

Origin of Mass

Lect. 2: Framework

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Principle of Least Action

The dynamics of a particle moving in 1D can be derived from the action

$$S = \int_{t_i}^{t_f} dt L(q, \dot{q})$$

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Principle of Least Action

The dynamics of a particle moving in 1D can be derived from the action

$$S = \int_{t_i}^{t_f} dt L(q, \dot{q})$$

Here, L is the Lagrangian

$$L(q, \dot{q}) = \frac{1}{2}m(\dot{q})^2 - V(q) \equiv T(\dot{q}) - V(q).$$

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The *Principle of Least Action*,

$$\delta S = 0,$$

yields the equation of motion of the particle

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}} \right) - \frac{\partial L}{\partial q} = 0.$$

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Interlude: Functionals

A functional has the generic form

$$F[f] = \int dx F(f(x)).$$

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A functional has the generic form

$$F[f] = \int dx F(f(x)).$$

The notion of derivatives can be generalized

$$\frac{\delta F(f(x))}{\delta f(y)} = \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon} \left[F(f(x) - \epsilon \delta(x - y)) - F(f(x)) \right].$$

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Functional derivative verifies all the properties of ordinary derivatives.

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The action is a functional

$$S[q] = \int_{t_i}^{t_f} dt L(q, \dot{q})$$

The Euler-Lagrange equation is a functional extremum of the action:

$$\frac{\delta S[q]}{\delta q(t)} = -\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}} \right) + \frac{\partial L}{\partial q} = 0.$$

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- ▶ Systems with an infinite number of dof are described by fields ϕ

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- ▶ Fields ϕ and their derivatives $\partial^\mu \phi$ generalize q and \dot{q}

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- ▶ In order to test the systems, sources have to be introduced

$$S[\phi, J] = S[\phi] + \int d^4x J(x)\phi(x)$$

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- ▶ In order to test the systems, sources have to be introduced

$$S[\phi, J] = S[\phi] + \int d^4x J(x)\phi(x)$$

- ▶ Equations of motion follow from the extremum condition for the action

$$\frac{\delta S[\phi, J]}{\delta \phi(x)} = 0.$$

Quantum Fields

- ▶ Elementary particles are described by relativistic quantum fields

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Quantum Fields

- ▶ Elementary particles are described by relativistic quantum fields
- ▶ Fields can be quantized in the canonical formalism or within the path integral approach

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- ▶ Fields can be quantized in the canonical formalism or within the path integral approach
- ▶ The Euler-Lagrange equations are valid only as expectation value

$$\langle 0 \left| \frac{\delta S[\phi, J]}{\delta \phi(x)} \right| 0 \rangle^J.$$

- ▶ The full quantum equation of motion (Schwinger-Dyson equation) has the generic form

$$F \left(\phi_c(x) - i\hbar \frac{\delta}{\delta J(x)} \right) - J(x) = 0 .$$

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$$F(\phi_c(x)) - J(x) = 0 .$$

- ▶ F depends on the details of the specific theory.

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- ▶ Particle Physics is described in terms of quantum gauge field theories

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- ▶ The generic form of the Lagrangian is

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- ▶ The generic form of the Lagrangian is

$$\mathcal{L} = \text{Matter fields} + \text{Gauge fields} + \text{Interactions.}$$

- ▶ Interactions are derived from the gauge principle

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- ▶ Matter fields are Dirac fermions

$$\mathcal{L}_{\text{matter}} = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$$

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- ▶ Matter fields are Dirac fermions

$$\mathcal{L}_{\text{matter}} = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$$

- ▶ The bare propagator has the form

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 - ▶ Global \Rightarrow Charge conservation
 - ▶ Local \Rightarrow Interactions with gauge fields

► In Classical Electrodynamics

$$\mathcal{L}_{em} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu}, \quad F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu.$$

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- ▶ The Lagrangian is invariant under the gauge transformations

$$A_\mu \rightarrow A'_\mu = A_\mu + \partial_\mu \Lambda(x)$$

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$$A_\mu \rightarrow A'_\mu = A_\mu + \partial_\mu \Lambda(x)$$

- ▶ In the quantum theory, A_μ is the photon wavefunction

- ▶ Demanding invariance of the matter Lagrangian under local gauge transformations requires to replace $\partial_\mu \rightarrow D_\mu$

Interactions

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 - ▶ $A_\mu \Rightarrow$ photon field
- ▶ The interaction Lagrangian

$$\mathcal{L}_{int} = -e\bar{\psi}\gamma^\mu\psi A_\mu$$

Interactions

► In QCD,

$$\psi \rightarrow \psi' = e^{i\frac{1}{2} \sum_j \lambda_j \alpha_j(x)} \psi$$

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► In QCD,

► $\psi \rightarrow \psi' = e^{i\frac{1}{2} \sum_j \lambda_j \alpha_j(x)} \psi$

► $SU(3)$, Non-Abelian gauge transformation

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► $[\lambda_i, \lambda_j] = 2if_{ijk} \lambda_k$

► In QCD,

- $\psi \rightarrow \psi' = e^{i\frac{1}{2} \sum_j \lambda_j \alpha_j(x)} \psi$
- $SU(3)$, Non-Abelian gauge transformation
 - $[\lambda_i, \lambda_j] = 2if_{ijk} \lambda_k$
- $D_\mu \equiv \partial_\mu + i\frac{g}{2} \sum_j \lambda_j G_\mu^j$

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- $G_\mu^i \Rightarrow$ gluon fields

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$$\mathcal{L}_{int} = -\frac{g}{2} \sum_j \bar{\psi} \gamma^\mu \lambda_j \psi G_\mu^j$$

QCD Lagrangian

The gauge invariant gluon field-strength tensor is defined as

$$G_{\mu\nu}^i \equiv \partial_\mu G_\nu^i - \partial_\nu G_\mu^i - g \sum_{j,k} f_{ijk} G_\mu^j G_\nu^k$$

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The full QCD Lagrangian is

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi - \frac{g}{2} \sum_j \bar{\psi} \gamma^\mu \lambda_j \psi G_\mu^j - \frac{1}{4} \sum_j G_{\mu\nu}^j G_j^{\mu\nu}$$

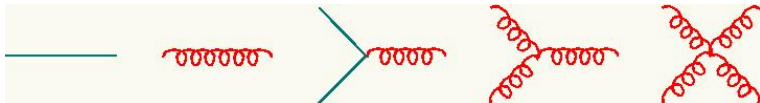
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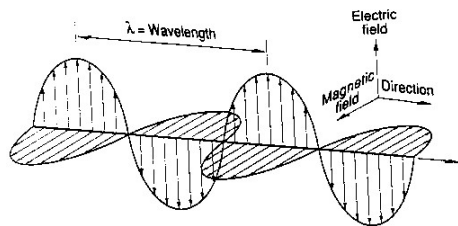
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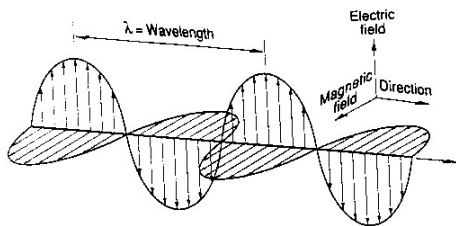
Photon and Gauge Freedom

- ▶ Electromagnetic waves are transverse



Photon and Gauge Freedom

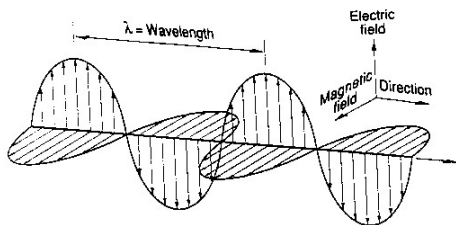
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- ▶ Photons are transverse

Photon and Gauge Freedom

- ▶ Electromagnetic waves are transverse

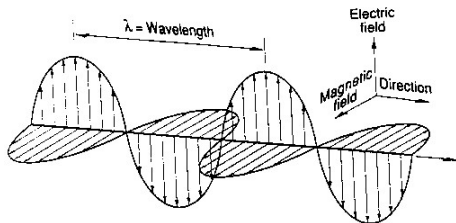


- ▶ Photons are transverse
- ▶ Yet, their wavefunction seems too big...

$$A^\mu = \begin{pmatrix} A^0 \\ A^1 \\ A^2 \\ A^3 \end{pmatrix} \begin{array}{l} \rightarrow \text{Scalar} \\ \rightarrow \text{Transverse} \\ \rightarrow \text{Transverse} \\ \rightarrow \text{Longitudinal} \end{array}$$

Photon and Gauge Freedom

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$$A^\mu = \begin{pmatrix} A^0 \\ A^1 \\ A^2 \\ A^3 \end{pmatrix} \begin{array}{ll} \rightarrow \text{Scalar} & \rightarrow \text{Negative Norm!} \\ \rightarrow \text{Transverse} & \rightarrow \text{Positive Norm} \\ \rightarrow \text{Transverse} & \rightarrow \text{Positive Norm} \\ \rightarrow \text{Longitudinal} & \rightarrow \text{Positive Norm} \end{array}$$

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- ▶ Arbitrary amounts of energy by creating scalar photons

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- ▶ Scalar and longitudinal photons are unphysical

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- ▶ Arbitrary amounts of energy by creating scalar photons
- ▶ Scalar and longitudinal photons are unphysical
- ▶ Gupta-Bleuler: Lorentz condition on state vectors in Hilbert space

- ▶ $A_{\mu} = A_{\mu}^{(+)} + A_{\mu}^{(-)}$

Photon and Gauge Freedom

- ▶ Arbitrary amounts of energy by creating scalar photons
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- ▶ $A_\mu = A_\mu^{(+)} + A_\mu^{(-)}$

- ▶ $\partial^\mu A_\mu^{(+)}|\Phi\rangle = 0$

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 - ▶ $A_\mu = A_\mu^{(+)} + A_\mu^{(-)}$
 - ▶ $\partial^\mu A_\mu^{(+)}|\Phi\rangle = 0$
- ▶ Expectation values for the number of scalar and longitudinal photons are equal in admissible physical states

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 - ▶ $A_\mu = A_\mu^{(+)} + A_\mu^{(-)}$
 - ▶ $\partial^\mu A_\mu^{(+)}|\Phi\rangle = 0$
- ▶ Expectation values for the number of scalar and longitudinal photons are equal in admissible physical states
- ▶ All states having the same configuration of transverse photons are physically equivalent

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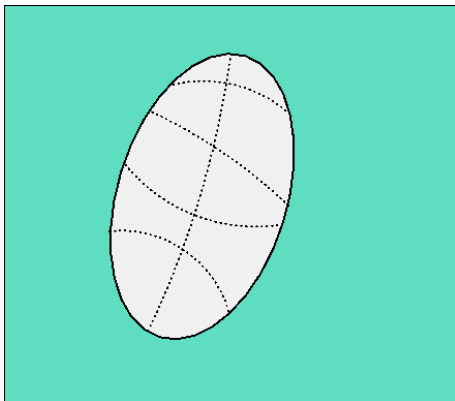
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Photon Fock space



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- ▶ To obtain the propagator

$$\mathcal{L}_{em} = A_\nu [g^{\mu\nu} \square - \partial^\mu \partial^\nu] A_\mu \equiv A_\nu D^{\nu\mu} A_\mu$$

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- ▶ Need to fix the gauge

$$\mathcal{L}_{em} = A_\nu [g^{\mu\nu} \square - \partial^\mu \partial^\nu] A_\mu + \frac{1}{2\xi} (\partial_\mu A^\mu)^2$$

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$$\begin{aligned}\mathcal{L}_{em} &= A_\nu [g^{\mu\nu} \square - \partial^\mu \partial^\nu] A_\mu + \frac{1}{2\xi} (\partial_\mu A^\mu)^2 \\ &= A_\nu \left[g^{\mu\nu} \square - \frac{1-\xi}{\xi} \partial^\mu \partial^\nu \right] A_\mu\end{aligned}$$

Photon and Gauge Freedom

- ▶ To obtain the propagator

$$\mathcal{L}_{em} = A_\nu [g^{\mu\nu} \square - \partial^\mu \partial^\nu] A_\mu \equiv A_\nu D^{\nu\mu} A_\mu$$

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Photon and Gauge Freedom

- ▶ The bare propagator is

$$\Delta_{\mu\nu}^{(0)}(q) = \frac{1}{q^2} \left(g_{\mu\nu} + (\xi - 1) \frac{q_\mu q_\nu}{q^2} \right)$$

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$$\Delta_{\mu\nu}(q) = \frac{\mathcal{G}(q)}{q^2} \left(g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) + \xi \frac{q_\mu q_\nu}{q^4}$$

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- ▶ The full propagator is

$$\begin{aligned} \Delta_{\mu\nu}(q) &= \frac{\mathcal{G}(q)}{q^2} \left(g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) + \xi \frac{q_\mu q_\nu}{q^4} \\ &= \Delta_{\mu\nu}^T(q) + \Delta_{\mu\nu}^L(q) \end{aligned}$$

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- ▶ A similar reasoning can be applied to gluons

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$$\mathcal{L}_{gf} = -\frac{1}{2\xi} (\partial^\mu G_\mu^j)^2$$

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- ▶ A similar reasoning can be applied to gluons
- ▶ We can add to the QCD Lagrangian a gauge fixing term

$$\mathcal{L}_{gf} = -\frac{1}{2\xi} (\partial^\mu G_\mu^j)^2$$

- ▶ The remaining unphysical dof can be taken care of introducing *ghost fields*

$$\mathcal{L}_{ghost} = \partial_\mu c_i^* (\partial^\mu \delta^{ij} + gf^{ijk} G_k^\mu) c_j.$$

Gluons and Gauge Freedom

- ▶ A similar reasoning can be applied to gluons
- ▶ We can add to the QCD Lagrangian a gauge fixing term

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- ▶ Ghosts are anticommuting scalar fields.

Gluons and Gauge Freedom

- ▶ We need to include ghost propagators and ghost-gluon vertex

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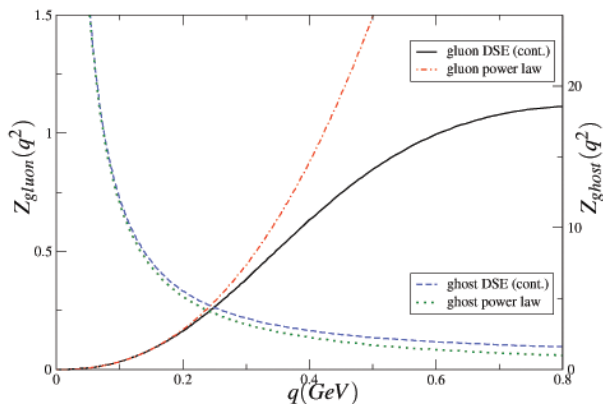
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Aspects of Confinement



Adapted from Braz. J. Phys. 37 201 (2007).

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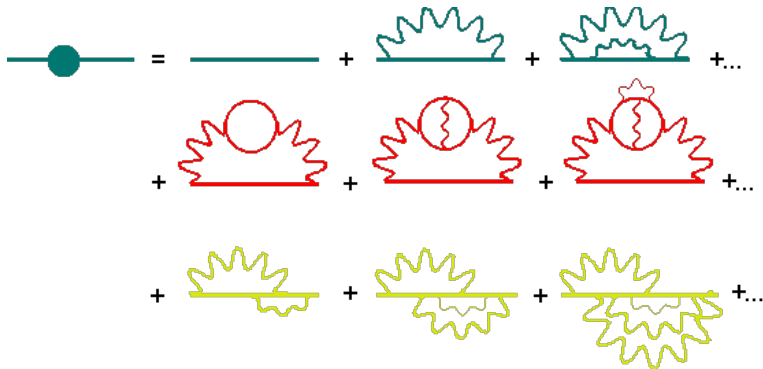
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- ▶ These relations are non perturbative in nature
- ▶ They provide a natural platform to study DCSB and Confinement in gauge theories

Electron Propagator in QED

In perturbation theory



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Define the self-energy $\Sigma(p)$



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In terms of $\Sigma(p)$



Electron Propagator in QED

Define the self-energy $\Sigma(p)$



In terms of $\Sigma(p)$



This corresponds to the expression

$$S_F(p) = S_F^{(0)}(p) + S_F^{(0)}(p)\Sigma(p)S_F^{(0)}(p) \\ + S_F^{(0)}(p)\Sigma(p)S_F^{(0)}(p)\Sigma(p)S_F^{(0)}(p) + \dots$$

Electron Propagator in QED

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Combining these two expressions

$$S_F(p) = S_F^{(0)}(p) + S_F^{(0)}(p)\Sigma(p)S_F(p)$$

Electron Propagator in QED

It is customary to re-write this expression for the inverse electron propagator

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Diagrammatically, it corresponds to



SDE in QED

► Electron propagator

$$\text{Teal line with teal circle}^{-1} = \text{Teal line with teal circle}^{-1} - \text{Teal line with teal and yellow circles and red wavy line}$$

► Electron propagator

$$\text{---} \bullet \text{---}^{-1} = \text{---}^{-1} - \text{---} \bullet \text{---} \text{---} \bullet \text{---}$$

► Photon propagator

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▶ Electron-photon vertex

$$\text{---} \bullet \text{---} = \text{---} \bullet \text{---} + \text{---} \bullet \text{---} \text{---}$$

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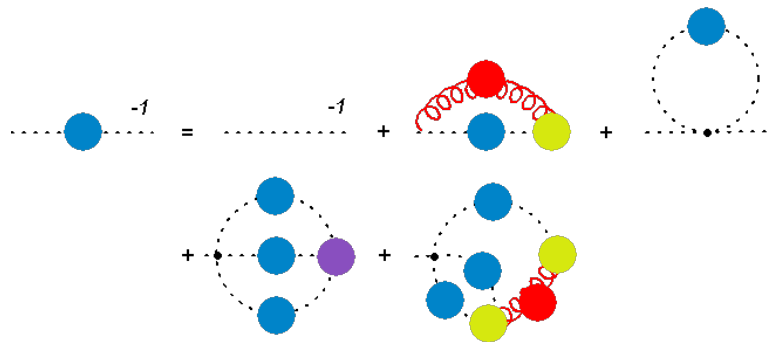
SDE in QCD



SDE in QCD

$$\text{Quark line with self-energy}^{-1} = \text{Bare quark line}^{-1} + \text{Ghost loop} + \text{Gluon loop} + \text{Ghost loop} + \text{Gluon loop} + \text{Ghost loop} + \text{Gluon loop}$$

SDE in QCD



Gap equation in QCD

The diagram illustrates the gap equation for a fermion in QCD. It consists of three terms:

- On the left, a horizontal line representing a fermion with a self-energy loop (a teal circle) attached to it. A red -1 is written above the loop.
- In the middle, an equals sign ($=$).
- On the right, a horizontal line representing a fermion with a self-energy loop (a teal circle) attached to it. A red -1 is written above the loop.
- To the right of this is a minus sign ($-$).
- Finally, a diagram of a ghost loop: a horizontal line representing a fermion with a teal circle and a yellow circle attached to it. A red wavy line (ghost) forms a loop between the teal and yellow circles, with a red circle at the top vertex.

Gap equation in QCD



$$S_F^{-1}(p) = Z_2 S_F^{(0)-1}(p) + g^2 Z_{1F} C_F \int \frac{d^4 k}{16\pi^4} \gamma_\mu S_F(k) \Gamma_\nu(k, p) \Delta_{\mu\nu}(k - p)$$

Gap equation in QCD

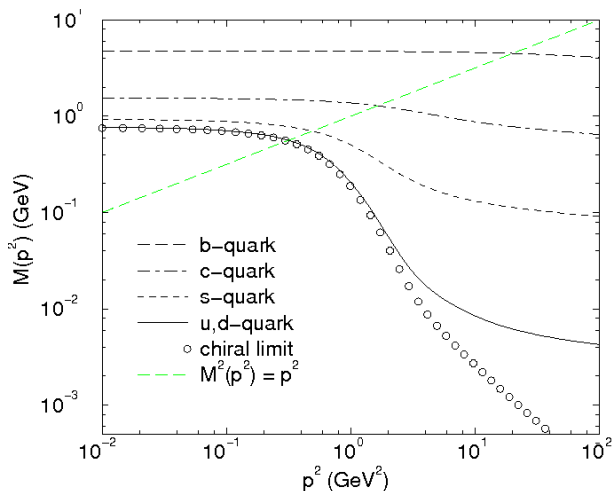


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The most general form of the quark propagator is

$$S_F(p) = \frac{1}{\not{p}A(p^2) + B(p^2)} = \frac{F(p^2)}{\not{p} - M(p^2)}$$

Dynamical Mass Generation



Adapted from nucl-th/0007054.

Confinement and the Axiom of Reflexion Positivity

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- ▶ For the quark propagator, the Axiom implies that

$$\begin{aligned}\Delta(t) &= \int d^3x \int \frac{d^4p}{(2\pi)^4} e^{i(tp_4 + \vec{x} \cdot \vec{p})} \sigma(p^2) \\ &= \frac{1}{\pi} \int_0^\infty dp_4 \cos(tp_4) \sigma(p_4^2) \geq 0,\end{aligned}$$

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with

$$\sigma(p^2) = \frac{F(p^2)M(p^2)}{p^2 + M^2(p^2)}.$$

Confinement and Axiom of Reflexion Positivity

- ▶ If there exists an asymptotic stable state associated to this propagator,

$$\Delta(t) \sim e^{-mt} \Rightarrow - \lim_{t \rightarrow \infty} \frac{d}{dt} \ln |\Delta(t)| = m$$

Confinement and Axiom of Reflexion Positivity

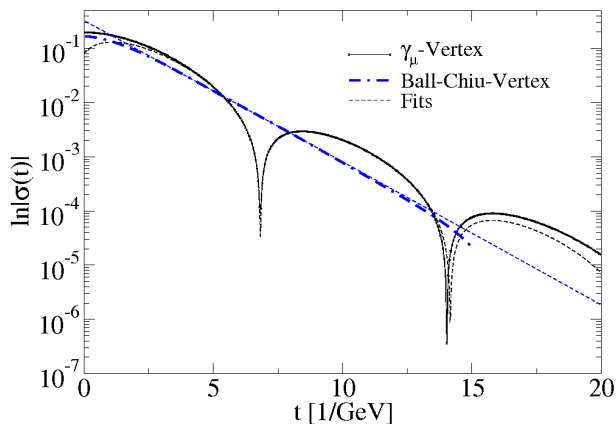
- ▶ If there exists an asymptotic stable state associated to this propagator,

$$\Delta(t) \sim e^{-mt} \Rightarrow - \lim_{t \rightarrow \infty} \frac{d}{dt} \ln |\Delta(t)| = m$$

- ▶ For complex mass-like singularities $\mu = a \pm ib$

$$\Delta(t) \sim e^{-at} \cos(bt + \delta)$$

Confinement Test



Adapted from J. Phys. G32, R253 (2006).

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