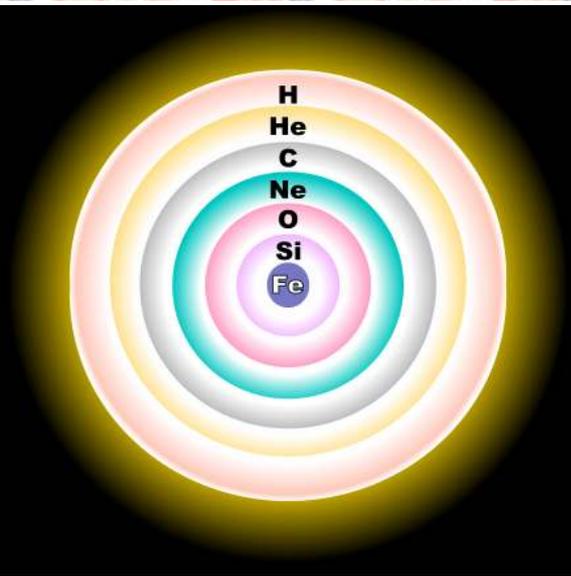
**$f_{A,\gamma}$ : disintegration efficiency**

**$f_{p\gamma}$ : meson production efficiency**

# *New Challenges for Source Modeling*

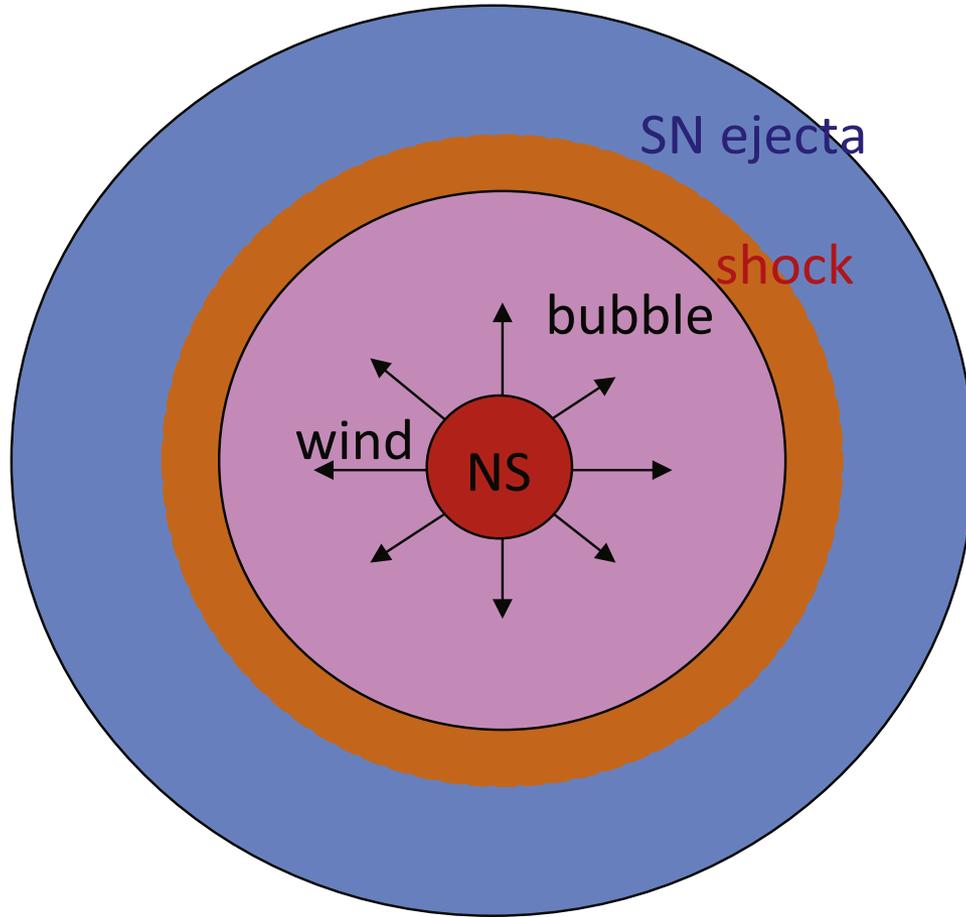
1. Nucleus-survival problem  
luminosity requirement → powerful sources  
powerful in radiation → efficient disintegration
  
2. Heavy-rich composition
  - a. intrinsic abundance
  - b. reacceleration
  - c. injection mechanism
  
3. Hard spectrum of nuclei
  - a. hard “escape” spectrum
  - b. hard “accelerated” spectrum
  - c. hardening due to “energy losses”

# Ex. Low-Luminosity GRBs/Engine-Driven Supernovae

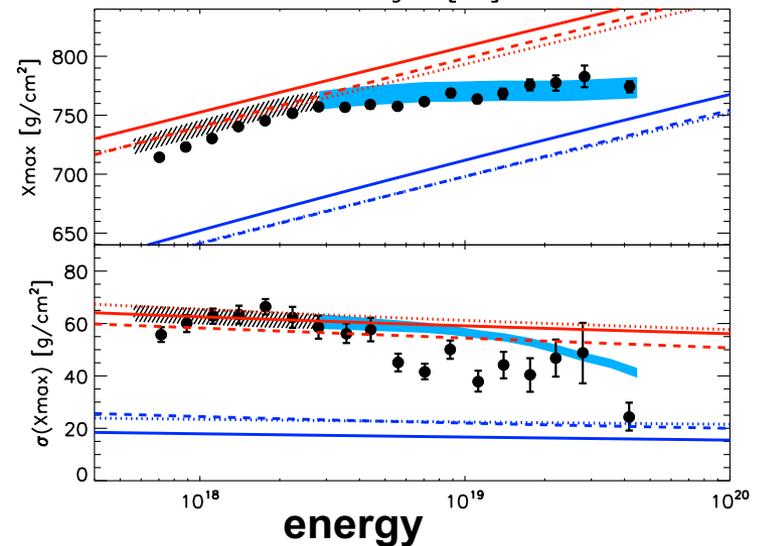
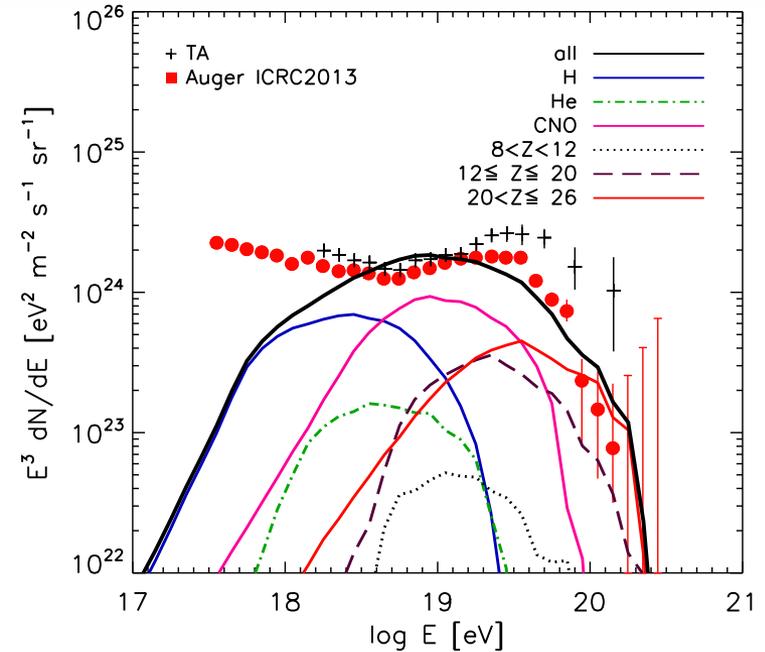


1. Dominantly “intermediate” mass nuclei: **consequences of** progenitor models
2. Instantaneous escaping spectrum: **hard**
3. Nuclei **can survive (cf. Bronlcoli+ )**
4. Correlation w. starburst galaxies

# Ex. Magnetars & Fast-Rotating Pulsars



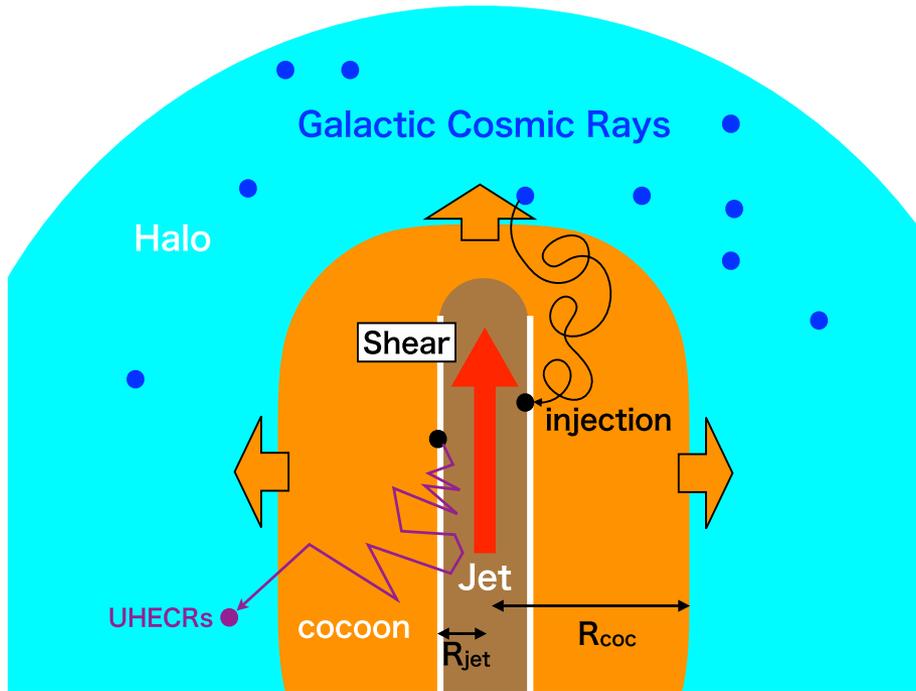
Fang, Kotera, KM & Olinto 12 ApJ  
 Fang, Kotera, KM & Olinto 14 PRD  
 see also Arons 03 ApJ  
 KM, Meszaros & Zhang 09 PRD



# Ex. Active Galactic Nuclei Jet Shear

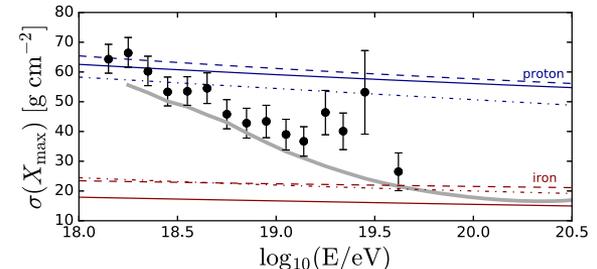
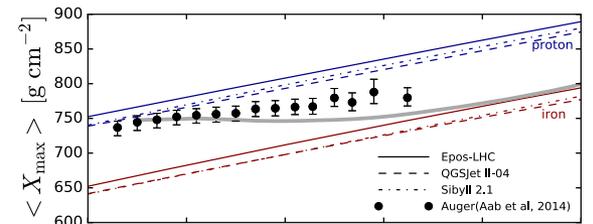
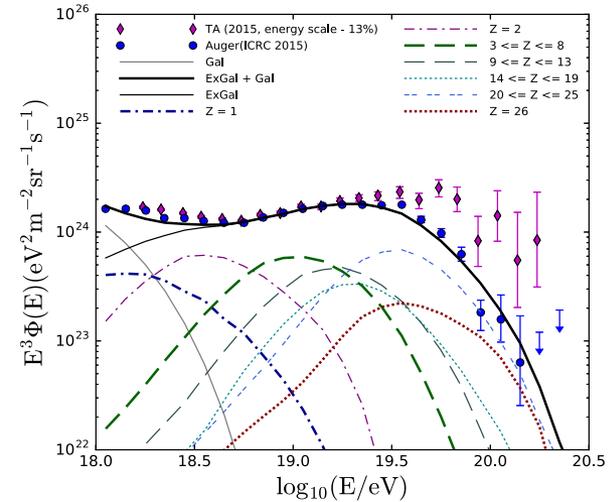
shear acceleration: Fermi acc. at the jet-cocoon boundary

(Berezhko & Krimskii 81, Earl & Jokipii 88)



1. Recycling galactic TeV-PeV CRs
2. Hard spectrum predicted
3. Nuclei can survive
4. Correlation w. radio galaxies

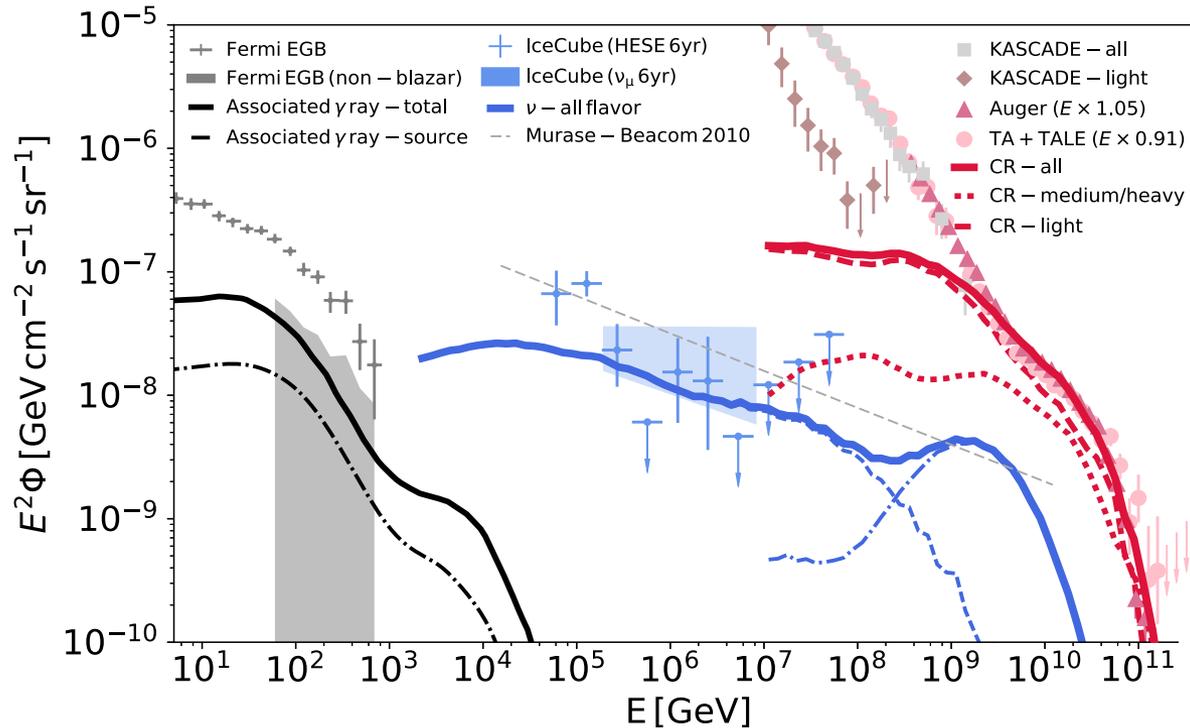
cf. O'Sullivan+ 09, Caprioni 15, Matthews+ 18



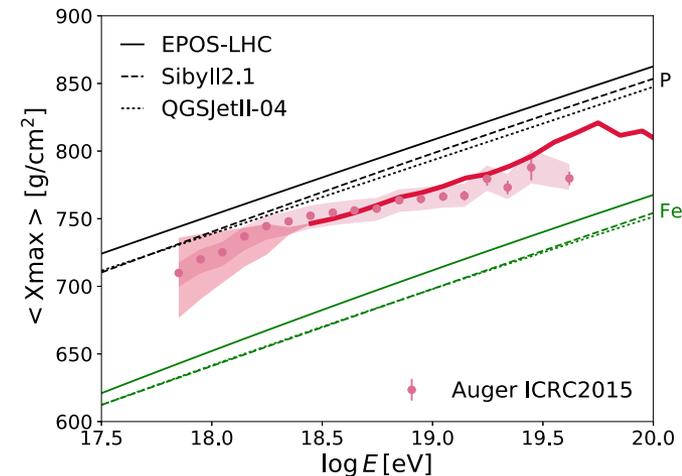
from Kimura KM Zhang 18 PRD

# Ex. Active Galactic Nuclei Embedded in Clusters

## Hardening due to photodisintegration in environments (ex. Unger+ 15 PRD)



## Fang & KM 18 Nature Physics



- Low-energy CRs: **confinement** in clusters
- CR nuclei: **photodisintegration** during diffusion consistent w. Fermi acceleration ( $s \sim 2$ )
- Correlation w. large-scale structures/AGN

# Summary II

Source physics is important

1. dynamics 2. particle acceleration 3. particle interaction

- Supernova: established multi-messenger source  
long GRB: next multi-messenger target  
diversity of transients (SN-GRB-SLSN-FRB etc.)

“What is the central engine?”

- Cosmic-ray “emission”  
diffusive shock acceleration: standard  
escaping CRs  $\neq$  accelerated CRs  
Auger results: new challenges for source models

# Problems for Exercise (Astro)

## Kilonova: (brighter than nova and dimmer than supernova)

The discovery of a neutron star merger event, GW170817, which is coincident with GRB 170817A, was followed by the observations of their electromagnetic counterparts. In particular, the optical and infrared counterpart is so-called a kilonova/macronova, which is likely to be powered by  $r$ -process elements. Analogous to supernovae, the ejecta with total mass  $M$  freely expands with velocity  $V$ . Initially, the system is optically thick, i.e. the optical depth for the ejecta,  $\tau = \xi K \rho R$ , is larger than unity. Here  $K$  is the opacity,  $\rho \approx \frac{3M}{4\pi R^3}$  is the mass density,  $R$  is the ejecta radius, and  $\xi = 0.1$  is a correction factor related to the density structure. Most of the photons in the ejecta can break out when the expansion is faster than the photon diffusion time. For the near-infrared component, the observed luminosity at the peak time (about a week after the coalescence) is  $L_{\text{NIR}} = 10^{41}$  erg s $^{-1}$  and the effective temperature is  $T_{\text{NIR}} = 3000$  K. For simplicity, the ejecta is assumed to be spherical.

1. Estimate the ejecta radius  $R$  at the peak time.
2. By equating the photon diffusion time to the ejecta expansion time, give the condition for the photon breakout using  $\tau$  and  $V$ . You may ignore numerical factors.
3. Using the previous result and assuming  $V = 0.1c$  and  $K = 10$  cm $^2$  g $^{-1}$ , estimate the ejecta mass  $M$ .
4. If the ejecta is powered by radioactive nuclei, as in the theory of supernova light curves, the luminosity around the peak time is essentially equal to the heating luminosity  $Q$ . According to the Fermi theory, the heating luminosity is roughly given by

$$Q(t) = \frac{m_e}{\langle A \rangle m_p} \frac{M c^2}{t_F} \left( \frac{t}{t_F} \right)^{-1.2}, \quad (1)$$

where  $t_F = 8610$  s,  $m_p/m_e \simeq 1836$  is the proton-electron mass ratio, and  $\langle A \rangle$  is the average mass number.

Assuming that the peak time is  $10^6$  s, give the order of magnitude estimate on  $\langle A \rangle$  and discuss its implications.

# *Problems for Exercise (CR)*

## 1. Self-similar solution (w. fixed B)

- a. When does the deceleration happen?
- b. What is the time evolution of radius for the Sedov-Taylor phase?
- c. What is the time evolution of injected particles (assuming that it is proportional to energy carried by a shell)?
- d. What is the time evolution of the maximum energy assuming the Sedov-Taylor expansion?

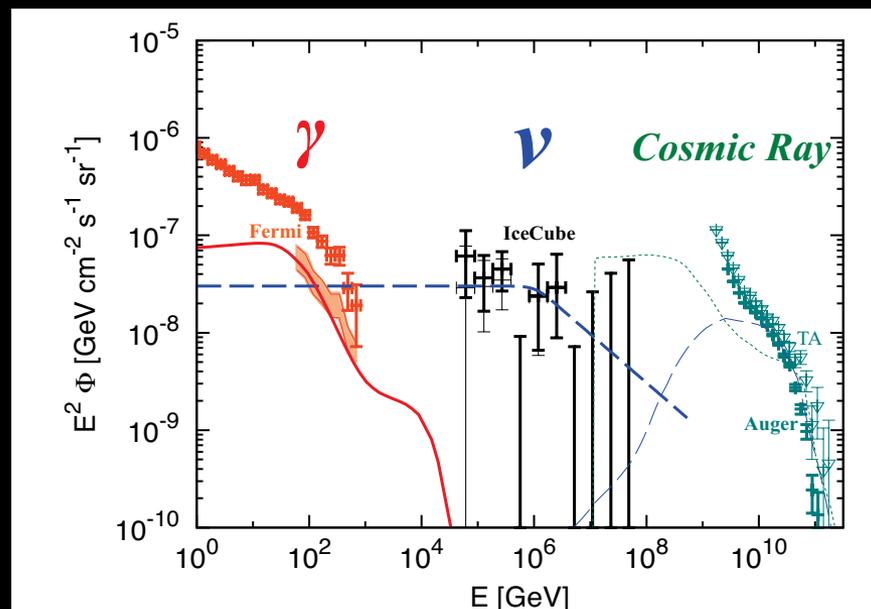
optional.

What is the time evolution of the maximum energy assuming the Blandford-McKee expansion or jet-induced cocoon expansion?

## 2. Estimate photodisintegration optical depth for TXS 0506+056

- a. Estimate the iron energy that causes a resonance with 4 keV photons
- b. Estimate the photodisintegration optical depth  
( $L_x = 3 \times 10^{44}$  erg/s,  $r = 10^{18}$  cm,  $\Gamma = 20$ )
- c. Can UHECR irons survive? (assume photon index  $\beta = 2.8 \sim 3$ )

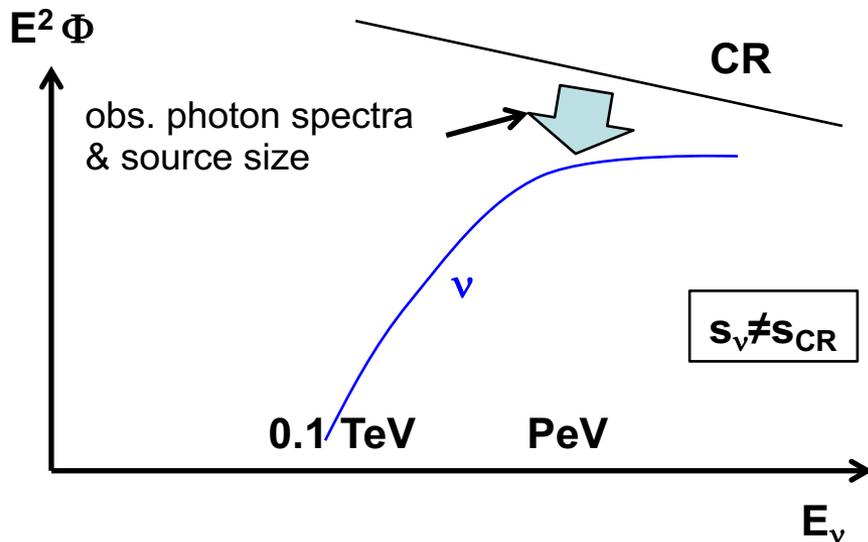
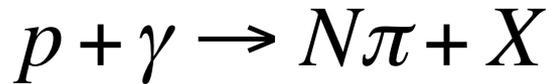
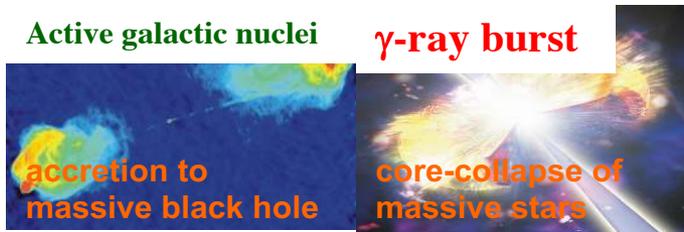
# Cosmic-Ray Reservoirs



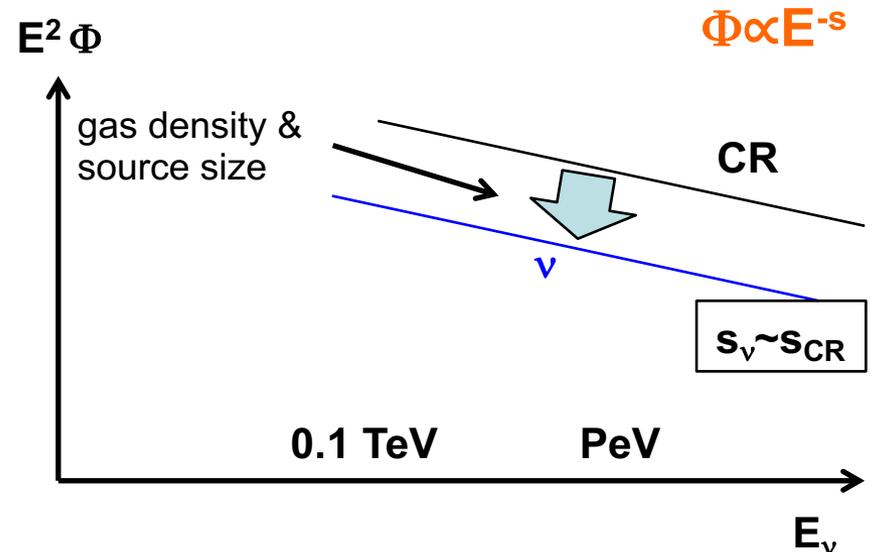
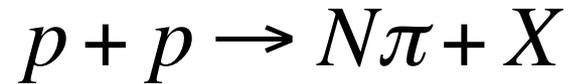
# Astrophysical Extragalactic Scenarios

$E_\nu \sim 0.04 E_p$ : PeV neutrino  $\Leftrightarrow$  20-30 PeV CR nucleon energy

**Cosmic-ray Accelerators**  
(ex. UHECR candidate sources)



**Cosmic-ray Reservoirs**



# Cosmic-Ray Reservoirs

Starburst galaxies

kpc

$B \sim 0.1-1$  mG

supernovae  
 $\gamma$ -ray bursts  
 active galaxies

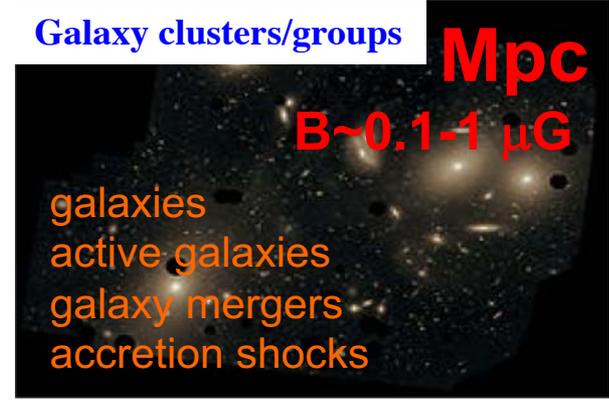


Galaxy clusters/groups

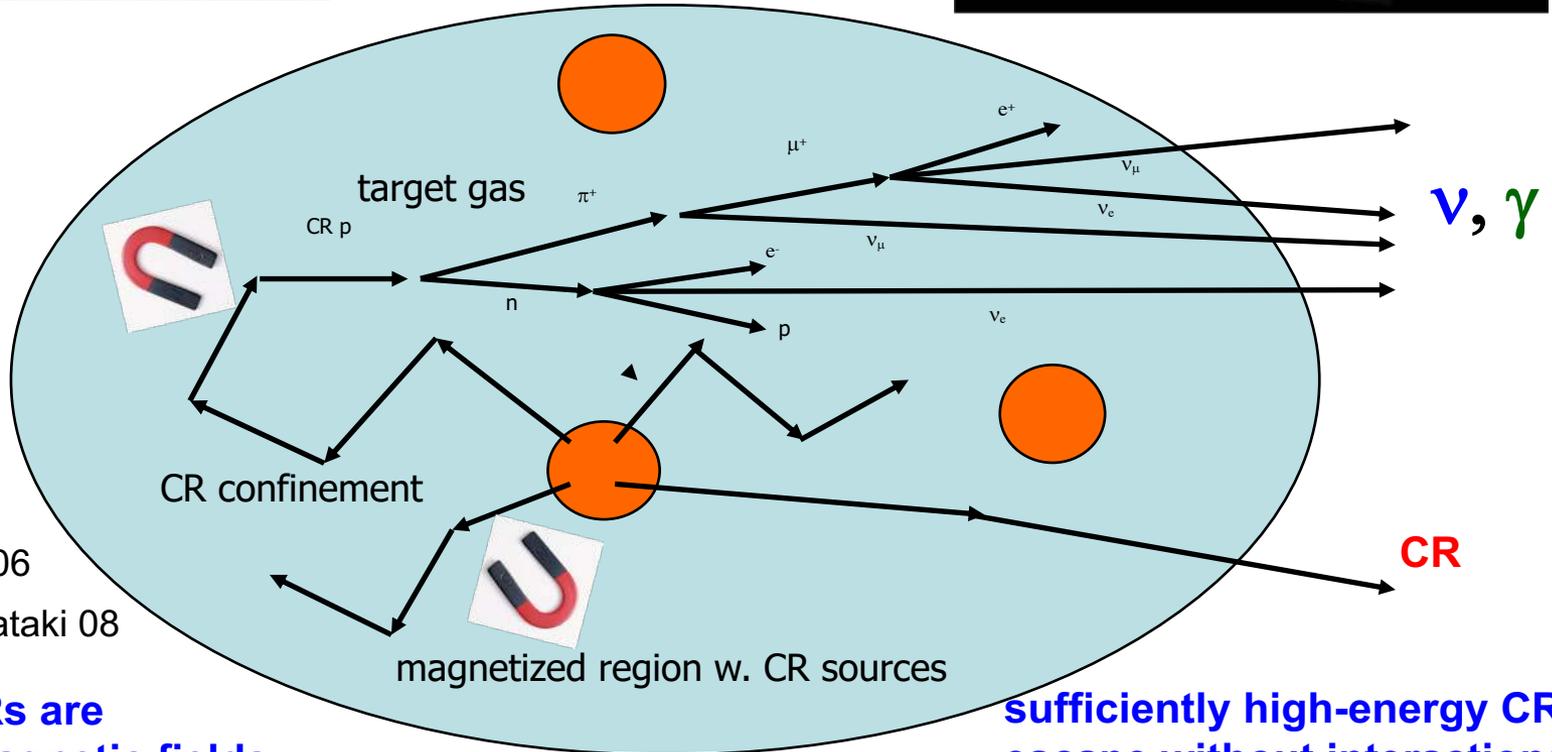
Mpc

$B \sim 0.1-1$   $\mu$ G

galaxies  
 active galaxies  
 galaxy mergers  
 accretion shocks



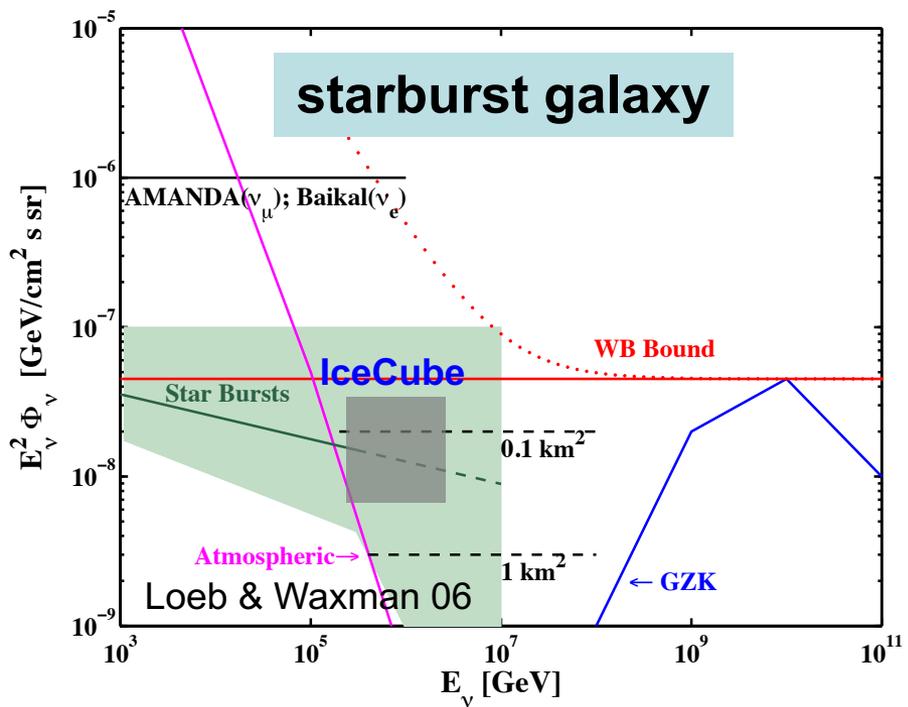
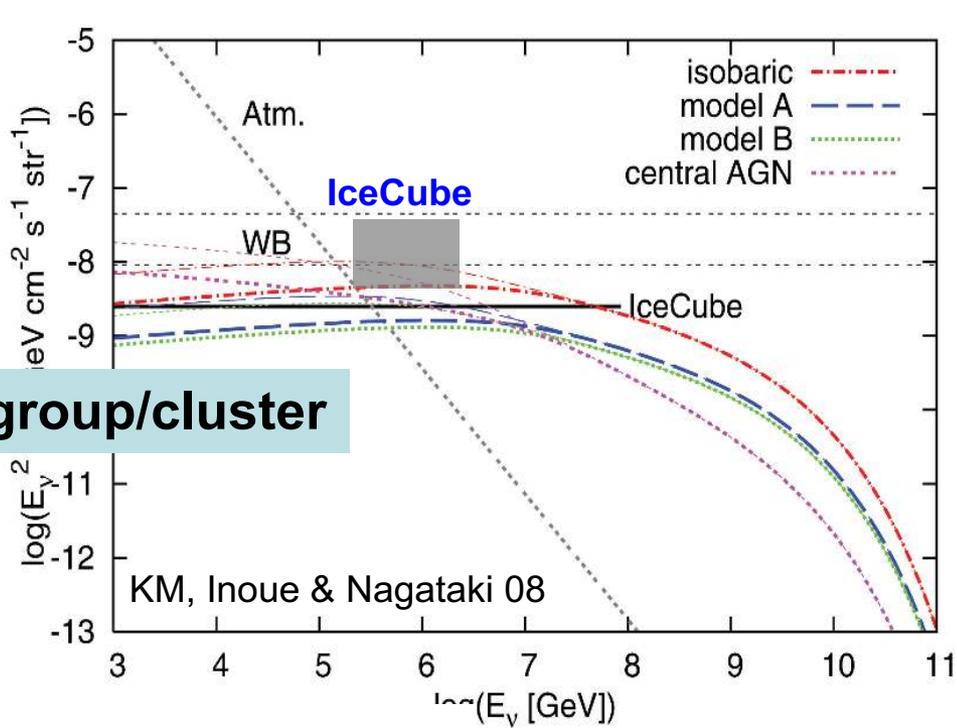
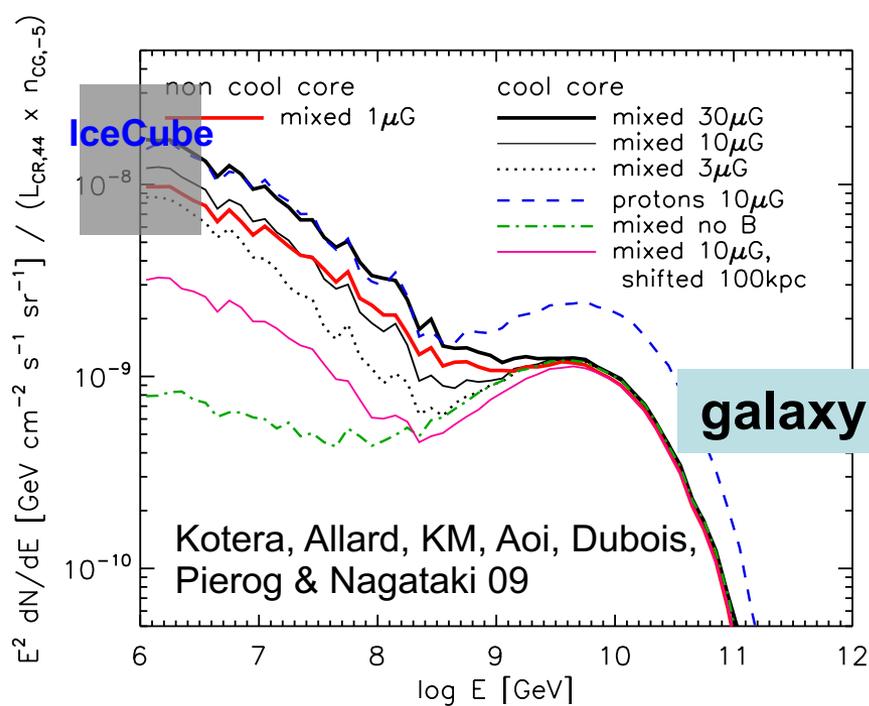
“cosmic-ray reservoirs”



Loeb & Waxman 06  
 KM, Inoue & Nagataki 08

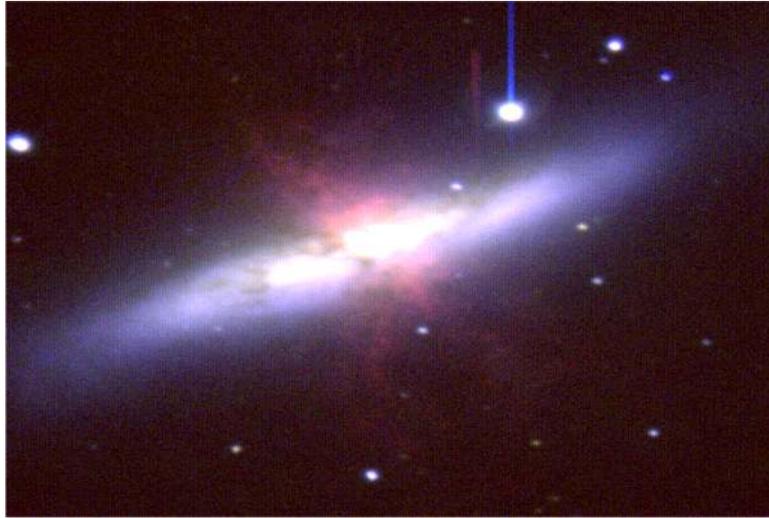
low-energy CRs are confined by magnetic fields

sufficiently high-energy CRs escape without interactions



consistent w.  
 observations  
 but uncertain

# Starburst/Star-Forming Galaxies: Basics



- High-surface density  
M82, NGC253:  $\Sigma_g \sim 0.1 \text{ g cm}^{-3} \rightarrow n \sim 200 \text{ cm}^{-3}$   
high-z MSG:  $\Sigma_g \sim 0.1 \text{ g cm}^{-3} \rightarrow n \sim 10 \text{ cm}^{-3}$   
submm gal.  $\Sigma_g \sim 1 \text{ g cm}^{-3} \rightarrow n \sim 200 \text{ cm}^{-3}$
- CR accelerators  
Supernovae, hypernovae, GRBs,  
Super-bubbles (multiple SNe)  
Galaxy mergers, AGN

**SBG CR luminosity density**  $Q_{\text{cr}} \sim 8.5 \times 10^{44} \text{ erg Mpc}^{-3} \text{ yr}^{-1} \epsilon_{\text{cr},-1} \varrho_{\text{SFR},-3}$

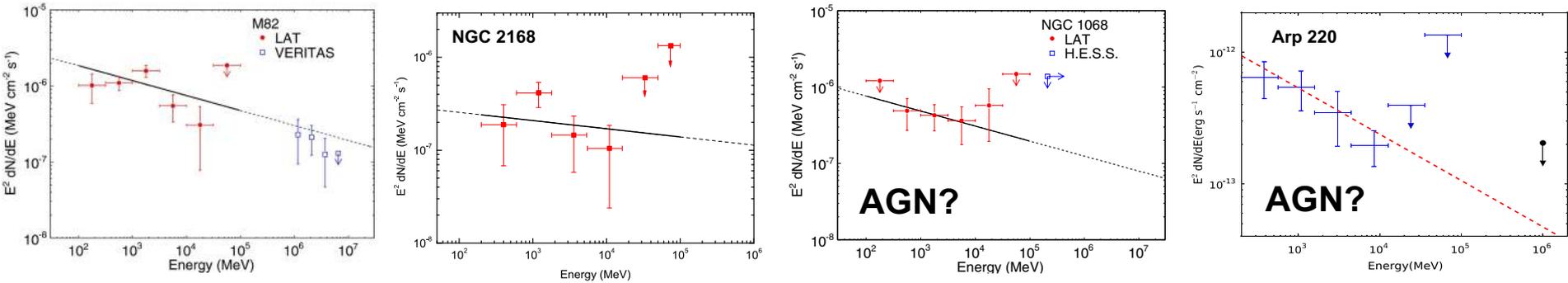
(SFG CR energy budget  $\sim$  Milky Way CR budget is  $\sim 10$  times larger)

**advection time (Gal. wind)**  $t_{\text{esc}} \approx t_{\text{adv}} \approx h/V_w \simeq 3.1 \text{ Myr} (h/\text{kpc}) V_{w,7.5}^{-1}$

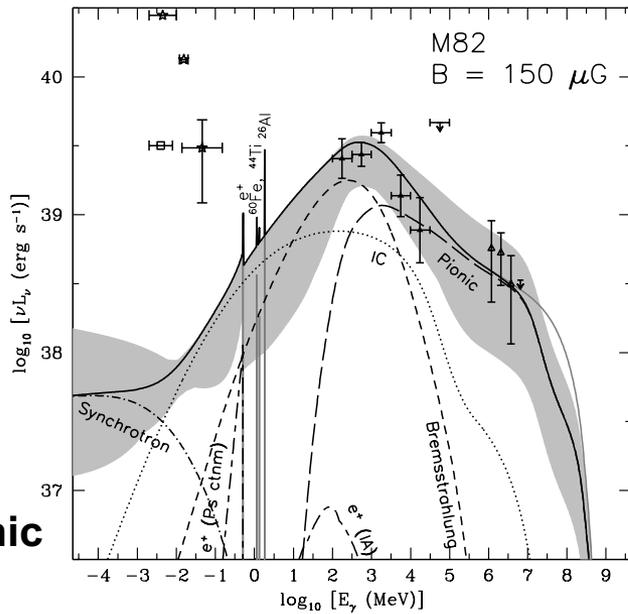
**pp efficiency**  $f_{\text{pp}} \approx \kappa_p \sigma_{\text{pp}} n c t_{\text{esc}} \simeq 1.1 \Sigma_{g,-1} V_{w,7.5}^{-1} (t_{\text{esc}}/t_{\text{adv}})$

# Gamma-Ray Detection from Starbursts

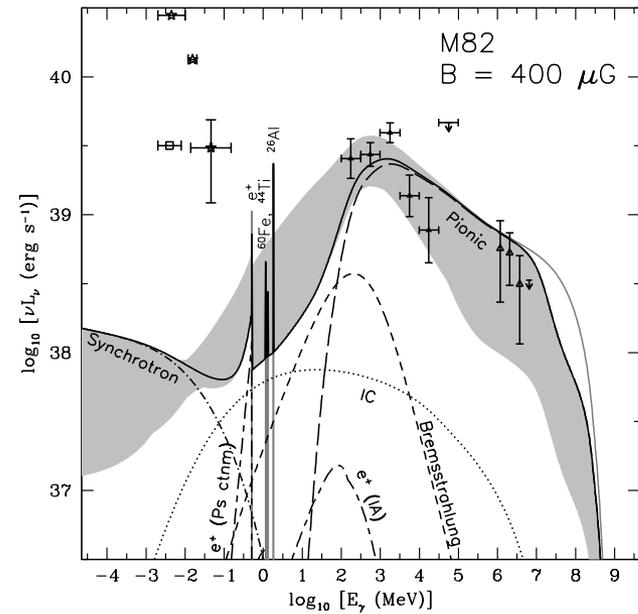
Starbursts have been detected in GeV-TeV gamma rays



Ackermann+ 12 ApJ, Tang+ 14 ApJL, Peng+ 16 ApJL, Griffin+ 16 ApJL



leptonic+hadronic



hadronic

Lacki, Horiuchi & Beacom 14 ApJ

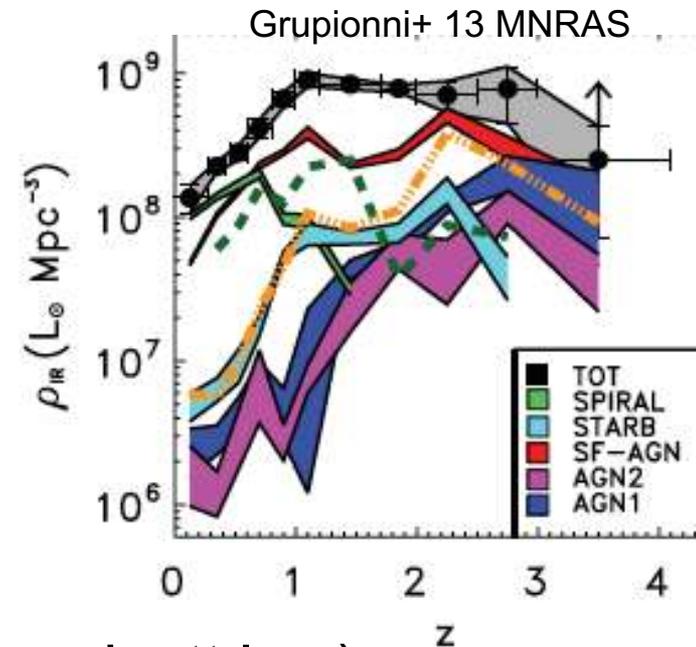
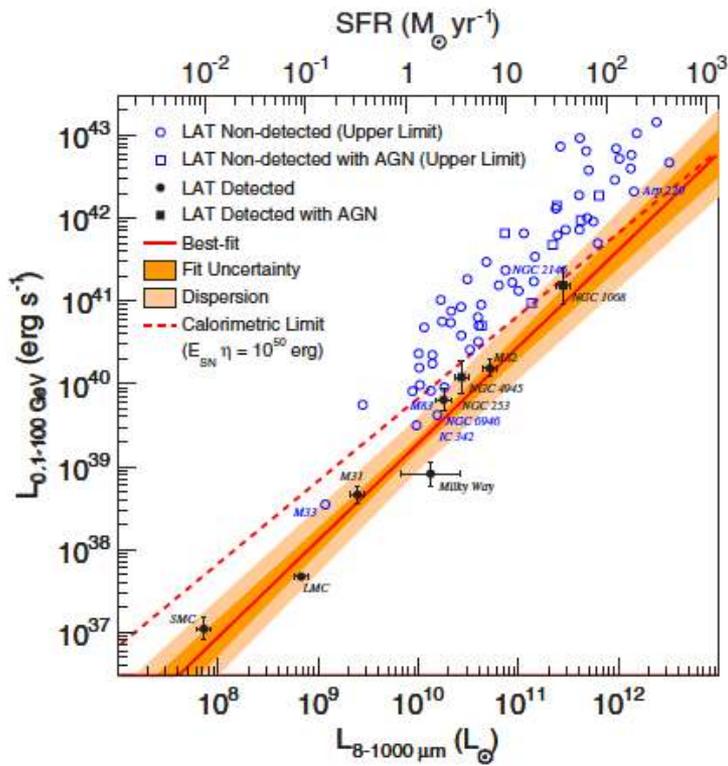
# Luminosity Function & Calorimetry

LF is described by the Schechter function

$$\Phi(L)d\log L = \Phi^* \left(\frac{L}{L^*}\right)^{1-\alpha} \exp\left[-\frac{1}{2\sigma^2} \log_{10}^2\left(1 + \frac{L}{L^*}\right)\right] d\log L$$

$\alpha \sim 1$ ,  $L^* \sim 10^{11} L_{\text{sun}}$ : typical infrared luminosity

Redshift evolution:  $m \sim 3-4$  up to  $z \sim 1$  for  $(1+z)^m$



$\text{SFR} \propto L_{\text{IR}}$  (Kennicutt law)

$L_{\gamma} \propto L_{\text{IR}}^{1.17}$  (Fermi collaboration 12 ApJ)

(basic agreement w. calorimetry)

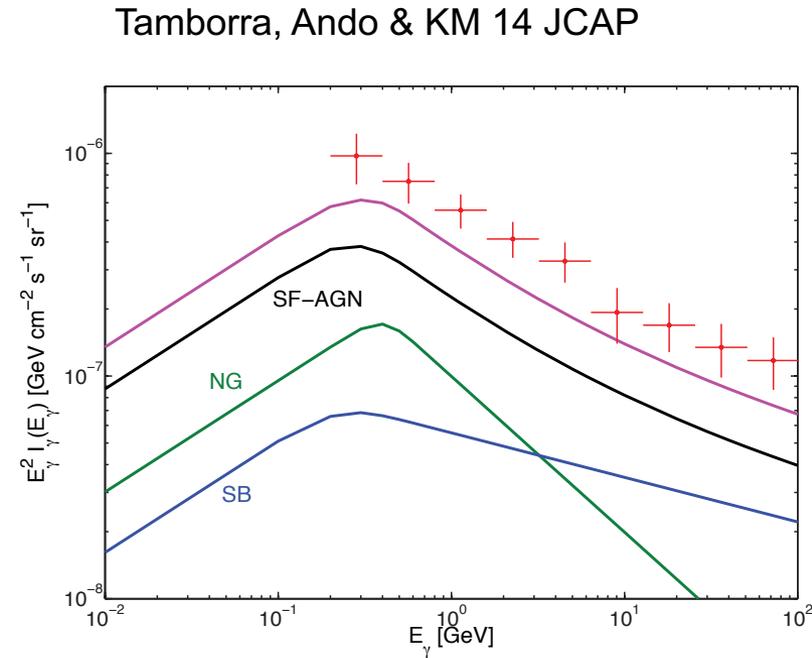
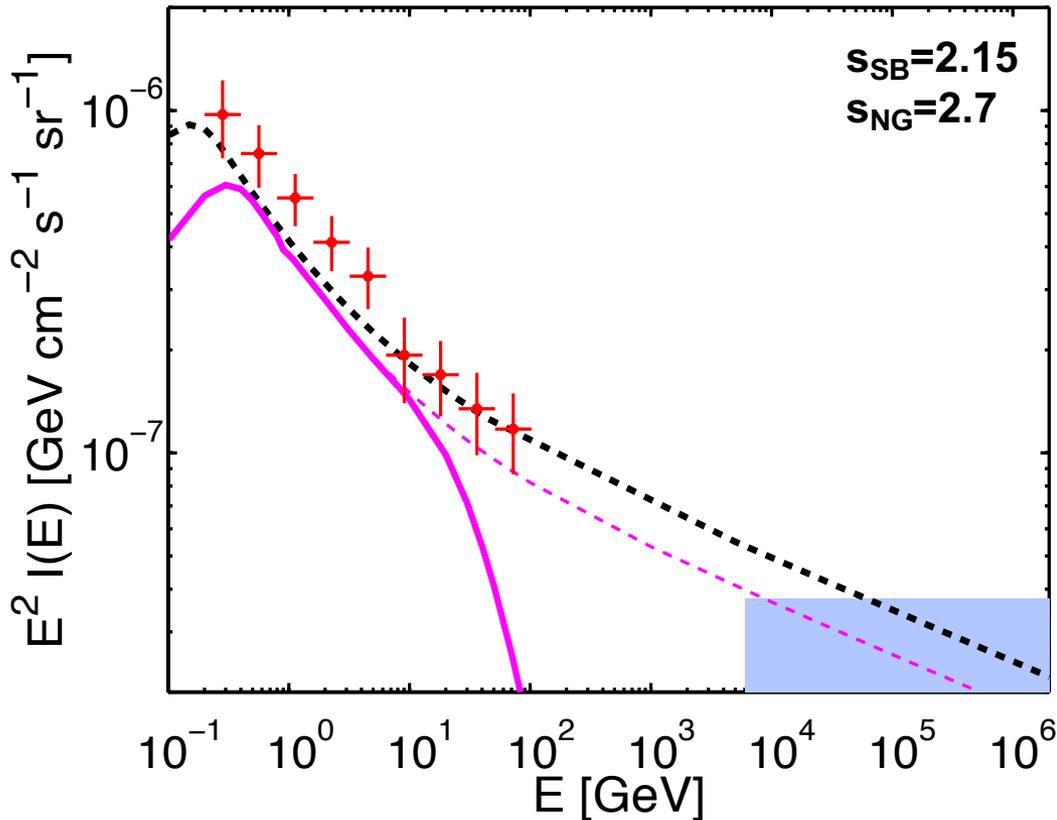
→ “typical” muon neutrino luminosity:

$EL_E \sim 2 \times 10^{40} \text{ erg s}^{-1}$

“effective” density:  $n_0 \sim 10^{-5} \text{ Mpc}^{-3}$

can be reached by Gen2 (KM & Waxman 16)

# Star-Forming/Starburst Galaxies, $\nu$ s, $\gamma$ s



Starbursts can potentially explain  $\nu$  and  $\gamma$  simultaneously, but keep in mind

- Normalization is uncertain ( $L_\gamma$ - $L_{\text{IR}}$ , uncertain AGN contribution)
- Spectral indices are uncertain (could be  $s_{\text{SB}} = 2.0$  at high energies)

# Necessity of Super-Pevatrons

Our Galaxy's CR spectrum

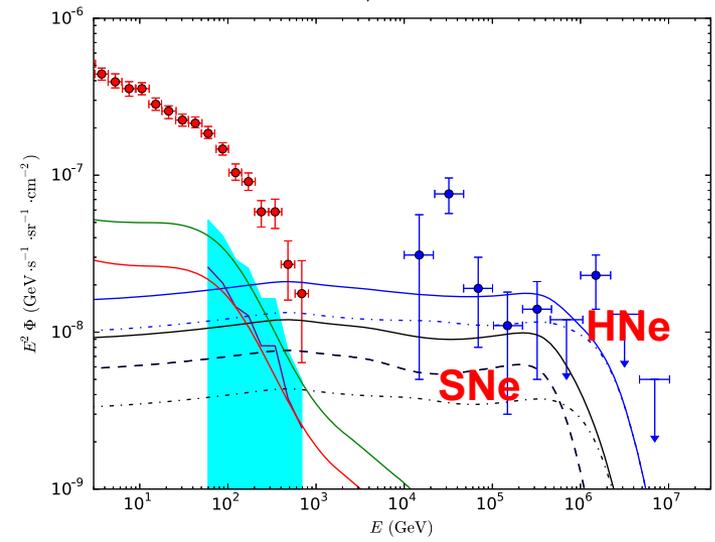
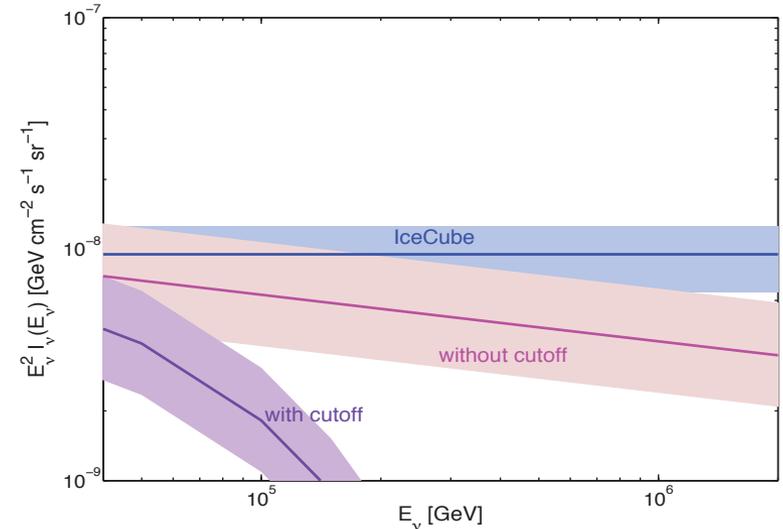
Knee at 3 PeV

→ neutrino knee at **~100 TeV**

**Normal supernovae (SNe) are not sufficient to explain >0.1 PeV data**

## Possible solutions

1. B fields amplified to  $\sim$  mG KM+ 13
2. **Hypernovae (HNe)** KM+ 13, Liu+ 14, Senno+ 15
3. Trans-relativistic supernovae  
gamma-ray bursts Dado & Dar 14, Wang+ 15
4. Type IIa/IIb supernovae  
Zirakashvili & Ptuskin 16
5. Super-bubbles
6. **AGN disk-driven outflows** Tamborra+ 14
7. Galaxy mergers Kashiyama & Meszaros 14

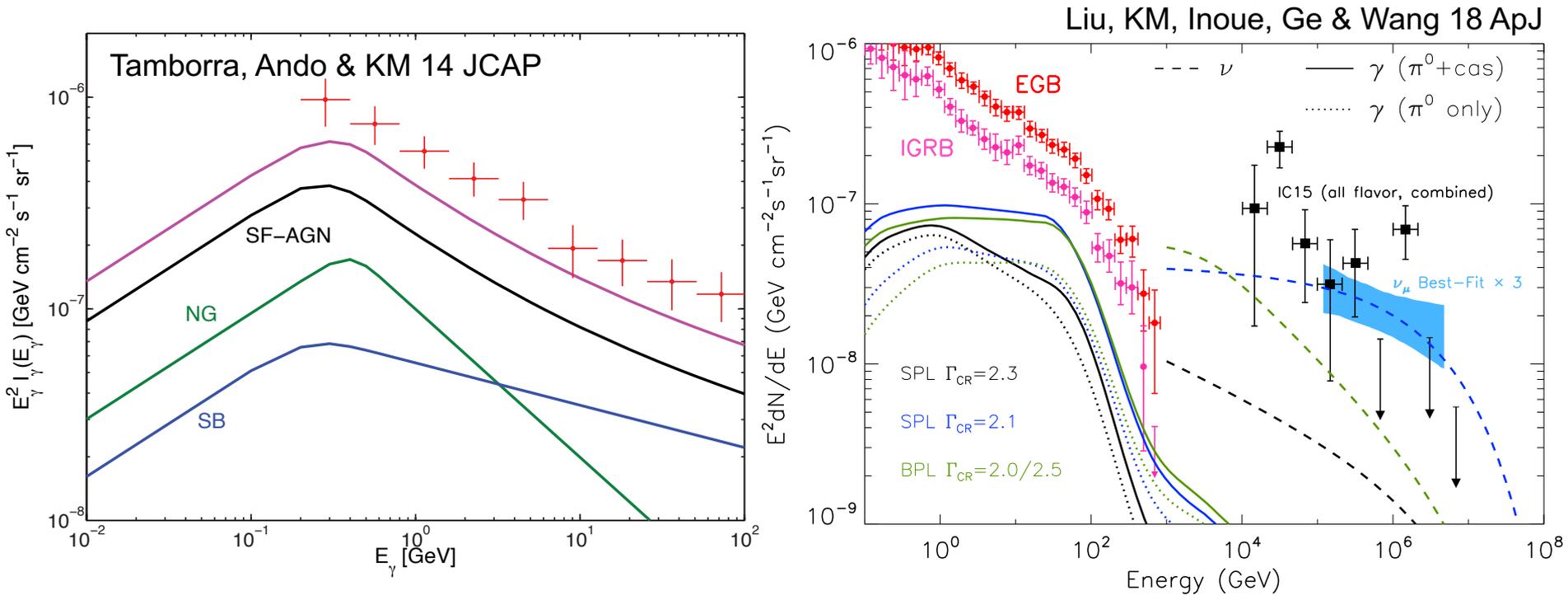


Senno, Meszaros, KM, Baerwald & Rees 15 ApJ  
Xiao, Meszaros, KM & Dai 16 ApJ

# Ex. Star-Forming Galaxies w. AGN

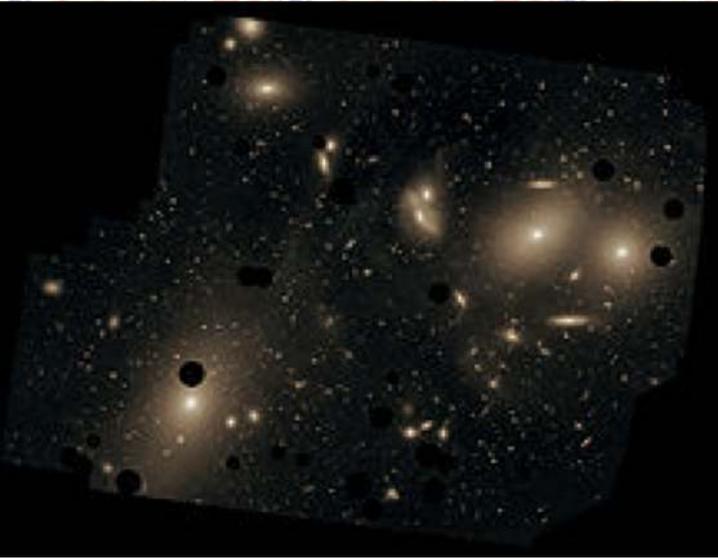
Starbursts can potentially explain  $\nu$  and  $\gamma$  simultaneously but...

1. CR accelerators are more powerful than supernovae (beyond the knee)
2. Diffusion should be much slower than expected from that of our Galaxy
3. Tension with Fermi and IACT data (normalization & photon index)



1. Disk-driven winds are likely to accelerate CRs up to  **$\sim 10\text{-}100$  PeV**
2. Diffusion coefficients can be **smaller** from those of star-forming galaxies
3. **Consistent** w. Fermi limits and CR spectra can be harder

# Example: Galaxy Groups and Clusters



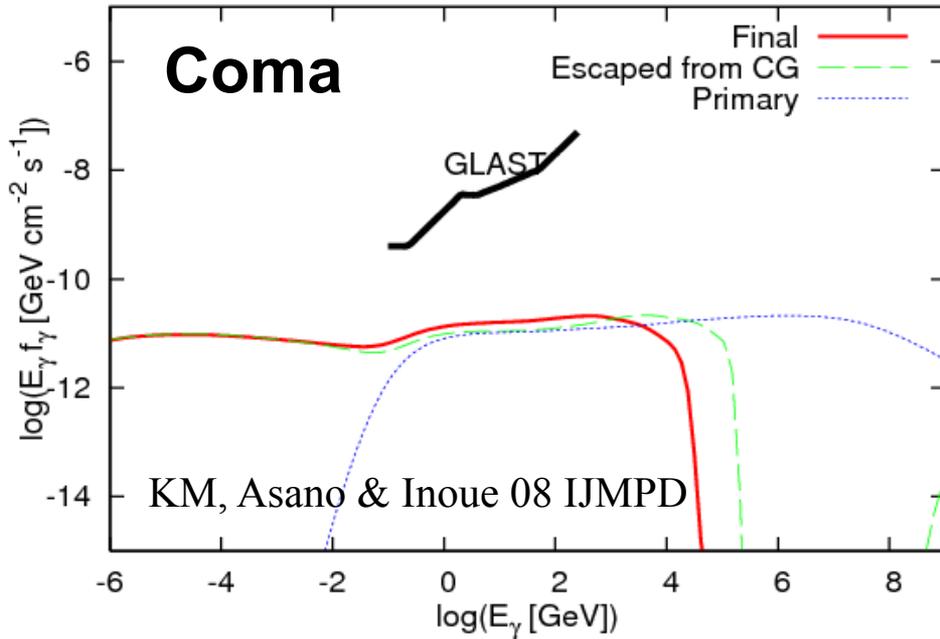
- Intracluster gas density (known)  
 $n \sim 10^{-4} \text{ cm}^{-3}$ , a few  $\times 10^{-2} \text{ cm}^{-3}$  (center)
- CR accelerators  
active galactic nuclei  
accretion shocks (massive clusters)  
galaxy/cluster mergers

**AGN jet luminosity density**  $Q_{\text{cr}} \sim 3.2 \times 10^{46} \text{ erg Mpc}^{-3} \text{ yr}^{-1} \epsilon_{\text{cr},-1} L_{j,45} \rho_{\text{GC},-5}$

**cluster luminosity density**  $Q_{\text{cr}} \sim 1.0 \times 10^{47} \text{ erg Mpc}^{-3} \text{ yr}^{-1} \epsilon_{\text{cr},-1} L_{\text{ac},45.5} \rho_{\text{GC},-5}$

**pp efficiency**  $f_{\text{pp}} \approx \kappa_p \sigma_{\text{pp}} n c t_{\text{int}} \simeq 0.76 \times 10^{-2} g \bar{n}_{-4} (t_{\text{int}}/2 \text{ Gyr})$

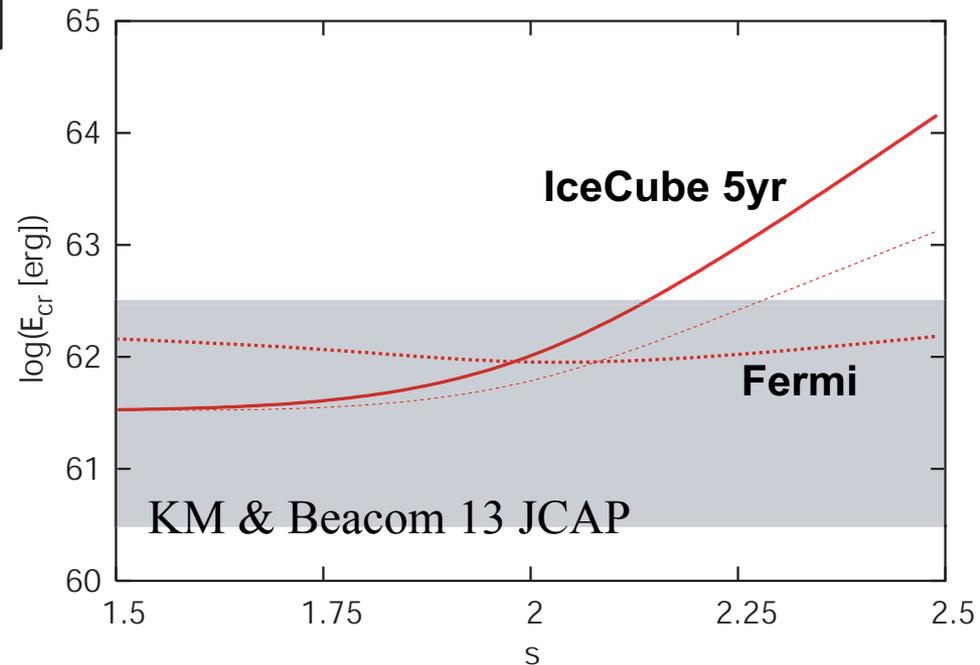
# Gamma-Ray Limits?



consistent with nondetection of gamma rays  
(but connection to the diffuse flux is actually not trivial)

$$L_{\text{cr}} \sim 0.5 \times 10^{45} \text{ erg s}^{-1} \text{ (Virgo)}$$

$$\rightarrow E_{\text{cr}} = L_{\text{cr}} t_{\text{inj}} \sim 3 \times 10^{61} \text{ erg}$$

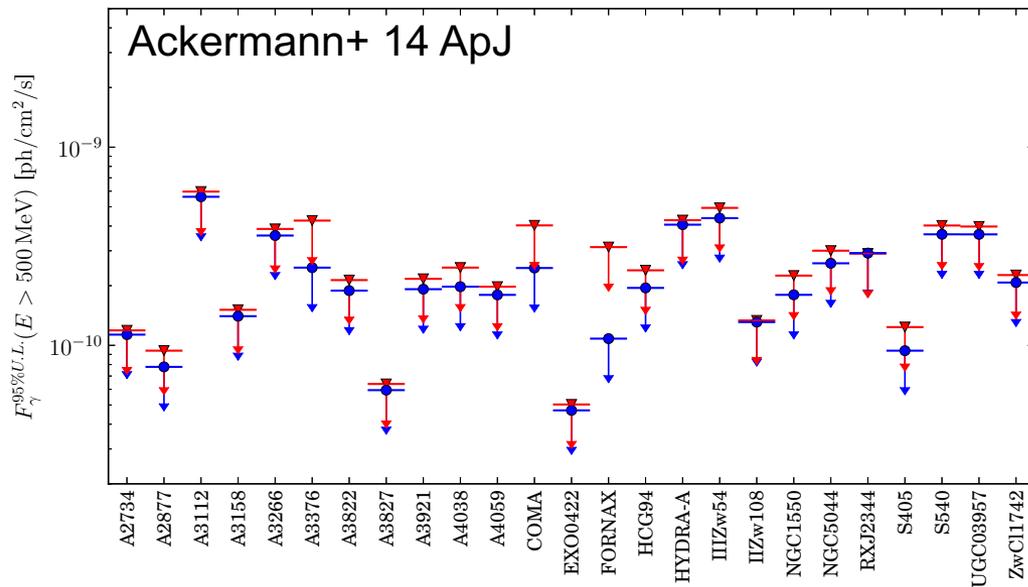


# Gamma-Ray Limits on Galaxy Clusters

$\gamma$  rays from virial shocks have been constrained

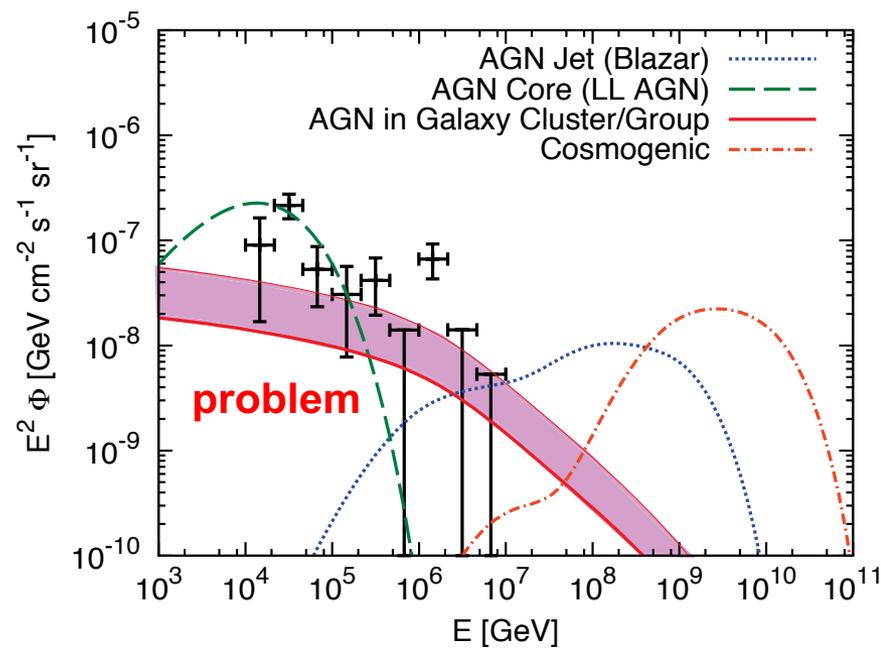
- CR pressure:  $< 1\%$  of thermal pressure
- CR efficiency:  $< 15\%$

but see: Ackermann+ 16 ApJ  
Reiss+ 17



## Issues:

- $\gamma$ -ray limits from nearby clusters
  - overshooting diffuse  $\gamma$ -ray bkg.
  - radio constraints for massive clusters
- > spectral indices cannot be steep  
accretion shock scenario is disfavored

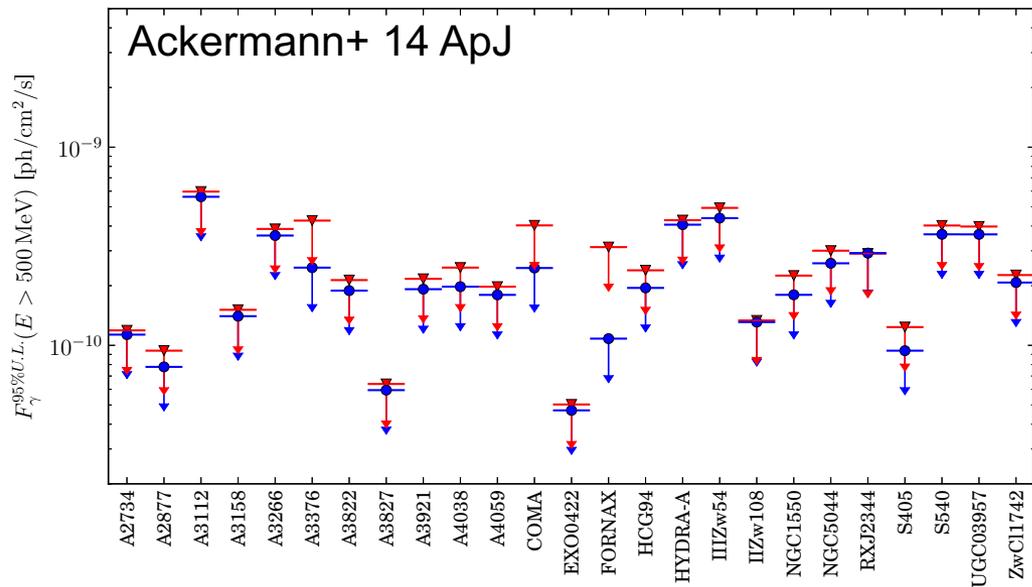


# Gamma-Ray Limits on Galaxy Clusters

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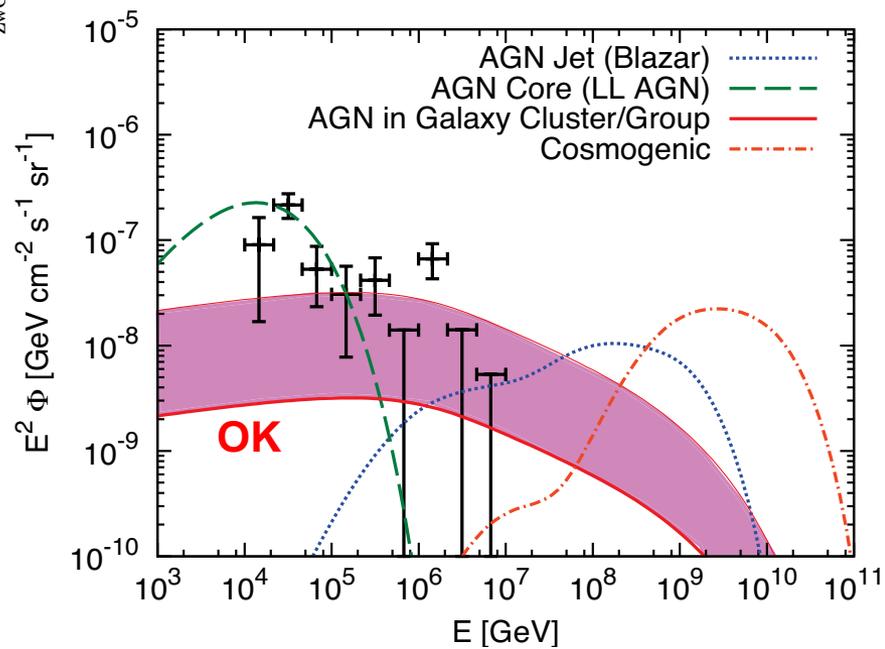
- CR pressure: < 1% of thermal pressure
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## Issues:

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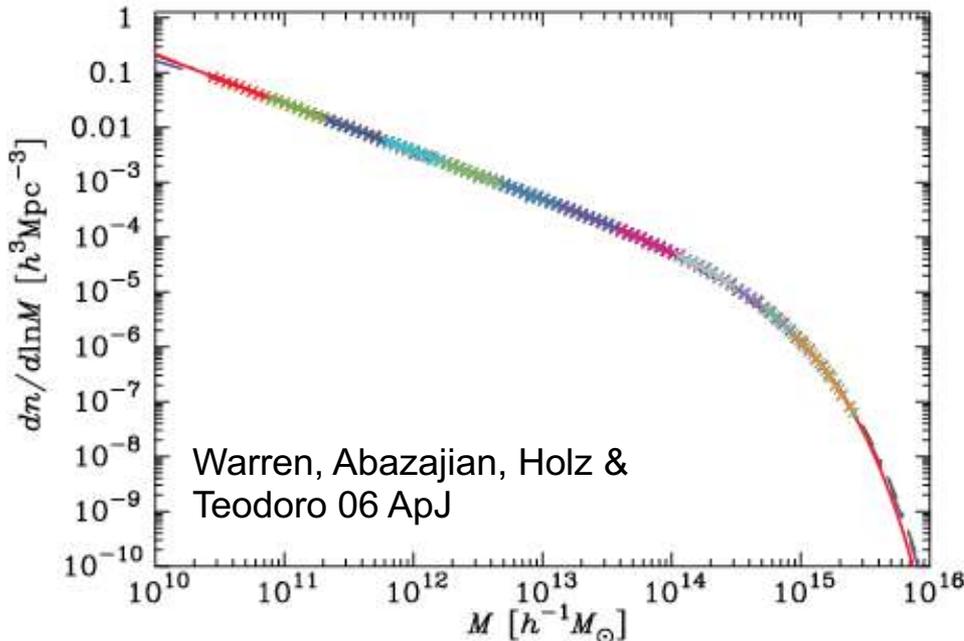
# AGN with Galaxy Clusters

- Maximum energy of CRs is expected to be high enough (if AGN are the sources of UHECRs)
- Gigantic! → CR confinement is easy ( $E < eBR \sim 10^{21}$  eV)

CR diffusion time  $t_{\text{diff}} \approx (r_{\text{vir}}^2/6D) \simeq 1.6 \text{ Gyr } \varepsilon_{p,17}^{-1/3} B_{-6.5}^{1/3} (l_{\text{coh}}/30 \text{ kpc})^{-2/3} M_{15}^{2/3}$

$t_{\text{diff}} = t_{\text{inj}} \implies \varepsilon_{\nu}^b \approx 0.04 \varepsilon_p^b \simeq 2.0 \text{ PeV } B_{-6.5} (l_{\text{coh}}/30 \text{ kpc})^{-2} M_{15}^2 (t_{\text{inj}}/2 \text{ Gyr})^{-3}$

**v break**



- cluster mass function: known (low-mass clusters are important)

- AGN evolution:  $(1+z)^{3-4}$

- gas density: relatively known ( $\beta$  profile)

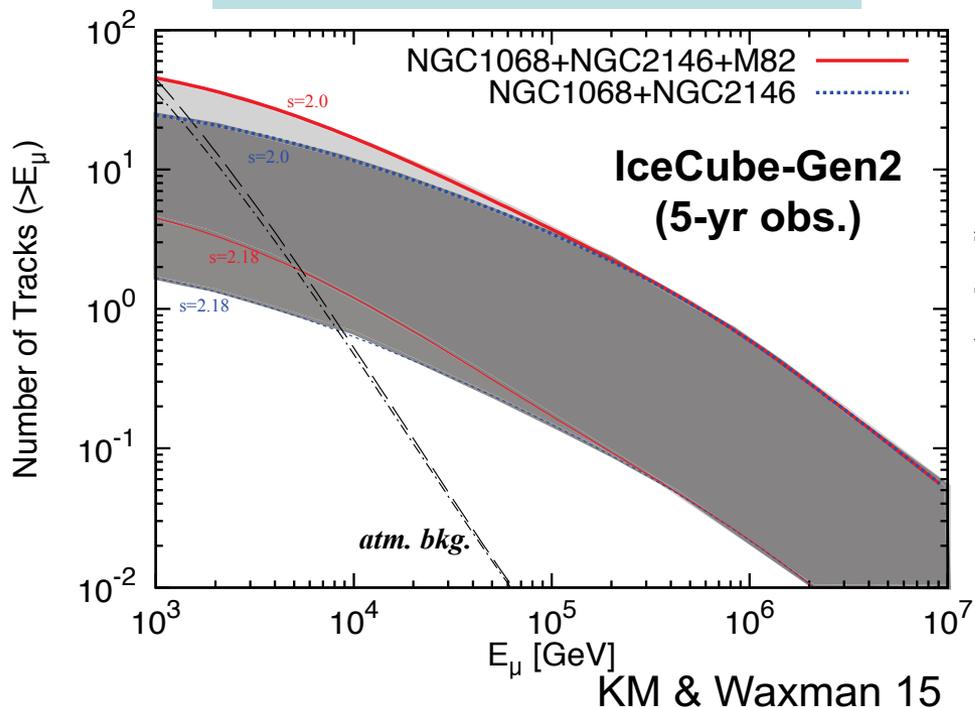
→ reasonable predictions

# Testing CR Reservoir Models w. Neutrinos

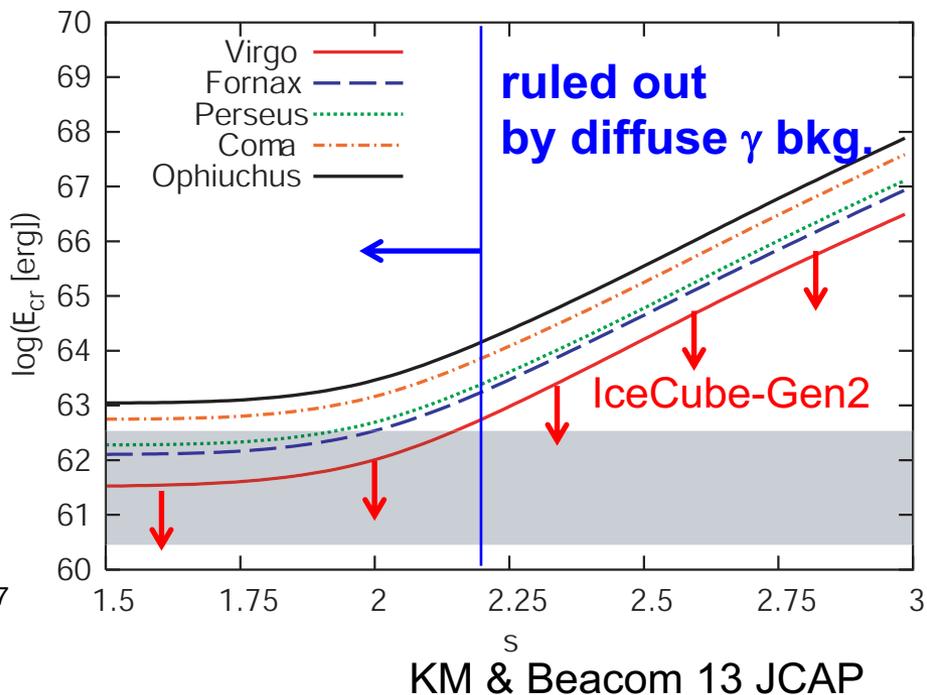
Starburst galaxies:  $n_0 \sim 10^{-5} \text{ Mpc}^{-3}$  (calorimetric or  $L_\gamma$ - $L_{\text{IR}}$  corr.)

Galaxy clusters:  $n_0 \sim 10^{-5} \text{ Mpc}^{-3}$ ,  $n_0 \sim 10^{-6} \text{ Mpc}^{-3}$  (massive clusters)

**Muon neutrino event rates from nearby starbursts**



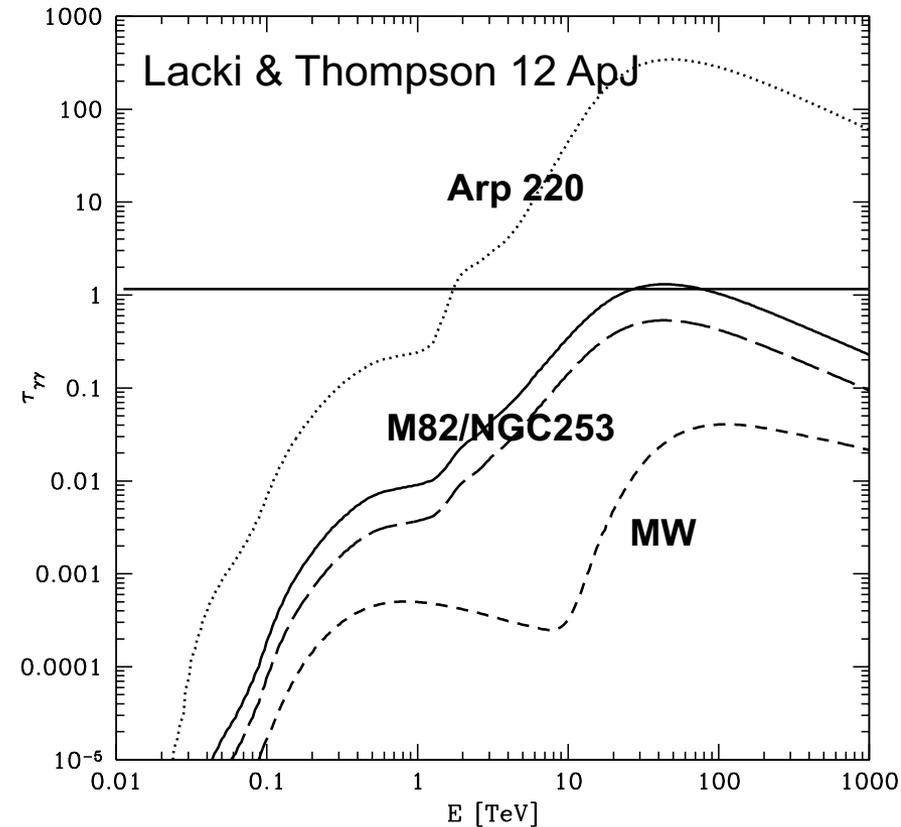
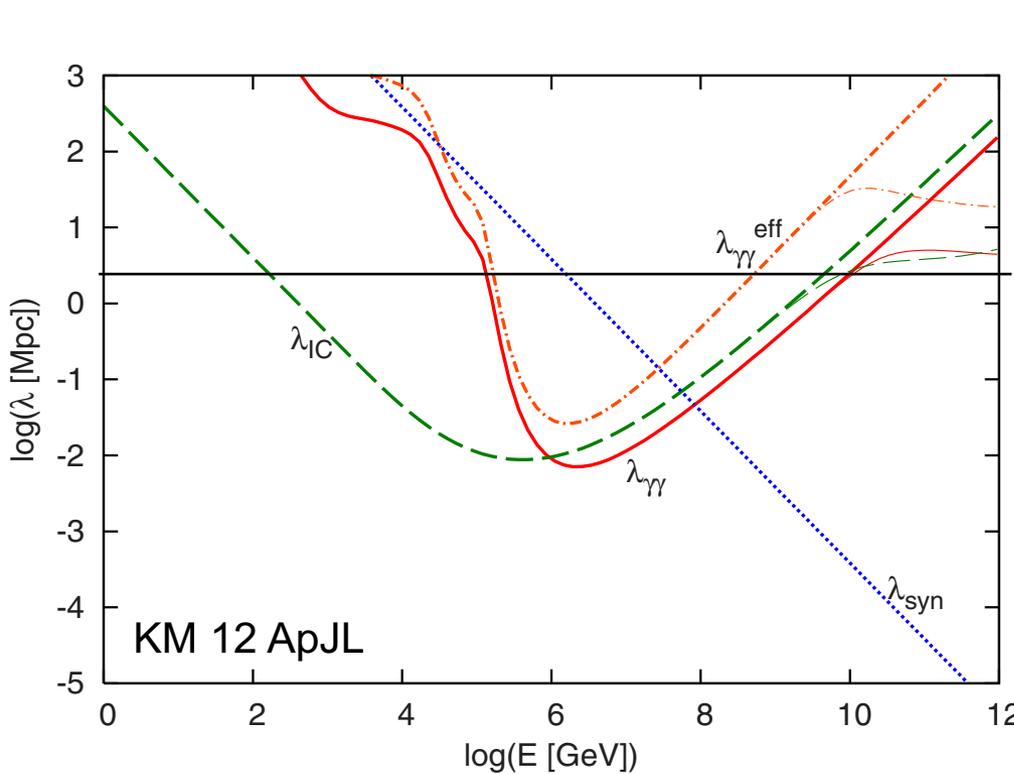
**Muon neutrino constraints from nearby galaxy clusters**



Good chances to see neutrinos if CR reservoir models are correct

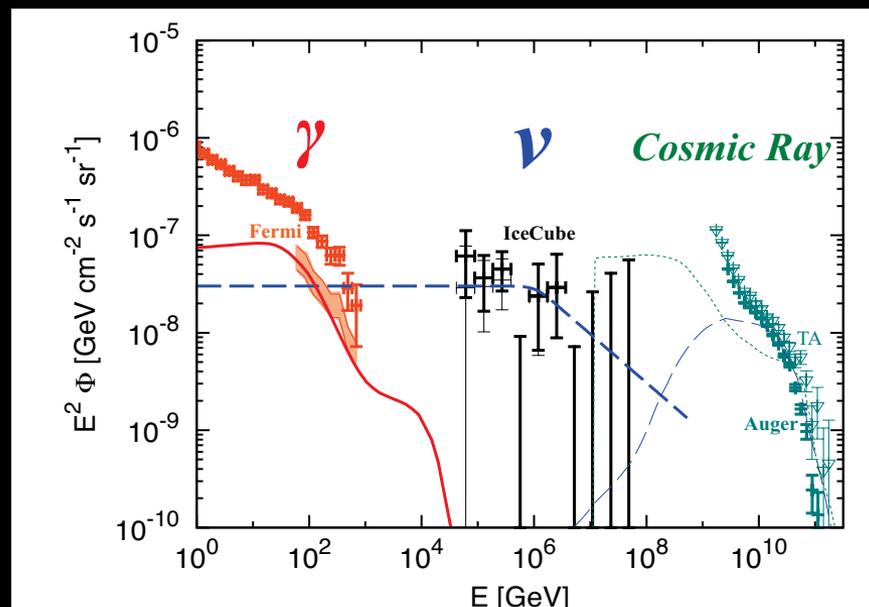
# Gamma-Ray Transparency

escaping  $\gamma$  = attenuated component + **intra-source cascade component**

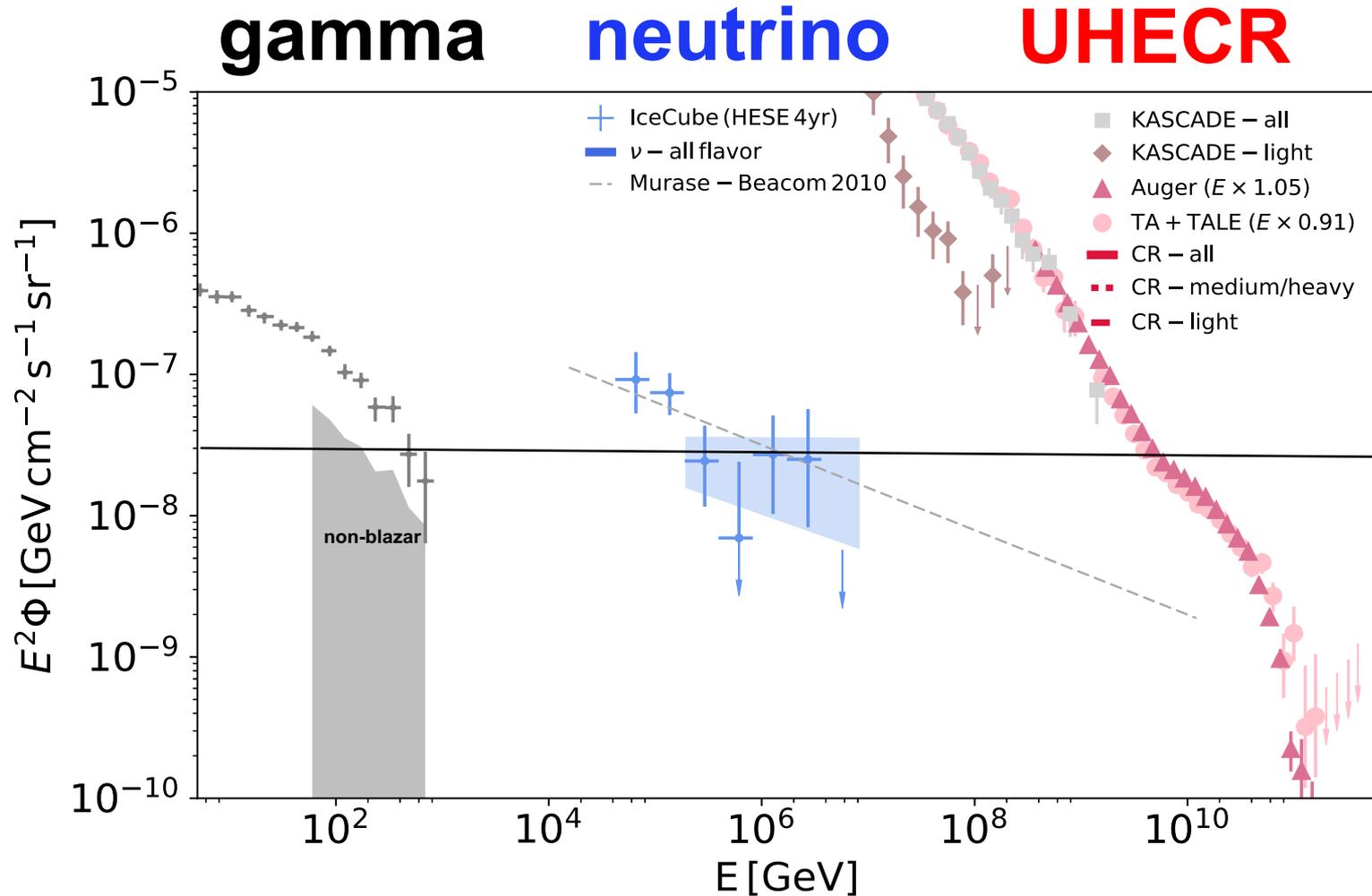


- $E_{\tau=1} \sim 100$  TeV for clusters,  $E_{\tau=1} \sim 10$  TeV for starbursts (escape is possible for elliptical galaxies except for cores)
- GeV-TeV gamma rays should escape from the sources

# Multi-Messenger Implications

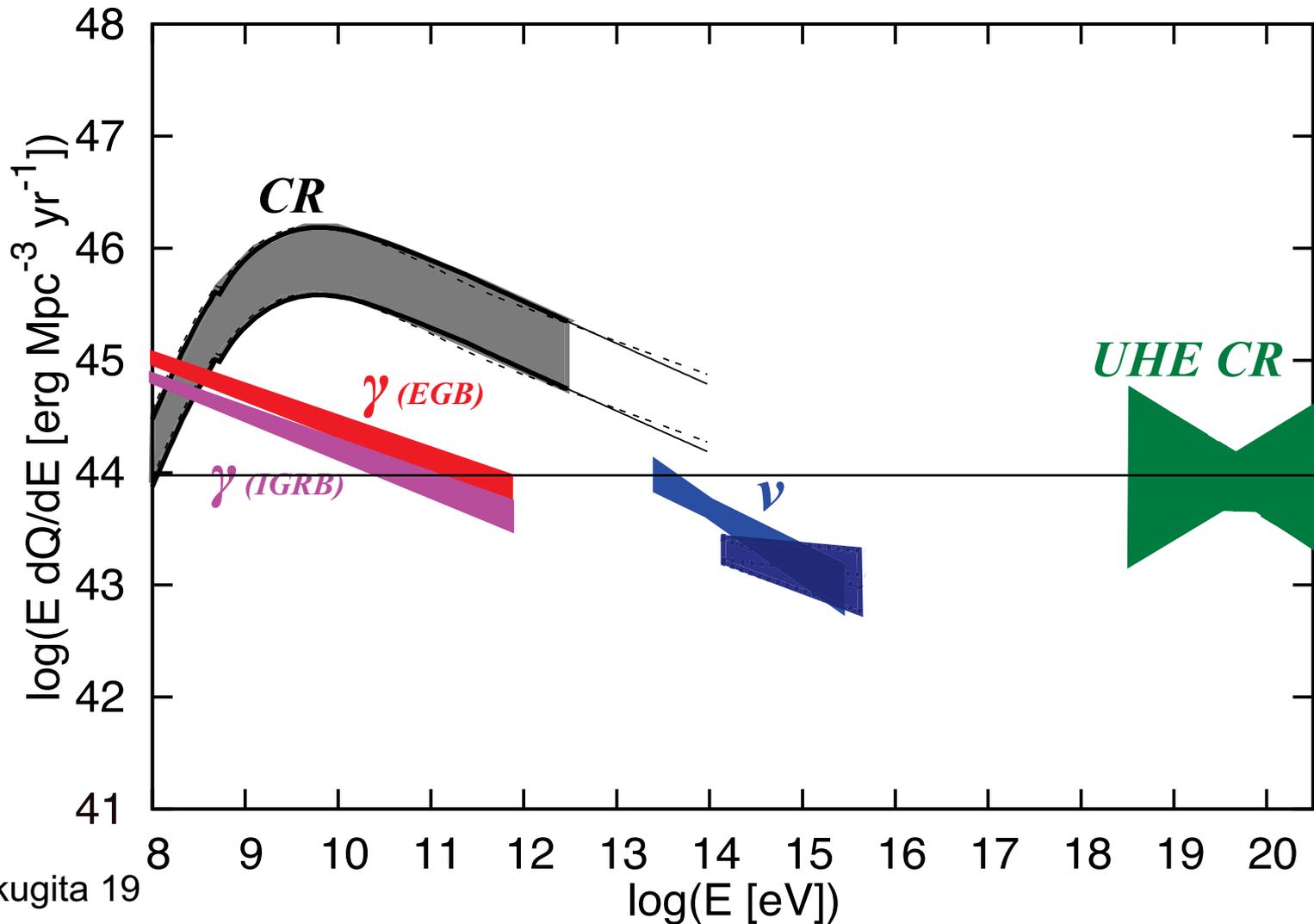


# Multi-Messenger Cosmic Particle Backgrounds



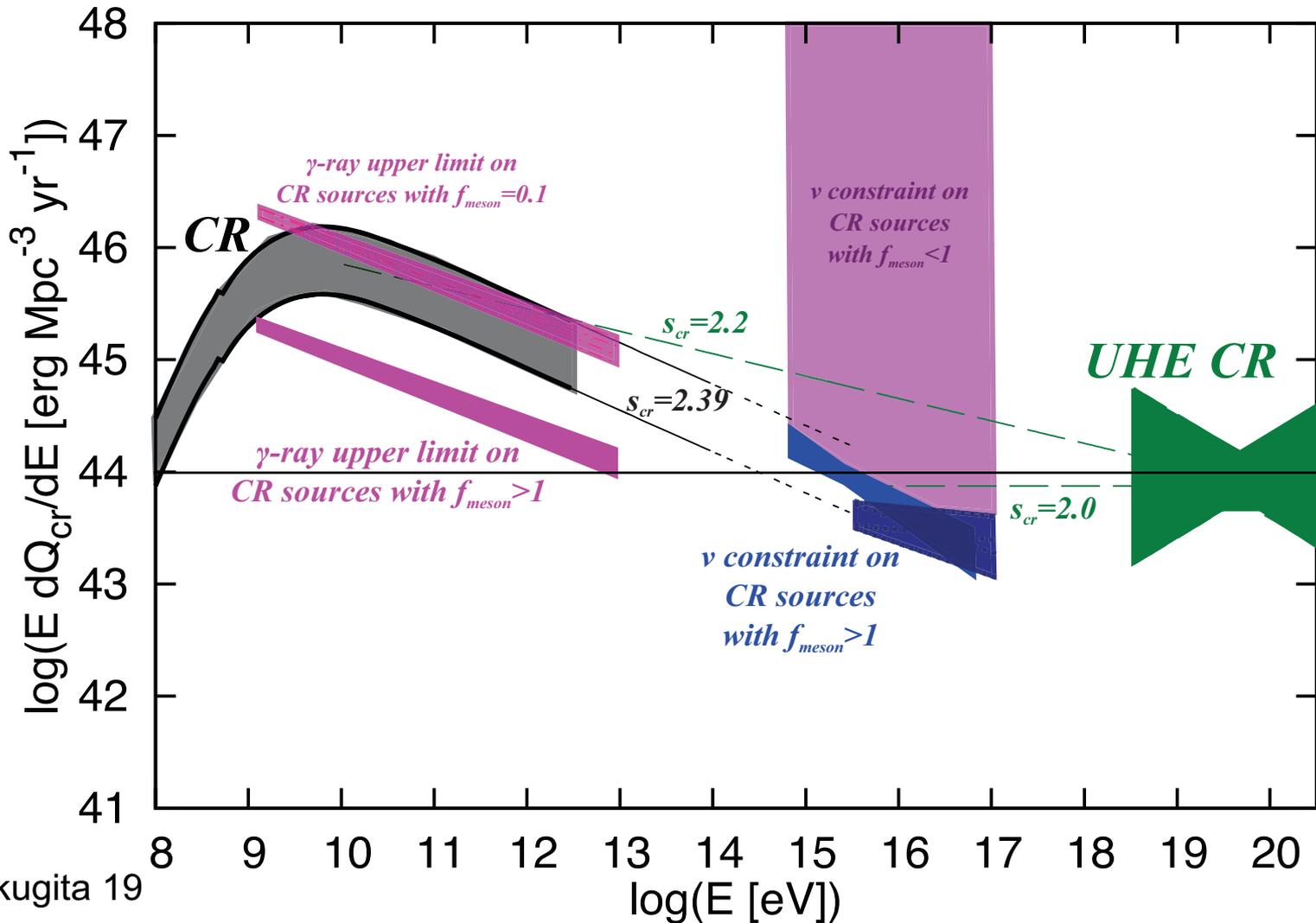
Energy budgets are all comparable (a few  $\times 10^{43}$  erg  $\text{Mpc}^{-3} \text{yr}^{-1}$ )

# High-Energy Cosmic Particle Energetics



Energy budgets are all comparable (a few  $\times 10^{43}$  erg Mpc $^{-3}$  yr $^{-1}$ )

# High-Energy Cosmic Particle Energetics



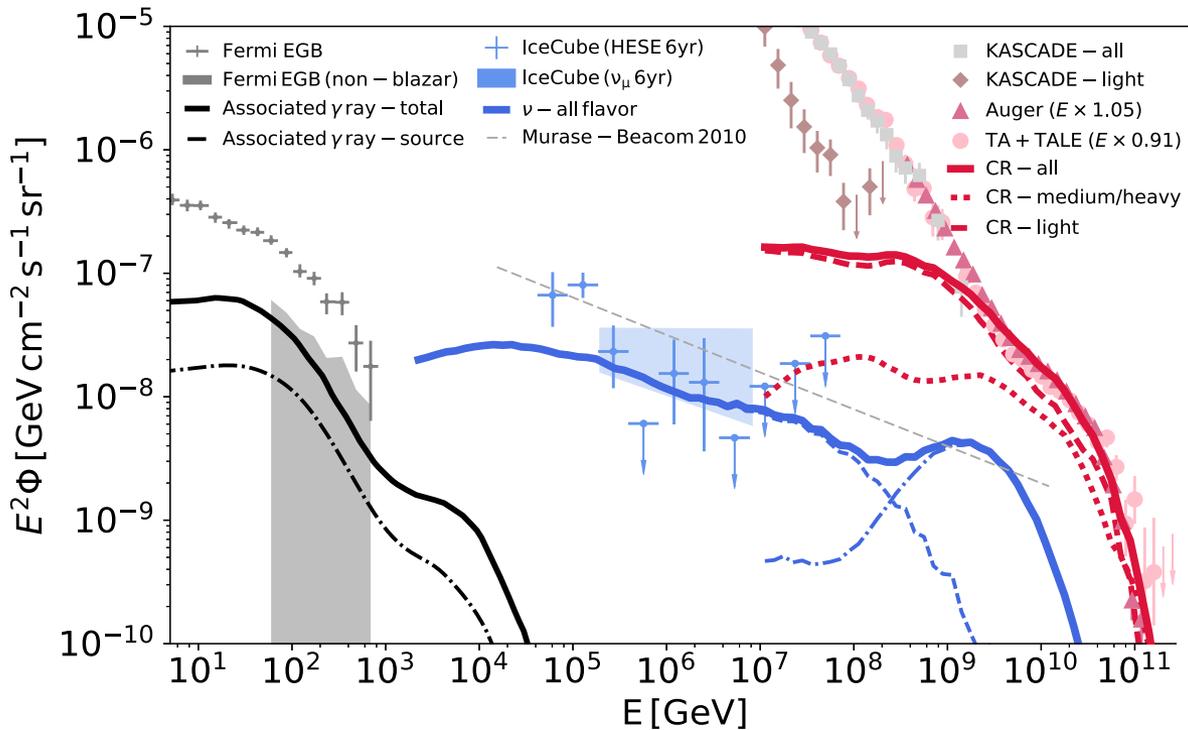
KM & Fukugita 19

Energy budgets are all comparable (a few  $\times 10^{43}$  erg  $\text{Mpc}^{-3} \text{ yr}^{-1}$ )

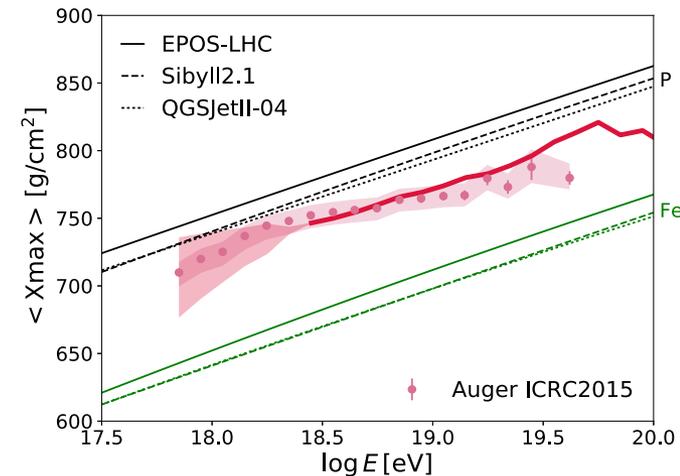
# Neutrino-Gamma-UHECR Connection?

## Grand-unification of neutrinos, gamma rays & UHECRs

- Explain  $\nu$  data by confined CRs with energies less than a few PeV
- Escaping CRs may contribute to the observed UHECR flux



Fang & KM 18 Nature Physics



- AGN as “UHECR” accelerators
- CR nuclei: harder than CR protons due to photodisintegration inside clusters

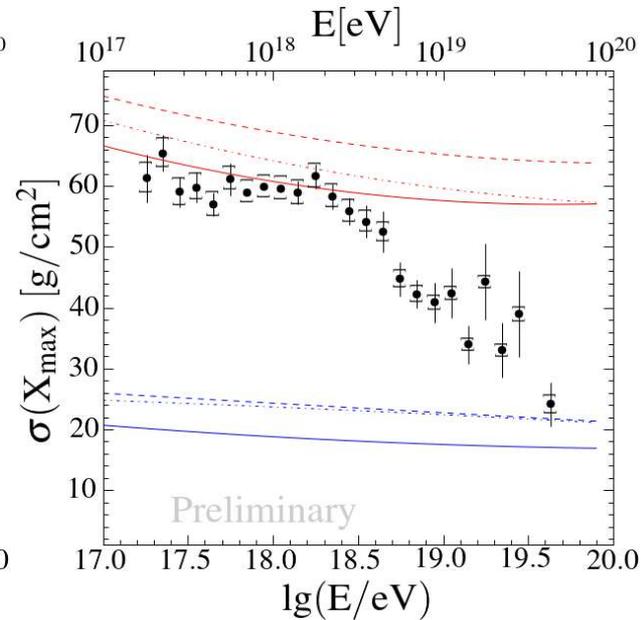
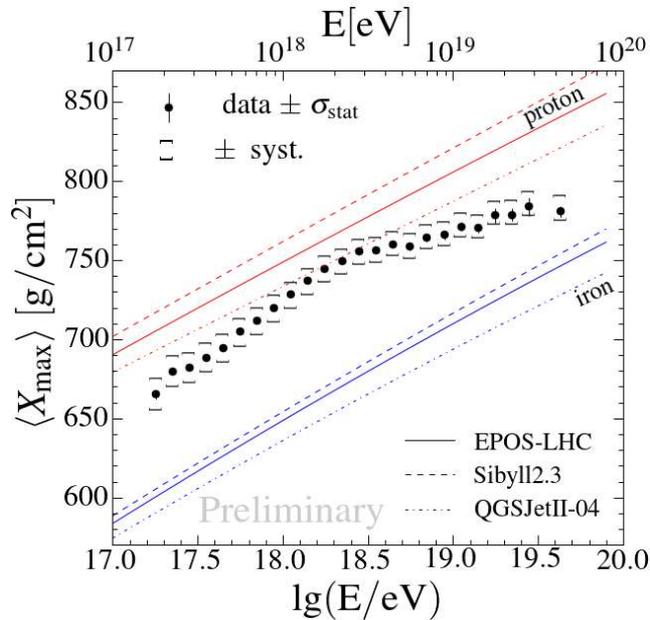


# ***UHECR Data***

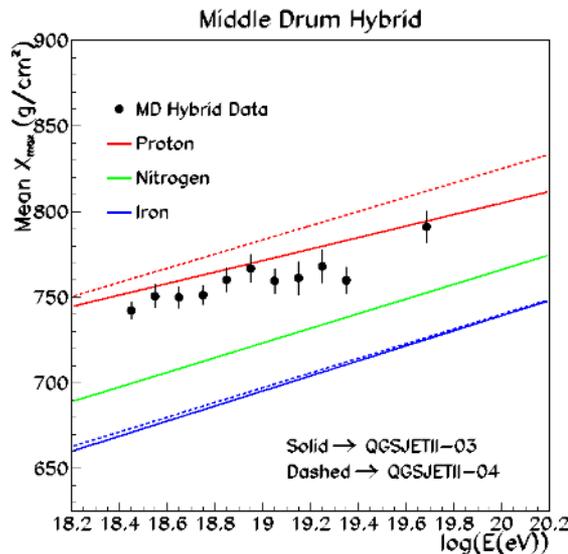


# UHECR Composition

Auger 2017  
at ICRC

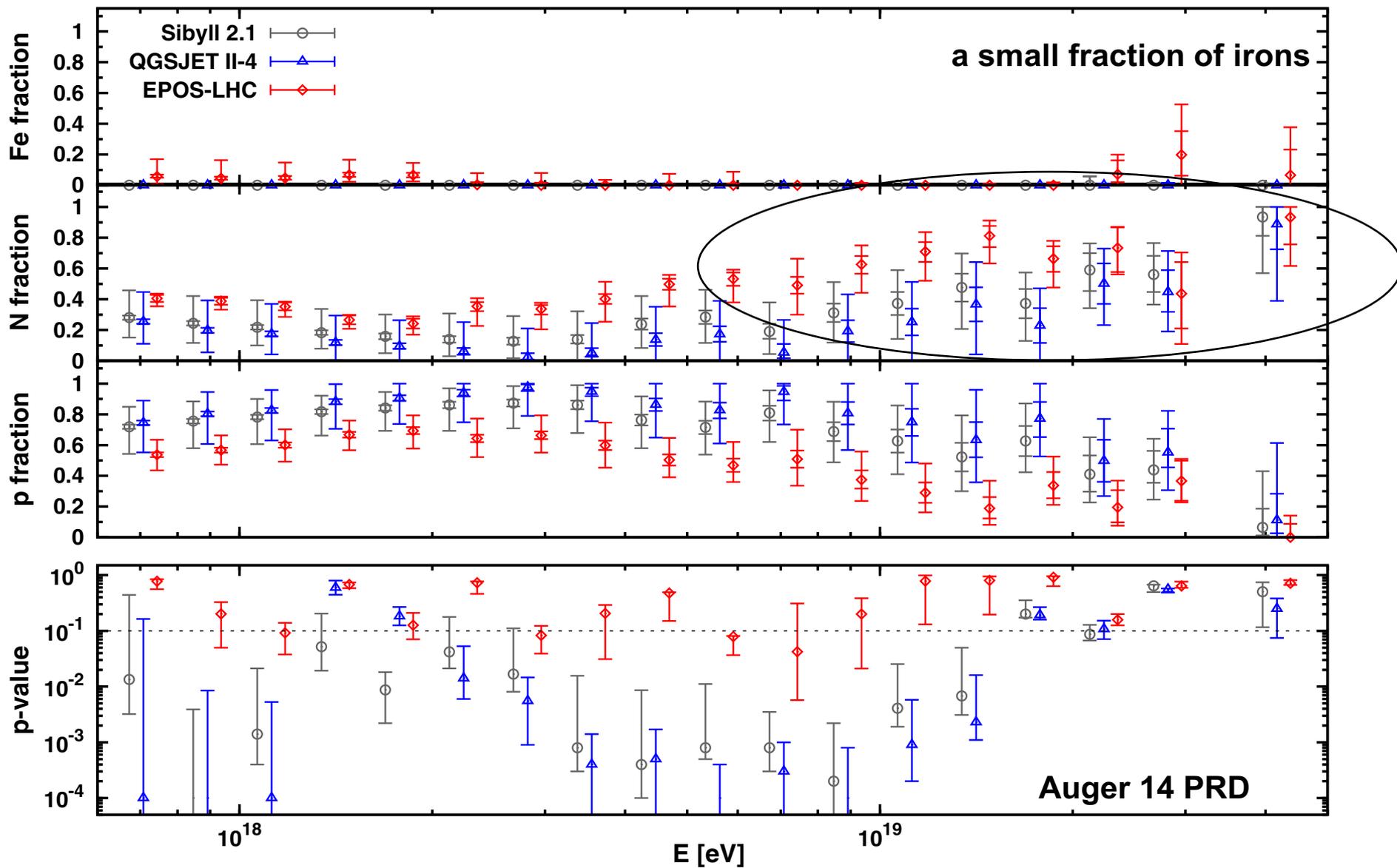


TA 2015  
at ICRC



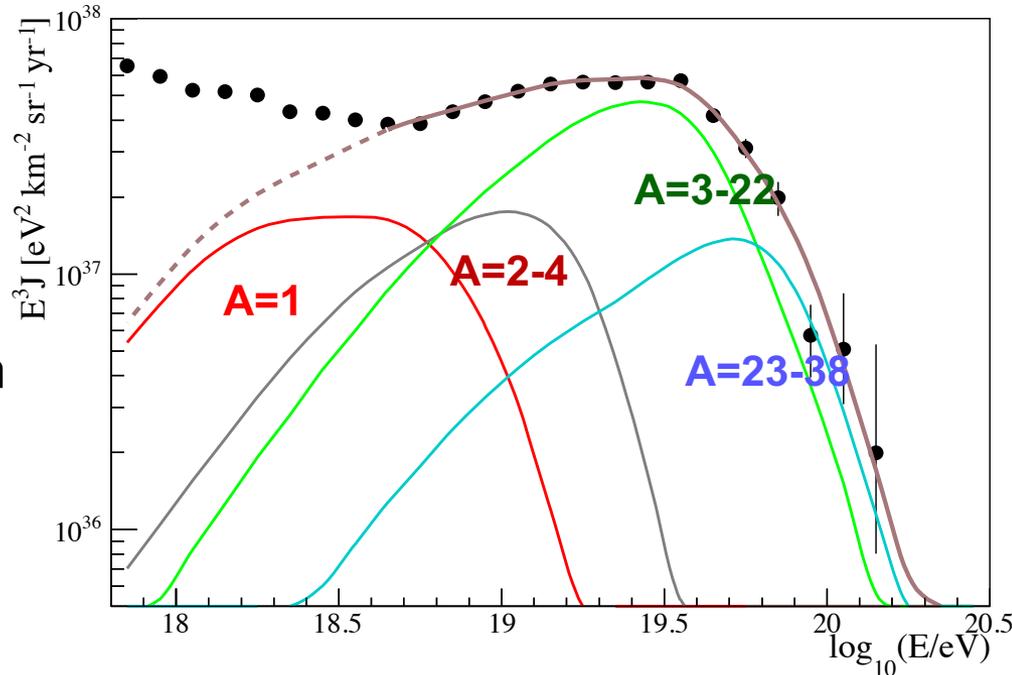
- Break in  $\langle X_{\max} \rangle$  &  $\sigma(X_{\max})$ ?
- Auger & TA are compatible
- Interpretation is different
- Uncertainties in hadronic interaction models

# Composition at Earth



# Composition at the Sources?

Auger 17 JCAP



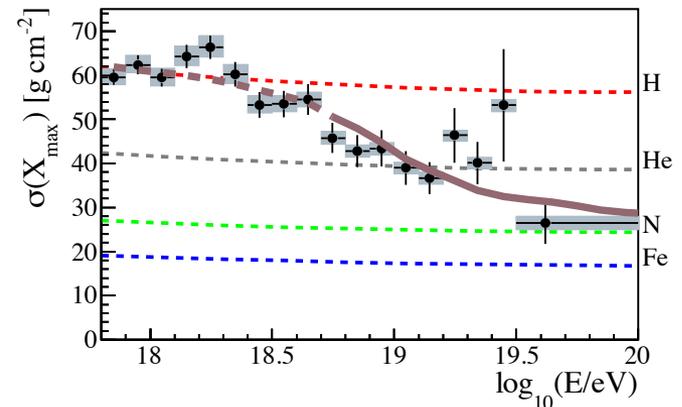
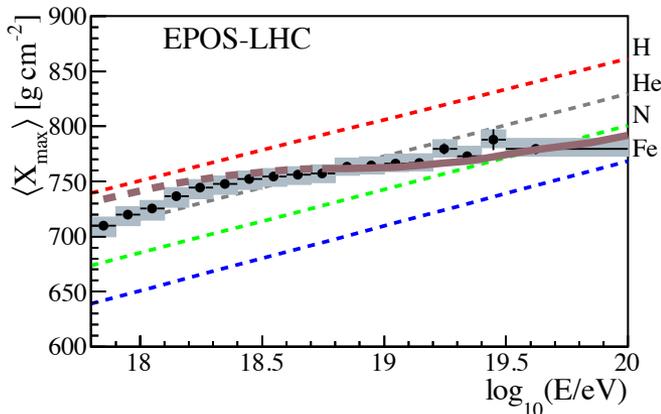
- hard spectrum ( $s=0.96 \ll 2$ )
- intrinsic composition

$$f_H = f_{Fe} = 0$$

$$f_{He} = 0.67$$

$$f_N = 0.28$$

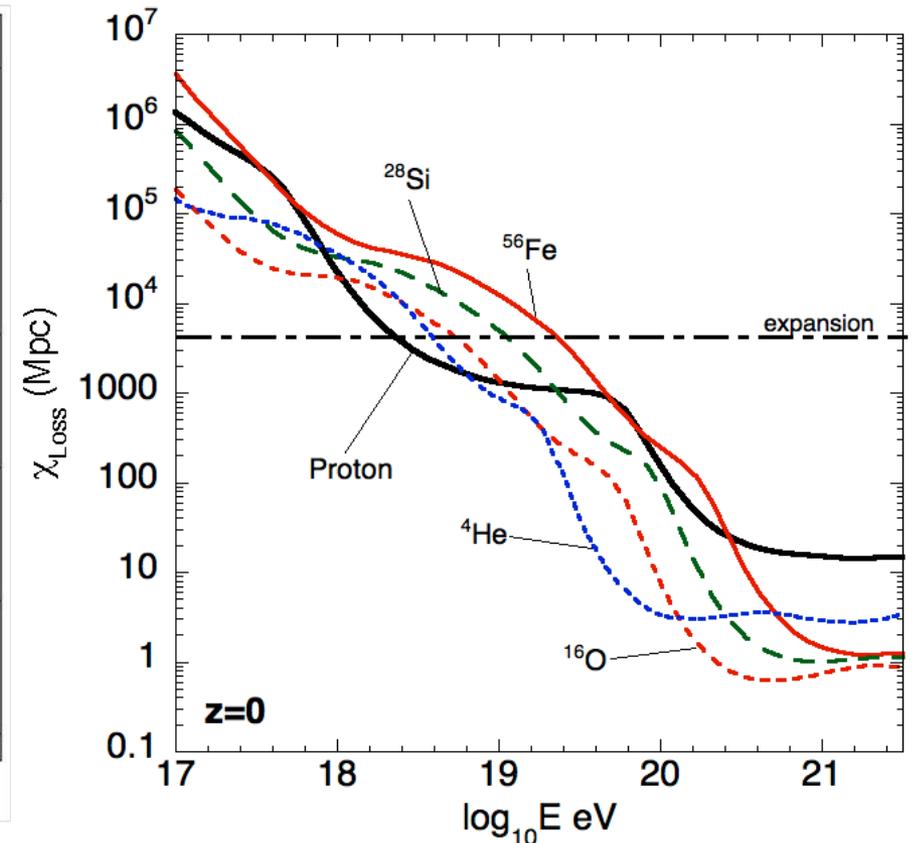
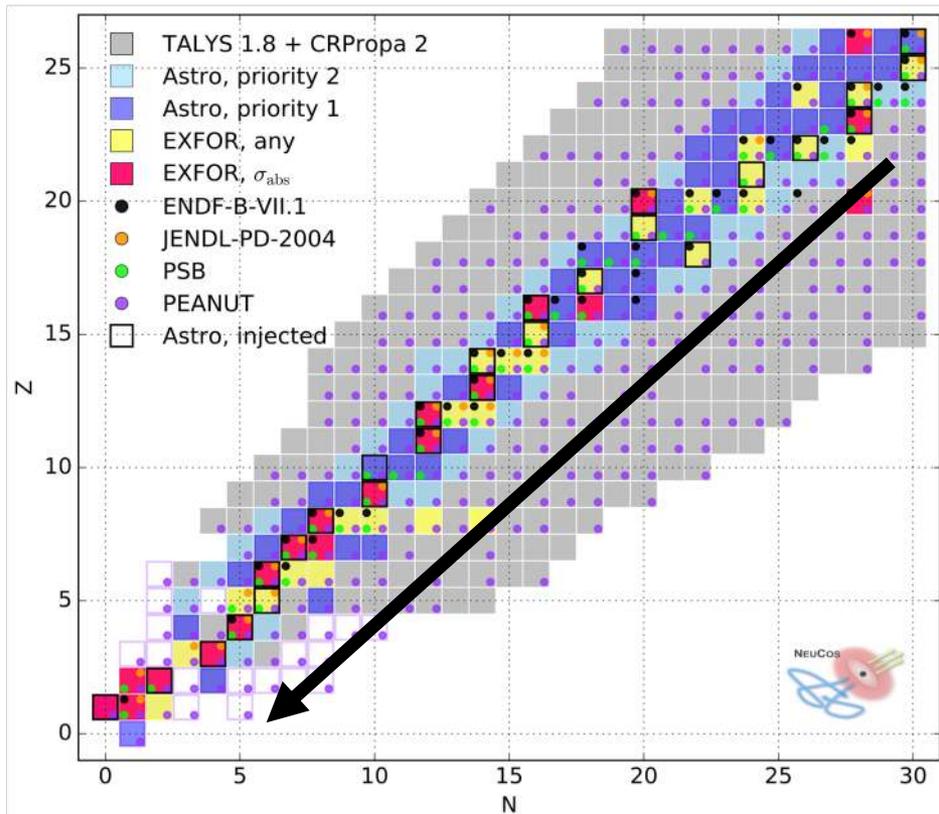
$$f_{Si} = 0.05$$



# Nuclear Cascade

diffuse CR flux formula (computationally heavy)

$$\Phi_A(E) = \sum_{A'} \frac{c}{4\pi} \int_{z_{\min}}^{z_{\max}} dz \left| \frac{dt}{dz} \right| F_{\text{GRB}}(z) \int_{L_{\min}}^{L_{\max}} \frac{d\rho_0}{dL} \int_{E'_{\min}}^{E'_{\max}} dE' \frac{dN_{A'}}{dE'} \frac{d\eta_{AA'}(E, E', z)}{dE}$$

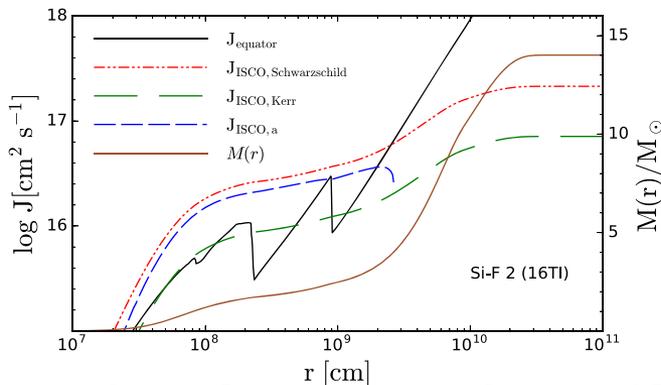
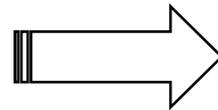
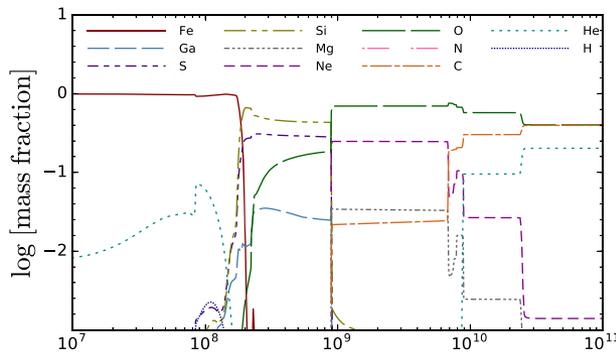


# Application to Low-Luminosity Gamma-Ray Bursts

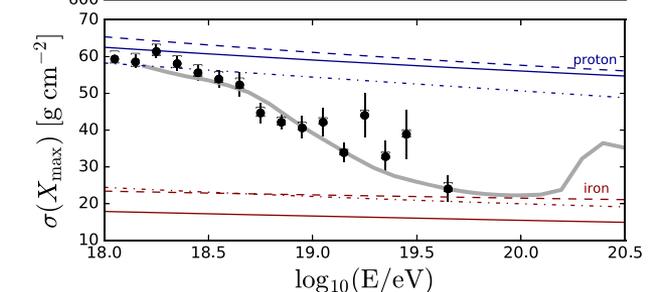
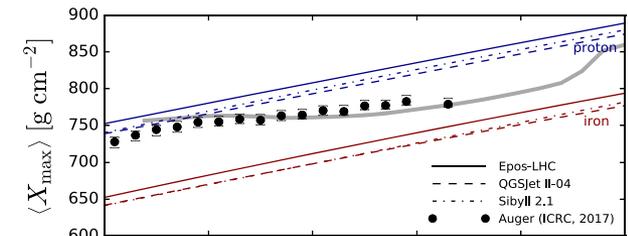
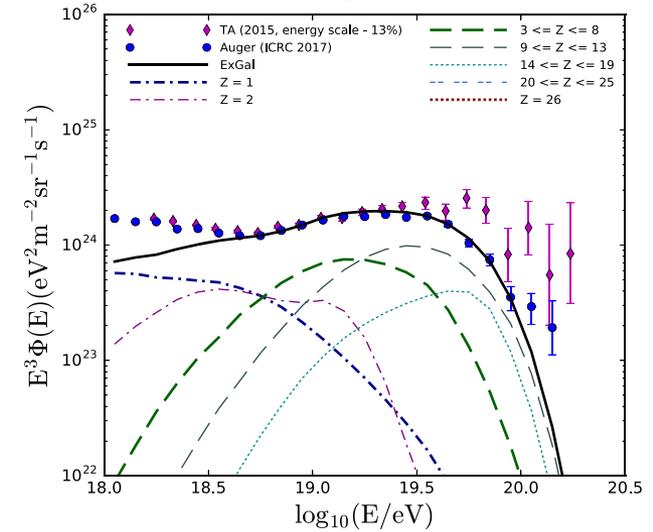
## “Top-down” theory model

- Abundance: results from stellar evolution
  - Acceleration: results from DSA
  - Survival: based on LL GRB observations
- Spectrum & composition are not free!

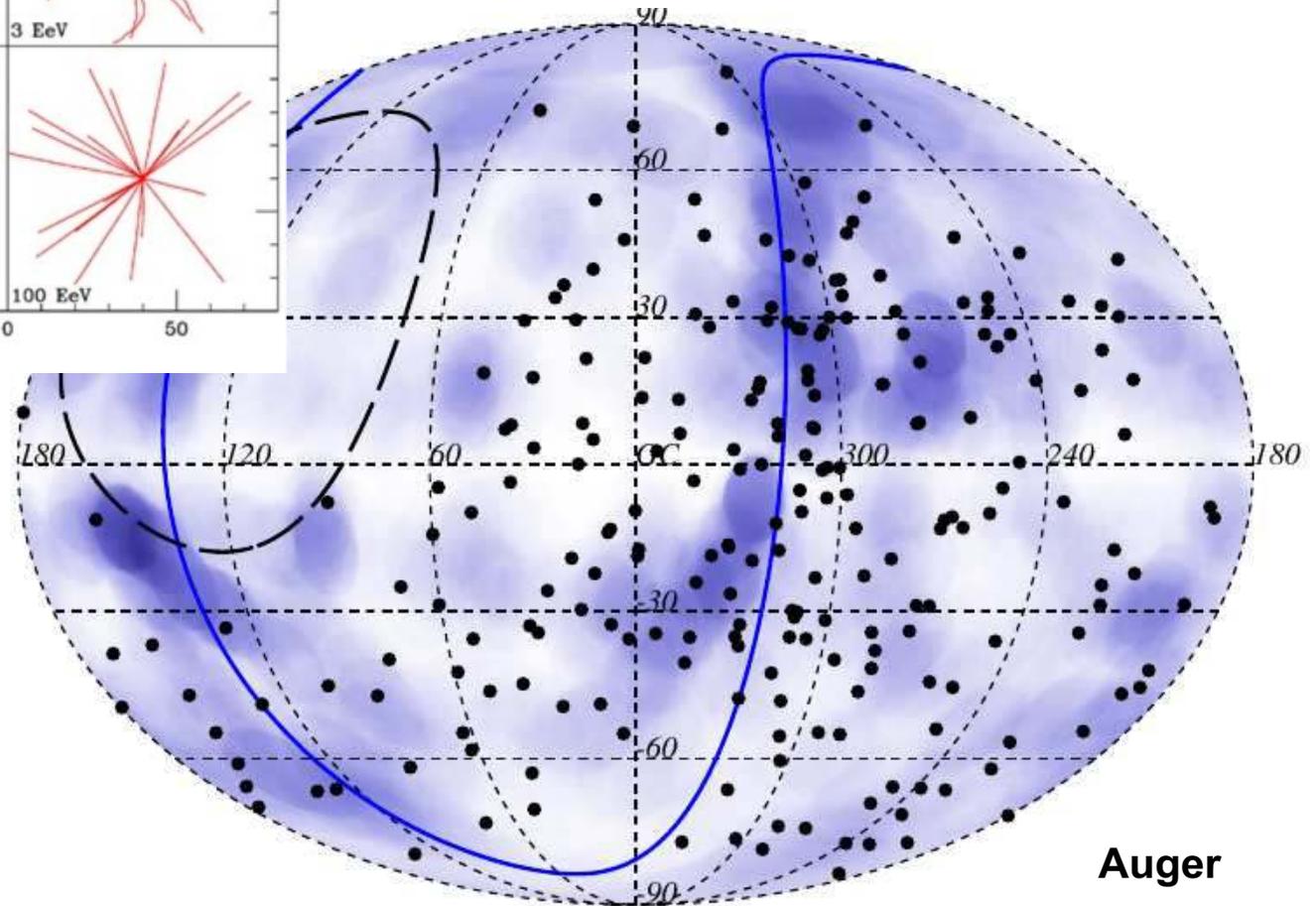
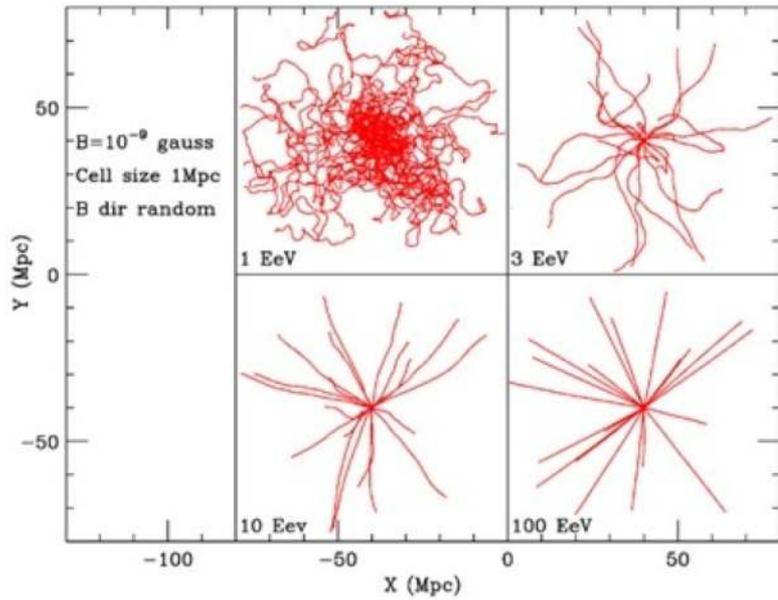
Zhang, KM et al. 2018 PRD



progenitor of gamma-ray bursts (O core)



# What Can We Learn from UHECR Sky

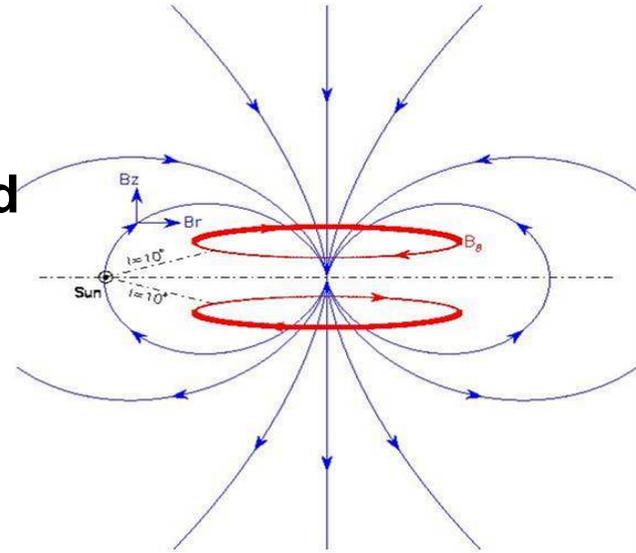


# Deflection & Time Delay

$$\theta_{\text{Gal}} = \theta_{\text{Disk}}^{\text{regular}} + \theta_{\text{Disk}}^{\text{turbulent}} + \theta_{\text{Halo}}^{\text{regular}} + \theta_{\text{Halo}}^{\text{turbulent}}$$

**deflection by the ordered Galactic magnetic field**

$$\vartheta \simeq \frac{d}{R_L} \simeq 0.52^\circ Z \left( \frac{p_\perp}{10^{20} \text{ eV}} \right)^{-1} \left( \frac{d}{1 \text{ kpc}} \right) \left( \frac{B}{\mu\text{G}} \right)$$



**deflection by the extragalactic magnetic field a coherence length  $l_c$**

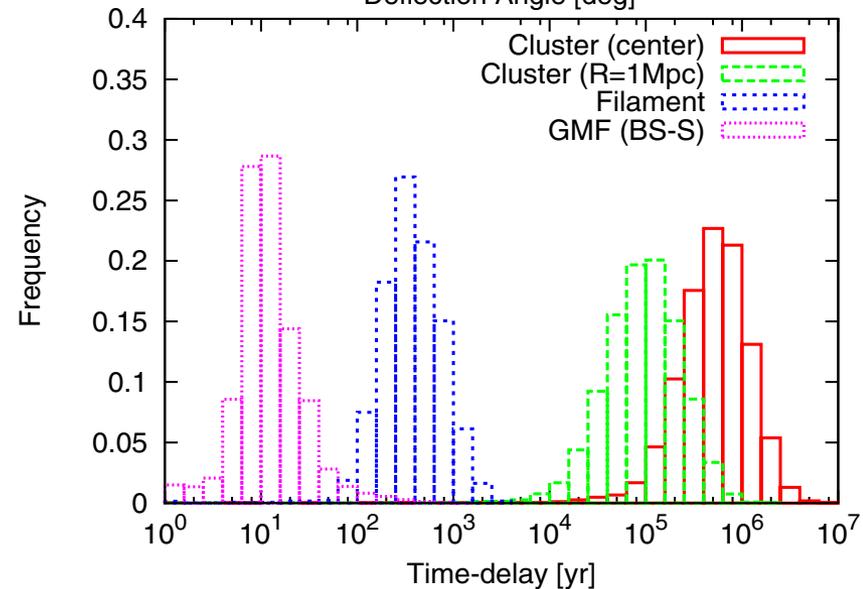
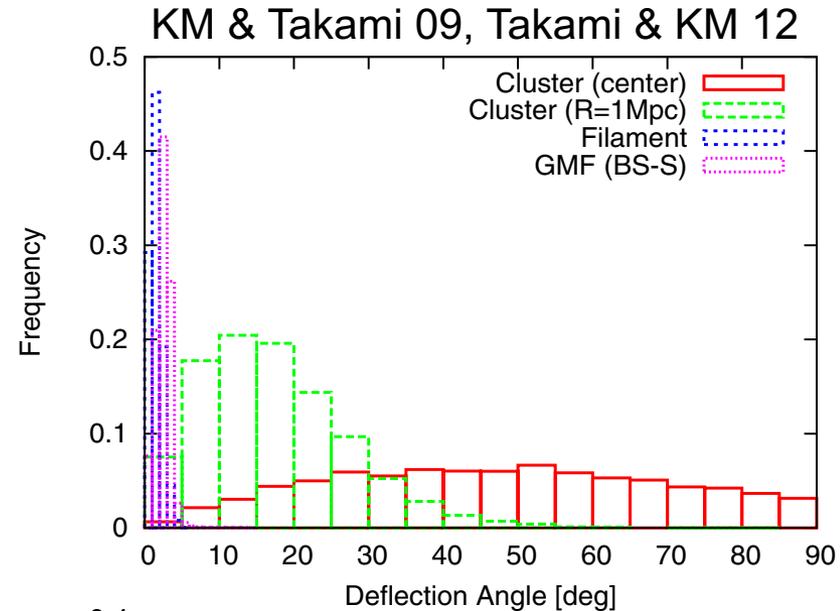
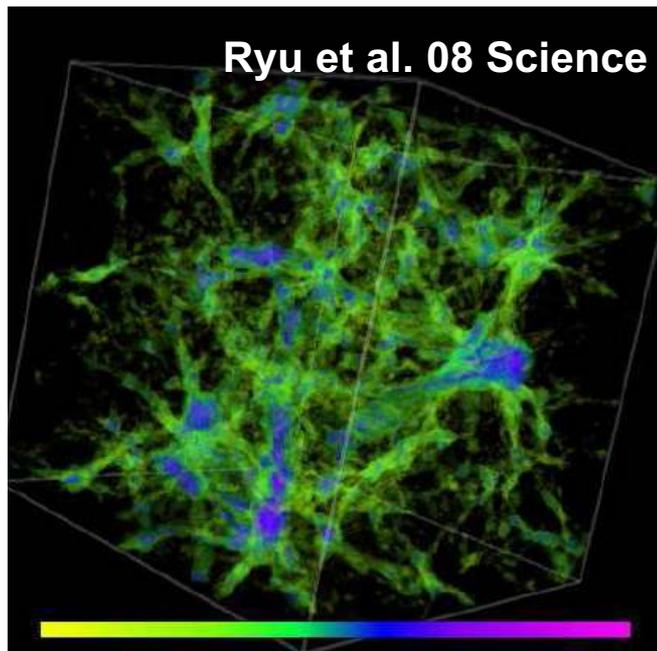
$$\delta_{\text{rms}} \simeq \frac{(2dl_c/9)^{1/2}}{R_L} \simeq 0.8^\circ Z \left( \frac{E}{10^{20} \text{ eV}} \right)^{-1} \left( \frac{d}{10 \text{ Mpc}} \right)^{1/2} \left( \frac{l_c}{1 \text{ Mpc}} \right)^{1/2} \left( \frac{B}{10^{-9} \text{ G}} \right)$$

**time delay & time spread**

$$\tau \simeq \delta_{\text{rms}}^2 d/4 \simeq 1.5 \times 10^3 Z^2 \left( \frac{E}{10^{20} \text{ eV}} \right)^{-2} \left( \frac{d}{10 \text{ Mpc}} \right)^2 \left( \frac{l_c}{1 \text{ Mpc}} \right) \left( \frac{B}{10^{-9} \text{ G}} \right)^2 \text{ yr}$$

# Importance of Structured Magnetic Fields

- $B_{EGMF}$  is an "effective" value
- Earth or sources are embedded in structured magnetic fields
- Structured magnetic fields  
clusters:  $\sim 0.1-10 \mu\text{G}$ ,  
filament:  $\sim 10-100 \text{ nG}$



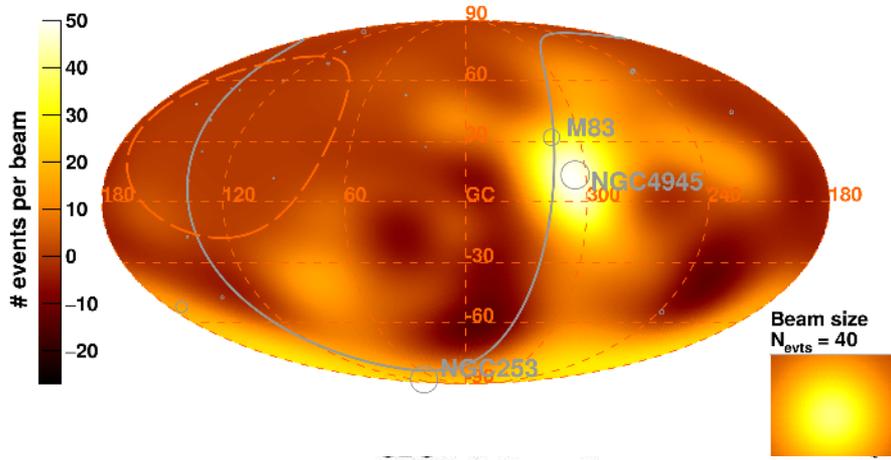
# Encouraging News: Cross Correlation

starburst

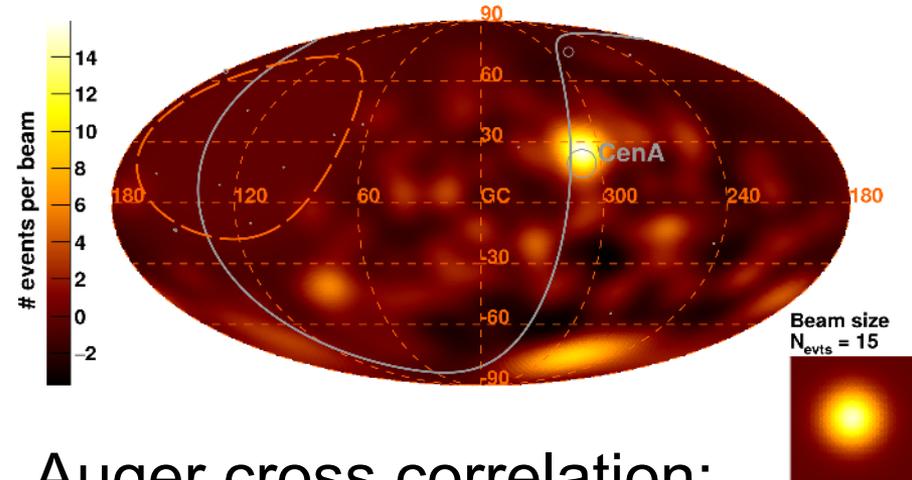
Auger 18 ApJL

AGN

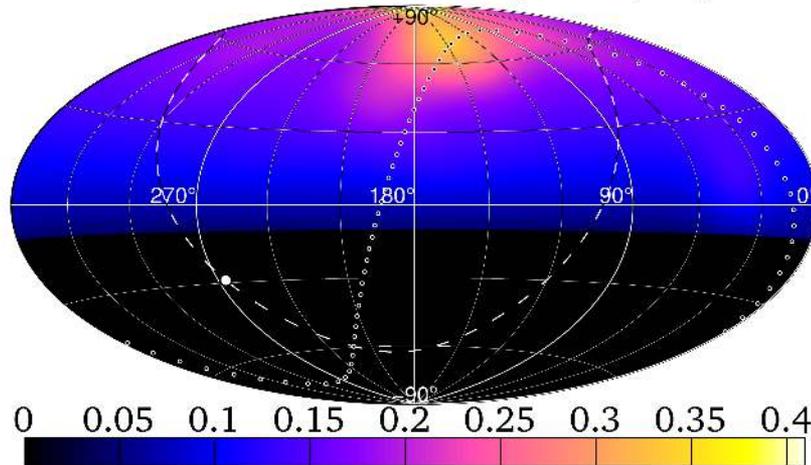
Observed Excess Map -  $E > 39$  EeV



Observed Excess Map -  $E > 60$  EeV



total model flux times Telescope Array exposure



TA 18 ApJ

$\Phi_{\text{mod}}(\hat{n})\omega_{\text{TA}}(\hat{n}) [\text{sr}^{-1}]$

(c)

Auger cross correlation:

starburst galaxies  $\sim 4\sigma$

AGN  $\sim 3\sigma$

TA cross correlation:

not confirmed yet

need TAx4

Predictions for Fermi, CTA,  
Gen-2, KM3Net (KM& Waxman 16)