

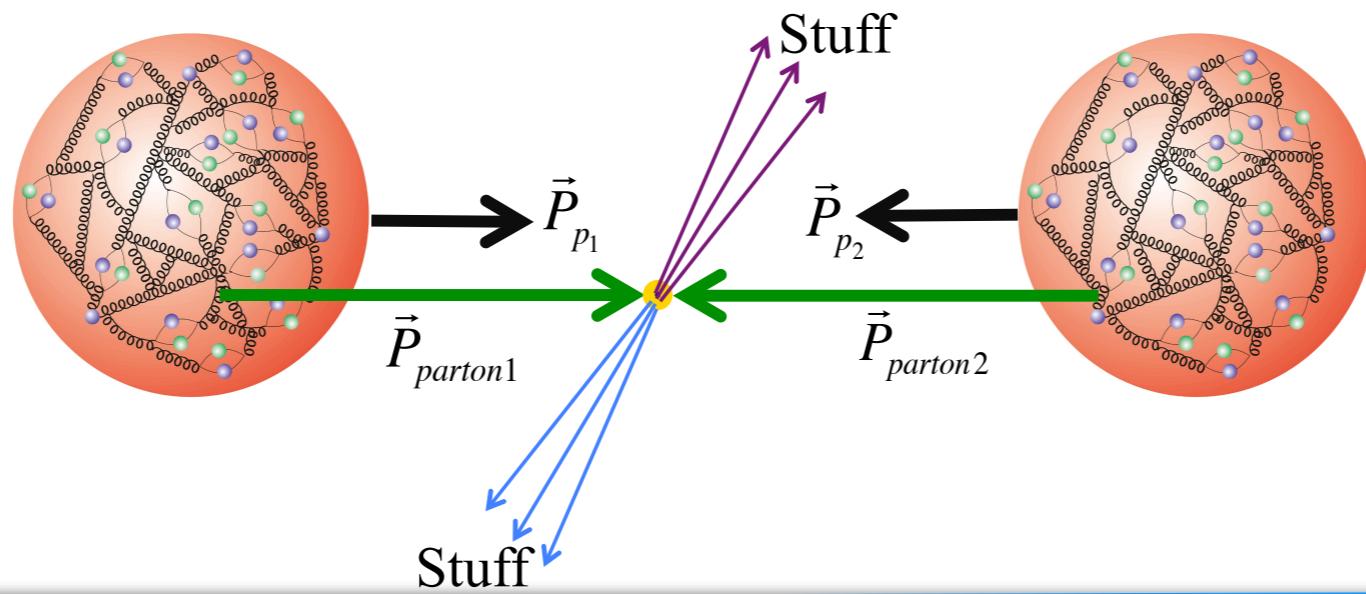
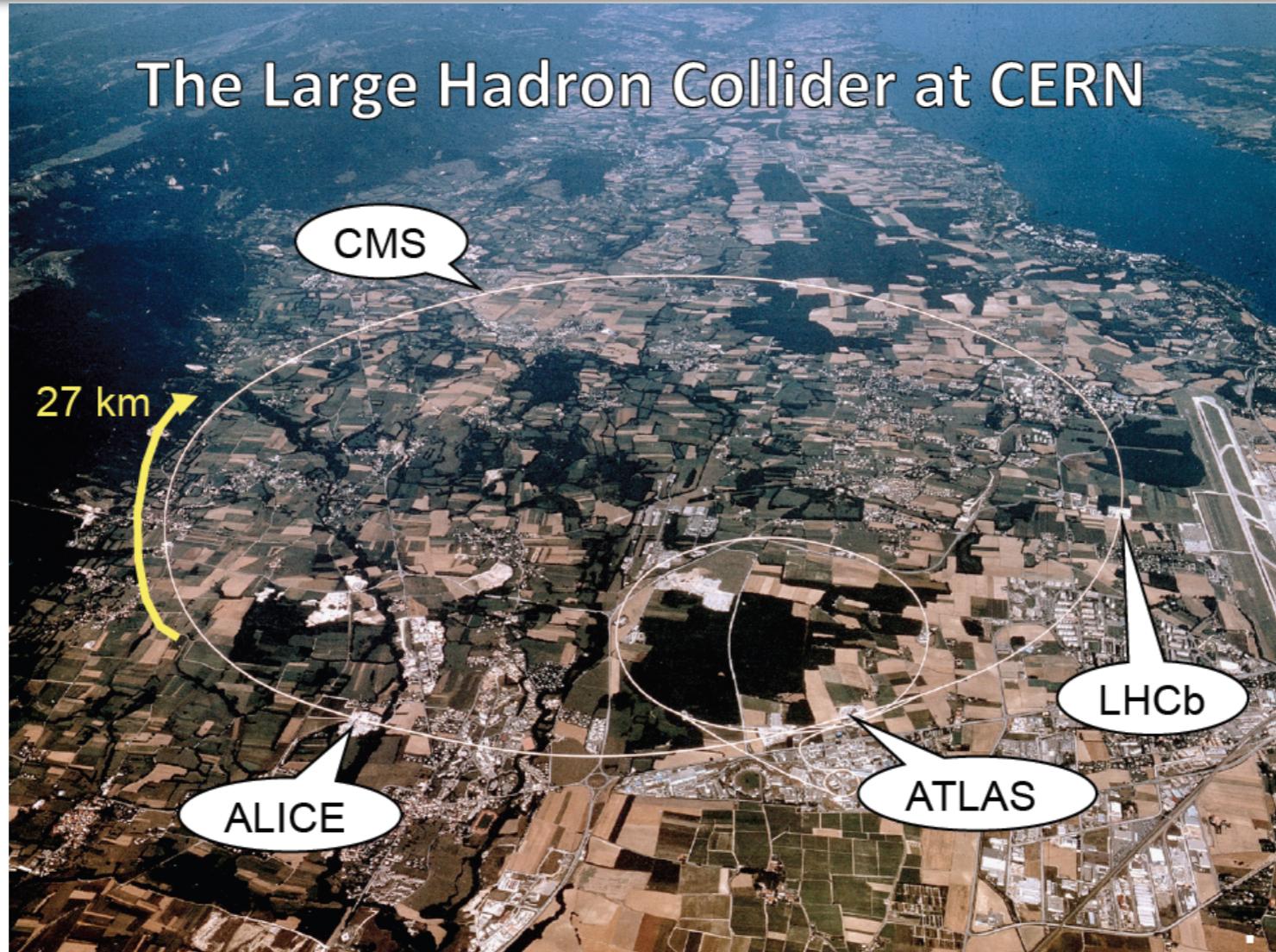
LFV searches involving tau leptons at LHC

Swagato Banerjee



Mini-Workshop on Tau Physics
May 22-23, 2017
Mexico City, Mex.

What does the LHC tell us about LFV?

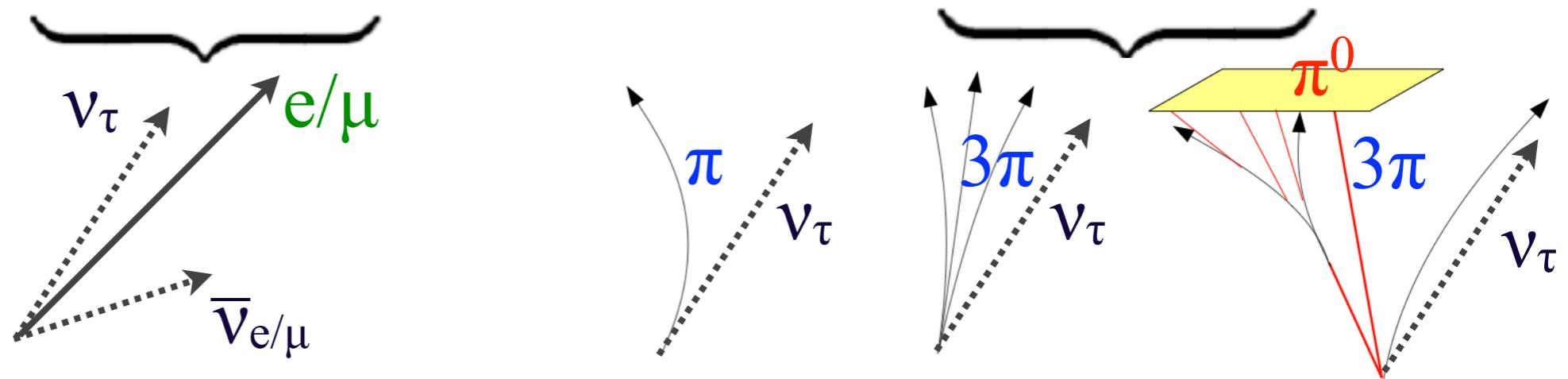


Why are tau's so interesting at LHC?

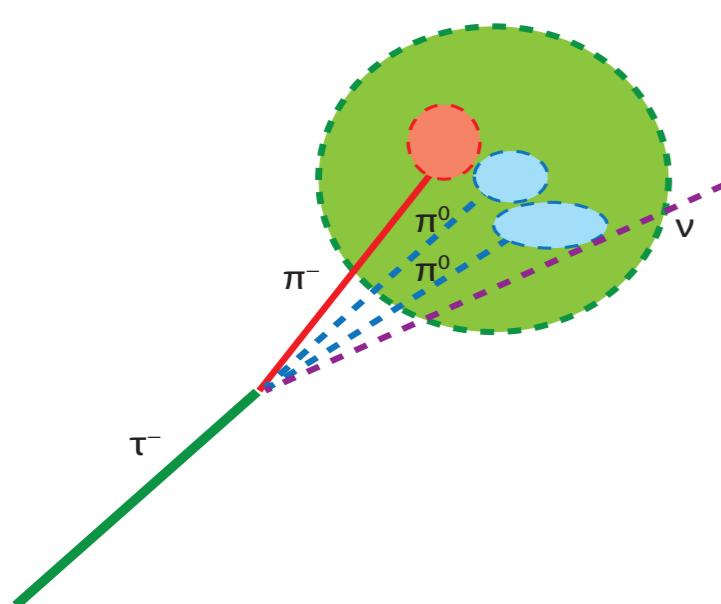
- best channel for observing Higgs boson fermionic decays
- also the best for finding the neutral MSSM Higgs boson
- add another channel to all searches with leptons
- they are really heavy, so they might be special (recent hints of possible lepton non-universality from LHCb...)
- they are handy for Lepton Flavor Violation searches

Tau decays at LHC

Tau (τ) decays leptonically (35%) as well as hadronically (65%)

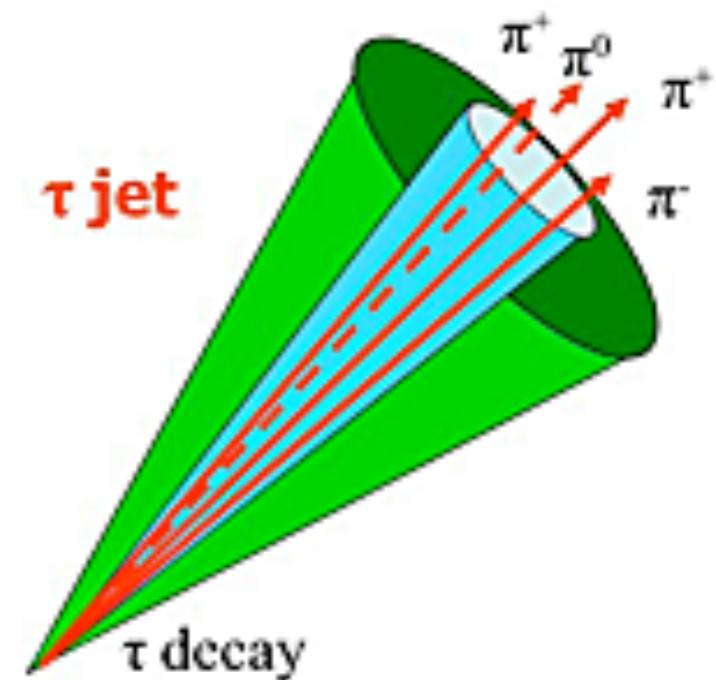


Hadronic decays reconstructed as “tau-jet” in ATLAS/CMS



Specific mix of π^\pm and π^0 :

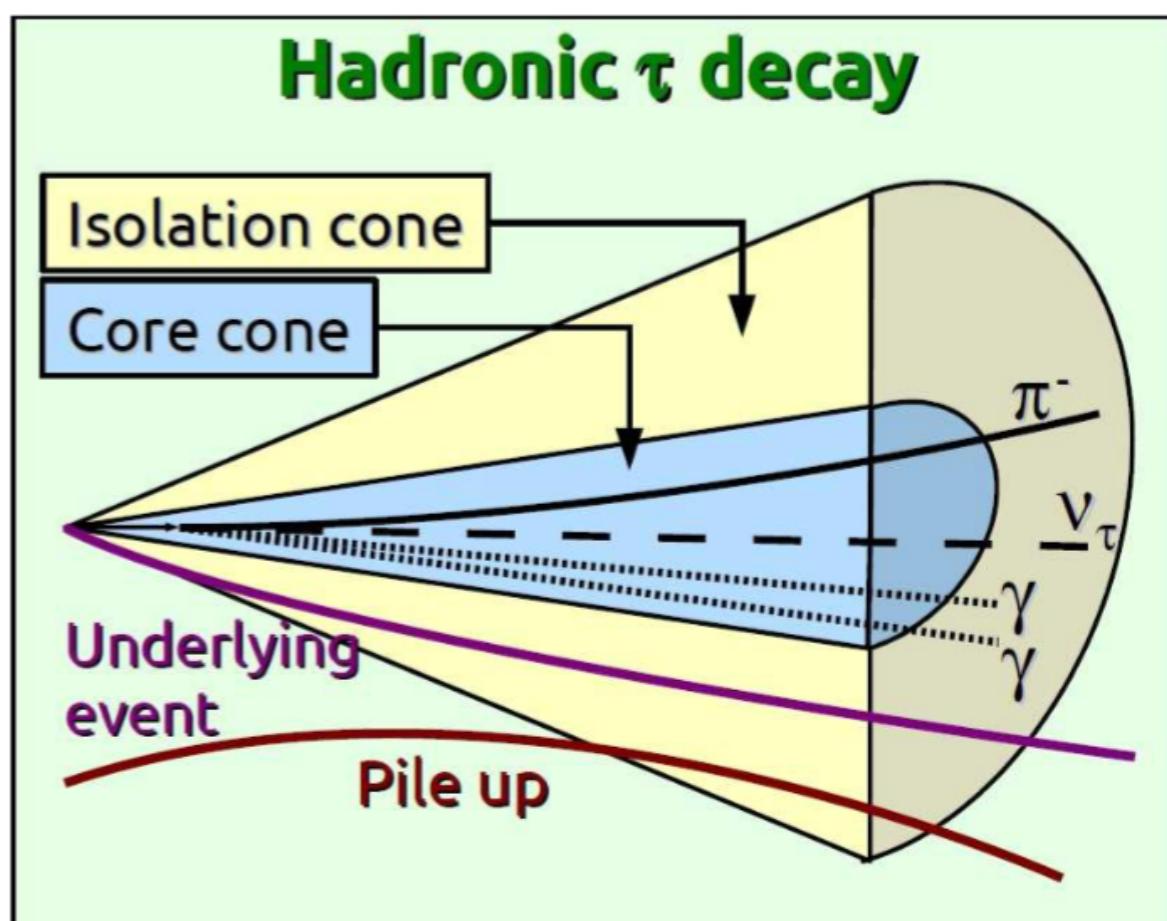
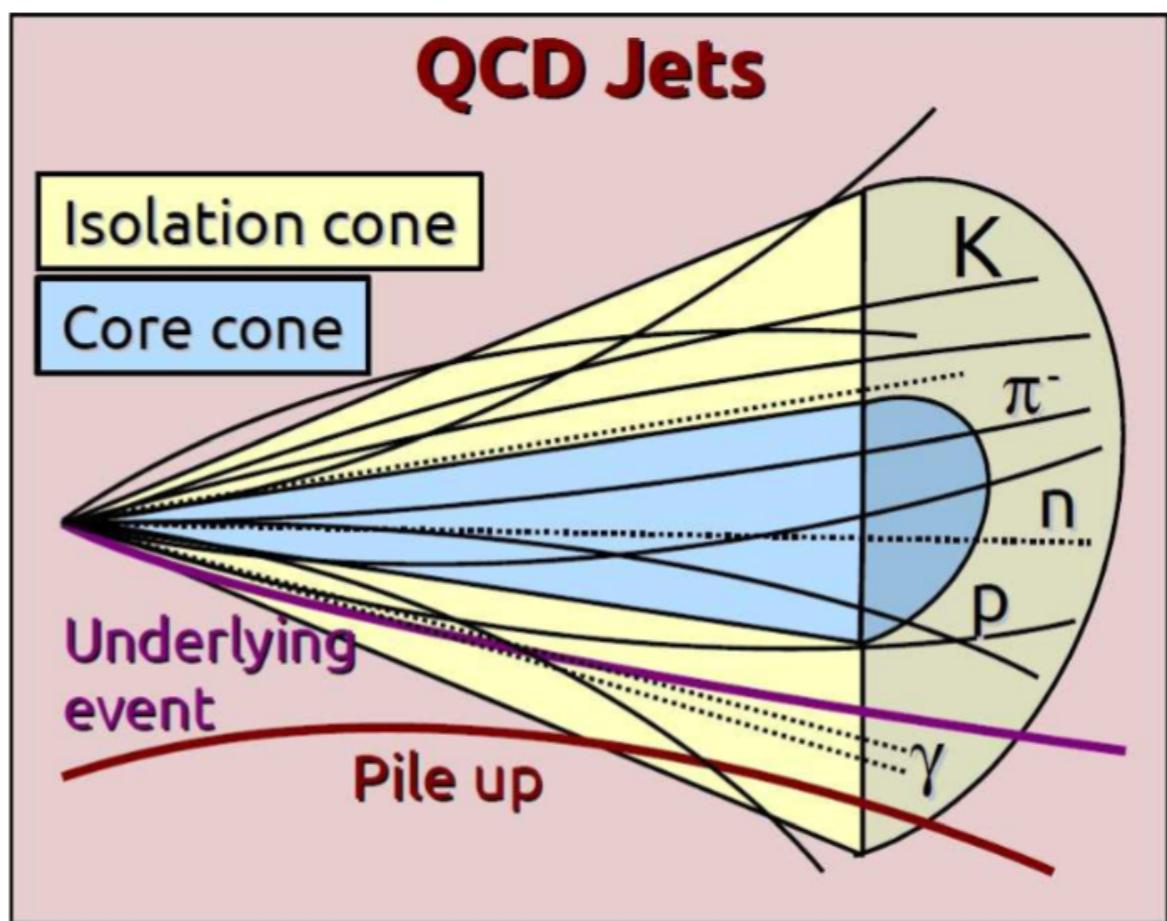
- Reconstruction & ID:
- τ reconstruction seeded by anti- k_T jets
 - Identification criteria (BDT)



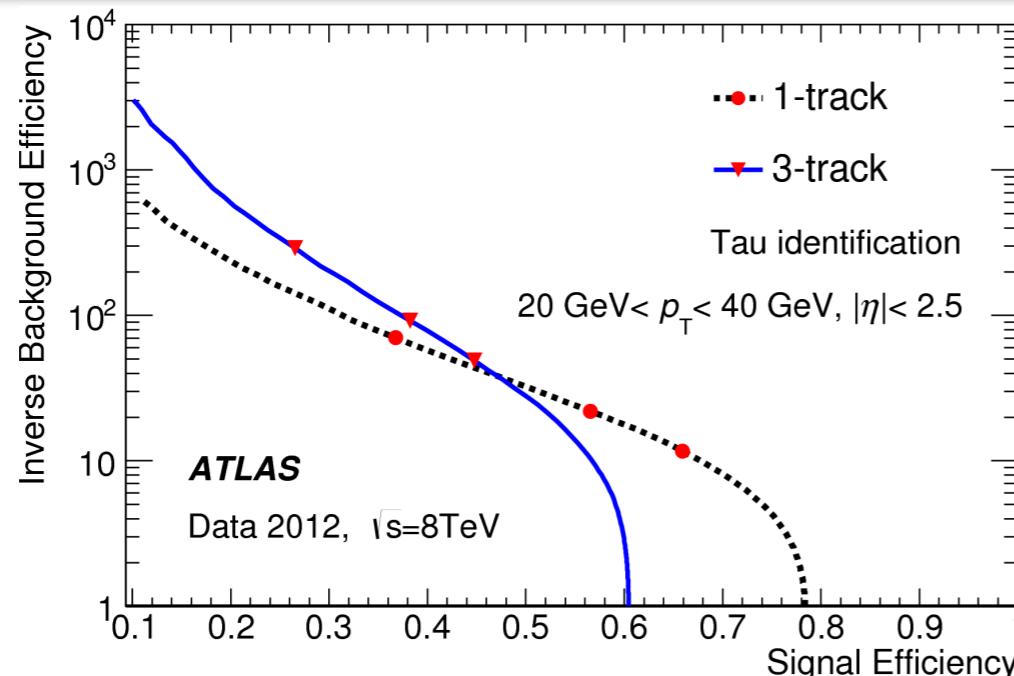
Tau-jet identification

QCD jets have large multiplicity, wide energy profile, uniform shower shape

Tau jets have 1 or 3 charged tracks, narrow activity, annular ring of isolation



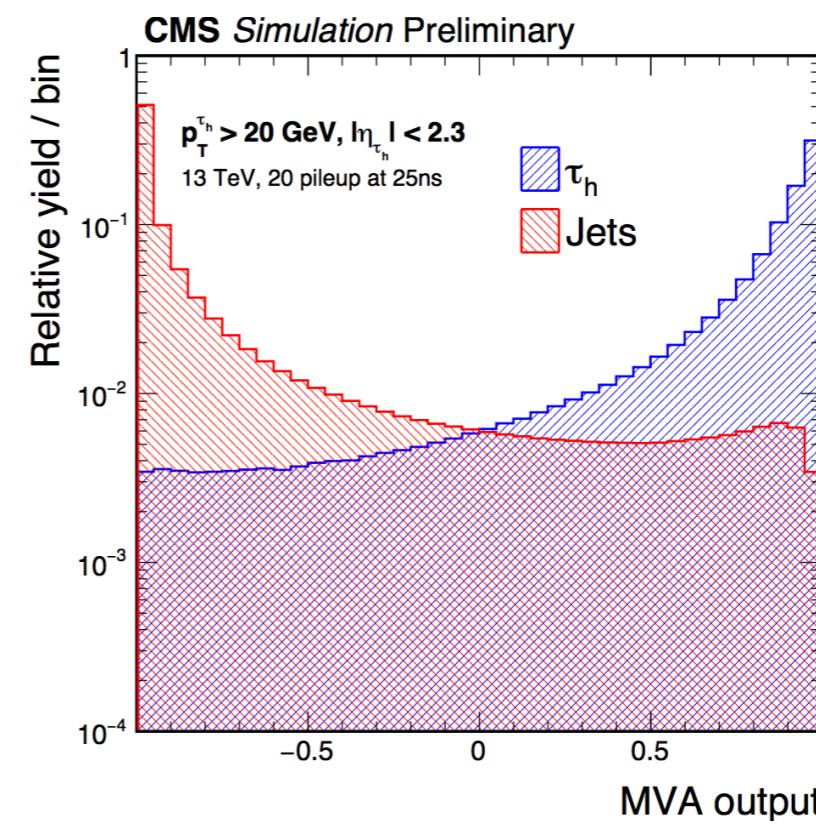
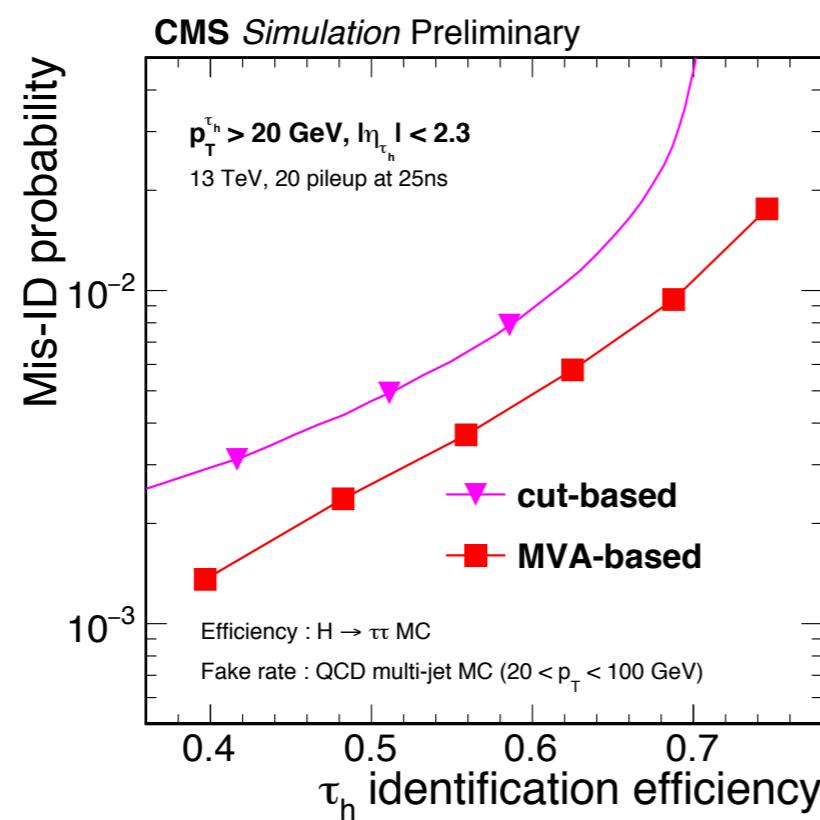
Tau-jet performance in ATLAS/CMS



ATLAS:

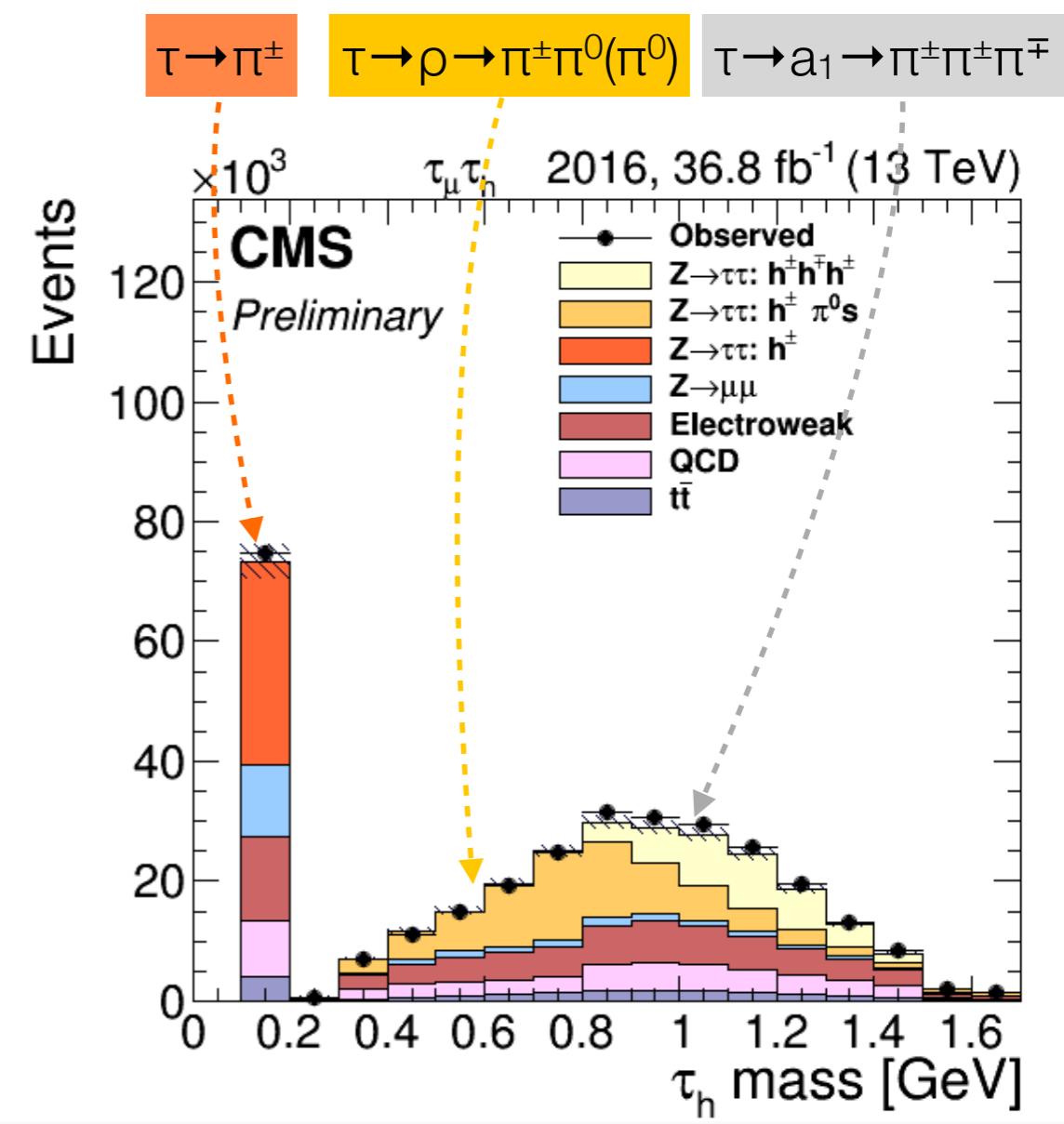
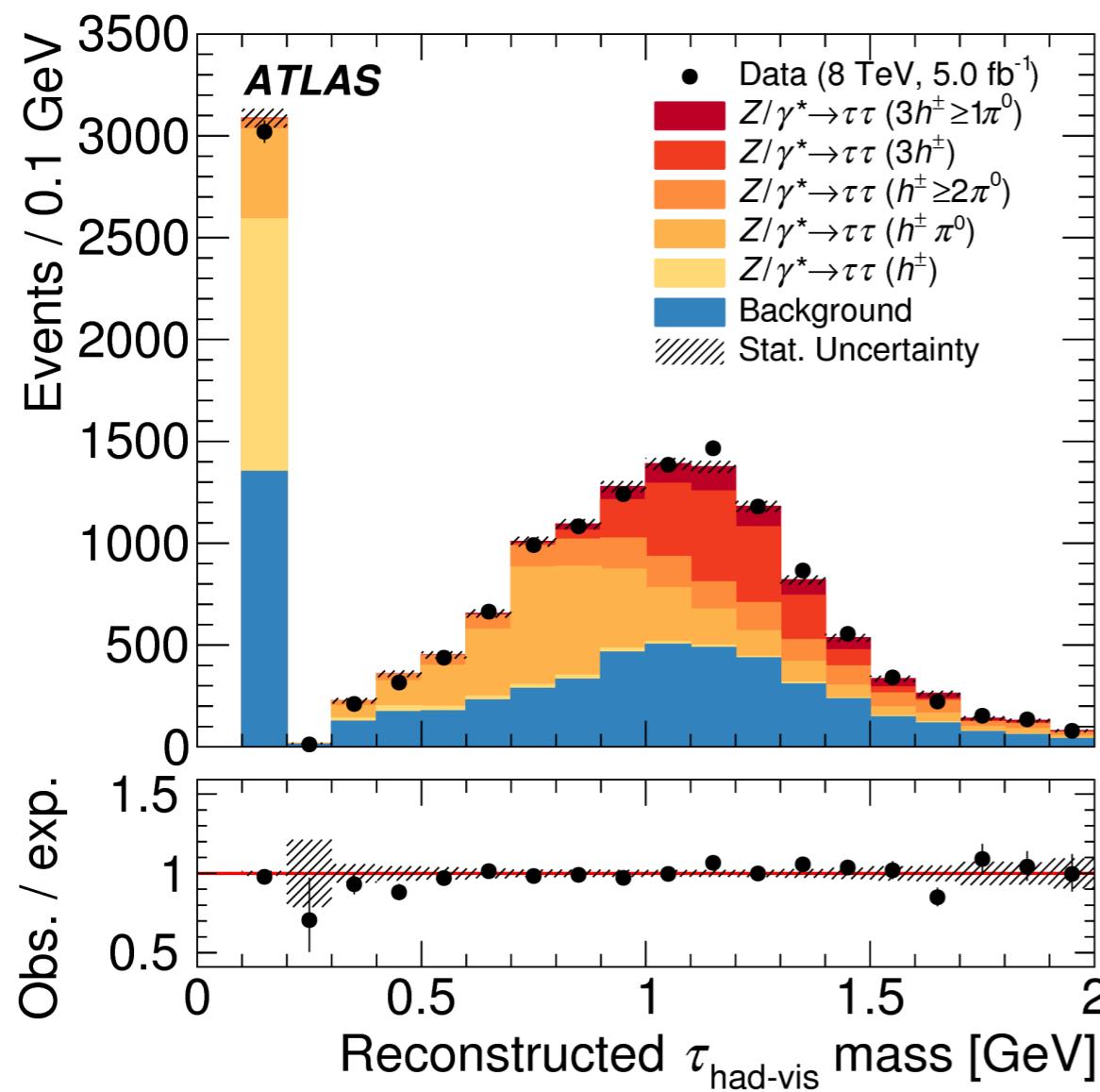
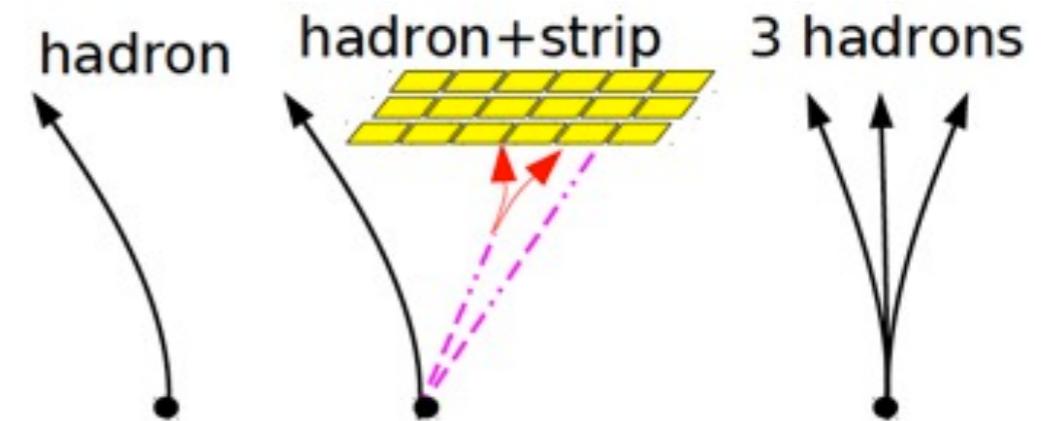
- Identification efficiency $\sim 50\%$
- Fake rate $\sim 2\%$

arXiv:1412.7086,
Eur. Phys. J. C75 (2015) 303



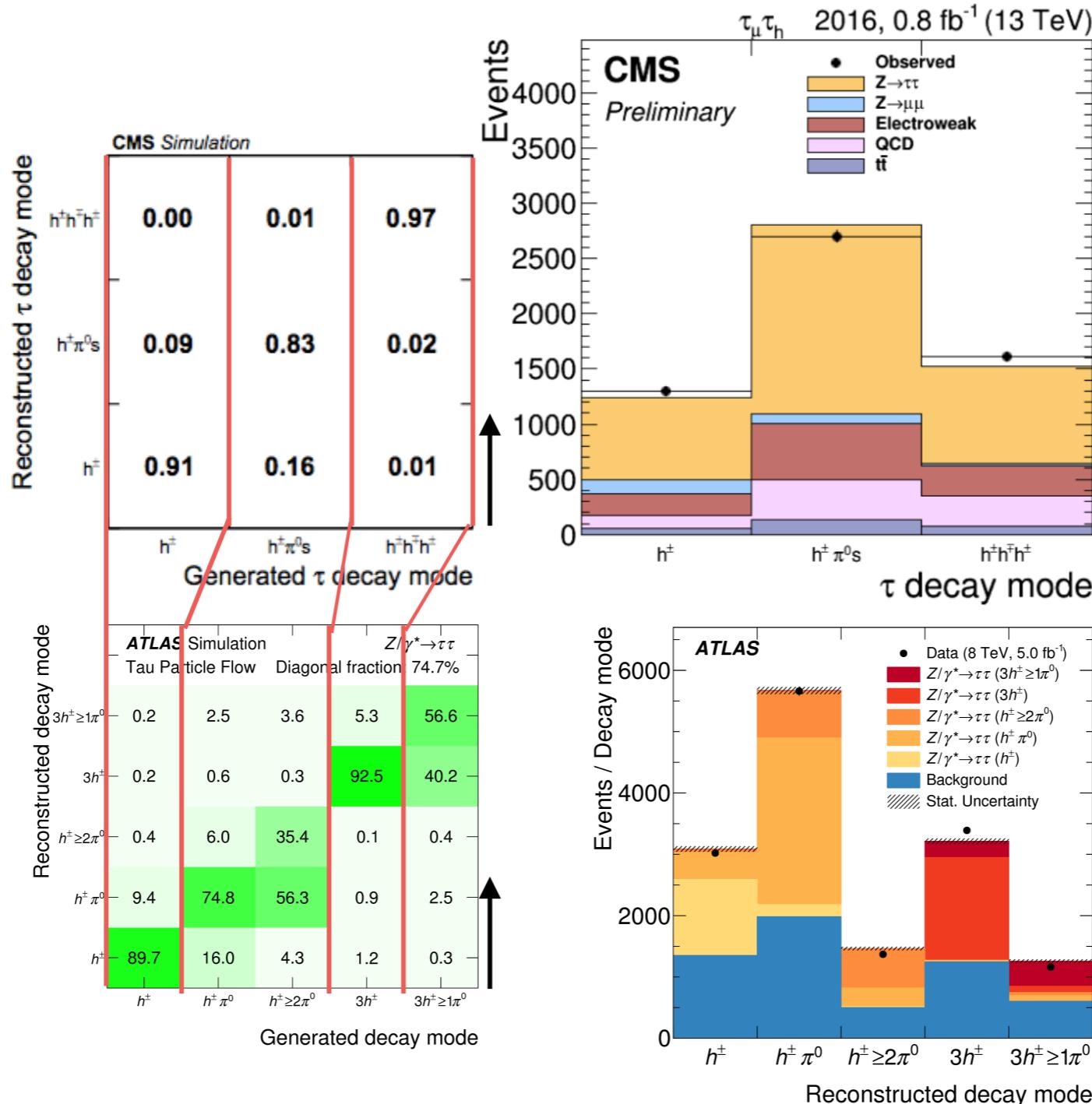
- CMS: Tau-jet identification efficiency $\sim 60\%$, Fake rate $\sim 1\%$

Tau-jet modelling in ATLAS/CMS



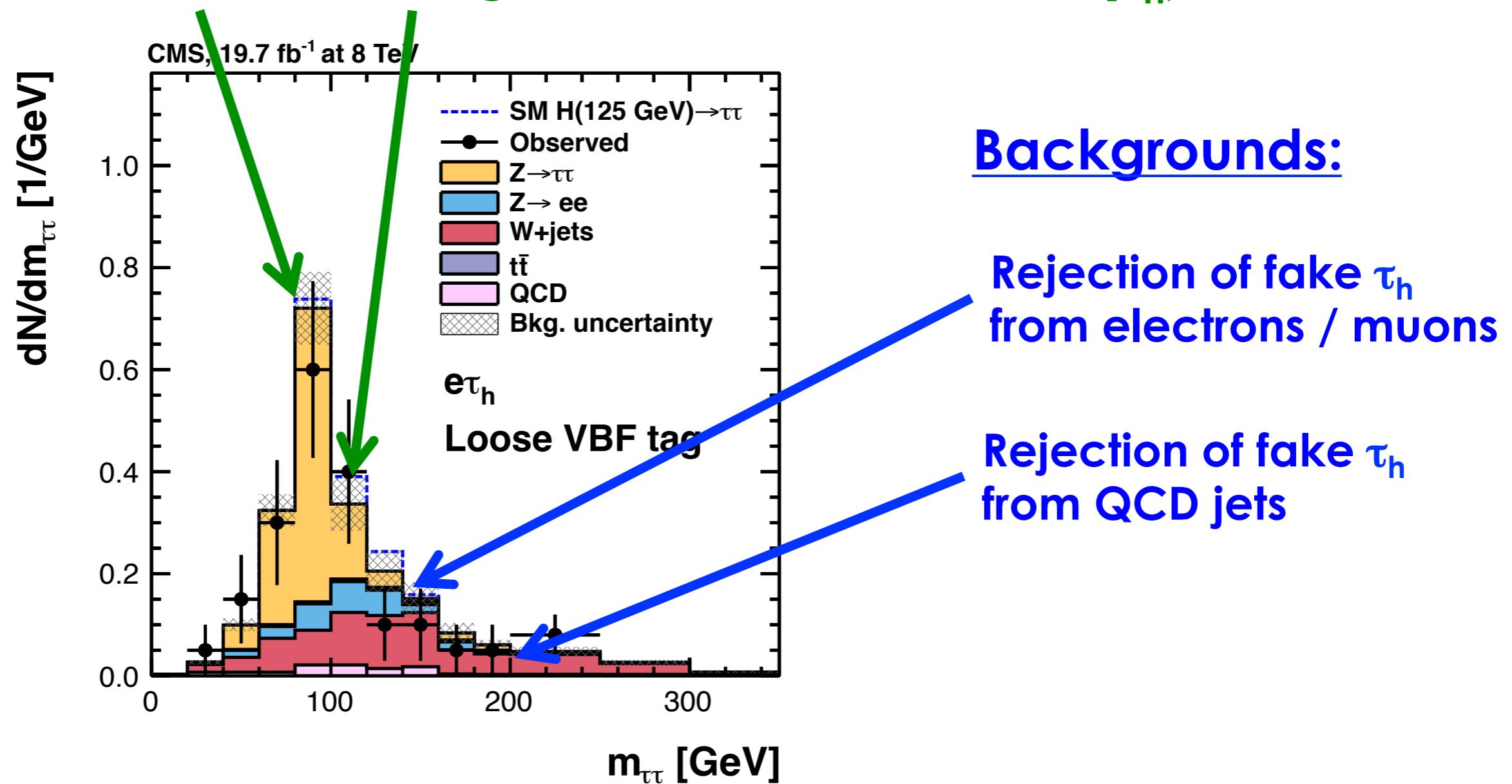
Tau-jet substructure in ATLAS/CMS

ATLAS: EPJC(2016)76:295; CMS: JINST11(2016)P01019, CMS-DP-2016-015



Challenges in analyses with tau-jets

Signal: reconstruction of genuine hadronic taus (τ_h)



Backgrounds:

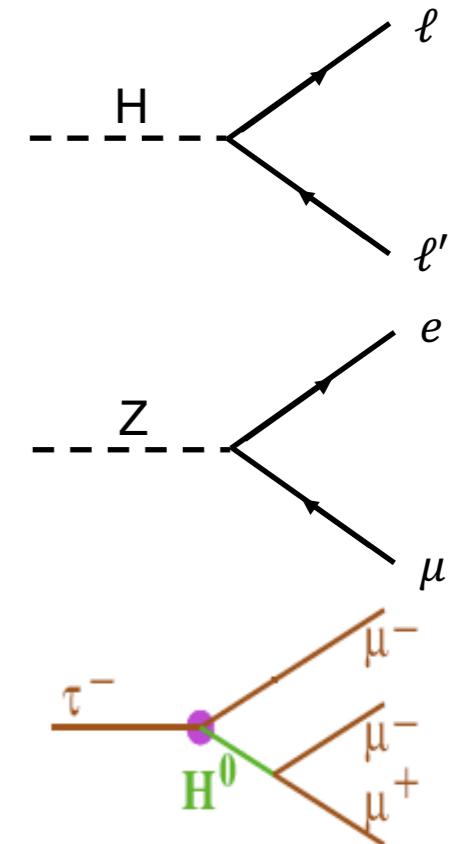
- Rejection of fake τ_h from electrons / muons
- Rejection of fake τ_h from QCD jets

- + efficient trigger selection
- + rejection of pileup (LHC currently features up to ~40 collisions / bunch crossing)
- + reliable description of data using simulation

LFV searches at LHC

Searches covered in this talk:

- $H \rightarrow \mu \tau, e \tau, \mu e$ (ATLAS, CMS)
- $Z \rightarrow \mu \tau, e \tau, \mu e$ (ATLAS, CMS)
- Heavy X $\rightarrow \mu \tau, e \tau, \mu e$ (ATLAS, CMS)
- $\tau^- \rightarrow \mu^- \mu^+ \mu^-$ (ATLAS, LHCb)
- $\tau^- \rightarrow \bar{p} \mu^+ \mu^-, p \mu^- \mu^-$ (LHCb)



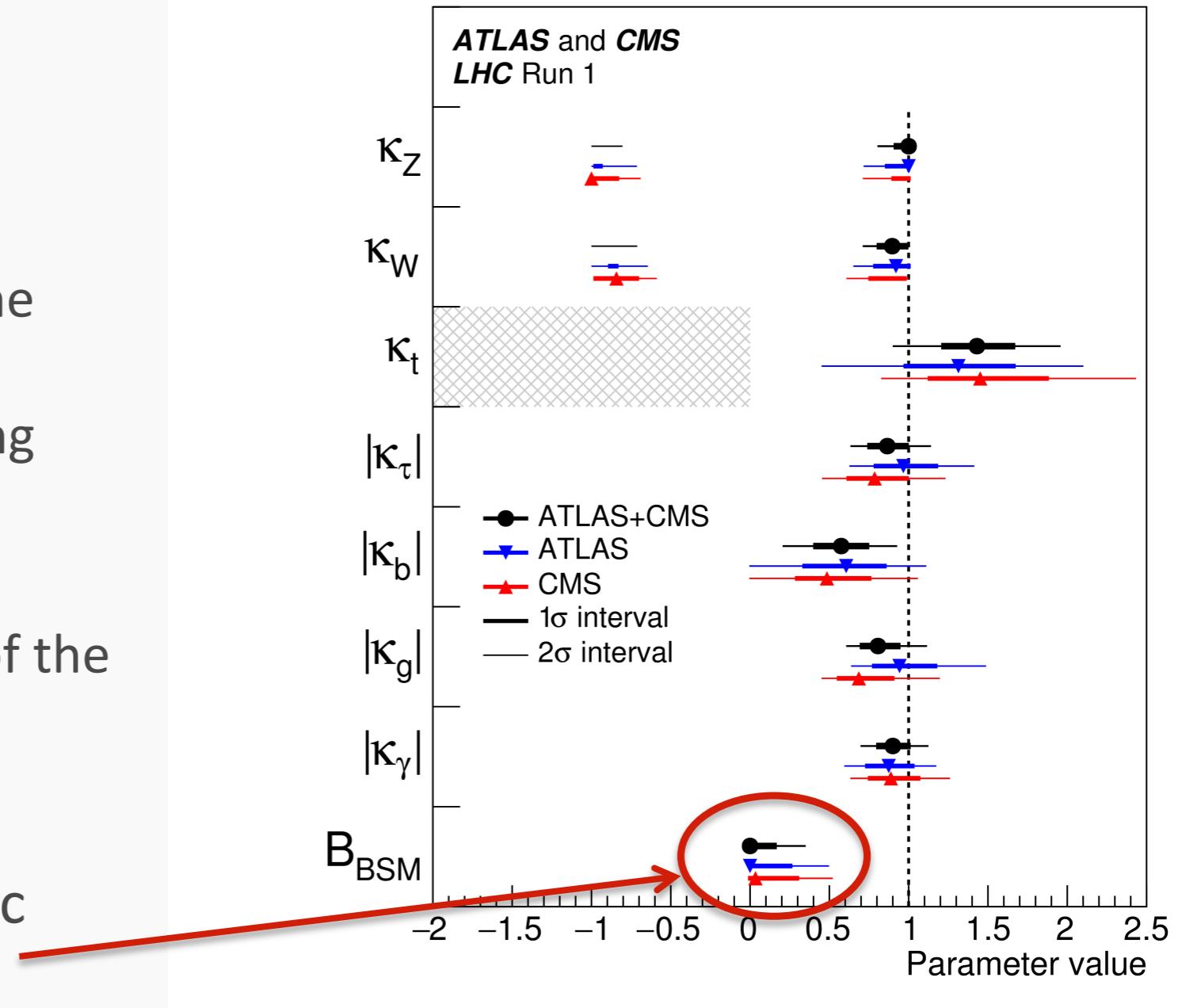
Other non-tau LFV searches from LHCb not covered in this talk:

- $D^0 \rightarrow e^+ \mu^-$ (Phys. Lett. B754 (2016) 167)
- $B^0_{(s)} \rightarrow e^+ \mu^-$ (Phys. Rev. Lett. 111 (2013) 141801)
- $D^+_{(s)} \rightarrow \pi^+ \mu^- \mu^-, \pi^- \mu^+ \mu^+$ (Phys. Lett. B 724 (2013) 203)
- $B^- \rightarrow D^{(*)+} \mu^- \mu^-$ (Phys. Rev. D 85 (2012) 112004)
- $B^+ \rightarrow \pi^-(K^-) \mu^+ \mu^+$ (Phys. Rev. Lett. 108 (2012) 101601)

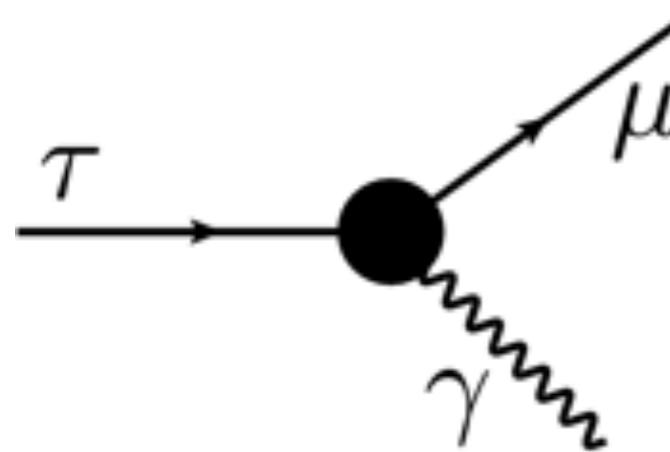
LHC Run 1 : Still room for BSM decays

JHEP 08 (2016) 045

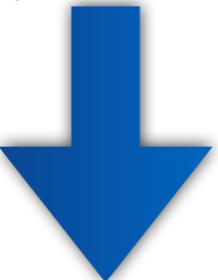
- Possibilities to highlight BSM physics in the scalar sector:
 - Indirect evidence through **observation of deviations** in the couplings of the H boson (but precision limited and increasing slowly with additional data)
 - Direct evidence through **observation of exotic decays** of the Higgs boson
- Large room still viable for exotic Higgs boson decays ($\sim 20\%$)



Lepton Flavor Violation & Higgs decays



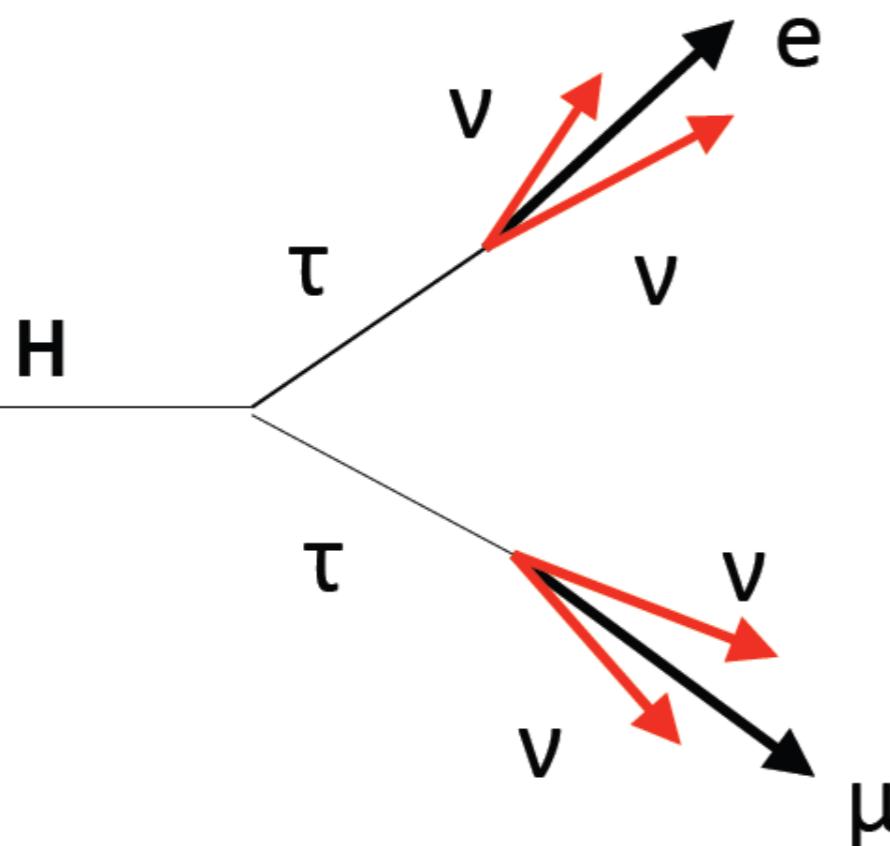
$$\text{Br}(\tau \rightarrow \mu\gamma) < 4.4 \times 10^{-8}$$



BaBar Collaboration
Phys. Rev. Lett.
104 (2010) 021802

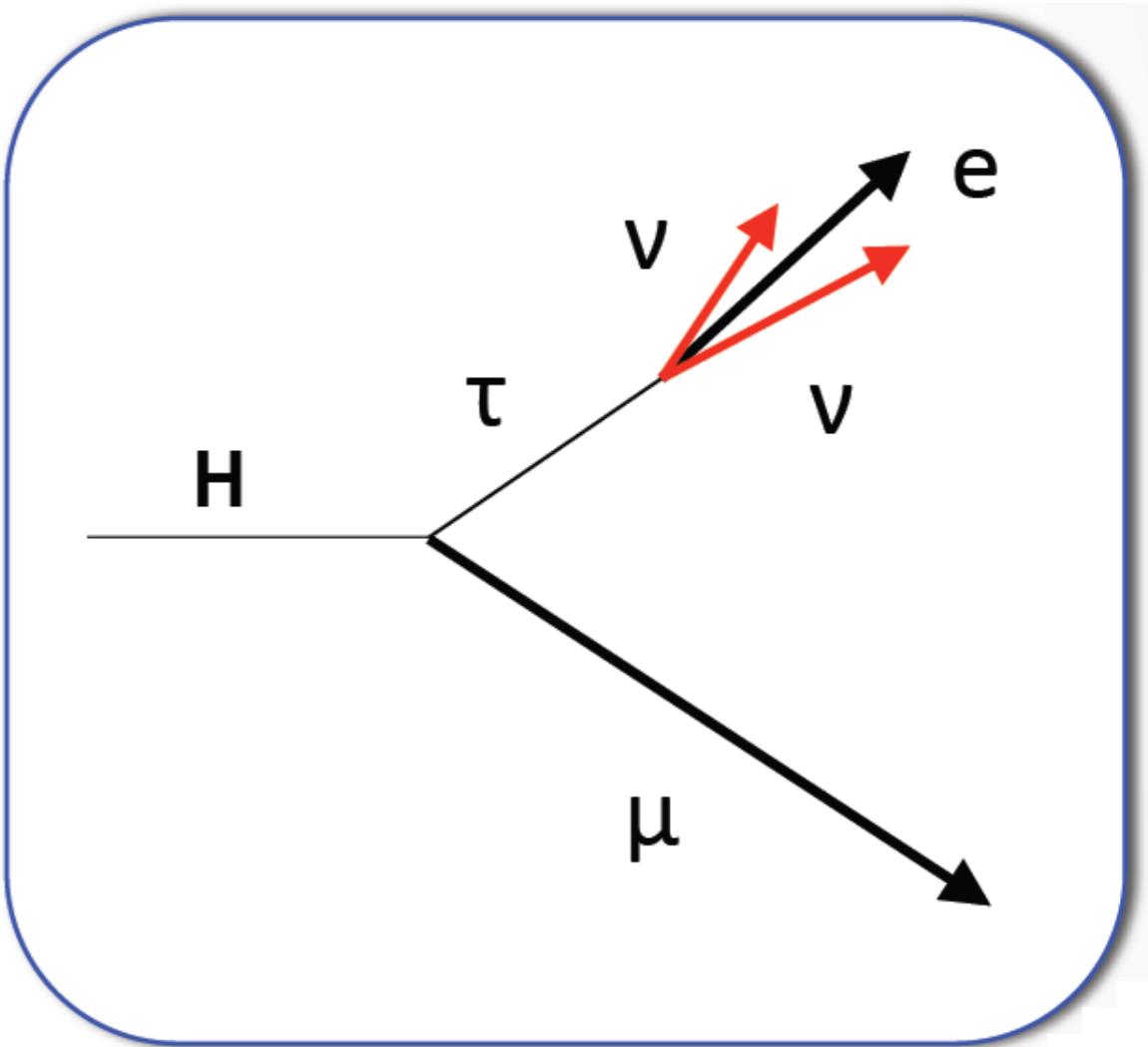
$$\text{Br}(H \rightarrow \mu\tau) \lesssim 10\%$$

Harnik, Kopp, Zupan (1209.1397)



$$H \rightarrow \tau\tau$$

How about direct search at LHC?



$$H \rightarrow \mu\tau$$

vs.

LFV decays of the SM Higgs boson

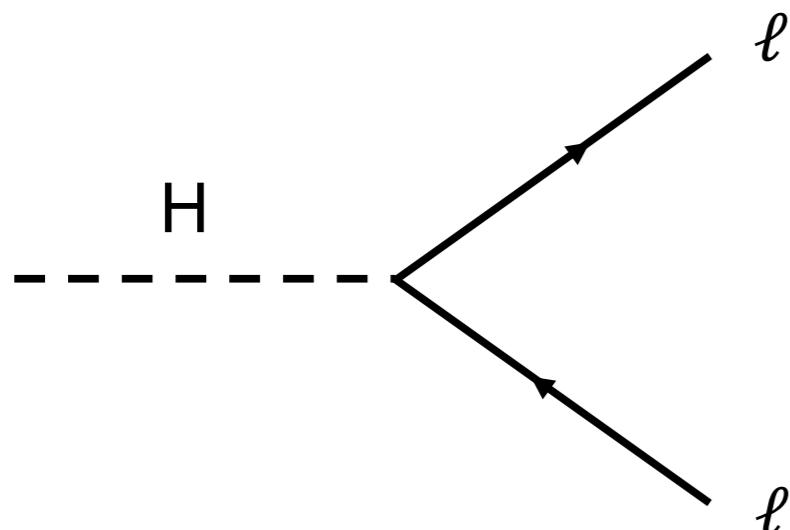
Flavor Violating Higgs Decays
[Roni Harnik](#) , [Joachim Kopp](#) , [Jure Zupan](#)

arXiv:1209.1397

Introduction

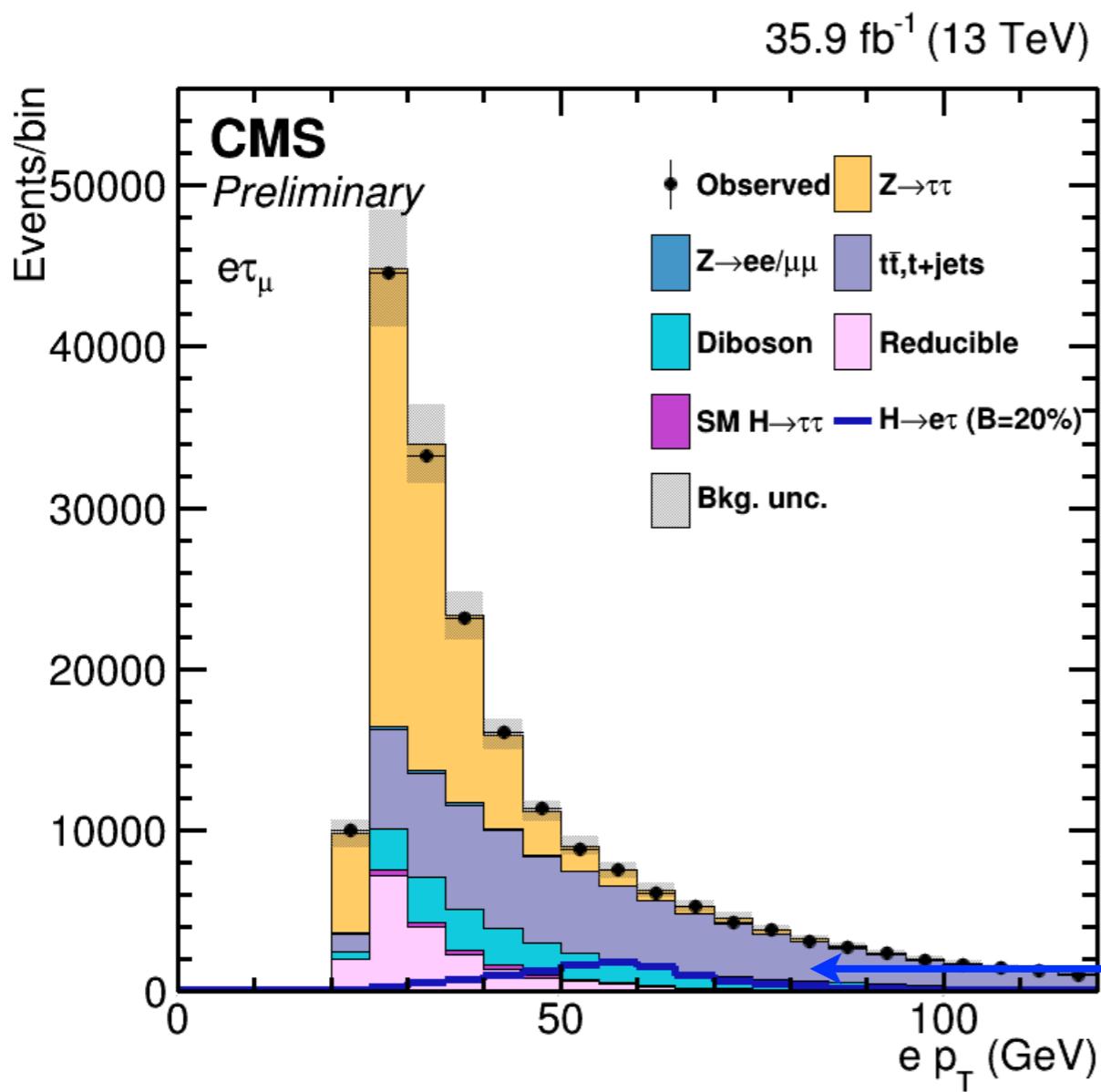
- LFV couplings to the Higgs possible, e.g. if SM only valid to finite scale Λ
- LFV Higgs couplings would allow processes like $\mu \rightarrow e$, $\tau \rightarrow \mu$ and $\tau \rightarrow e$ via a virtual Higgs boson

$$Y_{ij} = \frac{m_i}{v} \delta_{ij} + \frac{v^2}{\sqrt{2}\Lambda^2} \hat{\lambda}_{ij}$$

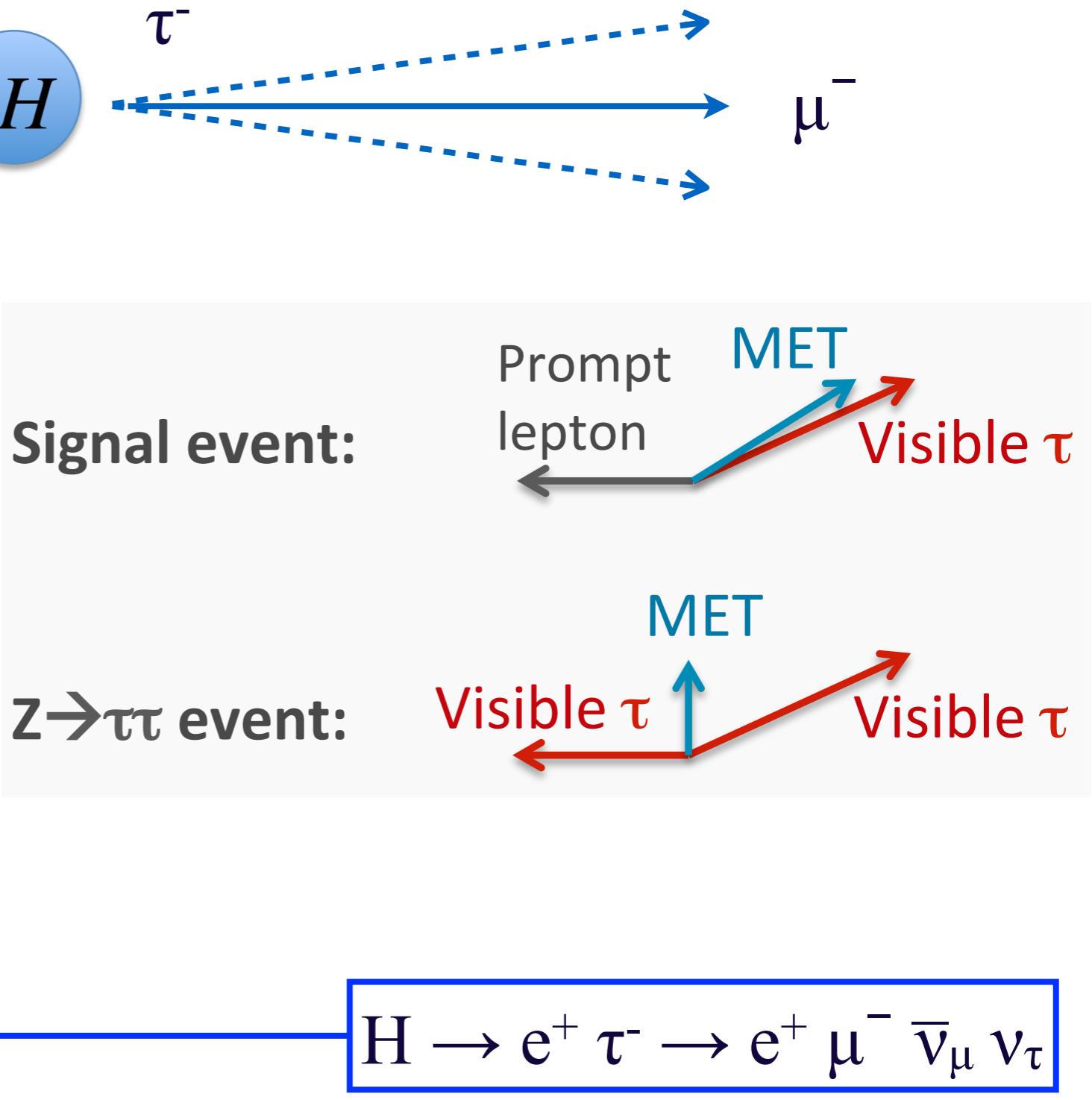
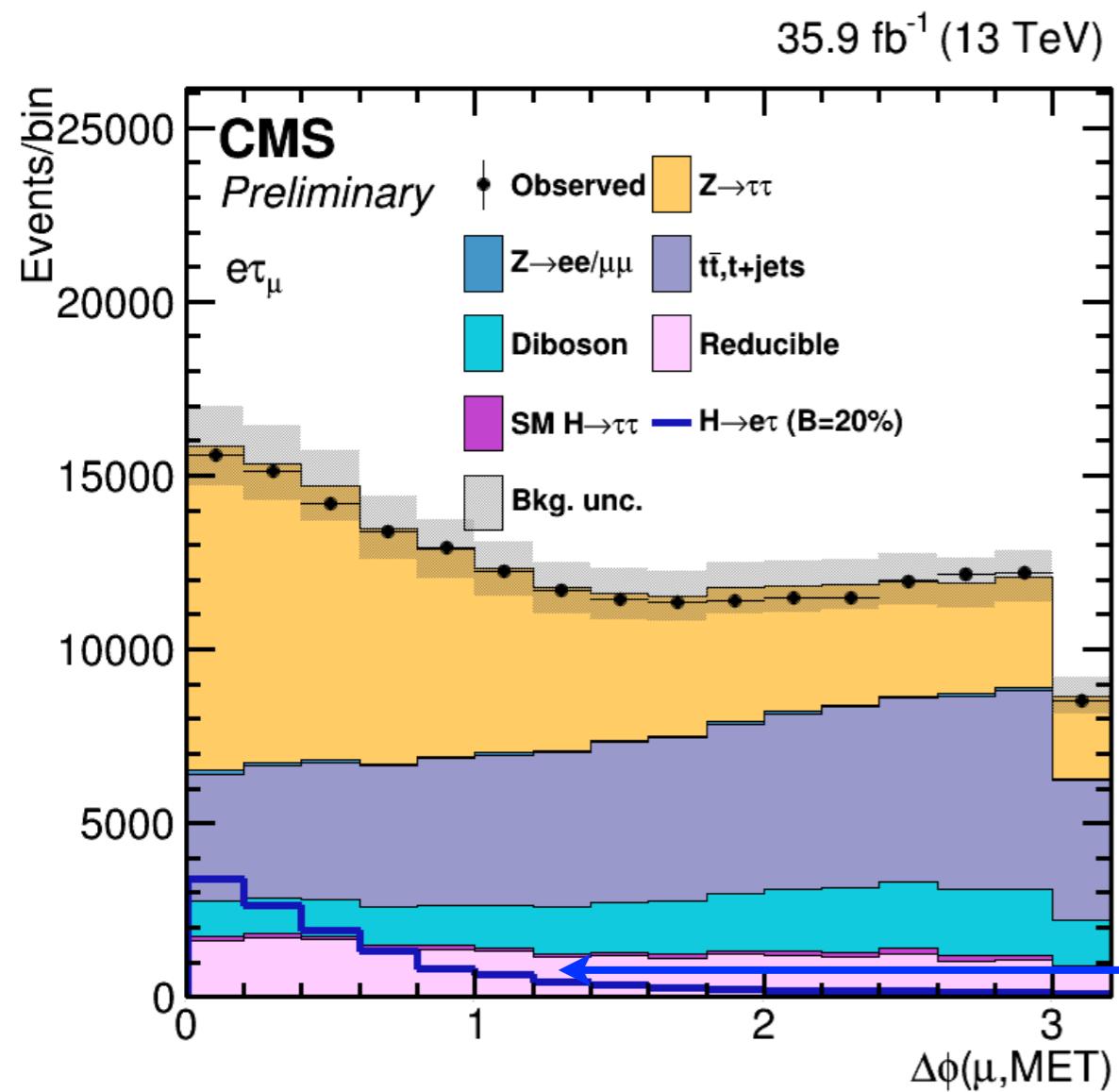


- $\mathcal{B}(H \rightarrow e\mu) < \mathcal{O}(10^{-8})$ @ 95% CL from $\mu \rightarrow e\gamma$
 - $\mathcal{B}(H \rightarrow e\tau/\mu\tau) < \mathcal{O}(10\%)$ @ 95% CL from $\tau \rightarrow e\gamma/\mu\gamma$ and e/μ g-2 measurements
 - $\mathcal{B}(H \rightarrow e\tau/\mu\tau) < 13\%$ @ 95% CL from theoretical reinterpretation of $H \rightarrow \tau\tau$ search results from ATLAS
- direct search very promising

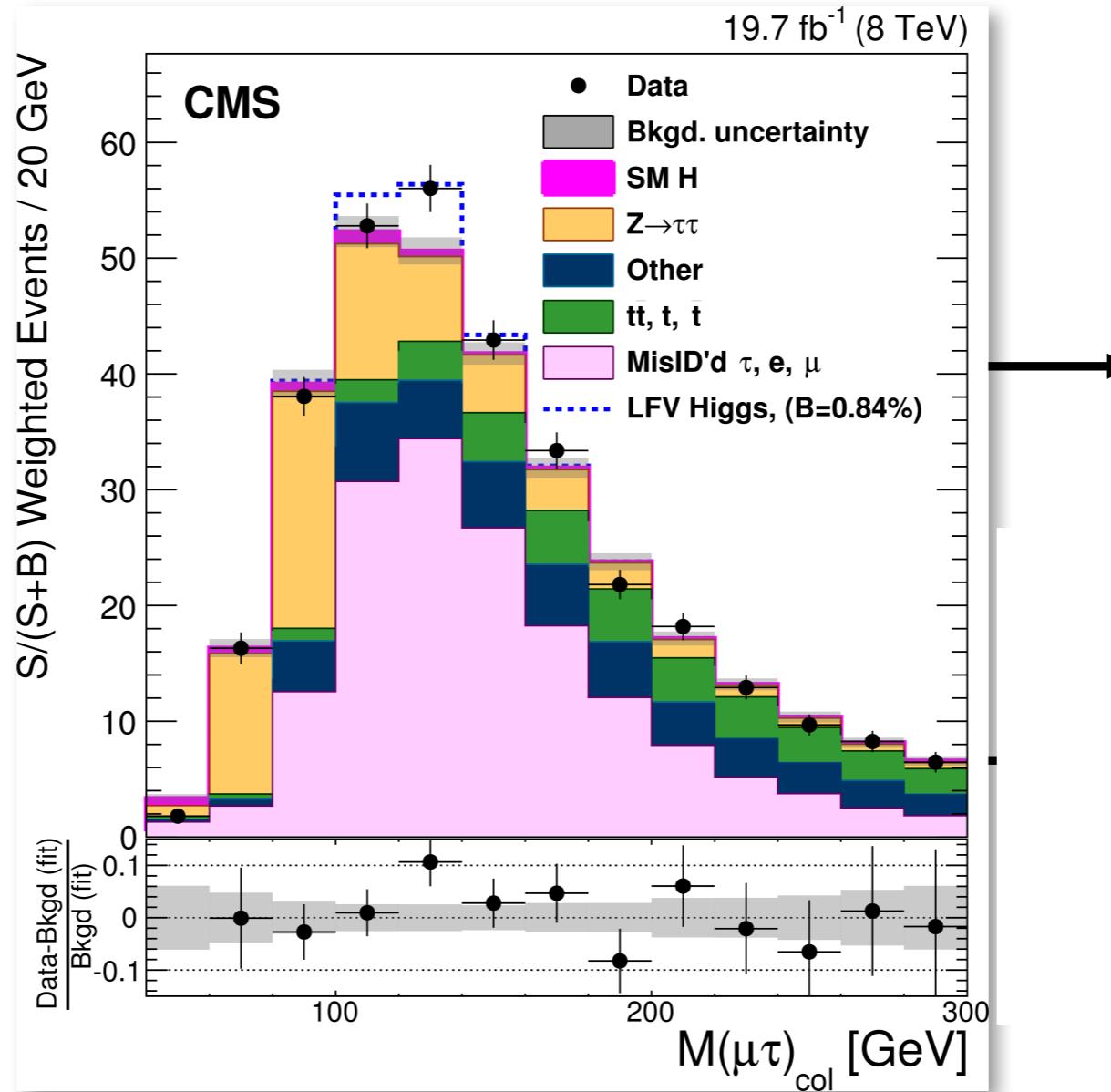
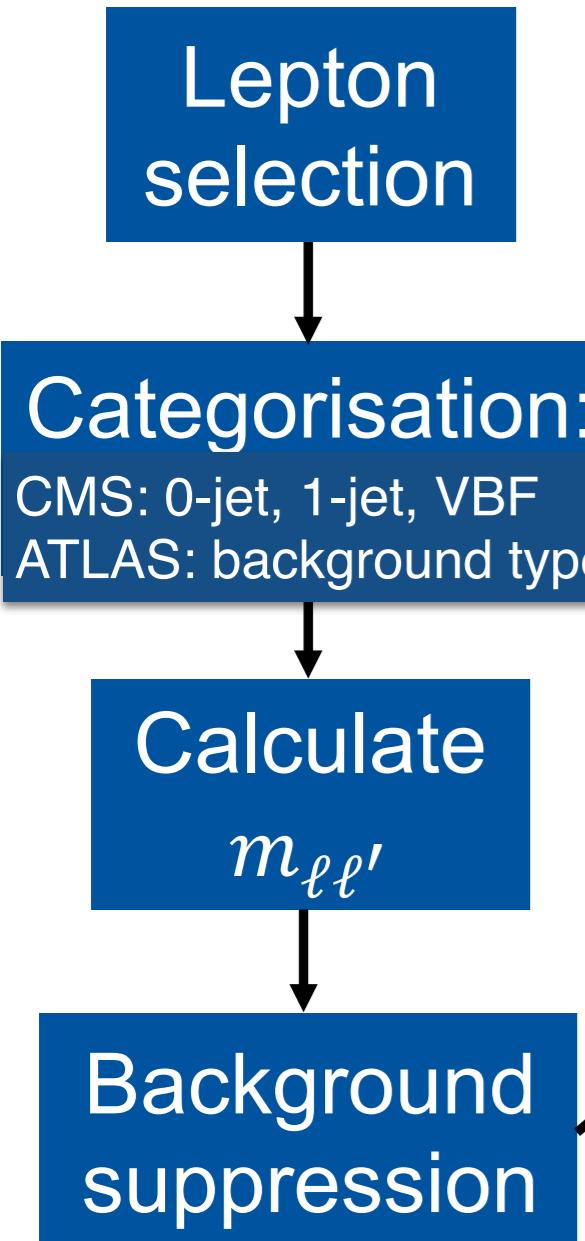
Event topology



Event topology

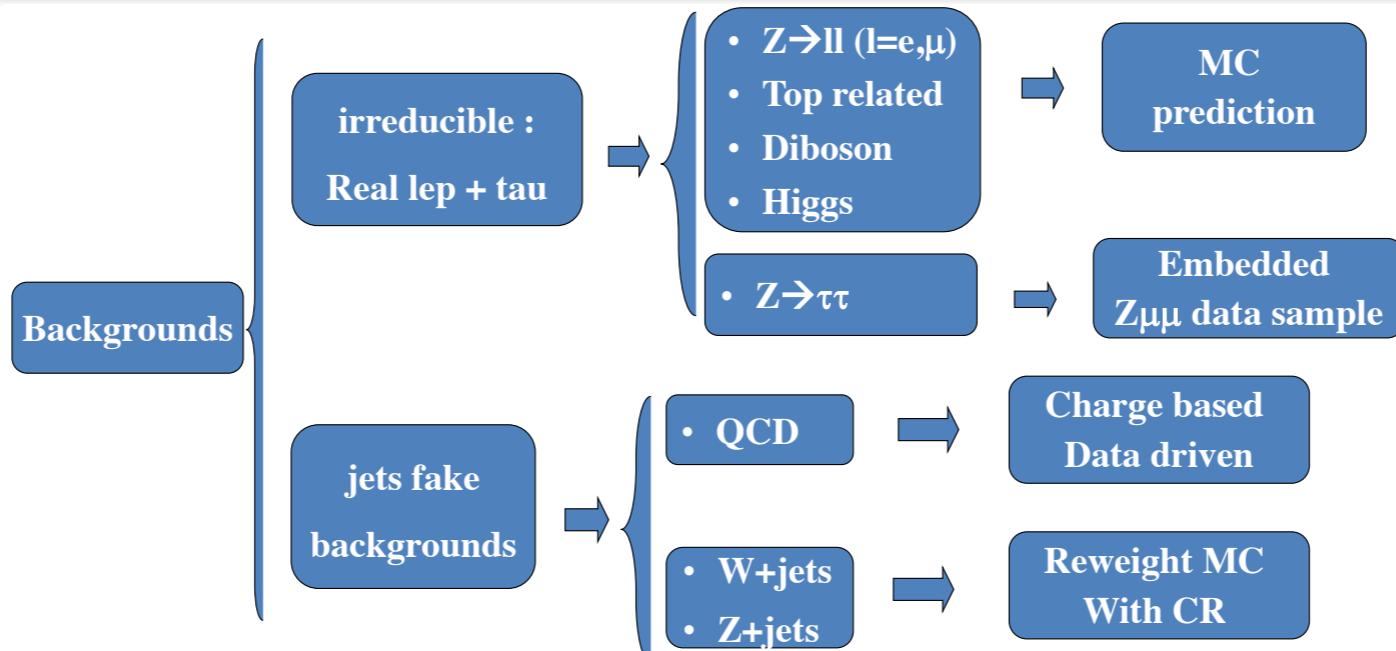


Search Strategy



Determine
 $\mathcal{B}(H \rightarrow \ell\ell')$

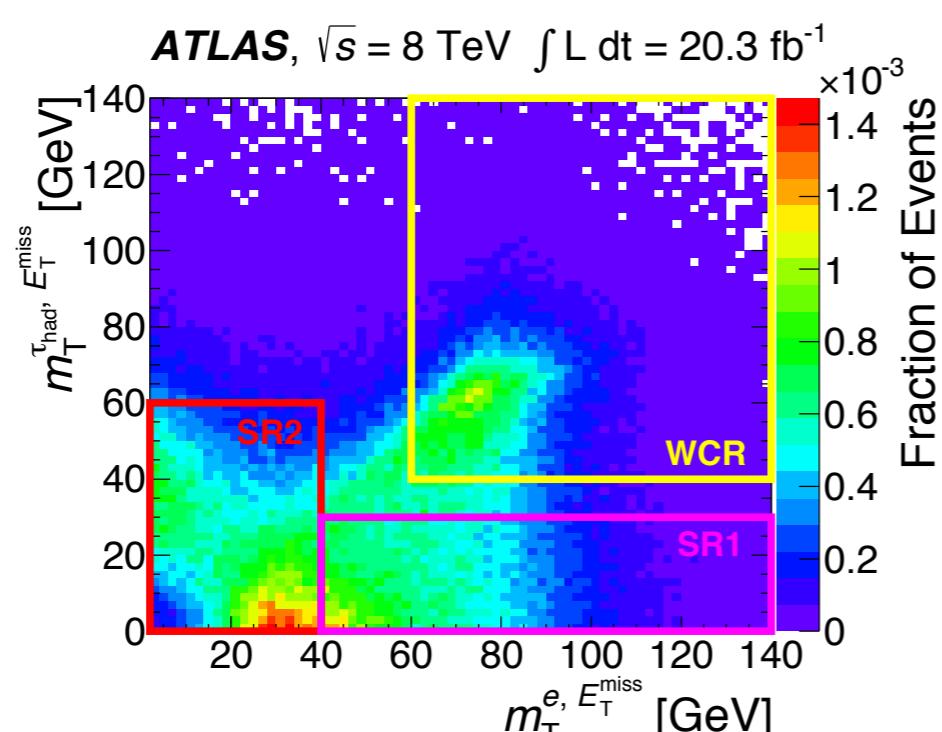
ATLAS (8 TeV): $H \rightarrow e/\mu \tau_{\text{had}}$



Regions defined in terms of the *transverse mass*

$$m_T^{\ell, E_T^{\text{miss}}} \equiv \sqrt{2 p_T^\ell E_T^{\text{miss}} (1 - \cos \Delta\phi)}$$

with $\Delta\phi$ angle between ℓ and the direction of E_T^{miss}



SR1: $m_T(\mu, E_T^{\text{miss}}) > 40 \text{ GeV}$ &
 $m_T(\tau_{\text{had}}, E_T^{\text{miss}}) < 30 \text{ GeV}$

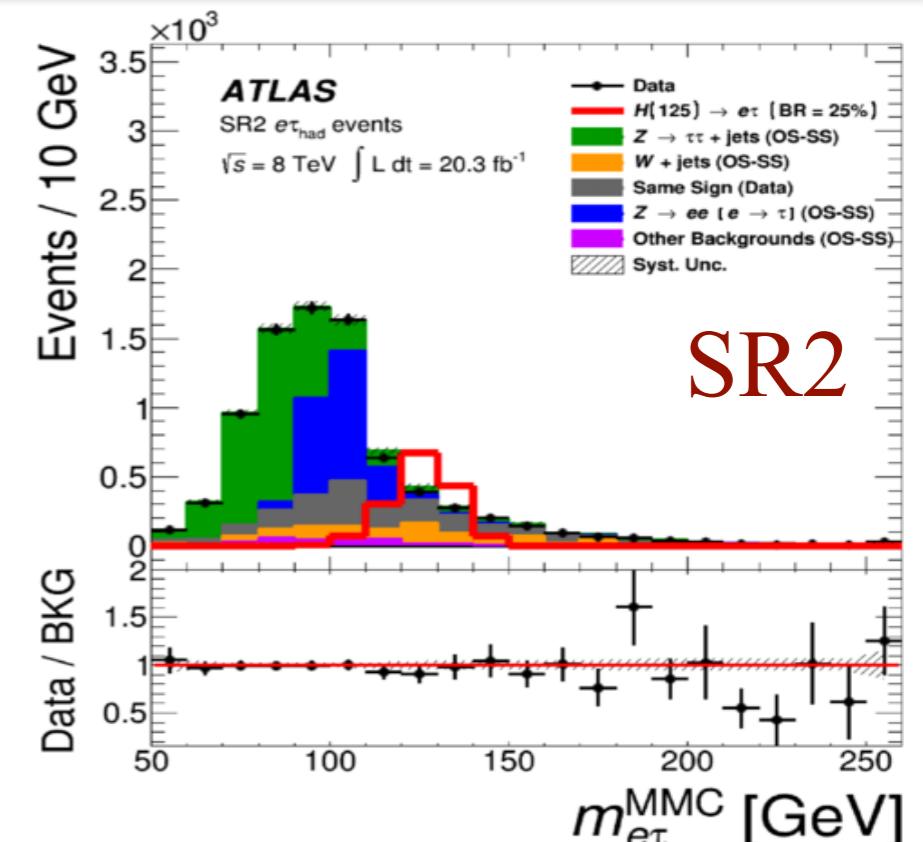
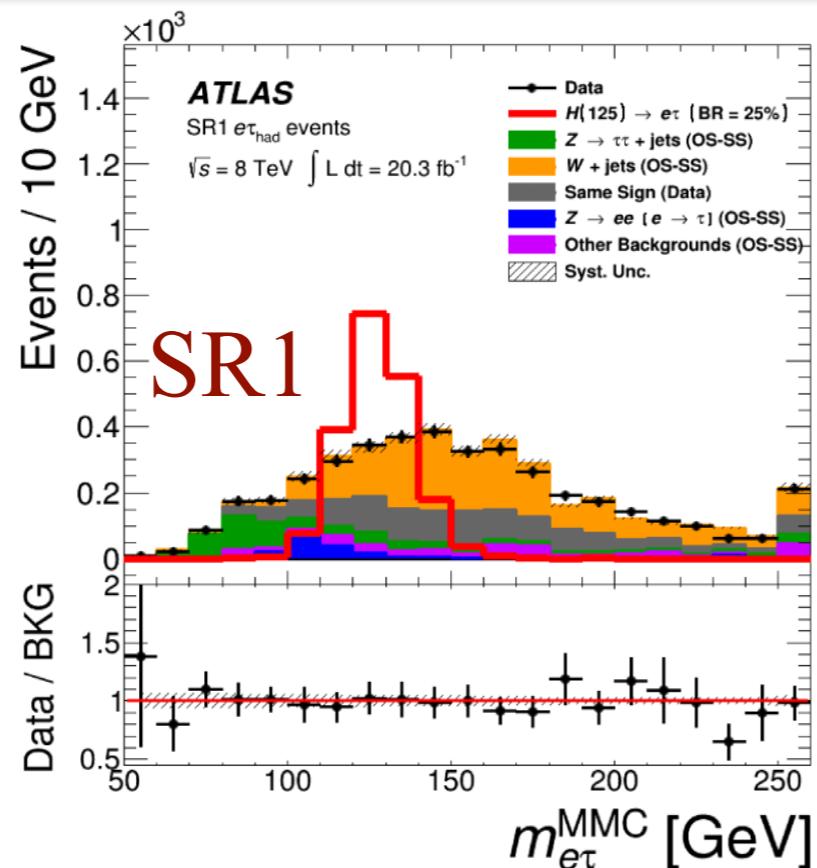
dominant bkgd W+jets

SR2: $m_T(\mu, E_T^{\text{miss}}) < 40 \text{ GeV}$ &
 $m_T(\tau_{\text{had}}, E_T^{\text{miss}}) < 60 \text{ GeV}$

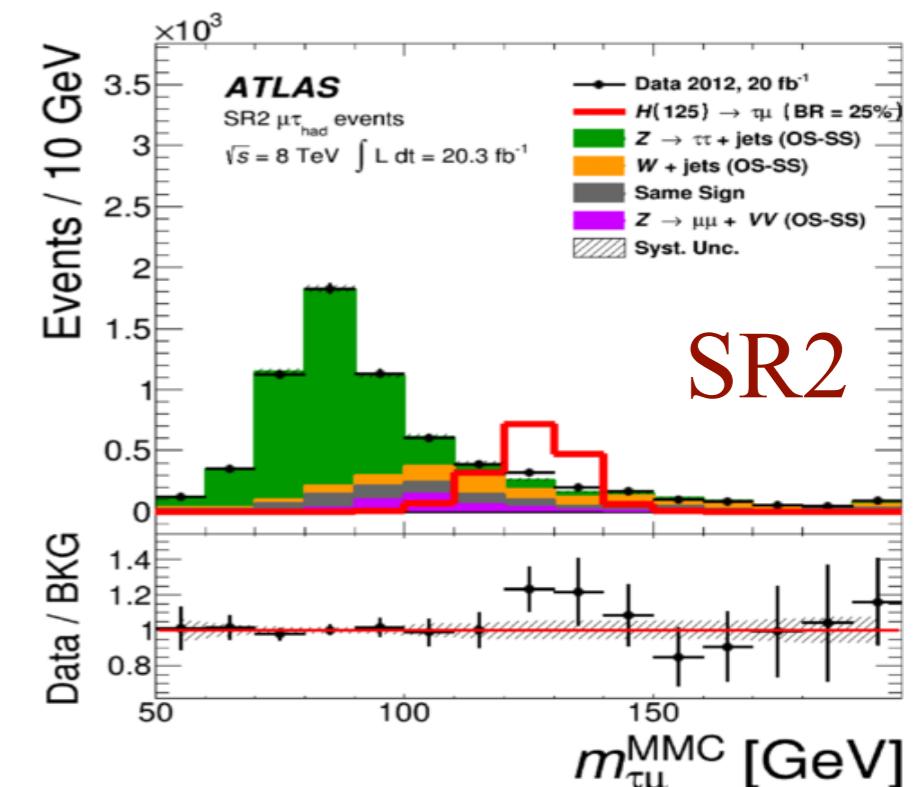
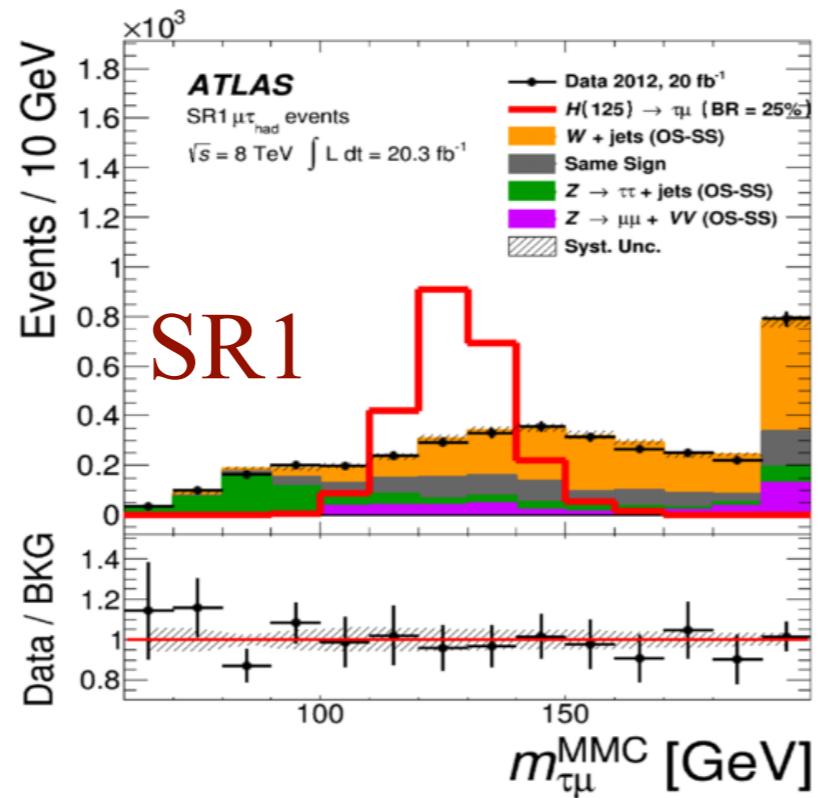
dominant bkgd Z+jets

ATLAS (8 TeV): $H \rightarrow e/\mu \tau_{\text{had}}$

$H \rightarrow e \tau_{\text{had}}$



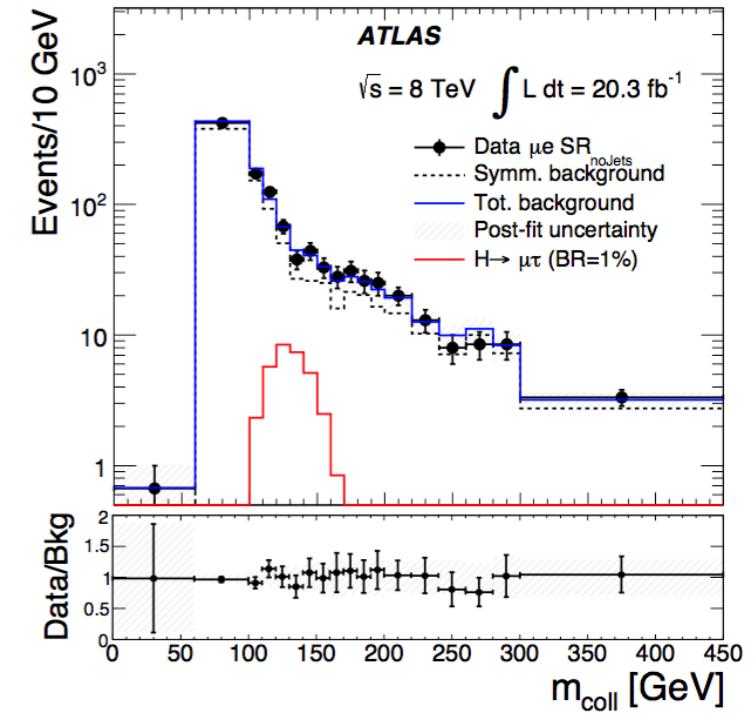
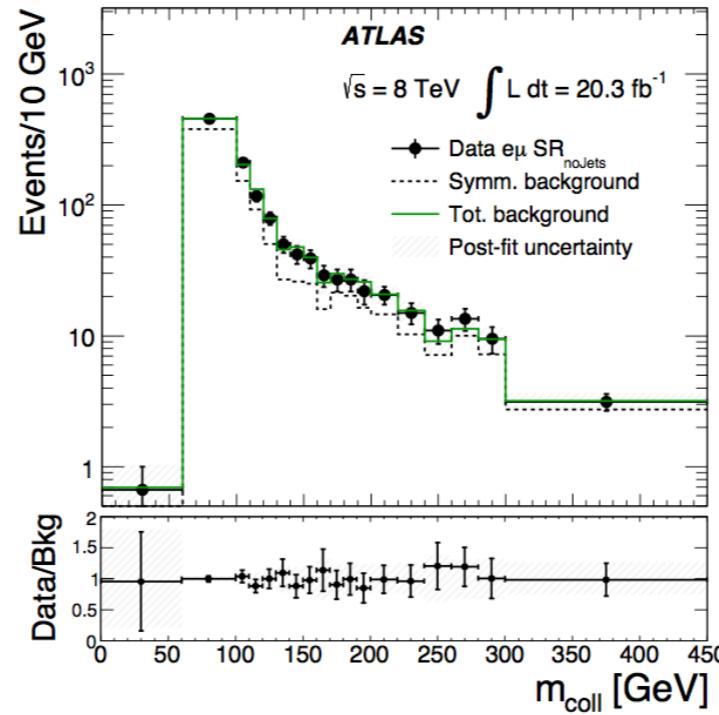
$H \rightarrow \mu \tau_{\text{had}}$



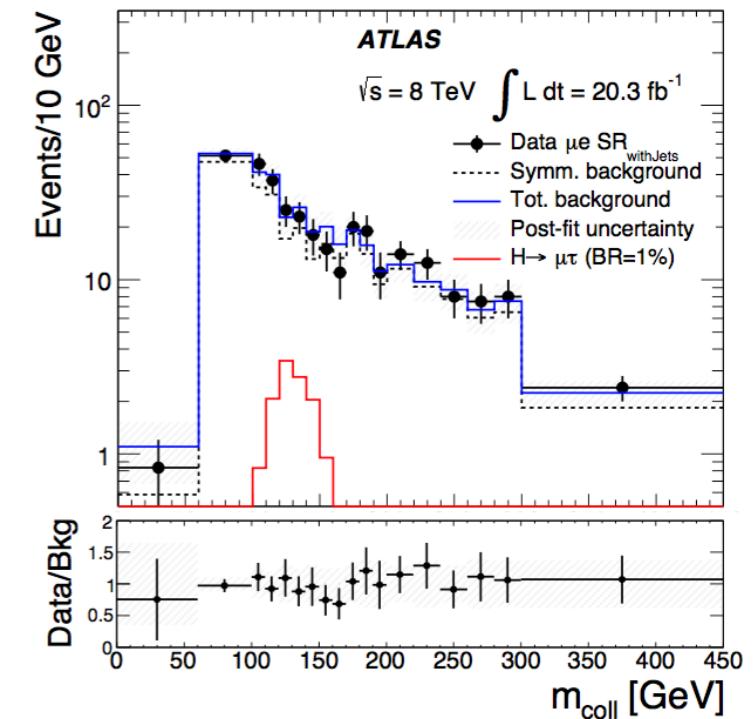
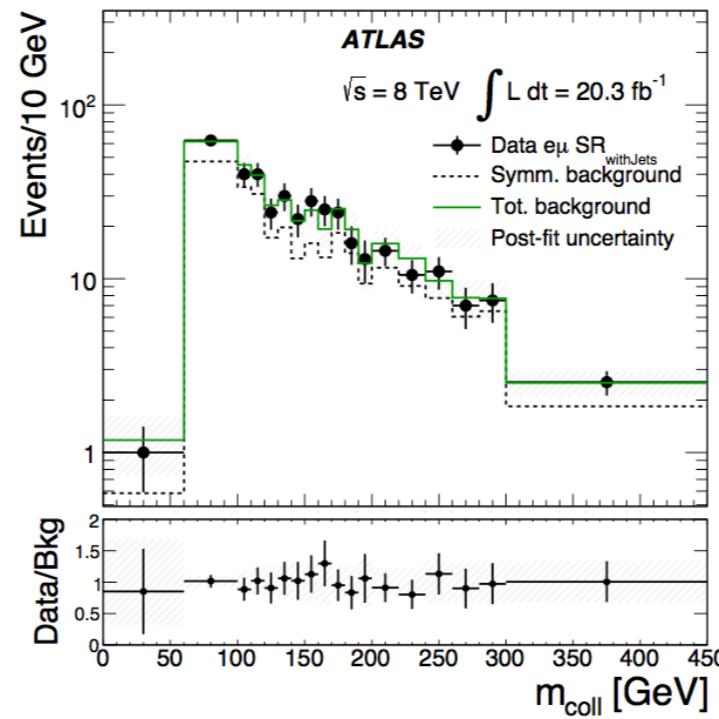
ATLAS (8 TeV): $H \rightarrow e \tau_\mu, \mu \tau_e$

Data driven asymmetry method : SM backgrounds are e/μ symmetric

Signal region:
no jets

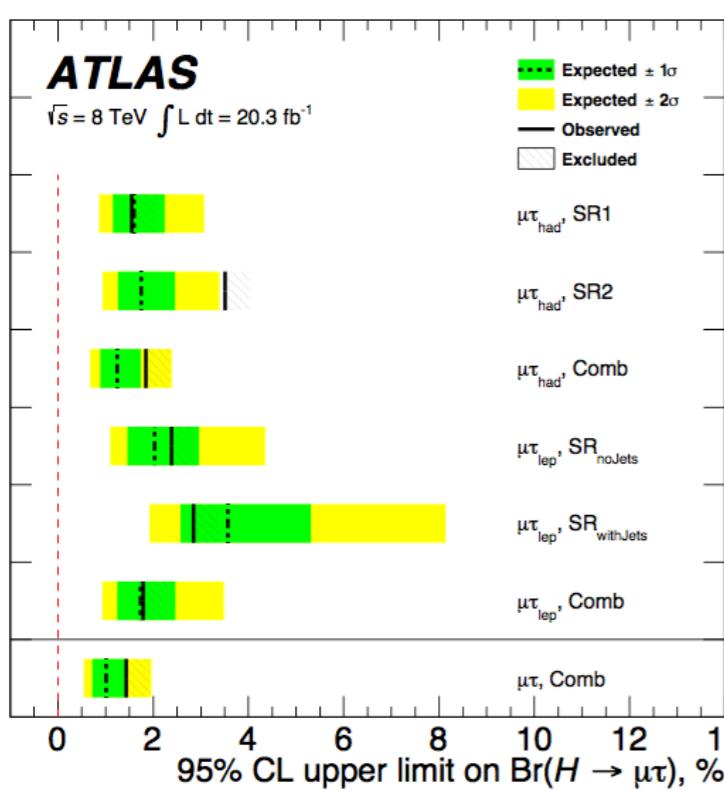
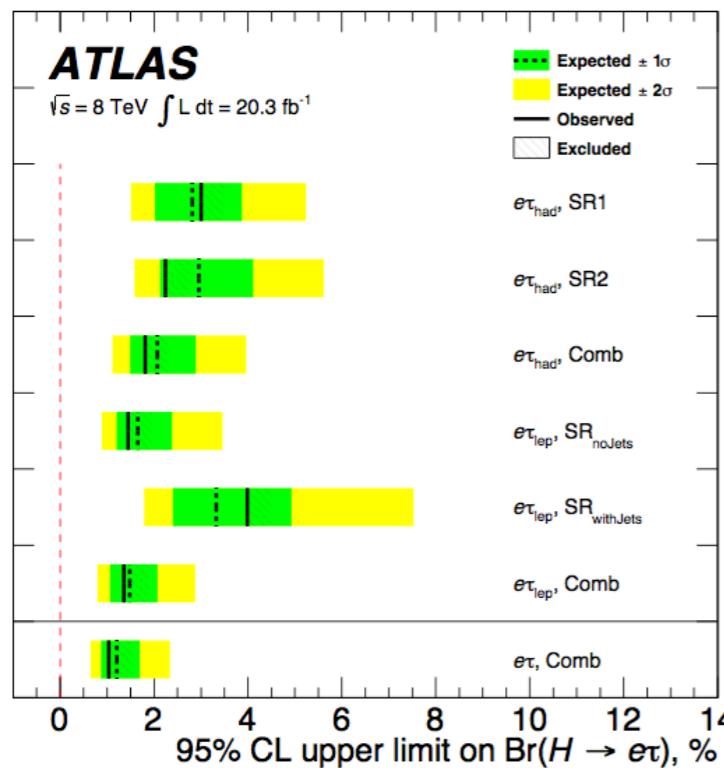


Signal region:
with jets



ATLAS (8 TeV): $H \rightarrow e/\mu \tau$

ATLAS 1604.07730

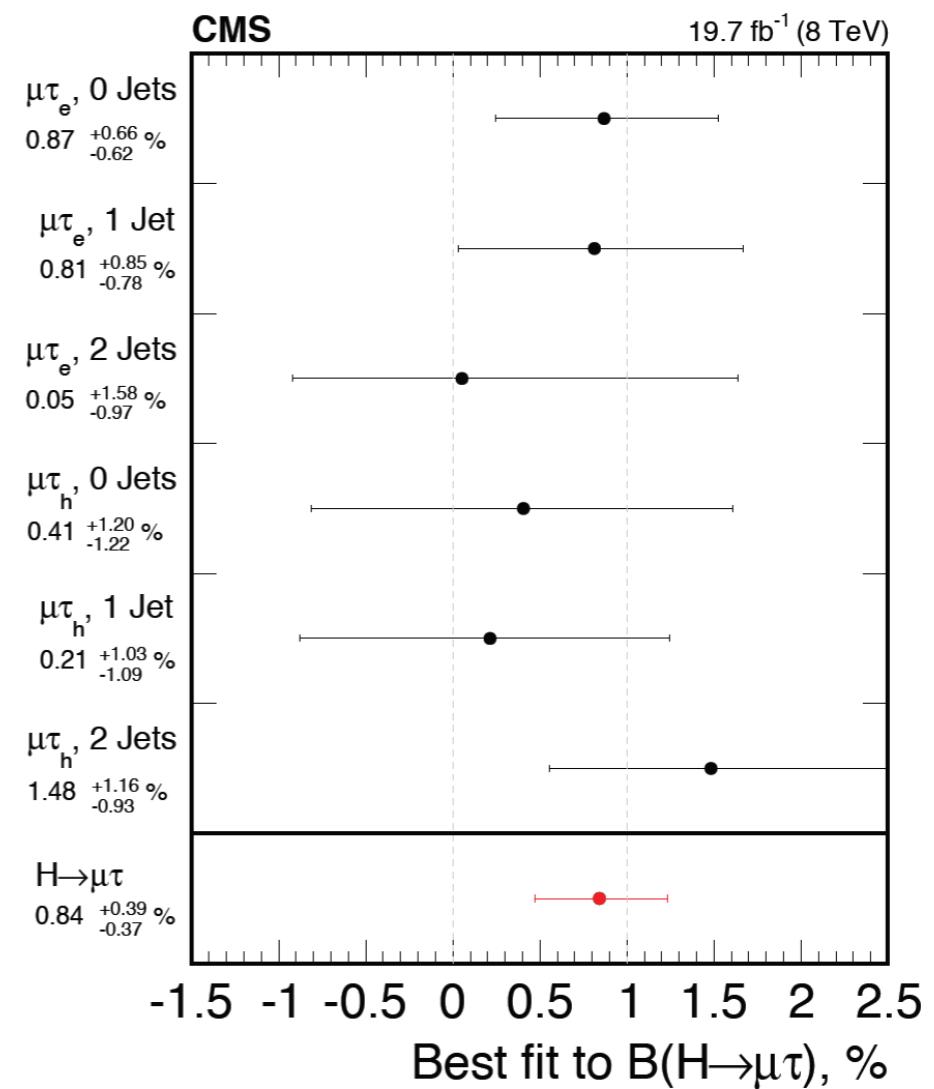
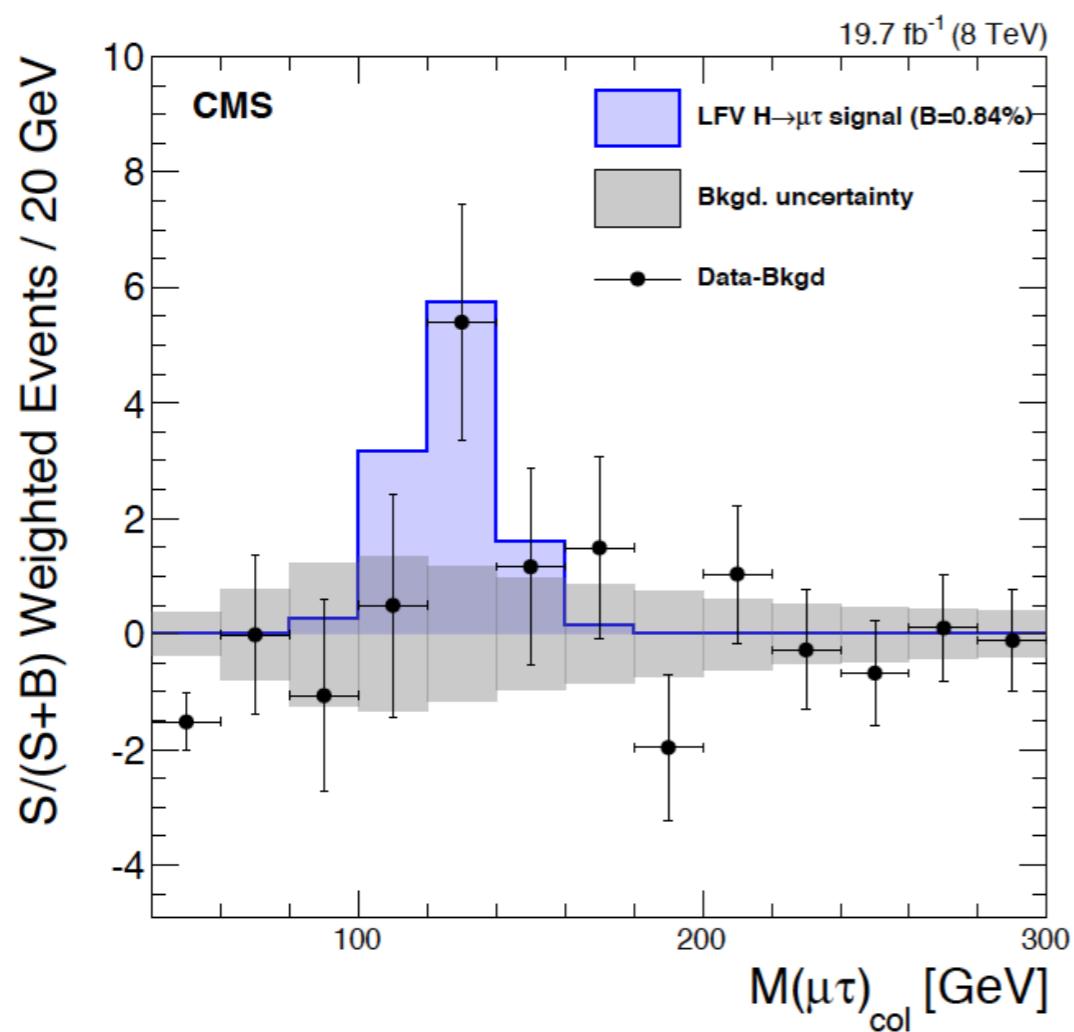


Channel	Category	Expected limit [%]	Observed limit [%]	Best fit Br [%]
$H \rightarrow e\tau_{\text{had}}$	SR1	$2.81^{+1.06}_{-0.79}$	3.0	$0.33^{+1.48}_{-1.59}$
	SR2	$2.95^{+1.16}_{-0.82}$	2.24	$-1.33^{+1.56}_{-1.80}$
	Combined	$2.07^{+0.82}_{-0.58}$	1.81	$-0.47^{+1.08}_{-1.18}$
$H \rightarrow e\tau_{\text{lep}}$	SR _{noJets}	$1.66^{+0.72}_{-0.46}$	1.45	$-0.45^{+0.89}_{-0.97}$
	SR _{withJets}	$3.33^{+1.60}_{-0.93}$	3.99	$0.74^{+1.59}_{-1.62}$
	Combined	$1.48^{+0.60}_{-0.42}$	1.36	$-0.26^{+0.79}_{-0.82}$
$H \rightarrow e\tau$	Combined	$1.21^{+0.49}_{-0.34}$	1.04	$-0.34^{+0.64}_{-0.66}$
$H \rightarrow \mu\tau_{\text{had}}$	SR1	$1.60^{+0.64}_{-0.45}$	1.55	$-0.07^{+0.81}_{-0.86}$
	SR2	$1.75^{+0.71}_{-0.49}$	3.51	$1.94^{+0.92}_{-0.89}$
	Combined	$1.24^{+0.50}_{-0.35}$	1.85	$0.77^{+0.62}_{-0.62}$
$H \rightarrow \mu\tau_{\text{lep}}$	SR _{noJets}	$2.03^{+0.93}_{-0.57}$	2.38	$0.31^{+1.06}_{-0.99}$
	SR _{withJets}	$3.57^{+1.74}_{-1.00}$	2.85	$-1.03^{+1.66}_{-1.82}$
	Combined	$1.73^{+0.74}_{-0.49}$	1.79	$0.03^{+0.88}_{-0.86}$
$H \rightarrow \mu\tau$	Combined	$1.01^{+0.40}_{-0.29}$	1.43	$0.53^{+0.51}_{-0.51}$

Compatible with 0 at the level of 1σ

CMS (8 TeV): $H \rightarrow \mu\tau$

Sample	$H \rightarrow \mu\tau_h$			$H \rightarrow \mu\tau_e$		
	0-Jet	1-Jet	2-Jets	0-Jet	1-Jet	2-Jets
SM H background	7.1 ± 1.3	5.3 ± 0.8	1.6 ± 0.5	1.9 ± 0.3	1.6 ± 0.2	0.6 ± 0.1
sum of backgrounds	2125 ± 530	513 ± 114	5.4 ± 1.4	160 ± 19	118 ± 9	5.6 ± 0.9
LFV Higgs boson signal	66 ± 18	30 ± 8	2.9 ± 1.1	23 ± 6	13 ± 3	1.2 ± 0.3
data	2147	511	10	180	128	6

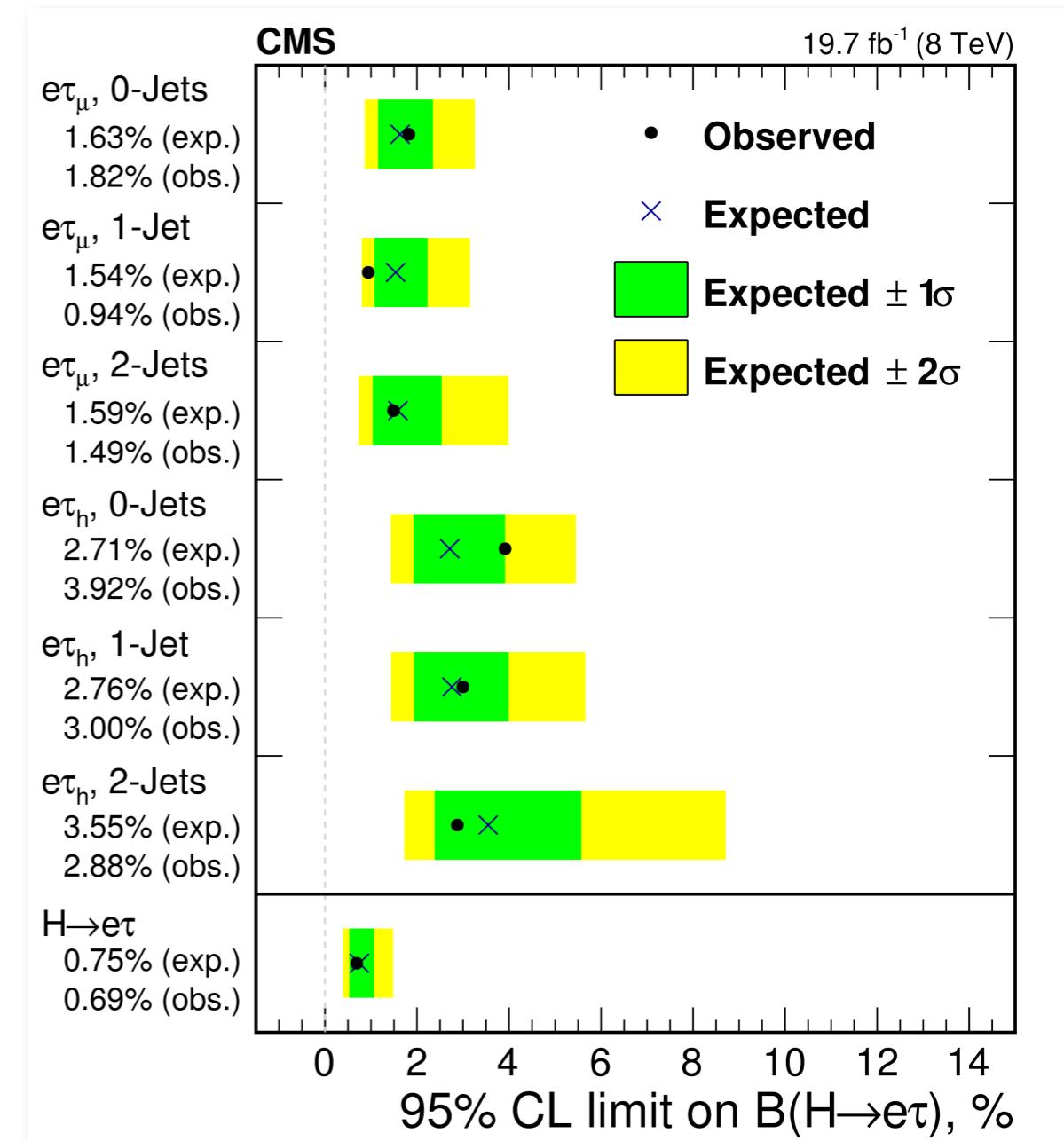
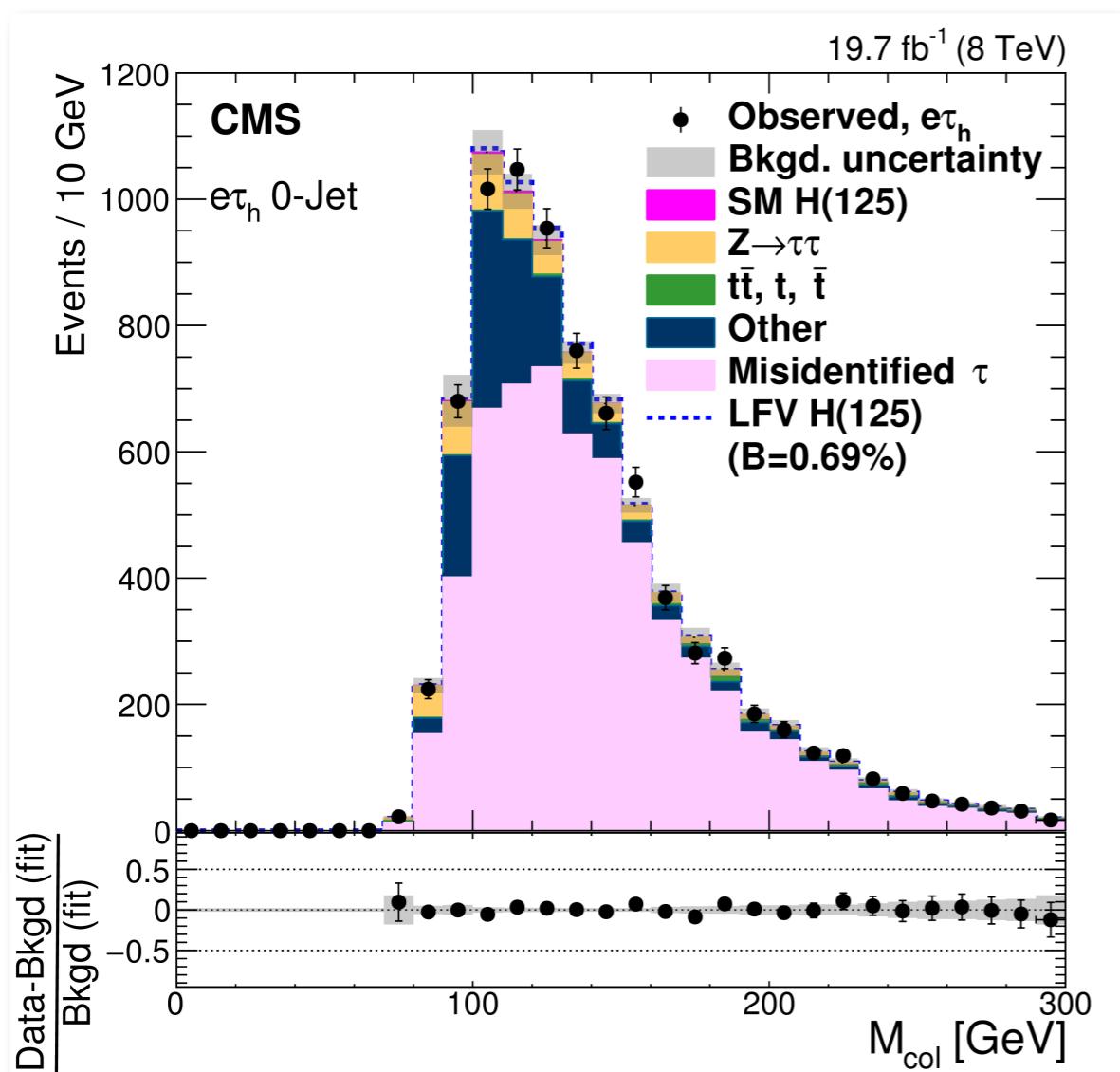


CMS, arXiv:1502.07400

$$\mathcal{B}(H \rightarrow \mu\tau) = (0.84^{+0.39}_{-0.37})\%$$

2.4 σ
excess

Results: $H \rightarrow e\tau$

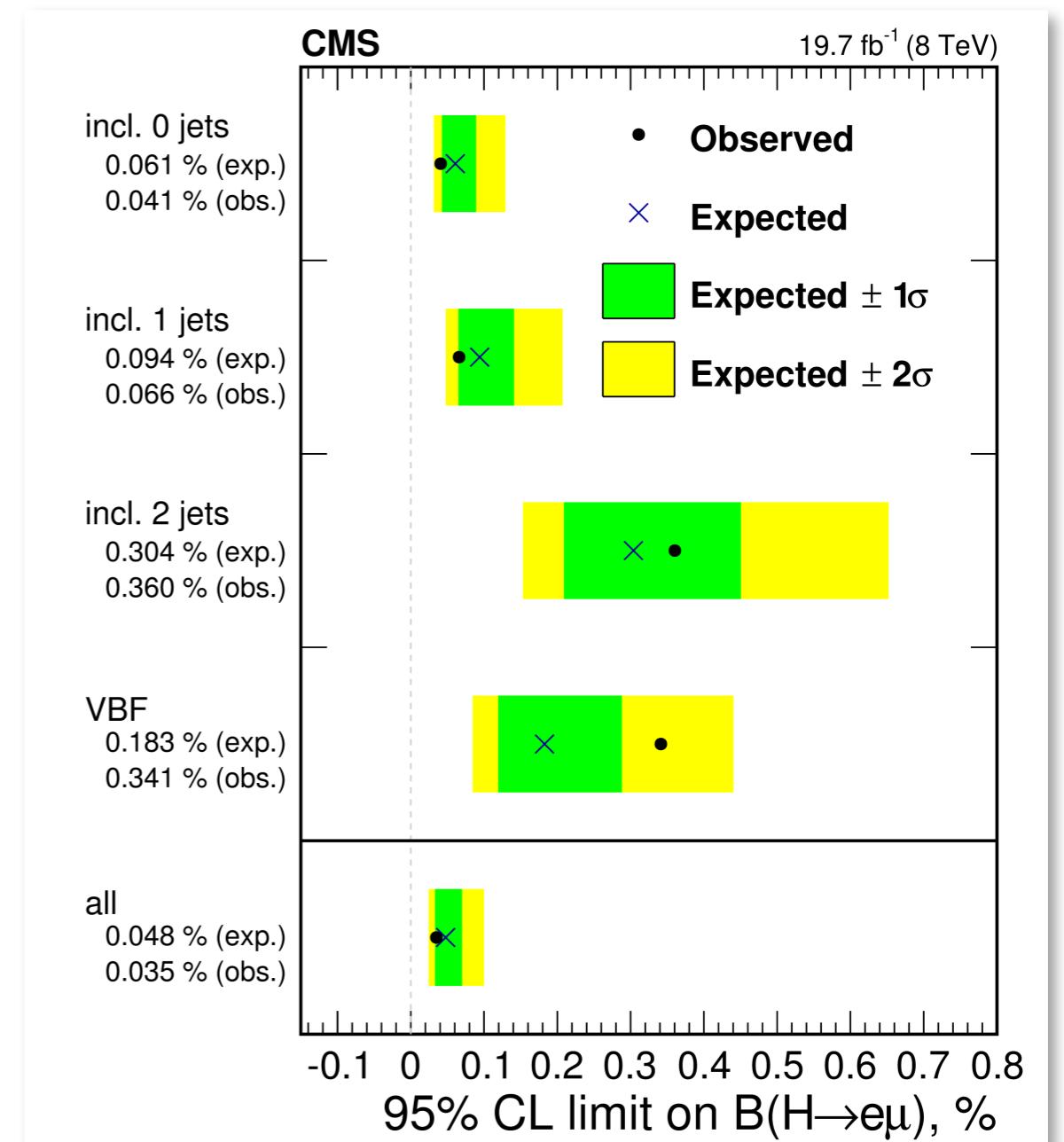
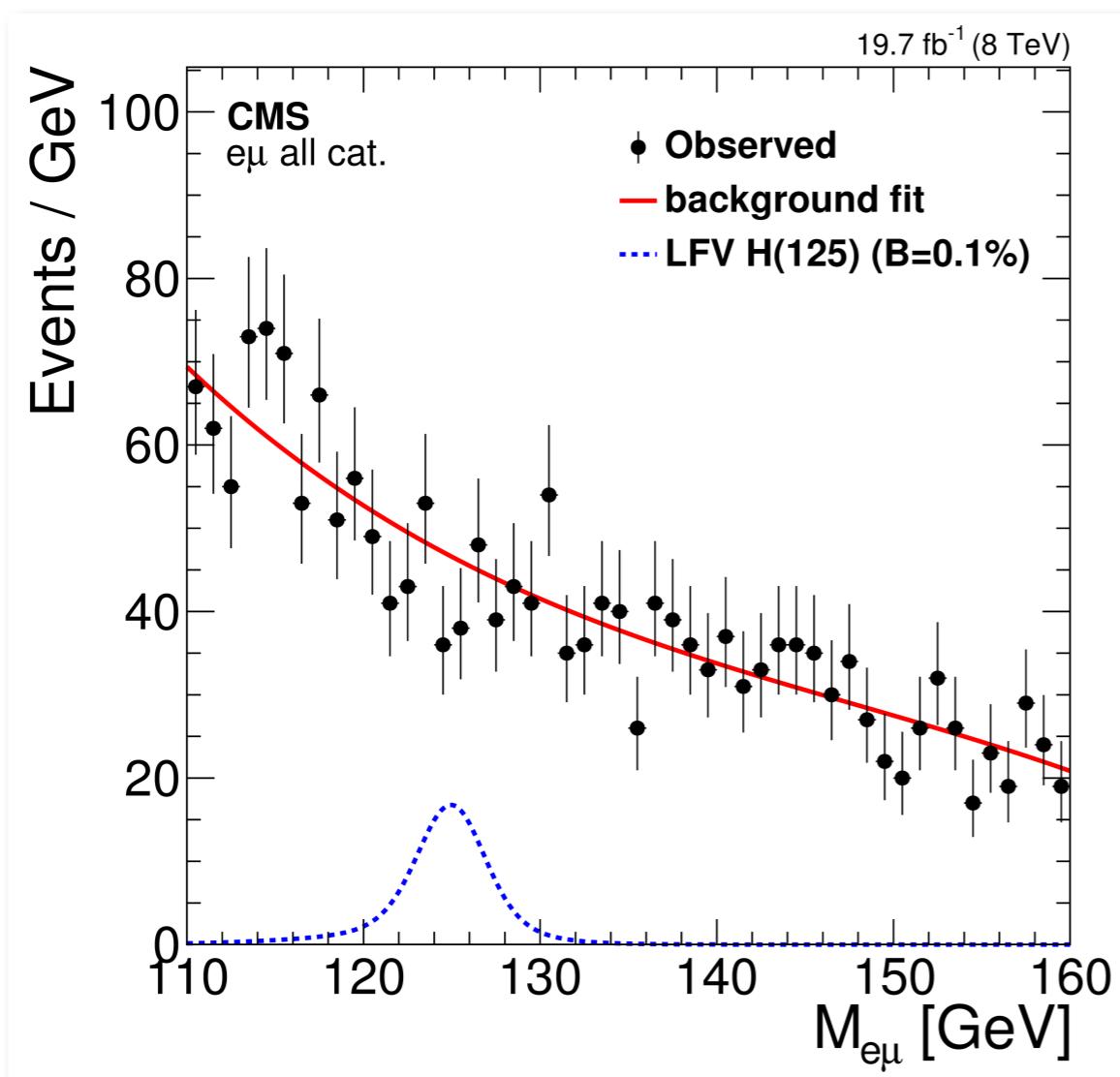


CMS, arXiv:1607.03561

$\mathcal{B}(H \rightarrow e\tau) < 0.69\% @ 95\% \text{ CL}$

CMS (8 TeV): $H \rightarrow e\mu$

Results: $H \rightarrow e\mu$



CMS, arXiv:1607.03561

$B(H \rightarrow e\mu) < 0.035\% @ 95\% \text{ CL}$

CMS (13 TeV, 2015 dataset): $H \rightarrow \mu\tau$

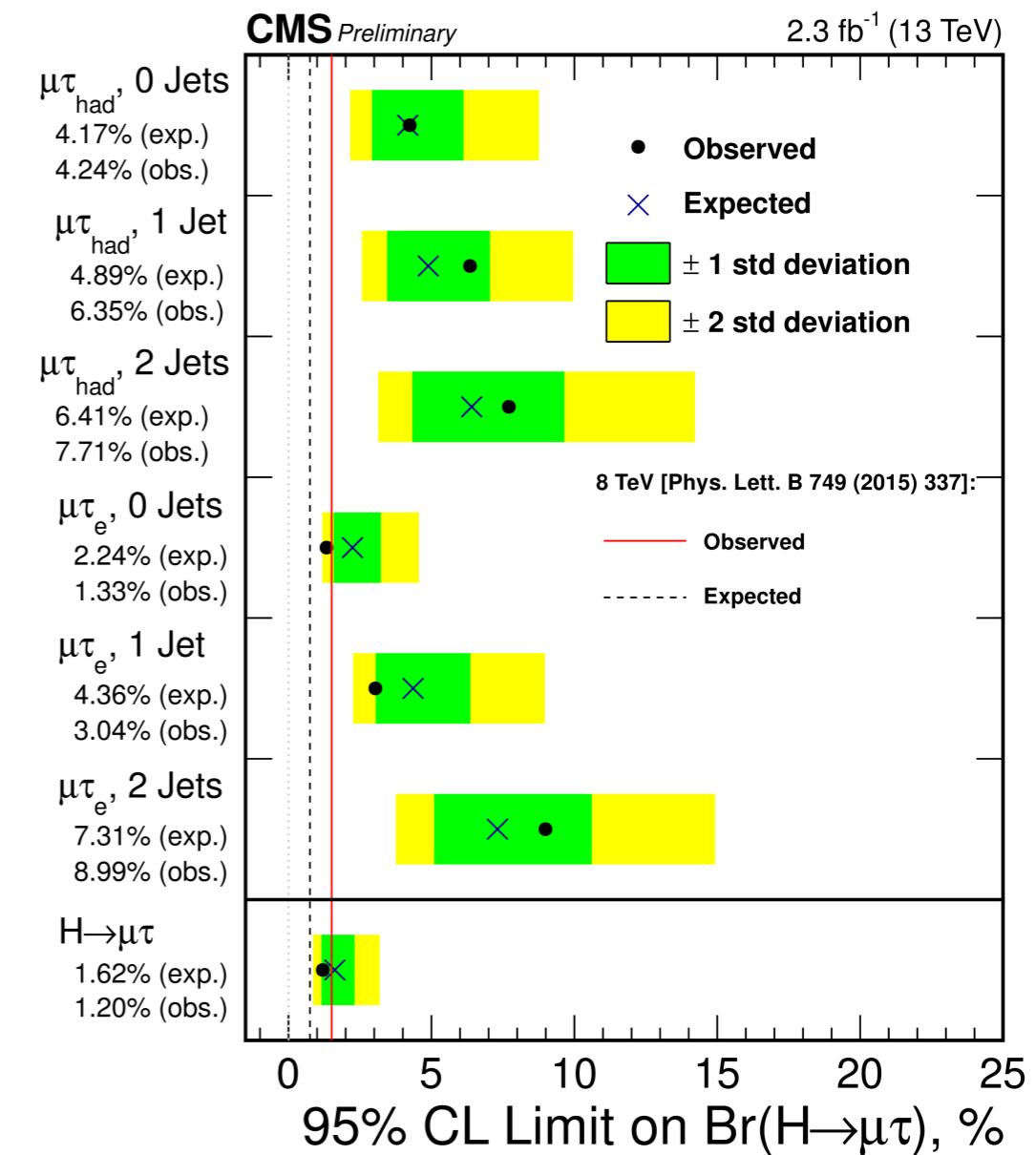
$H \rightarrow \mu\tau @ 13 \text{ TeV}$

- Very similar analysis
- Uses 2.3 fb^{-1} of data from 2015
- Excess from 8 TeV data not confirmed but also not excluded!

$\mathcal{B}(H \rightarrow \mu\tau) < 1.20\% @ 95\% \text{ CL}$

	Best-fit branching fractions			
	0-jet (%)	1-jet (%)	2-jets (%)	Combined (%)
$\mu\tau_h$	$0.12^{+2.02}_{-1.91}$	$1.70^{+2.41}_{-2.52}$	$1.54^{+3.12}_{-2.71}$	$1.12^{+1.45}_{-1.40}$
$\mu\tau_e$	$-2.11^{+1.30}_{-1.89}$	$-2.18^{+1.99}_{-2.05}$	$2.04^{+2.96}_{-3.31}$	$-1.81^{+1.07}_{-1.32}$
$\mu\tau$		$-0.76^{+0.81\%}_{-0.84}$		

CMS-PAS-HIG-16-005



Status as of last week

Datasets studied:

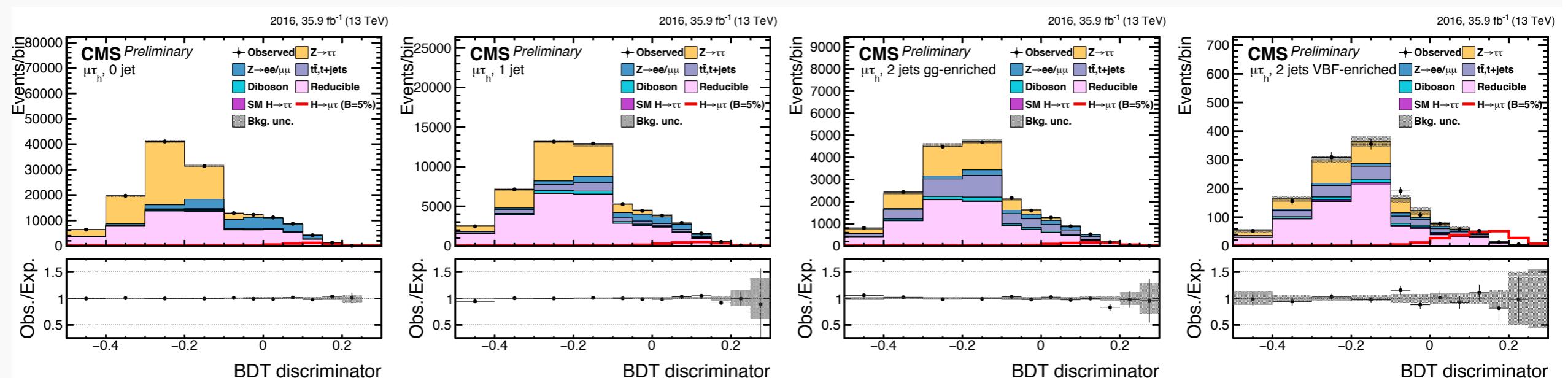
Experiment		Process	\sqrt{s} [TeV]	JL [fb $^{-1}$]
CMS	1502.07400	H \rightarrow $\tau\mu$	8	20
CMS	1607.03561	H \rightarrow e μ H \rightarrow e τ	8	20
CMS	PAS HIG 16 005	H \rightarrow $\tau\mu$	13	2.3
ATLAS	1508.03372	H \rightarrow $\tau\mu$	8	20
ATLAS	1604.07730	H \rightarrow $\tau\mu$ H \rightarrow τe	8	20

Best Upper Limits:

Process	CMS	ATLAS
H \rightarrow $\tau\mu$	BR<1.20%	BR<1.40%
H \rightarrow τe	BR<0.69%	BR<1.04%
H \rightarrow e μ	BR<0.048%	-

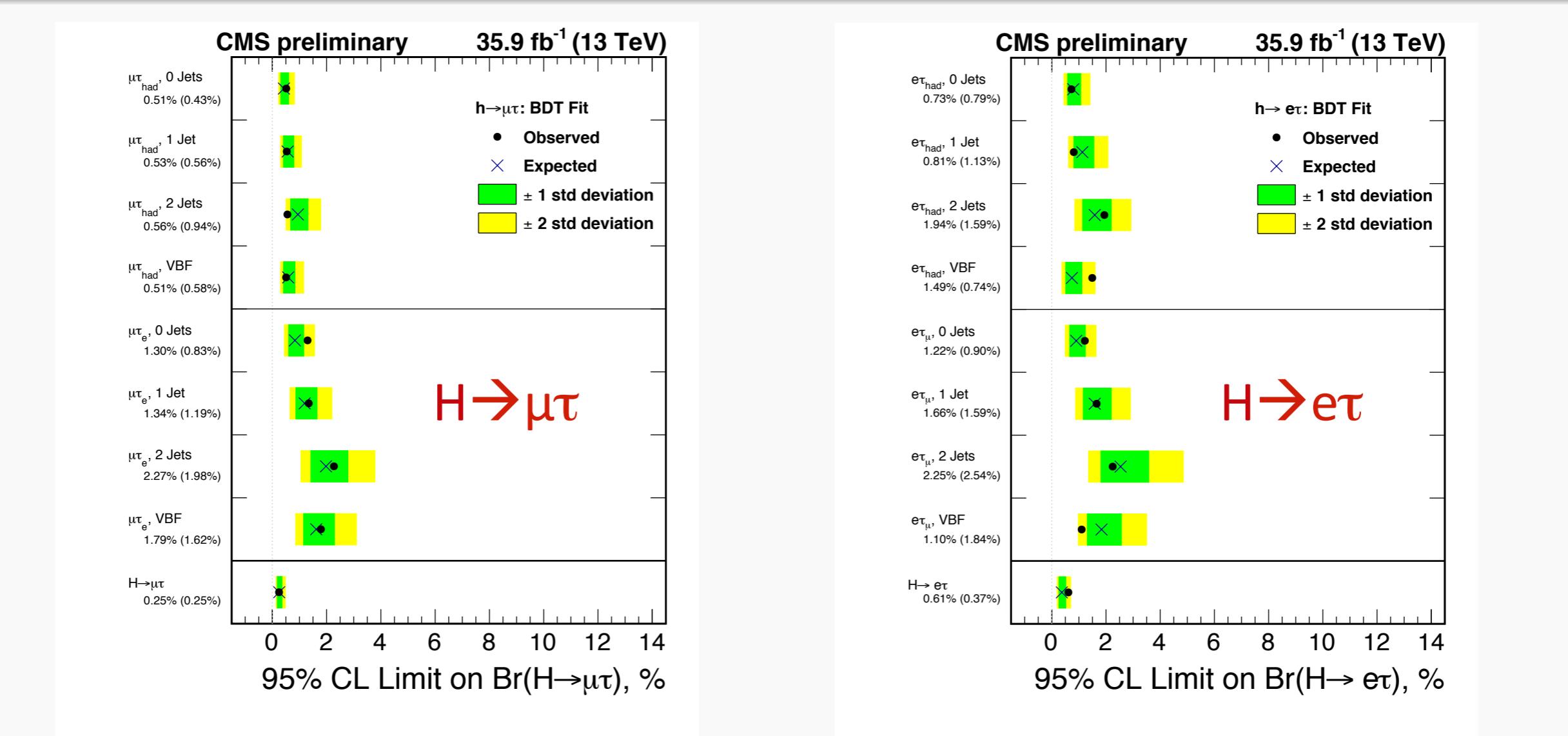
CMS (13 TeV, 2016 dataset): $H \rightarrow \mu\tau$

- Events divided into 4 categories to target different production modes:
 - 0 jet**: Targets $gg \rightarrow H$ events
 - 1 jet**: Targets $gg \rightarrow H$ events produced in association with a jet
 - 2 jets, low m_{jj}** : Targets $gg \rightarrow H$ events with additional jets
 - 2 jets, high m_{jj}** : Targets $qq \rightarrow H$ events
- BDT trained on the signal against a selection of background samples (reducible background for $e\tau_h$ and $\mu\tau_h$, ttbar and/or $Z \rightarrow \tau\tau$ for $e\tau_\mu$ and $\mu\tau_e$)



CMS-PAS-HIG-17-001

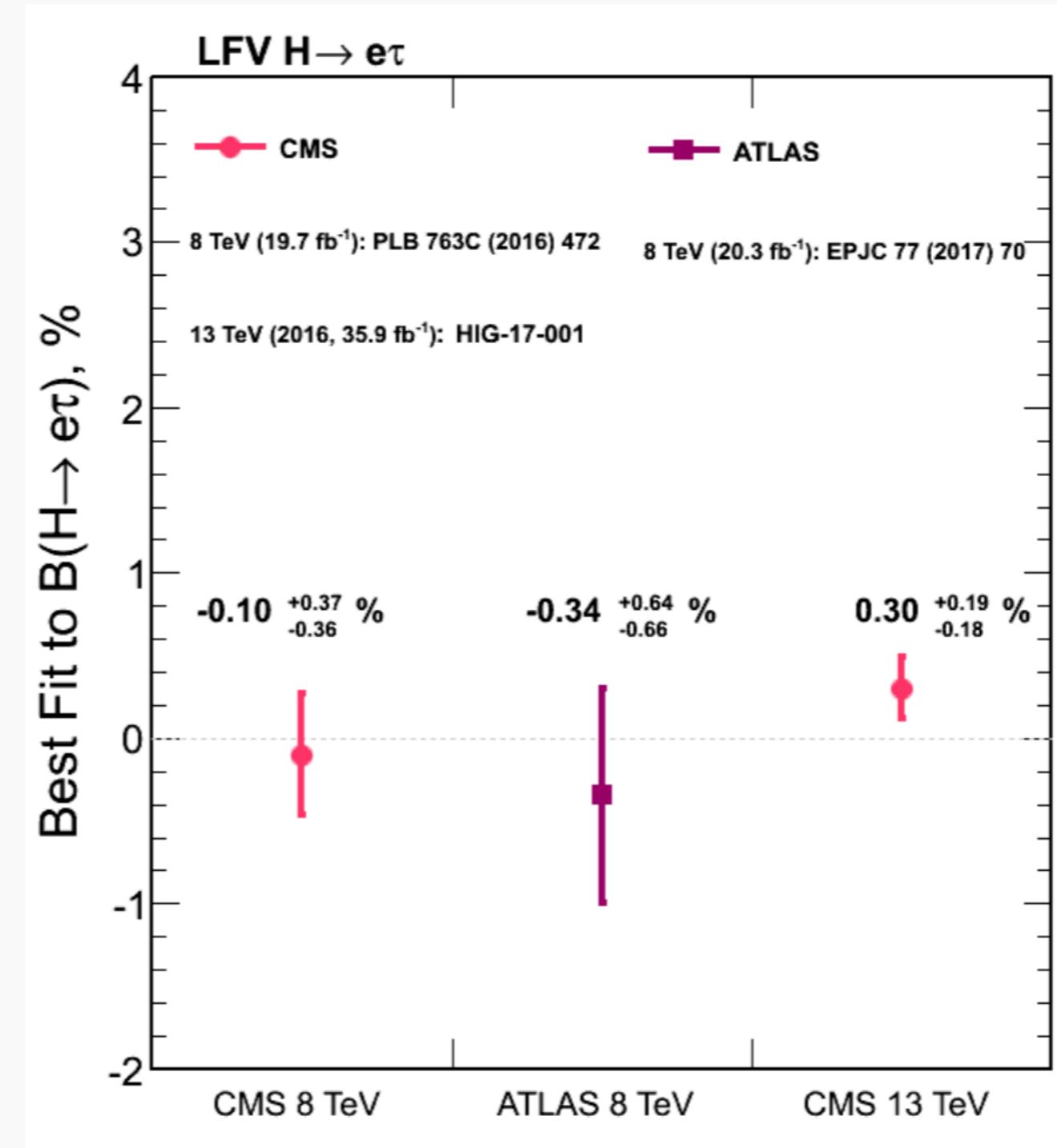
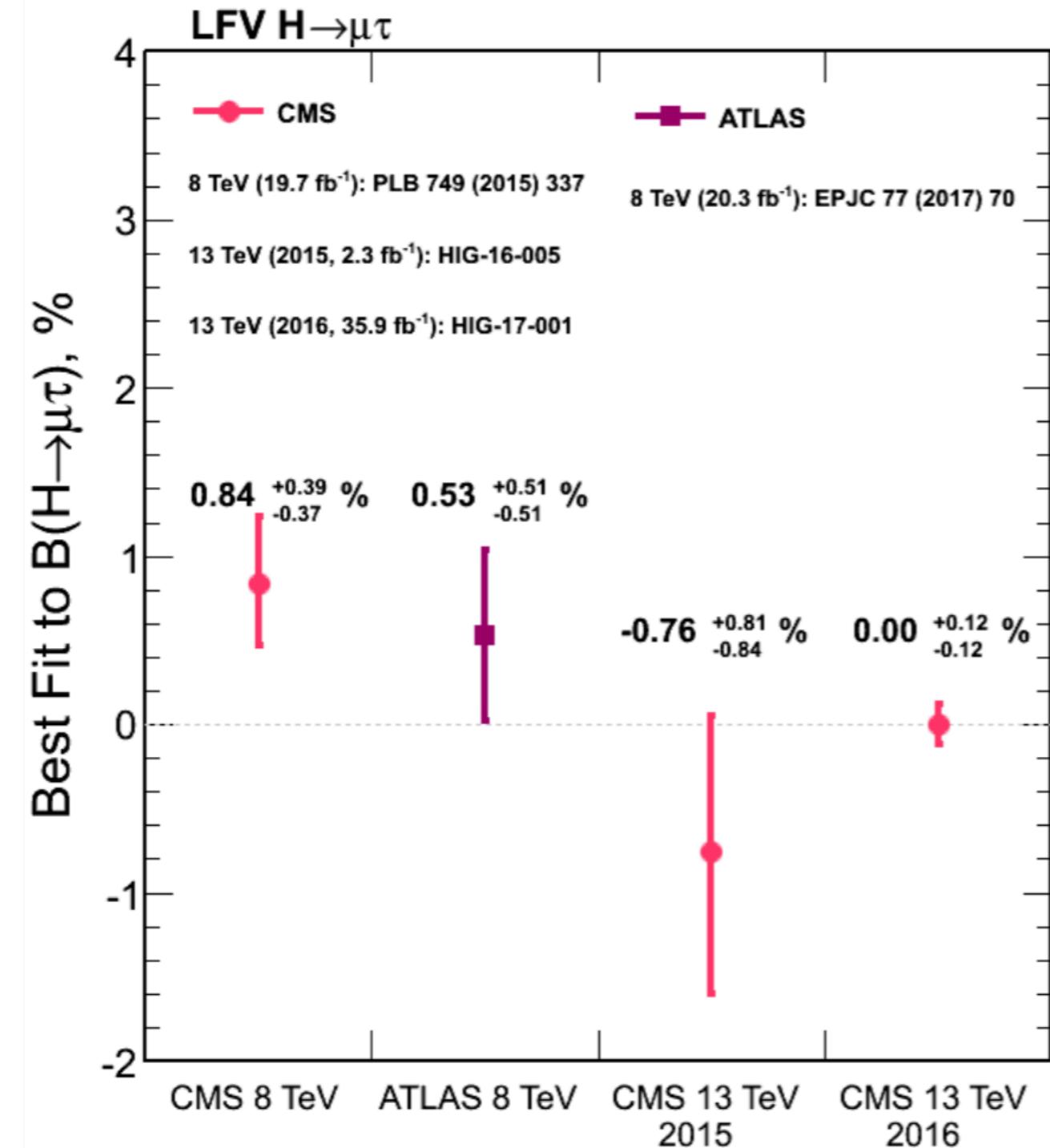
CMS (13 TeV, 2016 dataset): $H \rightarrow e/\mu \tau$



- No excess of data
- Best fit branching fraction: $0.00 \pm 0.12\%$
- $B(H \rightarrow \mu\tau) < 0.25\%$ at 95% CL
- Slight excess of data (1.6σ)
- Best-fit branching fraction: $0.30 \pm 0.18\%$
- $B(H \rightarrow e\tau) < 0.61\%$ at 95% CL

CMS-PAS-HIG-17-001

Updated status (LHC-P 2017)

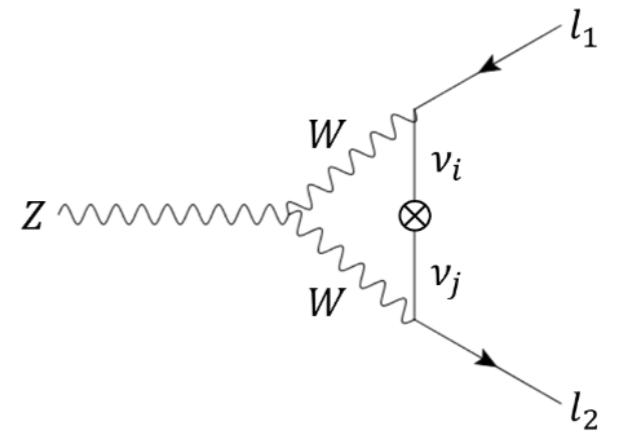
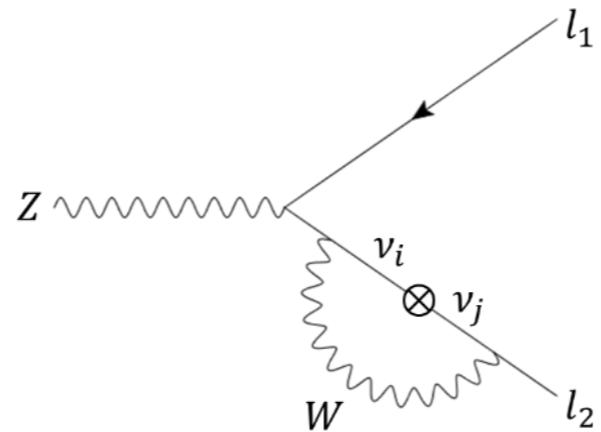
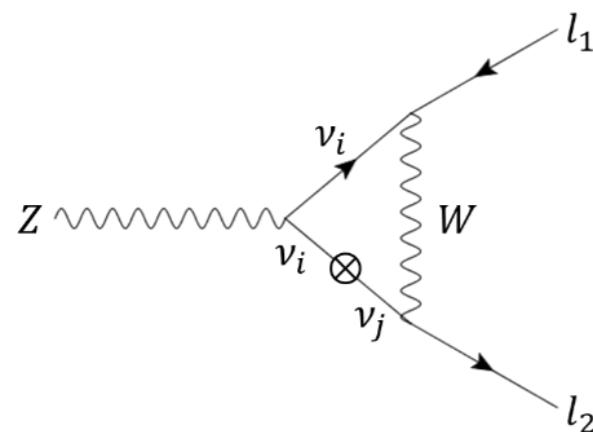


LFV decays of the Z boson

arXiv:hep-ph/0010193

Introduction

- Neutrino oscillation predicts LFV in Z decays, but $\mathcal{B}(Z \rightarrow e\mu) < 10^{-60}$



- Good probe for new physics
- Current constraints:
 - Indirect from $\mu \rightarrow 3e$: $\mathcal{B}(Z \rightarrow e\mu) < 5 \cdot 10^{-13}$

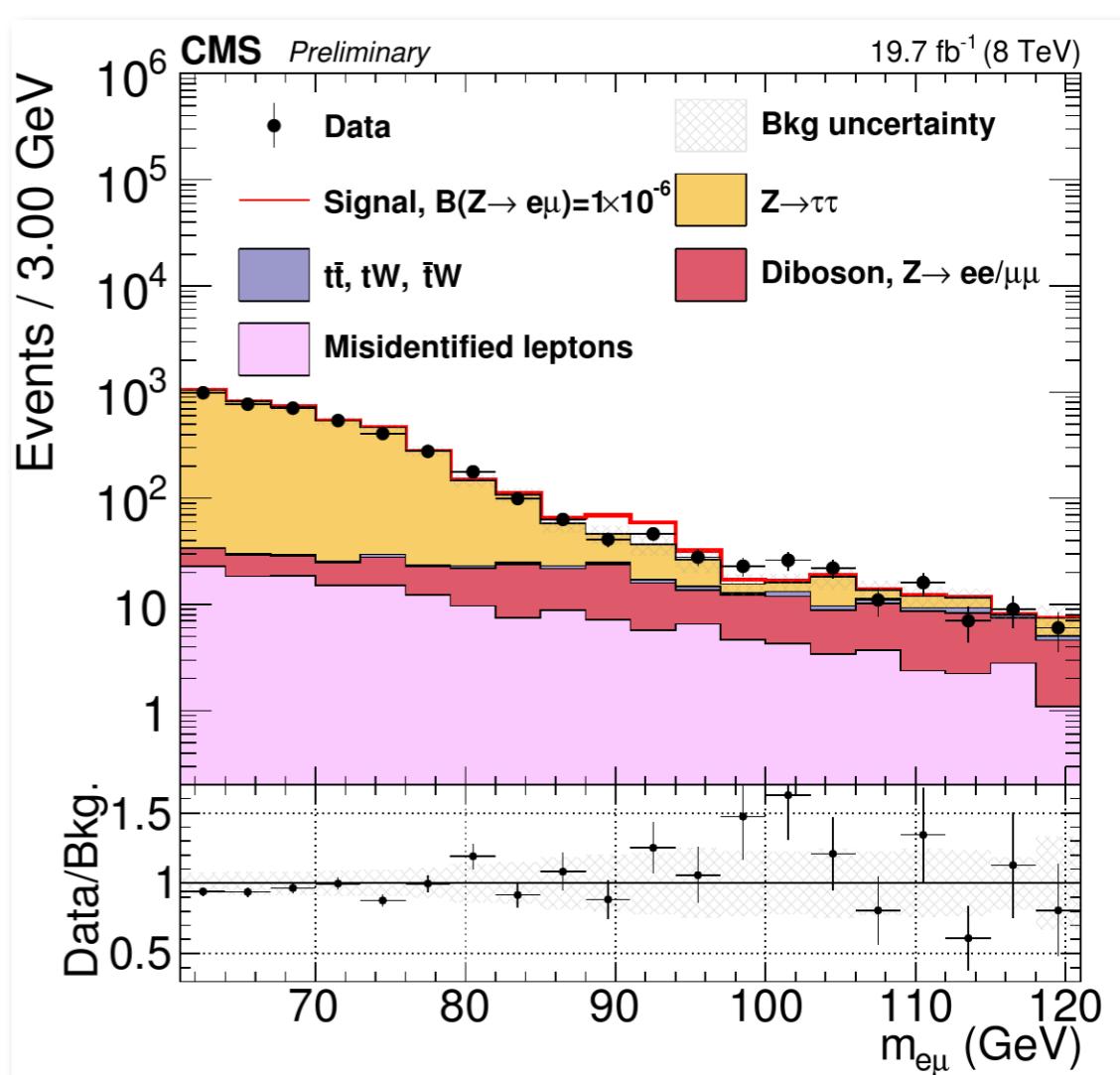
LFV decays of the Z boson (CMS)

CMS-PAS-EXO-13-005

- Count events in window around Z mass: $(91 \pm 3) \text{ GeV}$
- Background prediction of 83 ± 9
- Events found in data: 87
- Use CLs method to determine limit:

$$\mathcal{B}(Z \rightarrow e\mu)_{expected} < (6.7^{+2.8}_{-2.0}) \cdot 10^{-7}$$

$$\mathcal{B}(Z \rightarrow e\mu)_{observed} < 7.3 \cdot 10^{-7}$$



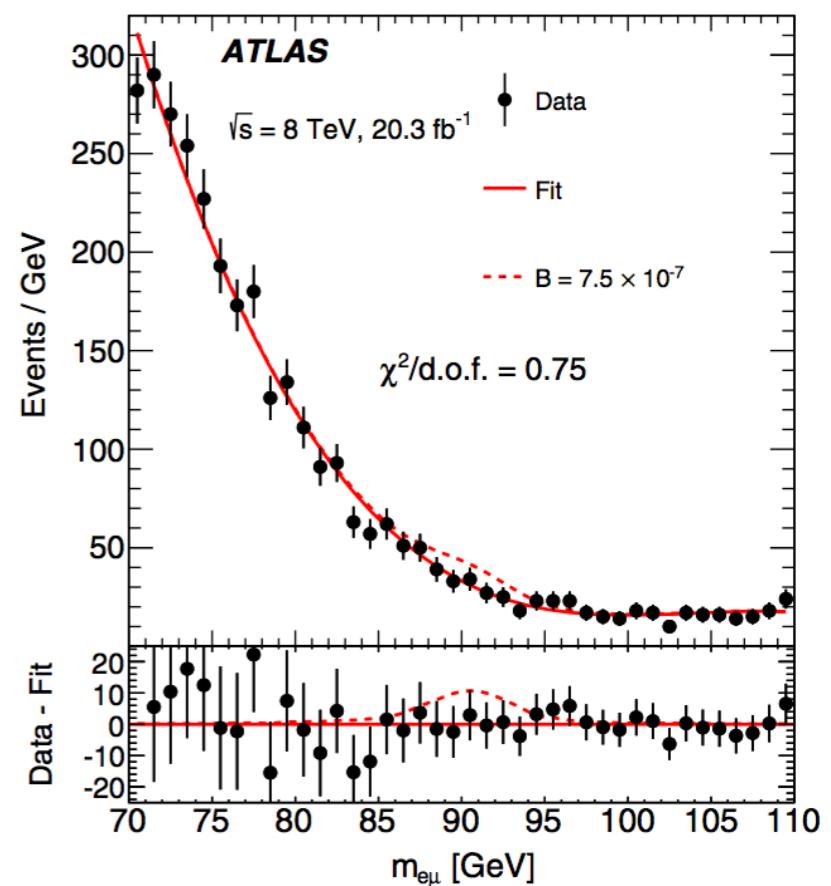
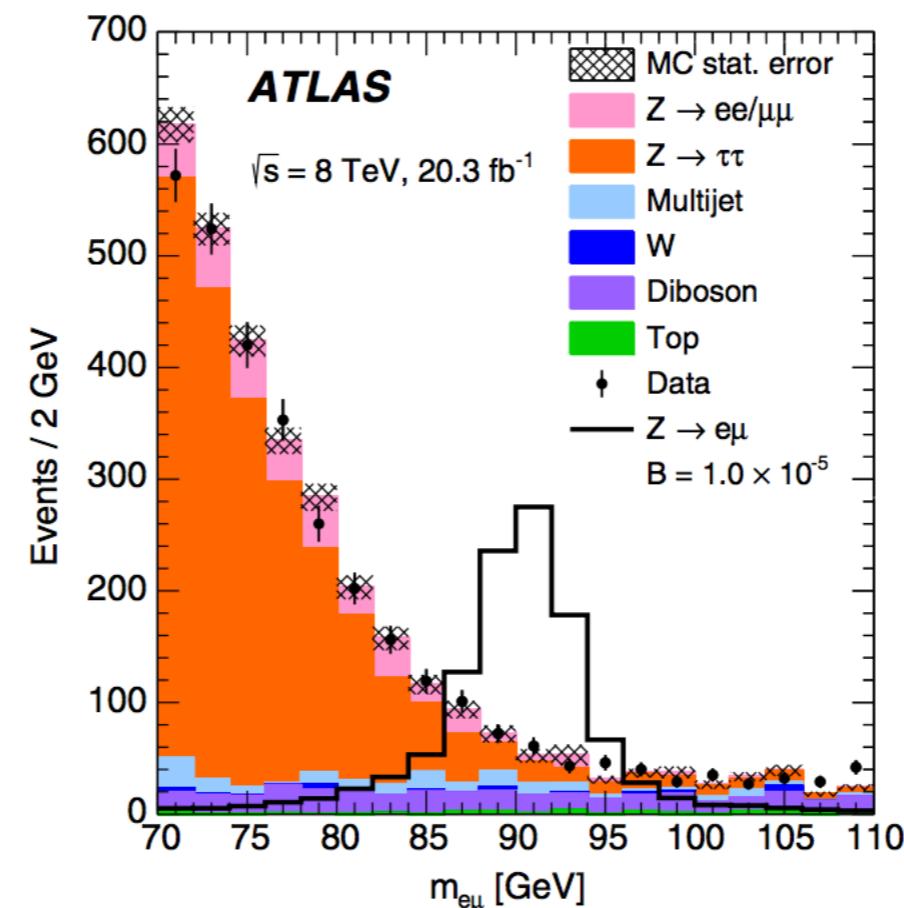
LFV decays of the Z boson (ATLAS)

Event selection

- High p_T e and μ
- Little jet activity
- Little MET

Background estimation

- Side-band fit
 - Possible due to the good mass resolution and the narrow mass range
- Third order polynomial
Shape studied in MC



$$\mathcal{B}(Z \rightarrow e\mu) < 7.5 \times 10^{-7}$$

Mass estimator

- Invariant mass (no MET)

LFV decays of the Z boson (ATLAS)

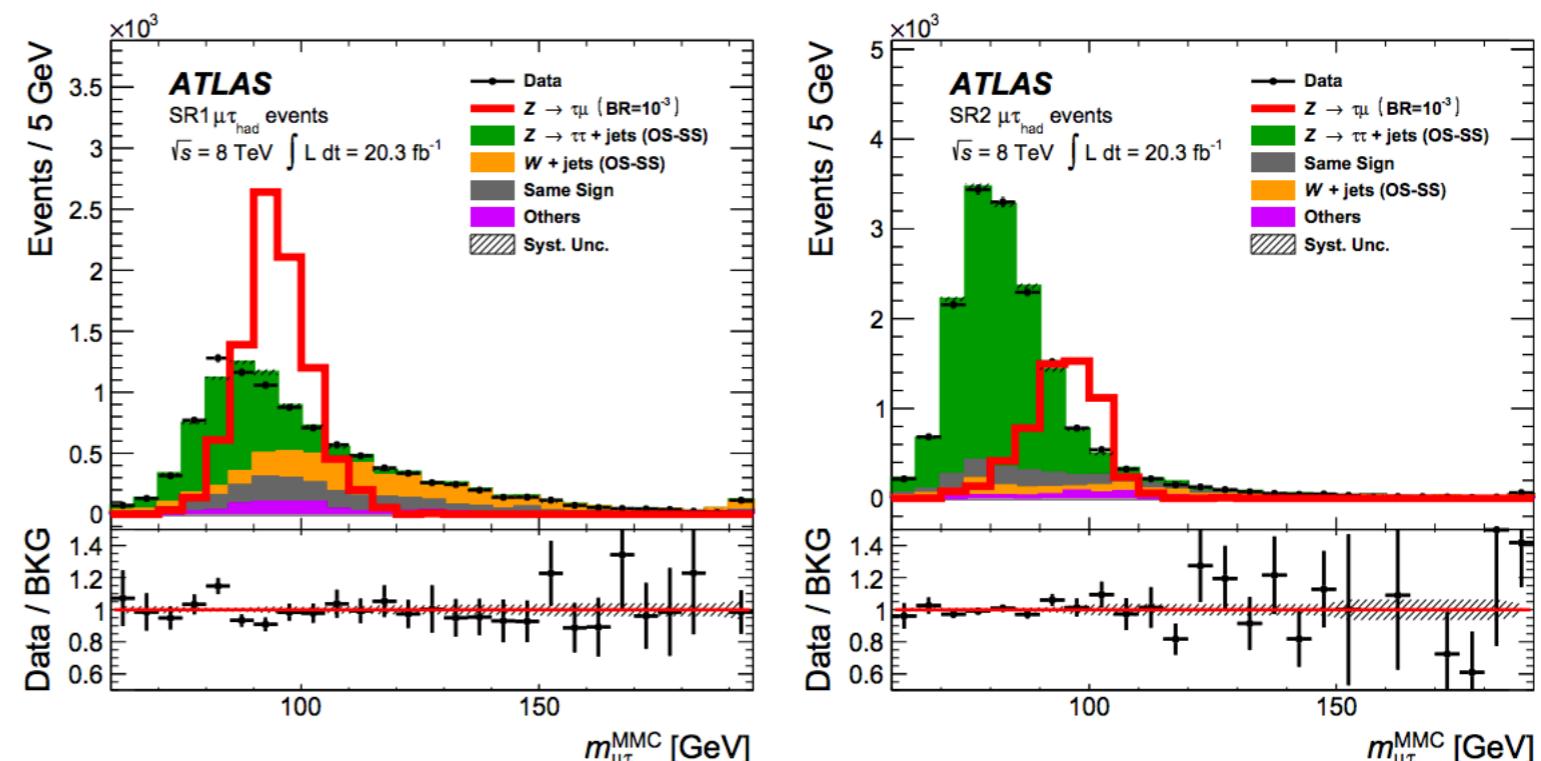
One search channels

- $Z \rightarrow \mu\tau_{\text{had}}$

Methodology

- Similar to the one employed in the corresponding Higgs search
- Cut values are lowered to match the kinematics of Z decays
 \Rightarrow Larger background contribution from W+jets
 \Rightarrow Estimated in more bins

ATLAS 1604.07737



$\text{Br}(Z \rightarrow \mu\tau) (10^{-5})$	SR1	SR2	Combined
Expected limit	$2.6^{+1.1}_{-0.7}$	$6.4^{+1.8}_{-2.8}$	$2.6^{+1.1}_{-0.7}$
Observed limit	1.5	7.9	1.7
Best fit	$-2.1^{+1.2}_{-1.3}$	$2.6^{+2.9}_{-2.6}$	$-1.6^{+1.3}_{-1.4}$

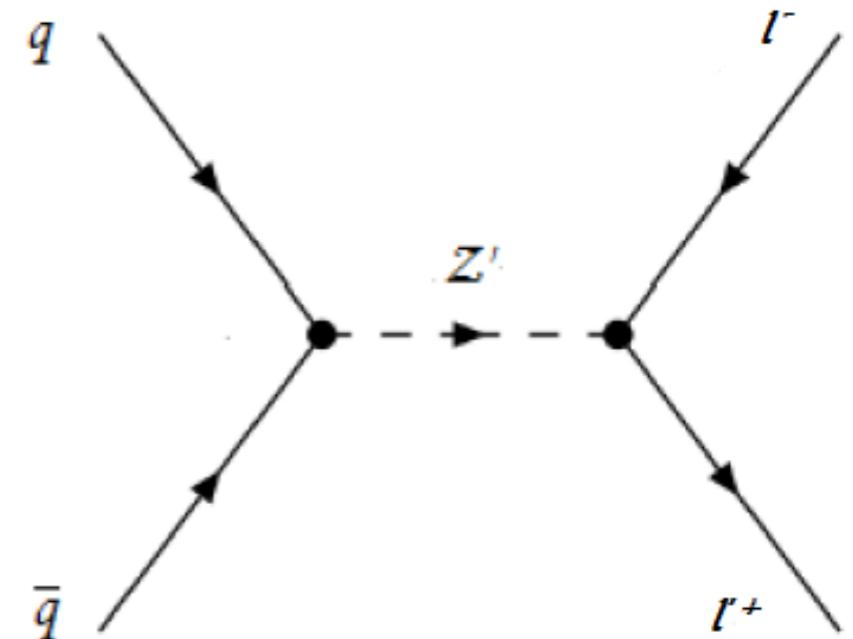
LFV decays of a heavy Z' boson

Extended SSM Z'

- ✓ the same couplings as the SM Z boson
- ✓ extend to allow for LFV couplings:

Q_{12} , Q_{13} and Q_{23}

$$\sigma(Z' \rightarrow l_i l_j) \propto \frac{g_Z^2 Q_{ij}^2 M_{Z'}^2}{(M_{Z'}^2 - M_Z^2)^2 + M_Z^2 \Gamma_Z^2}$$



- ✓ A general search for heavy resonance $l = e, \mu \text{ or } \tau_{\text{had}}$
- ✓ Relatively small background due to 2 different flavor leptons Signal: Pythia8

Z' boson LFV decay search

- ✓ to $e\mu$ final states with 7 TeV data ([EPJC Vol.71, 12\(2011\)1809](#))
- ✓ to $e\mu/e\tau_{\text{had}}/\mu\tau_{\text{had}}$ final states with 8 TeV ([Phys. Rev. Lett. 115 031801 \(2015\)](#)) and 13 TeV data ([accepted by EPJC, arXiv:1607.08079v1](#))

LFV decays of a heavy Z' boson (CMS: 8 TeV)

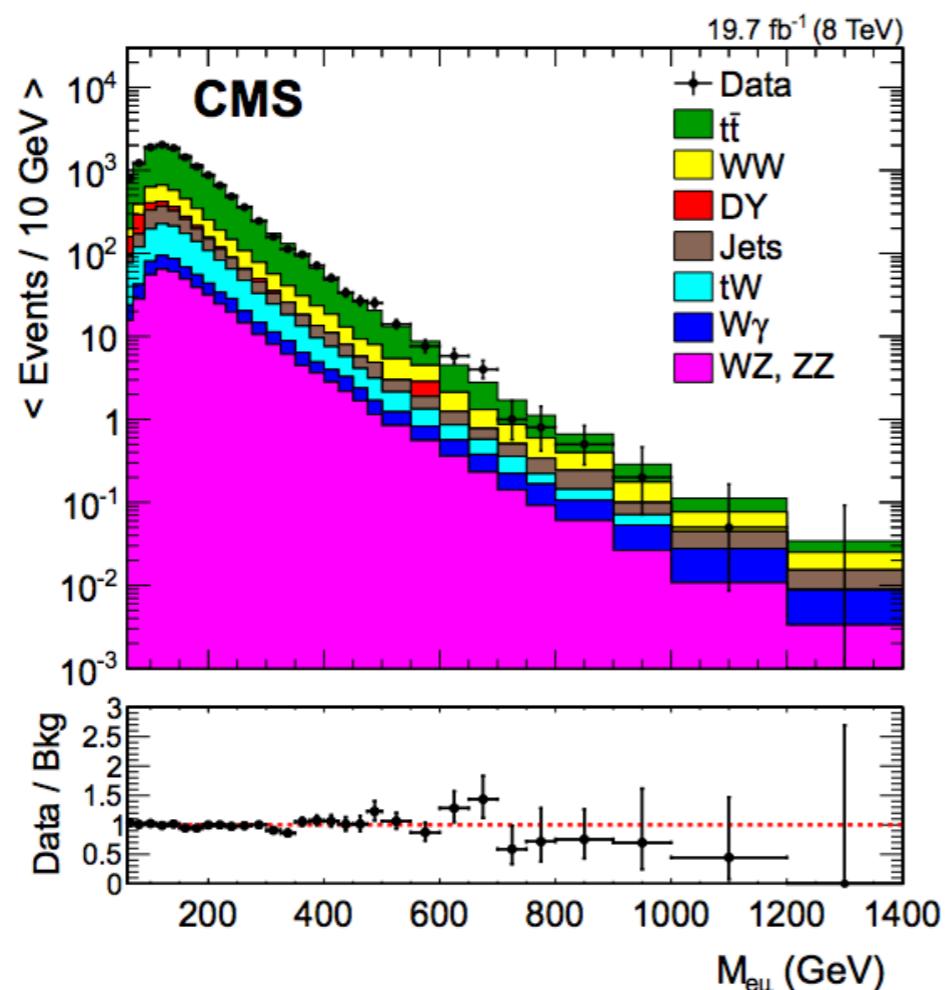
CMS 1604.05239

Motivations

- τ sneutrino in RPV SUSY

$$W_{\text{RPV}} = \frac{1}{2} \lambda_{ijk} L_i L_j \bar{E}_k + \lambda'_{ijk} L_i Q_j \bar{D}_k \quad (i, j, k \in 1, 2, 3)$$

- Z' and γ'
- Quantum black holes (non resonant)
 - Within a specific model



Background estimation

- Irreducible - MC based
- Reducible - combination

Signal model	Lower limit signal mass (TeV)	
	Observed	Expected
RPV $\tilde{\nu}_\tau$ ($\lambda_{132} = \lambda_{231} = \lambda'_{311} = 0.01$)	1.28	1.24
RPV $\tilde{\nu}_\tau$ ($\lambda_{132} = \lambda_{231} = 0.05$, $\lambda'_{311} = 0.10$)	2.16	2.16
RPV $\tilde{\nu}_\tau$ ($\lambda_{132} = \lambda_{231} = 0.07$, $\lambda'_{311} = 0.11$)	2.30	2.30
LFV Z' ($\kappa = 0.05$)	1.29	1.25
QBH $n = 0$	1.99	1.99
QBH $n = 1$ (RS)	2.36	2.36
QBH $n = 1$ (PDG)	2.81	2.81
QBH $n = 2$	3.15	3.15
QBH $n = 3$	3.34	3.34
QBH $n = 4$	3.46	3.46
QBH $n = 5$	3.55	3.55
QBH $n = 6$	3.63	3.63

LFV decays of a heavy Z' boson (ATLAS: 13 TeV)

➤ Electrons

- Kinematic: $pT > 65 \text{ GeV}$
- Track and calo quality
- Isolated

➤ Muons

- Kinematic: $pT > 65 \text{ GeV}$
- Combined track quality
- Isolated

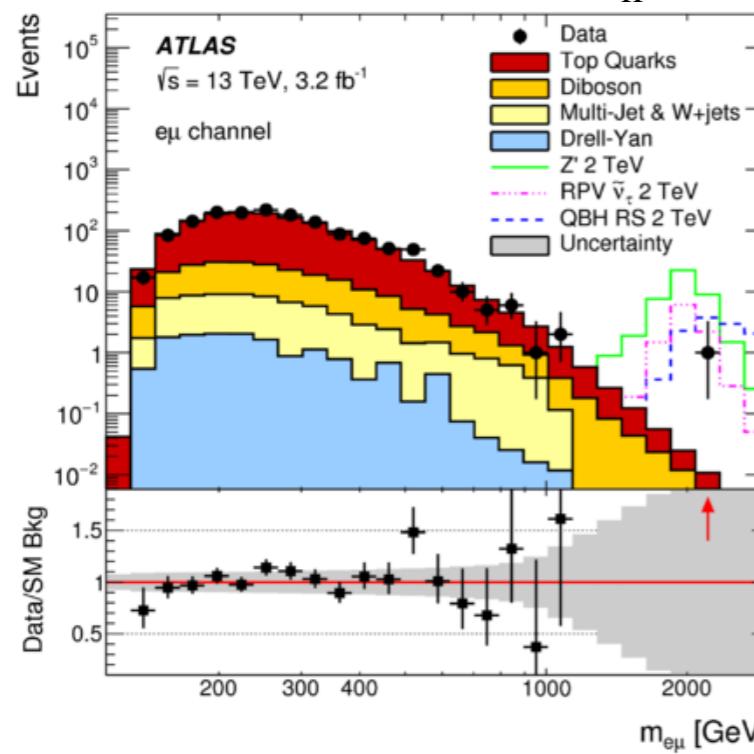
➤ Taus

- Kinematic: $pT > 40 \text{ GeV}$
- 1 and 3 prongs
- Track and calo quality
- Overlap removal with e and μ

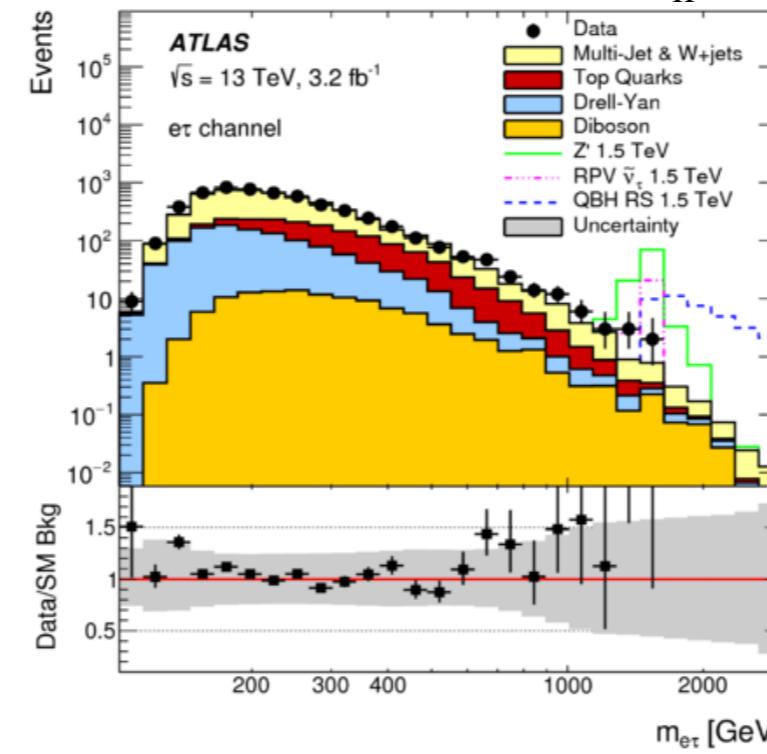
➤ MET: calculated with calibrated objects

Dilepton invariant mass

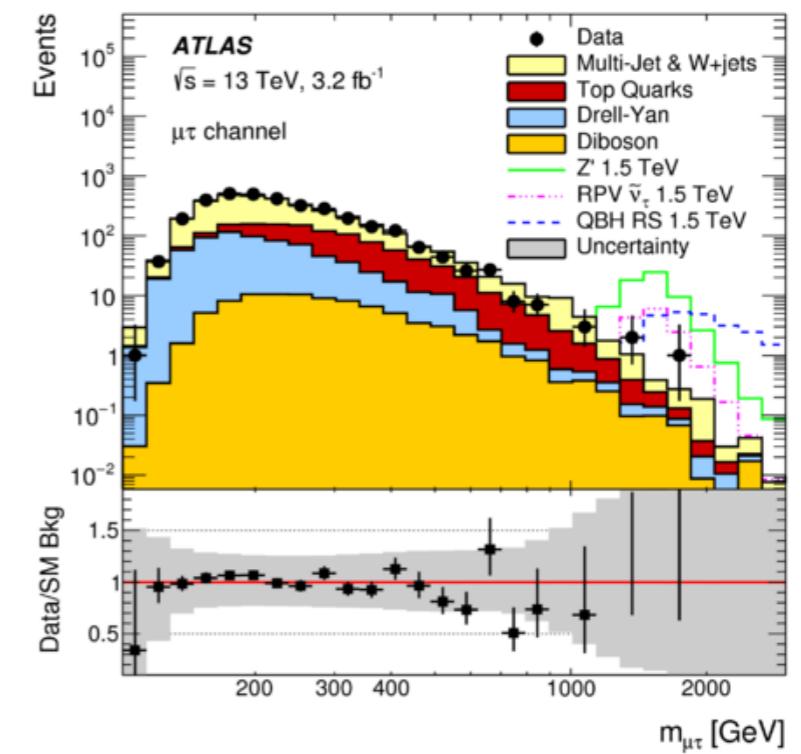
$M_{ll'} < 600 \text{ GeV}$: validation region; $M_{ll'} > 600 \text{ GeV}$: signal region



Dominant: Top backgrounds



Dominant: Top + jet fake

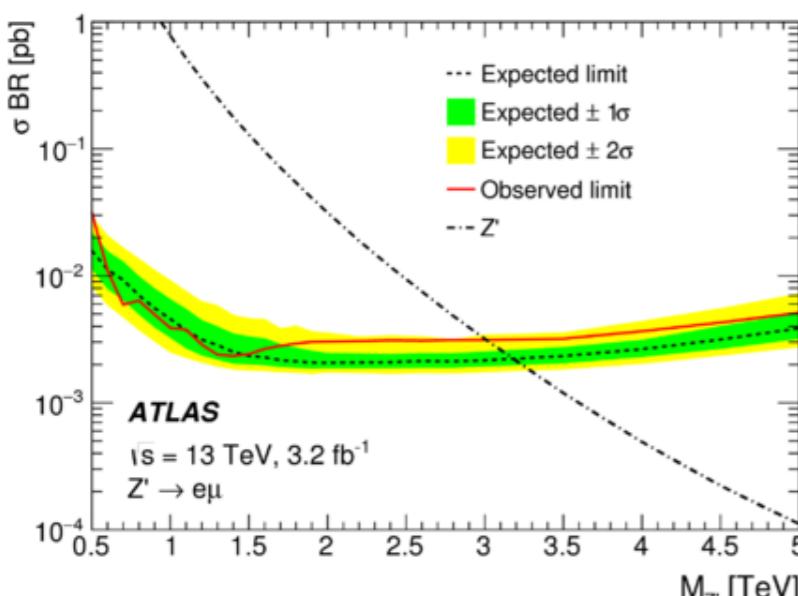


Dominant: Top + jet fake

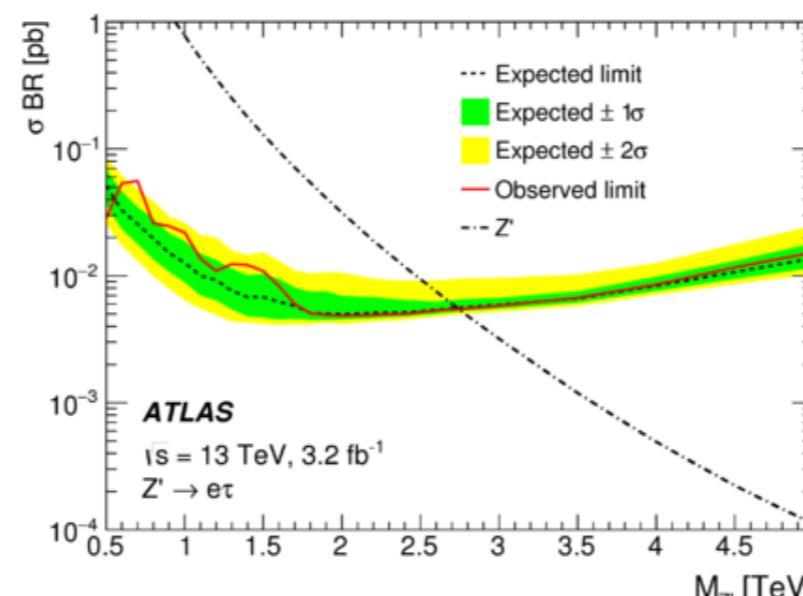
LFV decays of a heavy Z' boson (ATLAS: 13 TeV)

arXiv: 1607.08079

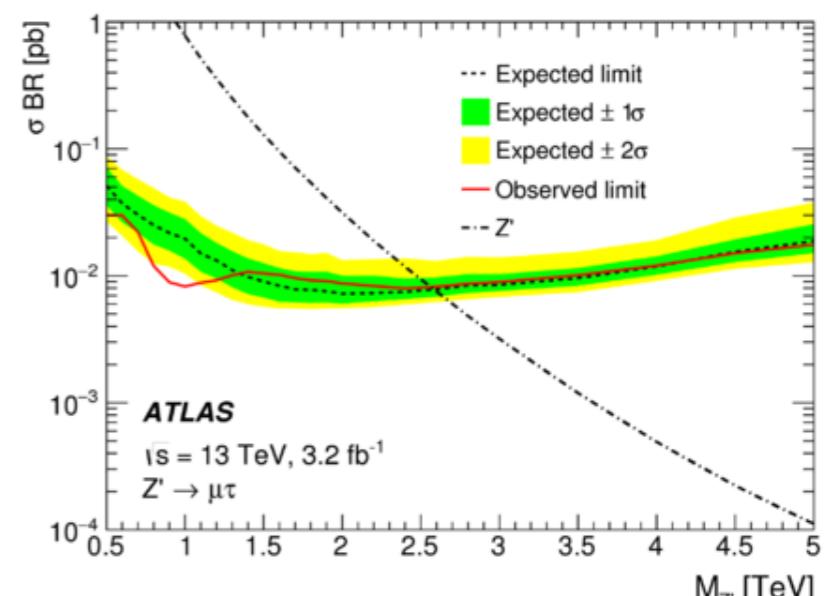
e μ channel



e τ channel



μ τ channel



New limits considerably extend the previous ATLAS results

Mass exclusion

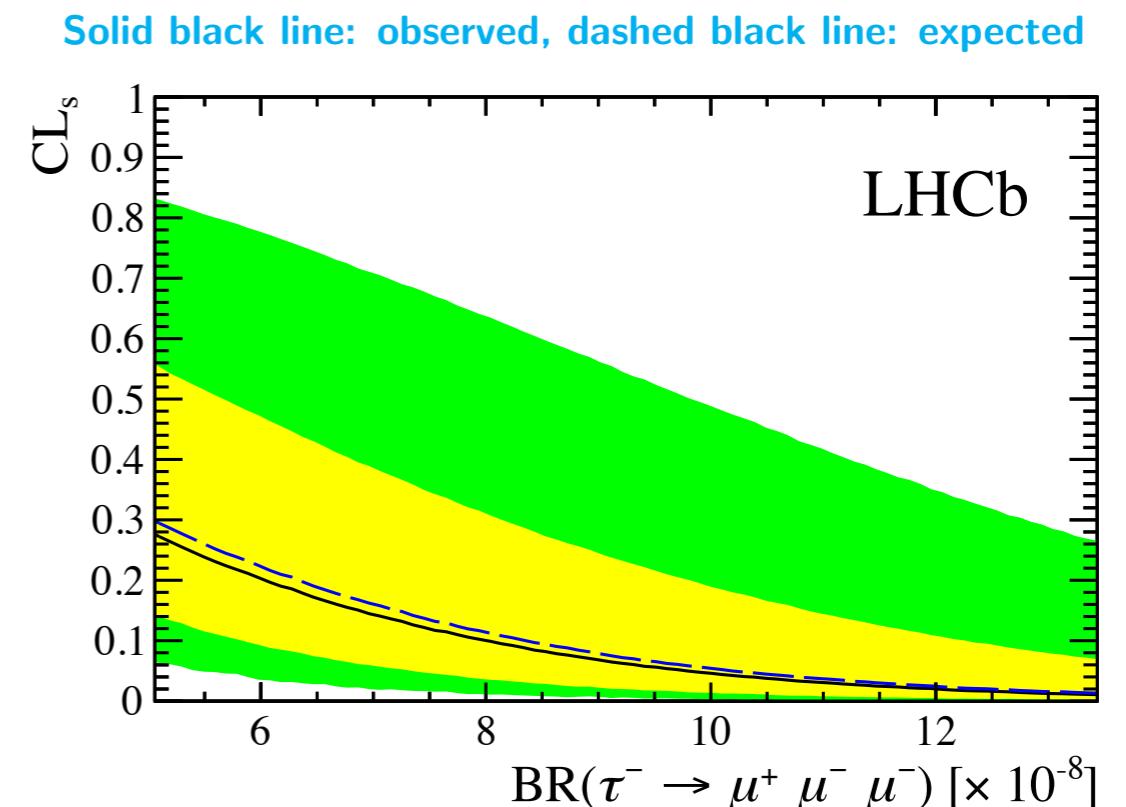
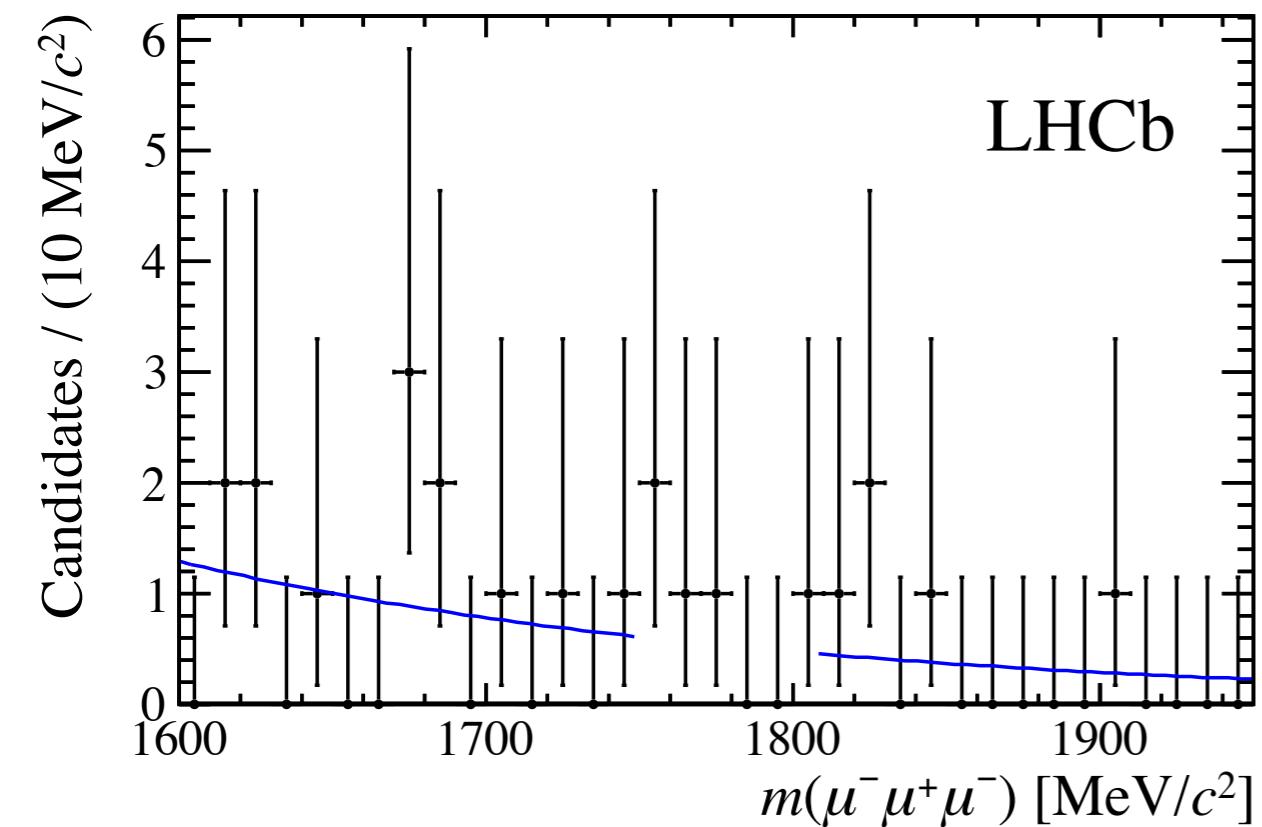
Model	Expected Limit [TeV]			Observed Limit [TeV]		
	$e\mu$	$e\tau$	$\mu\tau$	$e\mu$	$e\tau$	$\mu\tau$
Z'	3.2	2.7	2.6	3.0	2.7	2.6

Also interpreted as searches for SUSY RPV or Quantum Black Hole

Model	Expected Limit [TeV]			Observed Limit [TeV]		
	$e\mu$	$e\tau$	$\mu\tau$	$e\mu$	$e\tau$	$\mu\tau$
RPV SUSY $\tilde{\nu}_\tau$	2.5	2.1	2.0	2.3	2.2	1.9
QBH ADD $n = 6$	4.6	4.1	3.9	4.5	4.1	3.9
QBH RS $n = 1$	2.5	2.2	2.1	2.4	2.2	2.1

$\tau^- \rightarrow \mu^- \mu^+ \mu^-$ (LHCb)

arXiv:1409.8548
JHEP 02 (2015) 121



\Rightarrow Best limit from BELLE 2.1×10^{-8} @ 90% CL
 \Rightarrow First $\tau^- \rightarrow \mu^- \mu^+ \mu^-$ limit at a hadron collider

- LHCb limit on the $\tau^- \rightarrow \mu^- \mu^+ \mu^-$ branching ratio

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 4.6 \times 10^{-8} \quad @ 90\% \text{ C.L.}$$

$\tau^- \rightarrow \mu^- \mu^+ \mu^-$ (ATLAS)

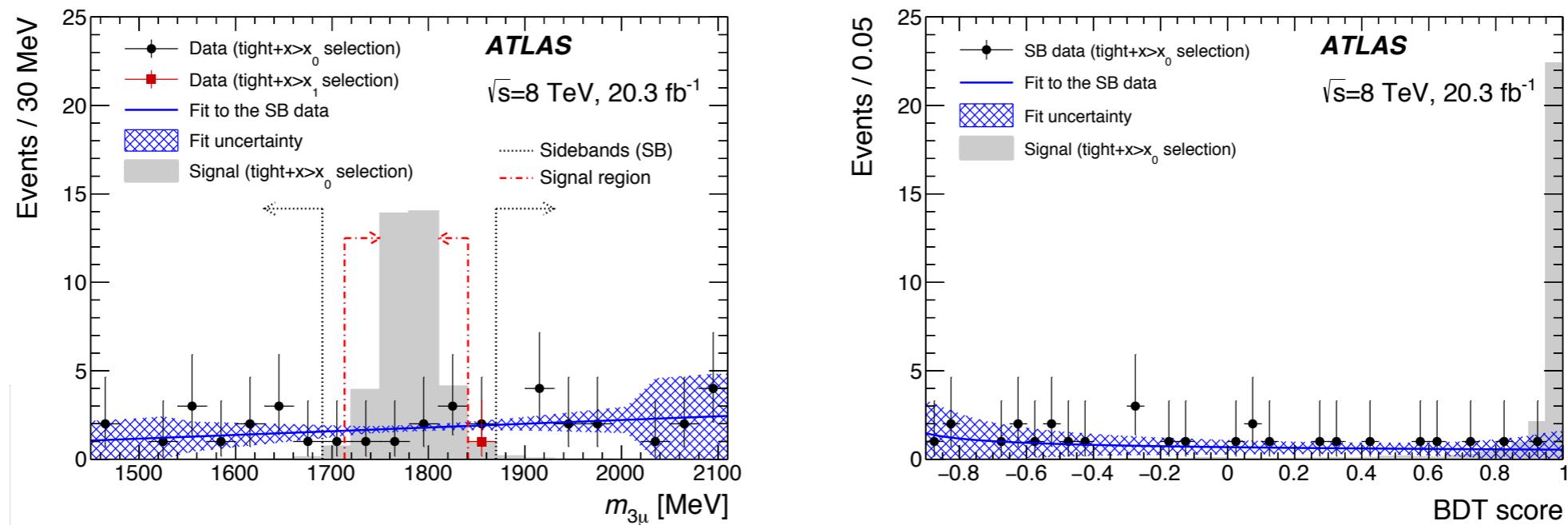
Selection

ATLAS 1601.03567

- 1 Cut-based *loose* selection
- 2 Train Boosted Decision Tree and apply loose cut x_0 on BDT output x
- 3 Cut-based *tight* selection
- 4 Apply tight cut x_1 on BDT output, optimising for the expected \mathcal{B} limit

Fit Strategy

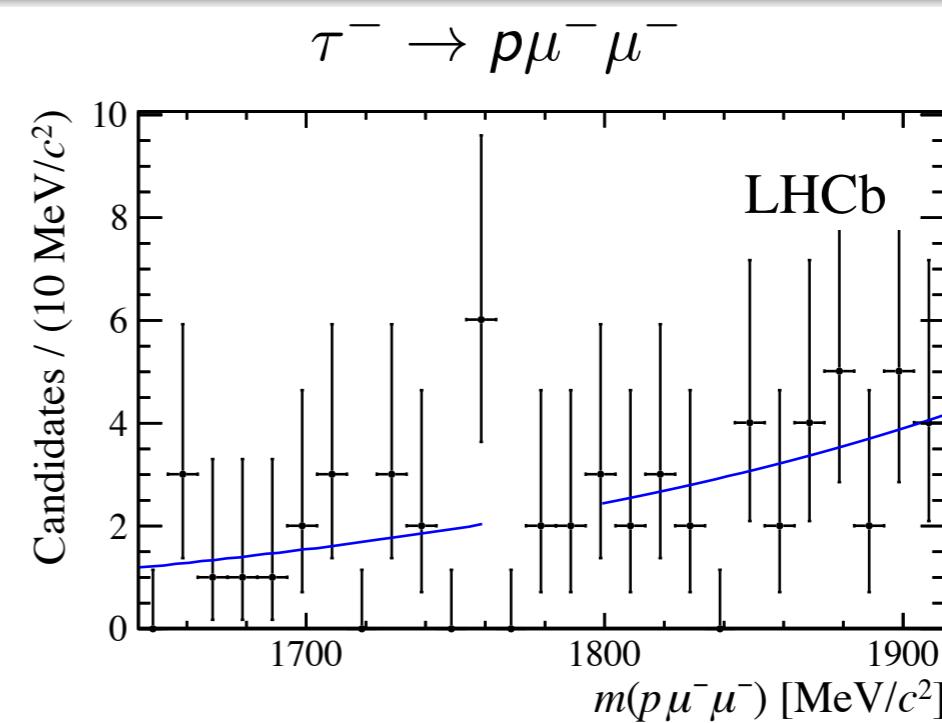
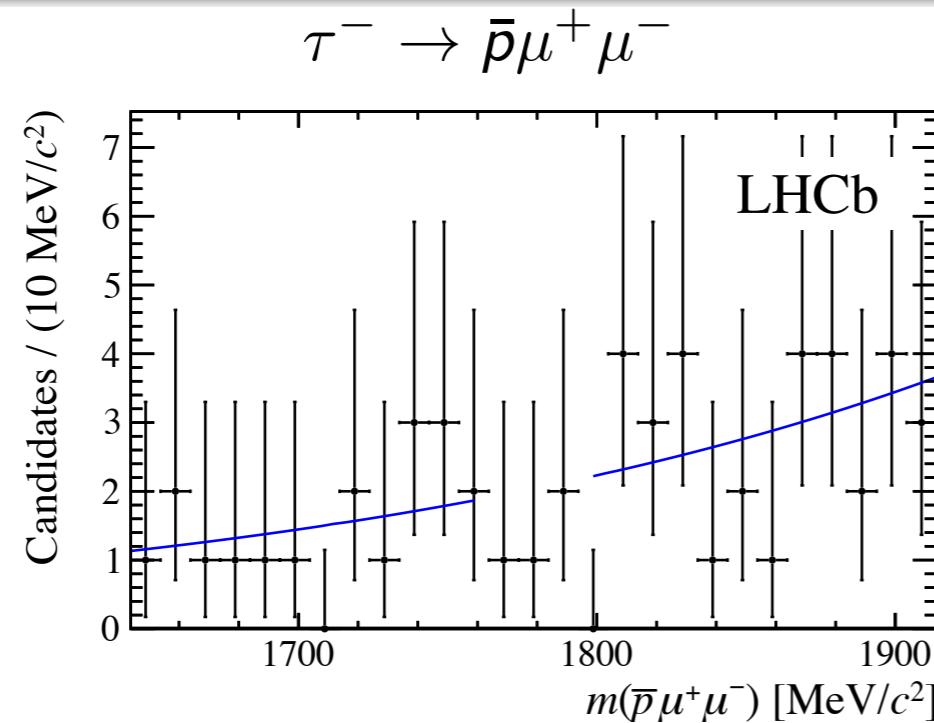
- Blinded analysis: ignore signal region: $m_{3\mu} \in [1713, 1841] \text{ MeV}/c^2$
- 1 Estimate background yield from mass sidebands using “tight + $x > x_0$ ”
- 2 Fit BDT output in region $x > x_0$
- 3 Extrapolate background yield for “tight + $x > x_1$ ”



- ATLAS limit on the $\tau^- \rightarrow \mu^- \mu^+ \mu^-$ branching ratio

$$\mathcal{B}(\tau^- \rightarrow \mu^- \mu^+ \mu^-) < 3.76 \times 10^{-7} \text{ @ 90% C.L.}$$

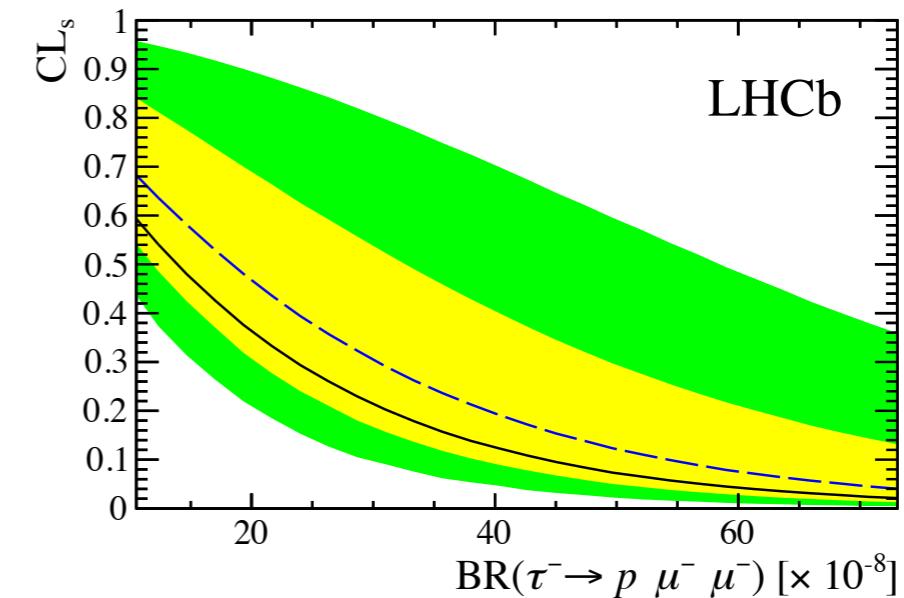
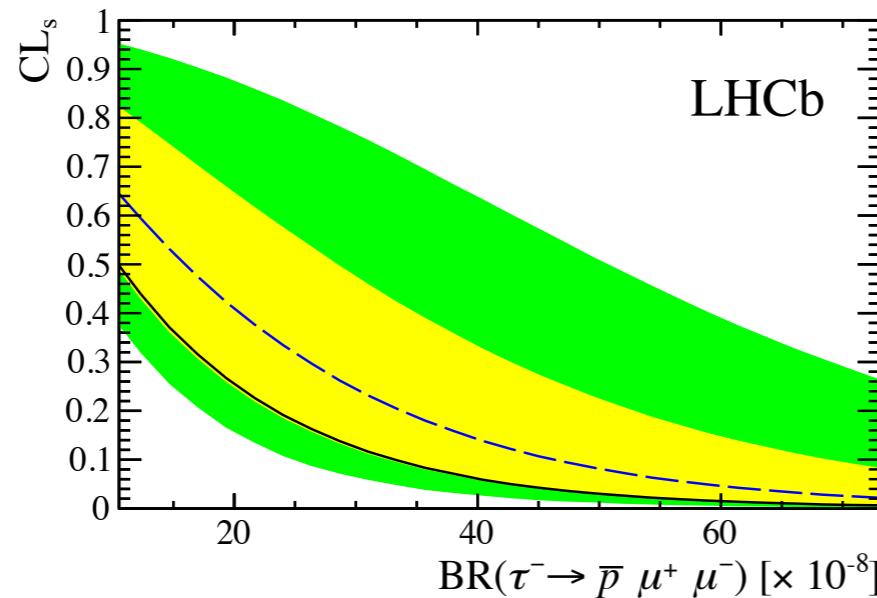
$\tau^- \rightarrow \bar{p} \mu^+ \mu^-$, $p \mu^- \mu^-$ (LHCb)



Observed limits
at 90% CL:

$$\mathcal{B}(\tau^- \rightarrow \bar{p} \mu^+ \mu^-) < 3.3 \times 10^{-7} \text{ and } \mathcal{B}(\tau^- \rightarrow p \mu^- \mu^-) < 4.4 \times 10^{-7}.$$

Physics Letters B 724 (2013)



Solid black line: observed, dashed black line: expected

Lots of interesting physics with LFV at LHC

