

Hadronic tau decays and the strong coupling

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Kim Maltman, Santiago Peris

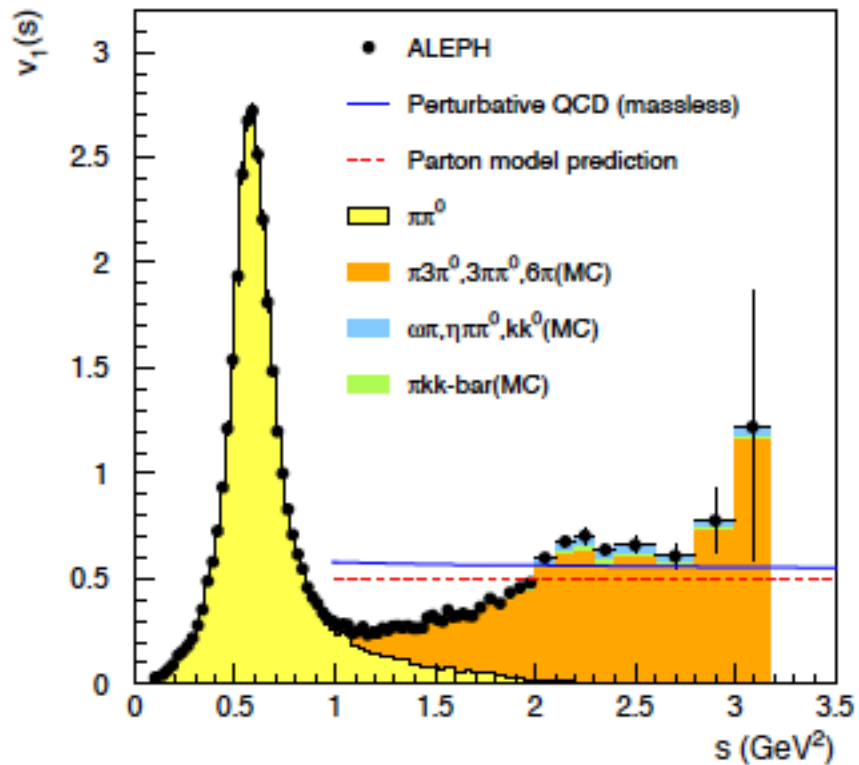
(also: Oscar Catà, Matthias Jamin, Andy Mahdavi,
James Osborne)

Mini Workshop on Tau Physics, Cinvestav, Mexico City
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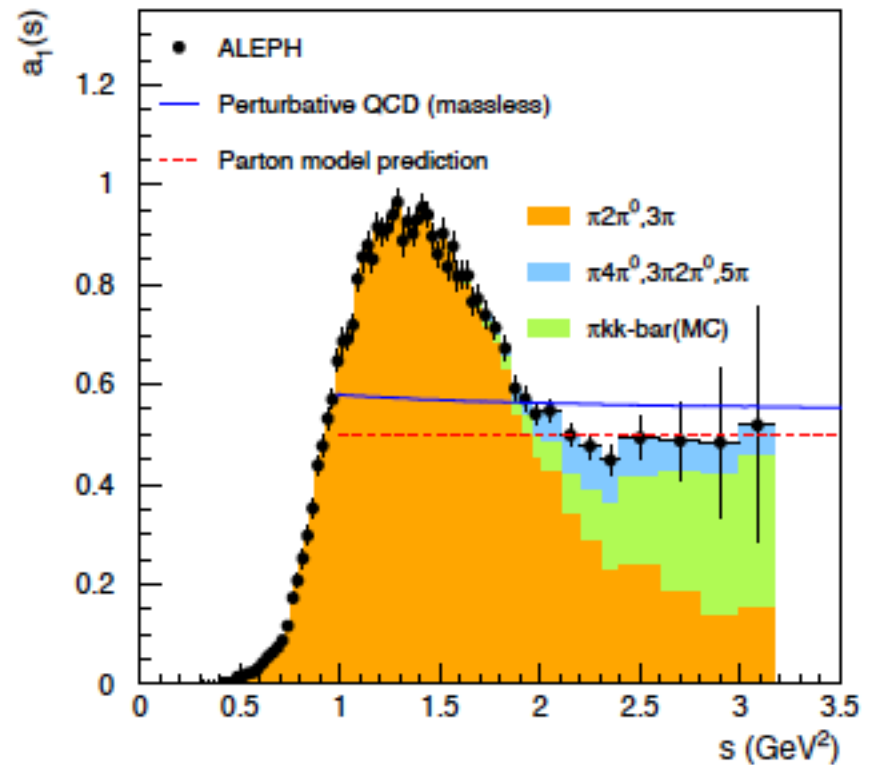
Values for the strong coupling from tau decays (ALEPH data):

- Pich, Rodríguez-Sánchez (PRD94 ('16) 034027): $\alpha_s(m_\tau^2) = 0.328(12)$
Davier *et al.* (EPJC74 ('14) 2803): $\alpha_s(m_\tau^2) = 0.332(12)$
Boito *et al.* (PRD91 ('15) 034003, 95 ('17) 034024): $\alpha_s(m_\tau^2) = 0.301(10)$
(including OPAL data: $\alpha_s(m_\tau^2) = 0.309(9)$)
- PDG (2016):
At the Z mass (from tau): $\alpha_s(m_Z^2) = 0.1192(18)$ $\alpha_s(m_\tau^2) = 0.325(15)$
lattice: $\alpha_s(m_Z^2) = 0.1184(11)$ $\alpha_s(m_\tau^2) = 0.315(9)$
world average w/o tau: $\alpha_s(m_Z^2) = 0.1179(11)$ $\alpha_s(m_\tau^2) = 0.314(9)$
- Can we do better? Note disagreements well outside errors!
- *Technical note:* these are averages between CIPT and FOPT values.

Experimental data



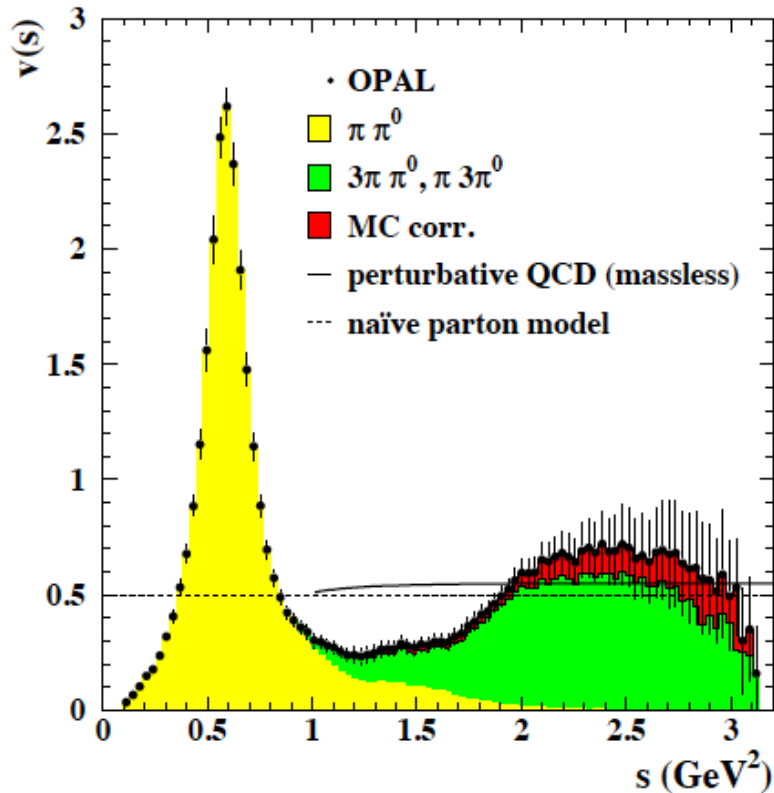
vector non-strange



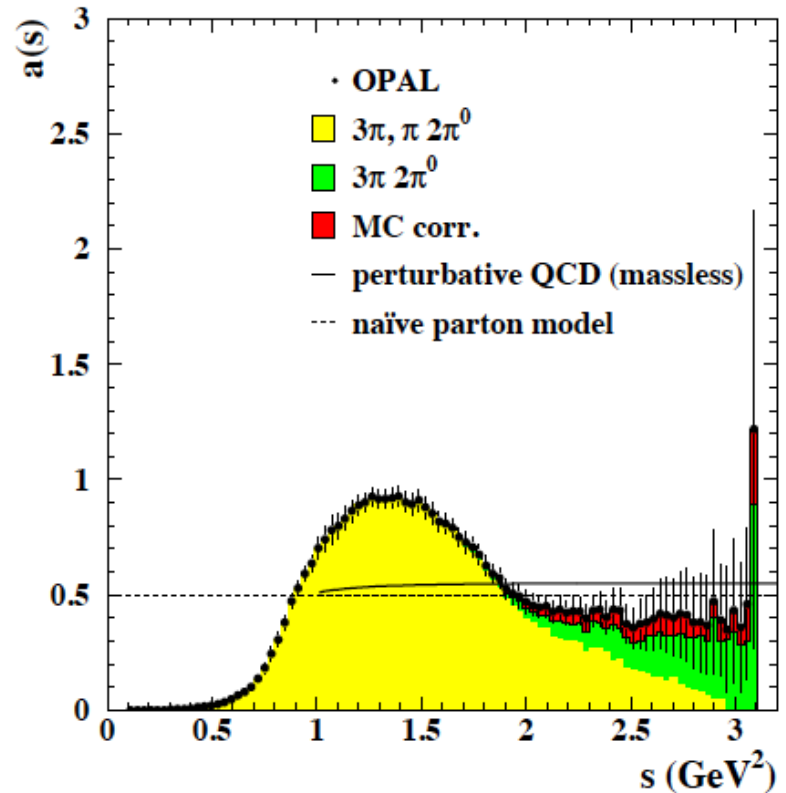
axial non-strange

ALEPH, Davier *et al.* (EPJC74 (2014) 2803)

Experimental data



vector non-strange

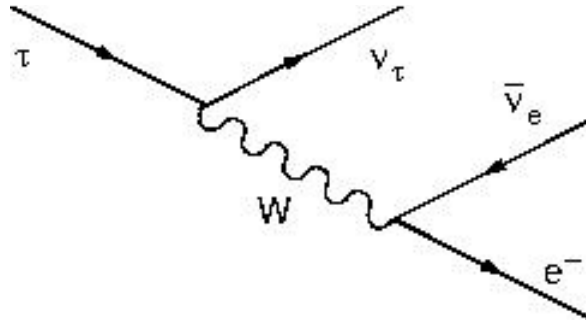


axial non-strange

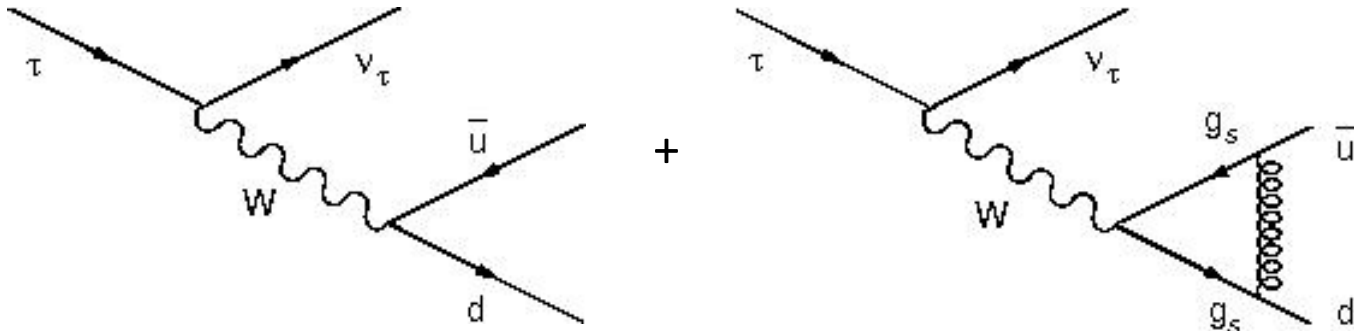
OPAL, Ackerstaff *et al.* (EPJC7 (1999) 571)

τ decays

leptonic:



hadronic:

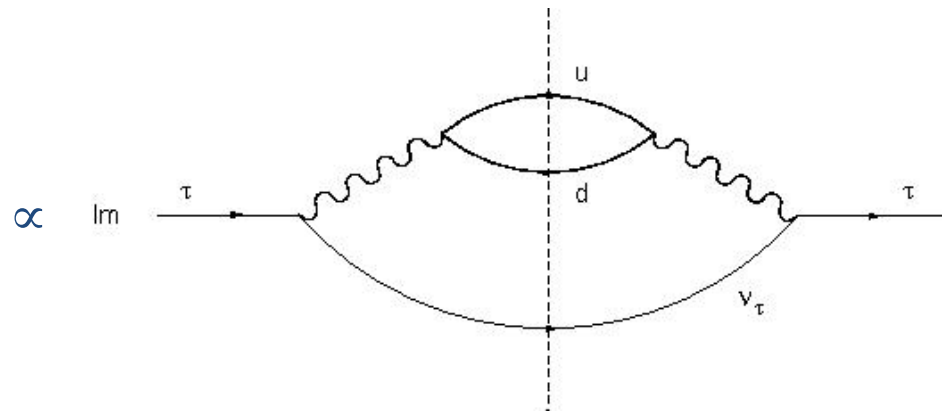
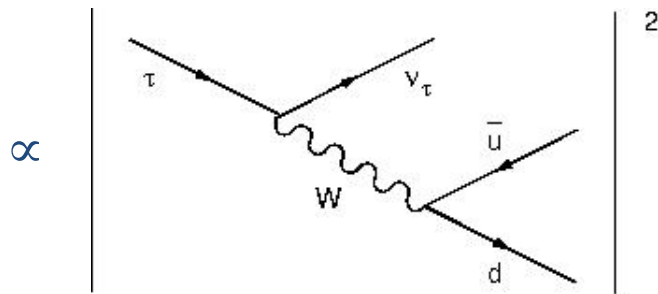


$$R_{\tau}^{ud} = \frac{\Gamma \rightarrow \nu_{\tau} \text{hadrons}_{ud}}{\Gamma \rightarrow \nu_{\tau} e \bar{\nu}_e} = 3S_{EW} |V_{ud}|^2 \left[1 + \frac{\alpha_s}{\pi} + 5.2 \left(\frac{\alpha_s}{\pi} \right)^2 + \dots \right]$$

- use this to determine $\alpha_s(m_{\tau})$ from non-strange decays
- see $\tau \rightarrow \nu_{\tau} \rho^* \rightarrow \nu_{\tau}$ pions, not $\tau \rightarrow \nu_{\tau}$ jets
 $\rho^* = \rho(770), \rho(1450), \rho(1700)$ (and others: incl. axial, kaons, ...)

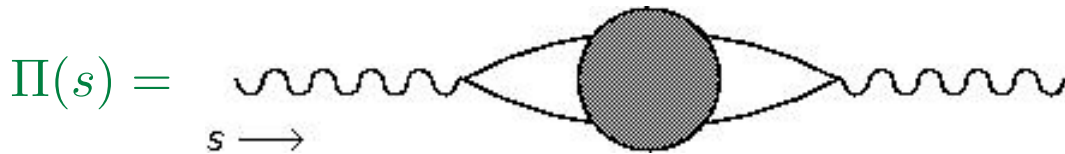
→ relation with perturbative regime?

$\Gamma(\tau \rightarrow \nu_\tau \text{hadrons}_{ud})$



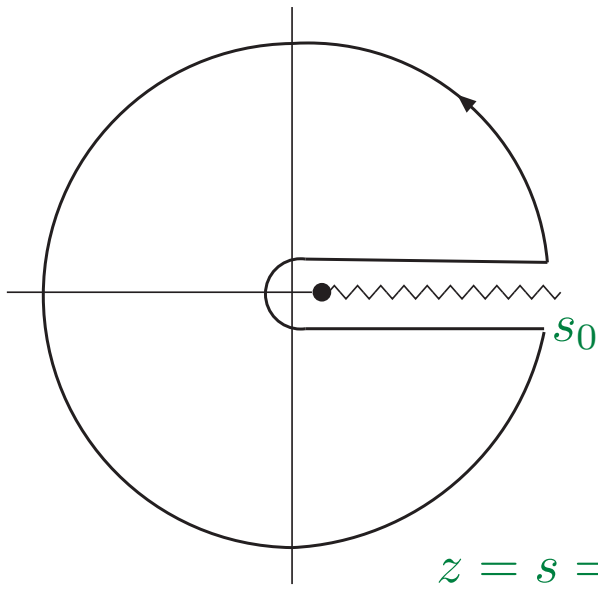
(optical theorem)

$$R_\tau^{ud}(s_0) = 12\pi S_{EW} |V_{ud}|^2 \int_0^{s_0} \frac{ds}{s_0} \left(1 - \frac{s}{s_0}\right)^2 \left(1 + \frac{2s}{s_0}\right) \underbrace{\text{Im } \Pi(s)}_{= \pi\rho(s)}$$



$$s = (q_W)^2$$

$0 \leq s \leq s_0 = m_\tau^2$ depending on how much momentum ν_τ carries away



$$\rho(s) = \frac{1}{\pi} \text{Im} \Pi(s)$$

inclusive non-strange spectral function,
measured in tau decays (ALEPH, OPAL)

$\Pi(z = q^2 = s)$ current two point function,
gives access to **strong coupling**

$z = s = q^2$ plane

polynomial weight

Cauchy:

$$\int_0^{s_0} ds w(s) \rho(s) = -\frac{1}{2\pi i} \oint_{|z|=s_0} dz w(z) \Pi(z)$$

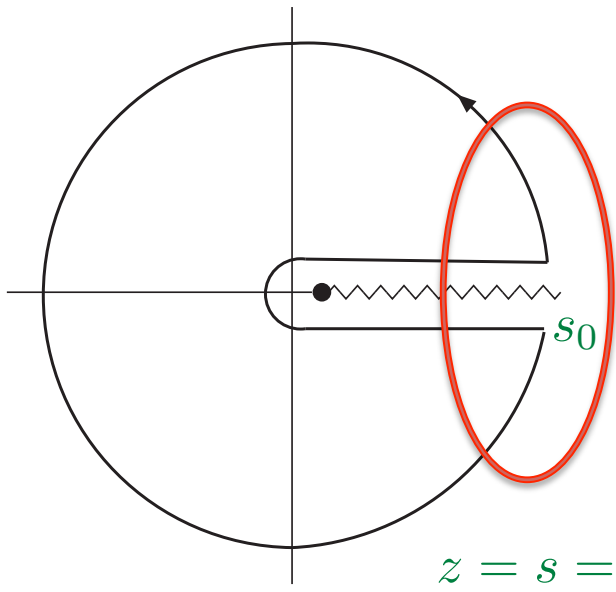
$$\Pi(z) = \Pi^{\text{pert}}(z) + \Pi_{\text{OPE}}^{\text{nonpert}}(z) + \Pi_{\text{DV}}^{\text{nonpert}}(z)$$

↑
 α_s

↑

↑
resonances

$$\Pi_{\text{OPE}}(q^2) = \frac{C_4}{q^4} - \frac{C_6}{q^6} + \frac{C_8}{q^8} - \dots$$



$$\rho(s) = \frac{1}{-i} \text{Im} \Pi(s)$$

OPE not valid!!

D_eV_{il} of Duality Violations:
resonances

polynomial weight

Cauchy:

$$\int_0^{s_0} ds w(s) \rho(s) = -\frac{1}{2\pi i} \oint_{|z|=s_0} dz w(z) \Pi(z)$$

$$\Pi(z) = \Pi^{\text{pert}}(z) + \Pi_{\text{OPE}}^{\text{nonpert}}(z) + \Pi_{\text{DV}}^{\text{nonpert}}(z)$$

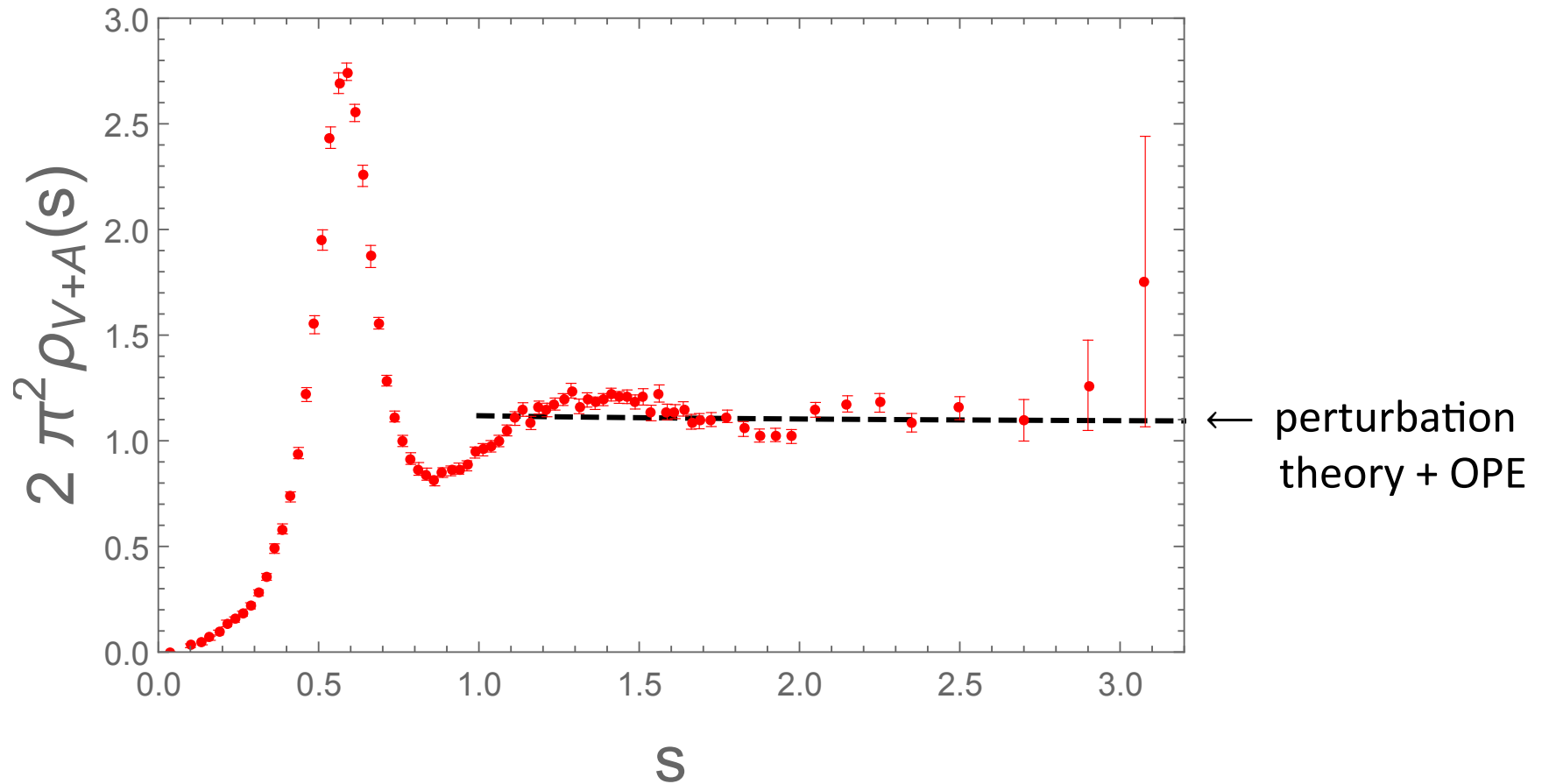
↑
 α_s

↑

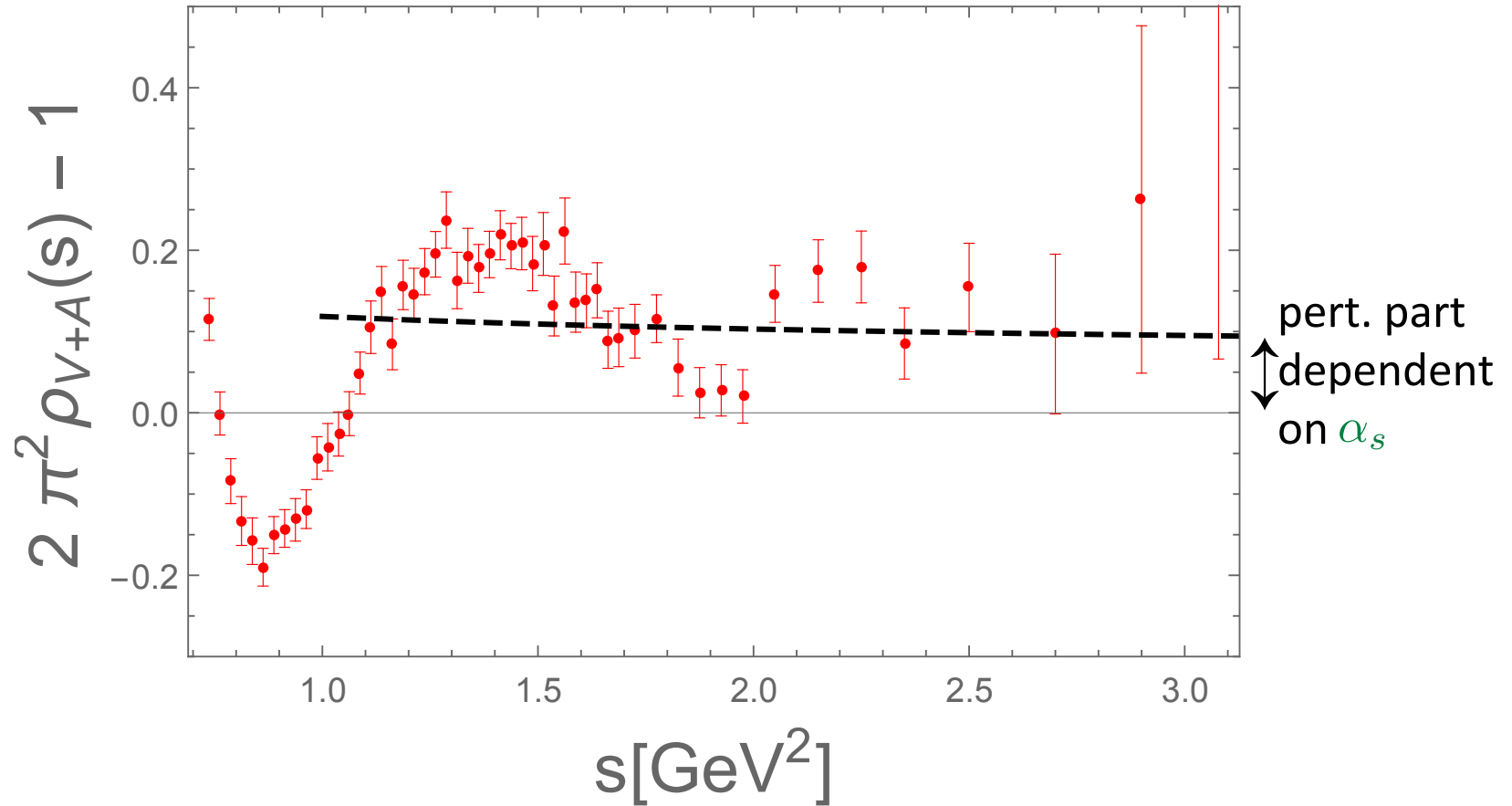
↑
resonances

$$\Pi_{\text{OPE}}(q^2) = \frac{C_4}{q^4} - \frac{C_6}{q^6} + \frac{C_8}{q^8} - \dots$$

V+A non-strange spectral function (Davier *et al.*, 2014, ALEPH)



Blow up of large s region:



Duality violations – resonance effects – are **not** small!

Two approaches to non-perturbative “contamination”

- ALEPH (Davier *et al.*), OPAL, Pich & Rodriguez (“Truncated-OPE approach”):
Ignore Duality Violations, but suppress dangerous region by “pinching”:
choose only higher-order polynomials with multiple zeroes at $s = s_0 = m_\tau^2$
Fit $\alpha_s(m_\tau^2)$ and C_4, C_6, C_8 , set higher orders and DVs to zero
Problem: inconsistent treatment of the OPE
- Boito *et al.* (“DV-model approach”):
Treat OPE consistently, keep only low orders: choose simple polynomials
Model DVs with *ansatz* $\rho_{\text{DV}}(s) = e^{-\gamma s - \delta} \sin(\alpha + \beta s)$
Vary s_0 between $s_{\text{min}} \approx 1.55 \text{ GeV}^2$ and m_τ^2
Fit $\alpha_s(m_\tau^2)$ and $C_6, C_8, \alpha, \beta, \gamma, \delta$
Problem: need to model DVs

Truncated-OPE approach: take $s_0 = m_\tau^2$ and weights ($x \equiv z/s_0$)

$$w_{00}(x) = (1 - x)^2(1 + 2x)$$

$$w_{10}(x) = (1 - x)^3(1 + 2x)$$

$$w_{11}(x) = (1 - x)^3(1 + 2x)x \quad (\text{ALEPH})$$

$$w_{12}(x) = (1 - x)^3(1 + 2x)x^2$$

$$w_{13}(x) = (1 - x)^3(1 + 2x)x^3$$

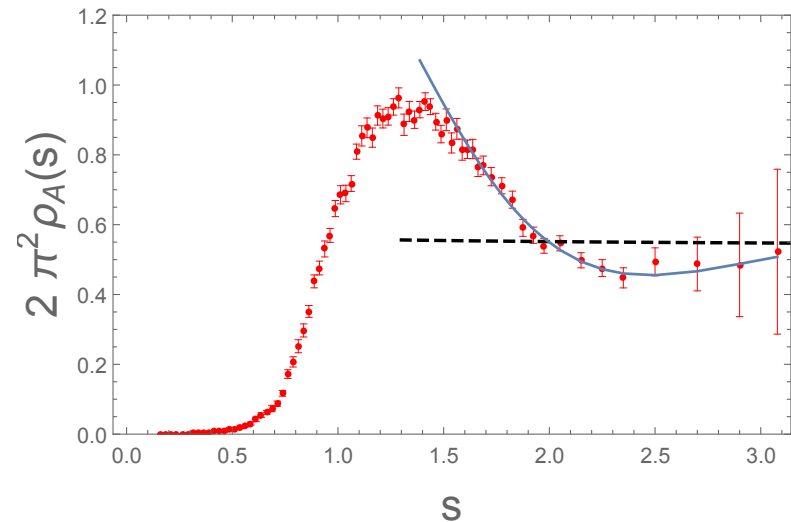
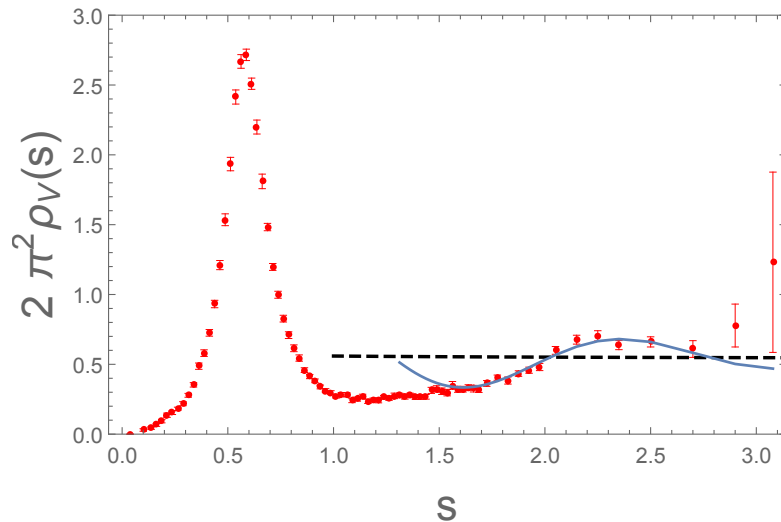
Assume: $C_{10} = C_{12} = C_{14} = C_{16} = 0$ and Duality Violations negligible
 \Rightarrow fit four parameters (α_s, C_4, C_6, C_8) to five data spectral integrals

However:
$$\frac{1}{2\pi i} \oint dz z^n \frac{C_{2k}}{z^k} = C_{2(n+1)} \delta_{k,n+1}$$

- need OPE coefficients up to C_{16}
- resonance oscillations around OPE clearly visible in V+A spectral function!

This approach can be tested: fake data

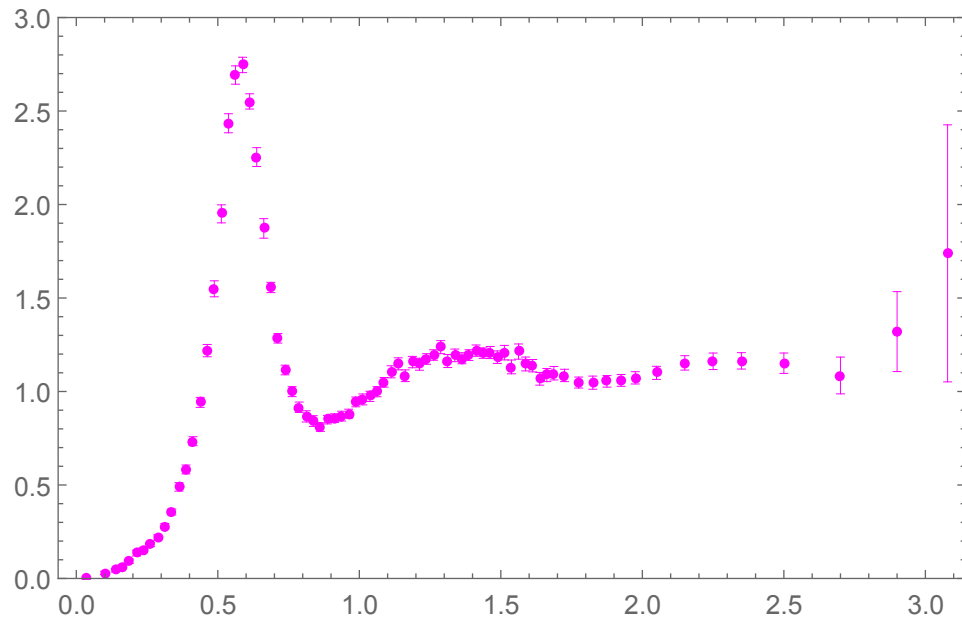
- Start from model with, by construction, lower $\alpha_s(m_\tau^2) = 0.312$ (CIPT) and non-negligible DVs, compatible with the experimental spectral function. Test Truncated-OPE approach



- Generate fake data from this model (using real-data covariances).
- Perform Truncated-OPE type fits on these fake data.
- Compare the parameter values ($\alpha_s(m_\tau^2)$) obtained from these fits to the input value, $\alpha_s(m_\tau^2) = 0.312$.

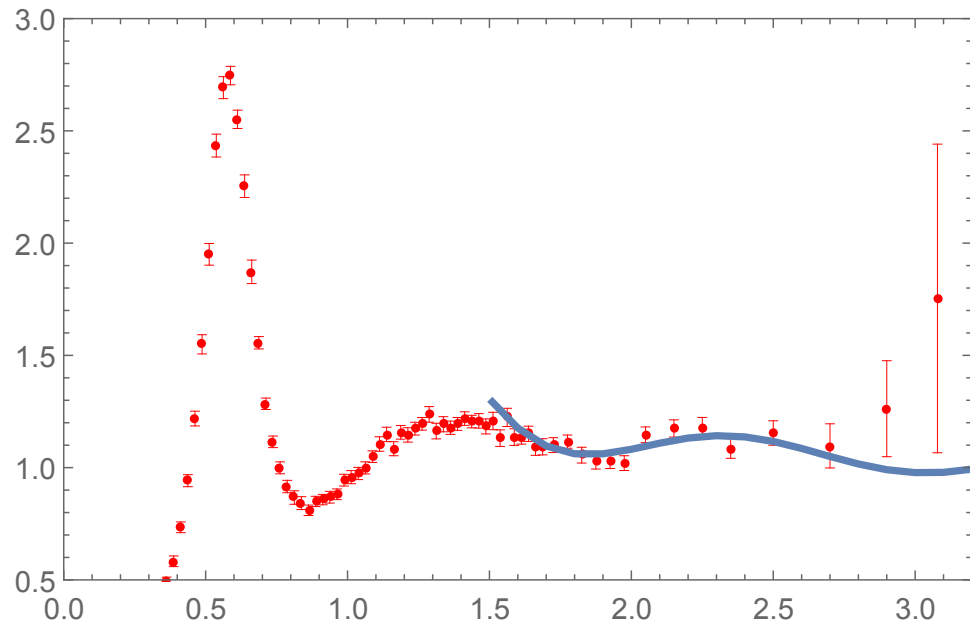
Fake data:

(fake above
 $s = 1.55 \text{ GeV}^2$)



Real data:

(V+A spectral
functions)



Results of this test:

ALEPH	$\alpha_s(m_\tau^2)$	$C_{4,V+A}$ (GeV ⁴)	$C_{6,V+A}$ (GeV ⁶)	$C_{8,V+A}$ (GeV ⁸)	χ^2/dof
true values	0.312	0.0027	-0.013	0.035	
fake data fit	0.334(3)	-0.0024(4)	0.0007(3)	-0.0008(4)	0.95/1

optimal	$\alpha_s(m_\tau^2)$	$C_{6,V+A}$ (GeV ⁶)	$C_{8,V+A}$ (GeV ⁸)	$C_{10,V+A}$ (GeV ¹⁰)	χ^2/dof
true values	0.312	-0.013	0.035	-0.083	
fake data fit	0.334(4)	0.0008(4)	-0.0008(5)	0.0001(3)	0.92/1

(CIPT, statistical errors only)

- Truncated-OPE approach gets it wrong (similar conclusion for FOPT), despite good χ^2 : systematic overestimation of α_s
- big difference in behavior of OPE

DV-model approach (Boito *et al.*):

- Use simple weights:

$$w_0(x) = 1, \quad w_2(x) = 1 - x^2, \quad w_3(x) = (1 - x)^2(1 + 2x)$$

hence C_6 , C_8 only OPE coefficients needed

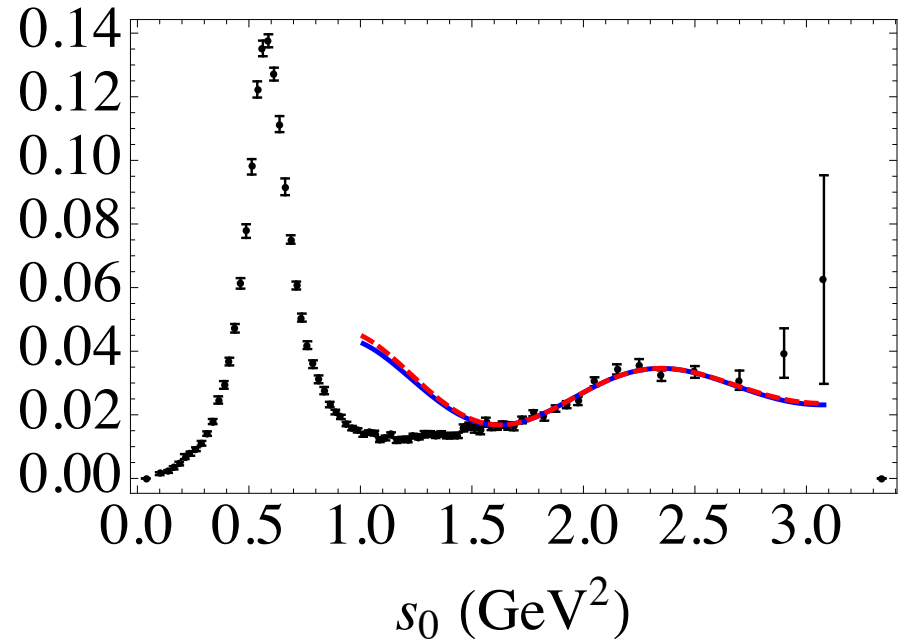
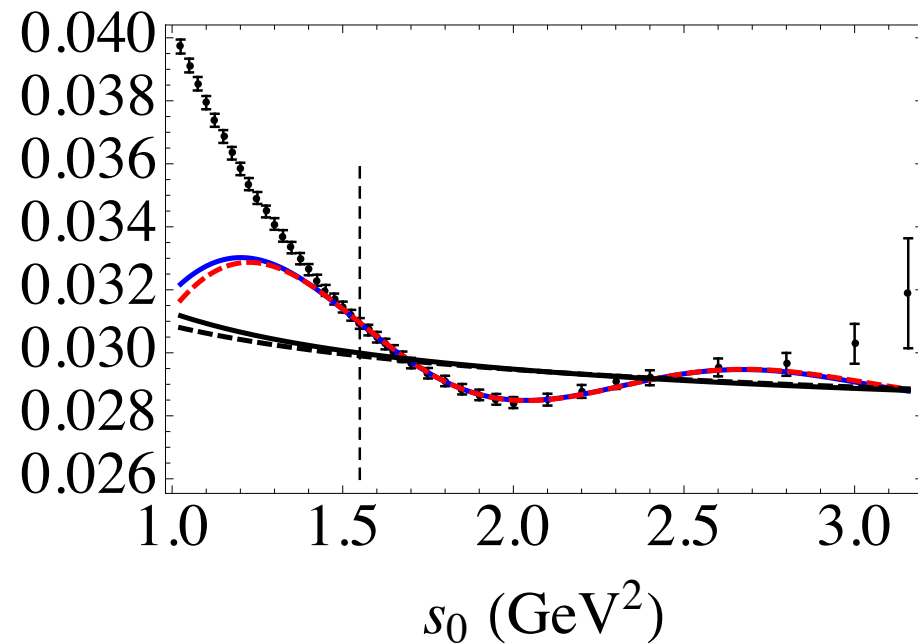
- No attempt to suppress DVs, hence use *ansatz* $\rho_{\text{DV}}(s) = e^{-\gamma s - \delta} \sin(\alpha + \beta s)$

for the DV part of the spectral function, and fit α , β , γ , δ

- To do this, vary $s_{\text{min}} \leq s_0 \leq m_\tau^2$, make use of the data!

Fit determines $s_{\text{min}} \approx 1.55 \text{ GeV}^2$

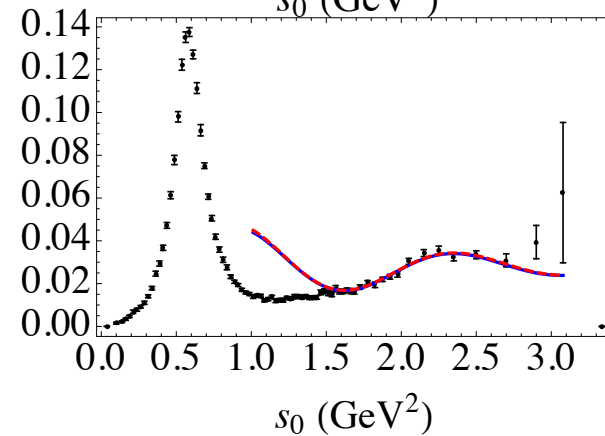
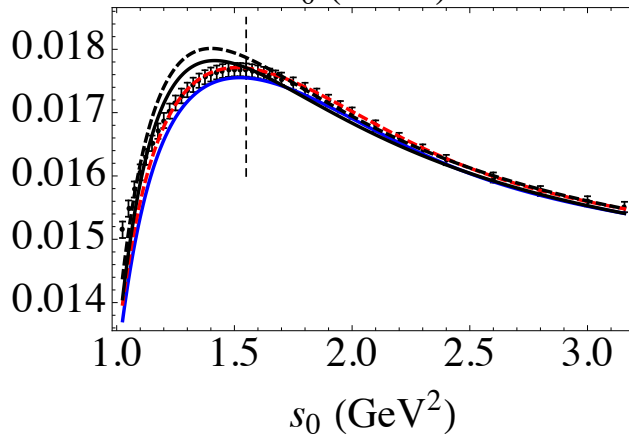
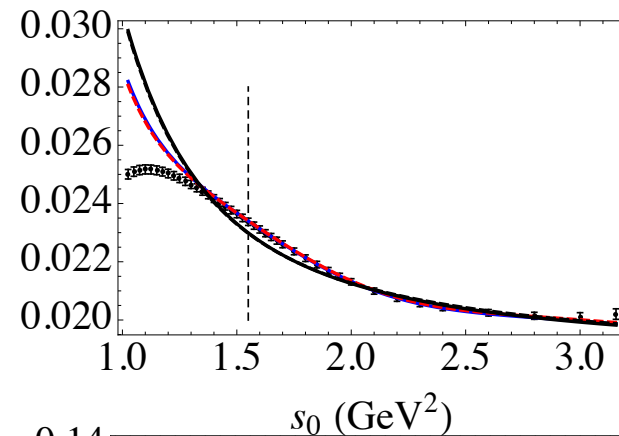
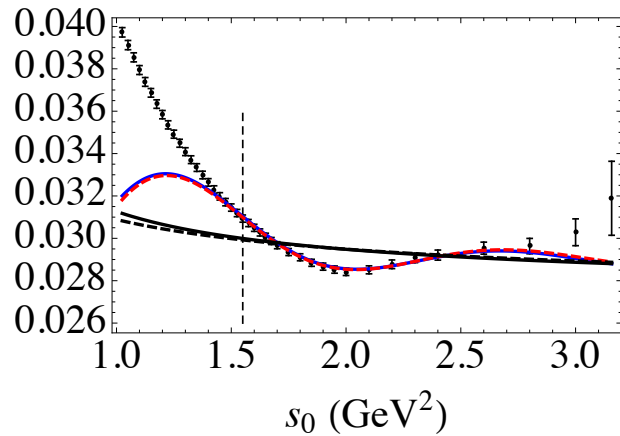
Example: simplest fit, vector channel $w_0(x) = 1$



Blue: FOPT $\alpha_s(m_\tau^2) = 0.296(11)$ Red: CIPT $\alpha_s(m_\tau^2) = 0.310(14)$

Black: OPE contribution only

Example: 3-weight $w_{0,2,3}(x)$, vector channel fit



Blue: FOPT $\alpha_s(m_\tau^2) = 0.296(10)$ Red: CIPT $\alpha_s(m_\tau^2) = 0.310(14)$

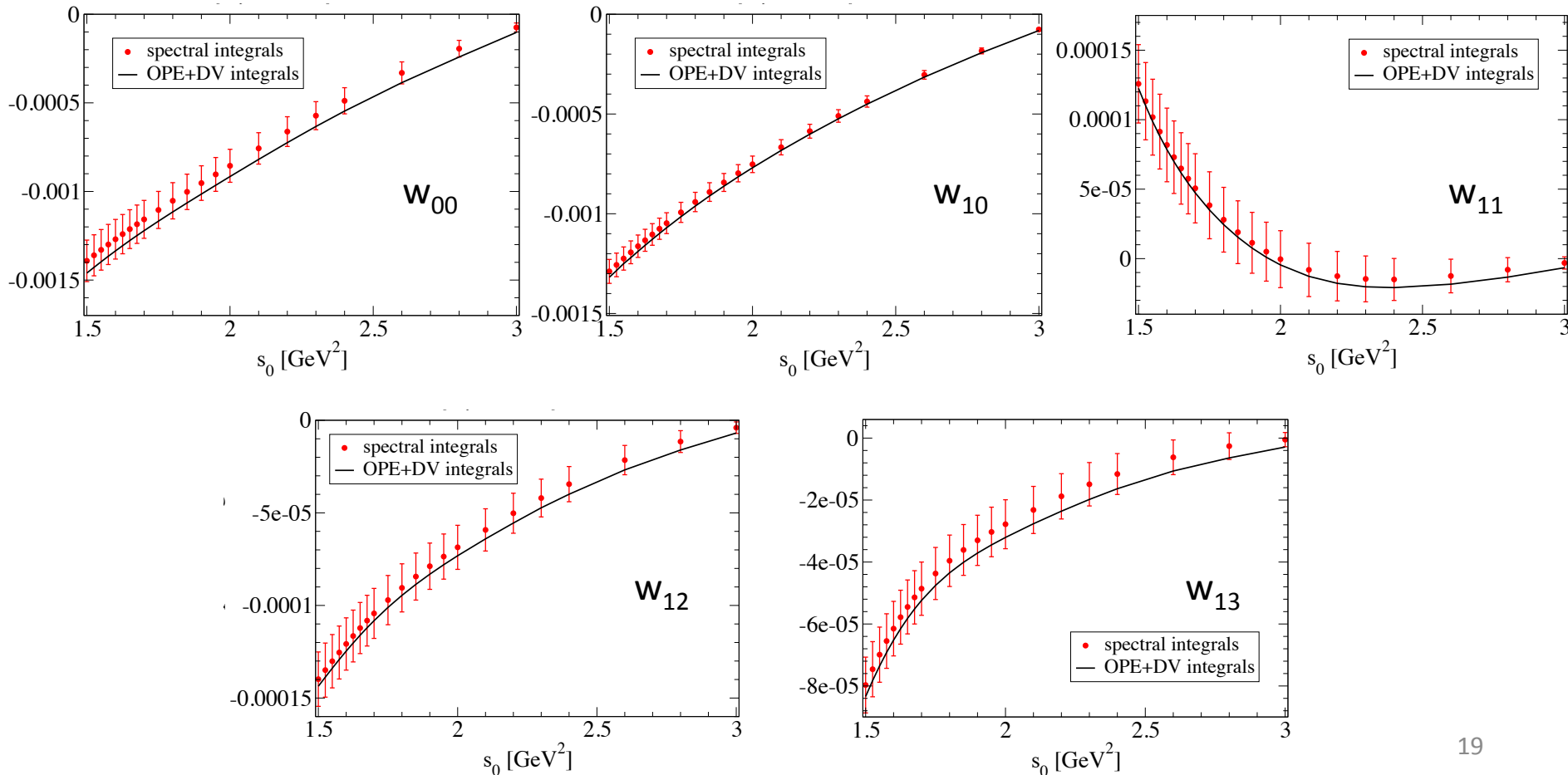
Black: OPE contribution only

Vector as good as Vector+Axial!

This approach passes many tests (here V+A):

Check s_0 dependence, should work above $\sim 1.5 \text{ GeV}^2$

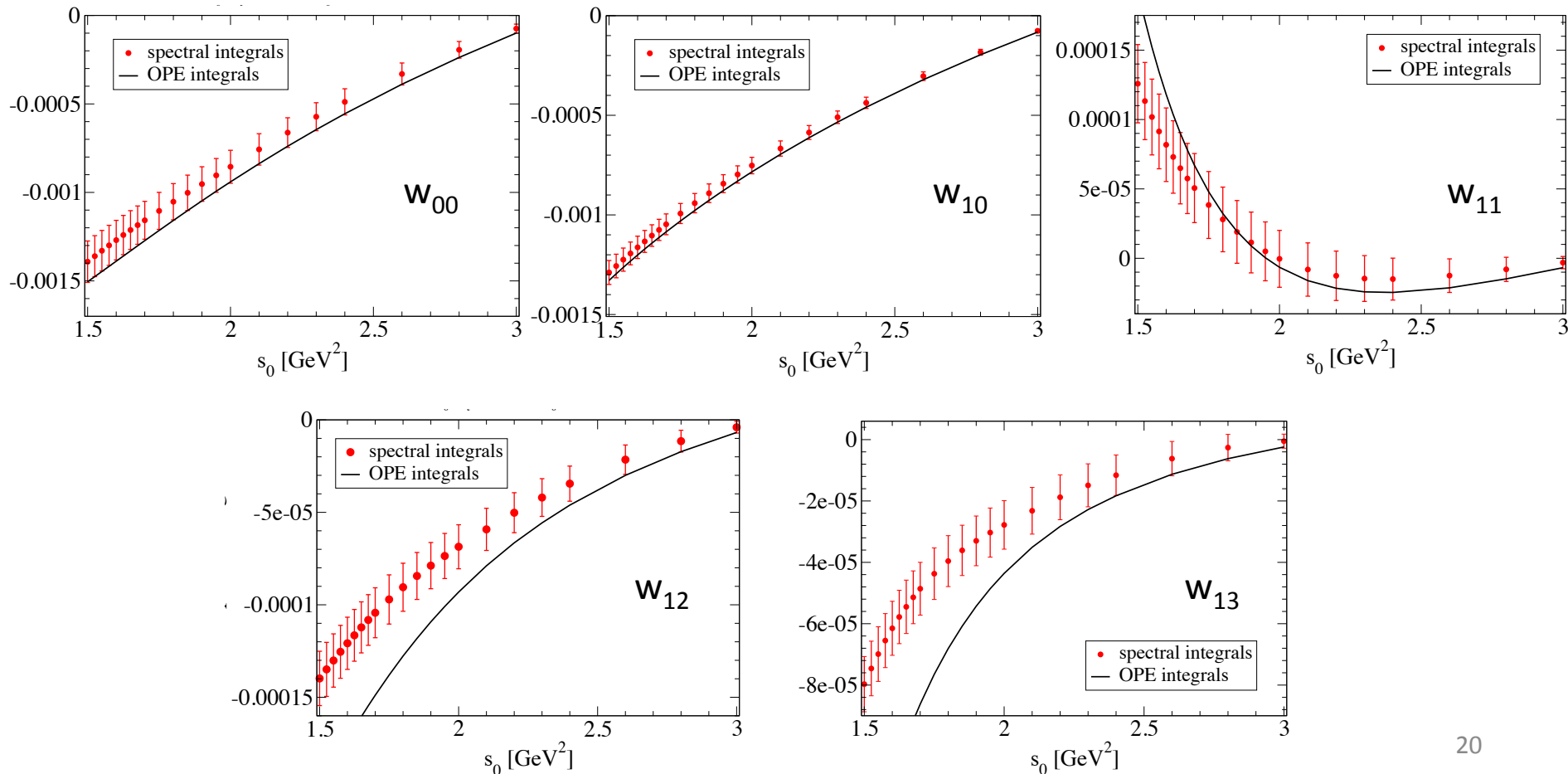
ALEPH moments for Boito *et al.* (only w_{00} used in fits; $s_0 = m_\tau^2$ minus s_0 diffs.):



Same tests for truncated-OPE approach (again, V+A):

Check s_0 dependence, should work above $\sim 2 \text{ GeV}^2$

ALEPH moments for P&R (all used in fit):



Model dependence of DV-model approach:

- Depends on a model for the effect of Duality Violations = resonance effects
- Ingredients: Regge behavior of spectrum for $s \geq s_{min}$:

$$M^2(n) = M^2(0) + \sigma n , \quad n = 0, 1, 2, \dots , \quad \sigma \sim \Lambda_{\text{QCD}}^2 \sim 1 \text{ GeV}^2$$

$$\text{Large } N_c : \Gamma(n) \propto M(n)/N_c$$

- Model satisfying these constraints and analyticity
(Blok, Shifman & Zhang '98, Bigi, Shifman, Uraltsev & Vainshtein '99
Catà, Golterman & Peris '05,'08)
- More general arguments: in preparation (Boito *et al.*)
- Important to test this with data!

FOPT and CIPT

FOPT = fixed order perturbation theory

CIPT = contour improved perturbation theory

(Pivovarov '92, Le Diberder & Pich '92)

- Different ways of partially resumming perturbation theory on the theory side of the sum rules.
- Differences:

0.017 (5.1%)	(Davier <i>et al.</i>)
0.016 (4.9%)	(Pich & Rodriguez)
0.014 (4.7%)	(Boito <i>et al.</i>)
- Renormalon analysis somewhat favors FOPT
(Beneke & Jamin '08, Beneke, Boito & Jamin '12)

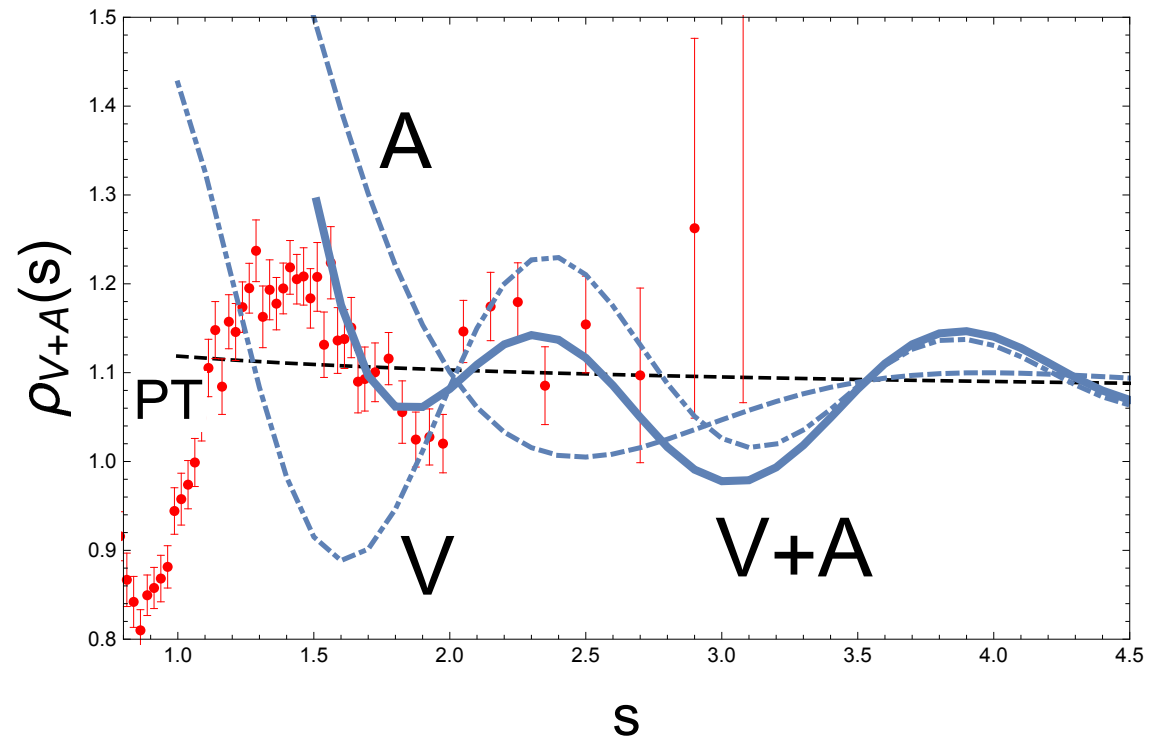
Outlook

- Recent theory advances may help extract $\alpha_s(m_\tau^2)$ from non-perturbative hadronic τ -decay data to higher precision, but need to understand the physics of resonances better
⇒ precision tests of the DV *ansatz*? Important!
- Belle and Belle-II will have thousands times more τ pairs than ALEPH/OPAL!
- Focus on vector channel – less clear that $s \leq m_\tau^2$ is already asymptotic in axial channel; reduce errors especially for $s > 2.5 \text{ GeV}^2$
Will improving the $\tau \rightarrow \nu_\tau 4\pi$ non-strange vector decay already help?
(Large errors in the region $s > 1.5 \text{ GeV}^2$ dominated by this decay)
- Open theory question: CIPT vs. FOPT? (cf. Boito, Beneke & Jamin, '12)
Comparison with value from other high-precision value, at common scale?

BACKUP SLIDES

Why does the Truncated-OPE approach get it wrong?

- Rely on uncontrolled assumption about the OPE in higher orders.
- Assume that duality violations (resonance effects) can be neglected, at least in $V+A$, *without testing this*.



Why does the Truncated-OPE approach get it wrong?

- Rely on uncontrolled assumption about the OPE in higher orders.
- Assume that duality violations (resonance effects) can be neglected, at least in $V+A$, *without testing this*.

- Potentially large effect at $s_0 = m_\tau^2$!
Not excluded by data.

