

October 22, 2018

XVIII Mexican School of Particles and Fields  
2018 UNISON School of High Energy Physics

# Neutrinos: An Experimental Perspective

---

**Erica Caden**

Research Scientist  
[ecaden@snolab.ca](mailto:ecaden@snolab.ca)



# Outline

---

- ▶ What we **DO** know about neutrinos
  - ▶ How we know it
  - ▶ Why it matters
- ▶ What we still **DON'T** know about neutrinos
  - ▶ How we plan to find it out
  - ▶ Why it matters

# Neutrinos!

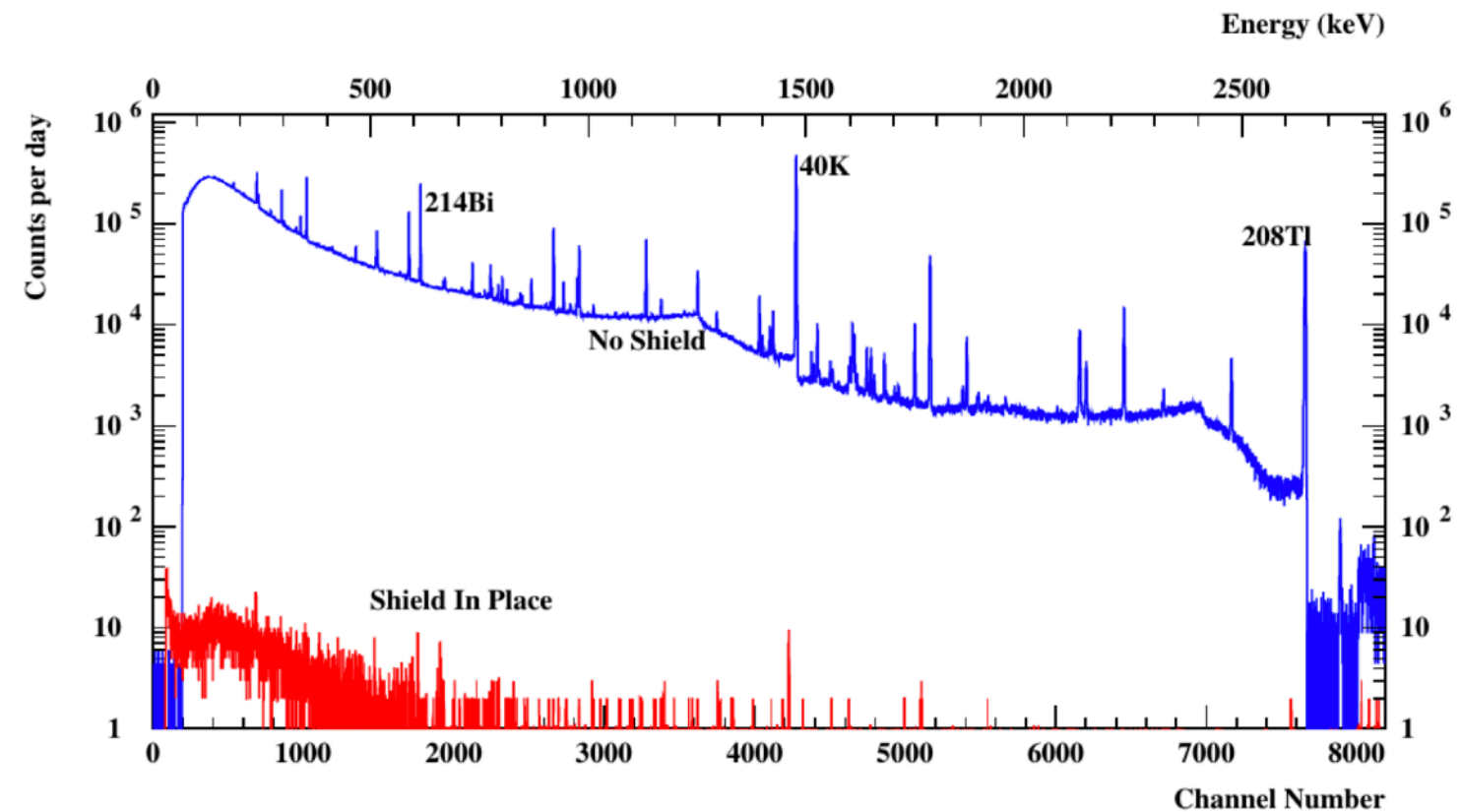
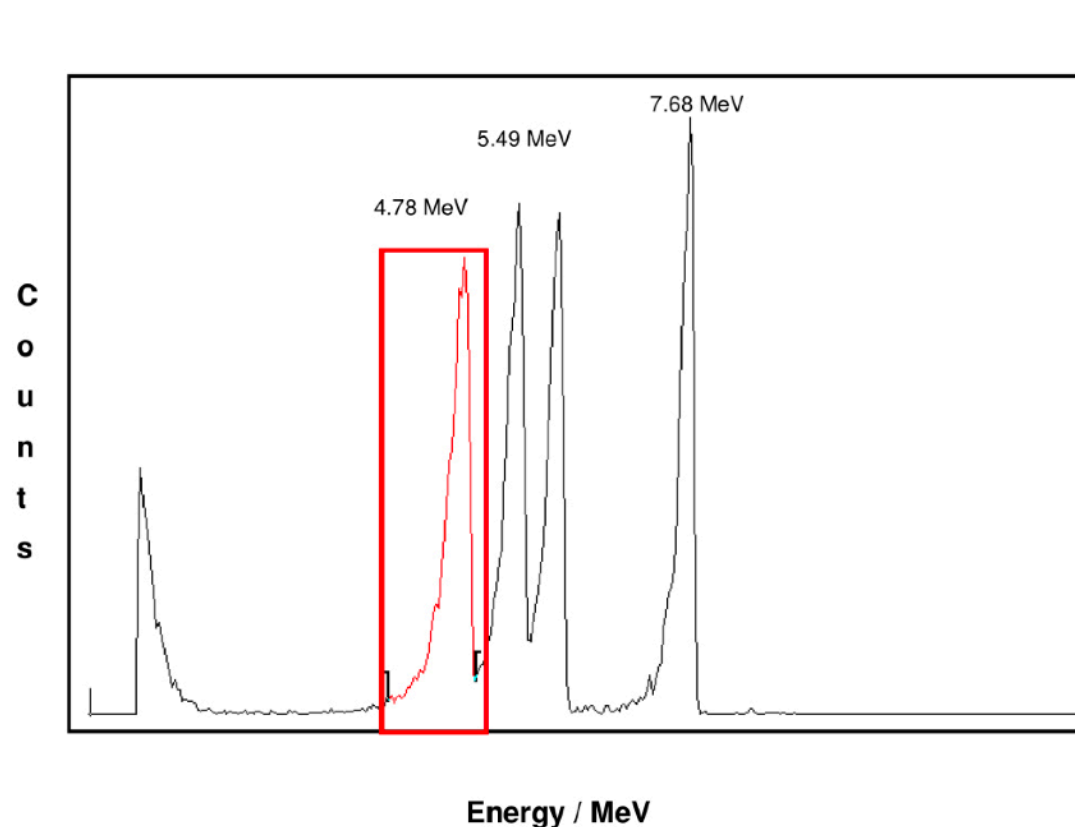
---



<https://www.particlezoo.net/>

# 1930: Beta Spectrum Problem

- ▶ Early particle physicists studied the energy spectra of collisions
- ▶ Alphas and gammas had sharp recognizable peaks





# 1930: Beta Spectrum Problem

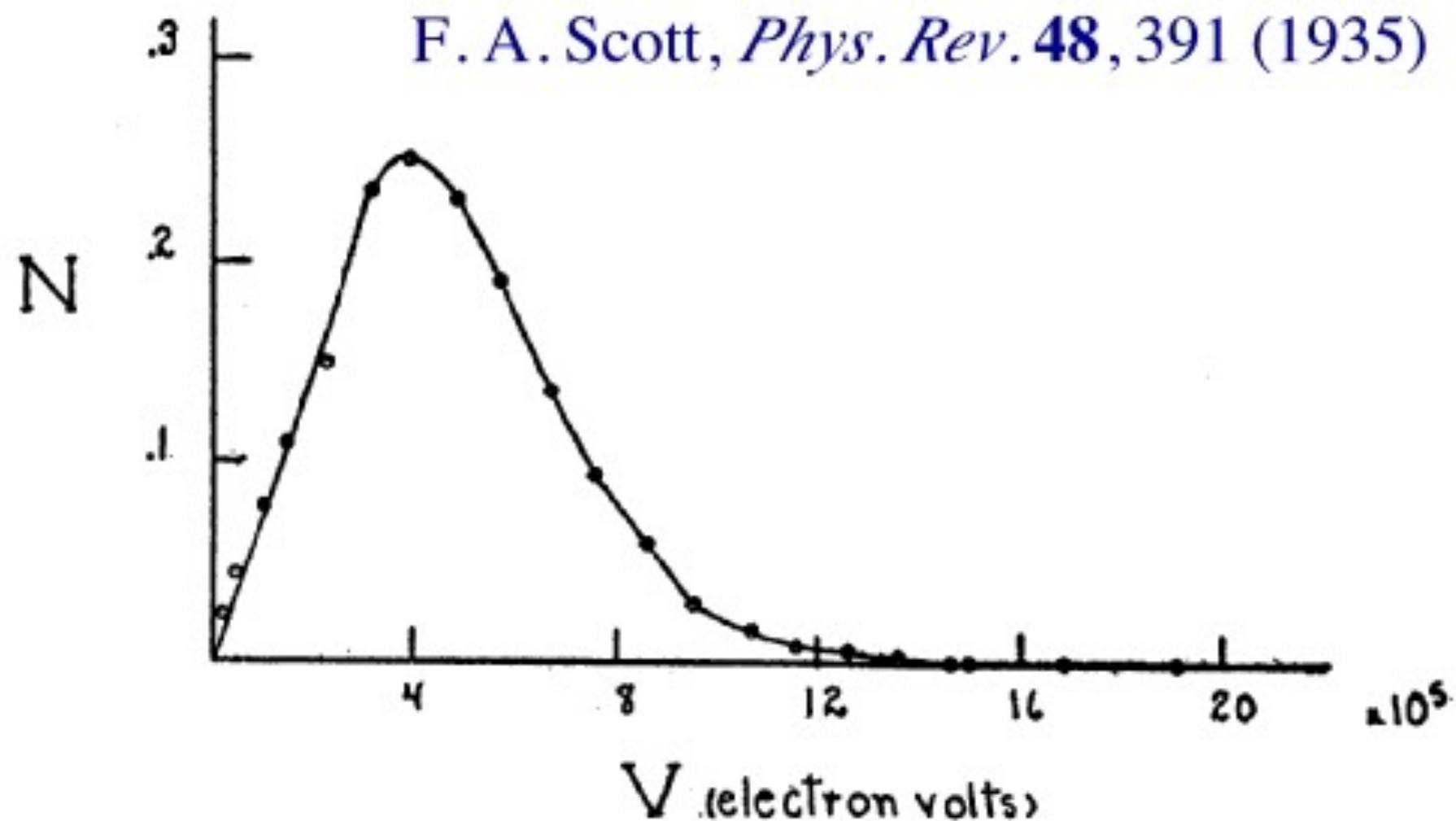
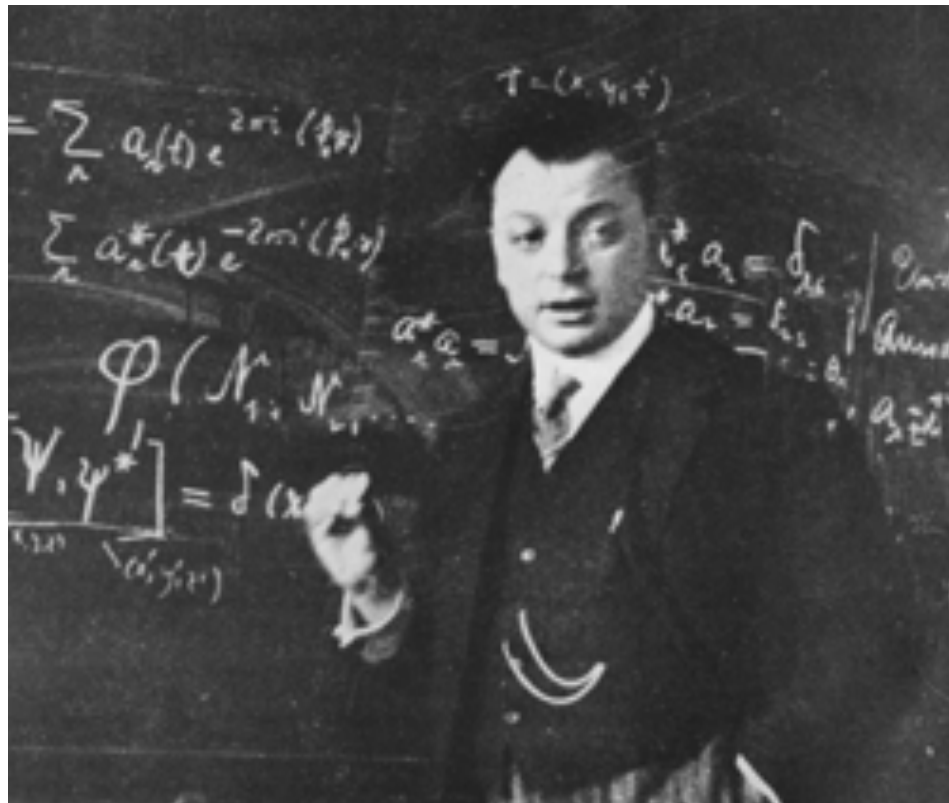


FIG. 5. Energy distribution curve of the beta-rays.

- ▶ Betas had broad spectra, which seemed to violate conservation of energy
- ▶ Reactions also violated conservation of momentum

# 1930: Beta Spectrum Problem



Original - Photocopy of PLC 0393  
Abschrift/15.12.56 PW

Offener Brief an die Gruppe der Radioaktiven bei der  
Gauvereins-Tagung zu Tübingen.

Abschrift

Physikalisches Institut  
der Eidg. Technischen Hochschule  
Zürich

Zürich, 4. Dez. 1930  
Gloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich huldvollst  
anzuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich  
angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie  
des kontinuierlichen beta-Spektrums auf einen verweifelten Ausweg  
verfallen um den "Wechselsatz" (1) der Statistik und den Energiesatz  
zu retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale  
Teilchen, die ich Neutronen nennen will, in den Kernen existieren,  
welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und  
sich von Lichtquanten ausserdem noch dadurch unterscheiden, dass sie  
nicht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen  
müsste von derselben Grosseordnung wie die Elektronenmasse sein und  
jedemfalls nicht grösser als 0,01 Protonenmasse. Das kontinuierliche  
beta-Spektrum wäre dann verständlich unter der Annahme, dass beim  
beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert  
wird, derart, dass die Summe der Energien von Neutron und Elektron  
konstant ist.

Nun handelt es sich weiter darum, welche Kräfte auf die  
Neutronen wirken. Das wahrscheinlichste Modell für das Neutron scheint  
mir aus wellenmechanischen Gründen (näheres weiss der Ueberbringer  
dieser Zeilen) dieses zu sein, dass das ruhende Neutron ein  
magnetischer Dipol von einem gewissen Moment  $\mu$  ist. Die Experimente  
verlangen wohl, dass die ionisierende Wirkung eines solchen Neutrons  
nicht grösser sein kann, als die eines gamma-Strahls und darf dann  
wohl nicht grösser sein als  $e \cdot (10^{-13} \text{ cm})$ .

Ich traue mich vorläufig aber nicht, etwas über diese Idee  
zu publizieren und wende mich erst vertrauensvoll an Euch, liebe  
Radioaktive, mit der Frage, wie es um den experimentellen Nachweis  
eines solchen Neutrons stände, wenn dieses ein ebensolches oder etwa  
10mal grösseres Durchdringungsvermögen besitzen würde, wie ein  
gamma-Strahl.

Ich gebe zu, dass mein Ausweg vielleicht von vornherein  
wenig wahrscheinlich erscheinen wird, weil man die Neutronen, wenn  
sie existieren, wohl schon längst gesehen hätte. Aber nur wer wagt,  
ganzheit und der Ernst der Situation beim kontinuierliche beta-Spektrum  
wird durch einen Ausspruch meines verehrten Vorgängers im Amt,  
Herrn Debye, beleuchtet, der mir kürzlich in Brüssel gesagt hat:  
"O, daran soll man am besten gar nicht denken, sowie an die neuen  
Steuern." Darum soll man jeden Weg zur Rettung ernstlich diskutieren.-  
Also, liebe Radioaktive, prüfet, und richtet.- Leider kann ich nicht  
persönlich in Tübingen erscheinen, da ich infolge eines in der Nacht  
vom 6. zum 7. Dez. in Zürich stattfindenden Balles hier unabkömmlich  
bin.- Mit vielen Grüssen an Euch, sowie an Herrn Baek, Euer  
untertänigster Diener

ges. W. Pauli

# A Desperate Remedy



Dear Radioactive Ladies and Gentlemen

4 December 1930

As the bearer of these lines, to whom I ask you to listen graciously, will explain more exactly, considering the 'false' statistics of N-14 and Li-6 nuclei, as well as the continuous  $\beta$ -spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the energy theorem. Namely [there is] **the possibility that there could exist in the nuclei electrically neutral particles** that I wish to call neutrons, which **have spin 1/2 and obey the exclusion principle**, and additionally differ from light quanta in that they do not travel with the velocity of light: The mass of the neutron must be of the **same order of magnitude as the electron mass** and, in any case, **not larger than 0.01 proton mass**. The continuous  $\beta$ -spectrum would then become understandable by the assumption that **in  $\beta$  decay a neutron is emitted together with the electron**, in such a way that the sum of the energies of neutron and electron is constant.

Now, the next question is what forces act upon the neutrons. The most likely model for the neutron seems to me to be, on wave mechanical grounds (more details are known by the bearer of these lines), that the neutron at rest is a magnetic dipole of a certain moment  $m$ . Experiment probably required that the ionizing effect of such a neutron should not be larger than that of a  $\gamma$  ray, and thus  $m$  should probably not be larger than  $e^*(10^{-13} \text{ cm})$ .

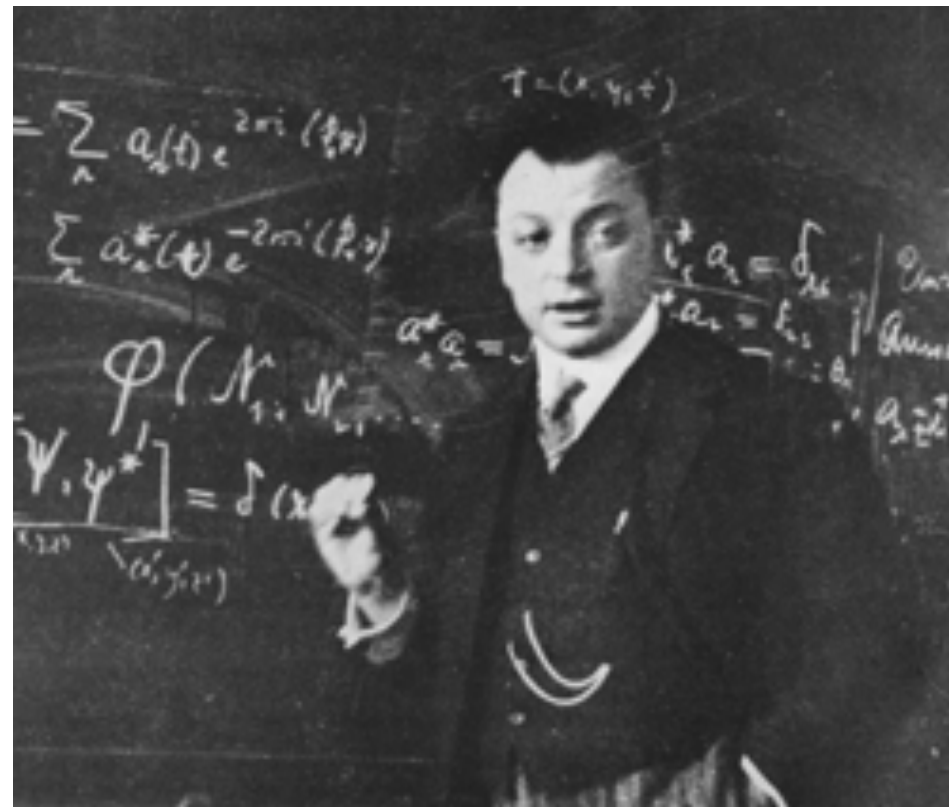
But I don't feel secure enough to publish anything about this idea, so **I first turn confidently to you, dear radioactives, with a question as to the situation concerning experimental proof** of such a neutron, if it has something like about 10 times the penetrating capacity of a  $\gamma$  ray.

I admit that **my remedy may appear to have a small a priori probability because neutrons, if they exist, would probably have long ago been seen**. However, only those who wager can win, and the seriousness of the situation of the continuous  $\beta$ -spectrum can be made clear by the saying of my honored predecessor in office, Mr. Debye, who told me a short while ago in Brussels, "One does best not to think about that at all, like the new taxes." Thus one should earnestly discuss every way of salvation.—So, dear radioactives, **put it to test** and set it right....

Your humble servant,  
W. Pauli

# 1930: Beta Spectrum Problem

---



*"I have done a terrible thing, I have predicted a particle that can never be detected."*

*-Wolfgang Pauli*



# 1953–1956: Project Poltergeist

---



Fred Reines



Clyde Cowan

- ▶ In 1951, Reines had the idea to detect neutrinos from the explosions of atomic bombs!
- ▶ 1 T detector needed,  $10^3$  bigger than anything tried before.
- ▶ Scintillator detector - new technology then





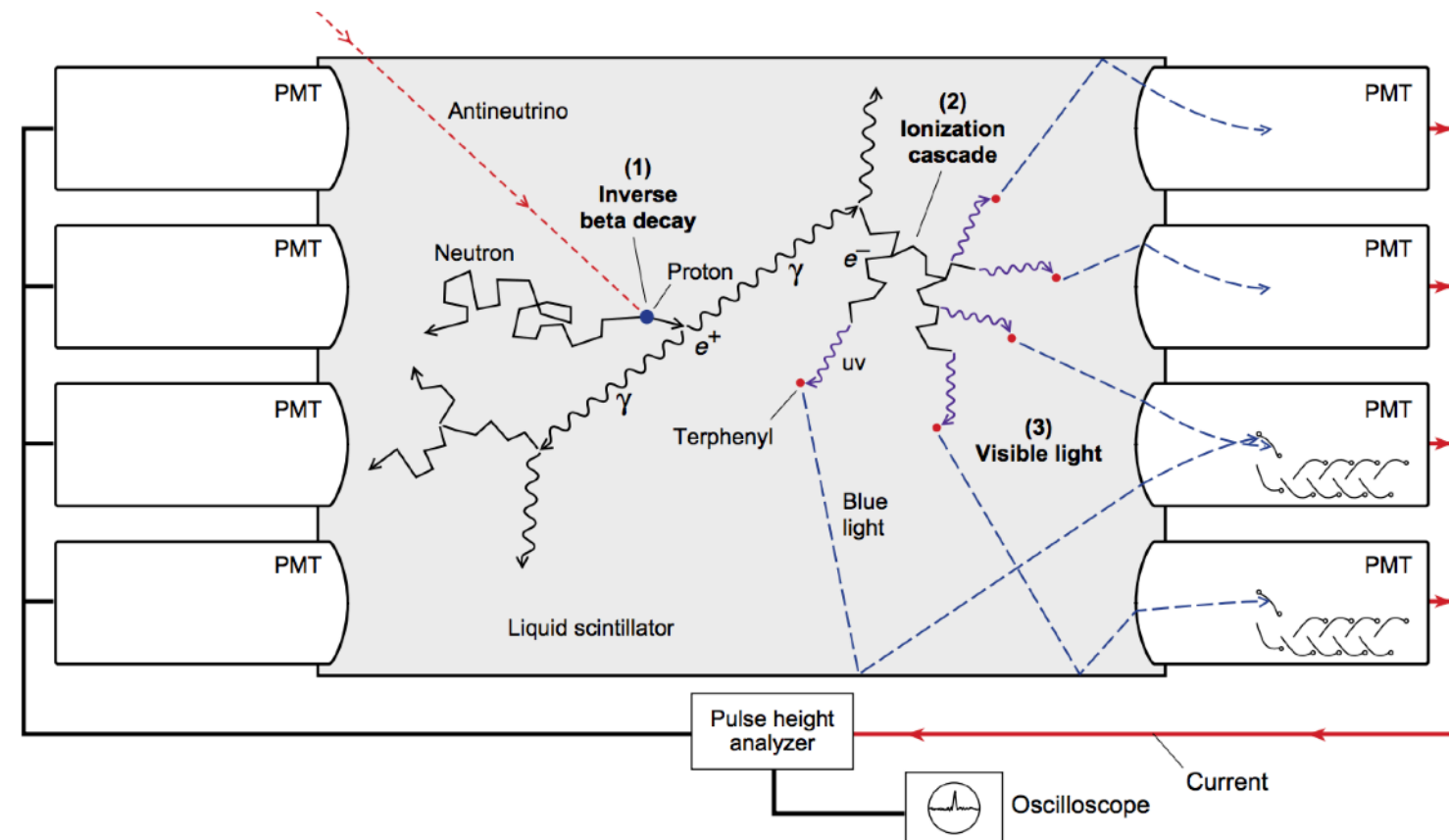
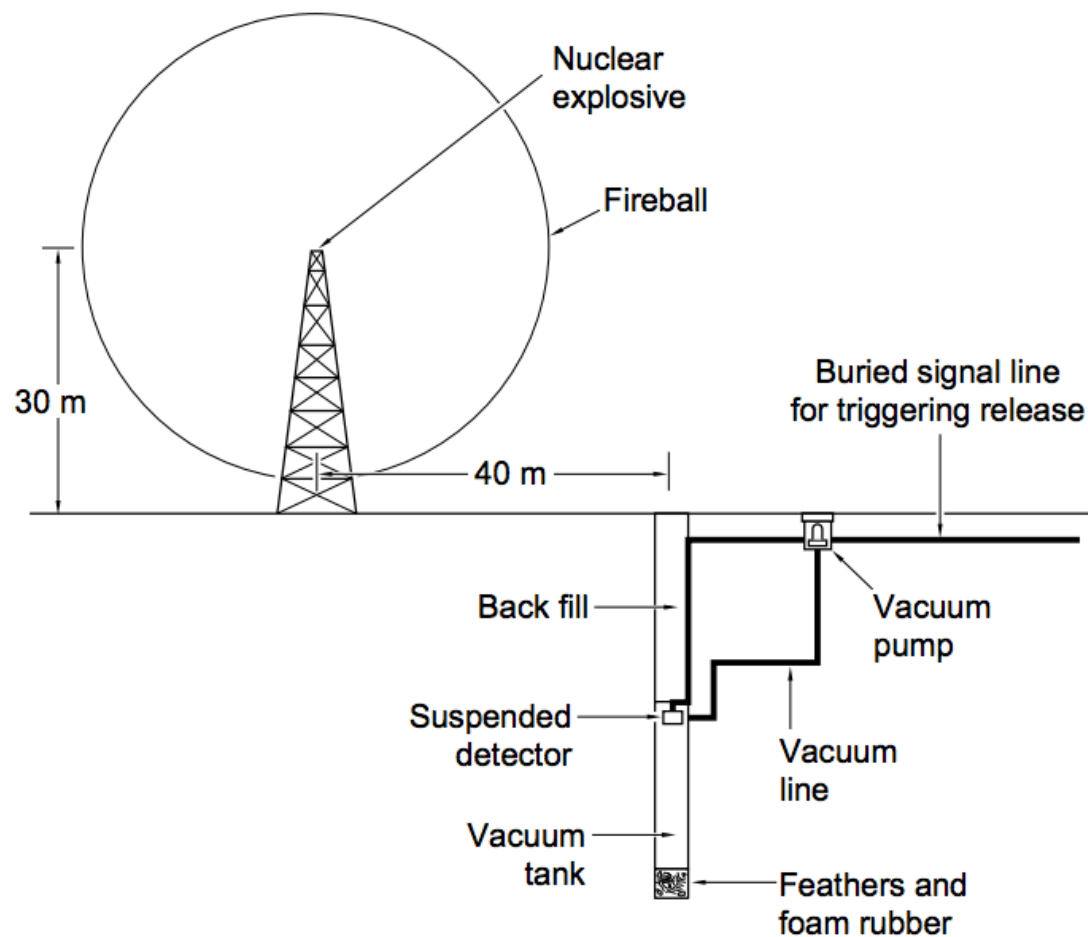
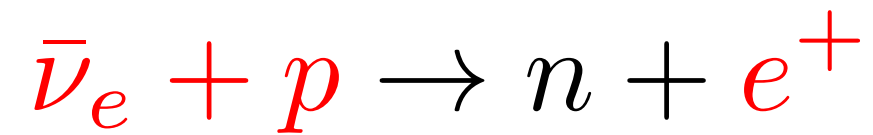
# Fred Reines

“We would dig a shaft near ‘ground zero’ about 10' in diameter and about 150' deep. We would put a tank, 10' in diameter and 75' long on end at the bottom of the shaft. We would then suspend our detector from the top of the tank, along with its recording apparatus, and back-fill the shaft above the tank. As the time for the explosion approached, we would start vacuum pumps and evacuate the tank as highly as possible. Then, when the countdown reached ‘zero,’ we would break the suspension with a small explosive, allowing the detector to fall freely in the vacuum. For about 2 seconds, the falling detector would be seeing the antineutrinos and recording the pulses from them while the earth shock [from the blast] passed harmlessly by, rattling the tank mightily but not disturbing our falling detector. When all was relatively quiet, the detector would reach the bottom of the tank, landing on a thick pile of foam rubber and feathers. We would return to the site of the shaft in a few days (when the surface radioactivity had died away sufficiently) and dig down to the tank, recover the detector, and learn the truth about neutrinos!”

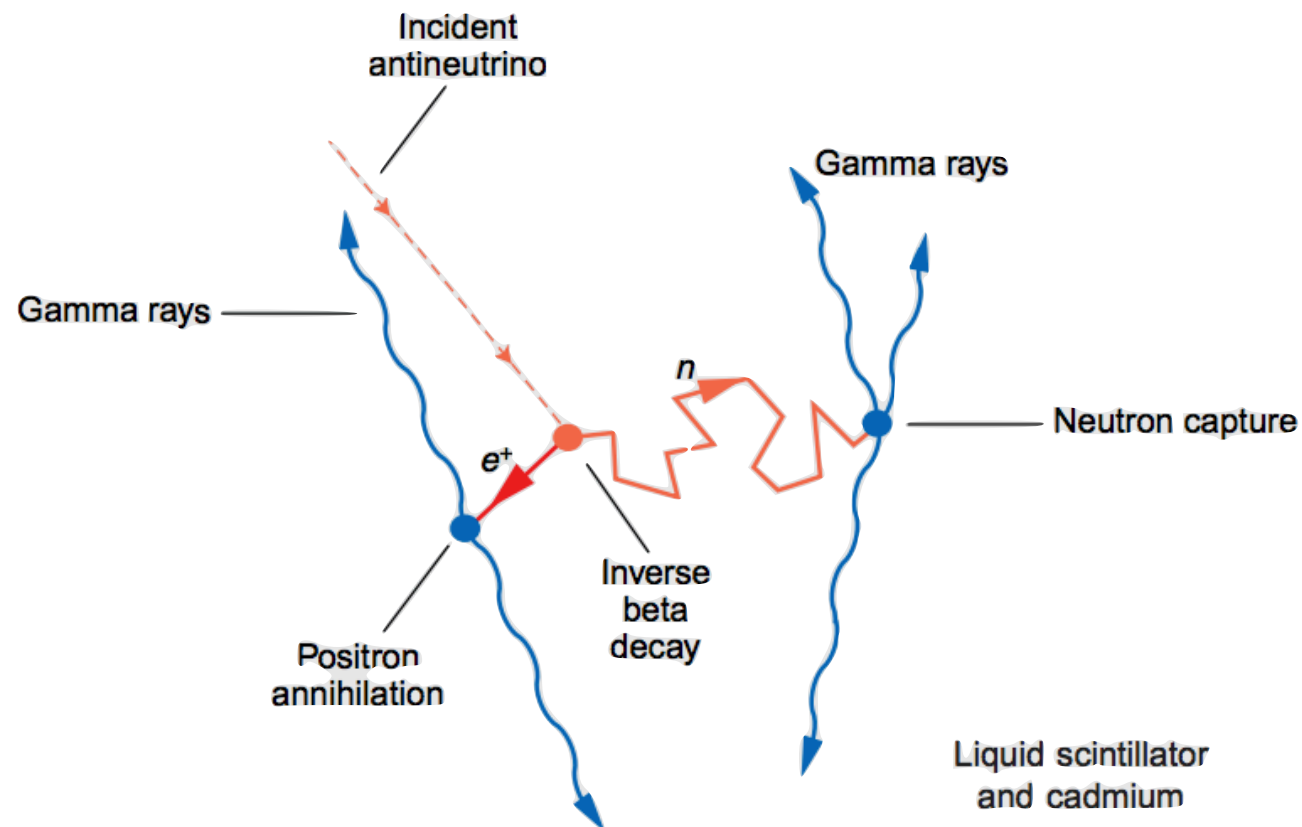
*This extraordinary plan was actually granted approval by Laboratory Director Norris Bradbury.*

“Life was much simpler in those days—no lengthy proposals or complex review committees.”

# Original Reines-Cowan Experiment



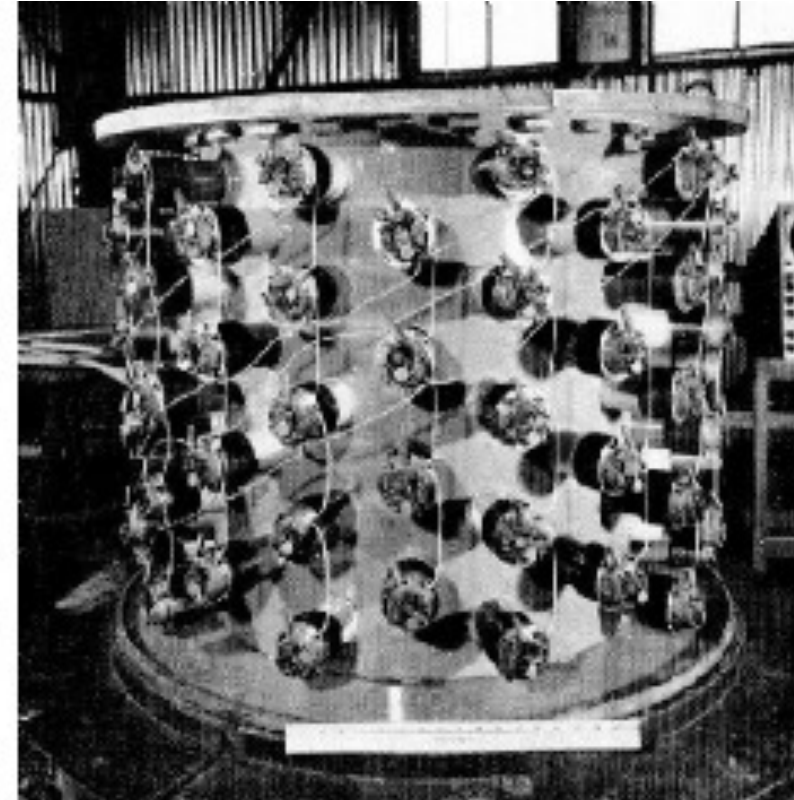
# Inverse Beta Decay



- ▶ Electronic circuits could be designed to detect this “delayed-coincidence” signature, two well defined flashes of light separated by microseconds provide a powerful means to discriminate the signature of inverse beta decay from background noise.

	$\sigma$ [b]	E [MeV]
H	0.33	2.2
<sup>113</sup> Cd	19820	9

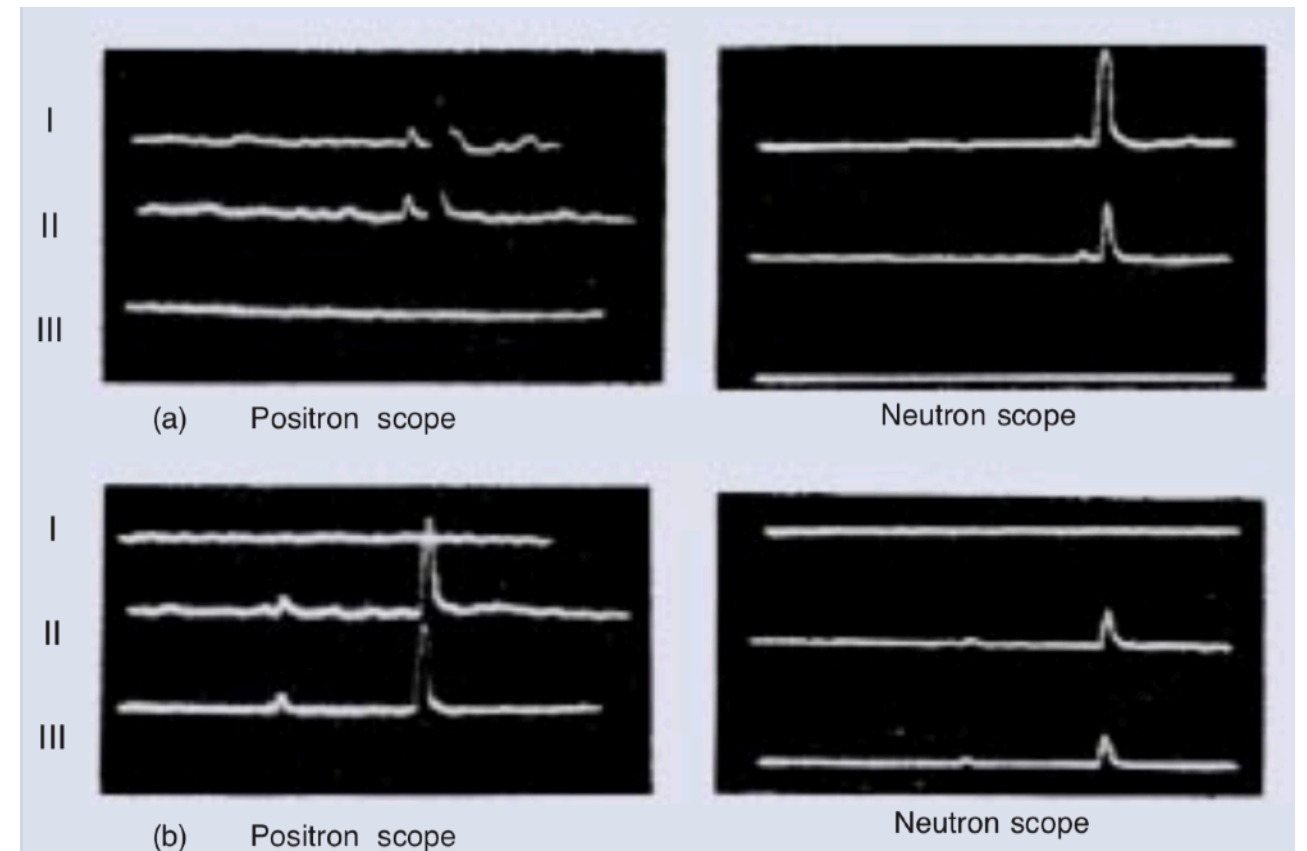
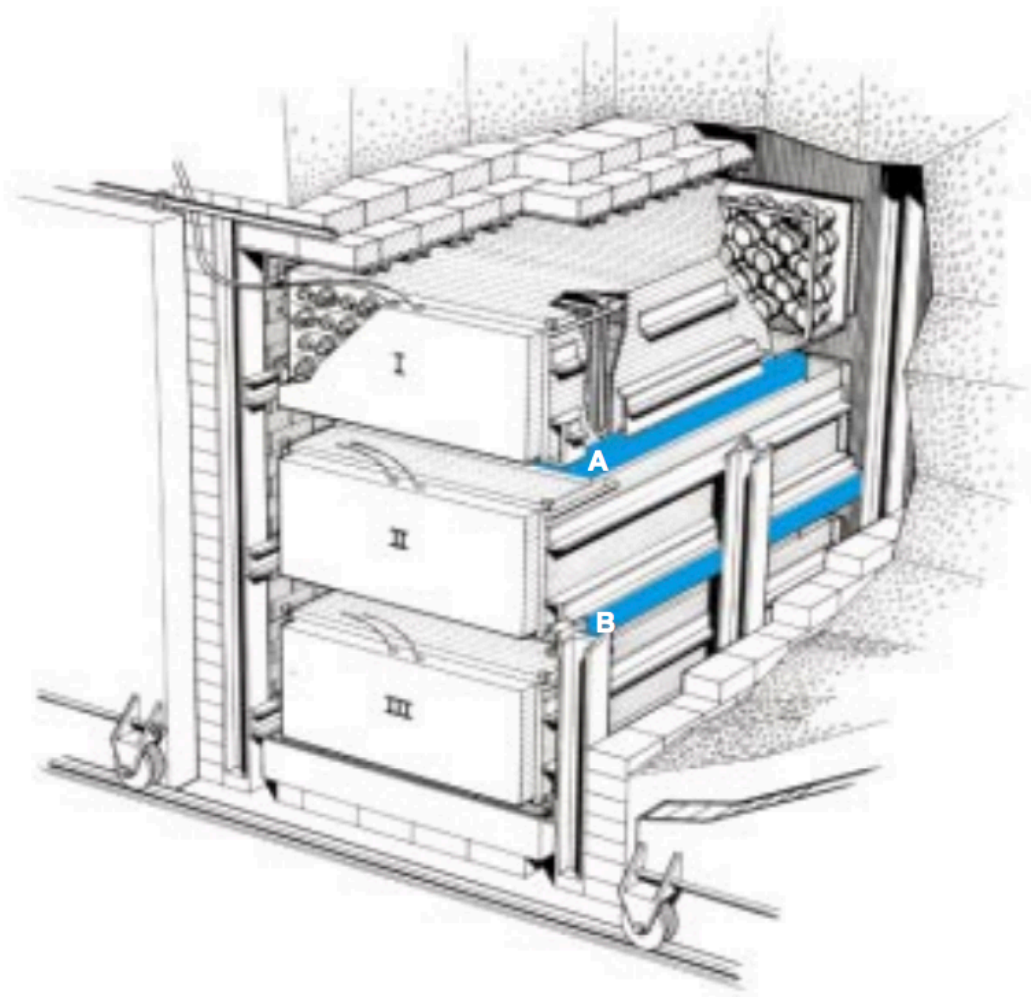
# 1953: Hanford Reactor



- ▶ For several months, the team stacked shielding and used various recipes for the liquid scintillator
- ▶ The delayed-coincidence background was about 5 counts per minute, much higher than the expected signal rate.
- ▶ Reines and Cowan reported a small increase in the number of delayed coincidences when the reactor was on versus when it was off
  - ▶ increase was consistent with the number expected from the estimated flux of reactor neutrinos.
- ▶ Tantalizing result but insufficient evidence that neutrino events were being detected.



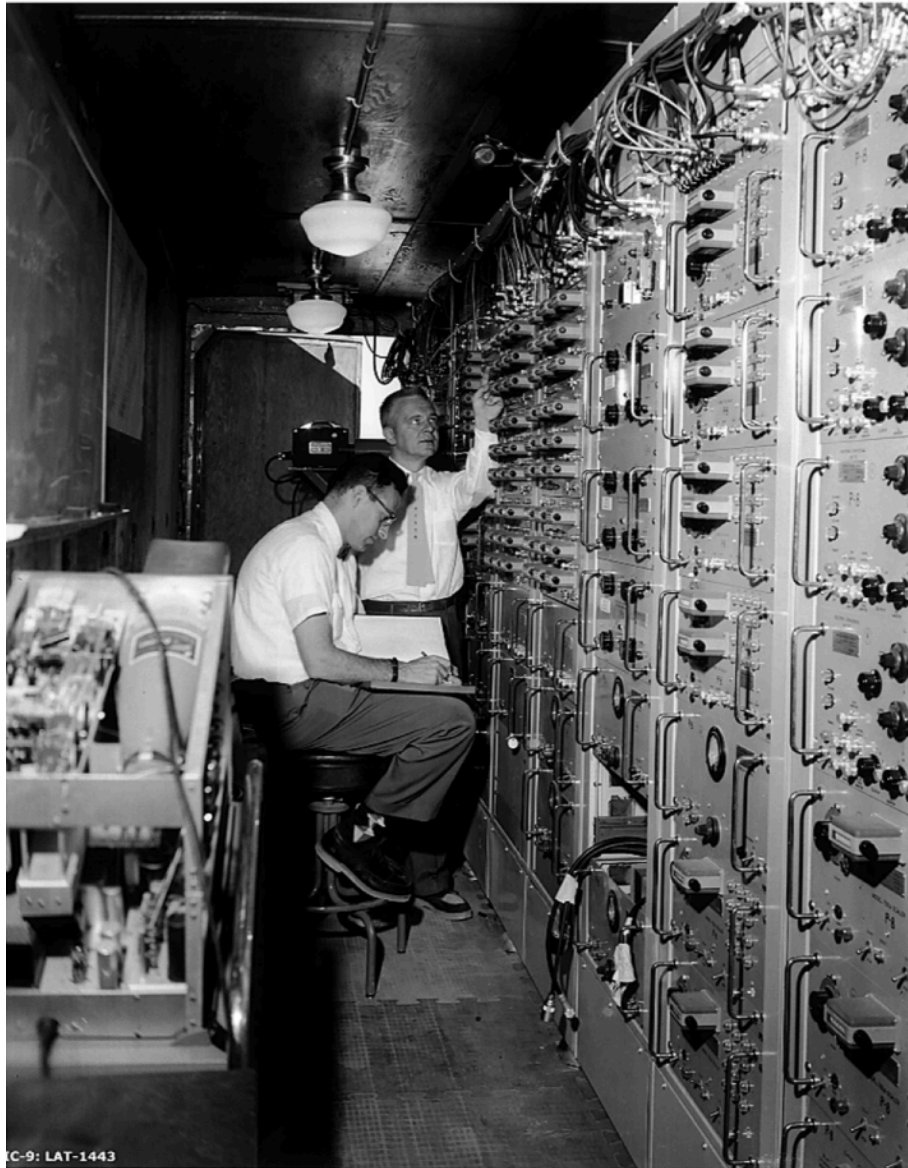
# 1956: Savannah River Reactor



- ▶ Two large, flat plastic tanks filled with H<sub>2</sub>O as target for inverse beta decay C
- ▶ Cd<sub>2</sub>Cl<sub>4</sub> dissolved in the water for neutron capture
- ▶ The target tanks were sandwiched between three large scintillation detectors with 110 photomultiplier tubes to collect scintillation light
- ▶ Signal 5 times greater than when reactor on vs off, ~1 reactor-associated event per hour.



# 1956: Savannah River Reactor



## Experimentalists check their signal!

- ▶ Are coincidences from positron annihilation and neutron capture, rather than other processes?
  - ▶ Dissolve  $^{64}\text{Co}$  in the water to understand what positrons look like
  - ▶ Doubled  $\text{Cd}_2\text{Cl}_4$  in the water to watch the coincidence time decrease
- ▶ Does signal strength vary with number of protons?
  - ▶ Filled half of tanks with heavy water, decreased IBD cross section on deuterium
- ▶ Is signal really cosmic rays & reactor backgrounds?
  - ▶ varied the thickness and type of shielding

**This and all other tests confirmed that the signal was indeed inverse beta decay of reactor antineutrinos!**

# 1956: Discovery!

**RADIOGRAMM - RADIOGRAMME**

RADIO-SCHWEIZ S.A. RADIO-SUISSE S.A.

SBZ1311 ZHV UN1844 FM BZJ116 MH CHICAGO ILL 56 14 1310

PLC 00253

Erhalten - Receu **VIA RADIO-SUISSE** Bullenart - Transmis

von - de **NEWYORK** Name - NOM

**Brieftelegramm** 74 15. VI. 56 -1 10

LT

NACHLASS  
PROF. W. PAULI

PROFESSOR W. PAULI  
ZURICH UNIVERSITY ZURICH

*Per Post* ①

NACHLASS  
PROF. W. PAULI

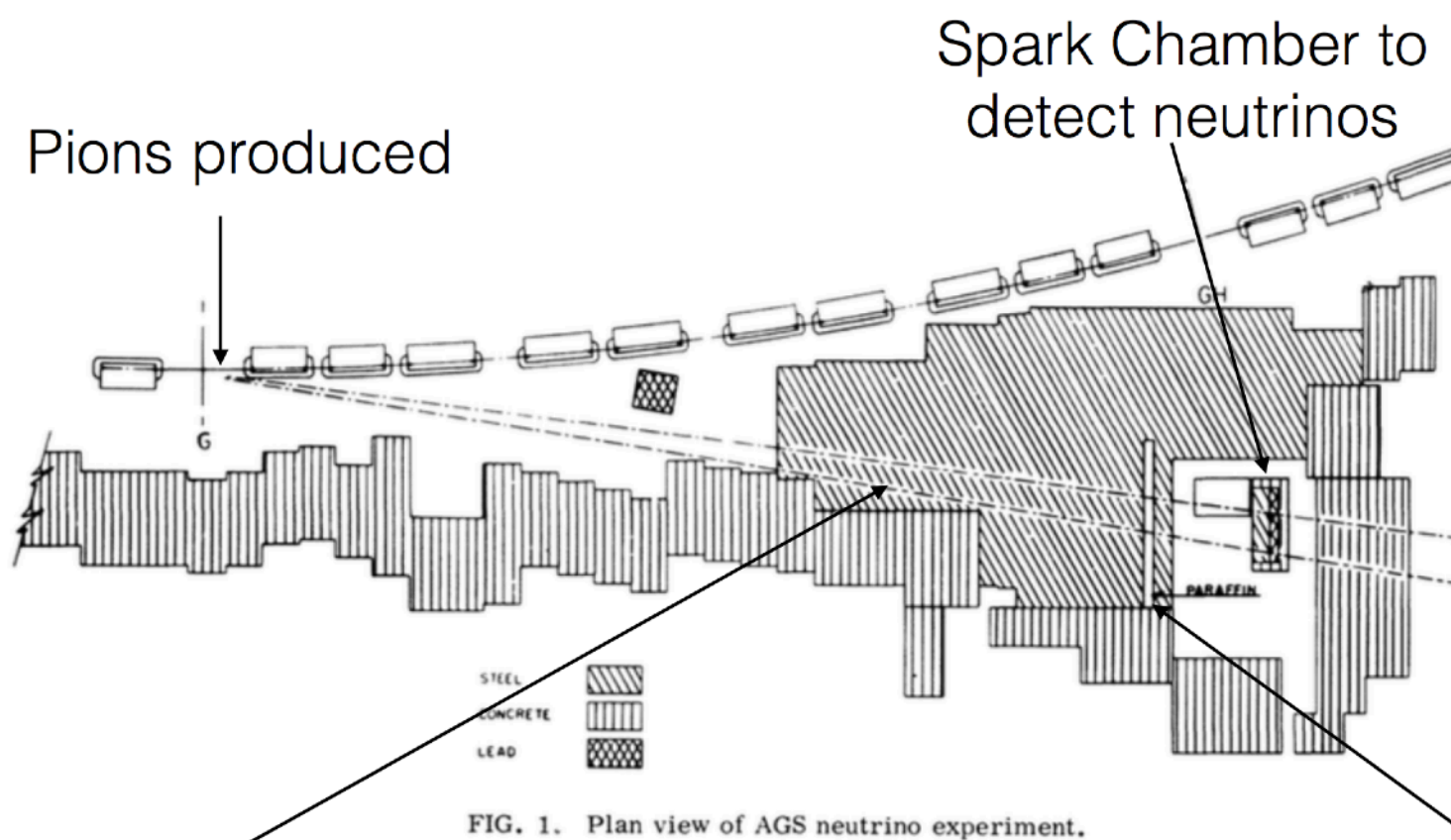
WE ARE HAPPY TO INFORM YOU THAT WE HAVE DEFINITELY DETECTED  
NEUTRINOS FROM FISSION FRAGMENTS BY OBSERVING INVERSE BETA DECAY  
OF PROTONS OBSERVED CROSS SECTION AGREES WELL WITH EXPECTED SIX  
TIMES TEN TO MINUS FORTY FOUR SQUARE CENTIMETERS  
FREDERICK REINES AND CLYDE COHN  
BOX 1663 LOS ALAMOS NEW MEXICO

IN 30 400 X 100 574



# Muon Neutrino Discovery

- ▶ In 1962, Lederman, Steinberger, & Schwartz's group discovered the muon neutrino



Steel shield stops strongly interacting particles

- ▶ 34 muon tracks, 6 electrons showers
- ▶ They're different particles!

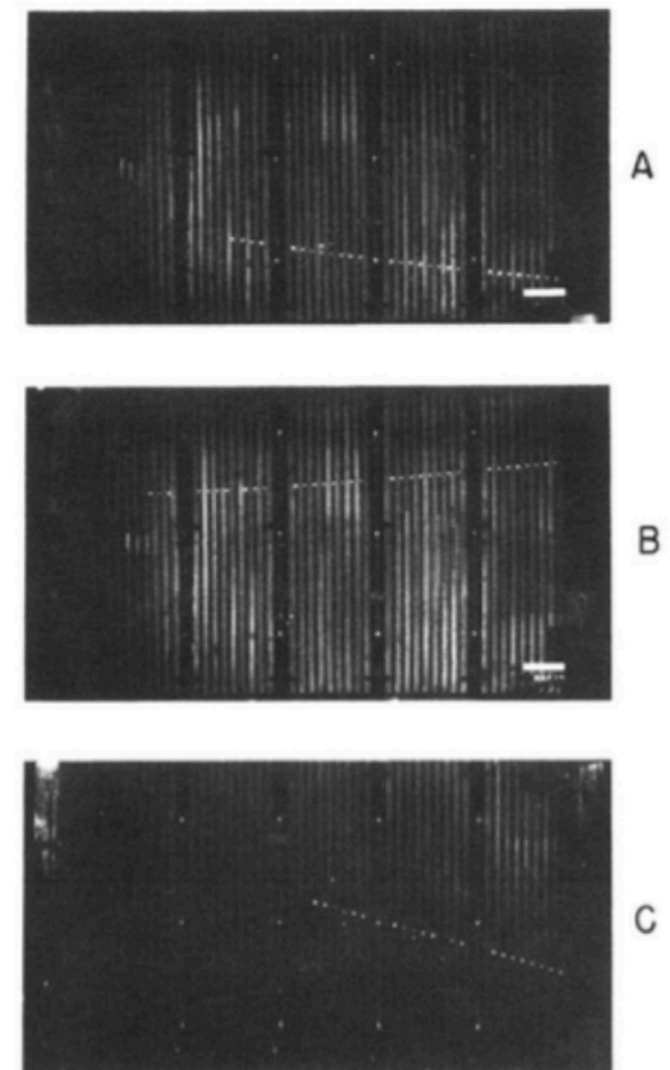
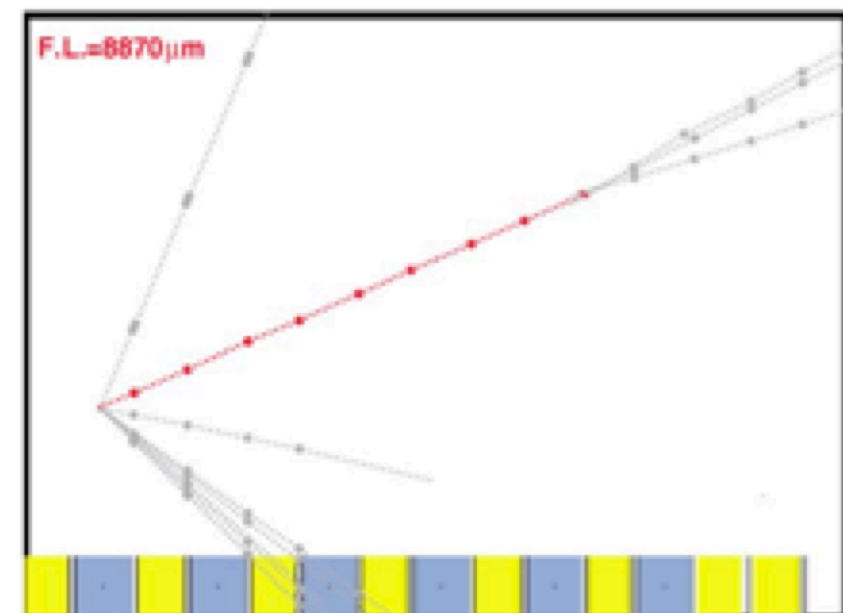
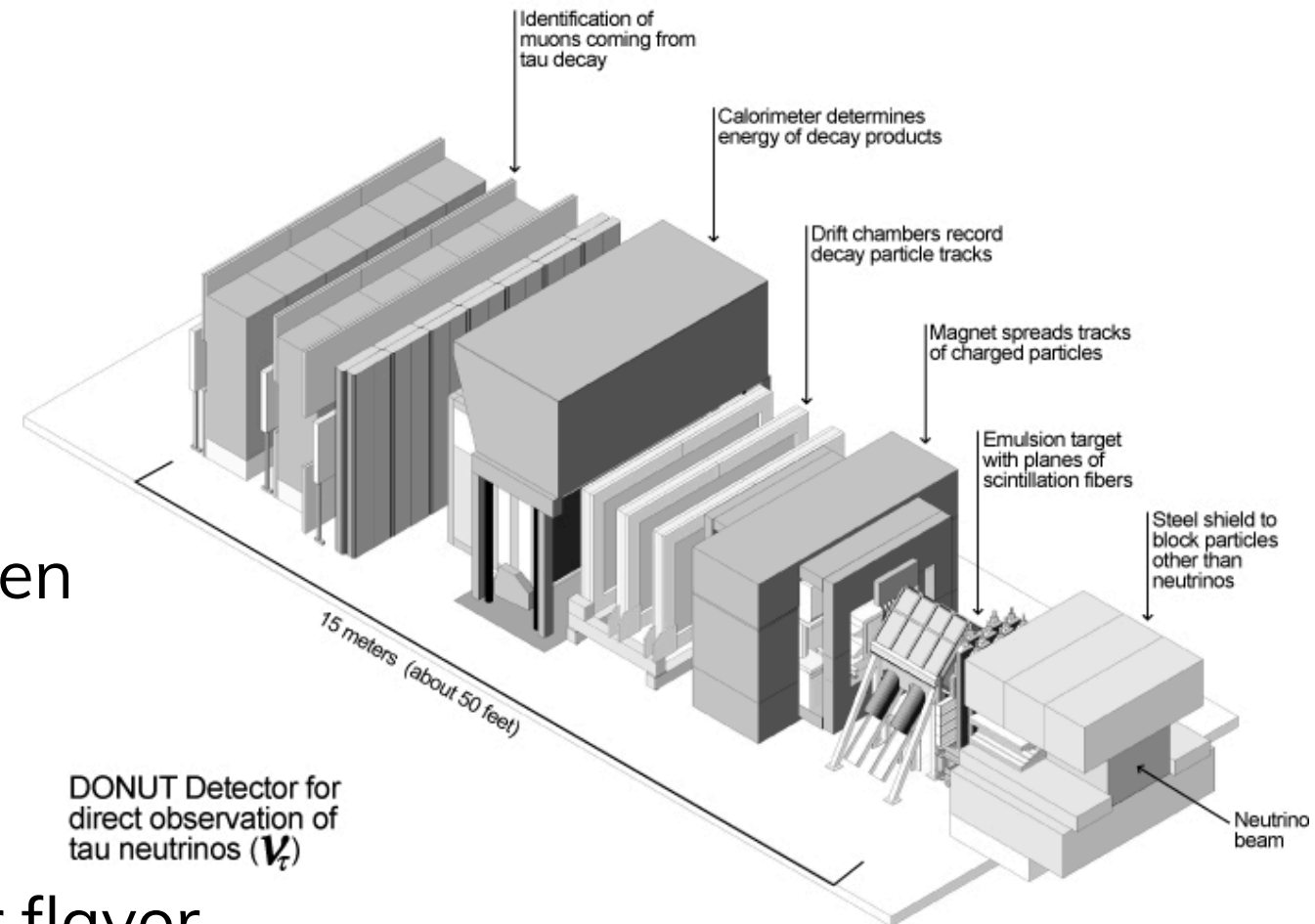


FIG. 5. Single muon events. (A)  $p_\mu > 540$  MeV and  $\delta$  ray indicating direction of motion (neutrino beam incident from left); (B)  $p_\mu > 700$  MeV/c; (C)  $p_\mu > 440$  with  $\delta$  ray.

# Tau Neutrino Discovery

- ▶ In 2000 the DOnuT collaboration discovered the tau neutrino
- ▶ The neutrino source was the tungsten beam dump behind the Tevatron.
- ▶ Only 36 feet from source to target.
- ▶ This did not allow enough time for flavor oscillations.
- ▶ The target was made of emulsion sheets, which was used as an electromagnetic calorimeter in some cases.
- ▶ 4 Tracks observed! (bkg < 0.2)



# The Standard Model

---

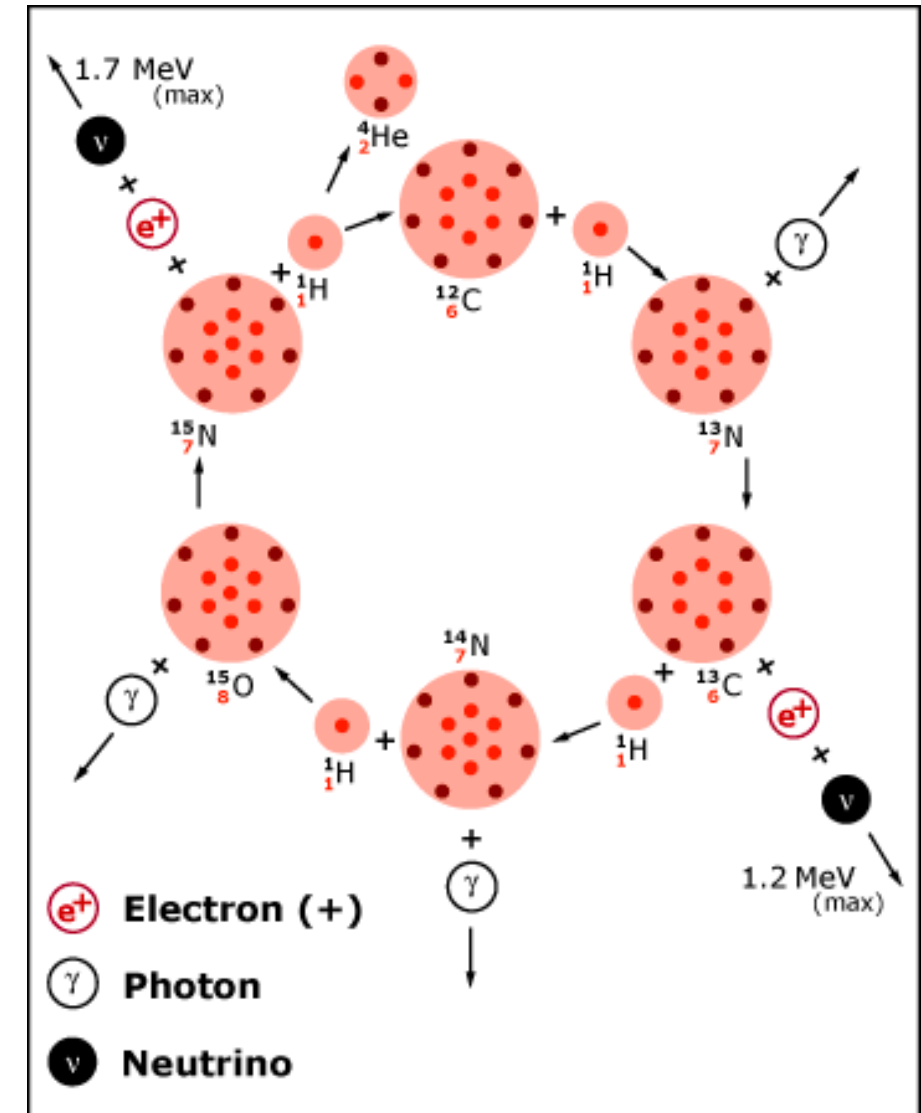
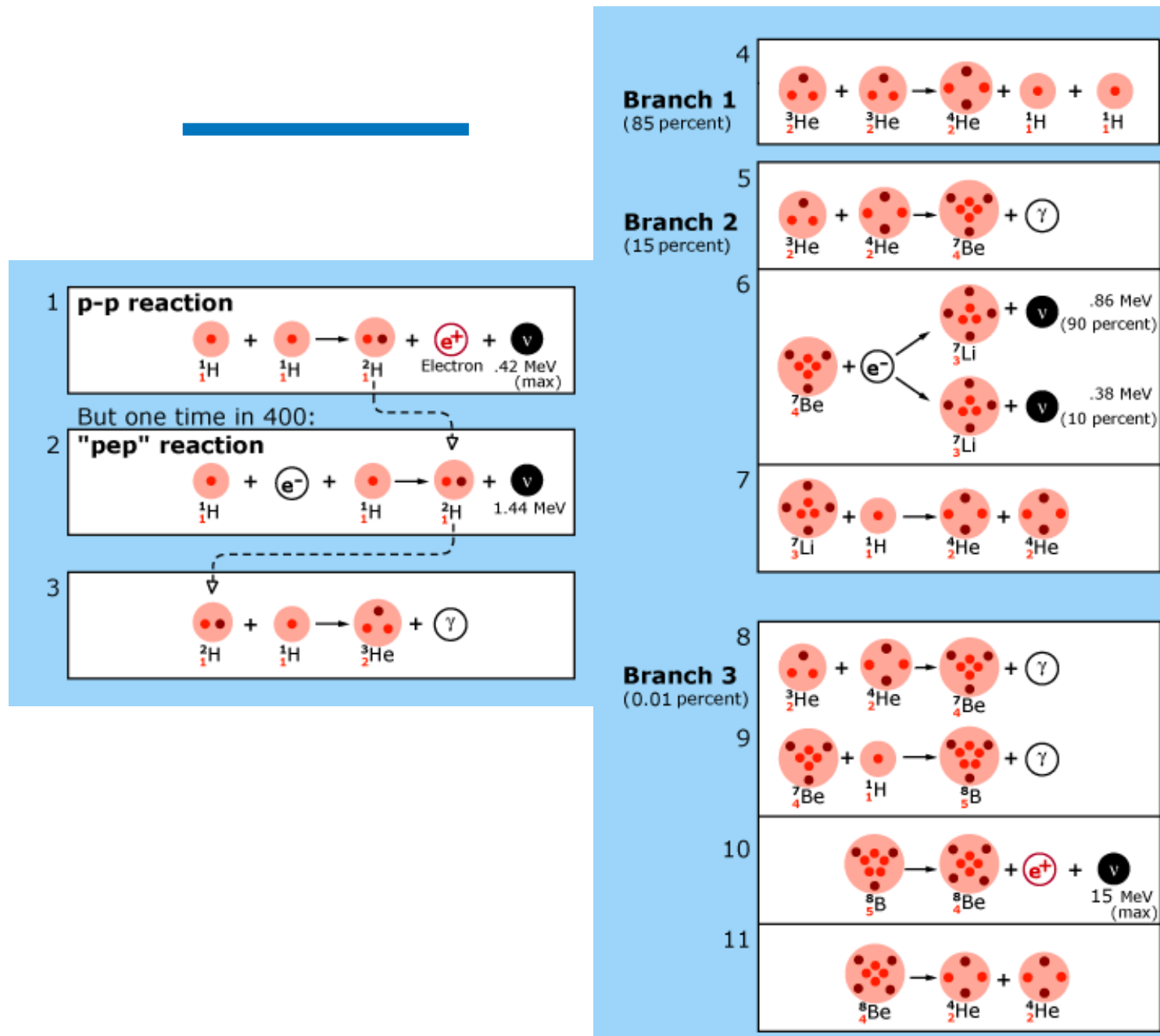




# The Standard Model

QUARKS	mass → charge → spin →	$\approx 2.3 \text{ MeV}/c^2$ $2/3$ $1/2$ <b>u</b> up	$\approx 1.275 \text{ GeV}/c^2$ $2/3$ $1/2$ <b>c</b> charm	$\approx 173.07 \text{ GeV}/c^2$ $2/3$ $1/2$ <b>t</b> top	0 0 1 <b>g</b> gluon	$\approx 126 \text{ GeV}/c^2$ 0 0 0 <b>H</b> Higgs boson
		$\approx 4.8 \text{ MeV}/c^2$ $-1/3$ $1/2$ <b>d</b> down	$\approx 95 \text{ MeV}/c^2$ $-1/3$ $1/2$ <b>s</b> strange	$\approx 4.18 \text{ GeV}/c^2$ $-1/3$ $1/2$ <b>b</b> bottom	0 0 1 <b>γ</b> photon	
		$0.511 \text{ MeV}/c^2$ $-1$ $1/2$ <b>e</b> electron	$105.7 \text{ MeV}/c^2$ $-1$ $1/2$ <b>μ</b> muon	$1.777 \text{ GeV}/c^2$ $-1$ $1/2$ <b>τ</b> tau	0 1 <b>Z</b> Z boson	
		$0$ $1/2$ <b>ν<sub>e</sub></b> electron neutrino	$0$ $1/2$ <b>ν<sub>μ</sub></b> muon neutrino	$0$ $1/2$ <b>ν<sub>τ</sub></b> tau neutrino	$\pm 1$ 1 <b>W</b> W boson	
LEPTONS					GAUGE BOSONS	

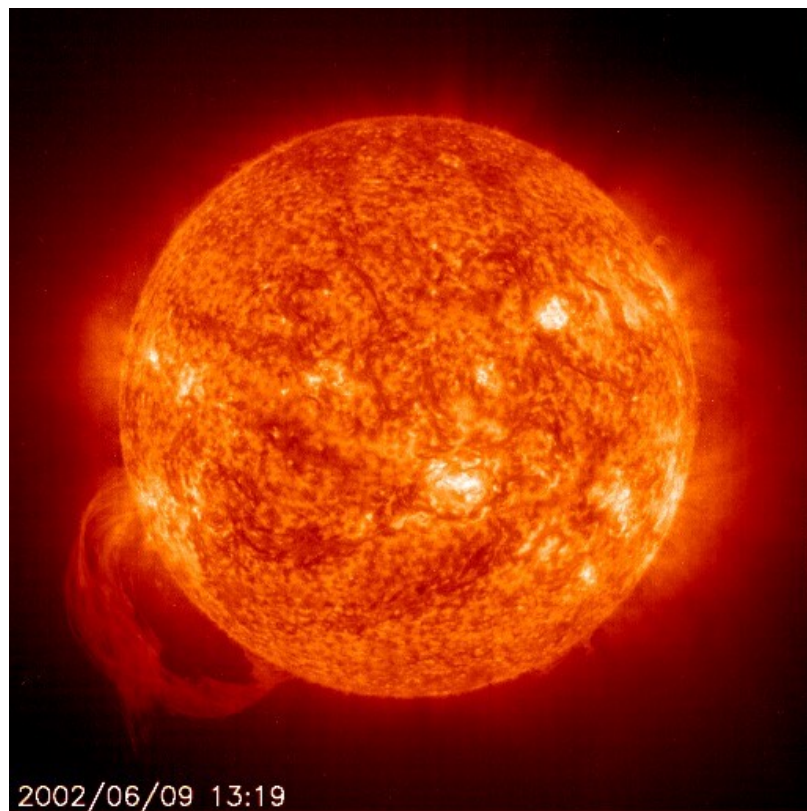
# The Standard Model



$$\Phi_{\nu_e} = 10^{11} / \text{cm}^2 / \text{s}$$

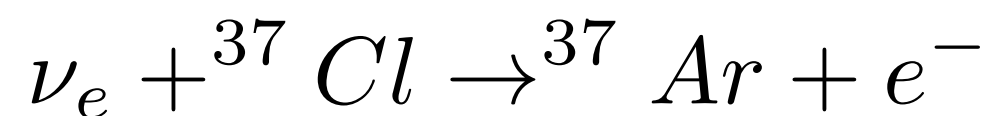


# Solar Neutrinos!



$$\Phi_{\nu_e} = 10^{11} / \text{cm}^2 / \text{s}$$

378 tonnes of  $\text{C}_2\text{Cl}_4$   
 $^{37}\text{Cl}$  is 25% of nat Cl



# Homestake Experiment

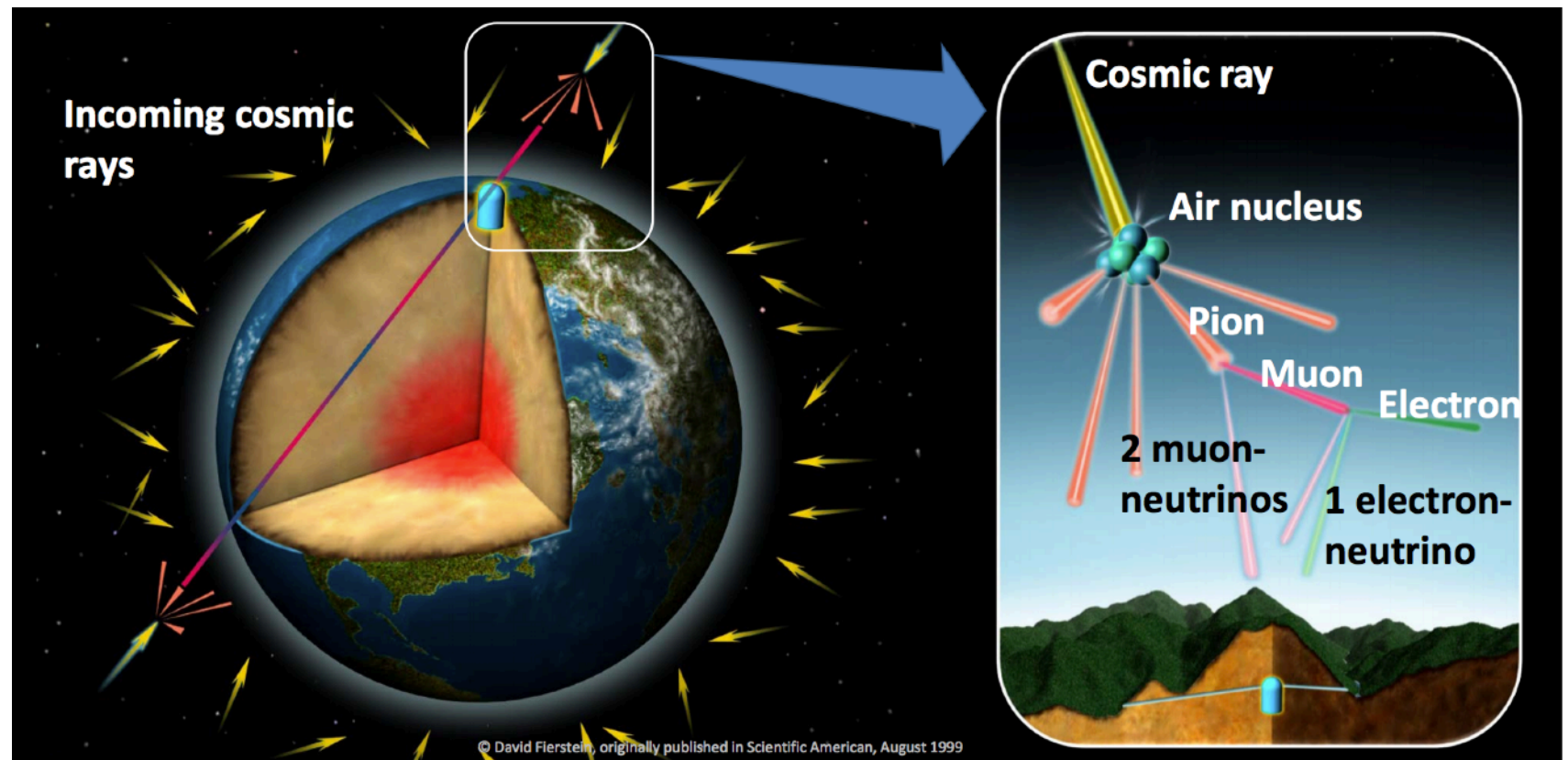
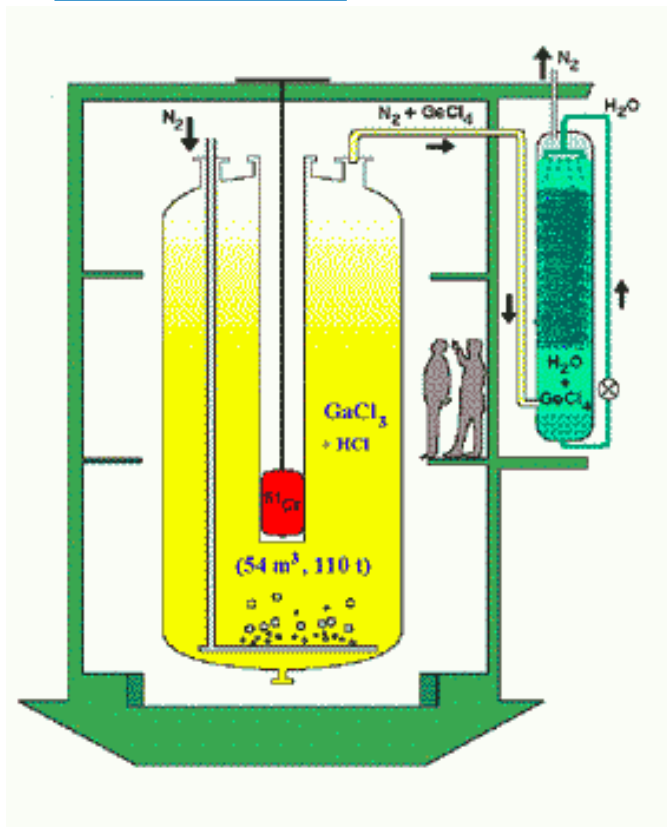


- ▶ The  $^{37}\text{Ar}$  formed by neutrino capture is then removed from the bulk of the liquid by bubbling 280 lpm of helium gas through the system.
- ▶ After the sample of argon is purified chemically it is placed in a small counter holding about .5 ml of gas.
- ▶ The  $^{37}\text{Ar}$  is unstable and reverts to  $^{37}\text{Cl}$  by capturing one of its own orbital electrons. The decay releases a low-energy electron from the Ar, which is detected
- ▶ Bahcall: "Ray Davis tells me that the experiment is simple ('Only plumbing') and that the chemistry is 'standard.' The total number of atoms in the big tank is about  $10^{30}$ . He is able to find and extract from the tank the few dozen atoms of  $^{37}\text{Ar}$  that may be produced inside by the capture of solar neutrinos. **This makes looking for a needle in a haystack seem easy."**

**Only detected 1/3 of predicted flux**



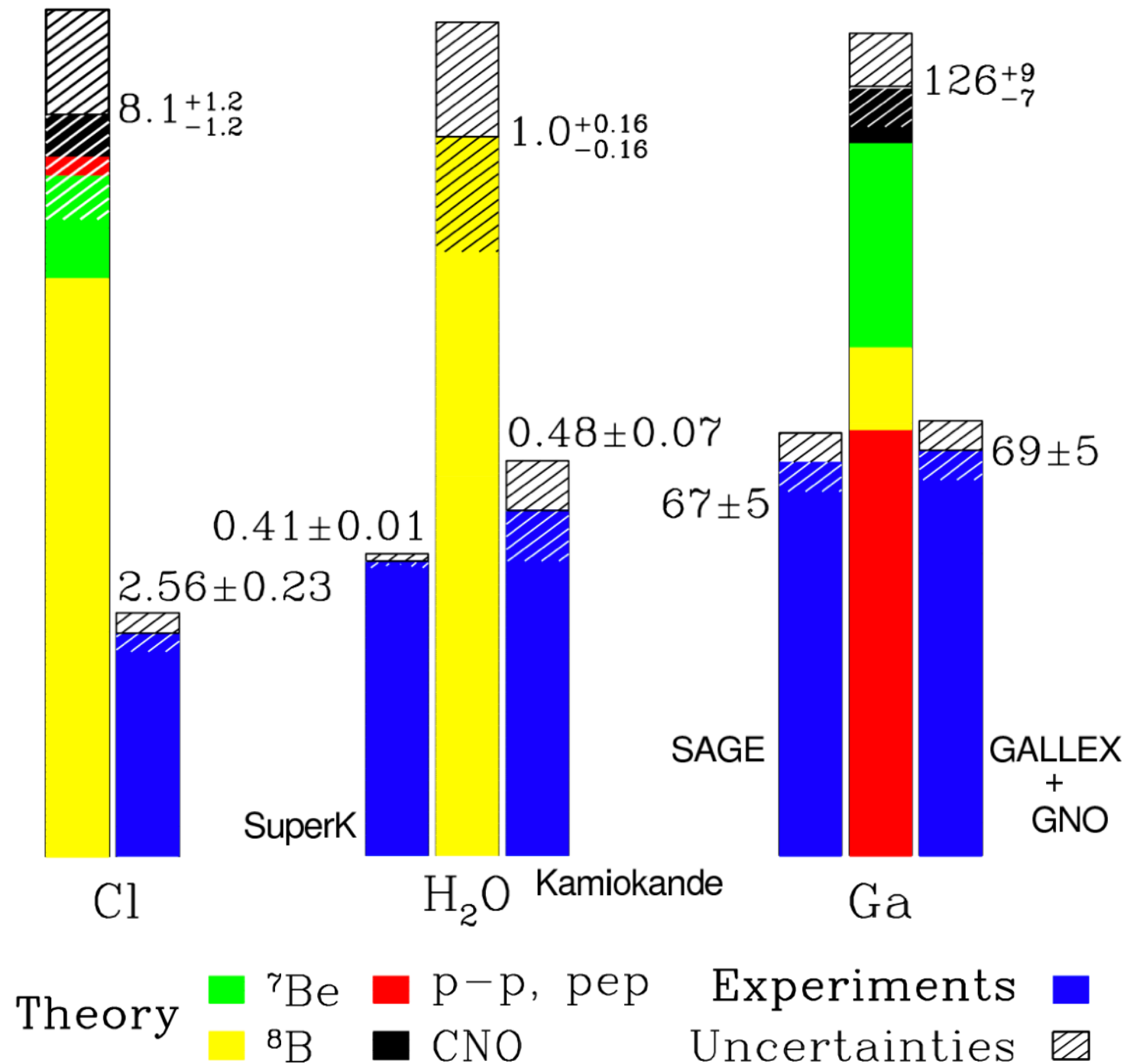
# Neutrino Anomaly?

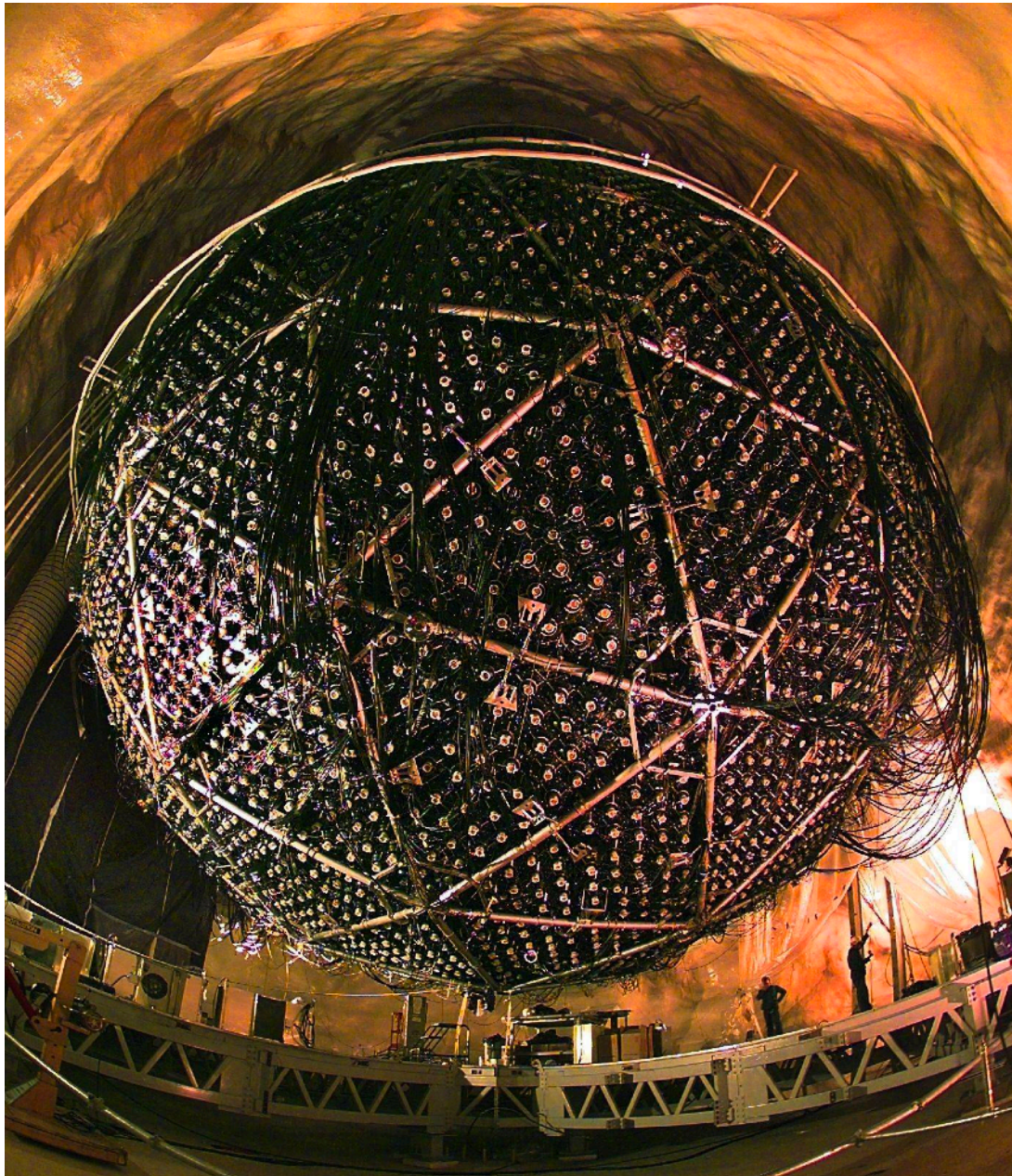


- ▶ Gallium Experiments looking for lower energy solar nus
- ▶  $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$
- ▶ KamiokaNDE, MACRO, IMB looking for nucleon decay, observed atmospheric nus
- ▶ Saw half of expected rate



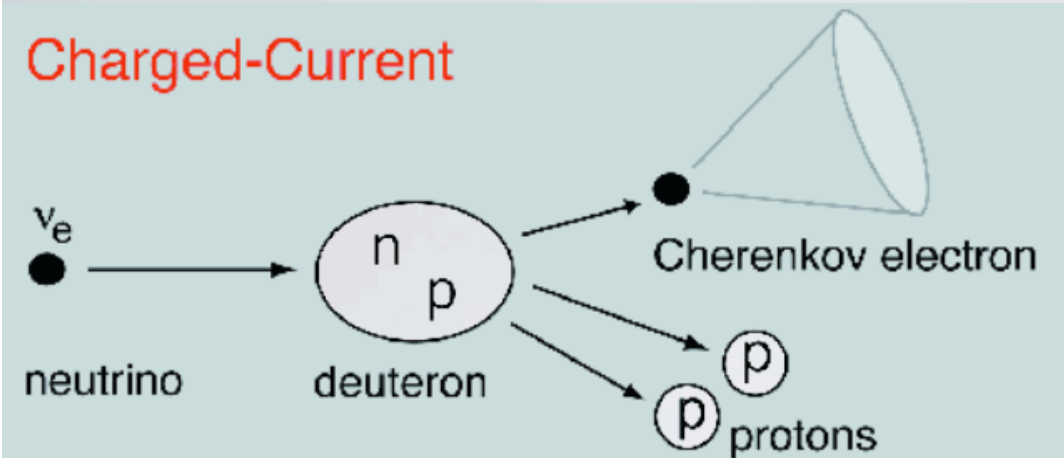
# Standard Model Rates vs Expt



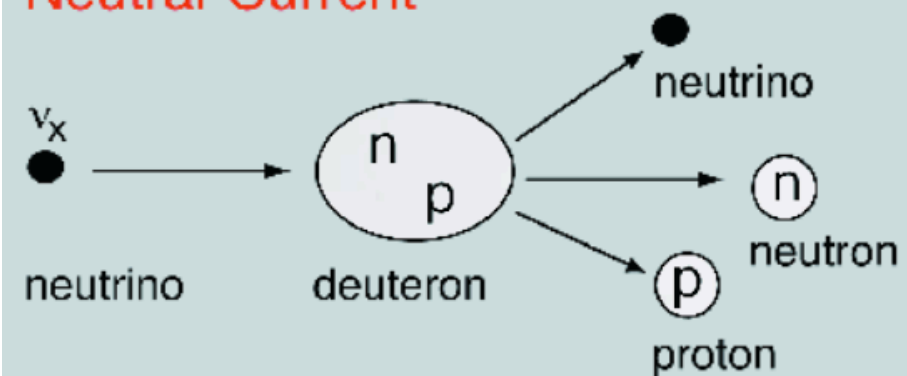


- ▶ 1 kT Heavy Water
- ▶ 2km underground in active mine
- ▶ Incredible cleanliness constraints

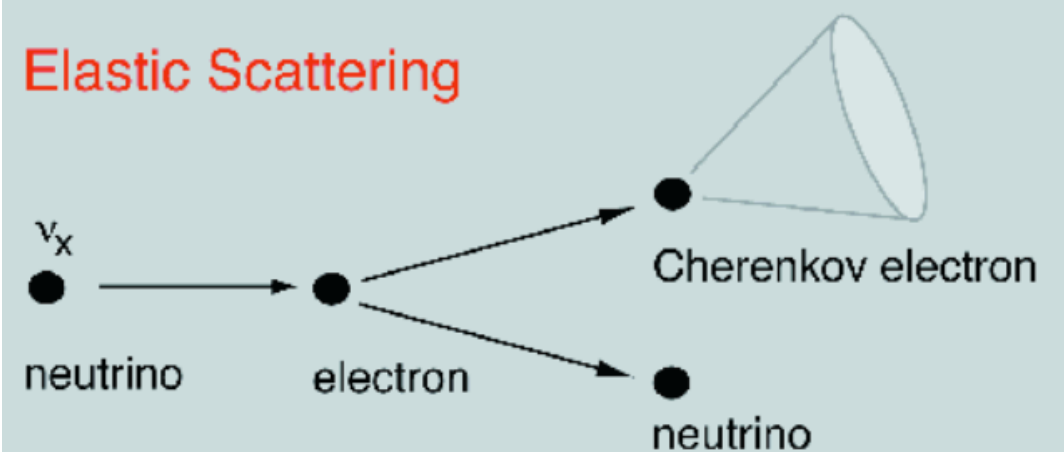
## Charged-Current



## Neutral-Current



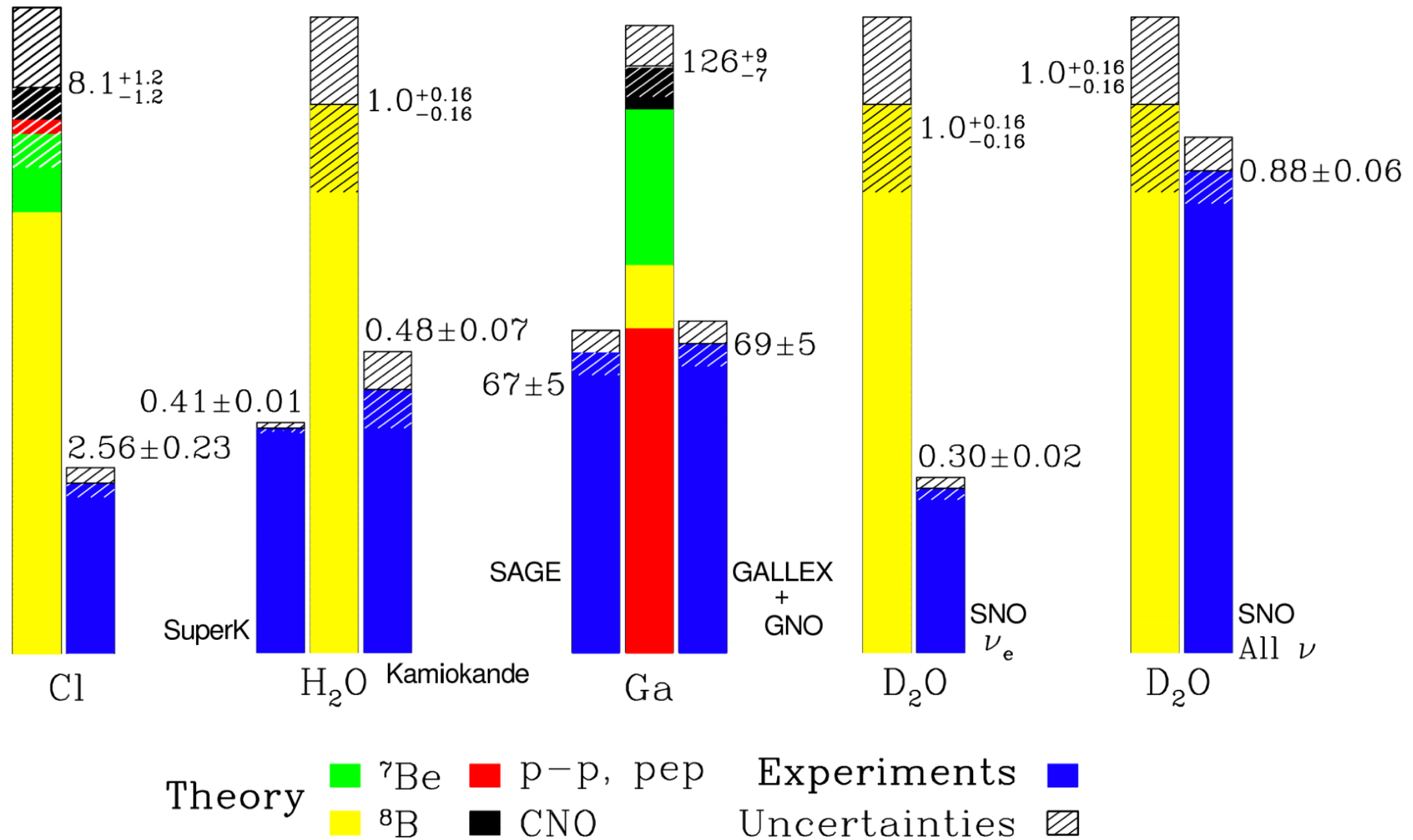
## Elastic Scattering



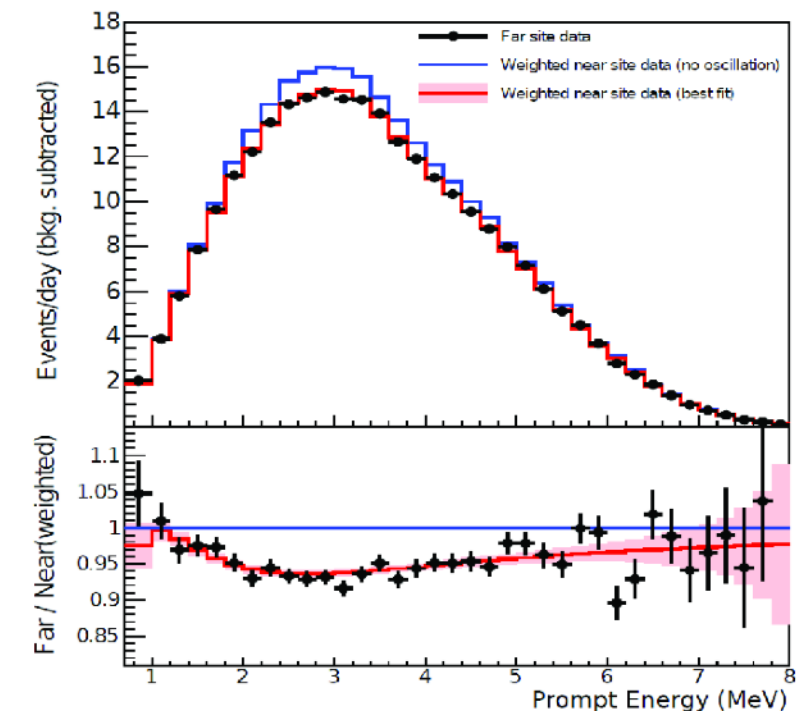
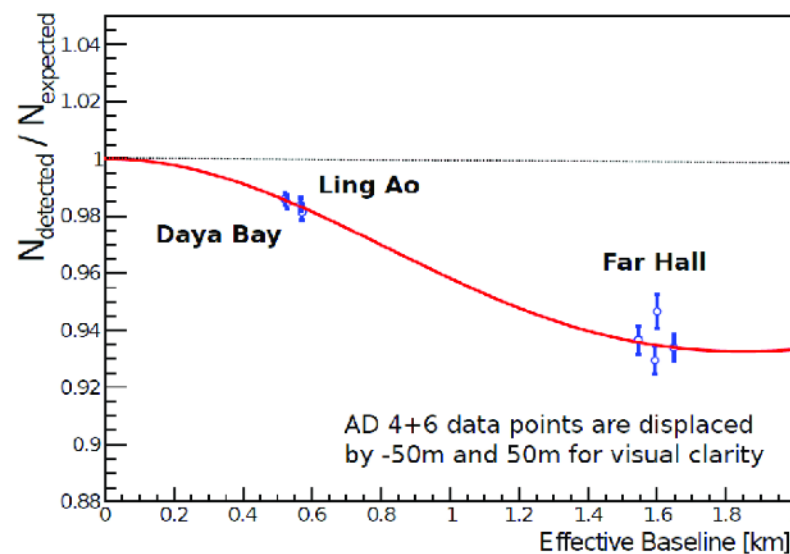
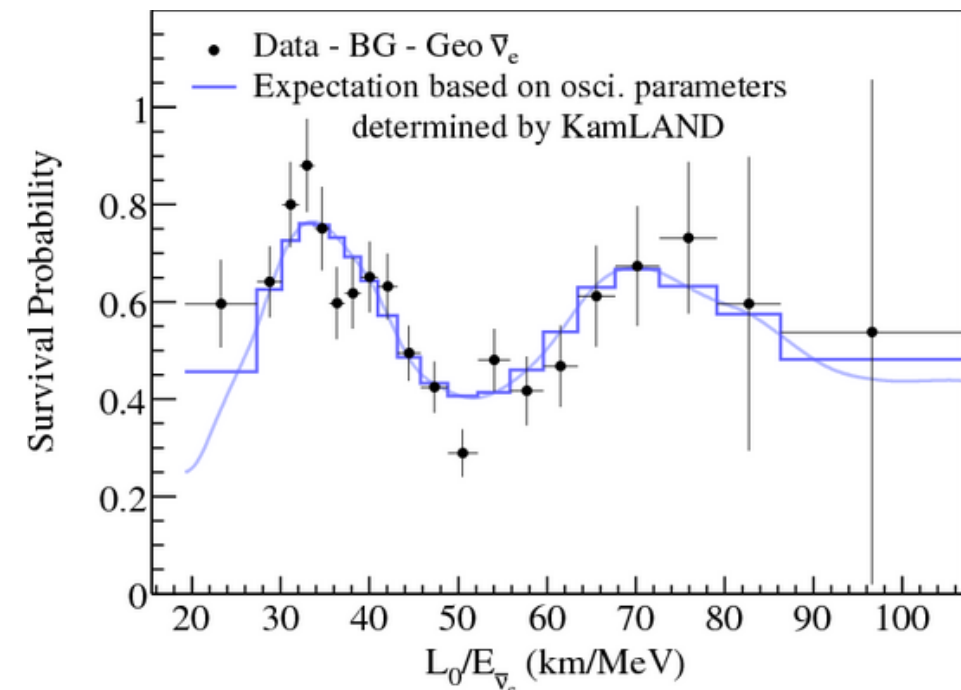
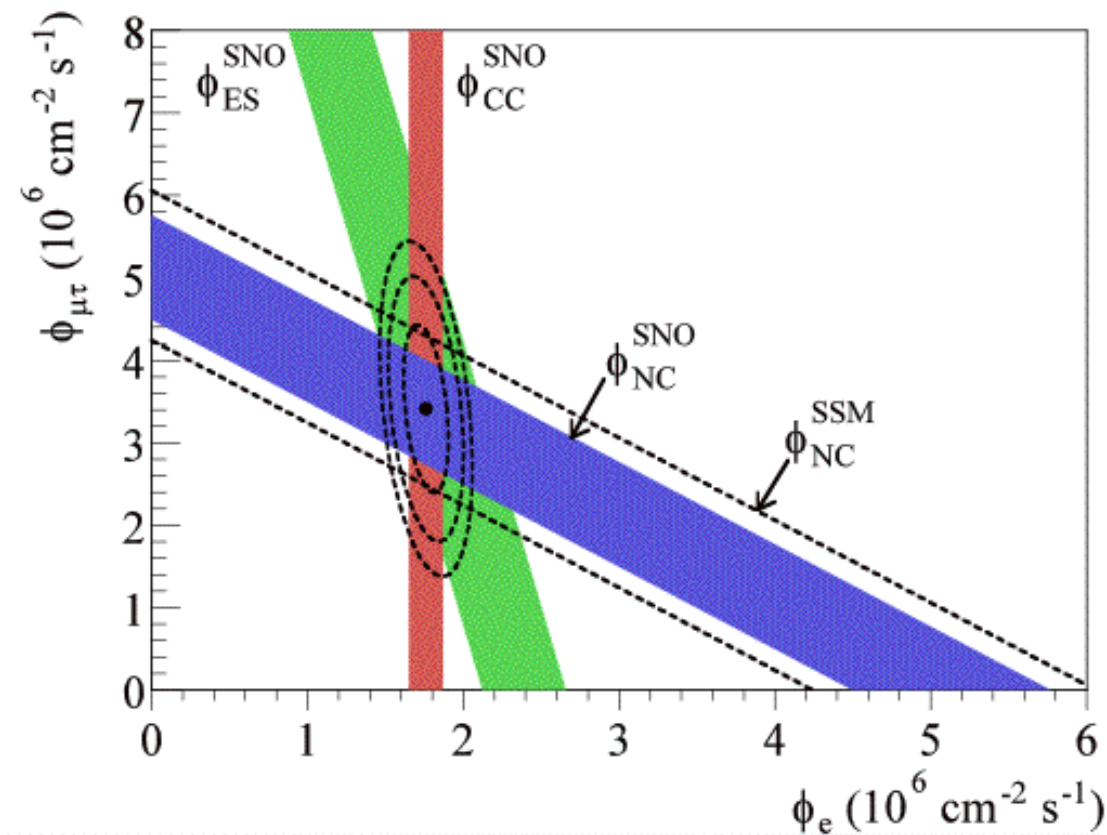
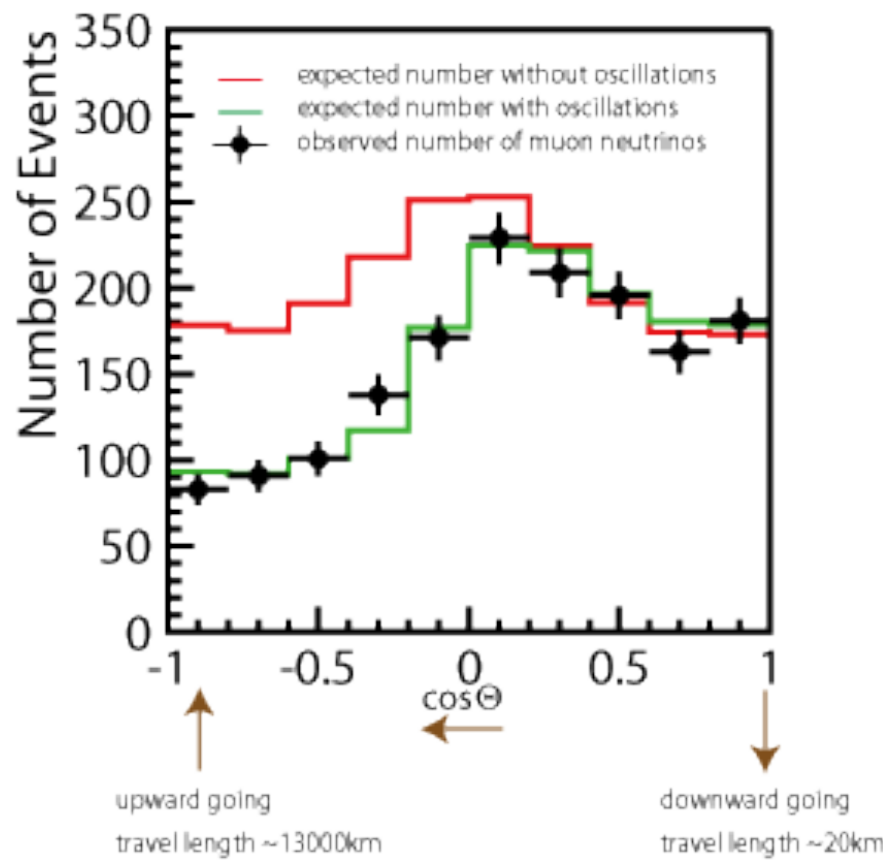


# Standard Model Rates vs Expt

Bahcall–Serenelli 2005 [BS05(OP)]

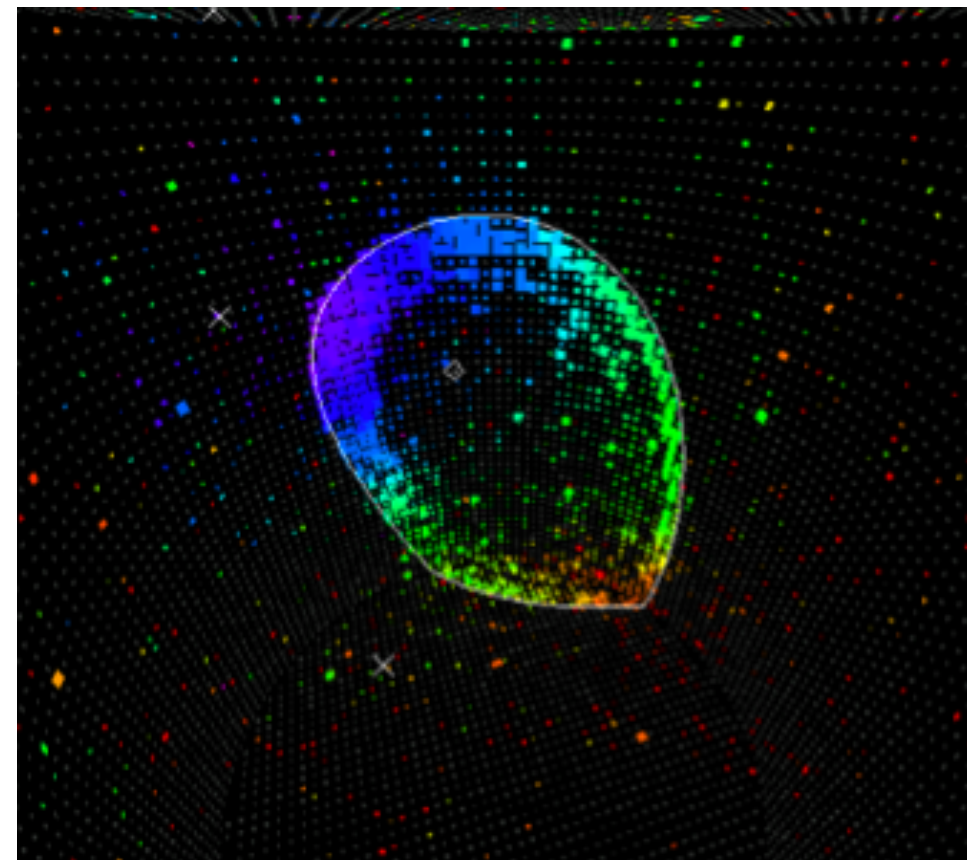
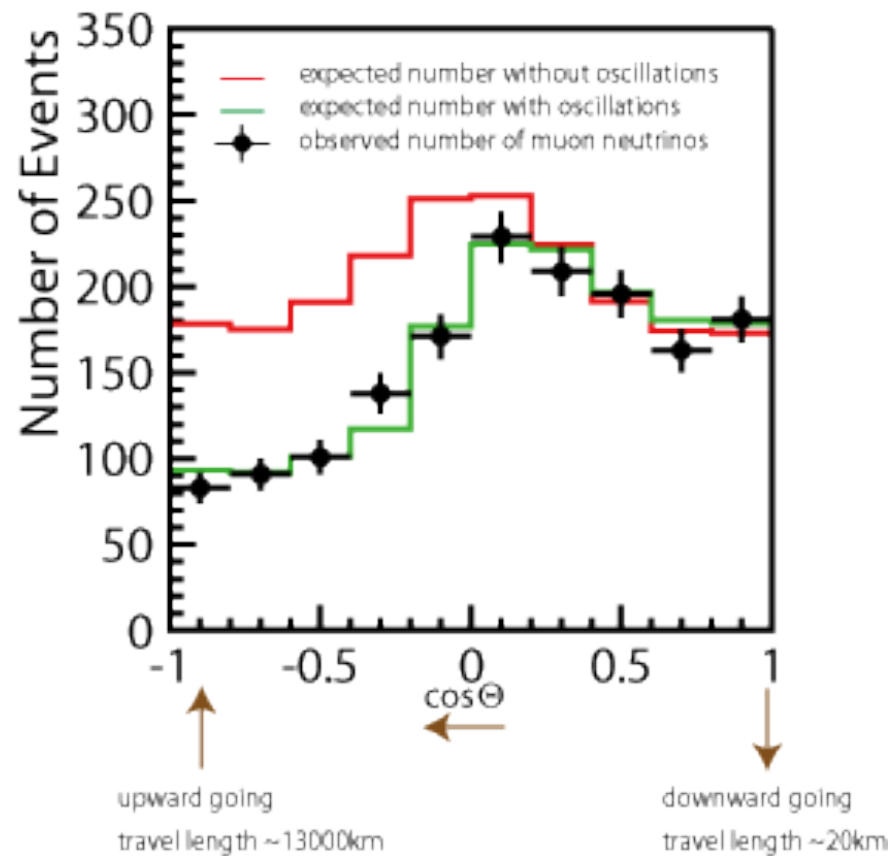
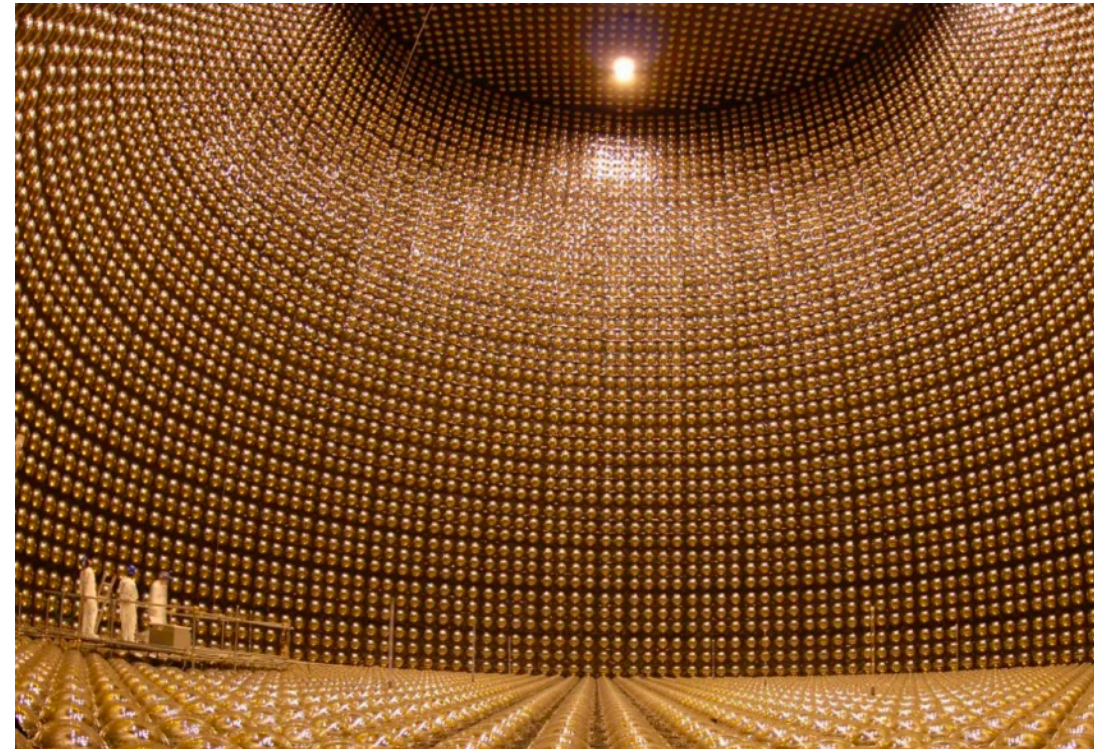
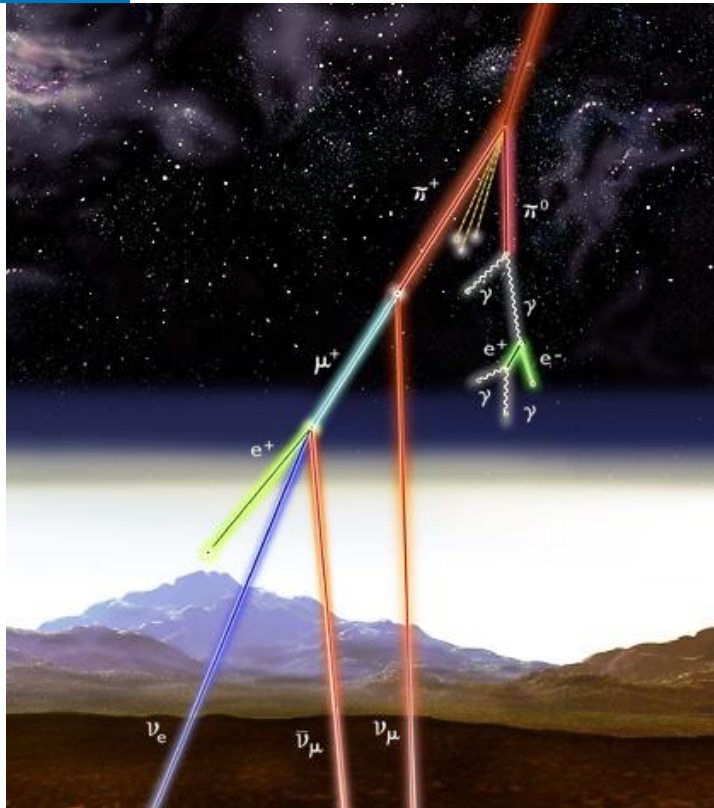


# Neutrino Oscillations



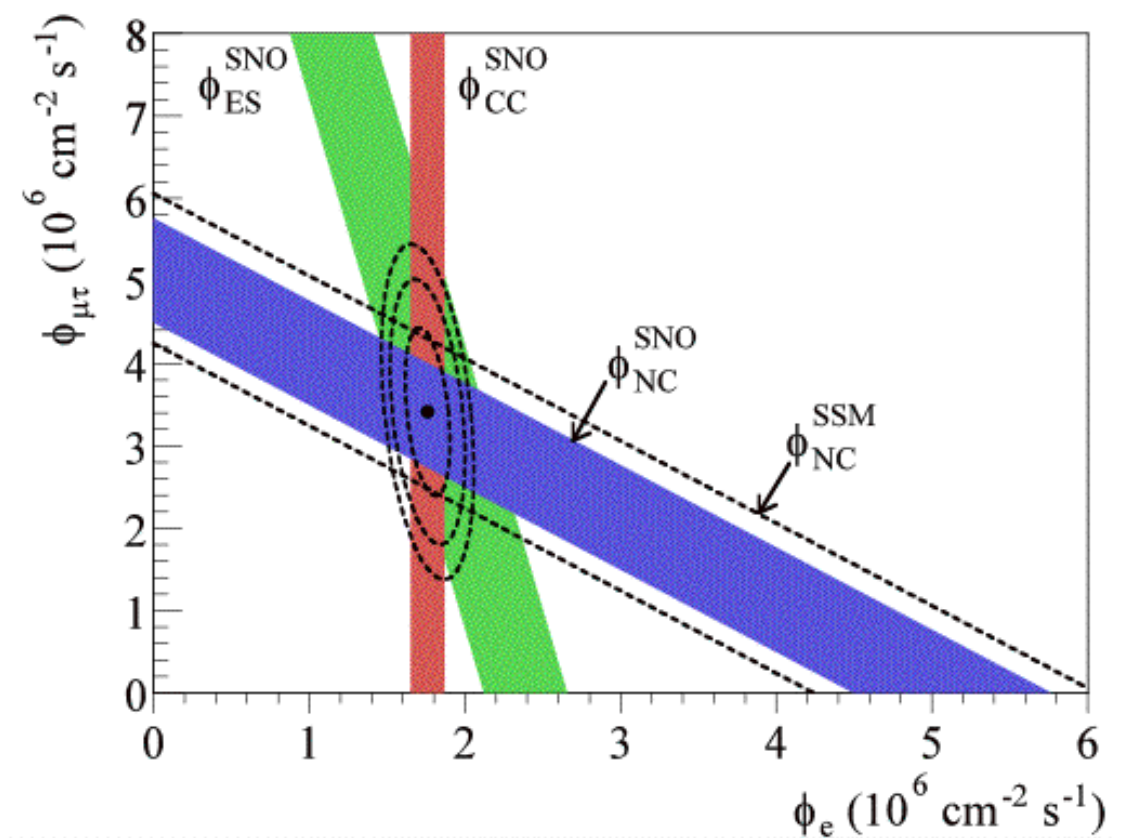
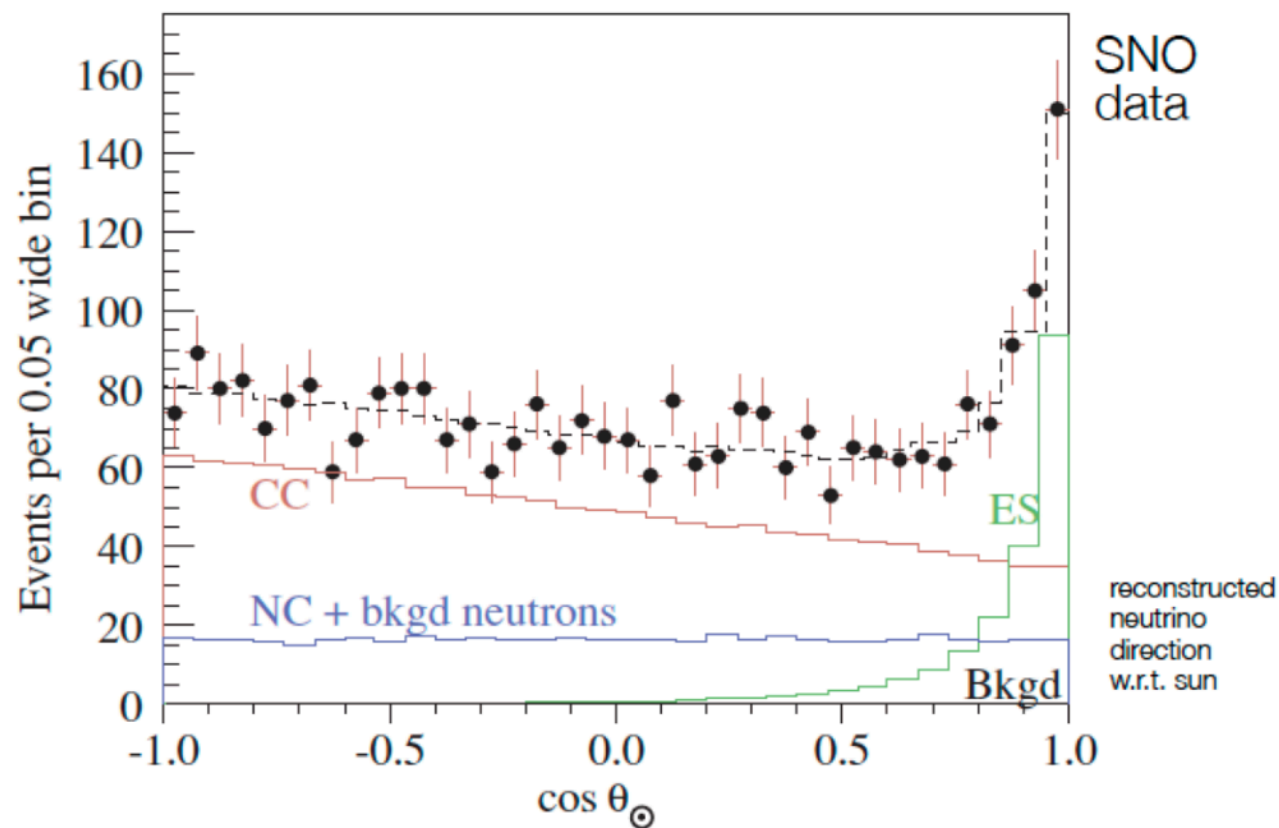
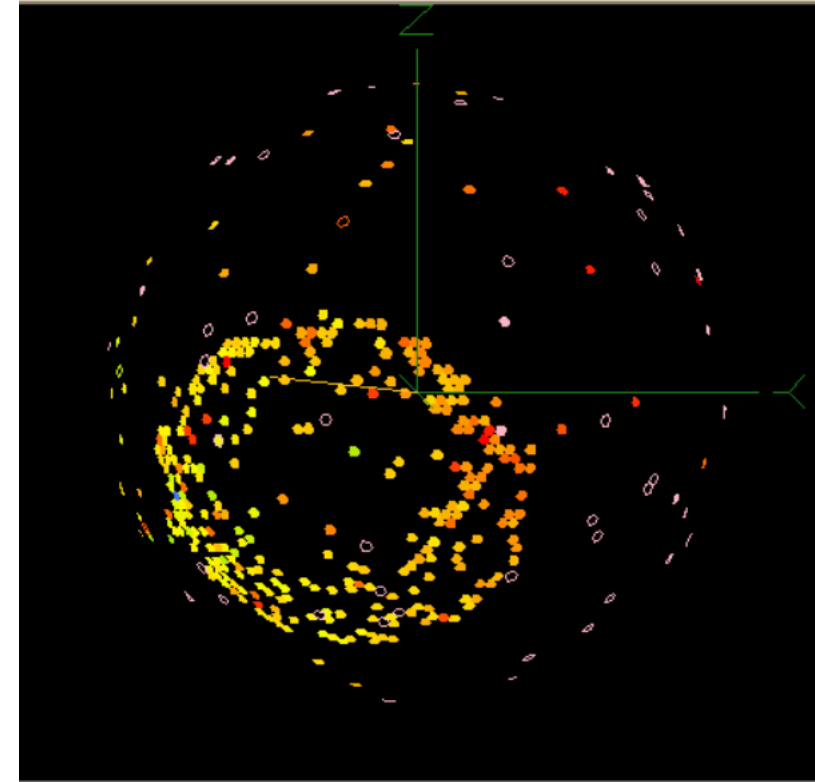
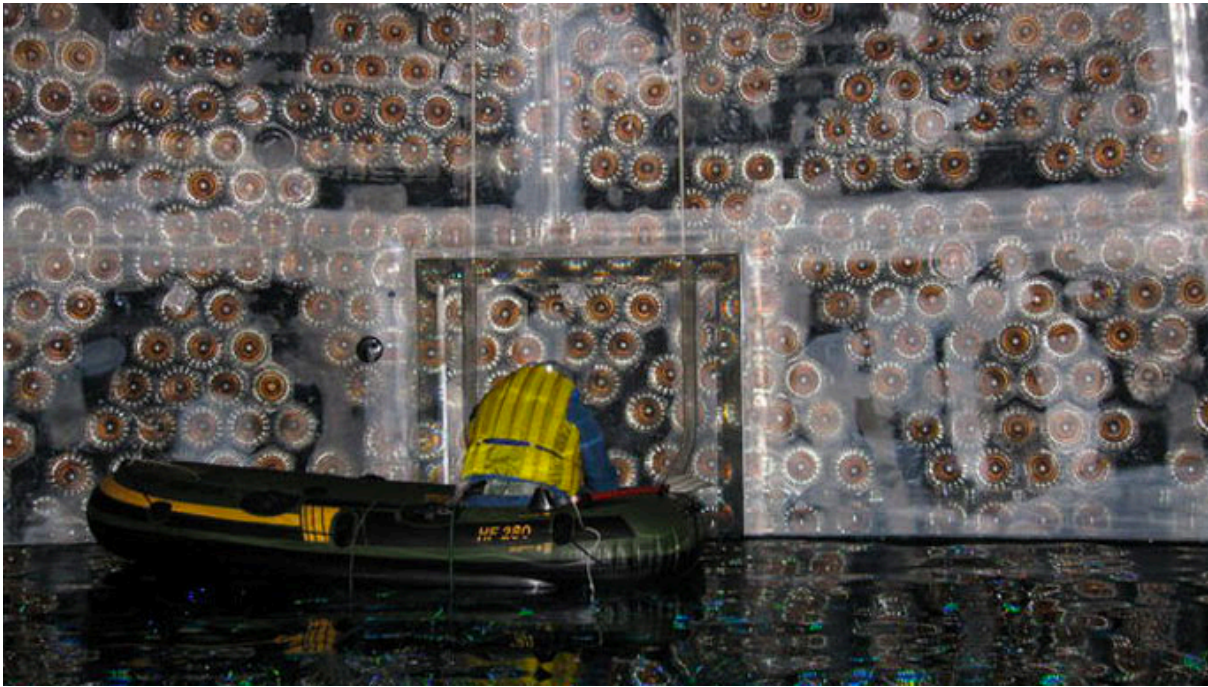


# Neutrino Oscillations - SuperK

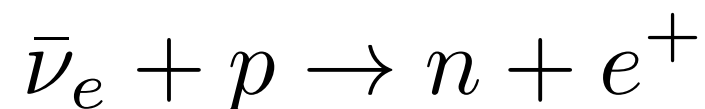
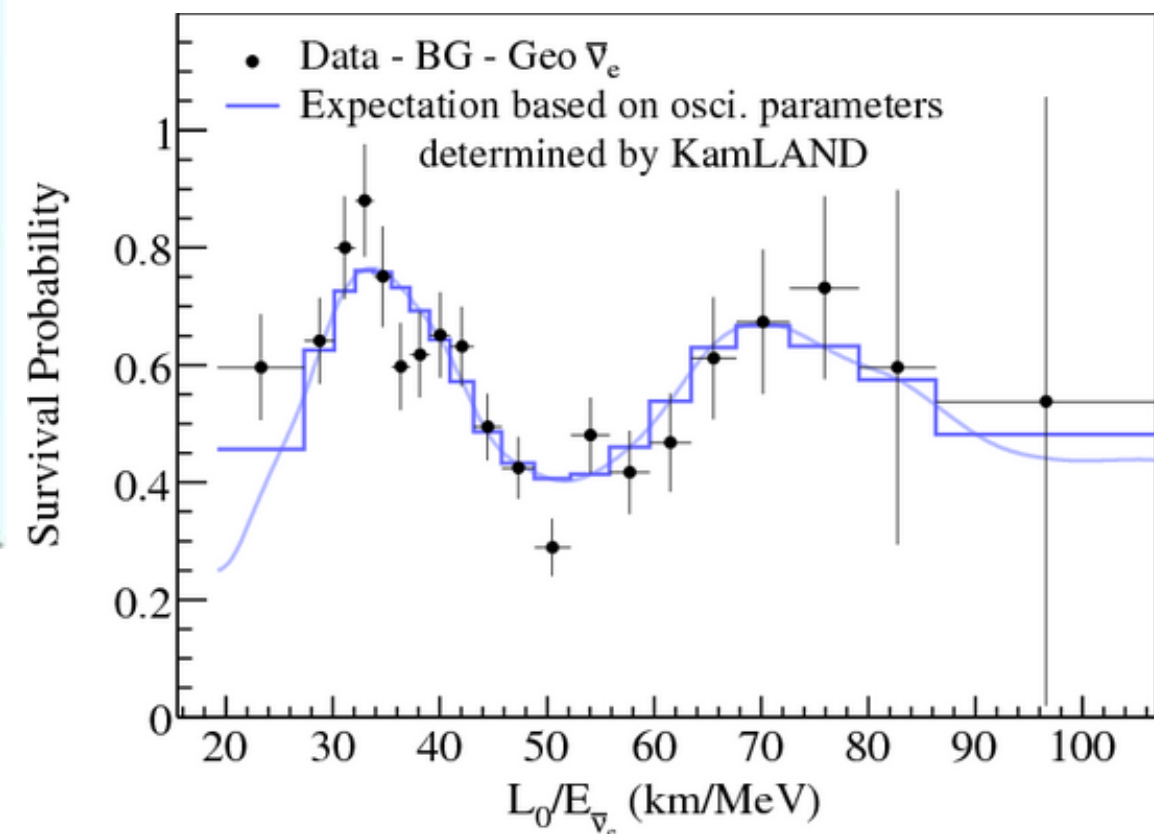
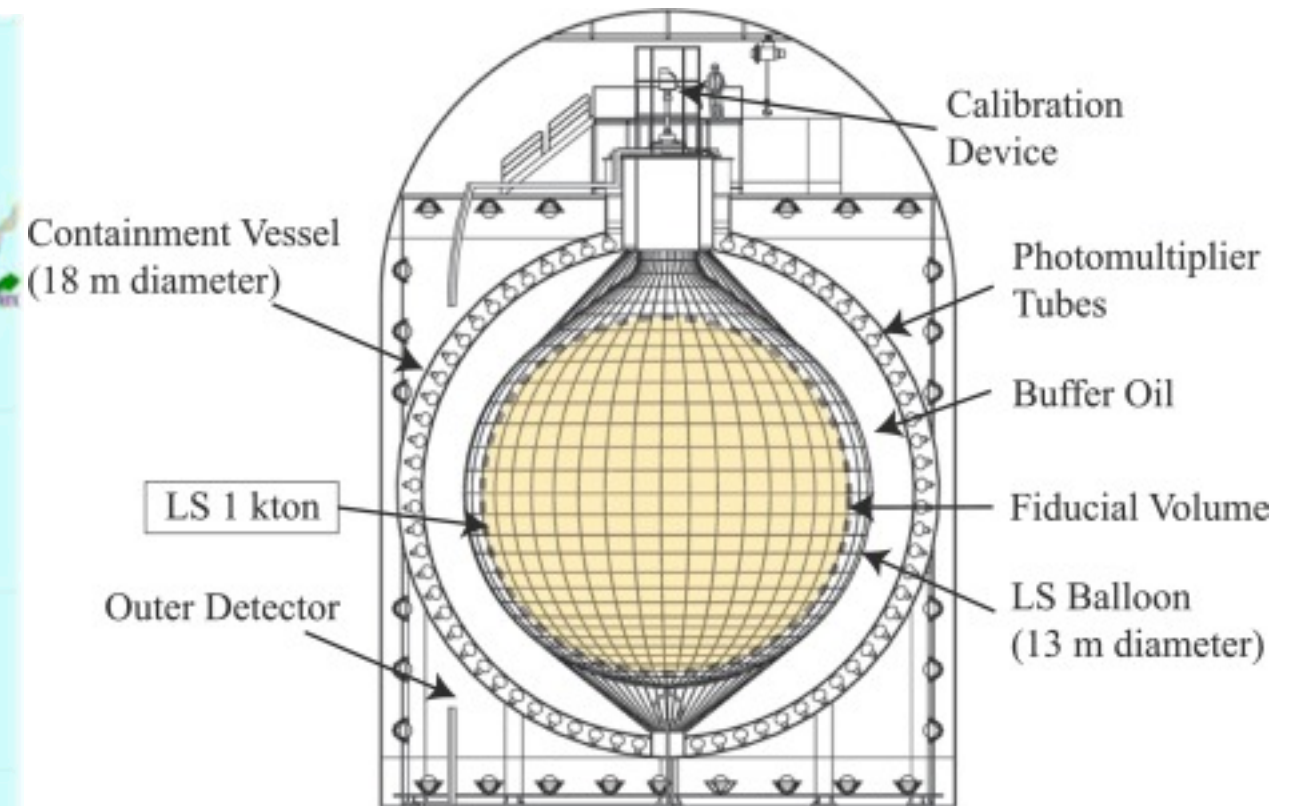




# Neutrino Oscillations - SNO

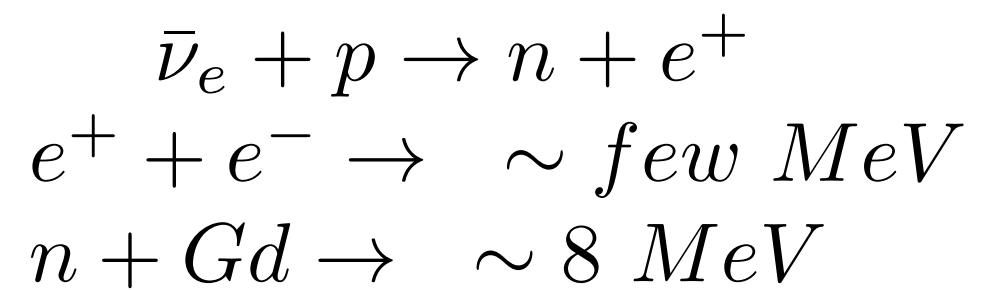
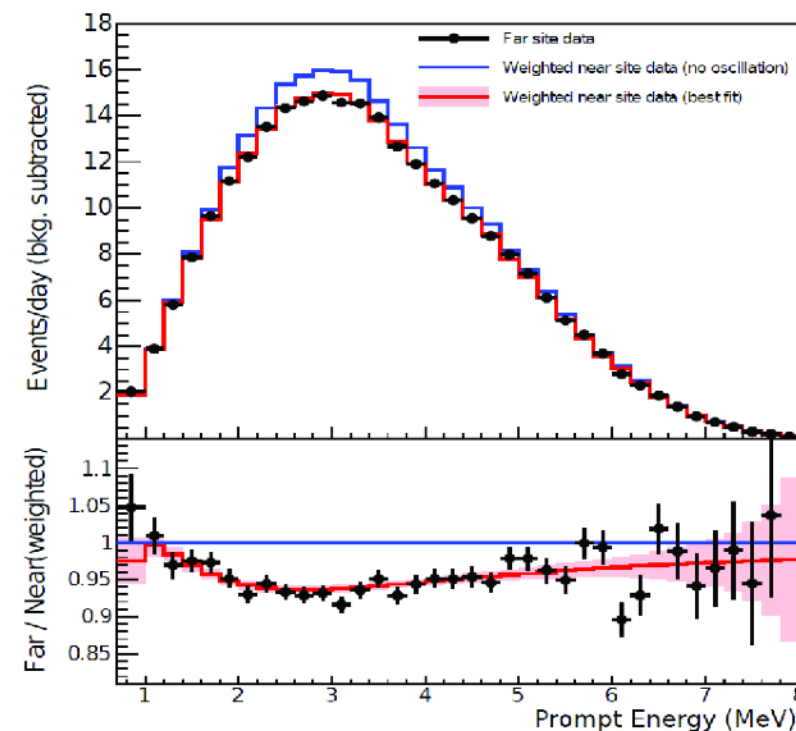
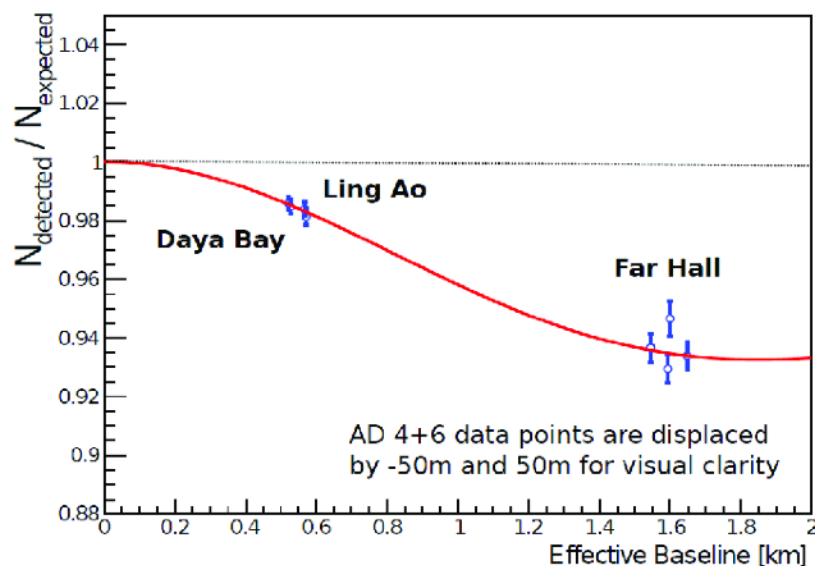
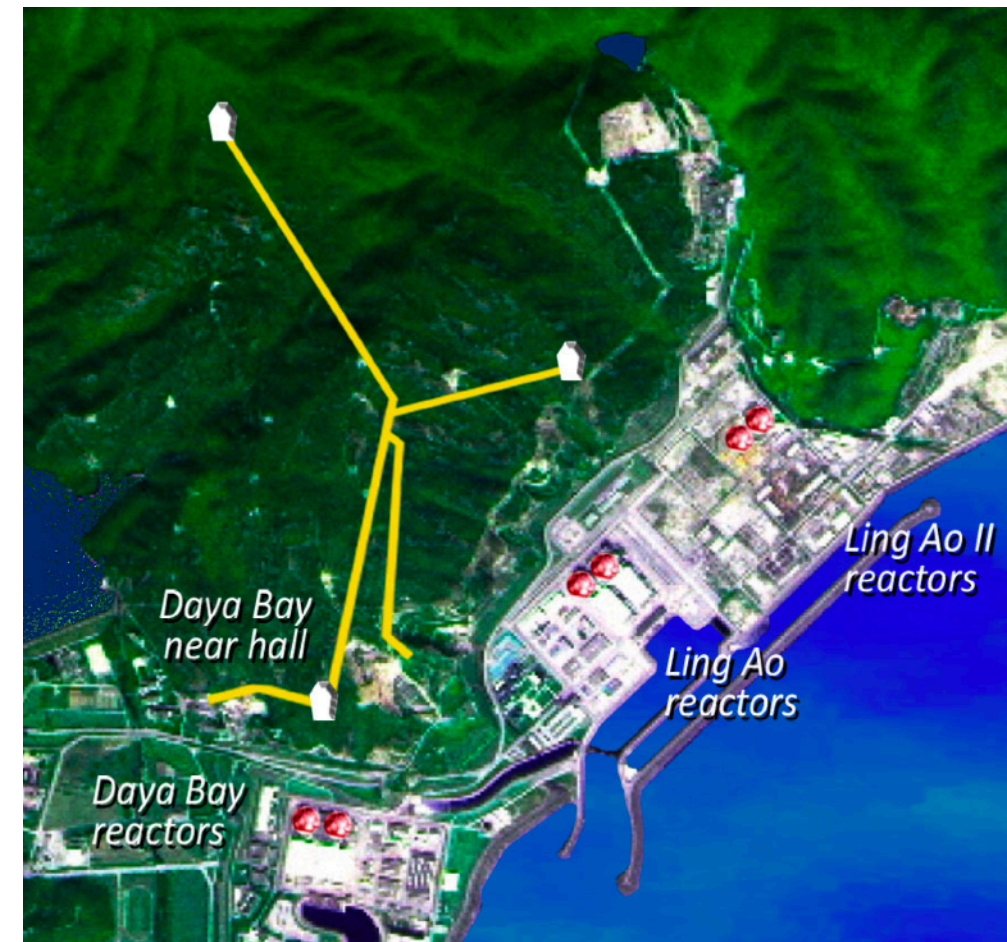


# Neutrino Oscillations - KamLAND





# Neutrino Oscillations - Daya Bay





# Oscillations

$|\nu_\alpha\rangle$  is a neutrino with definite flavor  $\alpha = e, \mu, \tau$

$|\nu_i\rangle$  is a neutrino with definite mass  $m_i, i = 1, 2, 3$

$$|\nu_i\rangle = \sum_{\alpha} U_{\alpha i} |\nu_\alpha\rangle$$

$$P_{\alpha \rightarrow \beta} = |\langle \nu_\beta(t) | \nu_\alpha \rangle|^2 = \left| \sum_i U_{\alpha i}^* U_{\beta i} e^{-im_i^2 L/2E} \right|^2$$

$$U_{\alpha i} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Atmospheric,  
Accelerator  
 $\theta \sim 45^\circ$

Reactor,  
Accelerator  
 $\theta \sim 9^\circ$

Solar,  
Reactor  
 $\theta \sim 32^\circ$

$0\nu\beta\beta$



# What we DO know about neutrinos

---

- ▶ Fermion: spin  $1/2$ , electrically neutral
- ▶ Only experience the weak force, rarely interacting with anything
- ▶ They come in three flavors associated with three other fundamental particles (the electron, muon and tau)
- ▶ They change, or oscillate, from one type to another
- ▶ Most abundant massive particles in the universe,  $340/\text{cm}^3$

# Neutrino Nobel Prizes:



1988

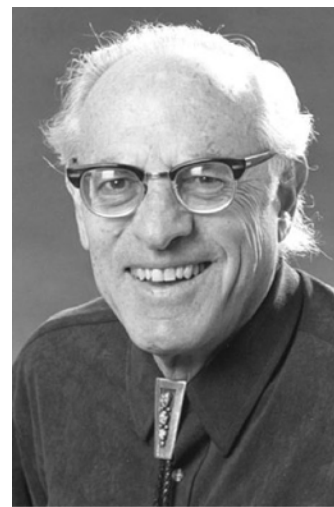
1995

2002

2015



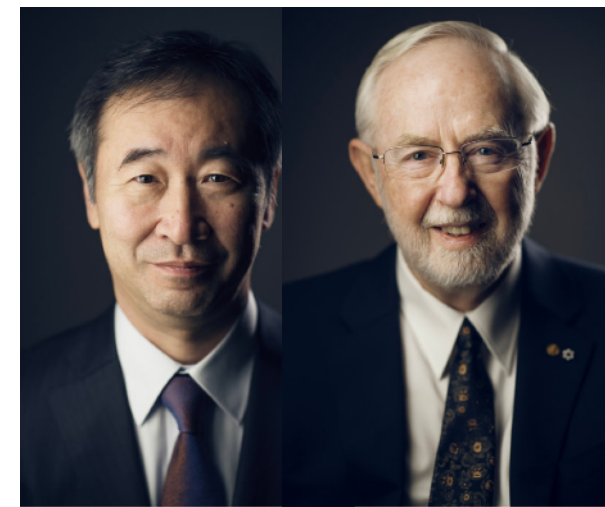
"neutrino beam method and the demonstration of the doublet structure of the leptons through the discovery of the muon neutrino."



"detection of the neutrino."

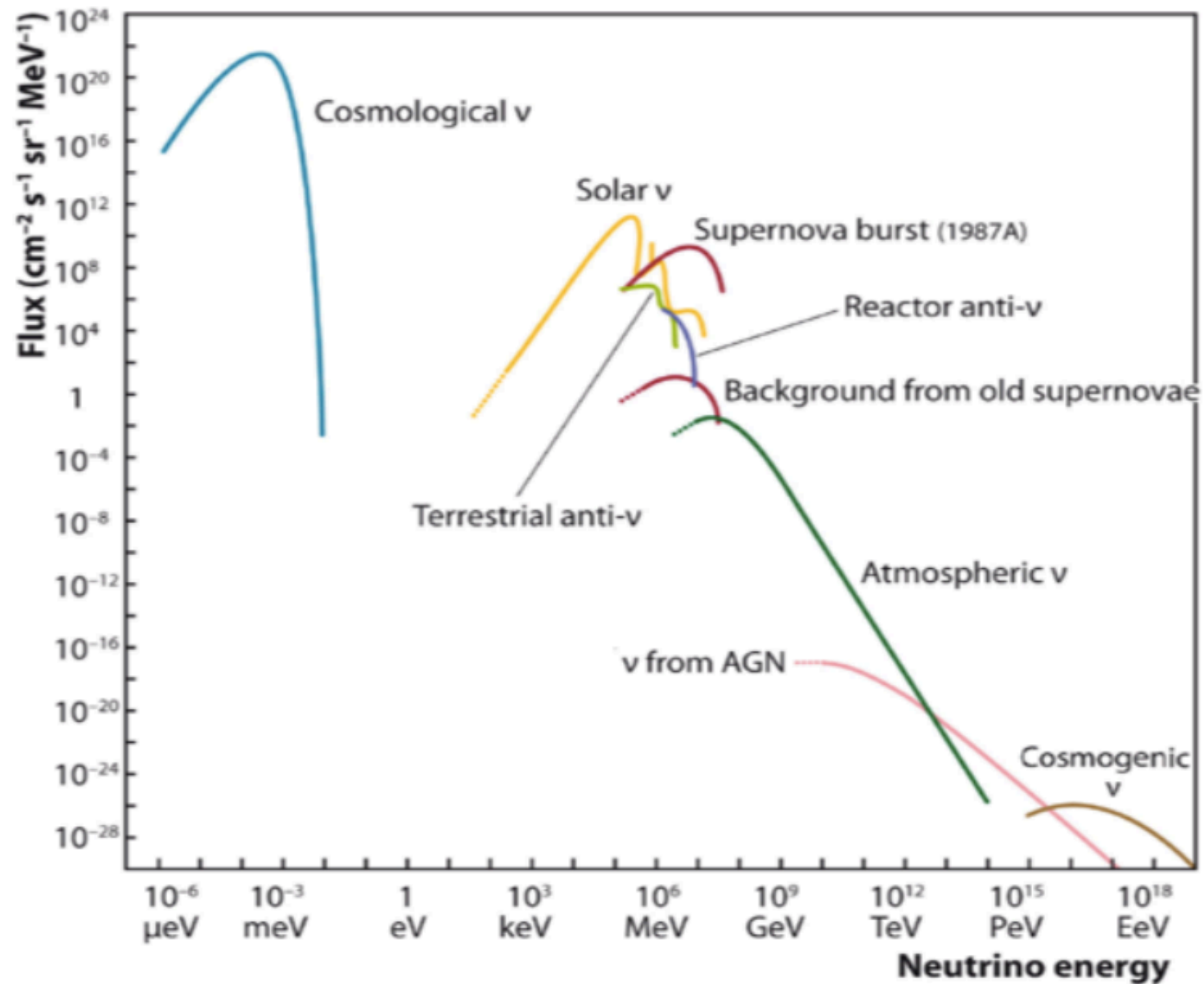


"pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos."



"discovery of neutrino oscillations, which shows that neutrinos have mass."

# Neutrino Sources





# What we still don't know!

---

- ▶ What is the absolute mass of the neutrino?
- ▶ Which neutrino is the heaviest?
- ▶ Are there more than three types of neutrinos?
- ▶ Do neutrinos and antineutrinos behave differently?
- ▶ What is the quantum nature of the neutrino – Dirac or Majorana?
- ▶ Is our picture of neutrinos correct?

# References

---

- ▶ <https://indico.mitp.uni-mainz.de/event/118/contribution/5/material/slides/0.pdf>
- ▶ <https://www.symmetrymagazine.org/article/june-2015/how-do-you-solve-a-puzzle-like-neutrinos>
- ▶ [https://indico.cern.ch/event/606690/contributions/2591378/attachments/1500857/2337439/CUORE\\_TAUP17.pdf](https://indico.cern.ch/event/606690/contributions/2591378/attachments/1500857/2337439/CUORE_TAUP17.pdf)
- ▶ <http://doublechooz.uchicago.edu/papers/discovery.pdf>
- ▶ <https://arxiv.org/pdf/1006.3244.pdf>
- ▶ <https://library.lanl.gov/cgi-bin/getfile?00326606.pdf>
- ▶ <https://doi.org/10.1016/j.nuclphysbps.2015.09.302>
- ▶ <https://arxiv.org/pdf/1803.08722.pdf>
- ▶ <https://indico.cern.ch/event/402462/attachments/806290/1104933/JournalClub.pdf>
- ▶ <https://visit.cern/cern-shop>
- ▶ <http://www.sns.ias.edu/~jnb/Papers/Popular/Scientificamerican69/scientificamerican69.html>
- ▶ <https://arxiv.org/pdf/hep-ph/9503430.pdf>
- ▶ [https://www.mpi-hd.mpg.de/lin/research\\_history.de.html](https://www.mpi-hd.mpg.de/lin/research_history.de.html)
- ▶ <http://iopscience.iop.org/article/10.1088/0026-1394/52/3/S146>
- ▶ [https://www.snolab.ca/users/services/gamma-assay/Talks\\_Presentations/Ian\\_Lawson\\_TAUP\\_2017.pdf](https://www.snolab.ca/users/services/gamma-assay/Talks_Presentations/Ian_Lawson_TAUP_2017.pdf)