

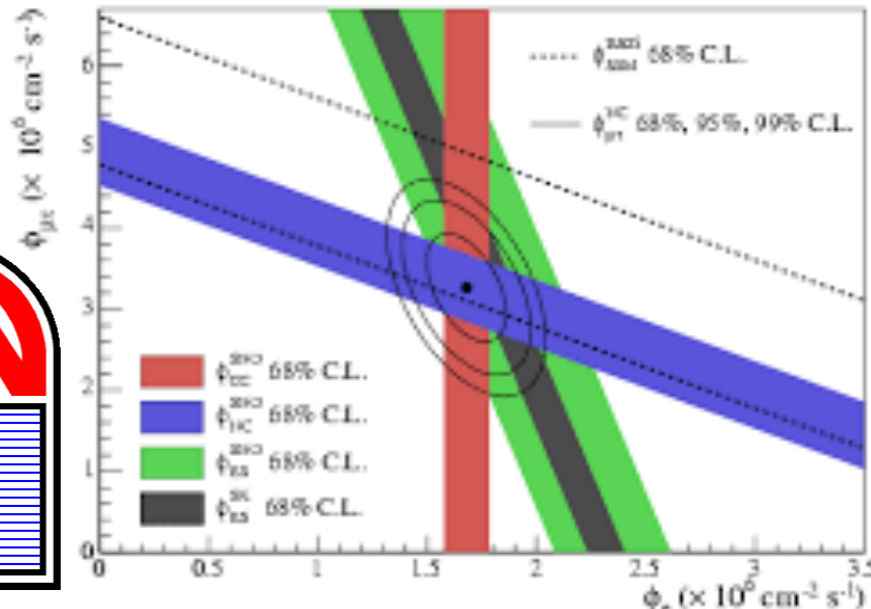
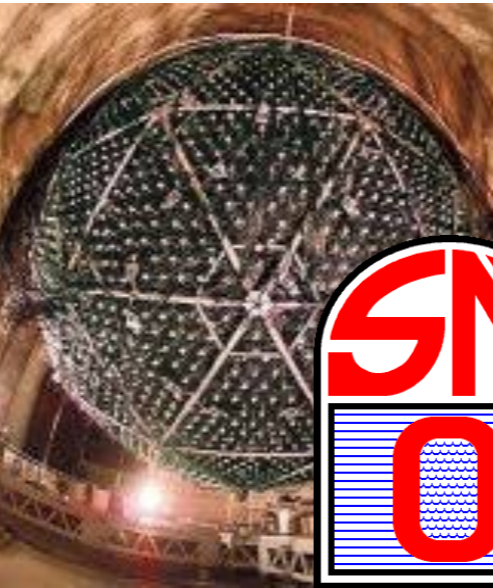
LBL NEUTRINO EXPERIMENTS AT FERMILAB

*status and reach of long baseline neutrino oscillation
experiments: NOvA, MINOS+ and DUNE*

Fernanda Psihas
Ψ Indiana University



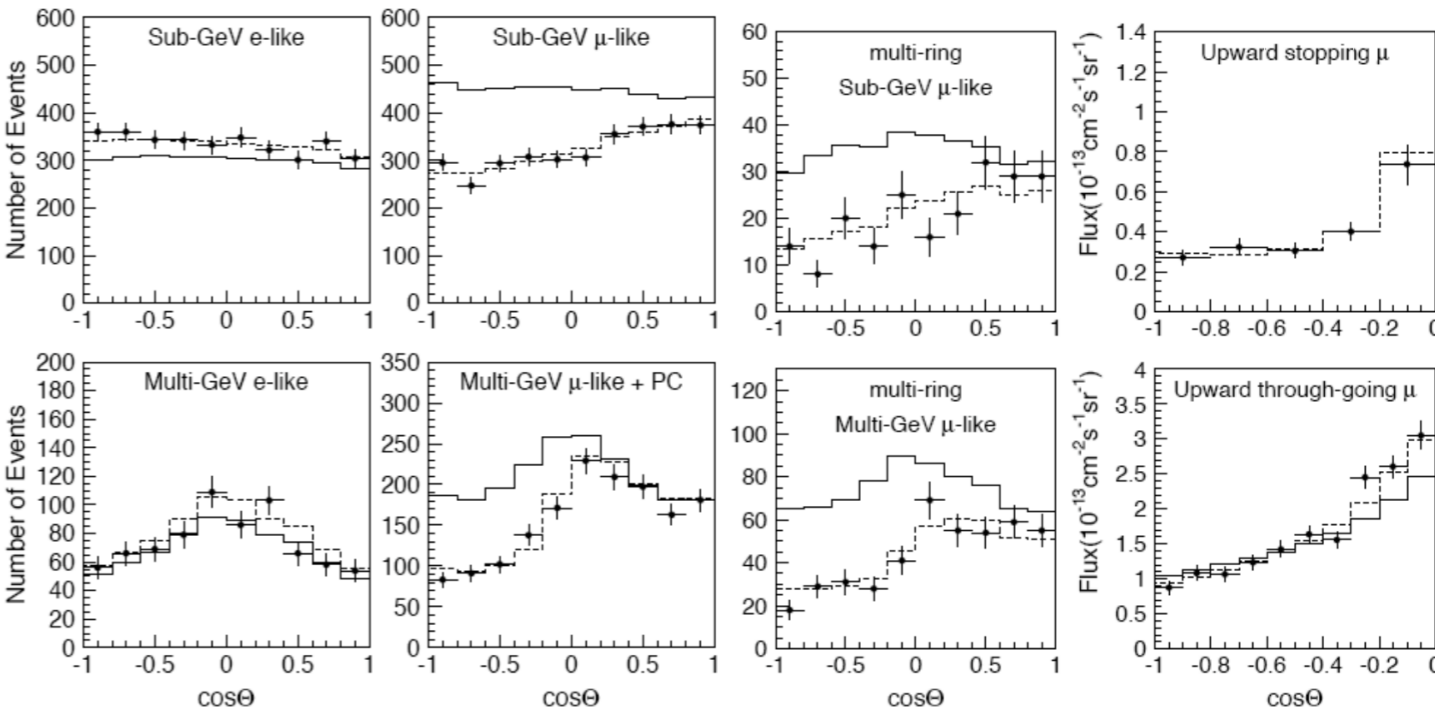
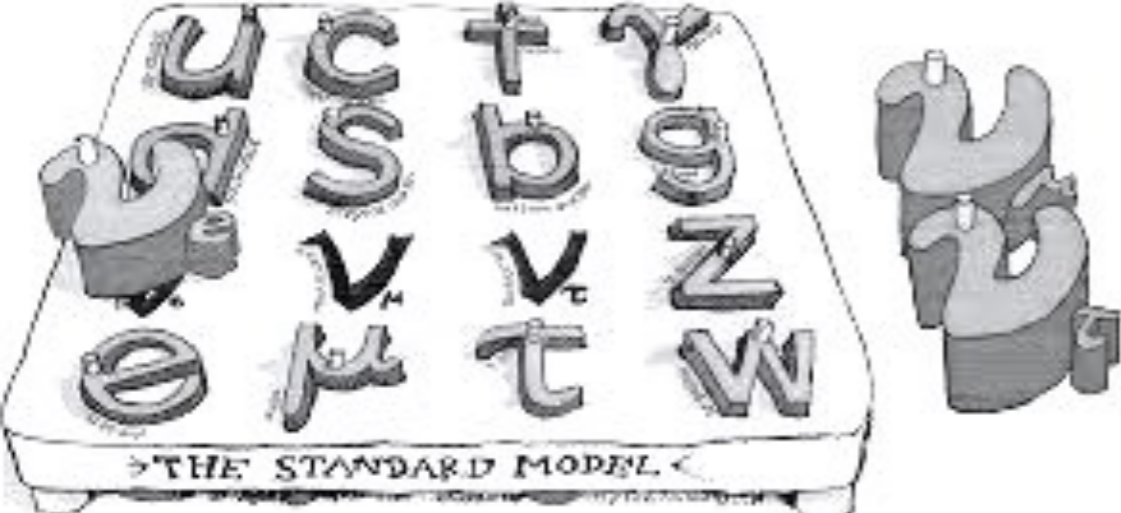
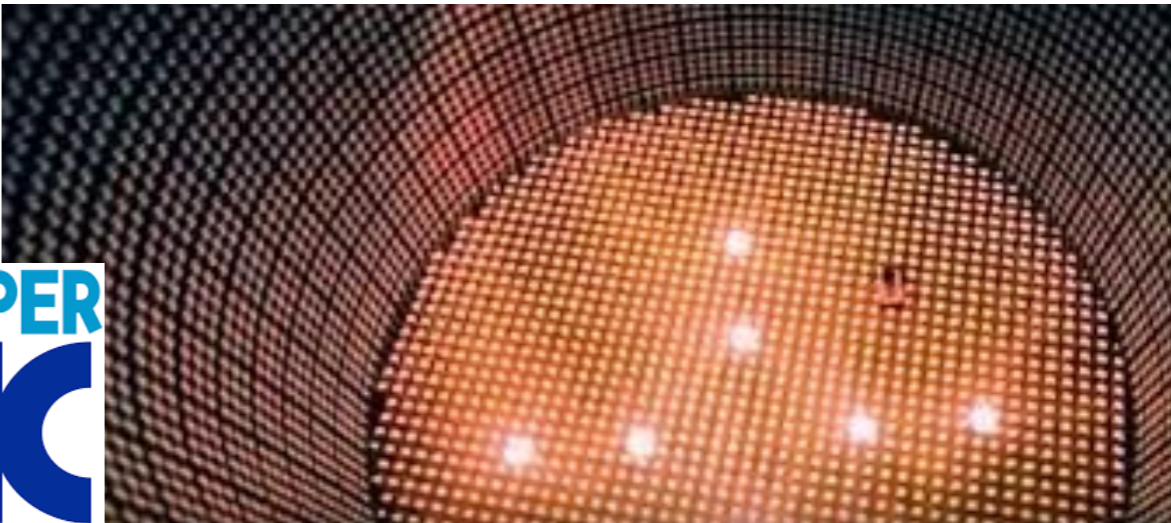
The Elusive Neutrino



Neutrino mass eigenstates are superposition of flavor eigenstates

Nobel Price 2015 for first observations of ν oscillations

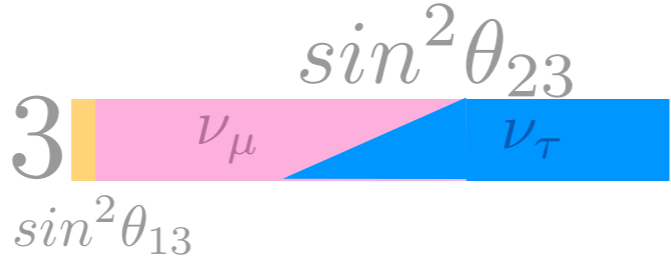
Oscillations require nonzero ν masses



Questions in Neutrino Physics

There are probes on neutrino masses from cosmology and $0\nu\beta\beta$

The **mass hierarchy** may constrain the absolute mass scale and has implications to the Majorana nature of neutrinos



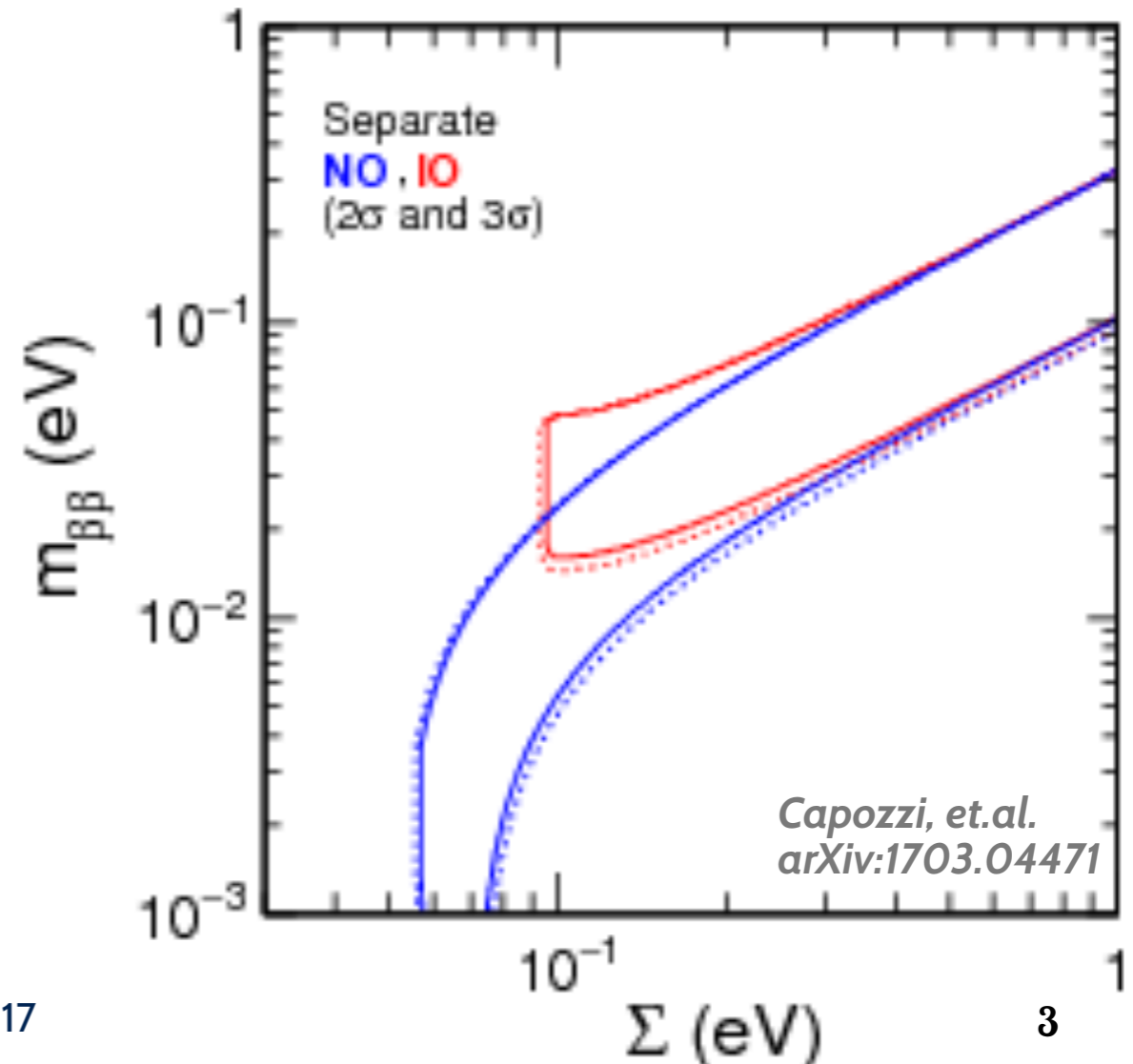
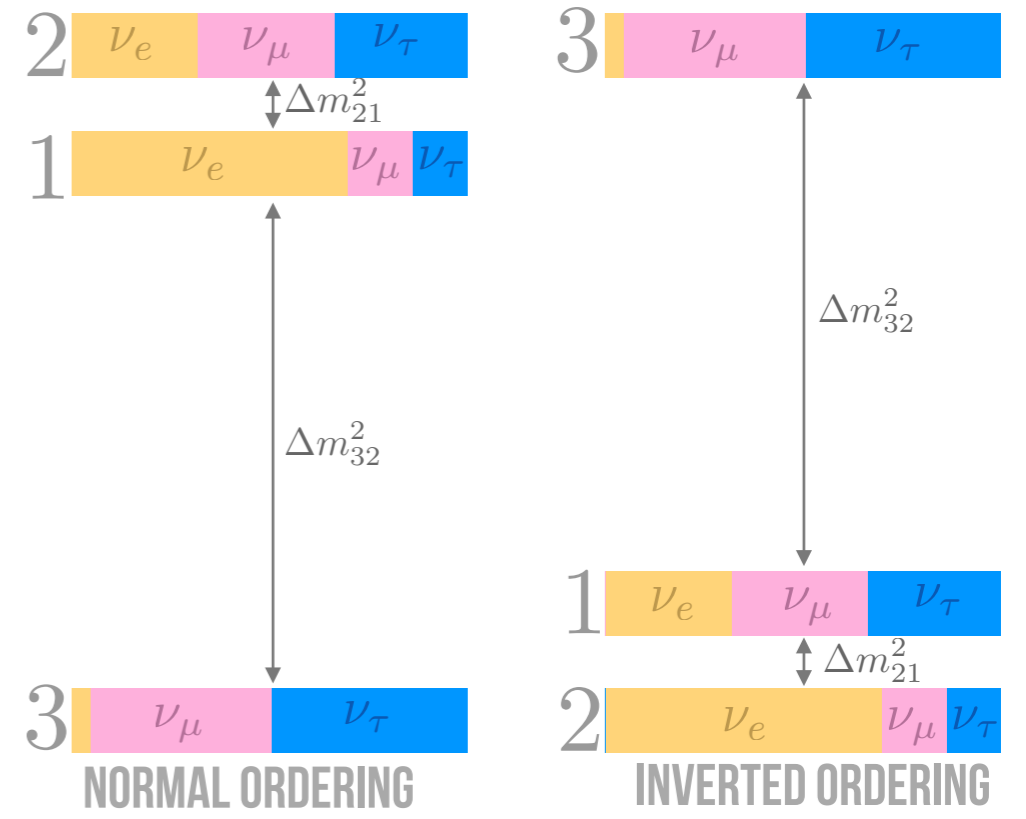
$P(\nu_\mu \rightarrow \nu_\mu)$

Precision measurements of θ_{23} .

The **octant of θ_{23}** has large impact on model building and other parameters.

$P(\nu_\mu \rightarrow \nu_e)$

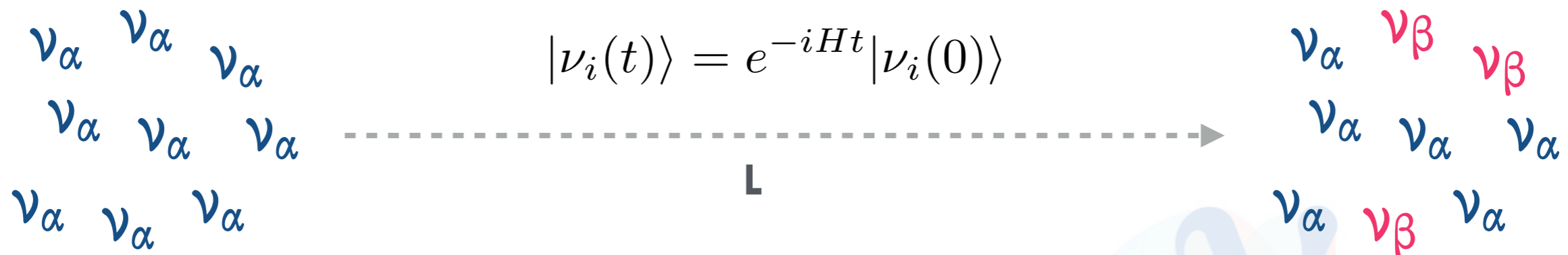
Measuring **CP violation** through δ_{CP} has implications in Leptogenesis and matter-antimatter asymmetry



Neutrino Oscillations

Are flavor eigenstate oscillations described by the **PMNS** matrix.

$$\begin{array}{c} \left| \begin{array}{l} \nu_e \\ \nu_\mu \\ \nu_\tau \end{array} \right\rangle = \begin{pmatrix} U_{e1}^* & U_{e2}^* & U_{e3}^* \\ U_{\mu 1}^* & U_{\mu 2}^* & U_{\mu 3}^* \\ U_{\tau 1}^* & U_{\tau 2}^* & U_{\tau 3}^* \end{pmatrix} \left| \begin{array}{l} \nu_1 \\ \nu_2 \\ \nu_3 \end{array} \right\rangle \\ \text{FLAVOR} \qquad \qquad \qquad \text{PMNS MATRIX} \qquad \qquad \qquad \text{MASS} \\ \text{EIGENSTATES} \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \text{EIGENSTATES} \end{array}$$

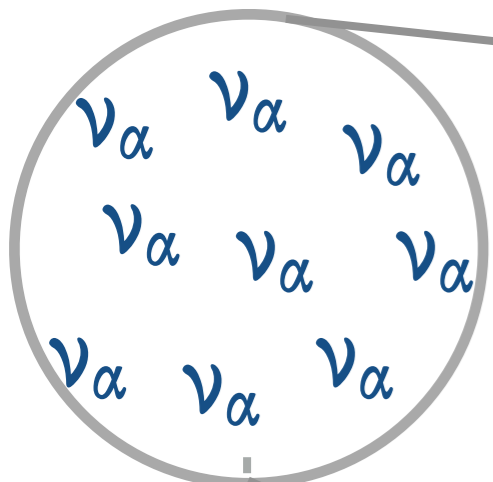


$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_i \underbrace{U_{\beta i} U_{\alpha i}^*}_{\text{OSCILLATION PARAMETERS}} e^{-im_i^2 L/2E} \right|^2$$

Goal: determine the **PMNS** parameters via oscillation probabilities.

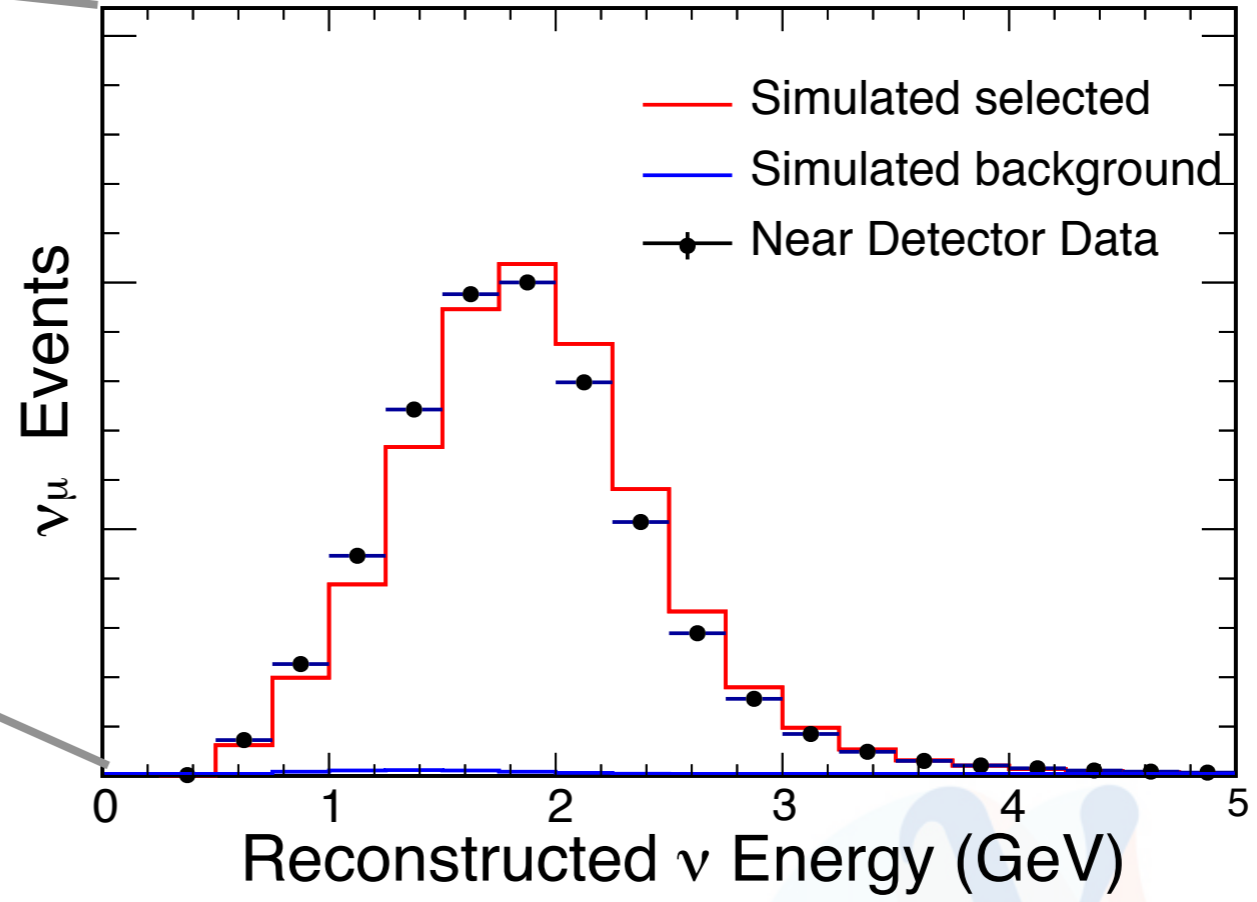
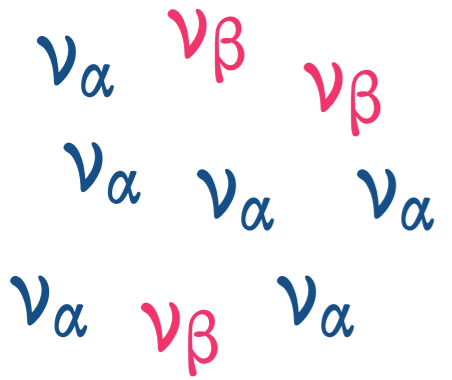
Measurable: A count or energy spectrum of each neutrino flavor.

Neutrino Oscillation Analyses



$$| \nu_i(t) \rangle = e^{-iHt} | \nu_i(0) \rangle$$

L



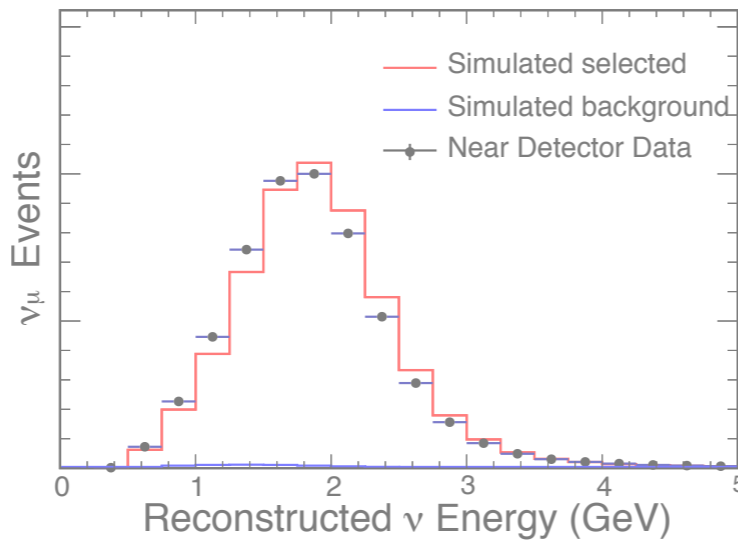
MEASURE FLUX AT NEAR DETECTOR

Neutrino Oscillation Analyses

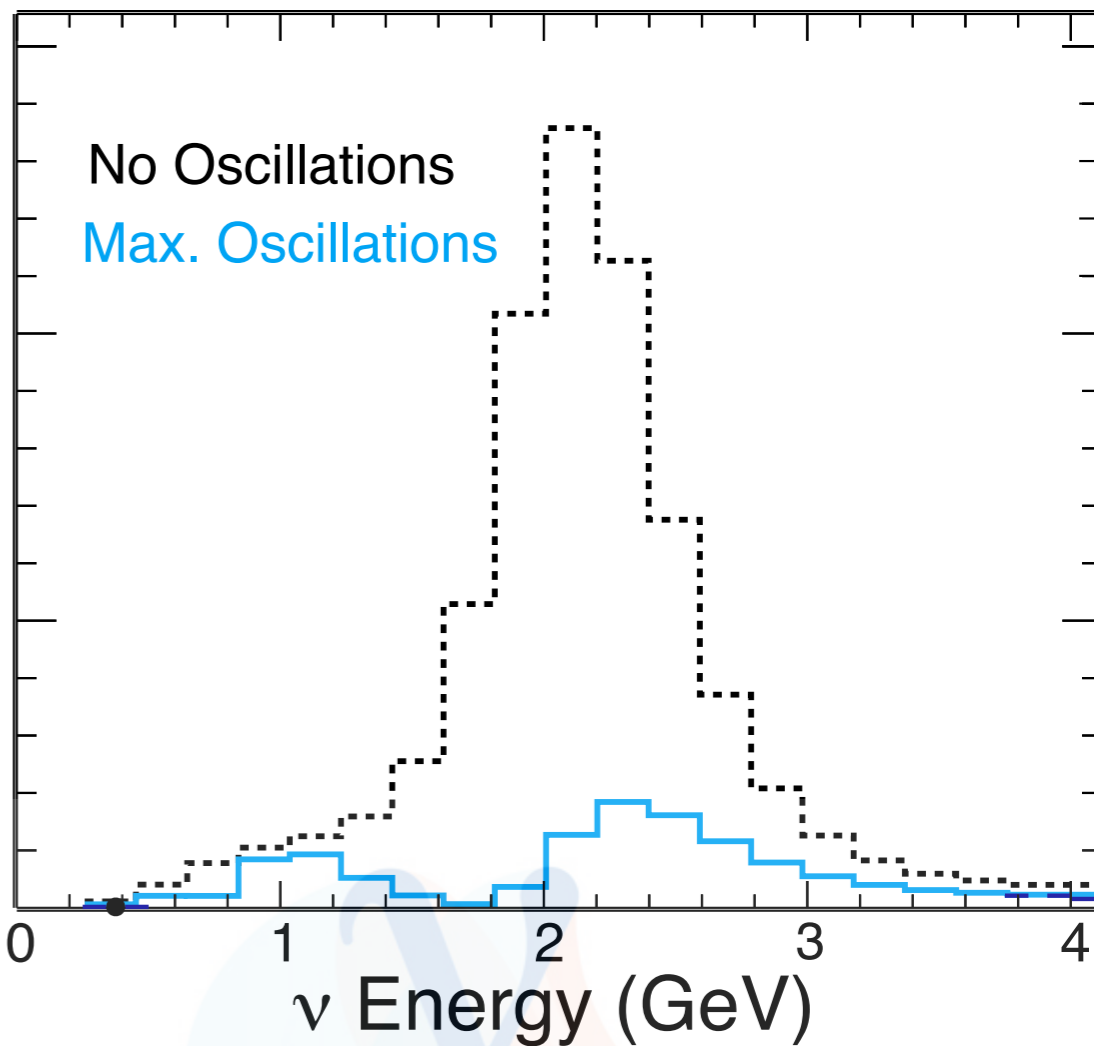
ν_α ν_α ν_α
 ν_α ν_α ν_α
 ν_α ν_α ν_α

$$|\nu_i(t)\rangle = e^{-iHt} |\nu_i(0)\rangle$$

ν_α ν_β ν_β
 ν_α ν_α ν_α
 ν_α ν_β ν_α



Predicted ν_μ Events



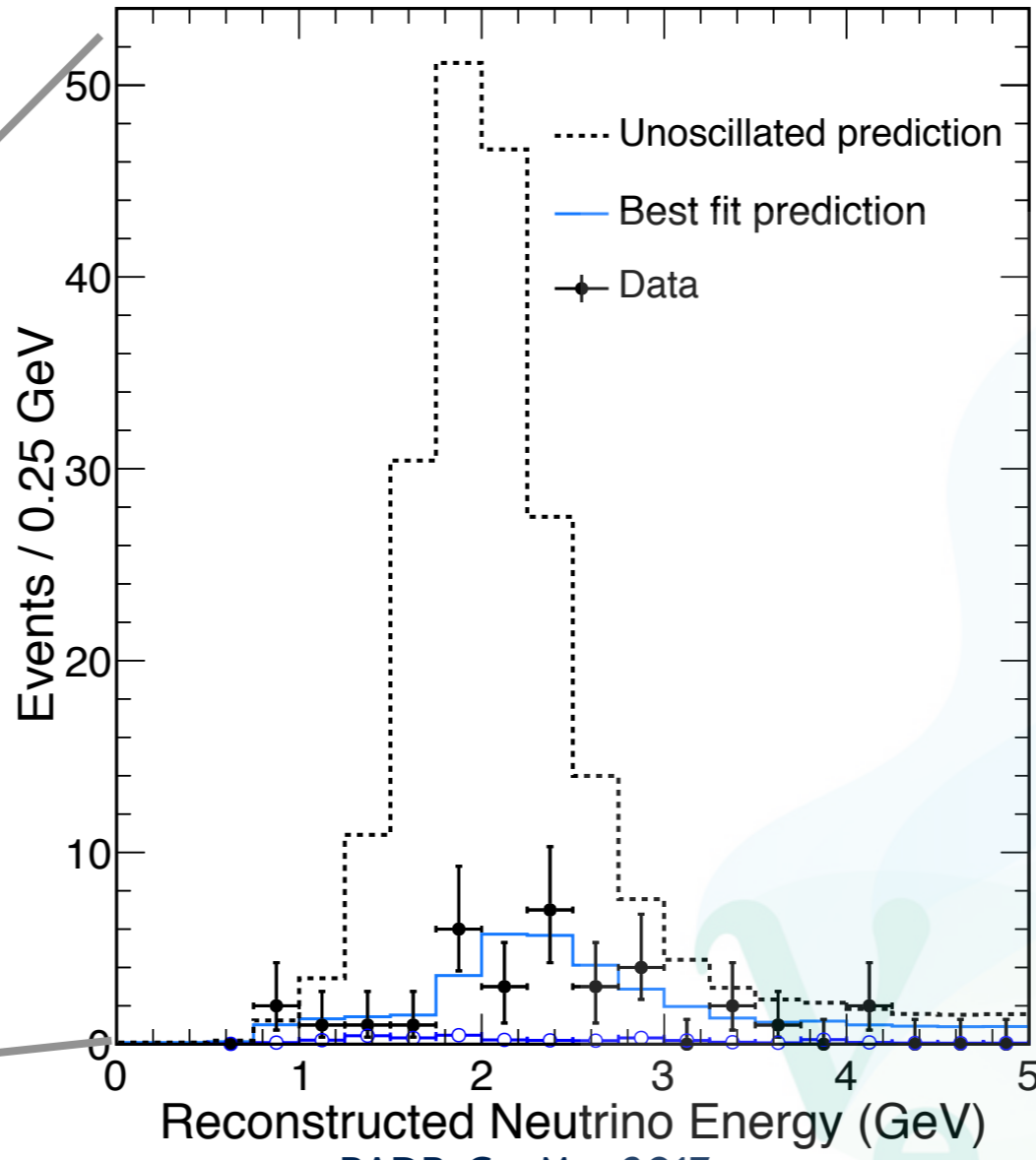
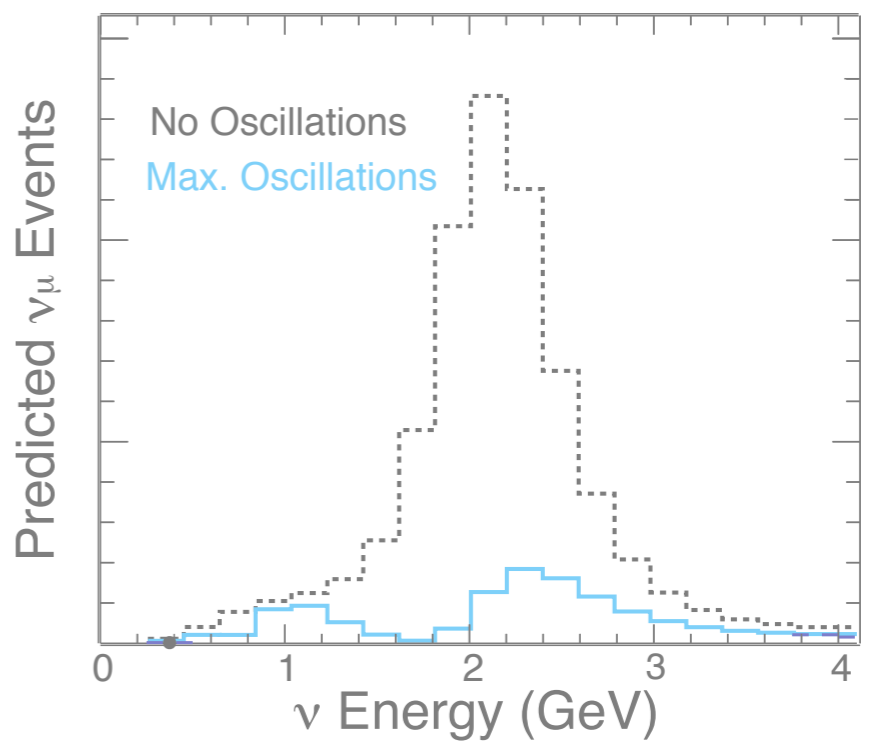
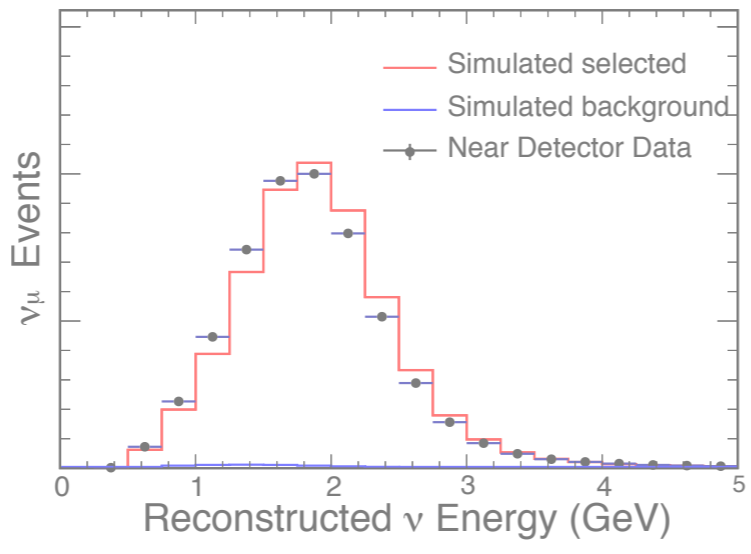
EXTRAPOLATE TO PREDICT SPECTRUM AT THE FAR DETECTOR

Neutrino Oscillation Analyses

ν_α ν_α ν_α
 ν_α ν_α ν_α
 ν_α ν_α ν_α

$$|\nu_i(t)\rangle = e^{-iHt} |\nu_i(0)\rangle$$

ν_α ν_β ν_β
 ν_α ν_α ν_α
 ν_α ν_β ν_α



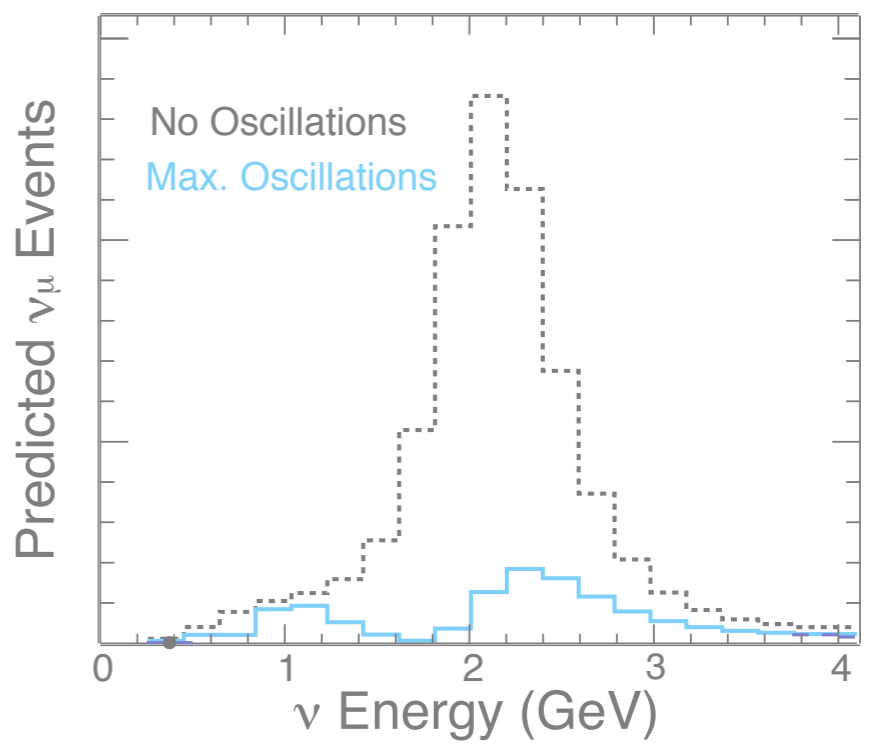
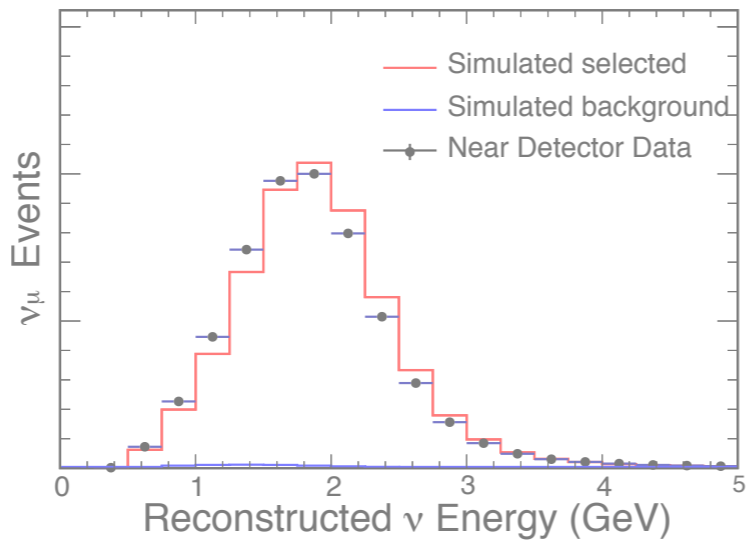
**MEASURE
 SPECTRUM AT
 FAR DETECTOR
 AND FIT**

Neutrino Oscillation Analyses

ν_α ν_α ν_α
 ν_α ν_α ν_α
 ν_α ν_α ν_α

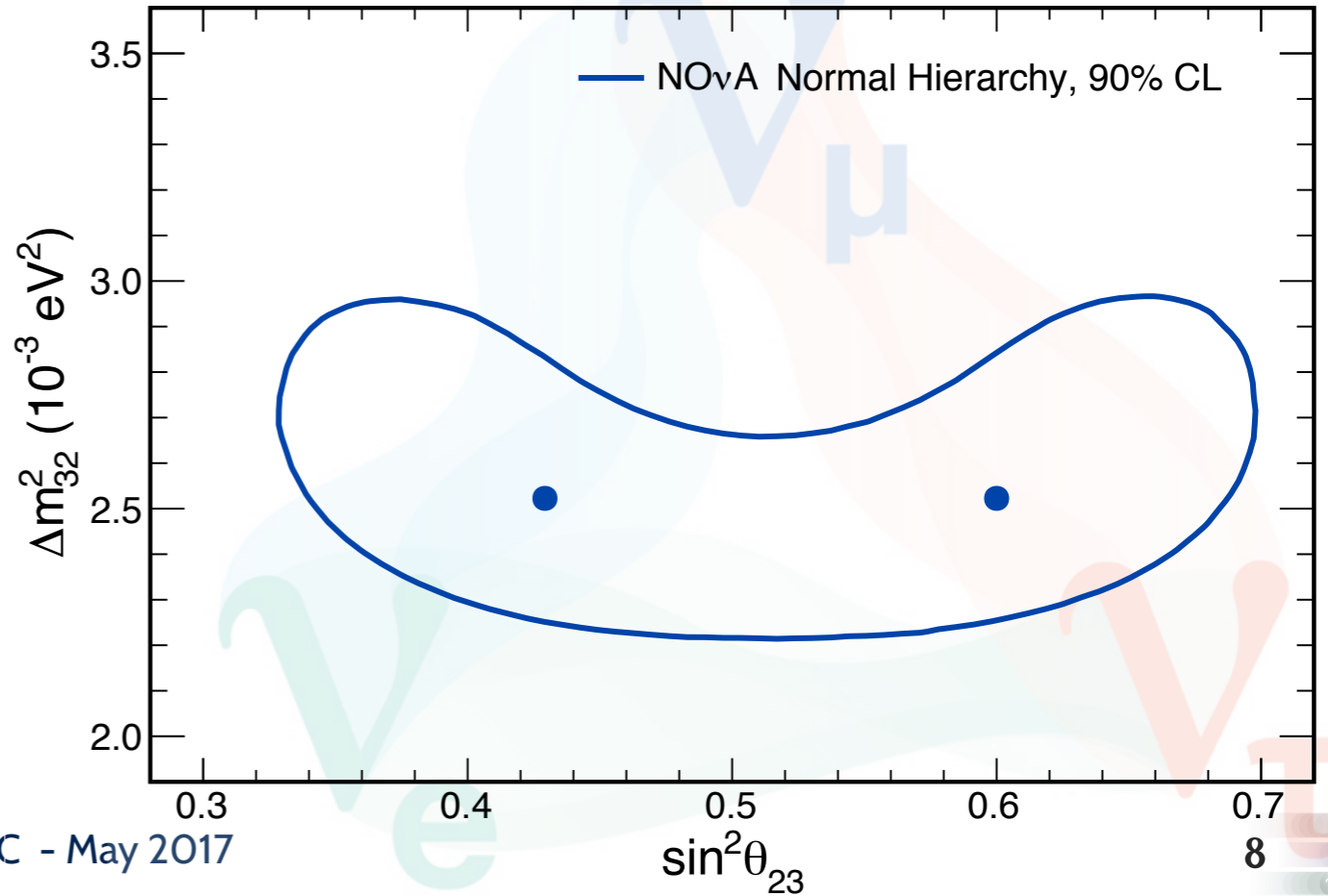
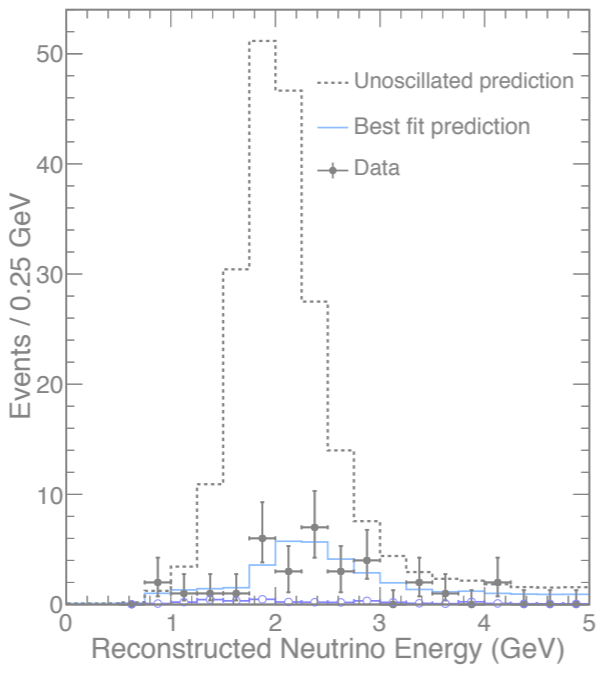
$$|\nu_i(t)\rangle = e^{-iHt} |\nu_i(0)\rangle$$

ν_α ν_β ν_β
 ν_α ν_α ν_α
 ν_α ν_β ν_α



$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2(2\theta_{23}) \sin^2(1.27 \Delta m_{32}^2 L/E)$$

RESULT



Neutrino Oscillations

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_i \underbrace{U_{\beta i} U_{\alpha i}^*}_{\text{OSCILLATION PARAMETERS}} e^{-im_i^2 L/2E} \right|^2$$

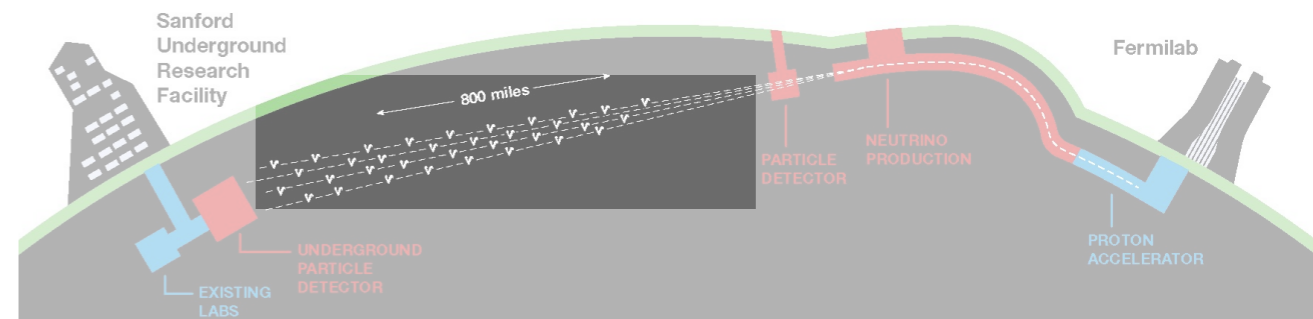
The choice of L/E (Baseline in km/ E_ν in GeV) determines which sector of parameters can be measured with higher precision.

$$U = \begin{pmatrix} 1 & & & \\ & \cos\theta_{23} & \sin\theta_{23} & \\ & -\sin\theta_{23} & \cos\theta_{23} & \\ & & & 1 \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & & \sin\theta_{13}e^{-i\delta} & \\ & 1 & & \\ -\sin\theta_{13}e^{i\delta} & & \cos\theta_{13} & \\ & & & 1 \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & & \\ -\sin\theta_{12} & \cos\theta_{12} & & \\ & & & 1 \end{pmatrix}$$

$\nu_\mu \rightarrow \nu_\tau$
Atmospheric
 $\nu_\mu \rightarrow \nu_e$
Reactor & Accelerator
 $\nu_e \rightarrow \nu_\mu + \nu_\tau$
Solar

Physics at Long Baselines

Matter Effects become more important at longer baselines. Thus, this affects the normal and inverted hierarchy cases differently.



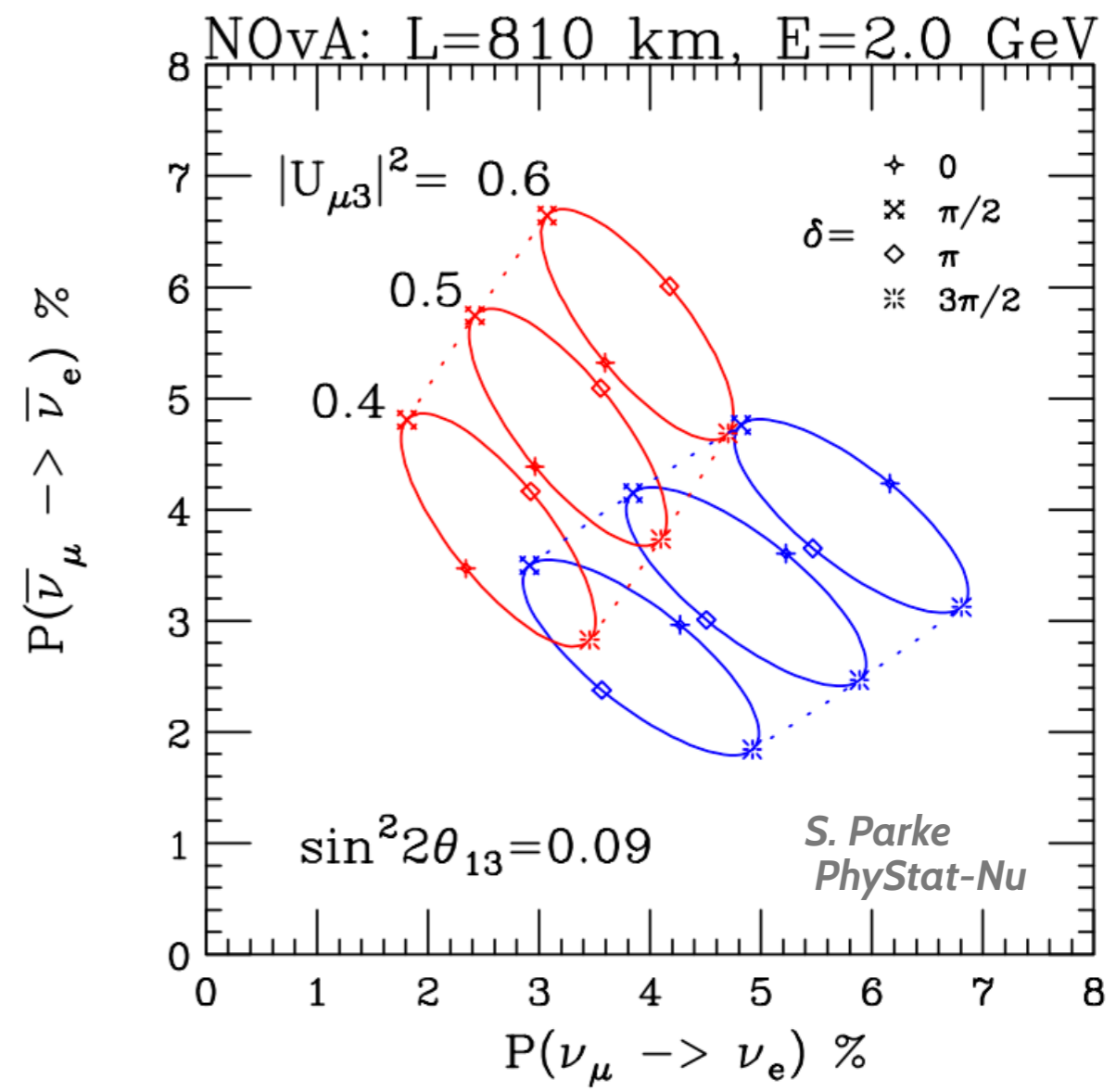
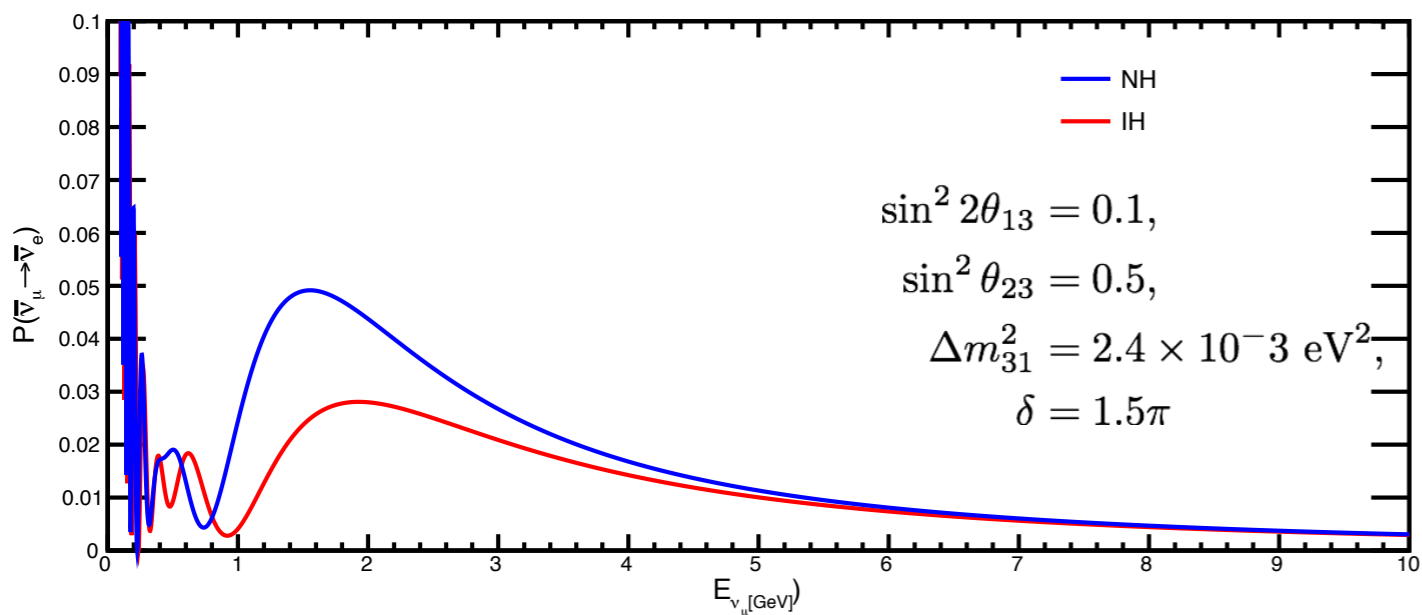
$$P_{\mu e} \simeq P_{e\mu} \simeq \sin^2 \theta_{23} \sin^2 2\theta_{13}^{\text{eff}} \sin^2 \left(\frac{\Delta_{13}^{\text{eff}} L}{2} \right),$$

$$\sin^2 2\theta_{13}^{\text{eff}} = \frac{\Delta_{13}^2 \sin^2 2\theta_{13}}{(\Delta_{13}^{\text{eff}})^2},$$

$$\Delta_{13}^{\text{eff}} = \sqrt{(\Delta_{13} \cos 2\theta_{13} - A)^2 + \Delta_{13}^2 \sin^2 2\theta_{13}},$$

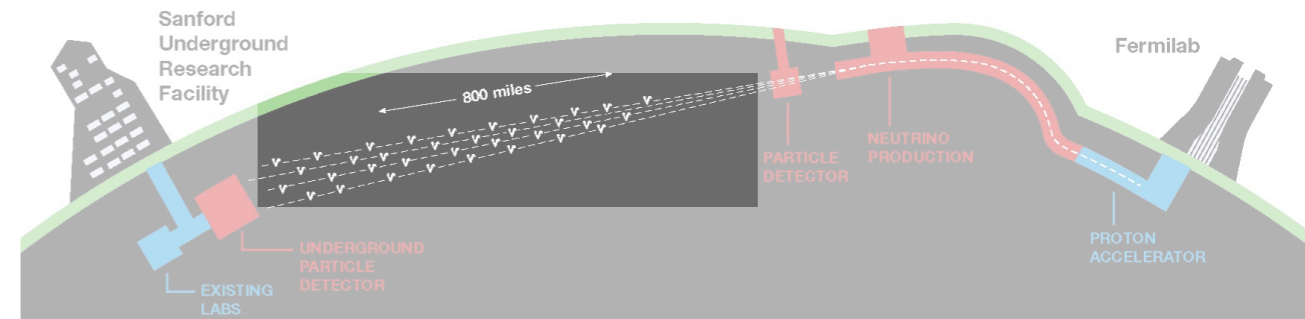
$$\Delta_{13} = \frac{\Delta m_{13}^2}{2E},$$

$$A \equiv \pm \sqrt{2} G_F N_e$$



Physics at Long Baselines

Matter Effects become more important at longer baselines. Thus, this affects the normal and inverted hierarchy cases differently.



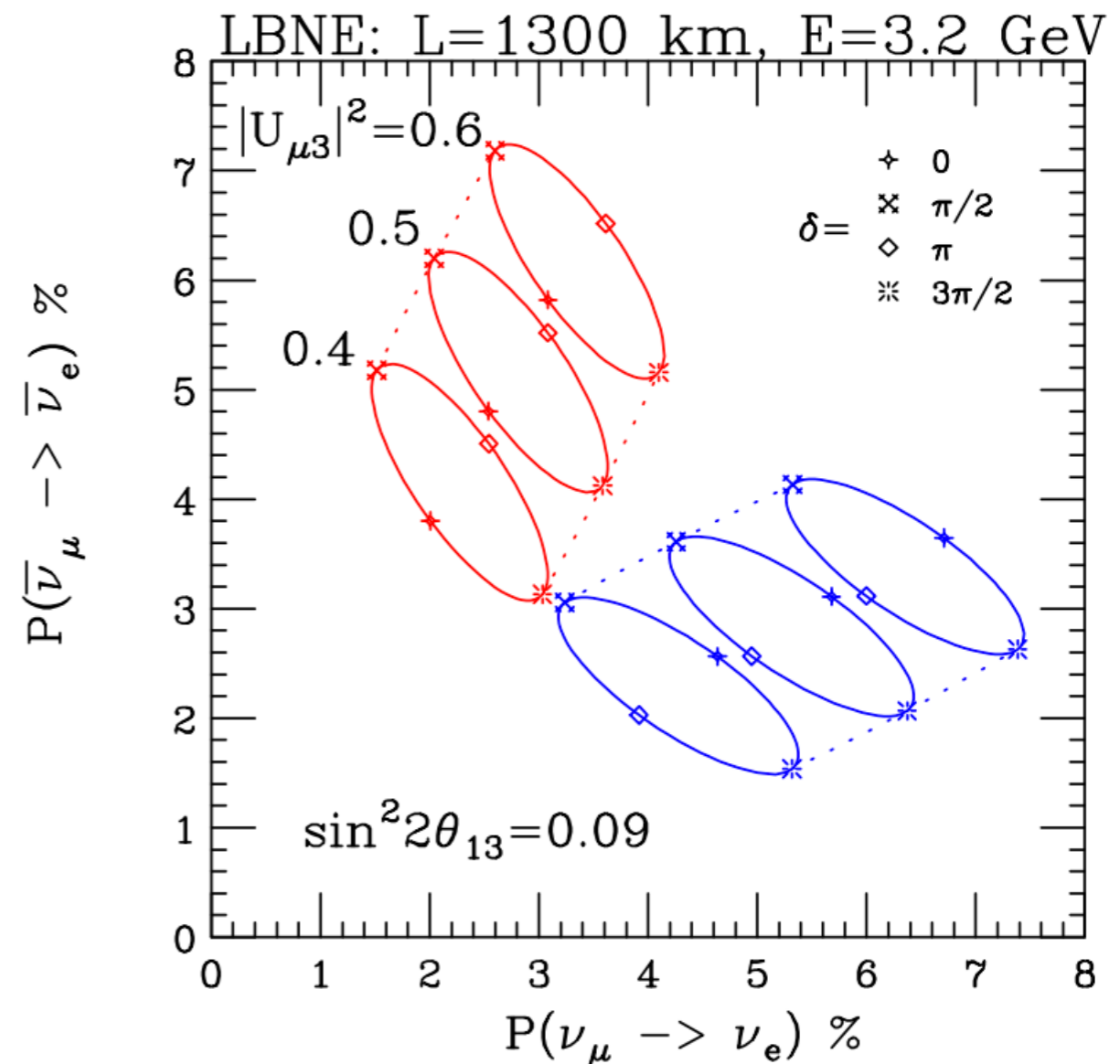
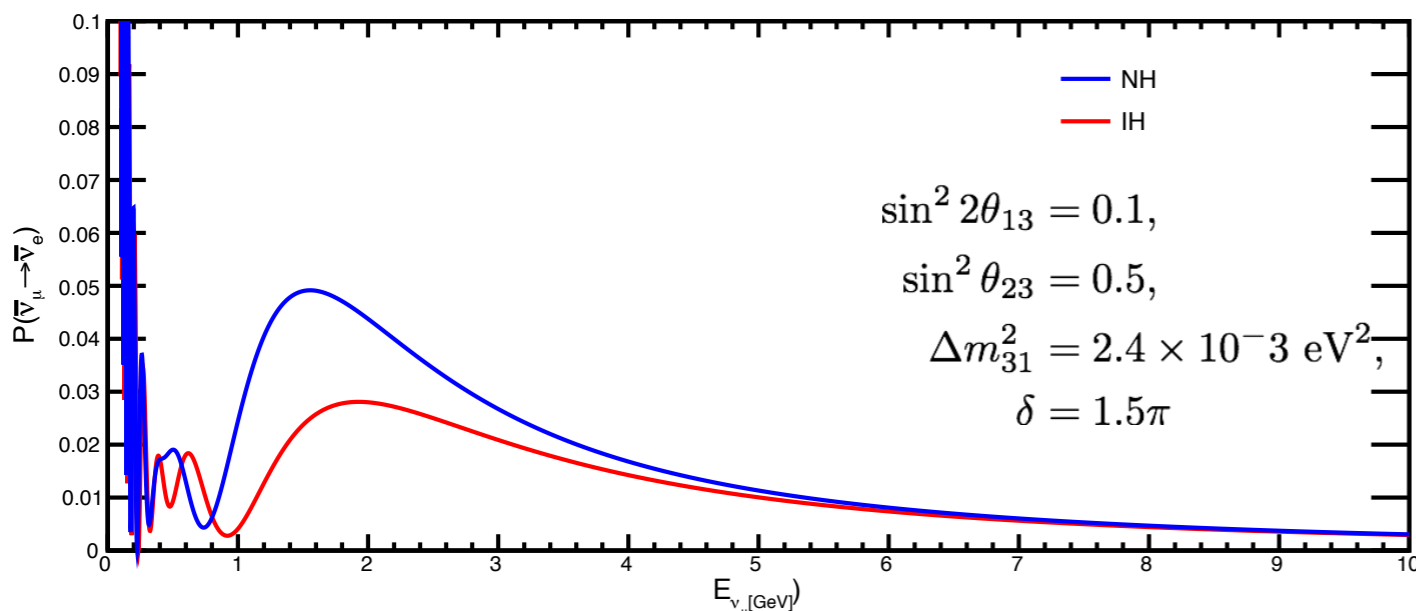
$$P_{\mu e} \simeq P_{e\mu} \simeq \sin^2 \theta_{23} \sin^2 2\theta_{13}^{\text{eff}} \sin^2 \left(\frac{\Delta_{13}^{\text{eff}} L}{2} \right),$$

$$\sin^2 2\theta_{13}^{\text{eff}} = \frac{\Delta_{13}^2 \sin^2 2\theta_{13}}{(\Delta_{13}^{\text{eff}})^2},$$

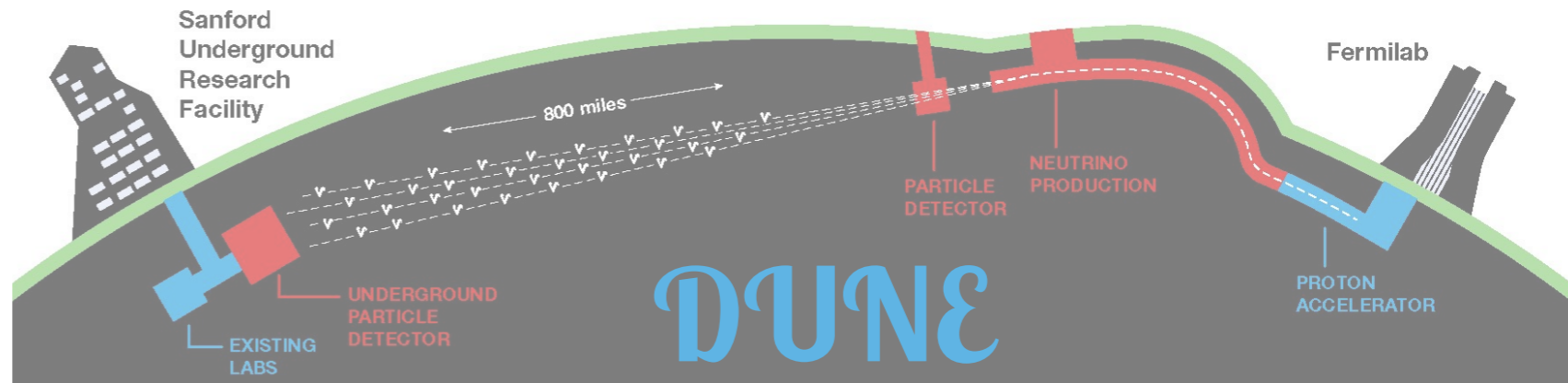
$$\Delta_{13}^{\text{eff}} = \sqrt{(\Delta_{13} \cos 2\theta_{13} - A)^2 + \Delta_{13}^2 \sin^2 2\theta_{13}},$$

$$\Delta_{13} = \frac{\Delta m_{13}^2}{2E},$$

$$A \equiv \pm \sqrt{2} G_F N_e$$

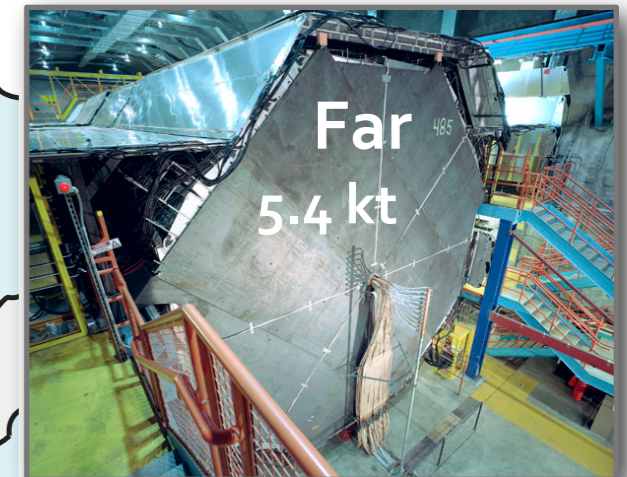
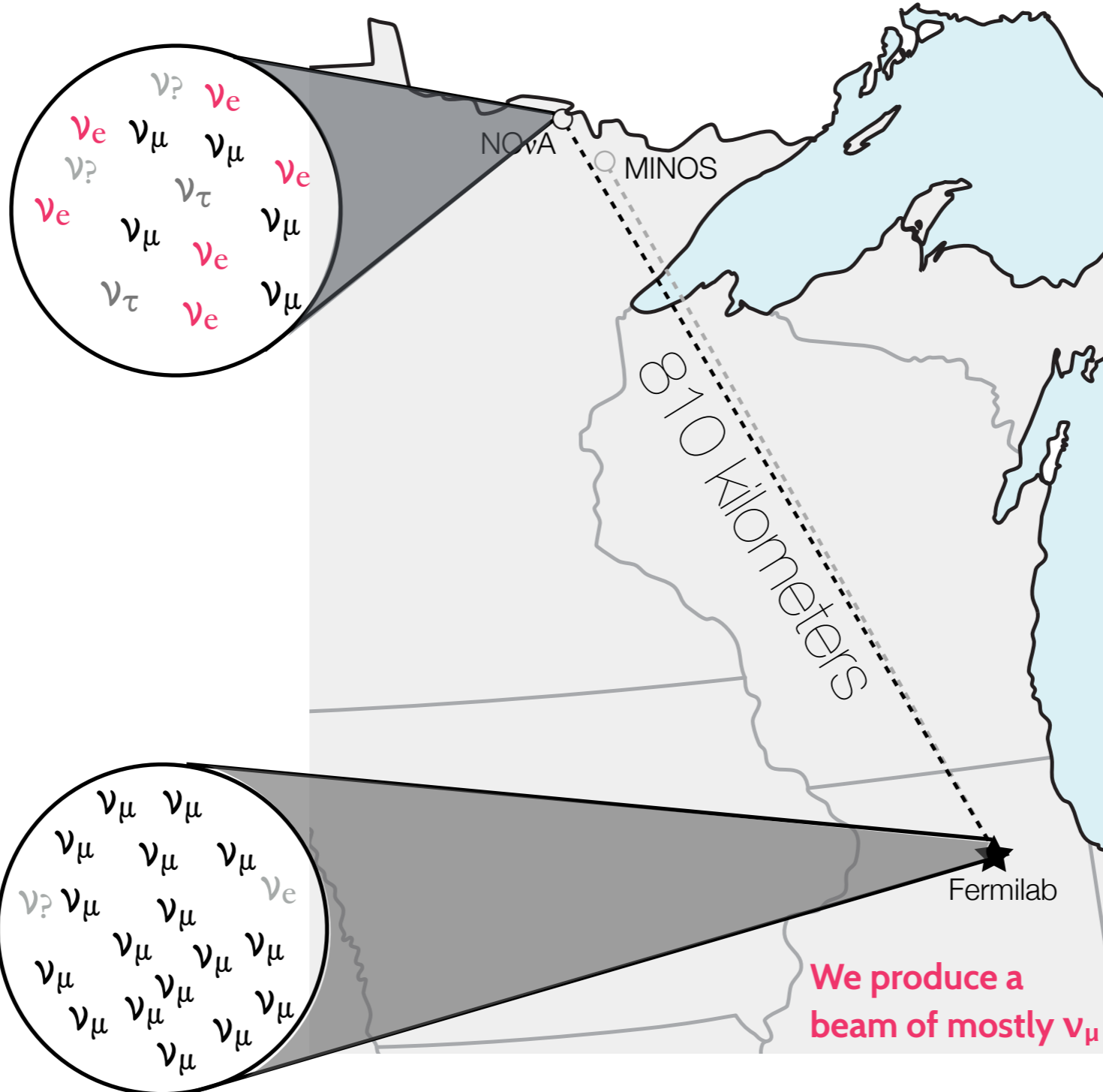


LONG BASELINE ν EXPERIMENTS



Far
14 kt

NOvA



Far
5.4 kt

MINOS



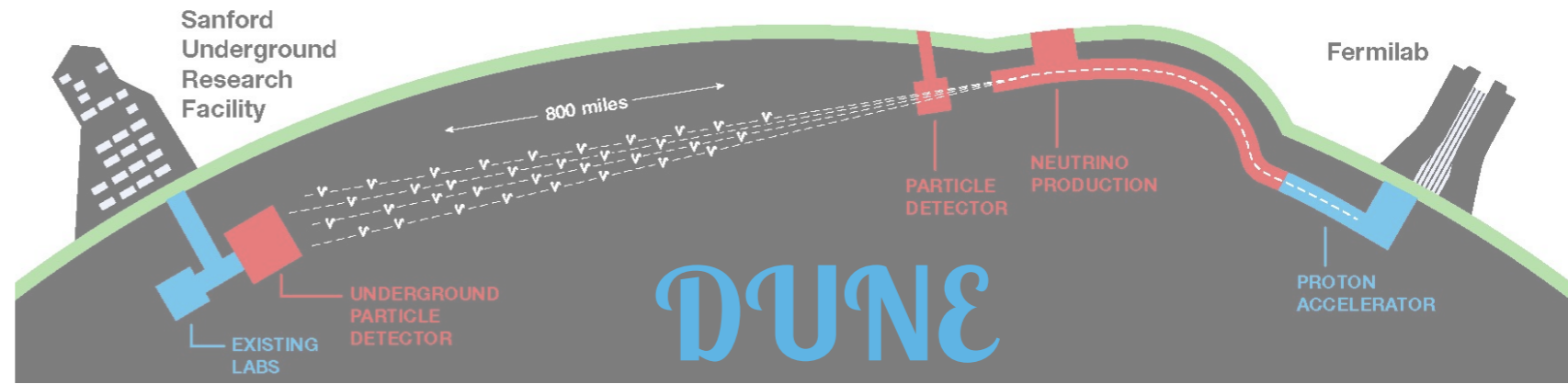
Near



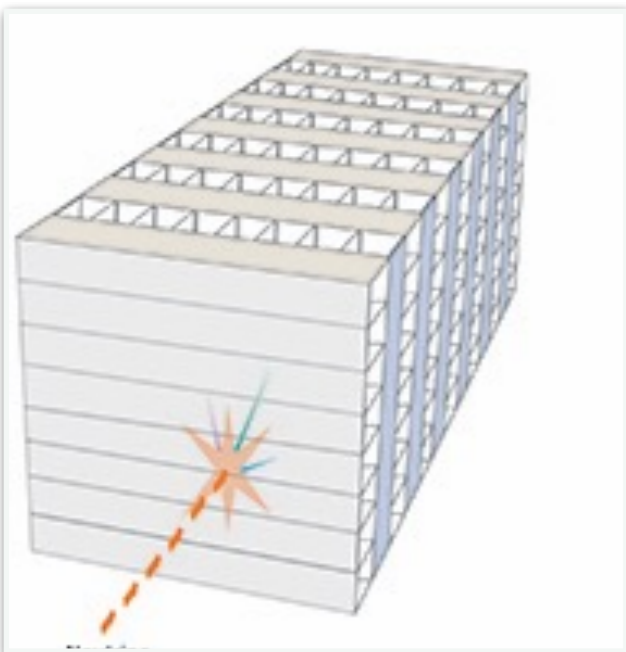
Near

We produce a beam of mostly ν_μ

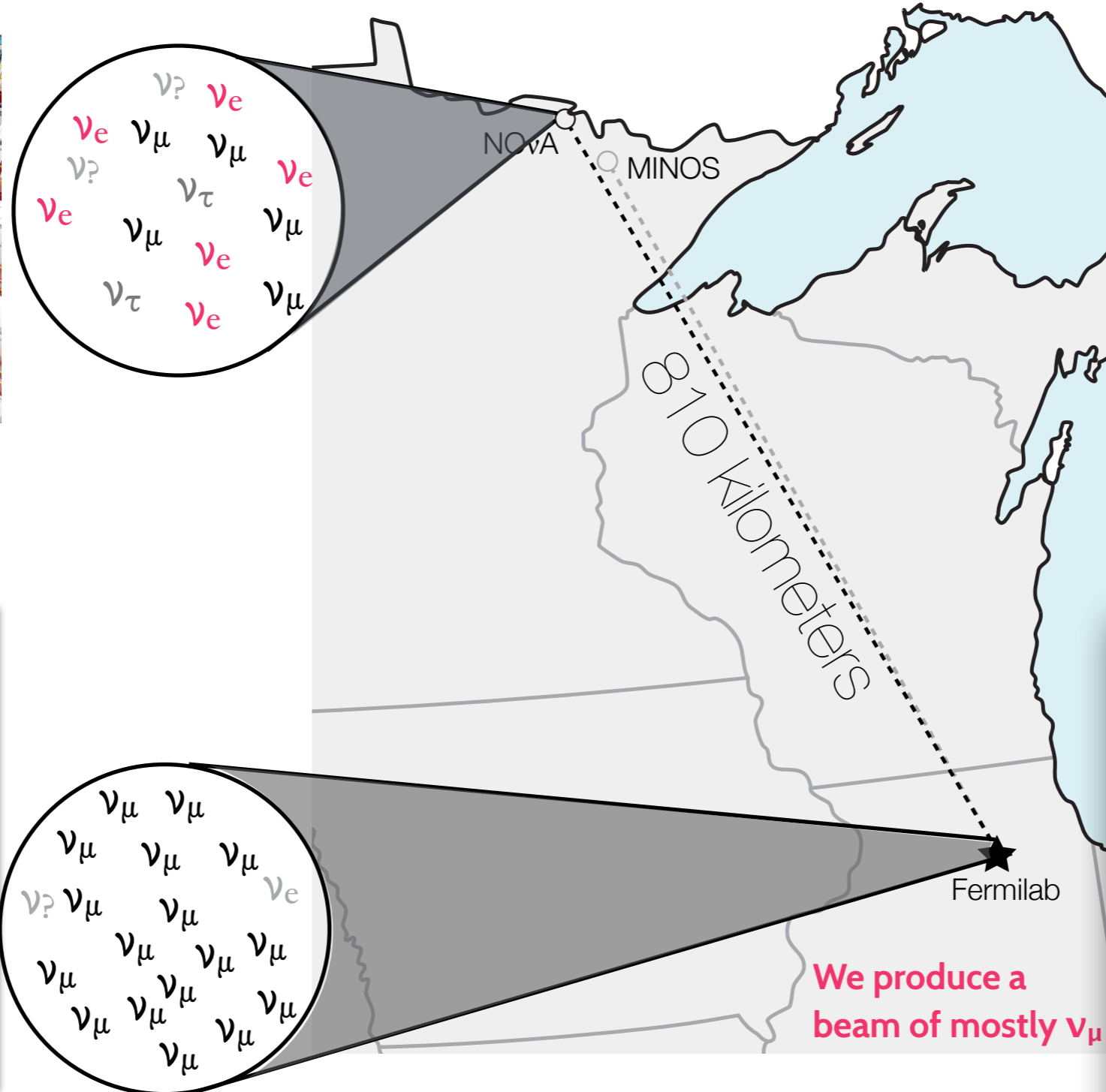
LONG BASELINE ν EXPERIMENTS



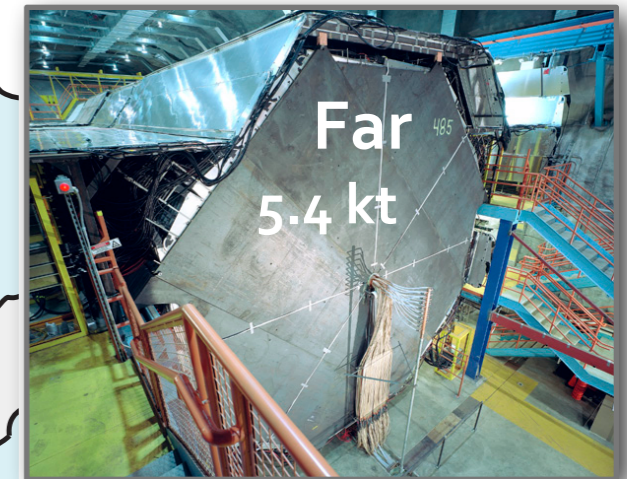
NOvA



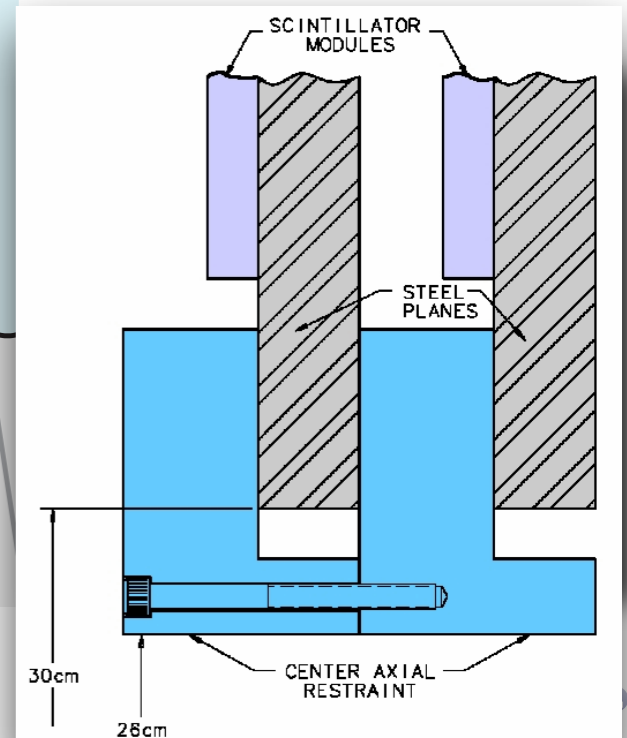
Fernanda Psihas



RADPyC - May 2017



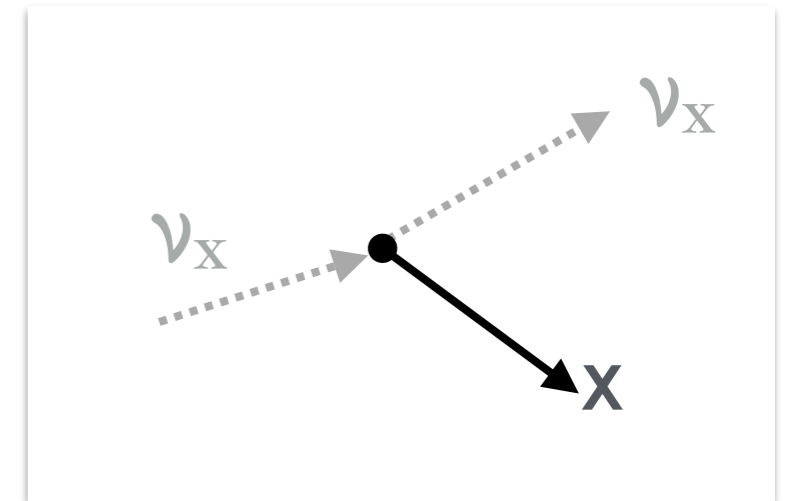
MINOS



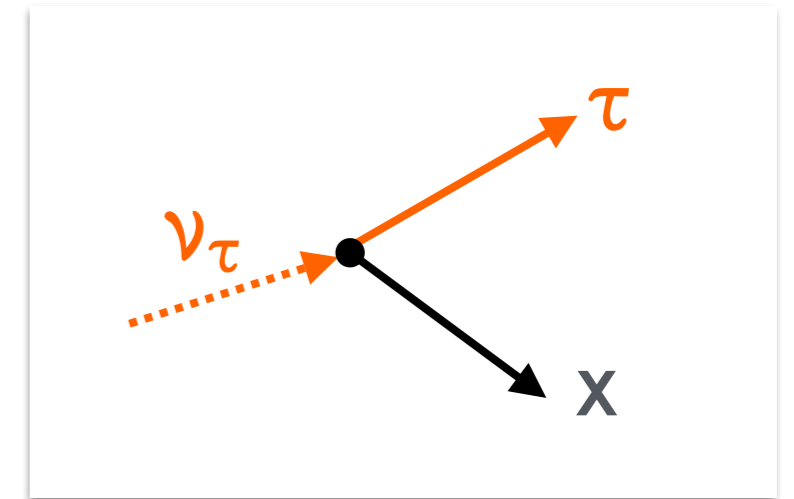
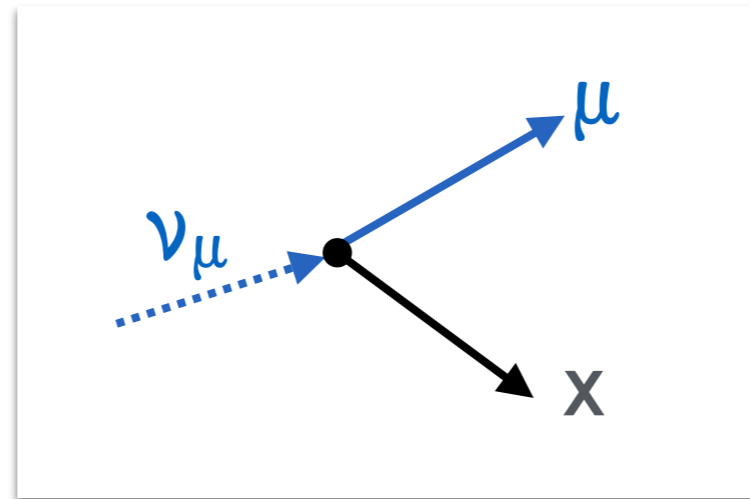
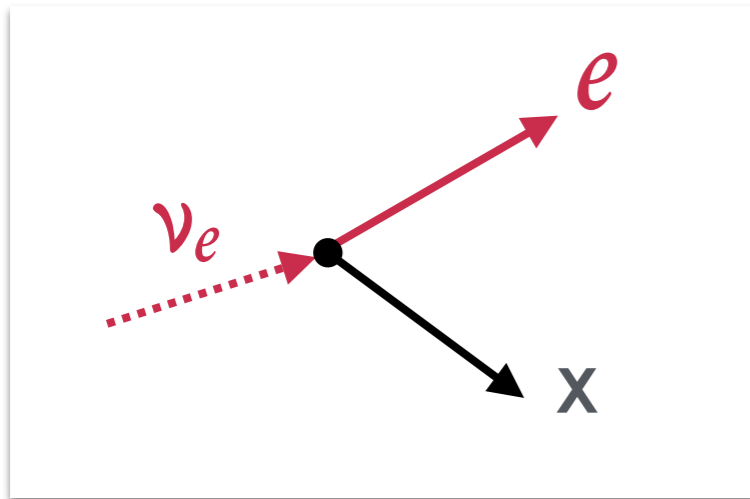
Flavor Identification

Neutrino interactions are flavor conserving, thus, they can be identified from the outgoing lepton.

Unless, of course, it is also a neutrino.

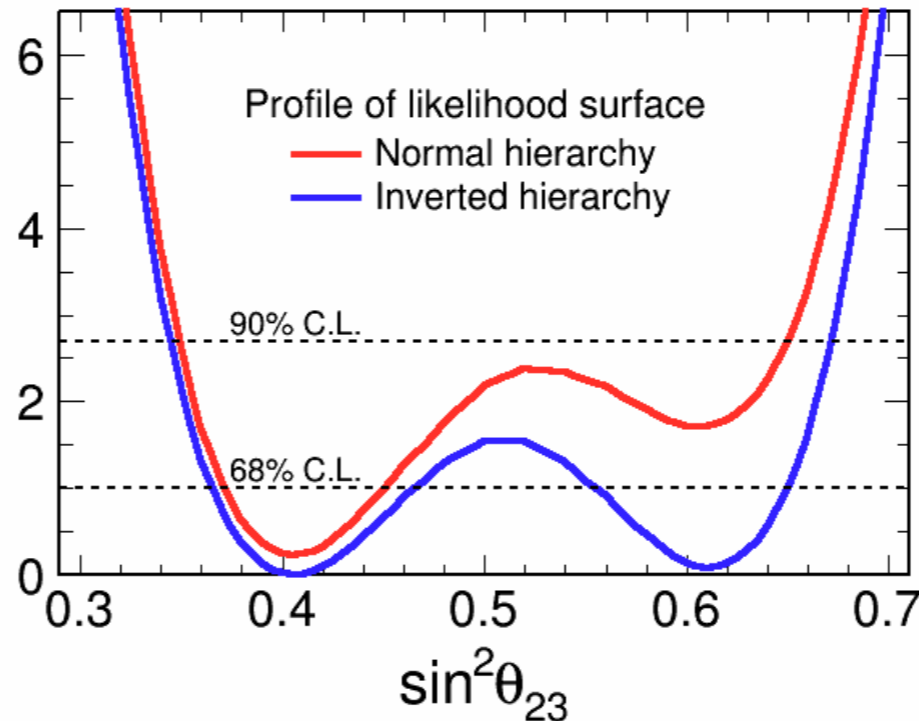
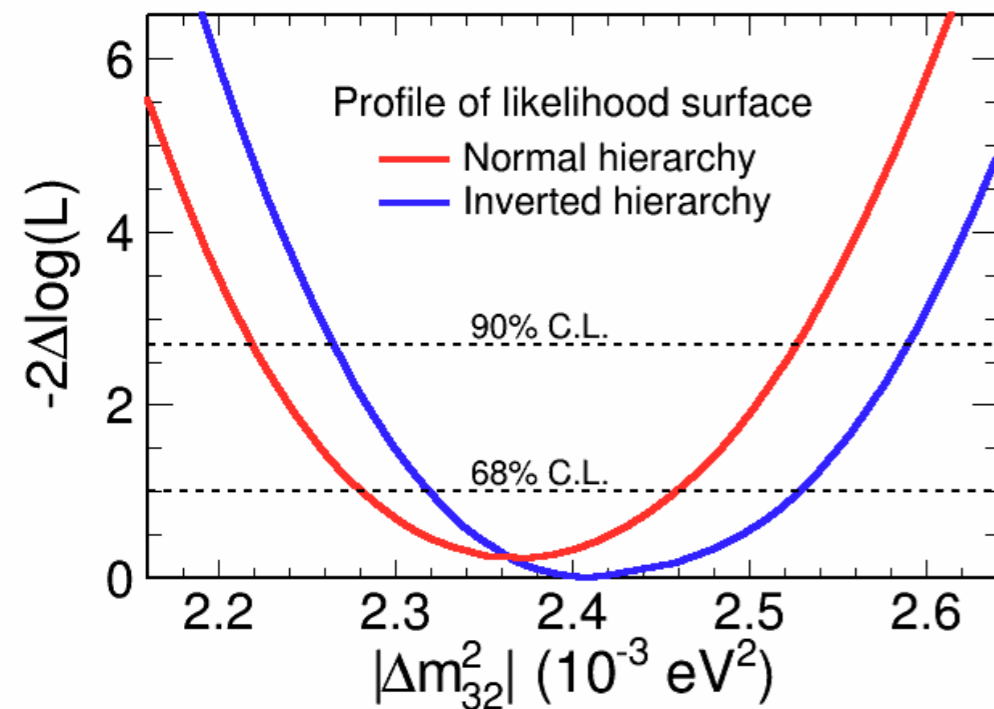
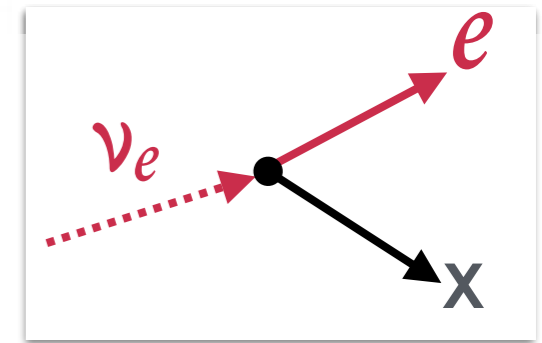
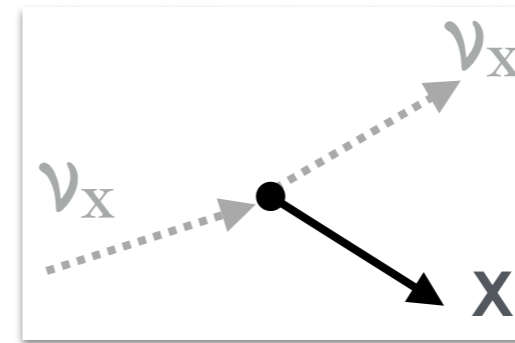
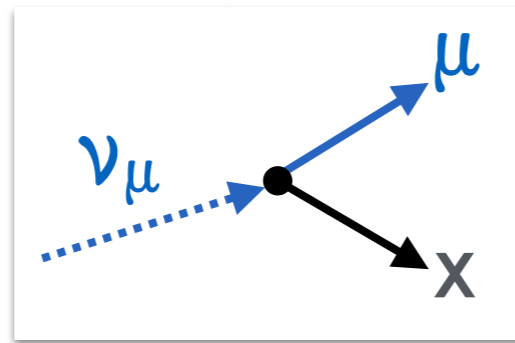
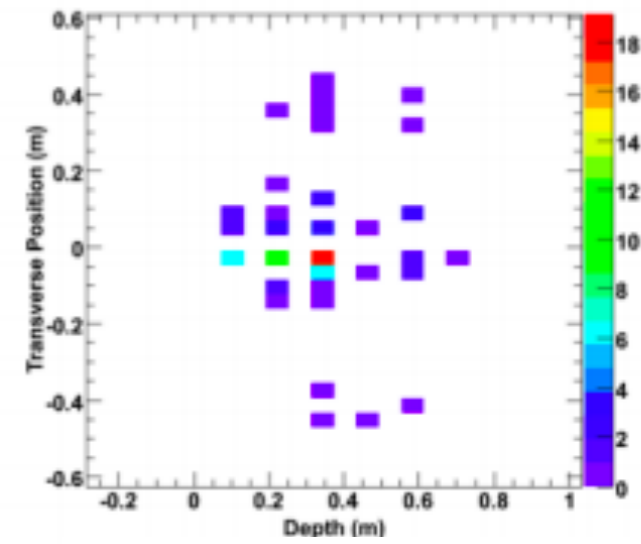
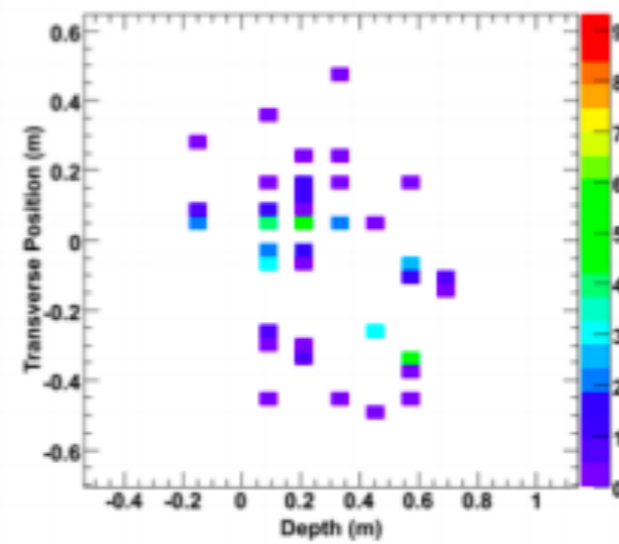
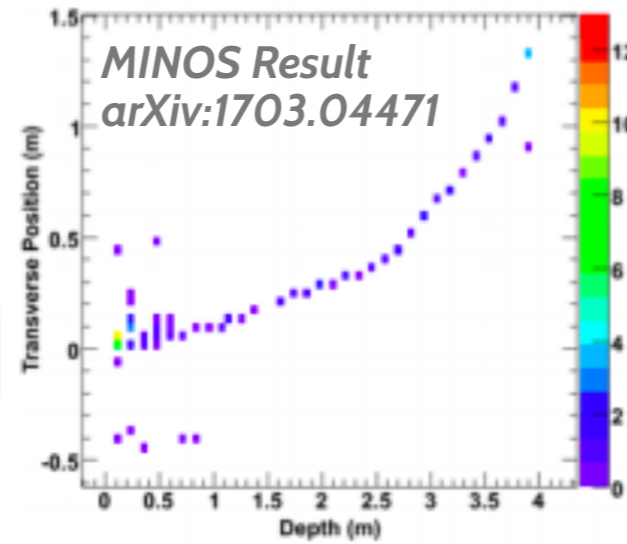


NEUTRAL CURRENT INTERACTIONS



CHARGED CURRENT INTERACTIONS

Main Injector Neutrino Oscillations Search



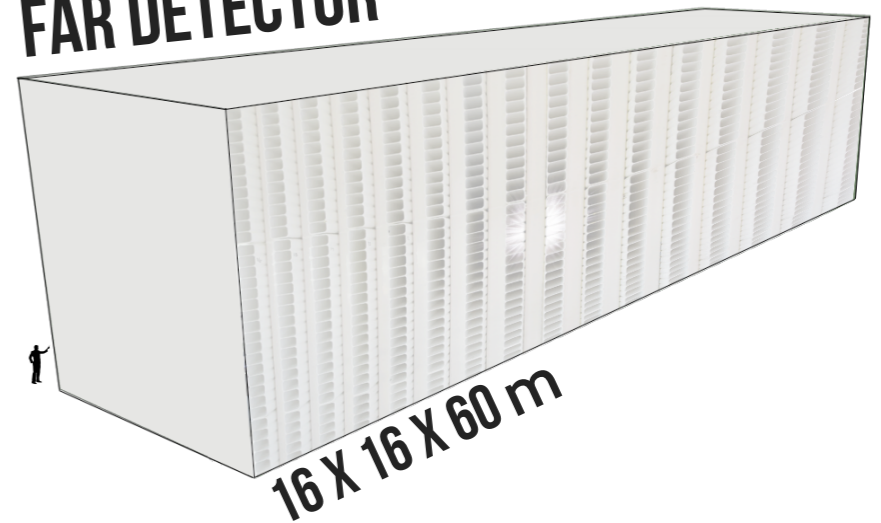
Ran from 2005-2012

~5% precision on the mass difference

Soft indication of non-maximal mixing at $\sim 1\sigma$

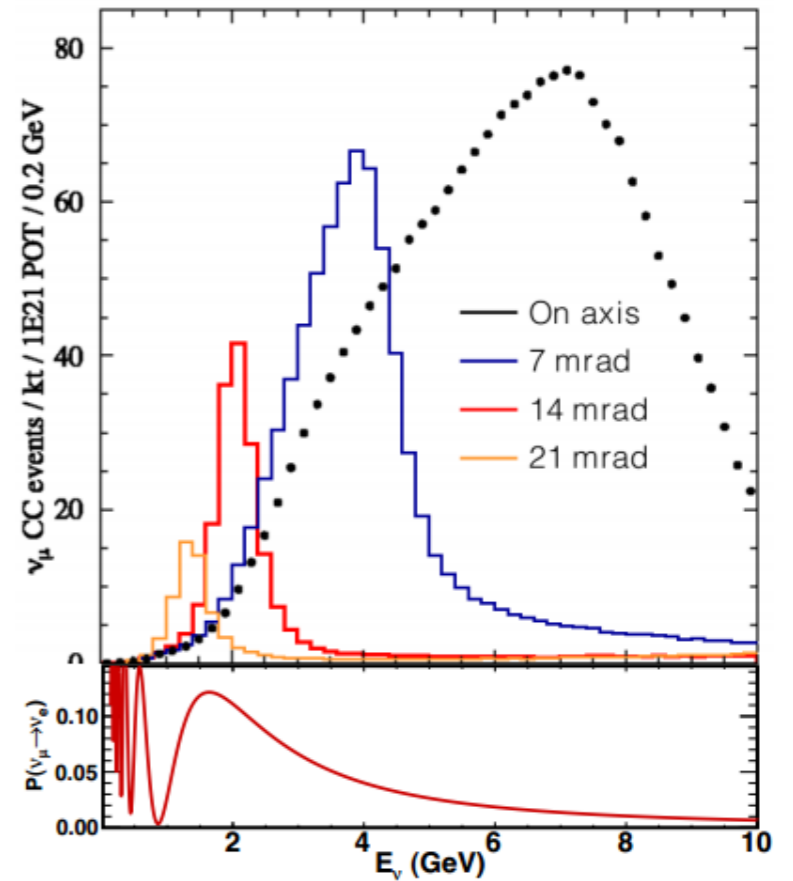
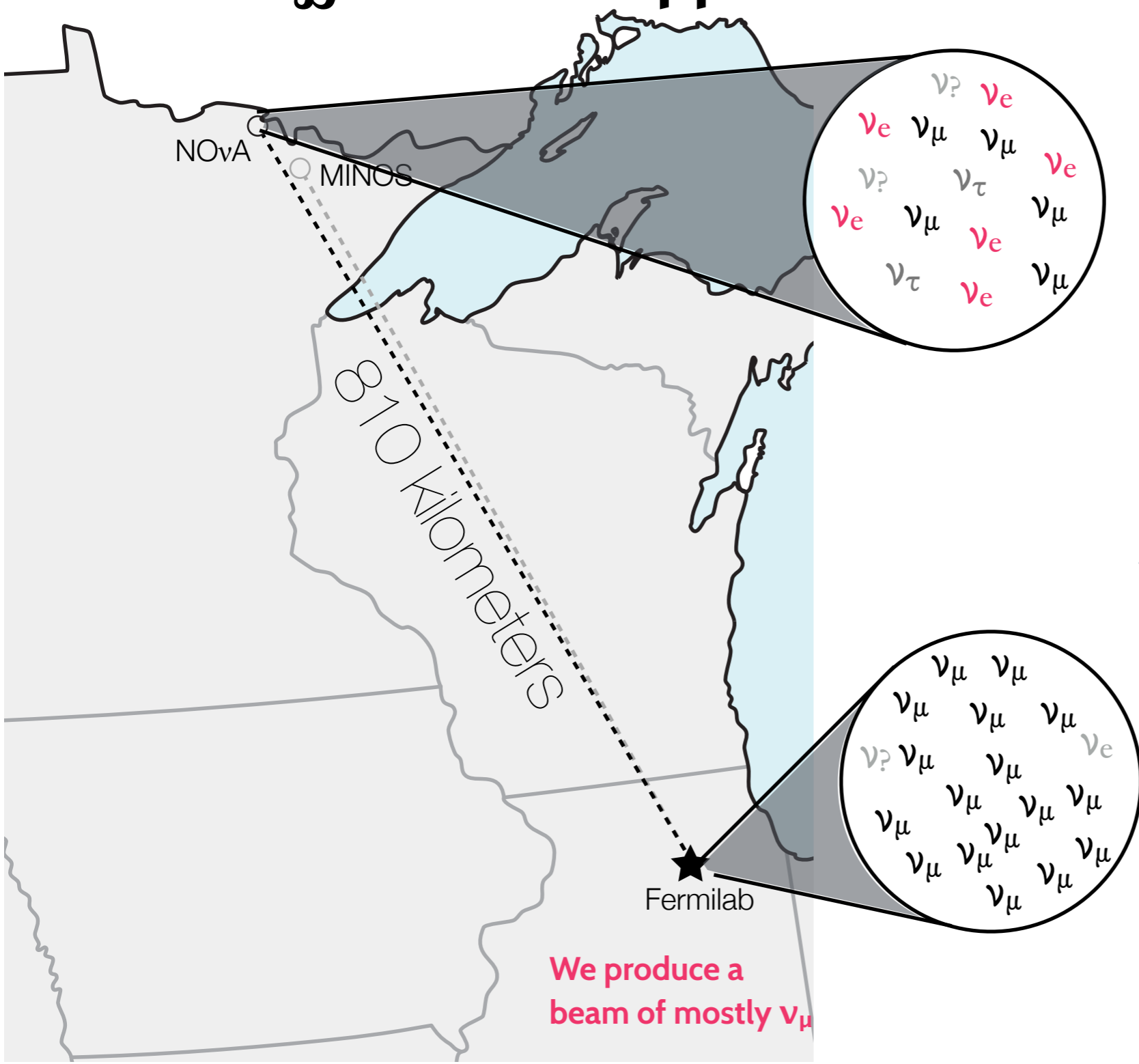
NuMI Off-axis ν_e Appearance

FAR DETECTOR



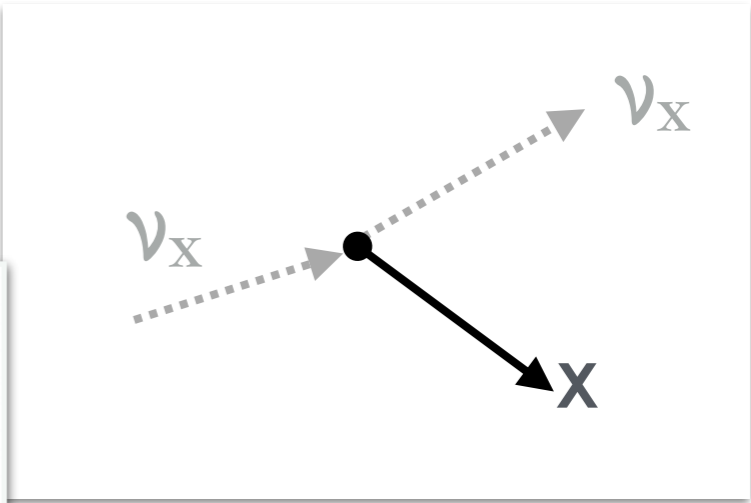
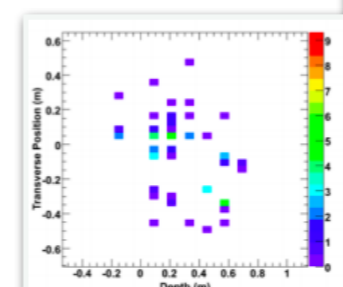
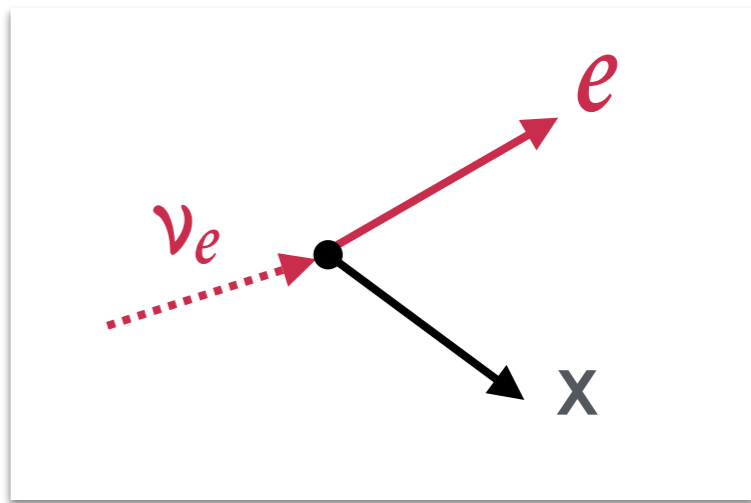
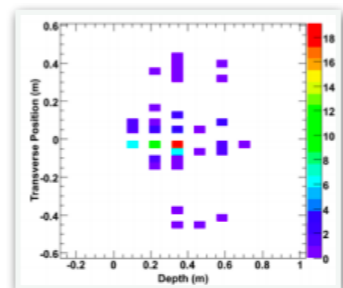
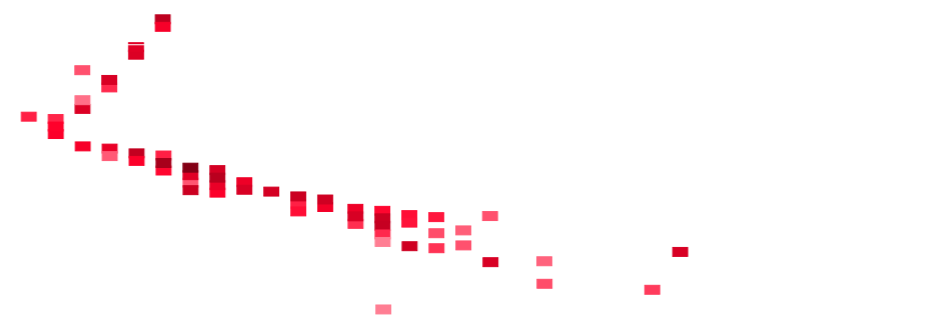
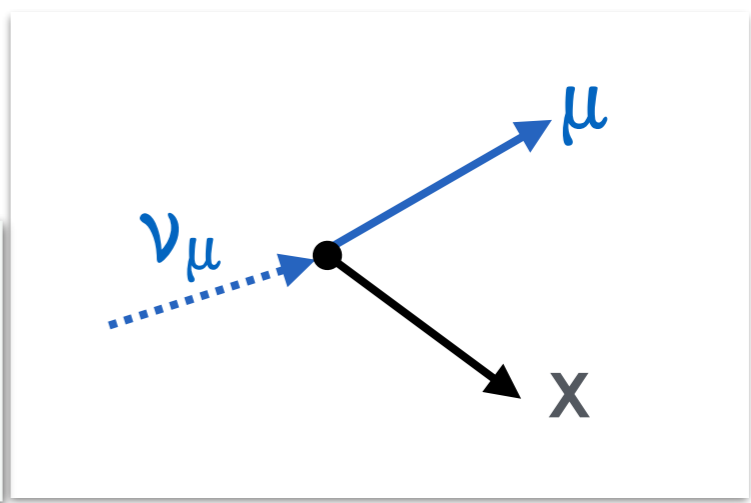
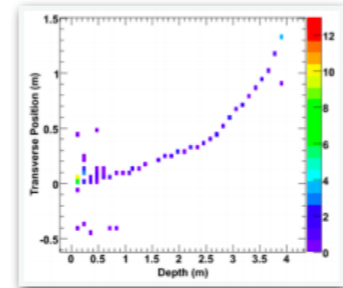
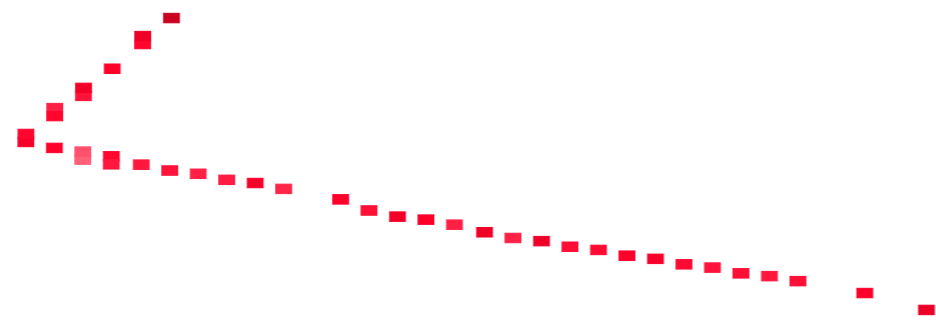
Study ν_e appearance and ν_μ disappearance in ν and $\bar{\nu}$ modes.

Off-Axis to constrain the energy spectrum

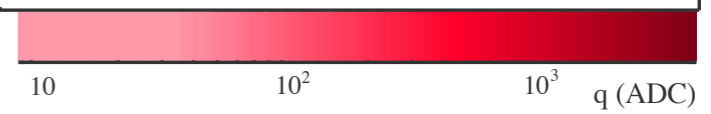


Technology optimized for electron identification at high spacial resolution

Signature Data Events

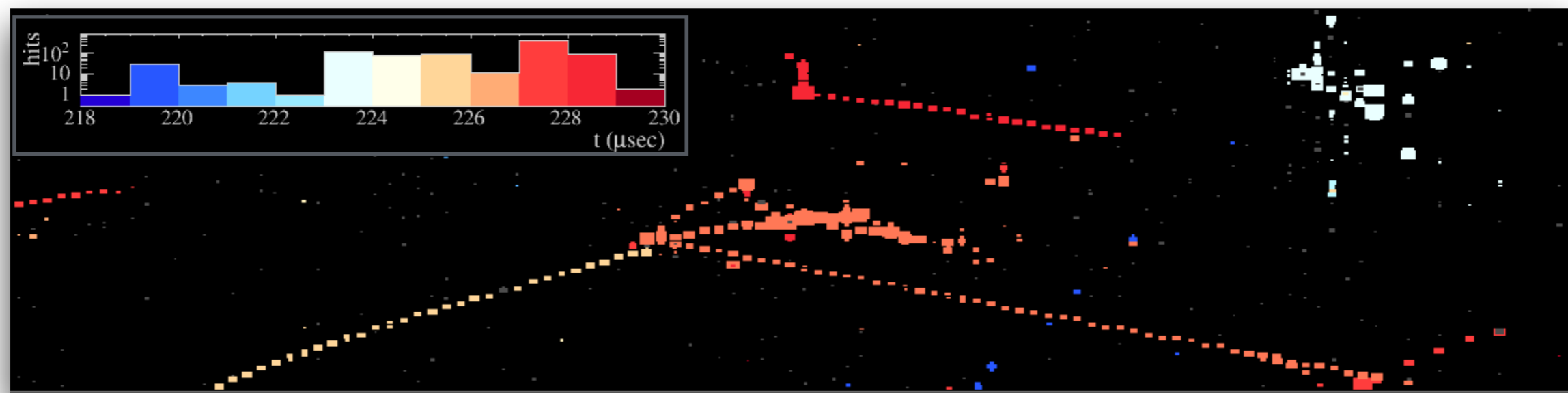


1m
1m

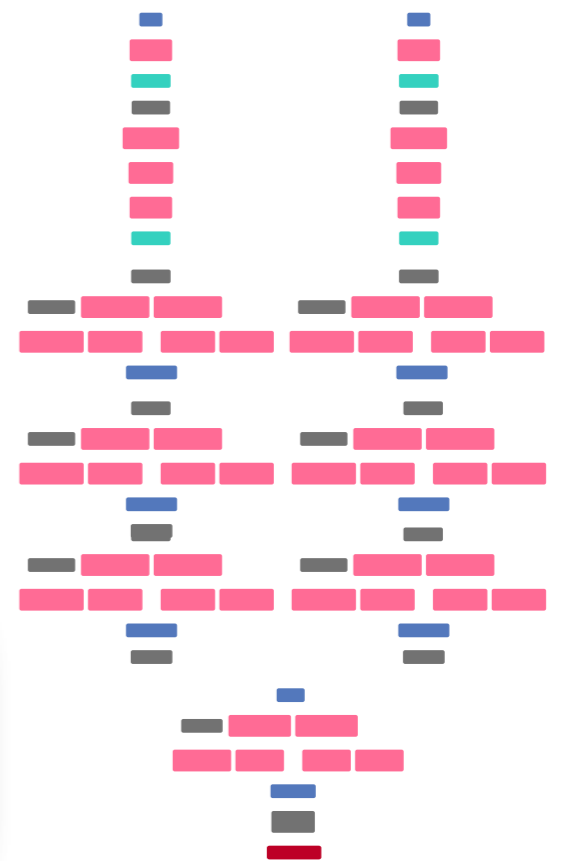
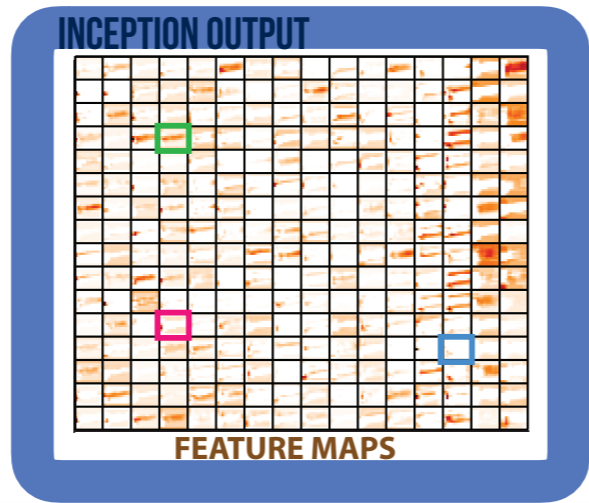
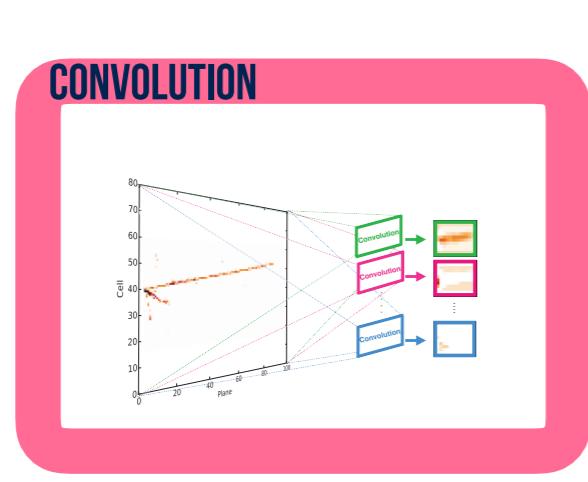


Event Selection & Reconstruction

Using traditional reconstruction for ν_μ identification



Using deep learning for ν_e event identification

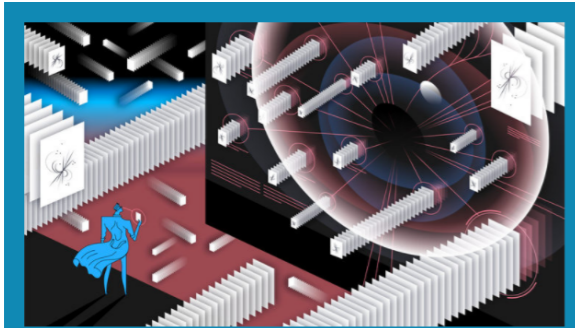


A convolutional neural network neutrino event classifier 2016 *JINST* **11** P09001
 A. Aurisano, A. Radovic, D. Rocco, A. Himmel, M.D. Messier, E. Niner, G. Pawloski, F. Psihas, A. Sousa and P. Vahle

Machine Learning in HEP

Machine learning, especially deep learning applications are being explored in HEP experiments across the board.

New resources are available for the community to learn about machine learning, interface with industry and try new implementations.



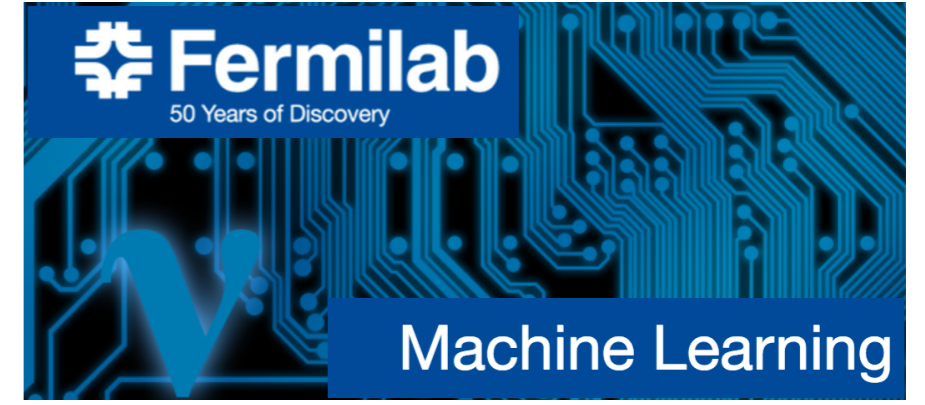
12/06/16

Deep learning takes on physics

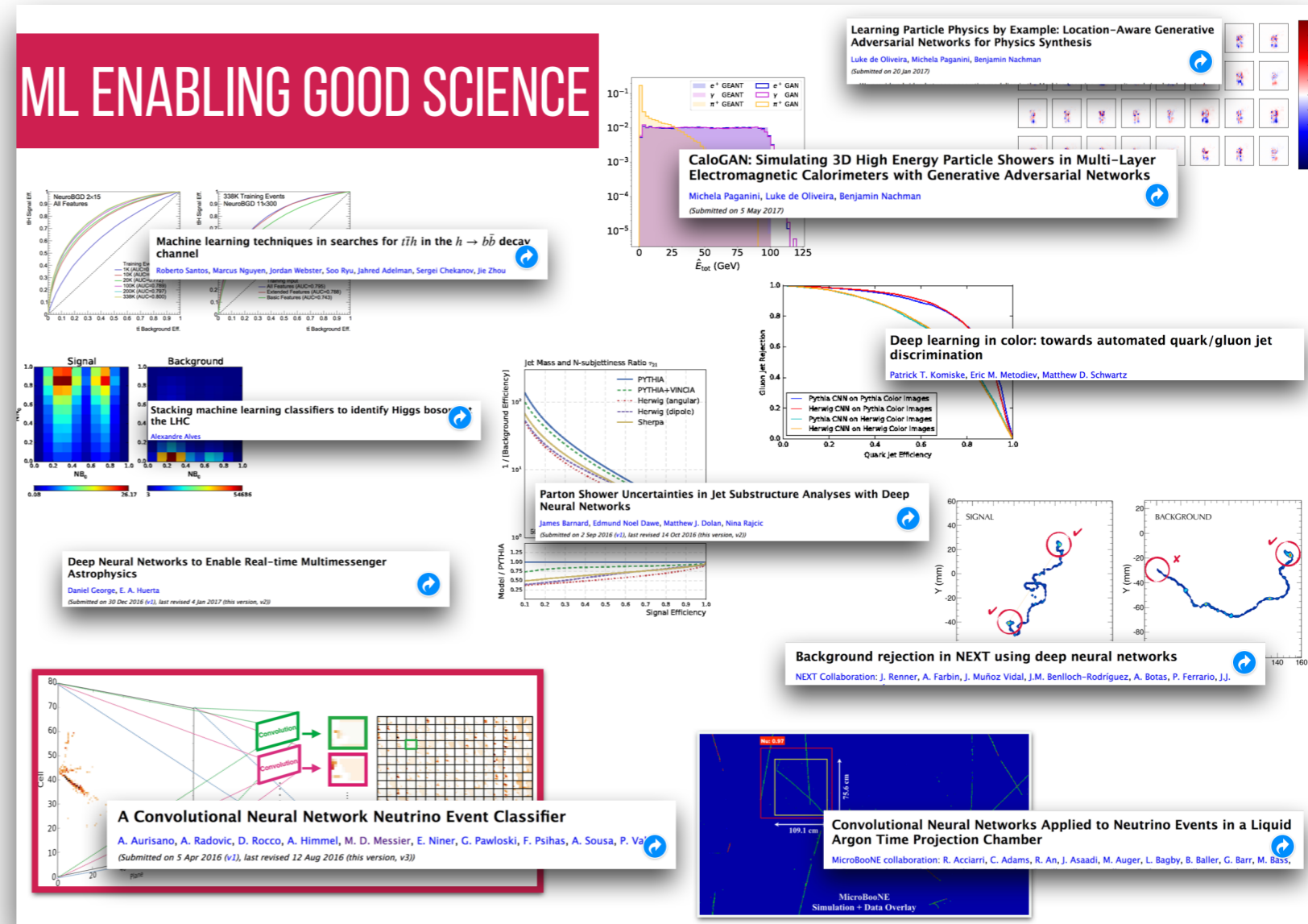
Can the same type of technology Facebook uses to recognize faces also recognize particles?

Symmetry
December 2016

Psihas,
DS@HEP 2017



<http://machinelearning.fnal.gov/>

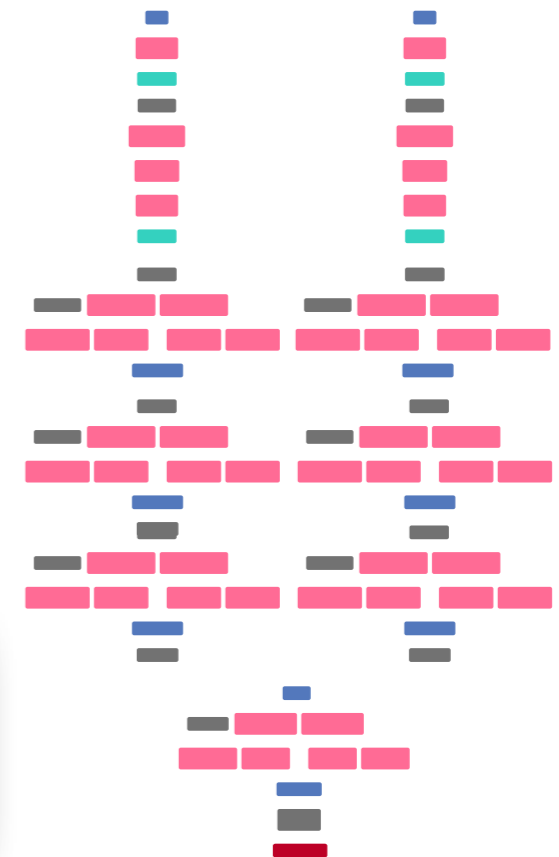
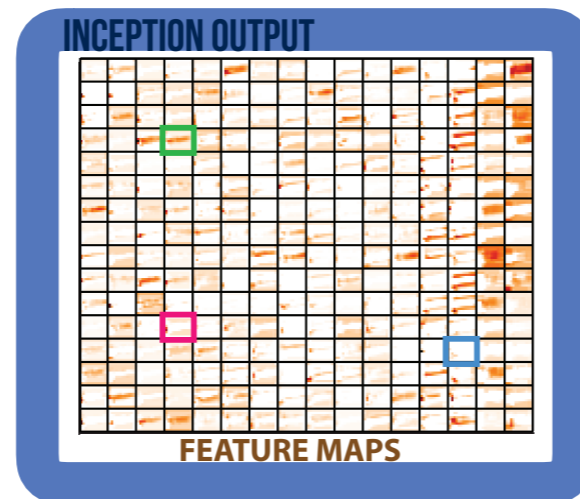
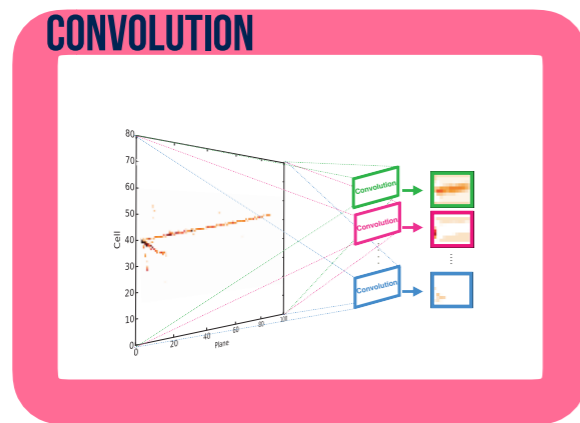


Event Selection & Reconstruction

NOvA's implementation of Convolutional Neural Networks CNNs for Identification represented a **30% effective increase in exposure**.

NOvA's 2016 ν_e appearance analysis was the **first implementation of convolutional neural networks in a HEP result**

Using deep learning for ν_e event identification



A convolutional neural network neutrino event classifier

2016 *JINST* **11** P09001

A. Aurisano, A. Radovic, D. Rocco, A. Himmel, M.D. Messier, E. Niner, G. Pawloski, F. Psihas, A. Sousa and P. Vahle

NOvA Results

From Neutrino2016, now PRL

Measurement of the neutrino mixing angle θ_{23} in NOvA
[PhysRevLett.118.151802](https://arxiv.org/abs/1703.03328)

Constraints on oscillation parameters from ν_e appearance
 and ν_μ disappearance in NOvA [arXiv:1703.03328](https://arxiv.org/abs/1703.03328)

Best fit points:

$\delta_{CP} = 1.48\pi$ Normal Hierarchy

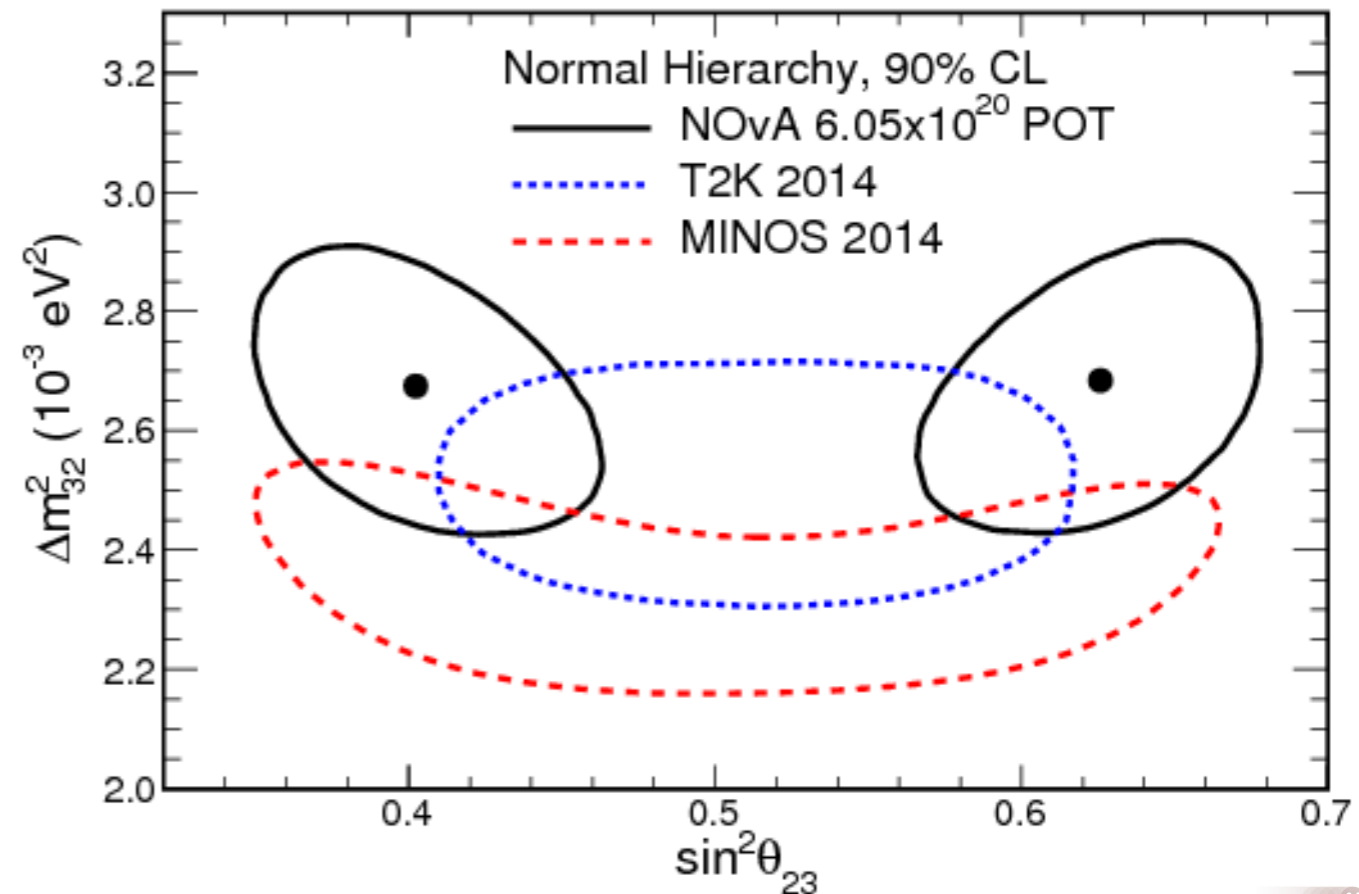
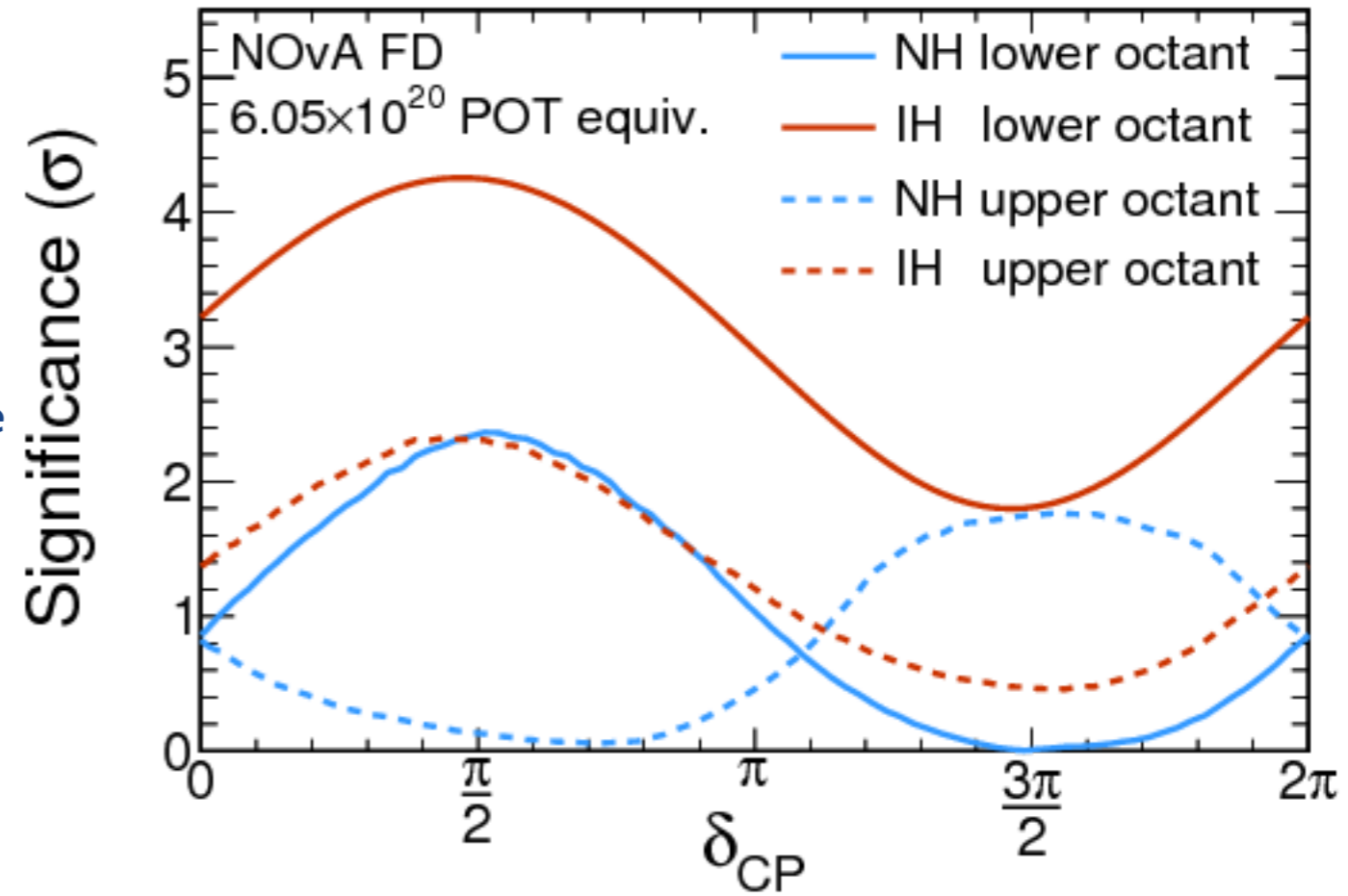
$\delta_{CP} = 0.74\pi$ Inverted Hierarchy

$\sin 2\theta_{23} = 0.404$ Normal Hierarchy

$\sin 2\theta_{23} = 0.623$ Inverted Hierarchy

Inverted Hierarchy at lower octant is disfavored at $\sim 2 \sigma$

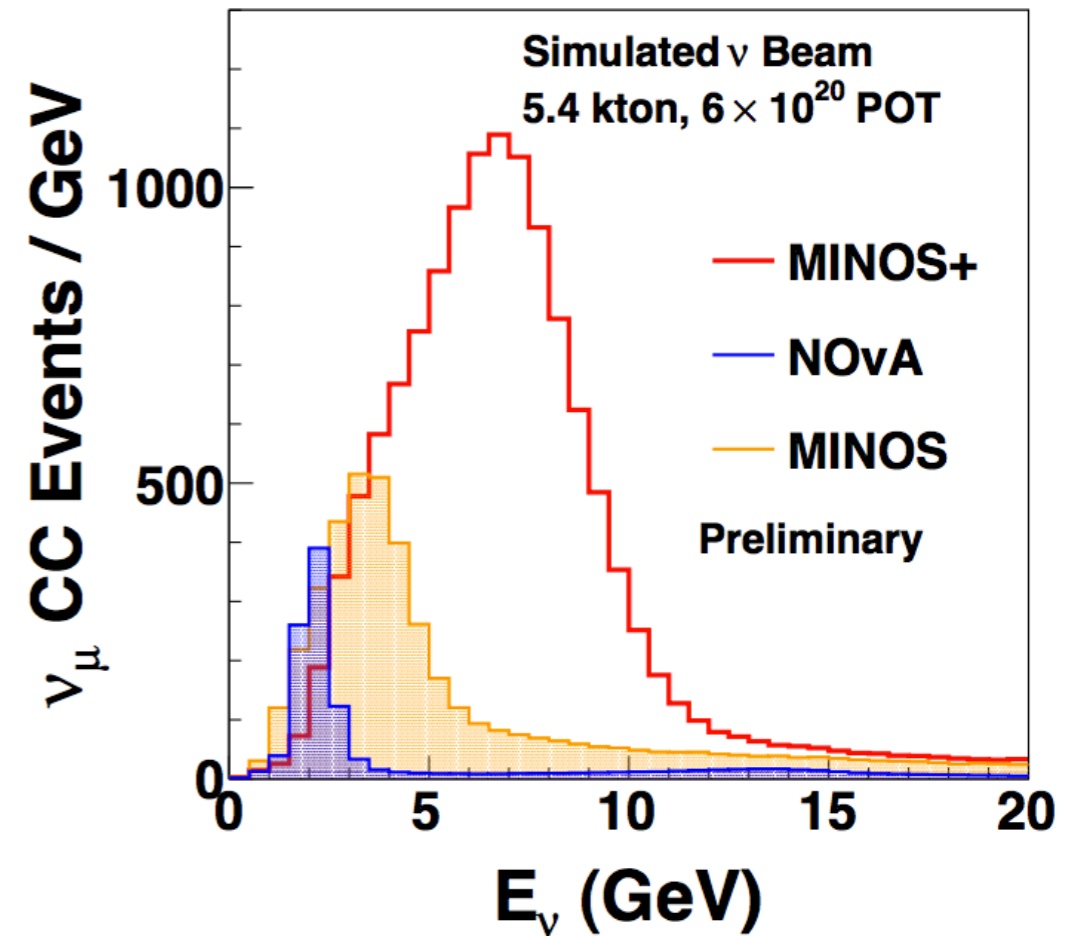
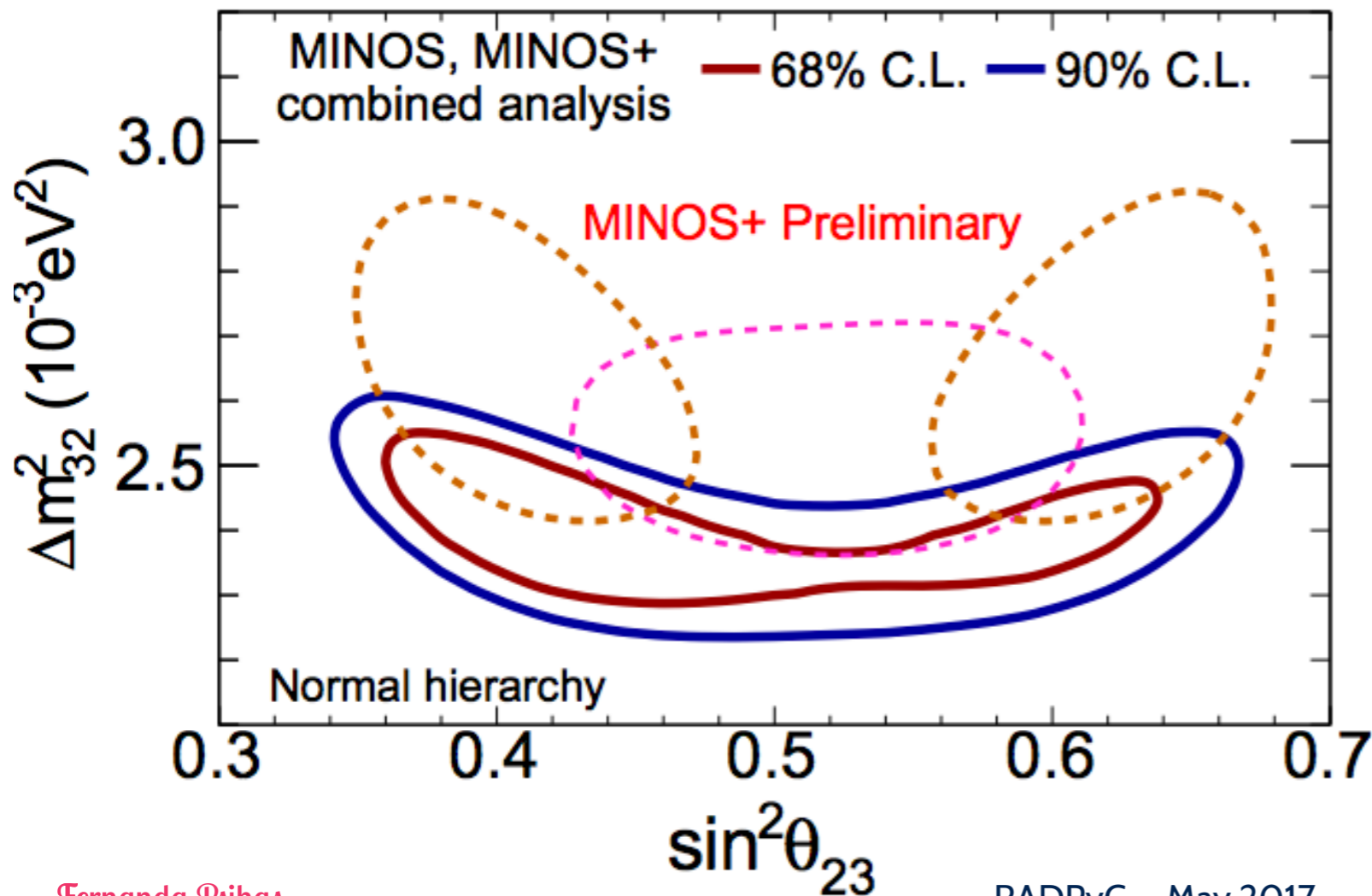
Maximal mixing excluded at 2.6σ significance.



MINOS+

Looking for the same oscillations channels, now in the NOvA beam
Using the existing MINOS detectors

MINOS
arXiv:1703.04471



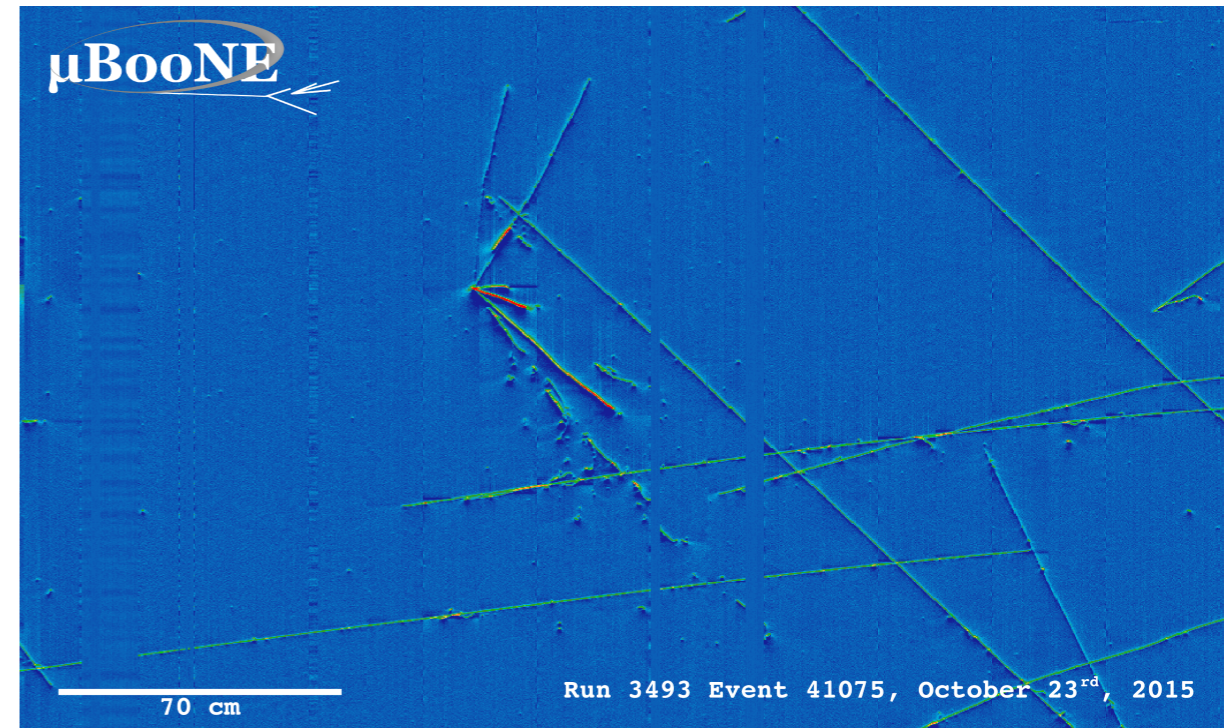
MINOS+ run ended in
2016 year

Improved results of
oscillation analysis
Sterile searches

Deep Underground Neutrino Experiment

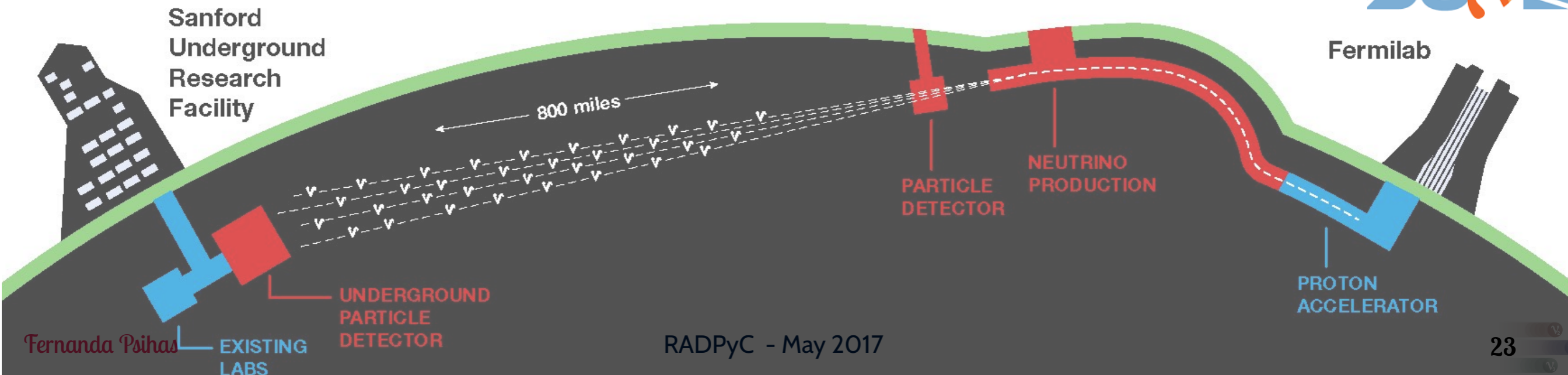
Optimized 1.2 MW beam at Fermilab
upgradable to 2.4 MW

40 kT fiducial volume (Far Detector)
underground at South Dakota



Large R&D program for LAr-TPC technology, linked to SBL at Fermilab

Construction planned for 2026 - Current R&D Effort in ProtoDUNE building
now for test beam at CERN



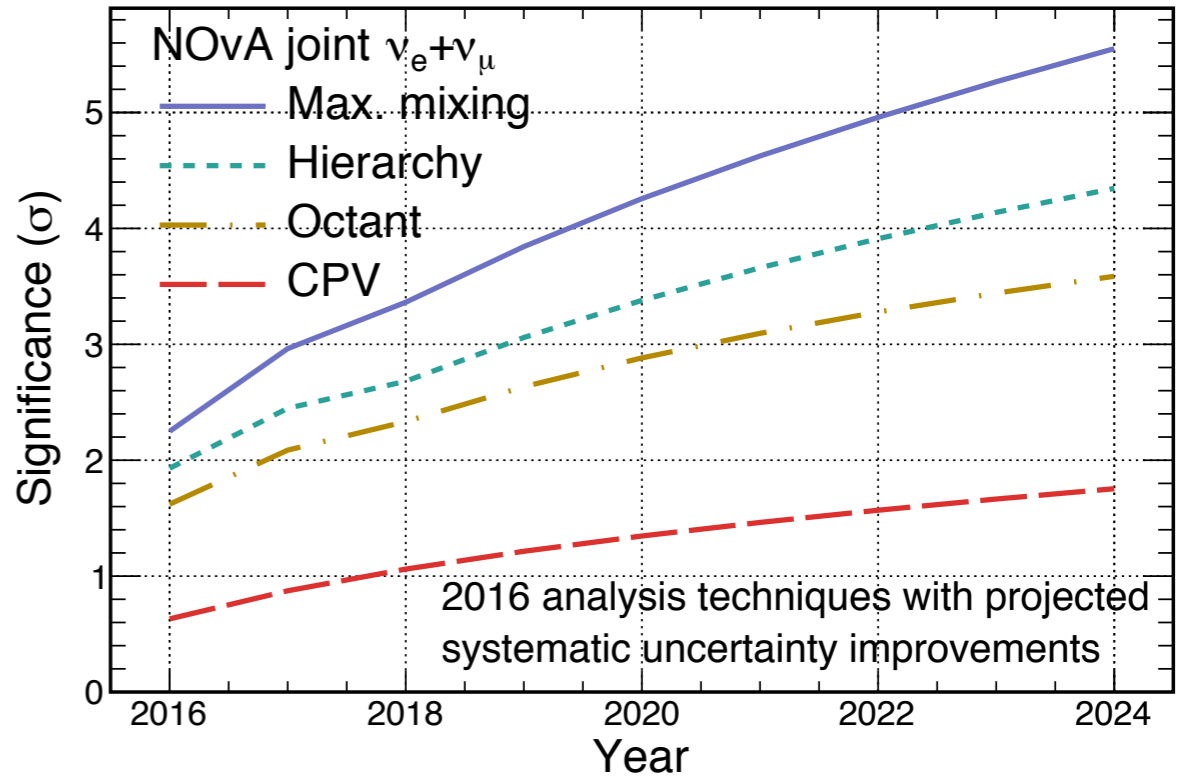
FUTURE SENSITIVITIES

NOvA will add **~50% statistics** to the 2016 analyses this year and will include **antineutrino data for the 2018** analyses.

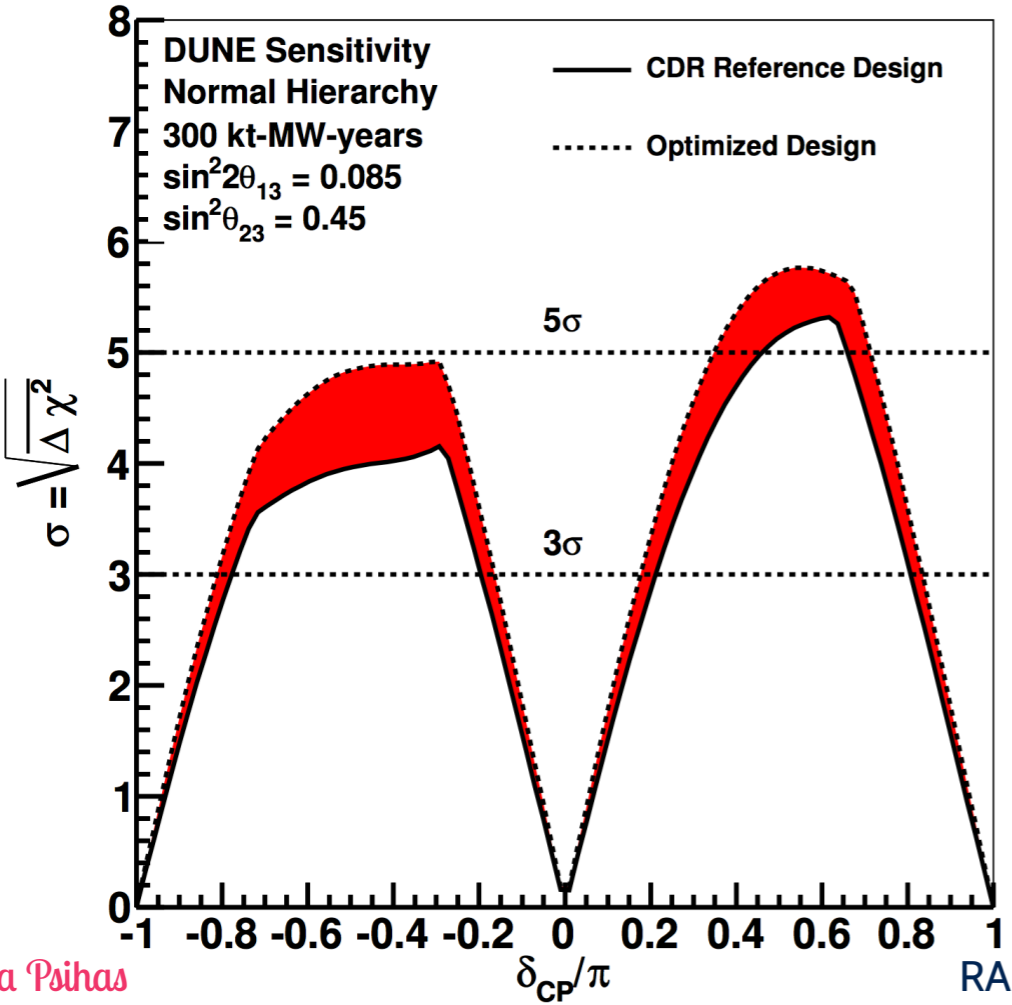
Under these assumptions, **5 σ** is reachable for **mass hierarchy** determination by 2022

DUNE CDR shows **5 σ** sensitivity for the **CP phase** at 300 kt-MW-year

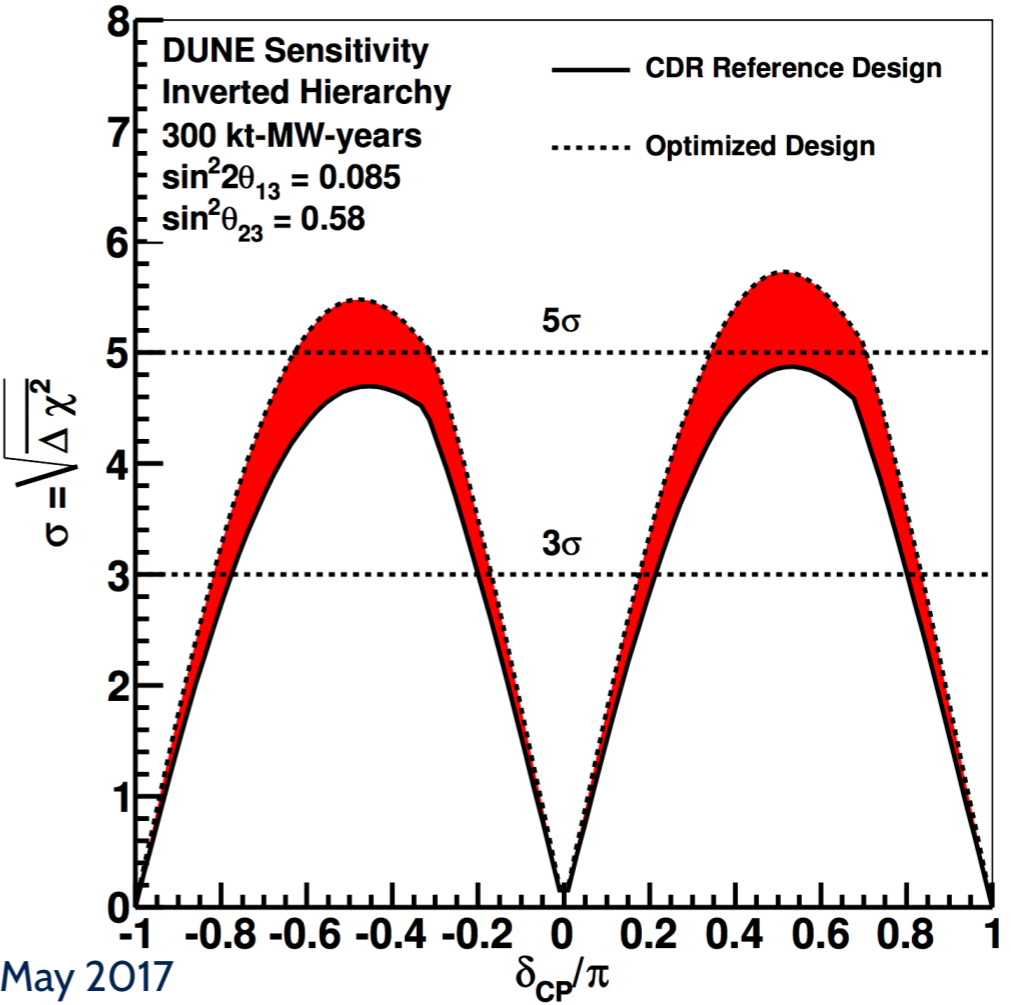
Normal $\delta_{CP}=3\pi/2, \sin^2\theta_{23}=0.625$
 $\Delta m_{32}^2=2.5 \times 10^{-3} \text{eV}^2, \sin^2\theta_{13}=0.022$ NOvA Simulation



CP Violation Sensitivity



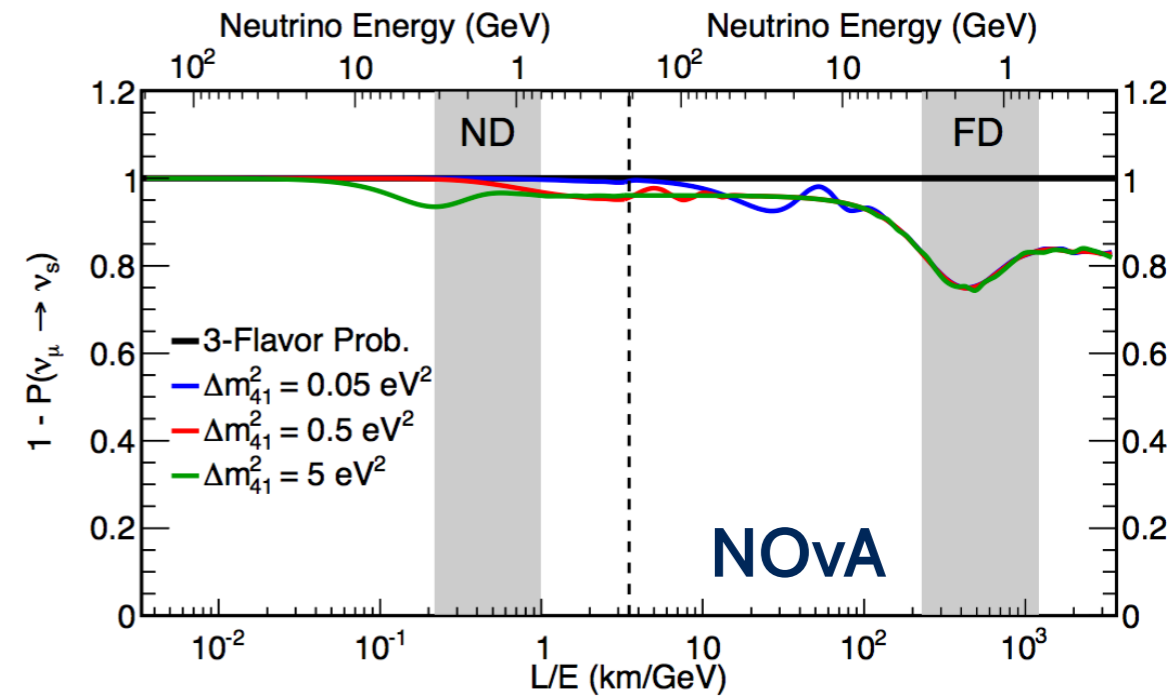
CP Violation Sensitivity



DUNE CDR
 arxiv:1512.06148

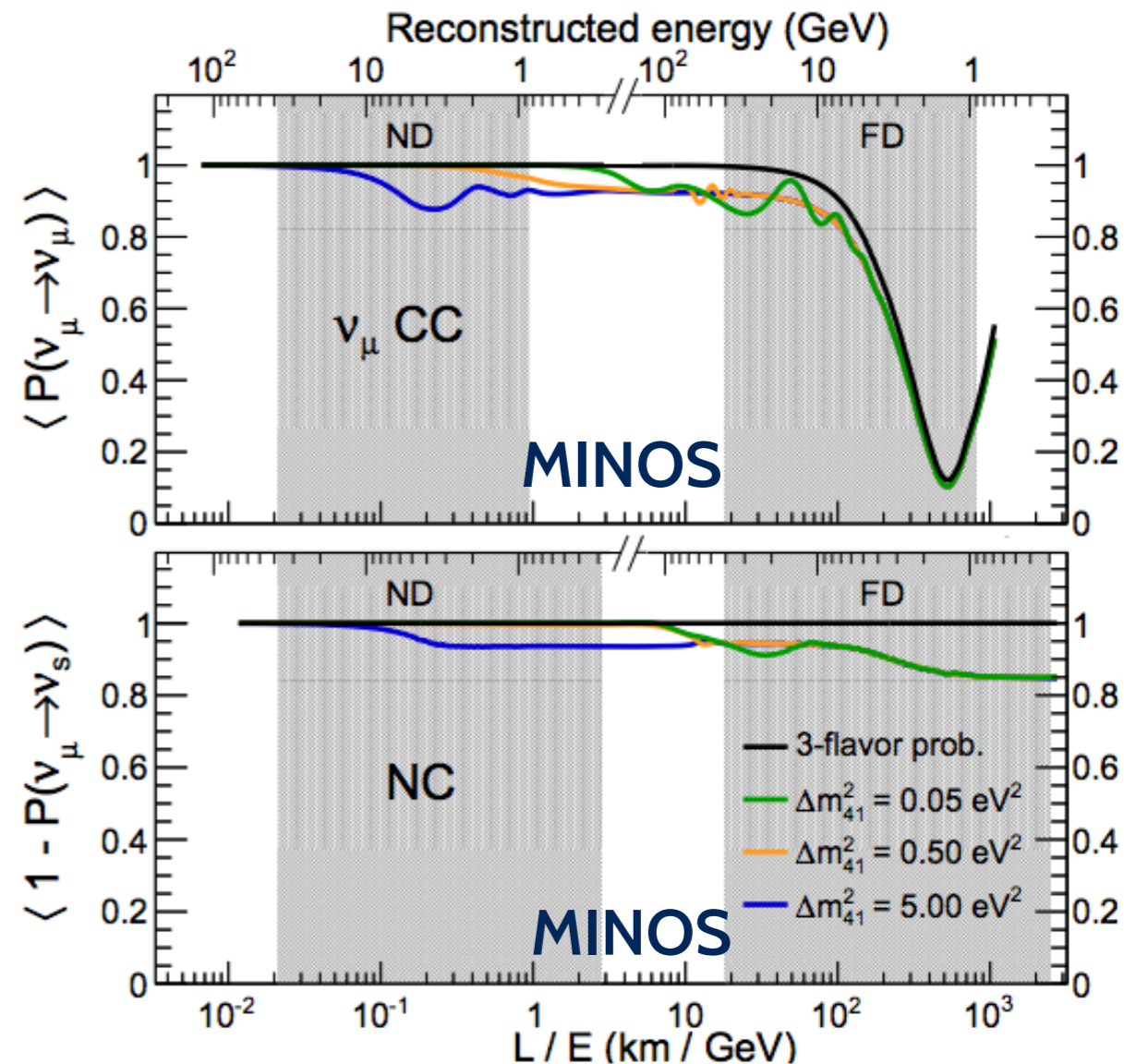
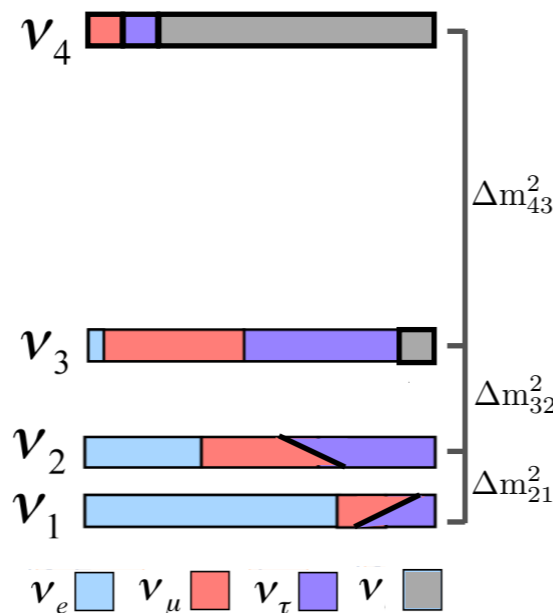
3+1 Neutrino Model

Low E excess from short baseline experiments (LSND and MiniBooNE) can be accommodated by introducing oscillations into sterile neutrinos with a $\Delta m^2 \sim 1 \text{ eV}^2$



$$U_{\text{PMNS}}^{\text{Extended}} = \begin{pmatrix} \overbrace{U_{e1} & U_{e2} & U_{e3}}^{U_{\text{PMNS}}^{3 \times 3}} & \dots & U_{en} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & \dots & U_{\mu n} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & \dots & U_{\tau n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ U_{s_n 1} & U_{s_n 2} & U_{s_n 3} & \dots & U_{s_n n} \end{pmatrix}$$

The 3+1 model introduces new mixing angles, Δm terms and CP phases

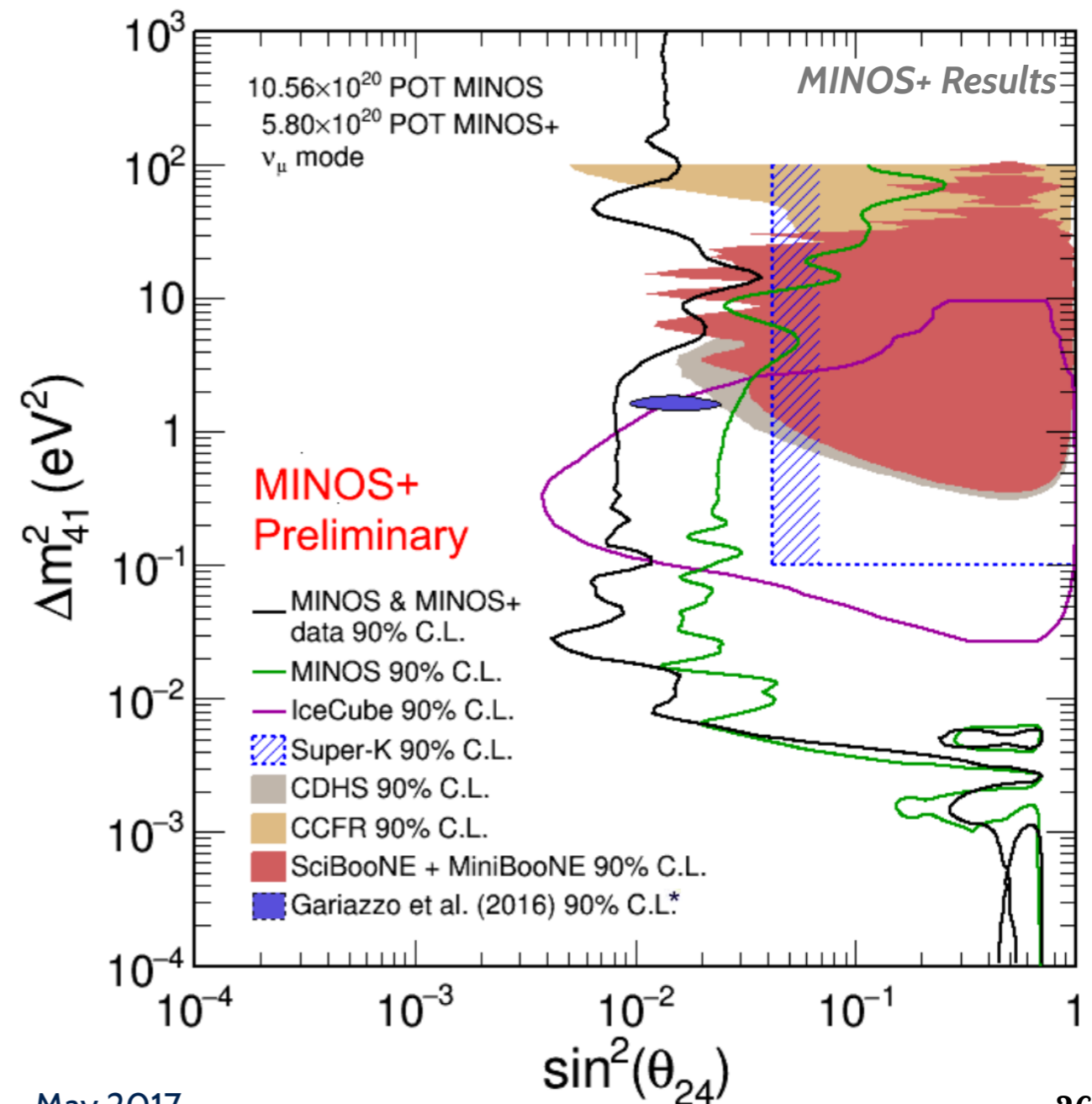
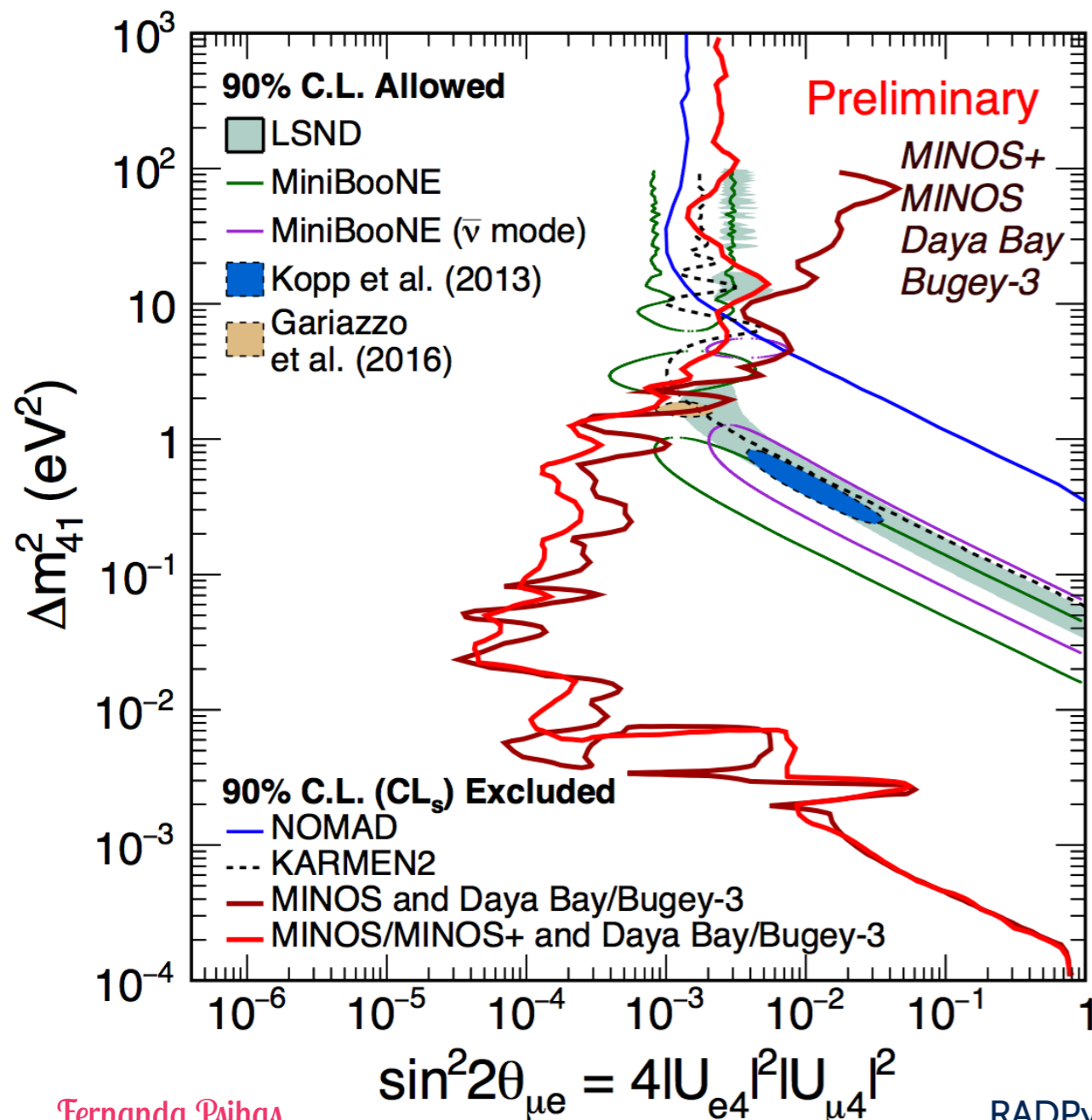


MINOS+

Ran from 2013 to 2016 on NOvA's NuMI beam.

Higher energy beam allows MINOS+ to probe oscillations to sterile neutrinos at higher sensitivity.

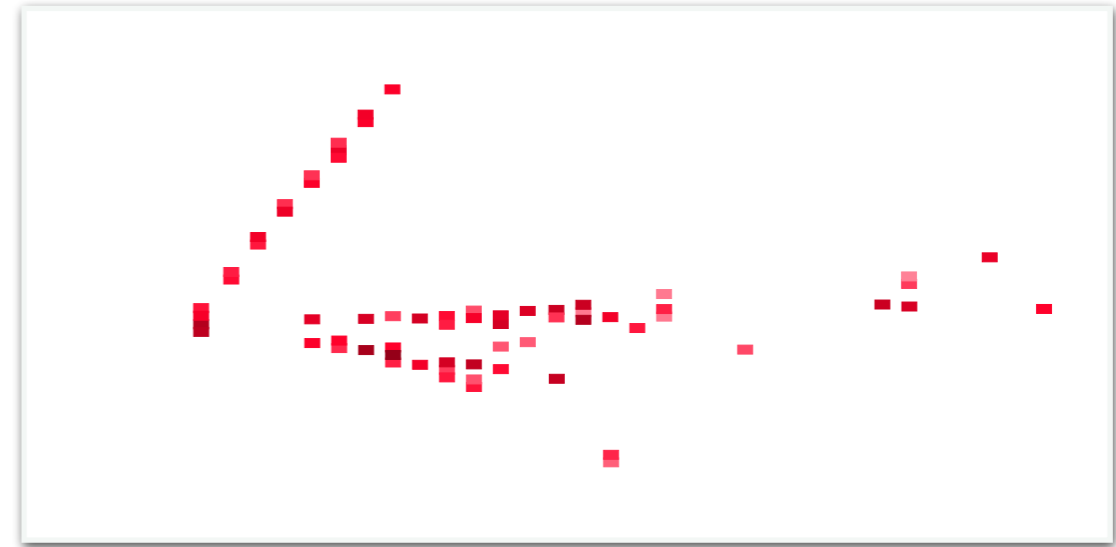
New results and combination with MINOS, Daya Bay and Bugey were shown at Fermilab just a few weeks ago.



NOvA 3+1 NC

Oscillations from active to sterile neutrinos are measured in NC disappearance analysis.

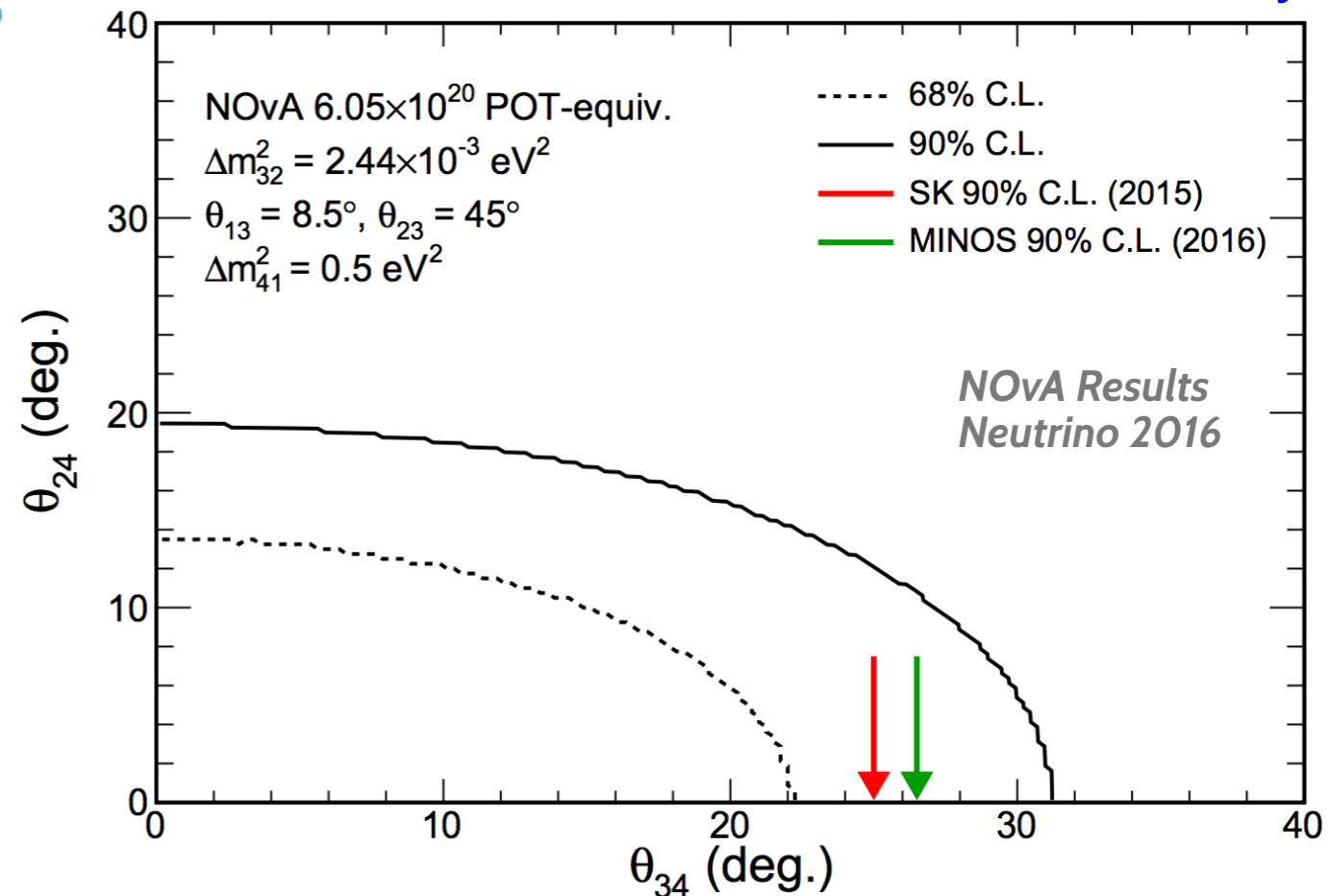
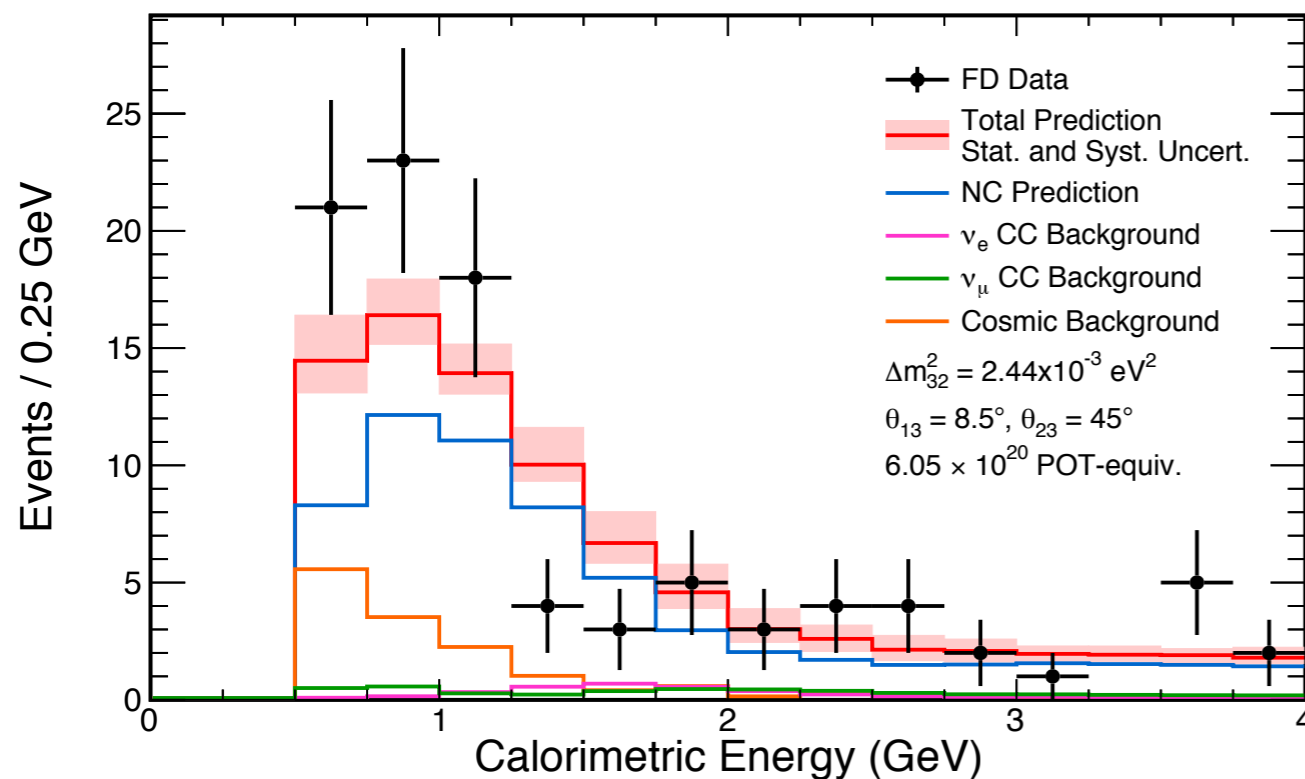
First result is competitive with existing measurements. Improved analysis with 50% more statistics is in the workings.



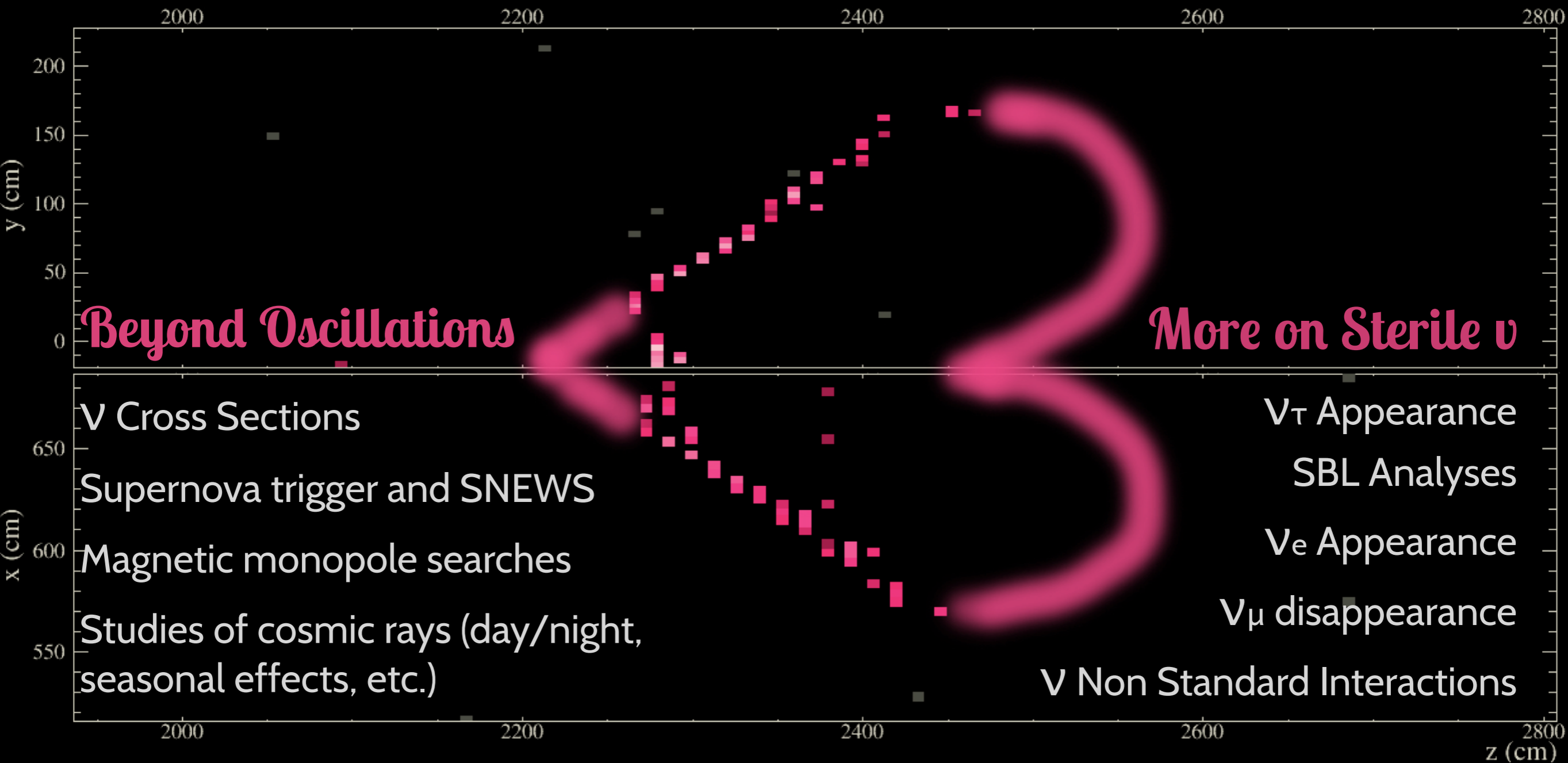
$$1 - P(\nu_\mu \rightarrow \nu_s) \approx 1 - \frac{1}{2} \cos^4 \theta_{14} \cos^2 \theta_{34} \sin^2 2\theta_{24} + A \sin^2 \Delta_{31} - B \sin 2\Delta_{31},$$

NOvA Preliminary

NOvA Preliminary



Upcoming NOvA Analyses



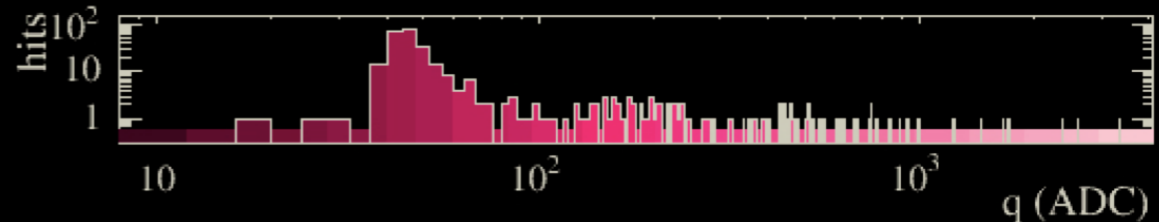
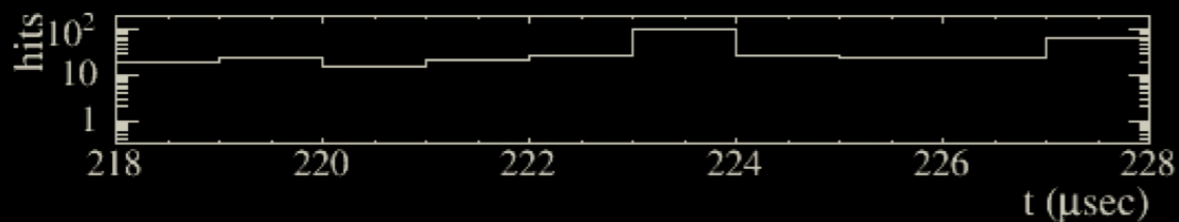
NOvA - FNAL E929

Run: 15330 / 4

Event: 11978 / --

UTC Fri May 23, 2014

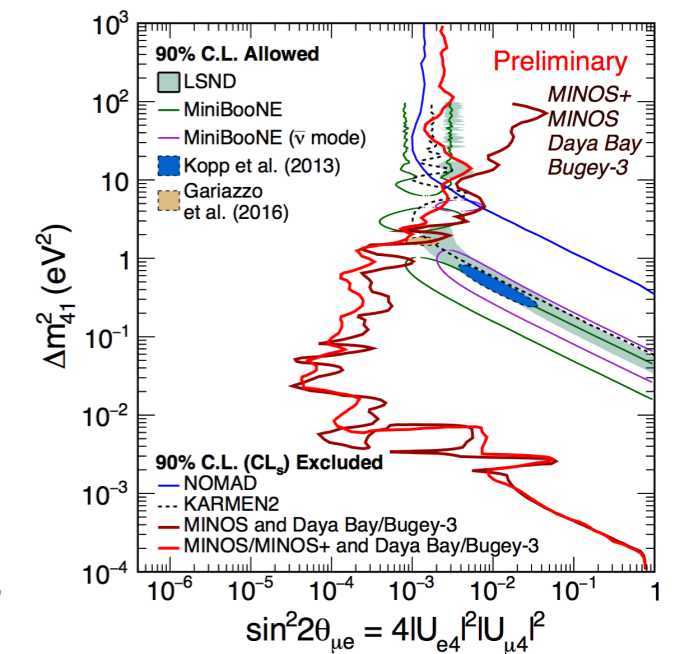
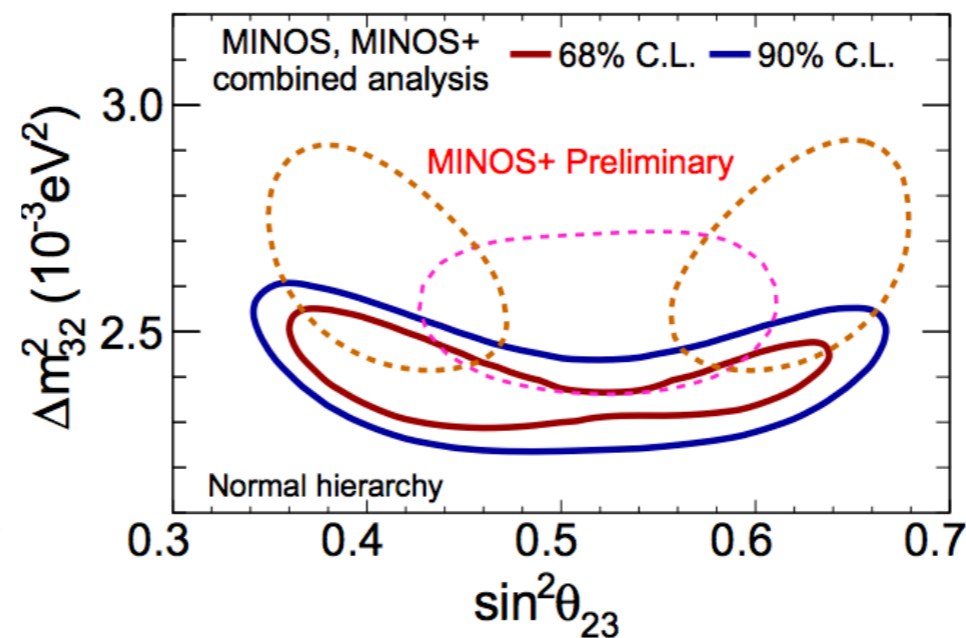
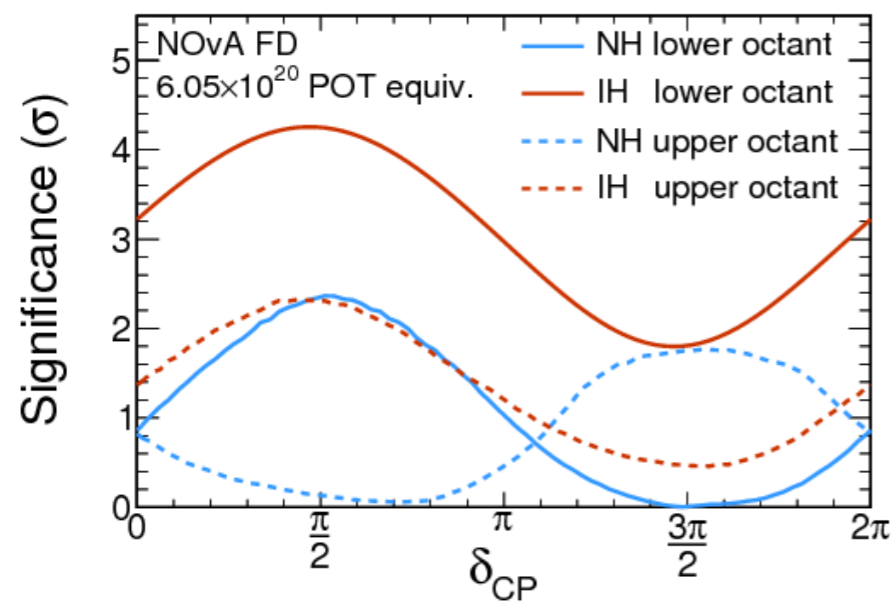
17:30:2.632293184



SUMMARY

LBL Experiments take advantage of matter effects + 2 detector array to maximize the reach of their neutrino oscillation analyses.

NOvA and MINOS+ results:



The DUNE program is underway on large R&D efforts.

The Long Baseline Neutrino program at Fermilab covers a wide range of physics beyond the main oscillations analyses...

Stay tuned for much more!

Backup

These are not the slides you're looking for

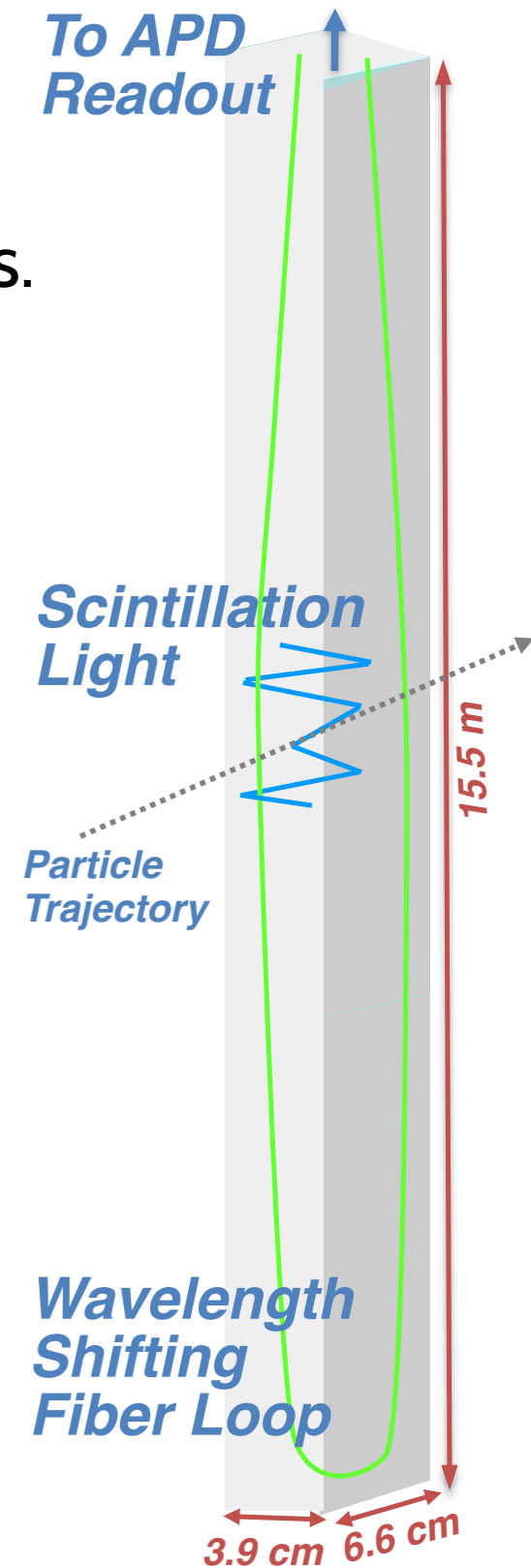
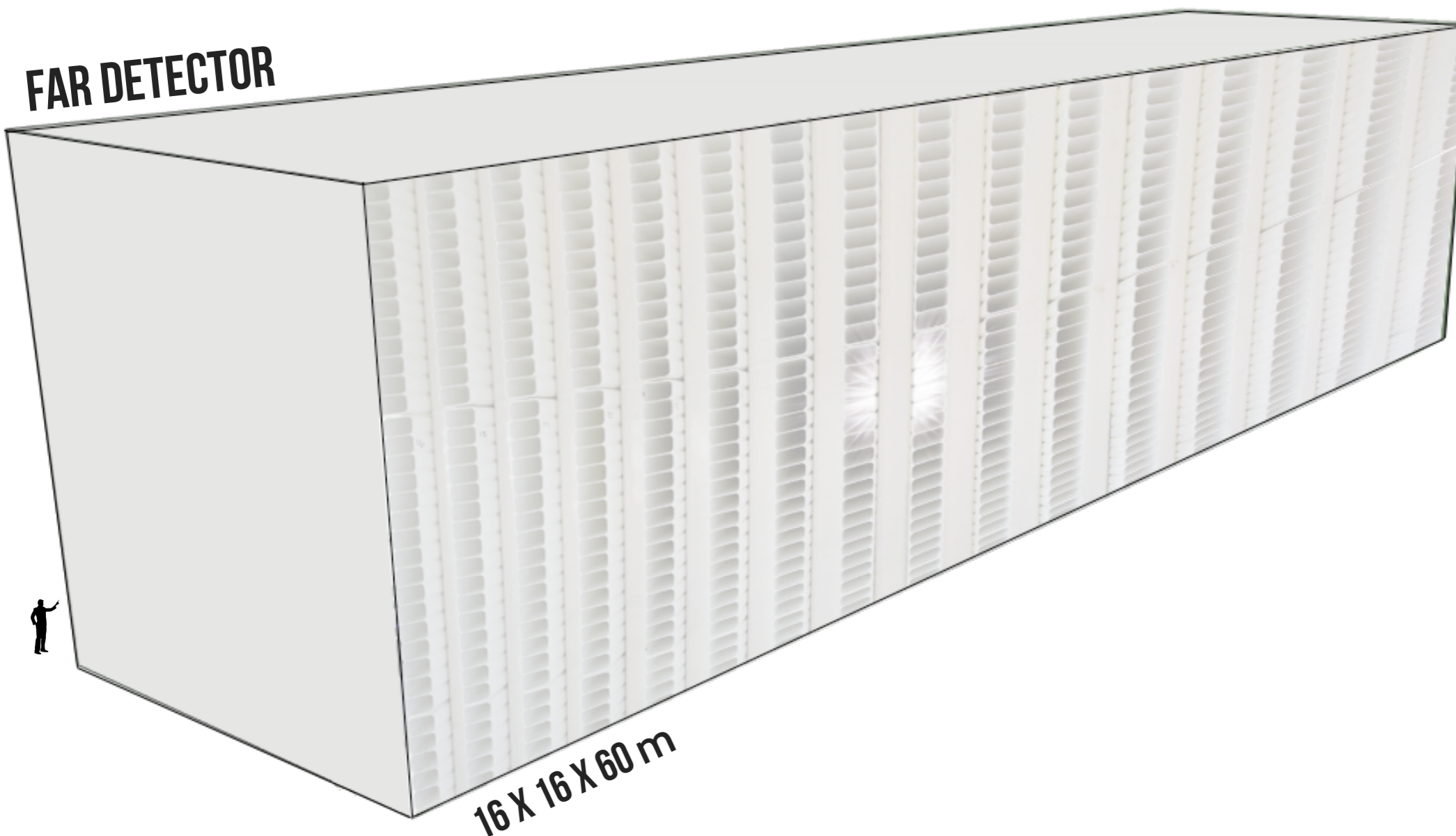


The NOvA Detectors

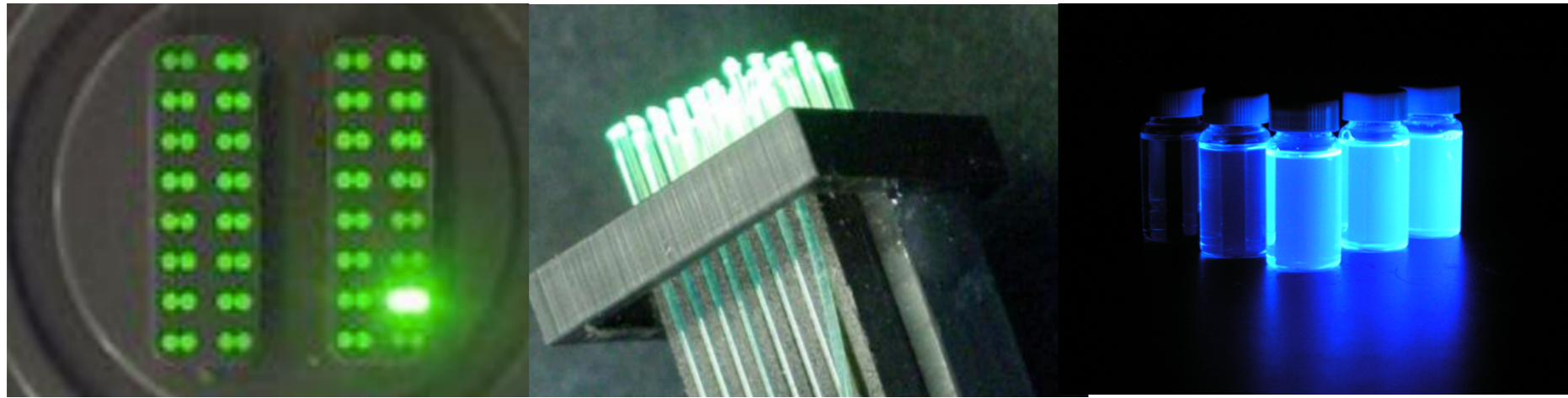
Sampling calorimeters optimized for electron identification.

The detector building is on the surface.

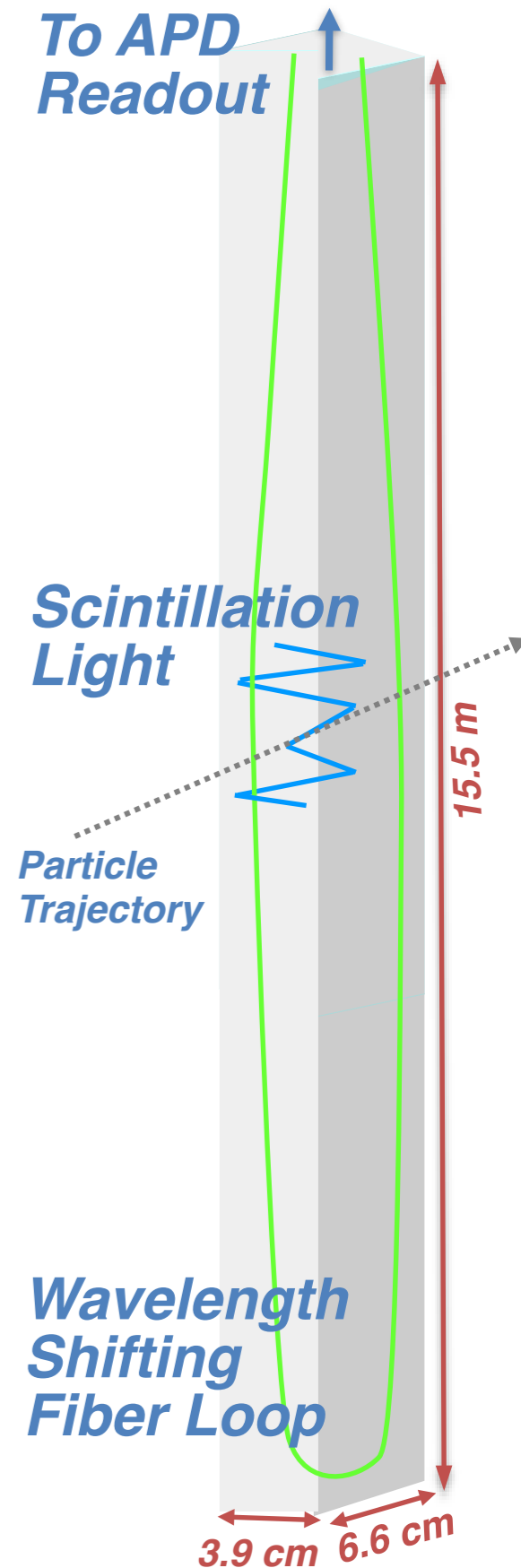
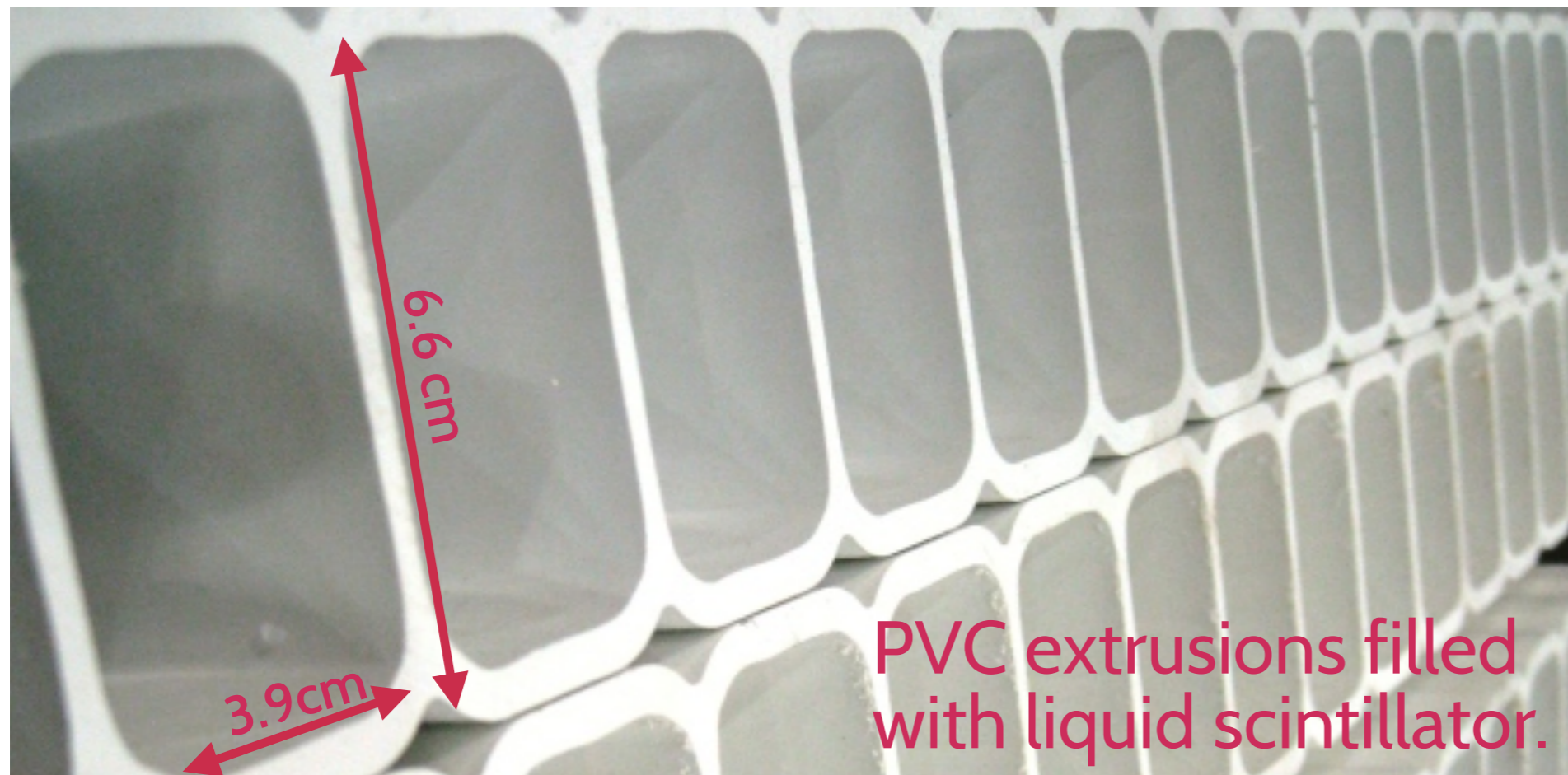
Large volume at the Far detector to maximize signal statistics.



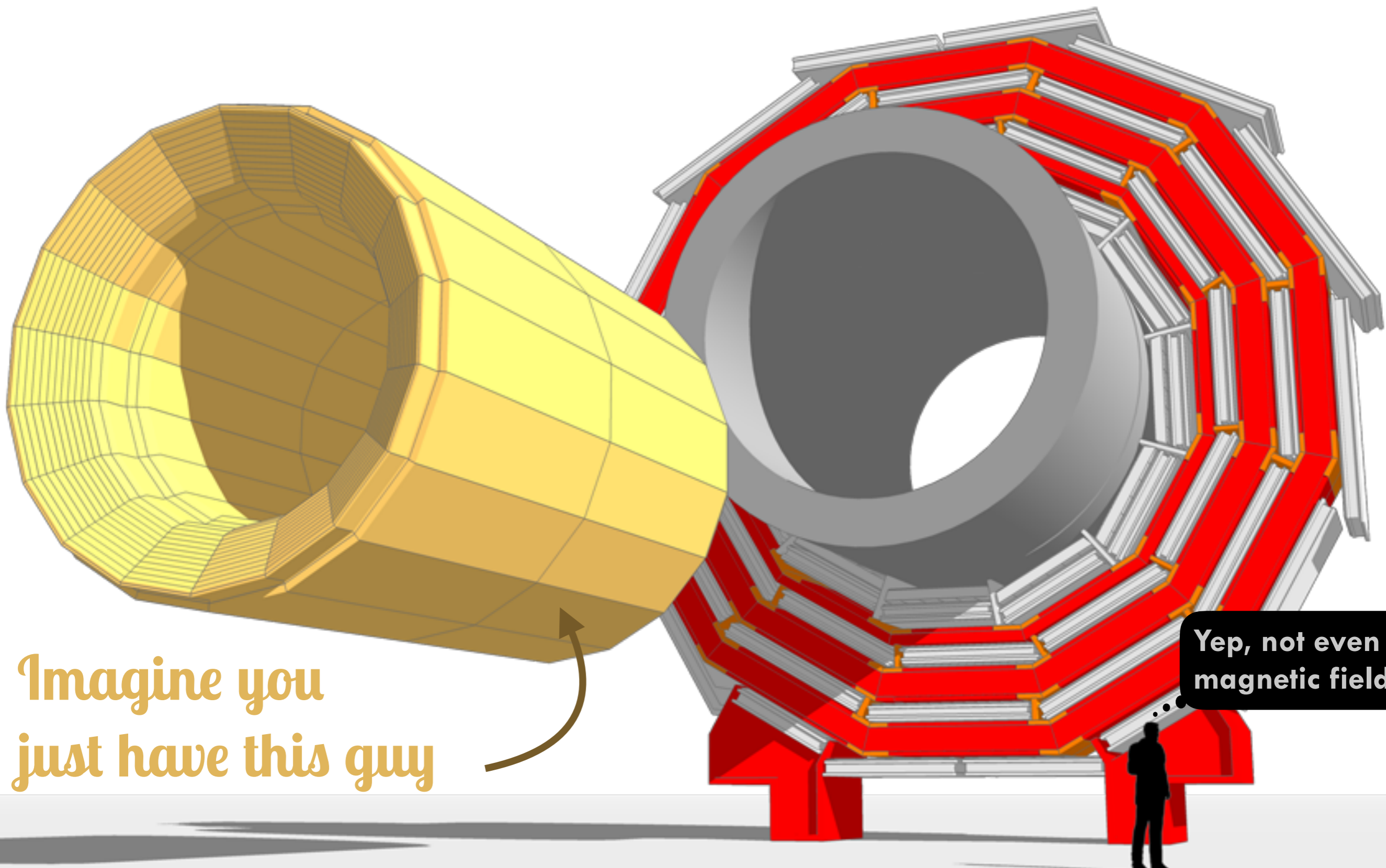
The NOvA Detectors



Charged particles are detected through the scintillation light produced in each cell.

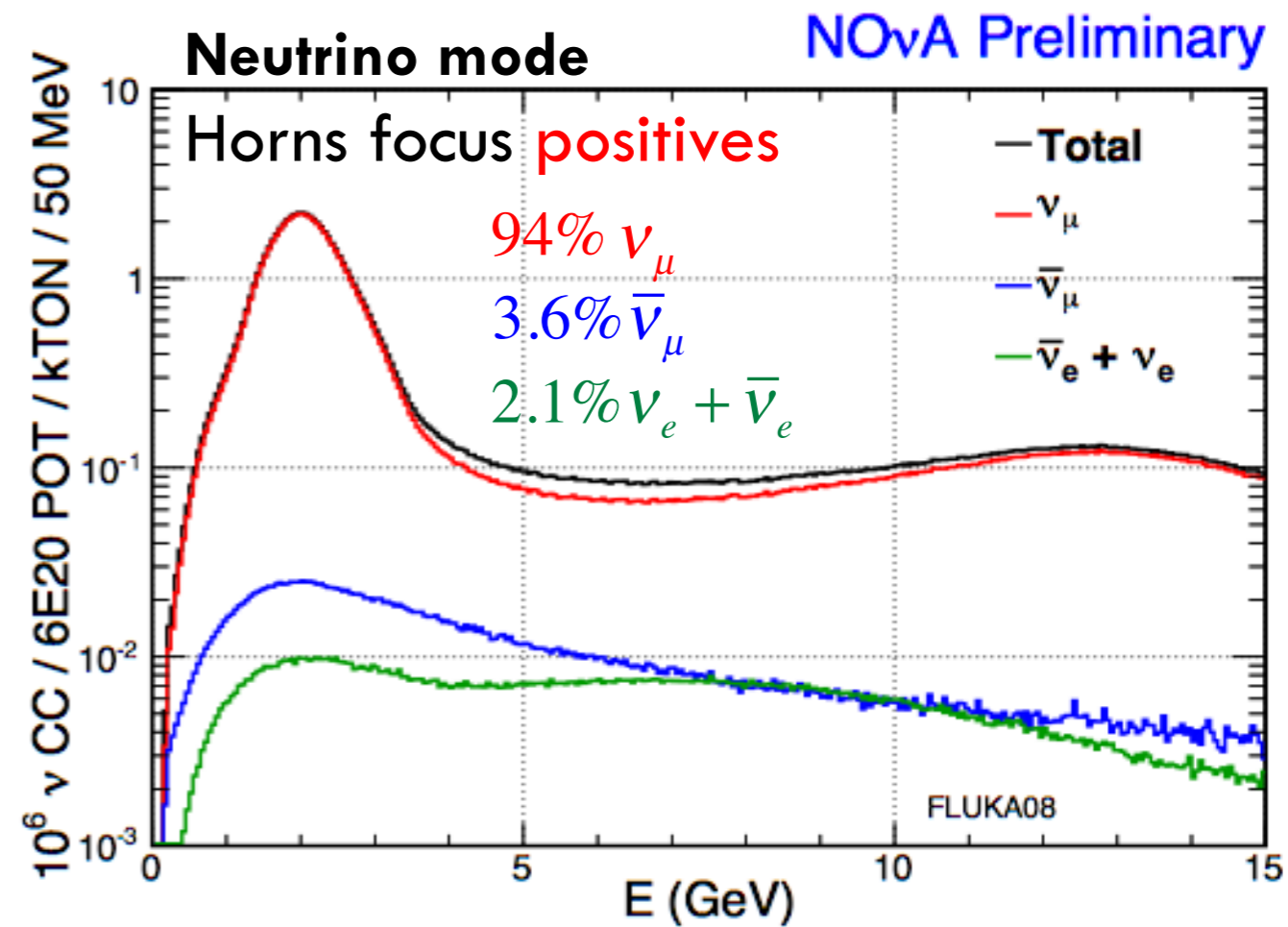
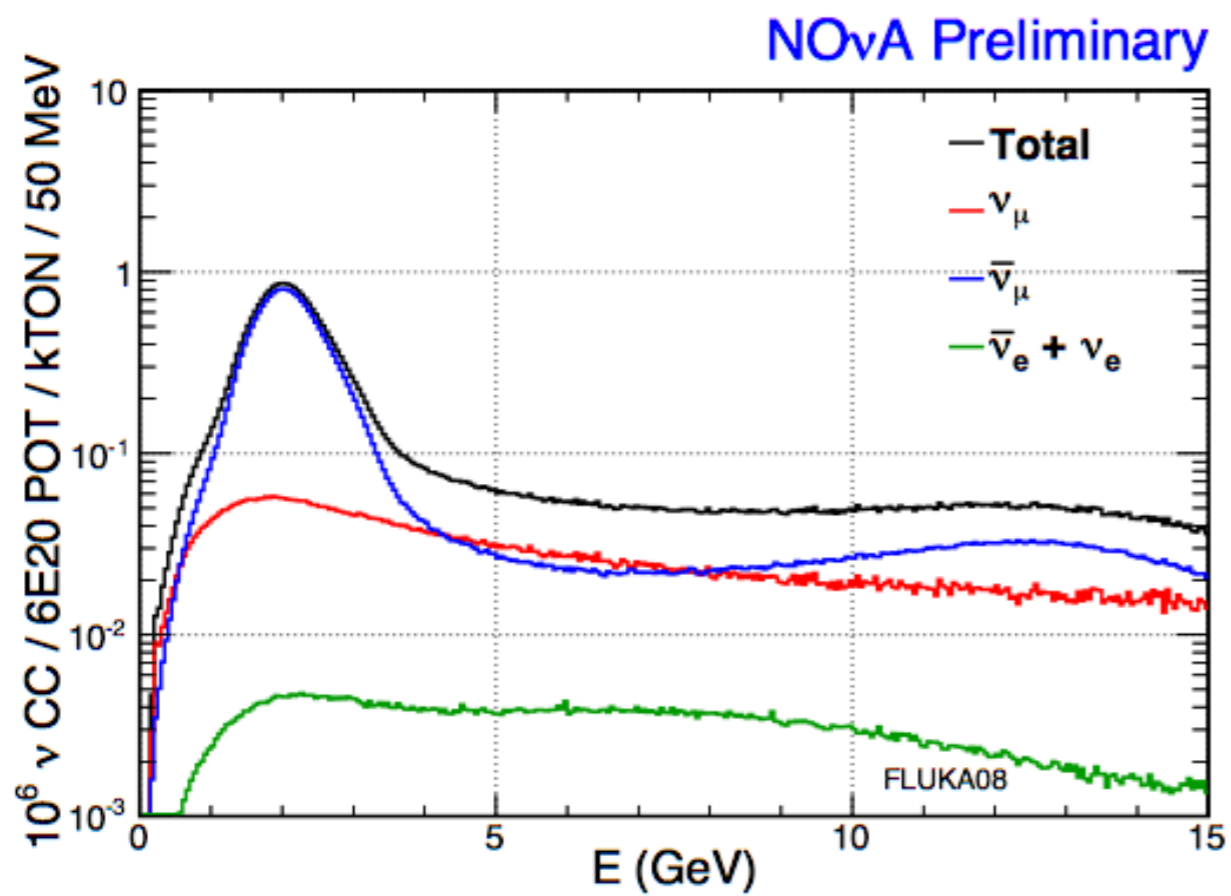
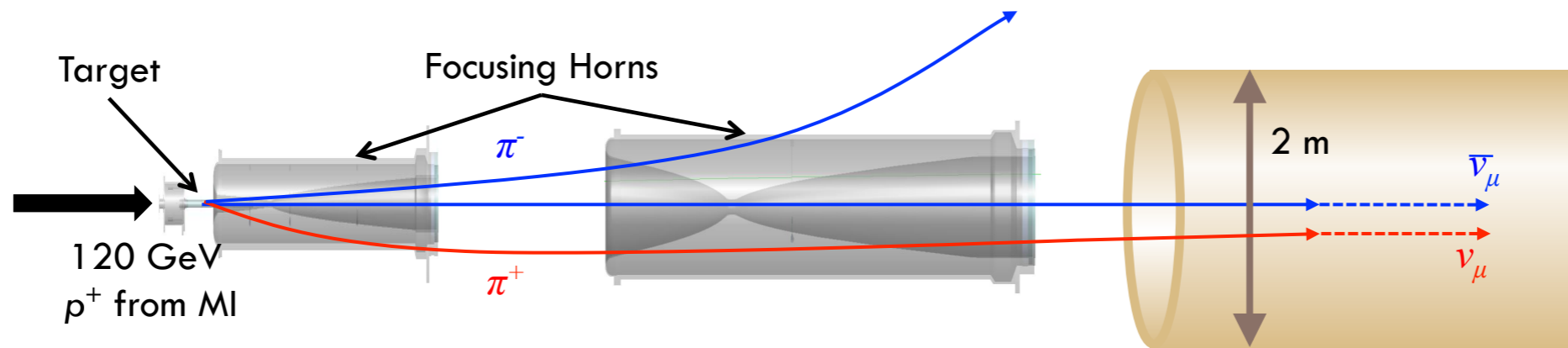


Some collider context



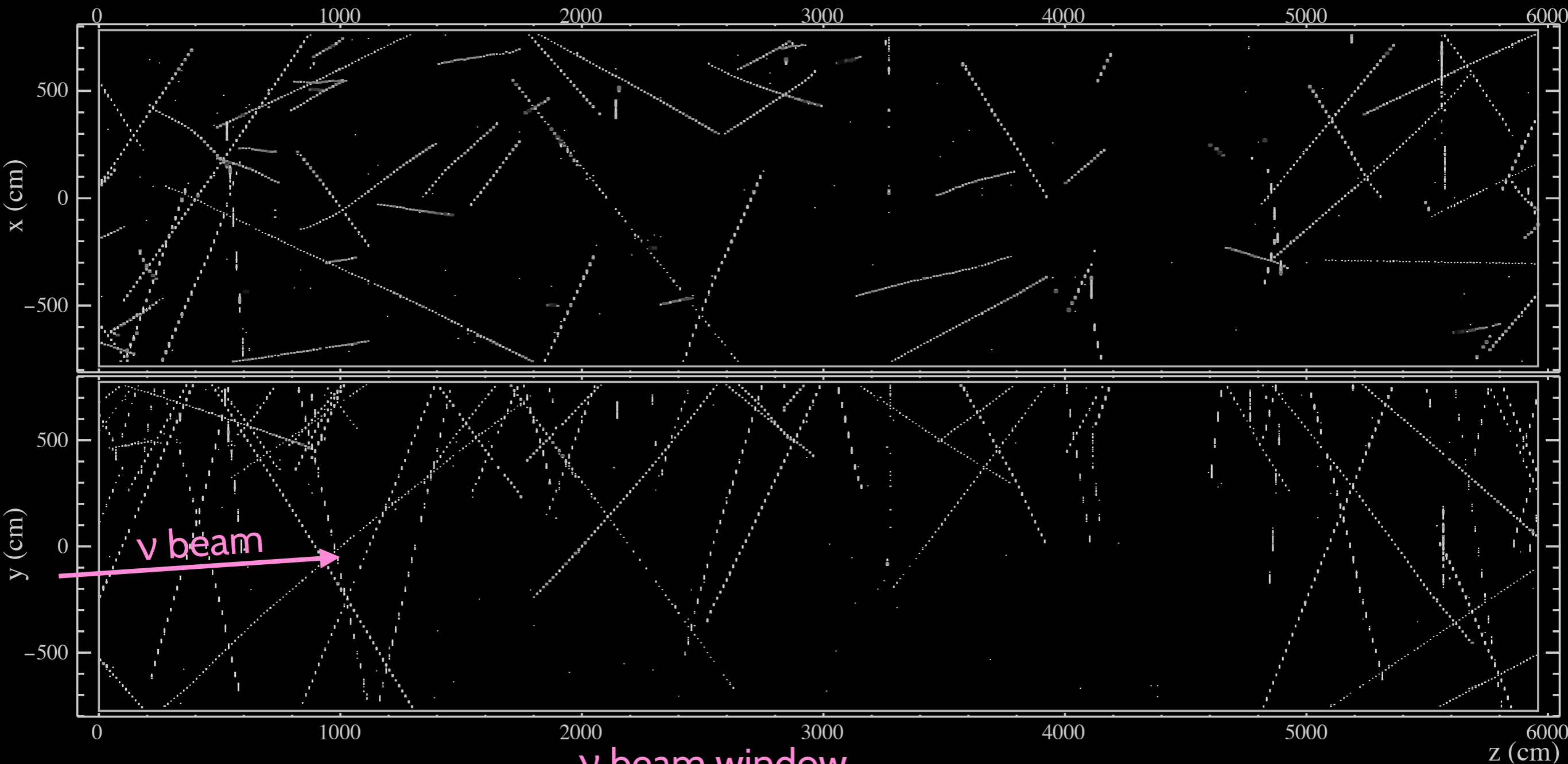
Imagine you just have this guy

Yep, not even a magnetic field.



NOvA Readout and Neutrino Interactions

Events are 550 μs readouts around the neutrino beam spill.



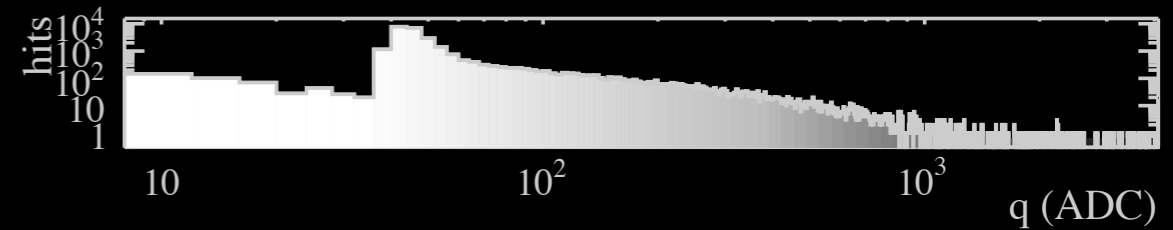
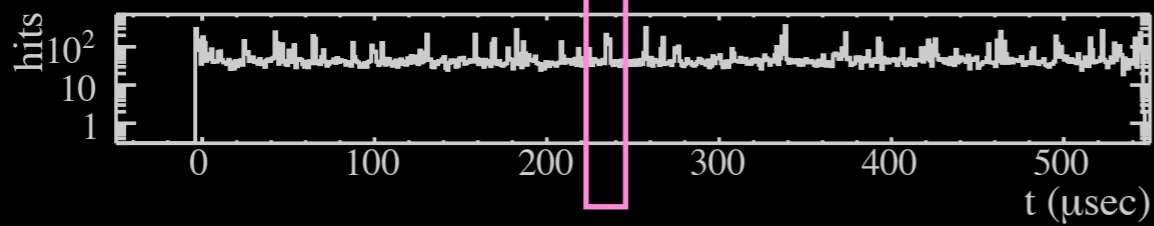
NOvA - FNAL E929

Run: 19193 / 13

Event: 188331 / --

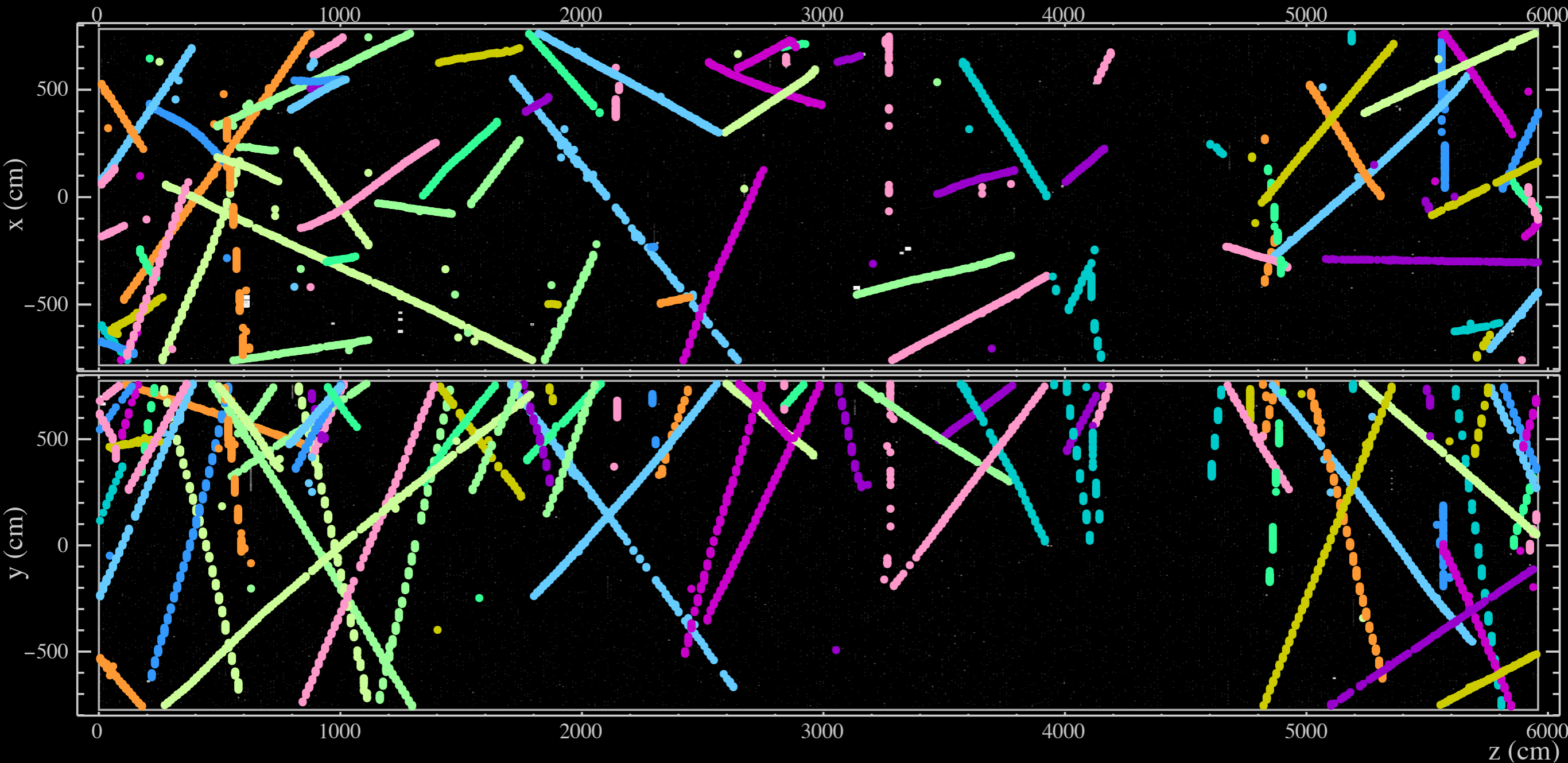
UTC Fri Mar 27, 2015

09:44:53.281953920



Isolating neutrino interactions

The first step in our reconstruction is dividing an event (550 μs of data) into slices (groups of hits with some time and space coincidence)



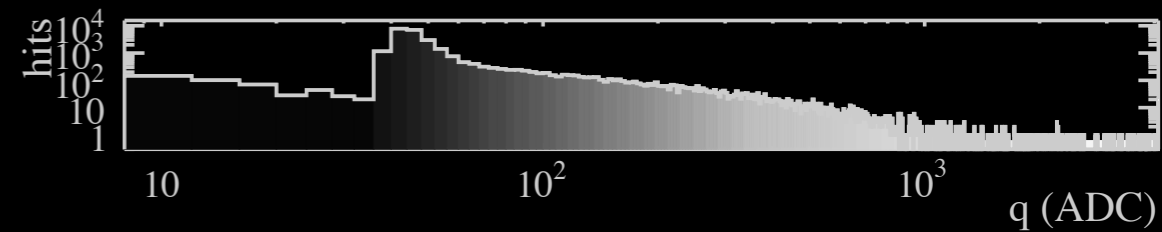
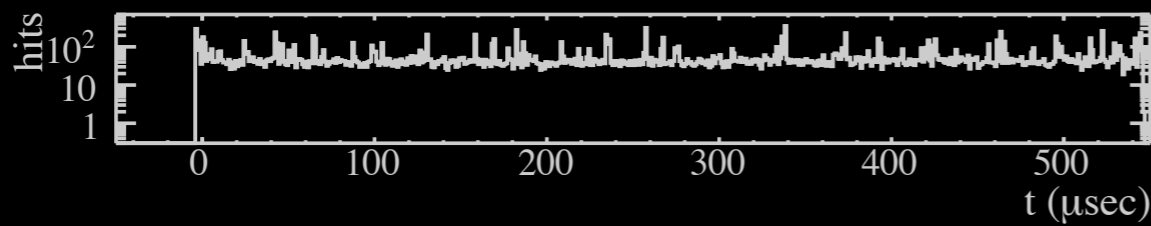
NOvA - FNAL E929

Run: 19193 / 13

Event: 188331 / --

UTC Fri Mar 27, 2015

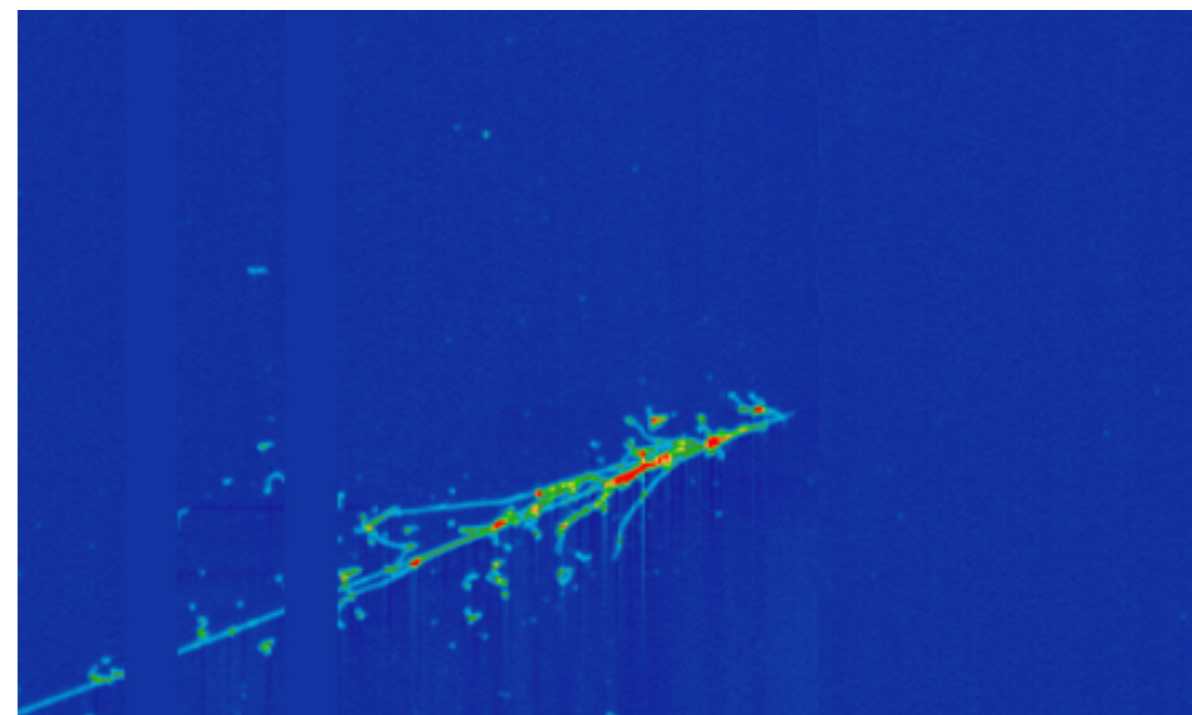
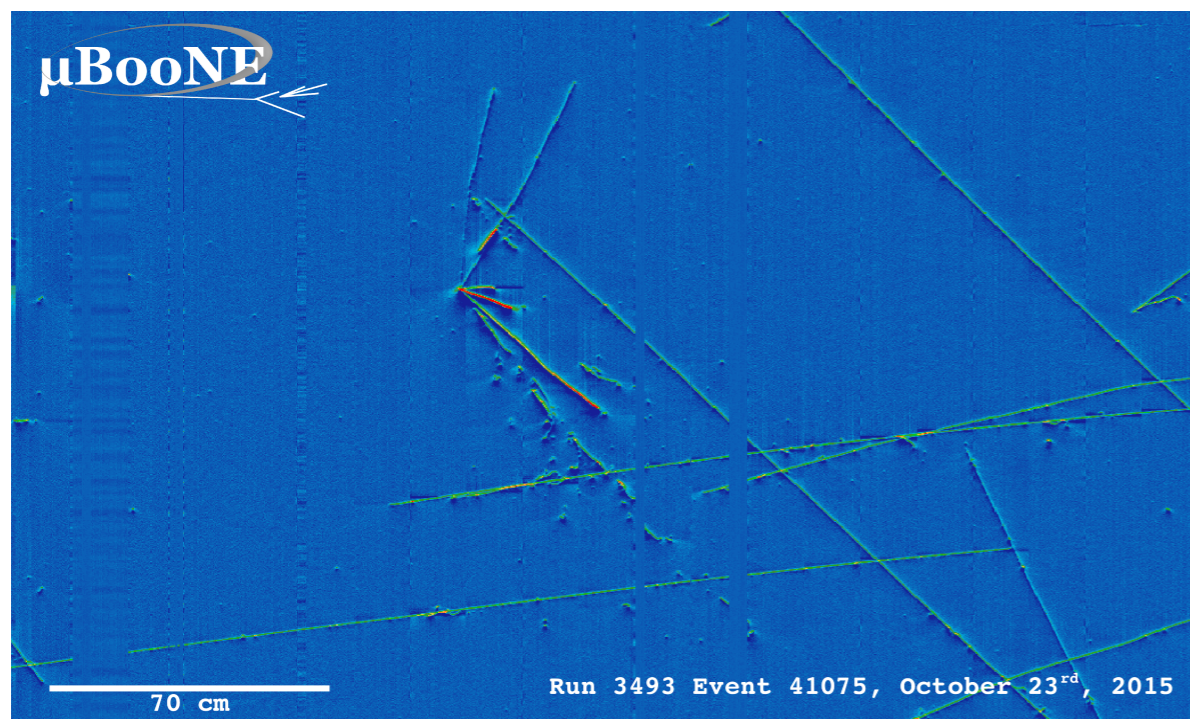
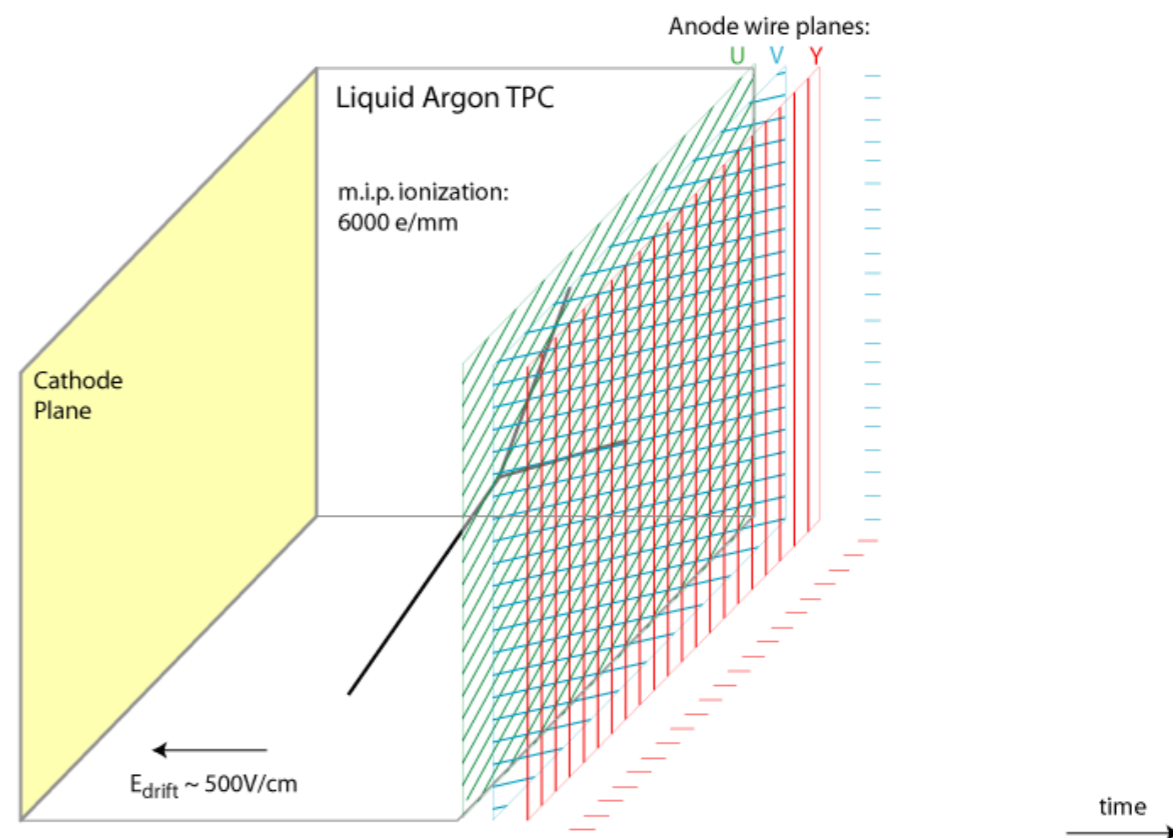
09:44:53.281953920



The DUNE Detectors

Liquid Argonne Time Projection Chambers

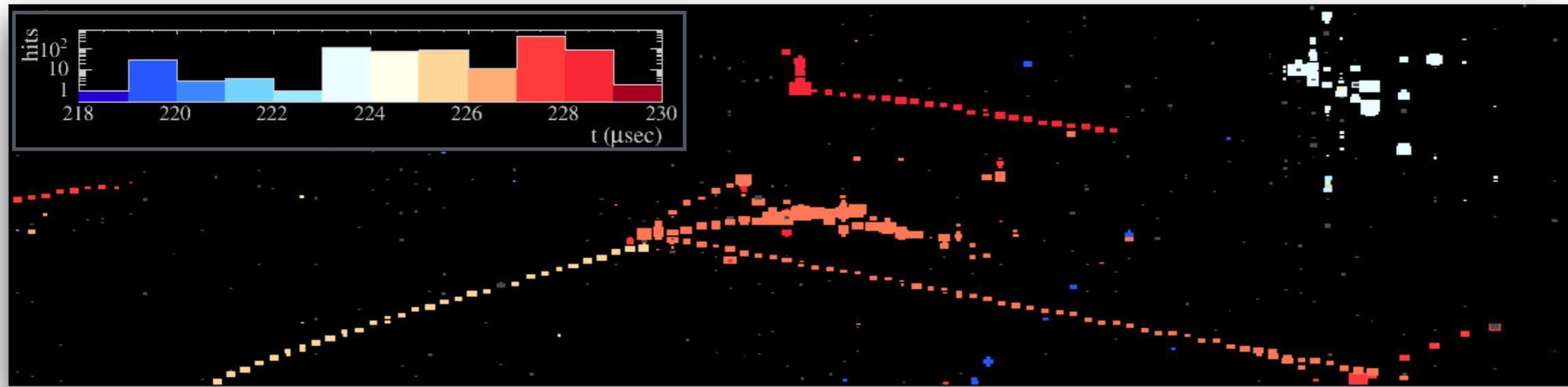
Detect ionization signal which has been drifted by an electric field.



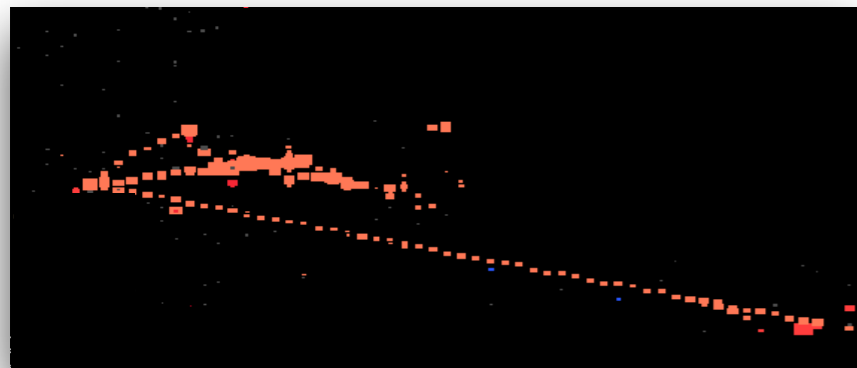
Traditional Reconstruction

Use the topology and magnitude of the energy depositions.

Takes advantage of the granularity and time resolution of our detectors.

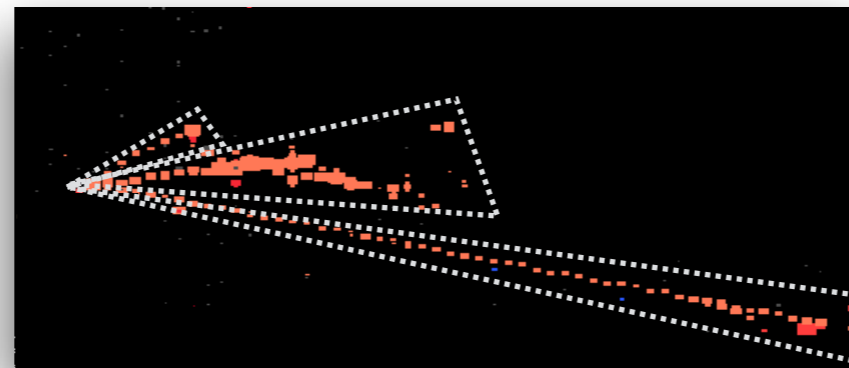


ISOLATE THE EVENT



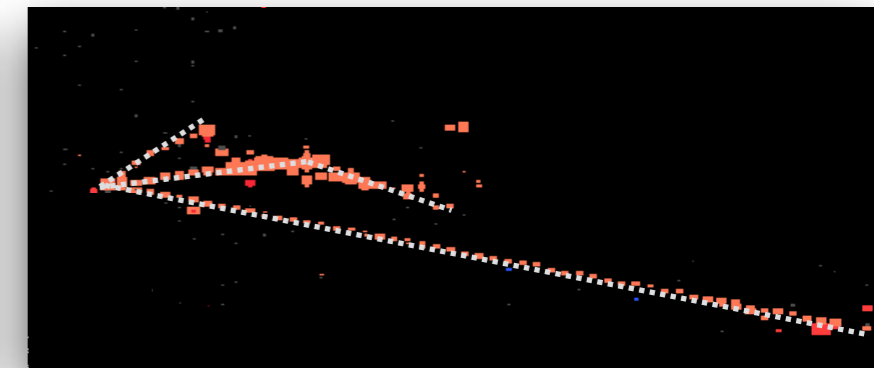
We isolate individual interactions using time and space correlation of the hits.

DEFINE CLUSTERS



Groups of hits can be clustered as following the path of same particle starting at the interaction point.

FIT TRAJECTORY



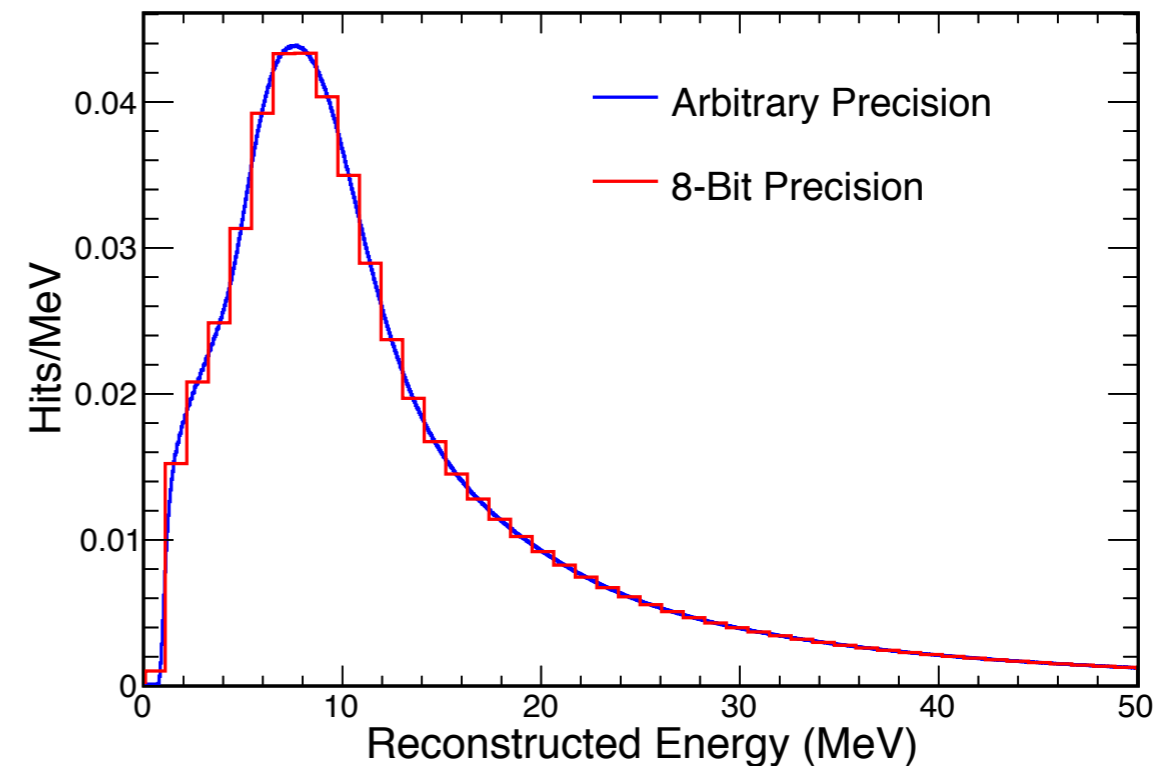
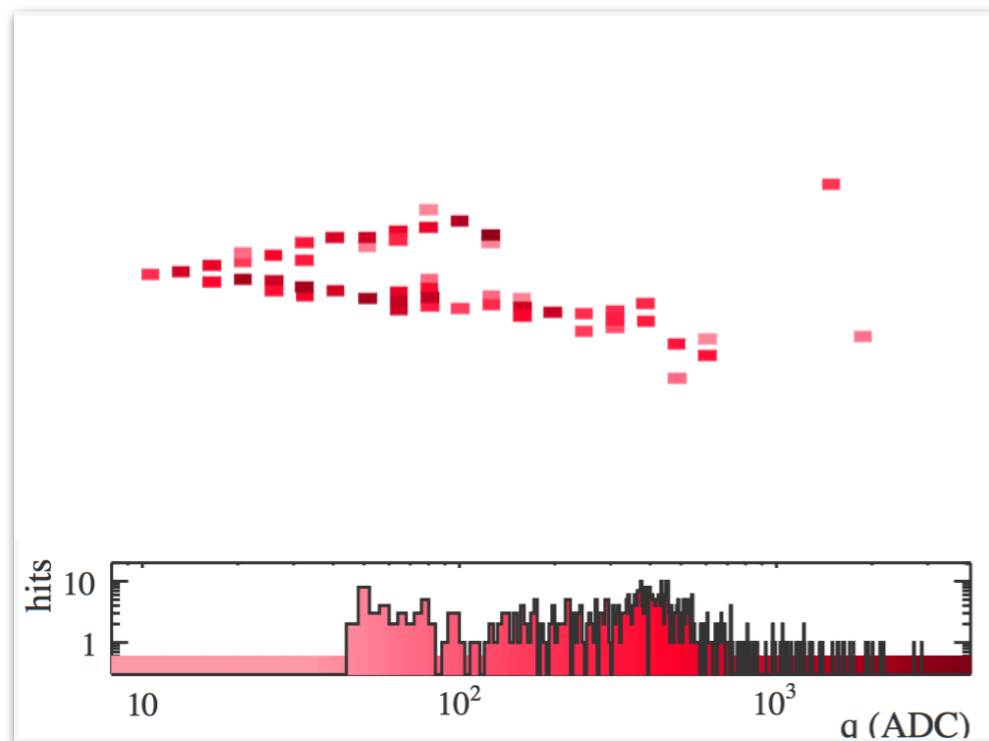
When necessary we can fit an assumed trajectory for each cluster of hits.

Event ID with Convolutional Neural Networks

Premise: Let a deep learning network extract features and draw correlations.

Disentangle the identification from reconstruction.

In practice: Use “images” of events to train a CNNs to identify neutrino flavor.



CVN for NOVA Events

Convolutional Visual Network

x4.7 million



Network Details:

Based on GoogLeNet.

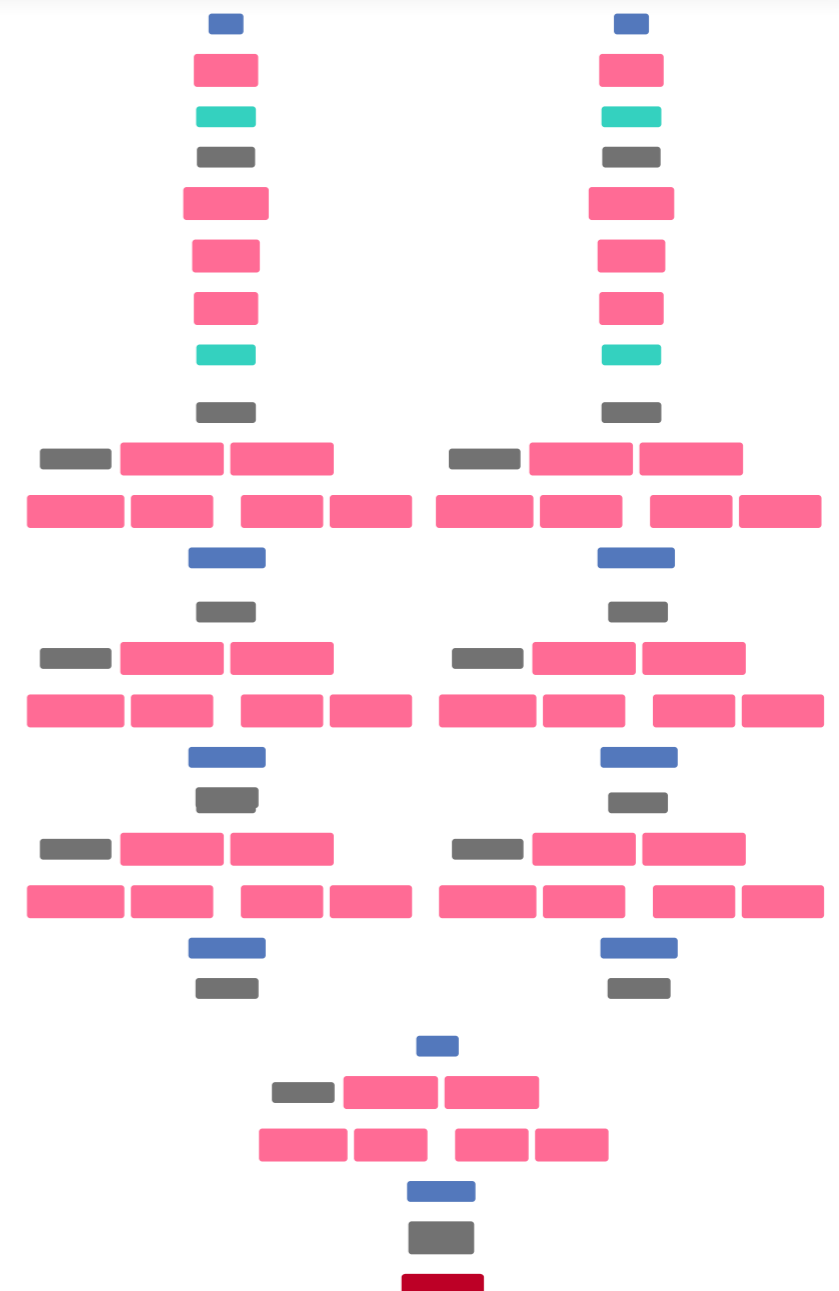
Two branch network (x and y views) . Takes 2 views separately, further down it merges the 2 views.

Optimized for overall accuracy and main analysis FOM.

Caffe



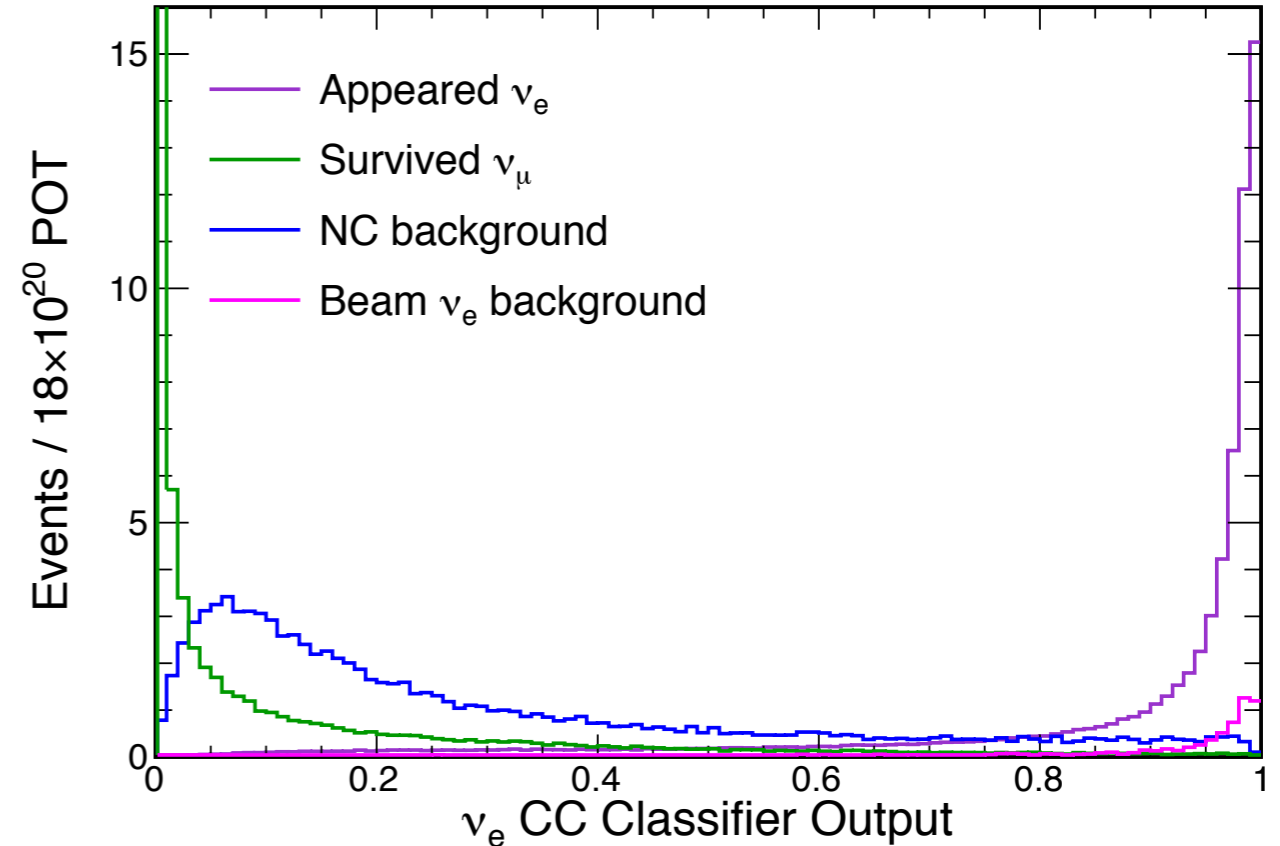
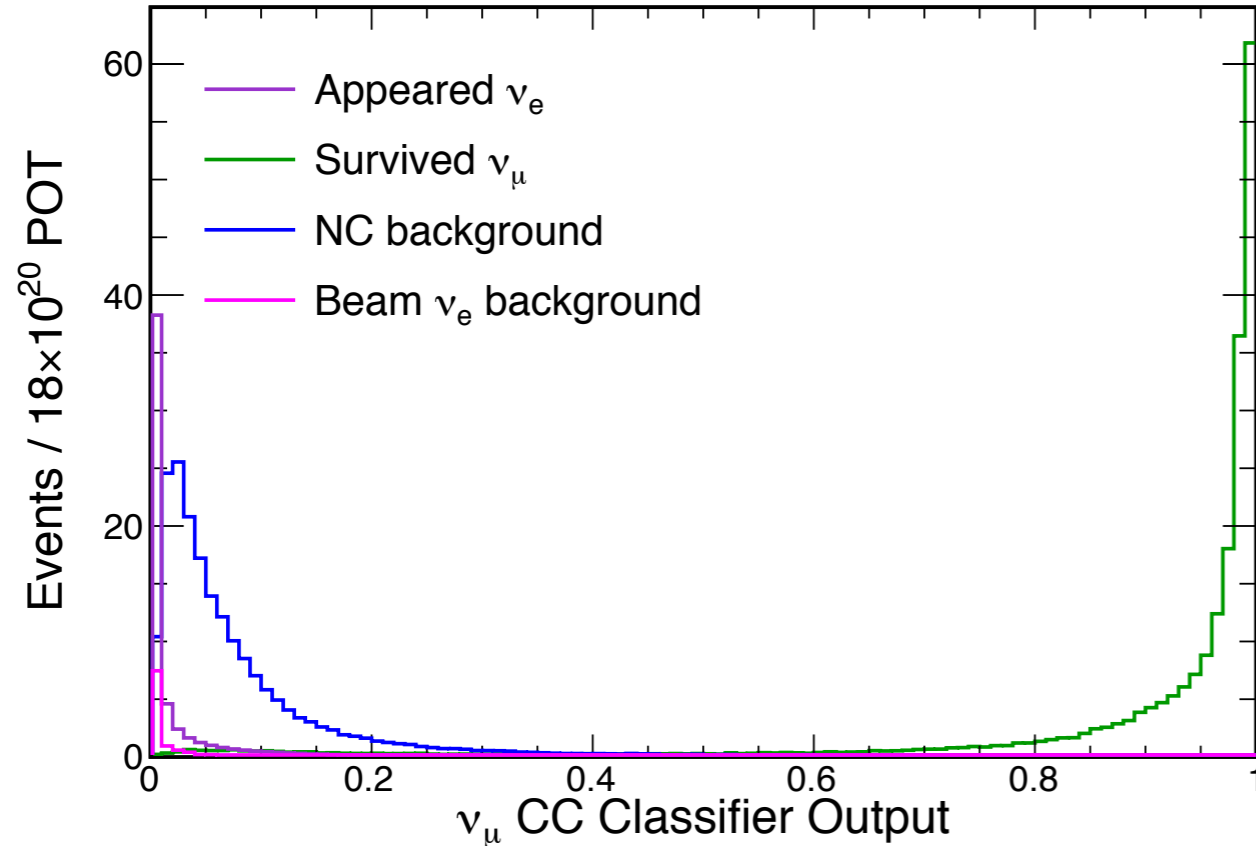
Trained on Fermilab's Wilson cluster, two NVIDIA K40 GPUs



Classifier output

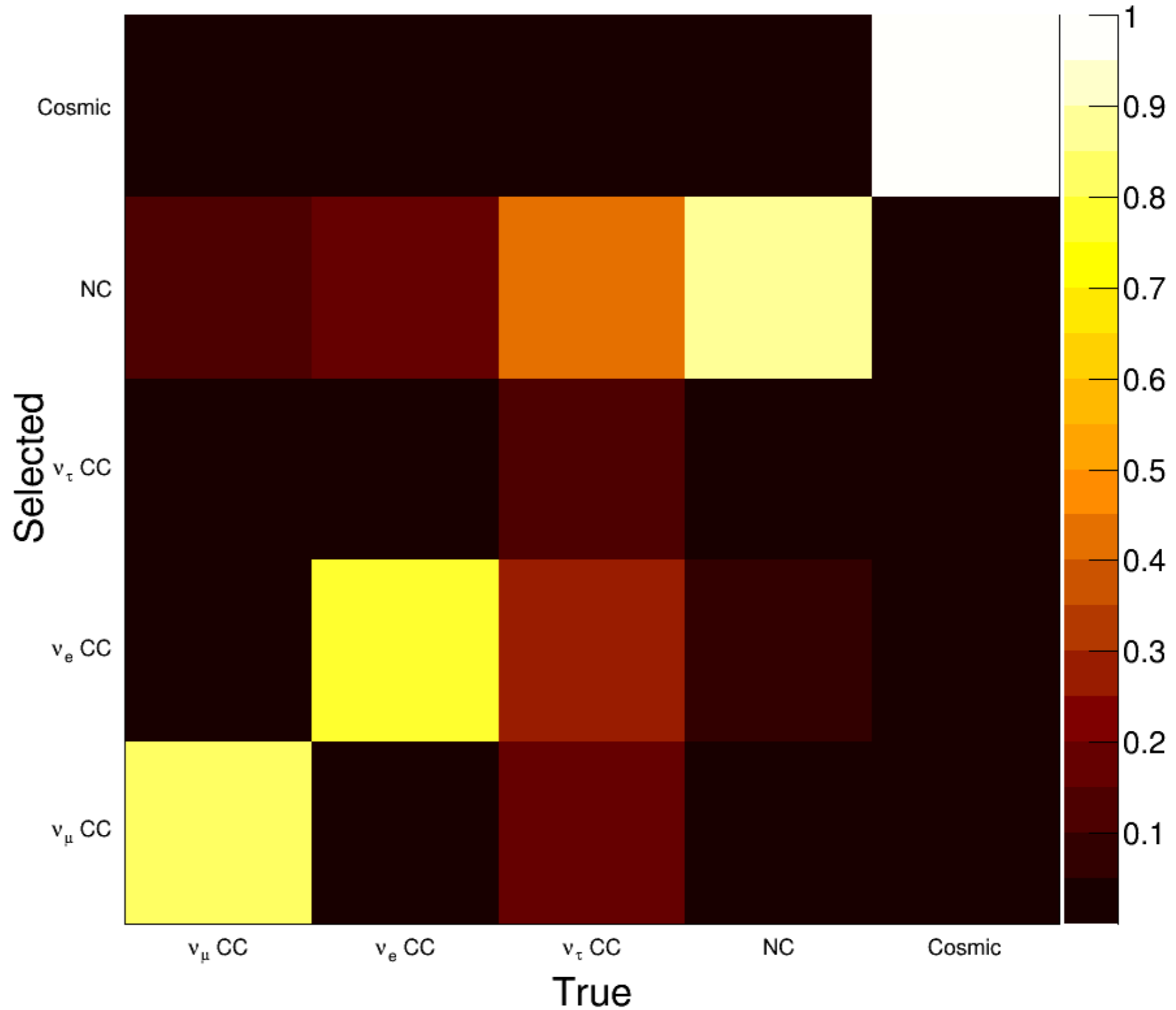
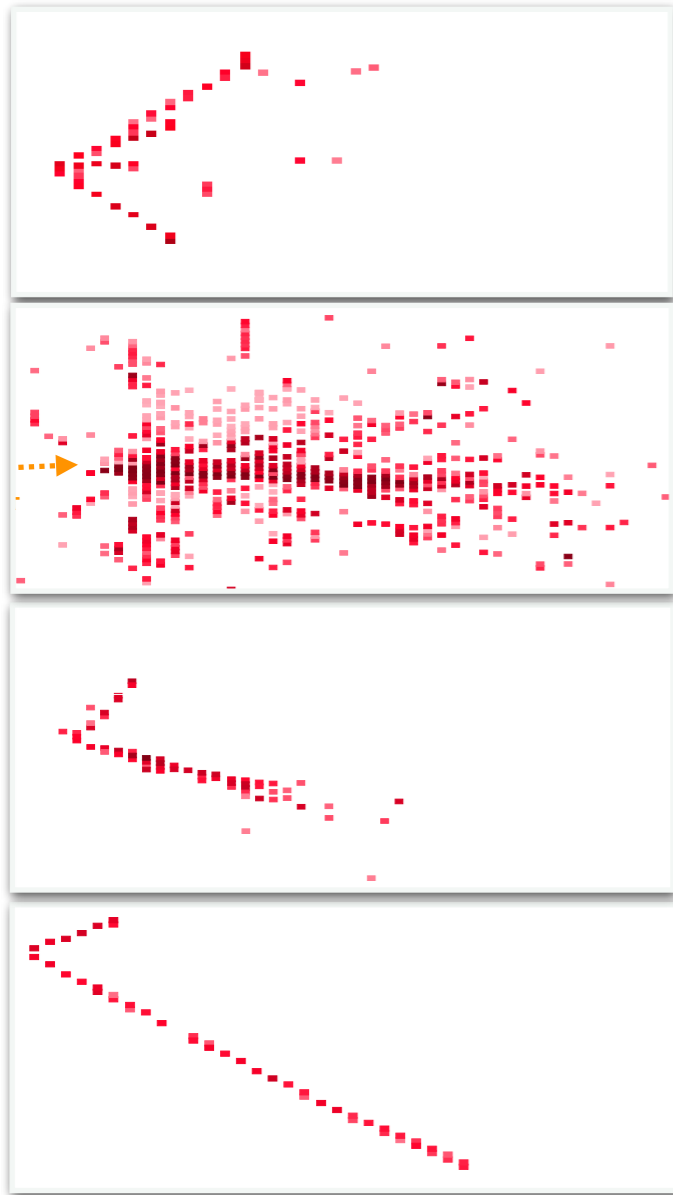
One PID value for each category, normalized to sum 1 over all possible labels.

In principle, this means one can extract more information than a single PID value.



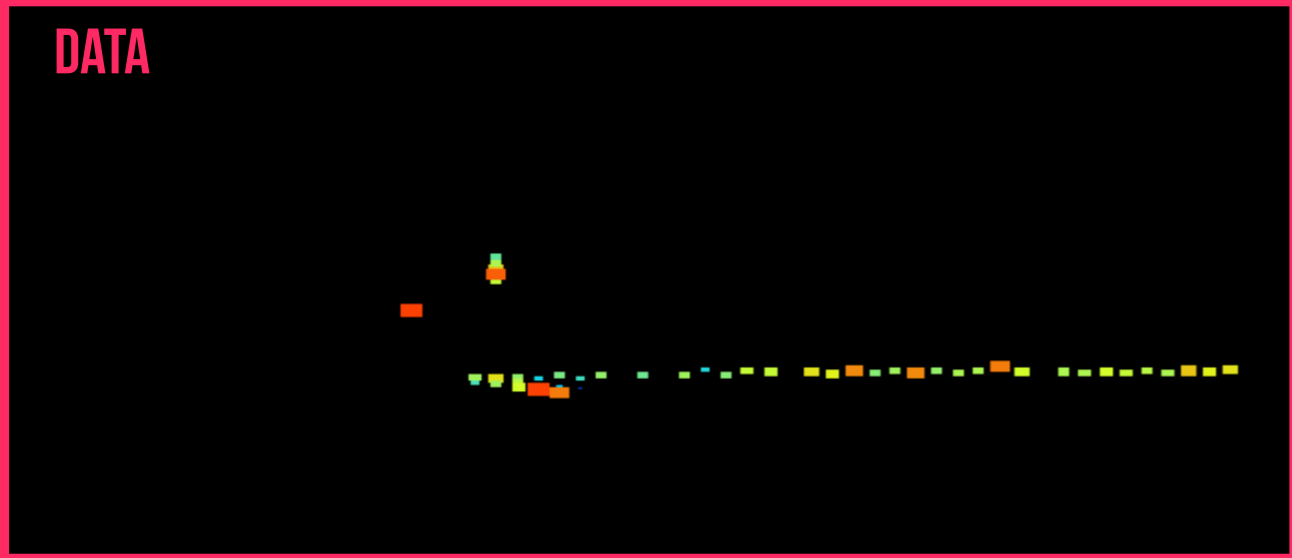
Correlation Matrix

NOvA Simulation

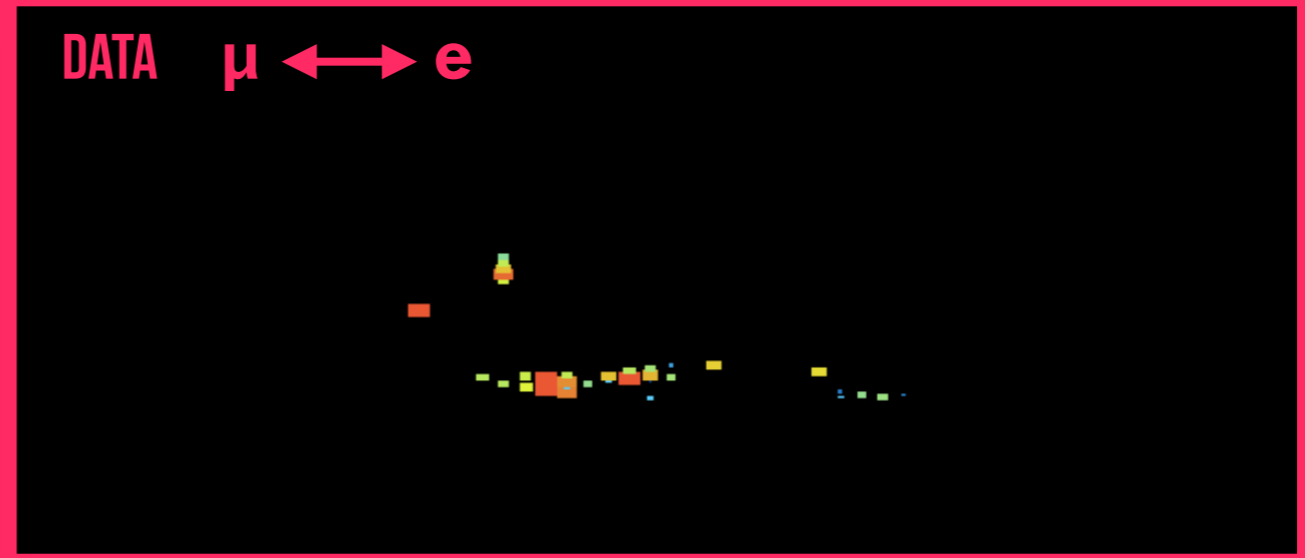


CVN Performance On Real Data

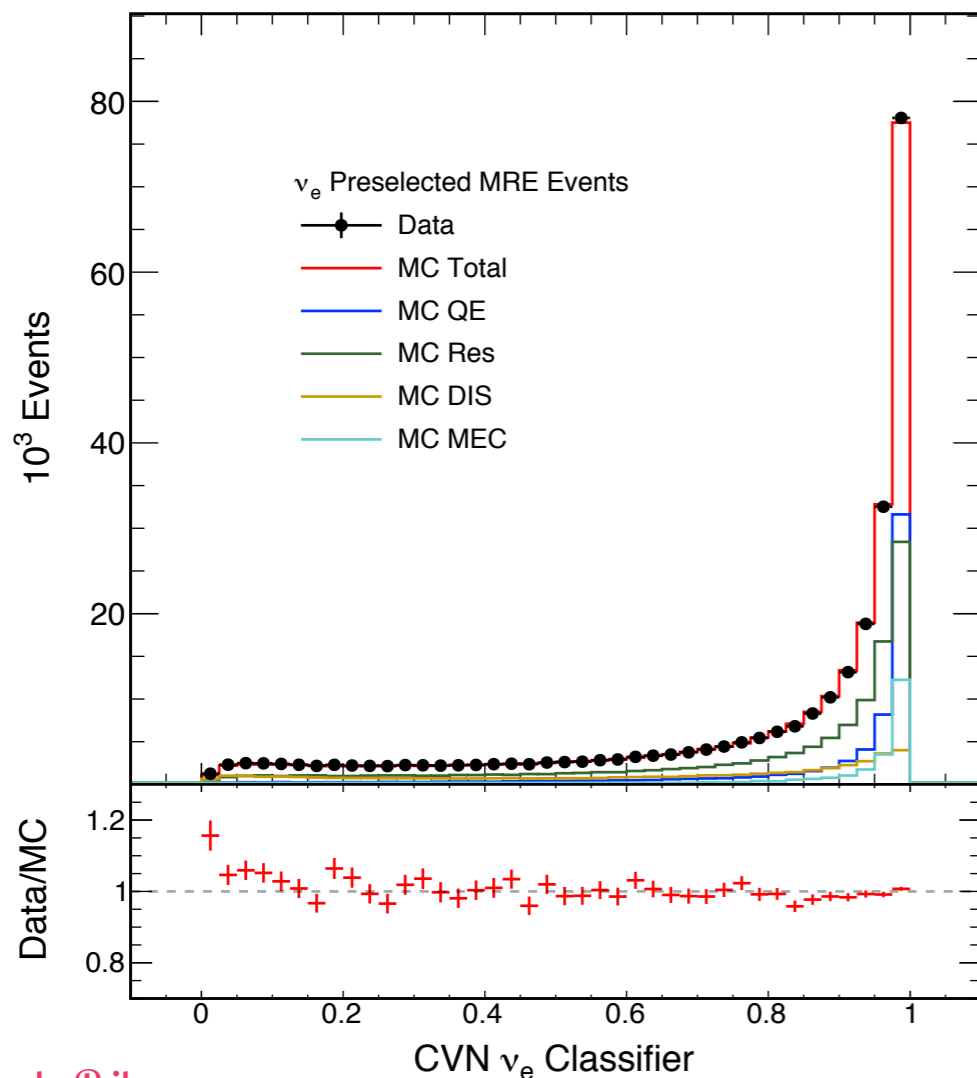
DATA



DATA $\mu \leftrightarrow e$



NOvA Preliminary



MRE (Muon Removed - Electron):

Select a muon neutrino interaction with traditional ID methods.

Remove the muon hits and replace them with a single simulated electron of matching momentum.

Data/MC comparisons show less than 1% difference in efficiency.

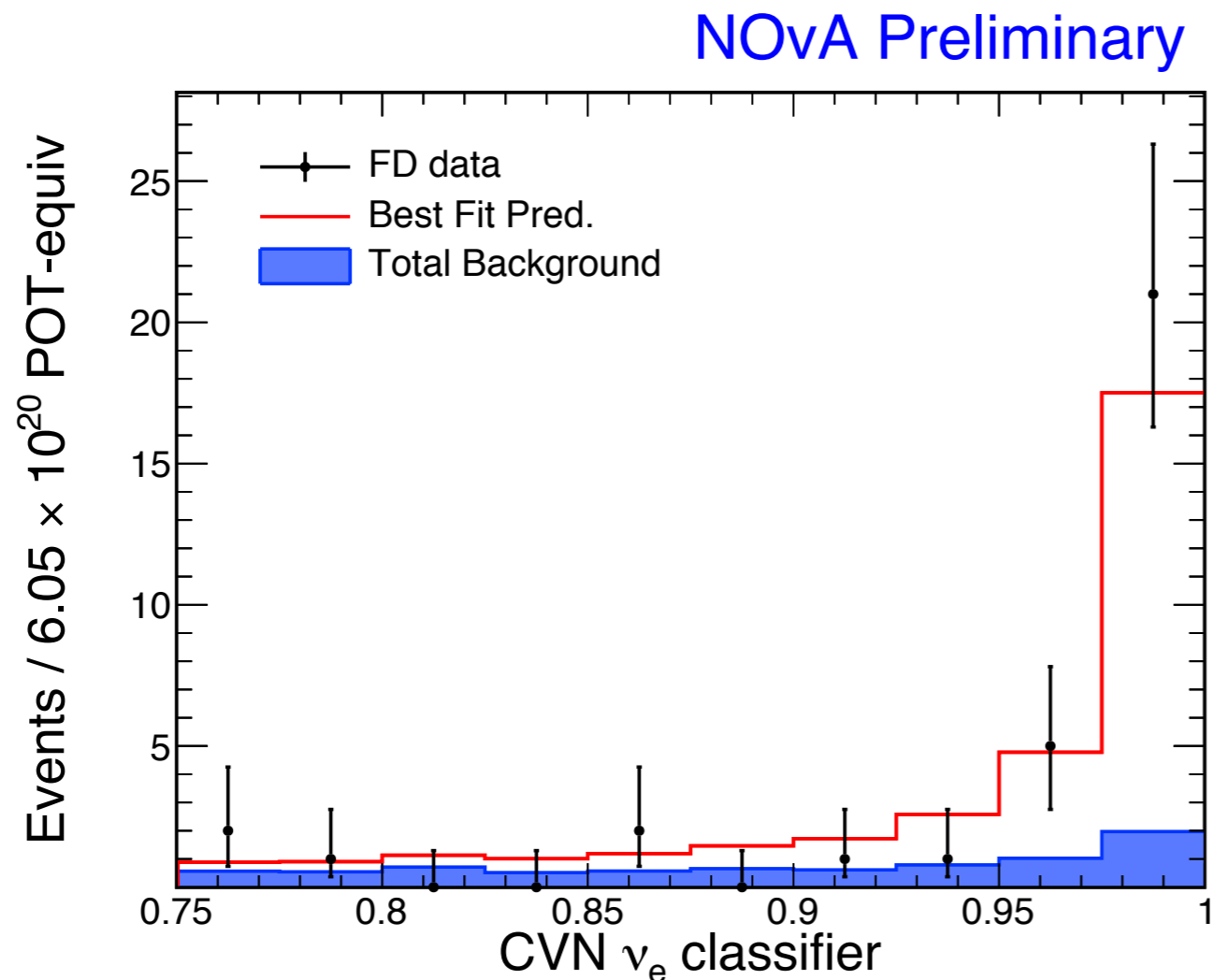
PID	Sample	Preselection	PID	Efficiency	Efficiency diff %
CVN	Data	262884	188809	0.718222	-0.36%
	MC	277320	199895	0.720809	

CVN Performance on ν_e

Implemented in NOvA's main analysis for the results shown this summer at Neutrino 2016 was the **first implementation of a CNN in a HEP result**.

Total bkg	NC	Beam ν_e	ν_μ CC	ν_τ CC	Cosmogenic
8.2	3.7	3.1	0.7	0.1	0.5

33 events selected with estimated background of ~8

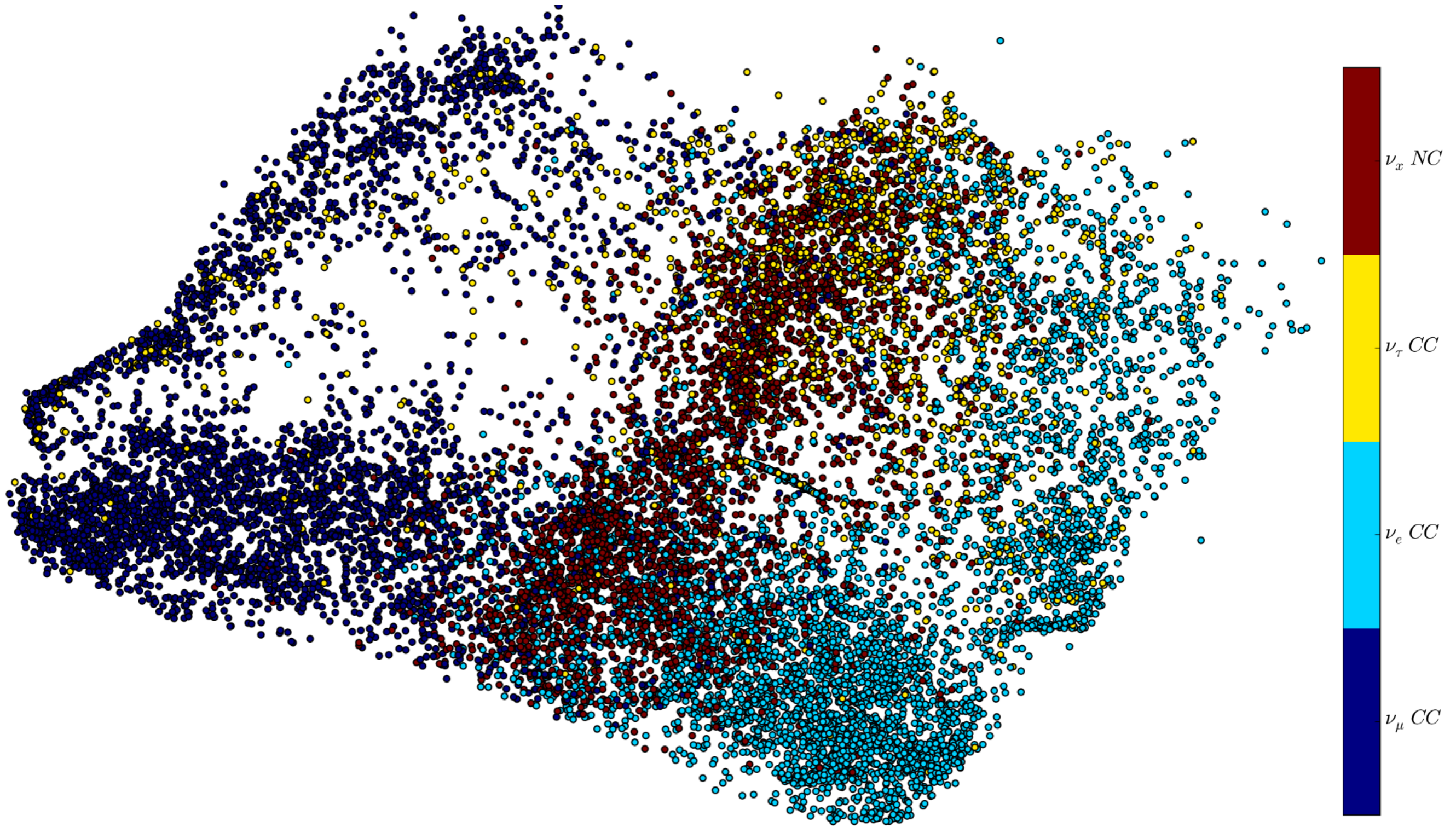


76% Purity

73% Efficiency

An equivalent increased exposure of 30%

t-SNE



<https://indico.io/blog/visualizing-with-t-sne/>

THIS IS YOUR MACHINE LEARNING SYSTEM?

YUP! YOU POUR THE DATA INTO THIS BIG PILE OF LINEAR ALGEBRA, THEN COLLECT THE ANSWERS ON THE OTHER SIDE.

WHAT IF THE ANSWERS ARE WRONG?

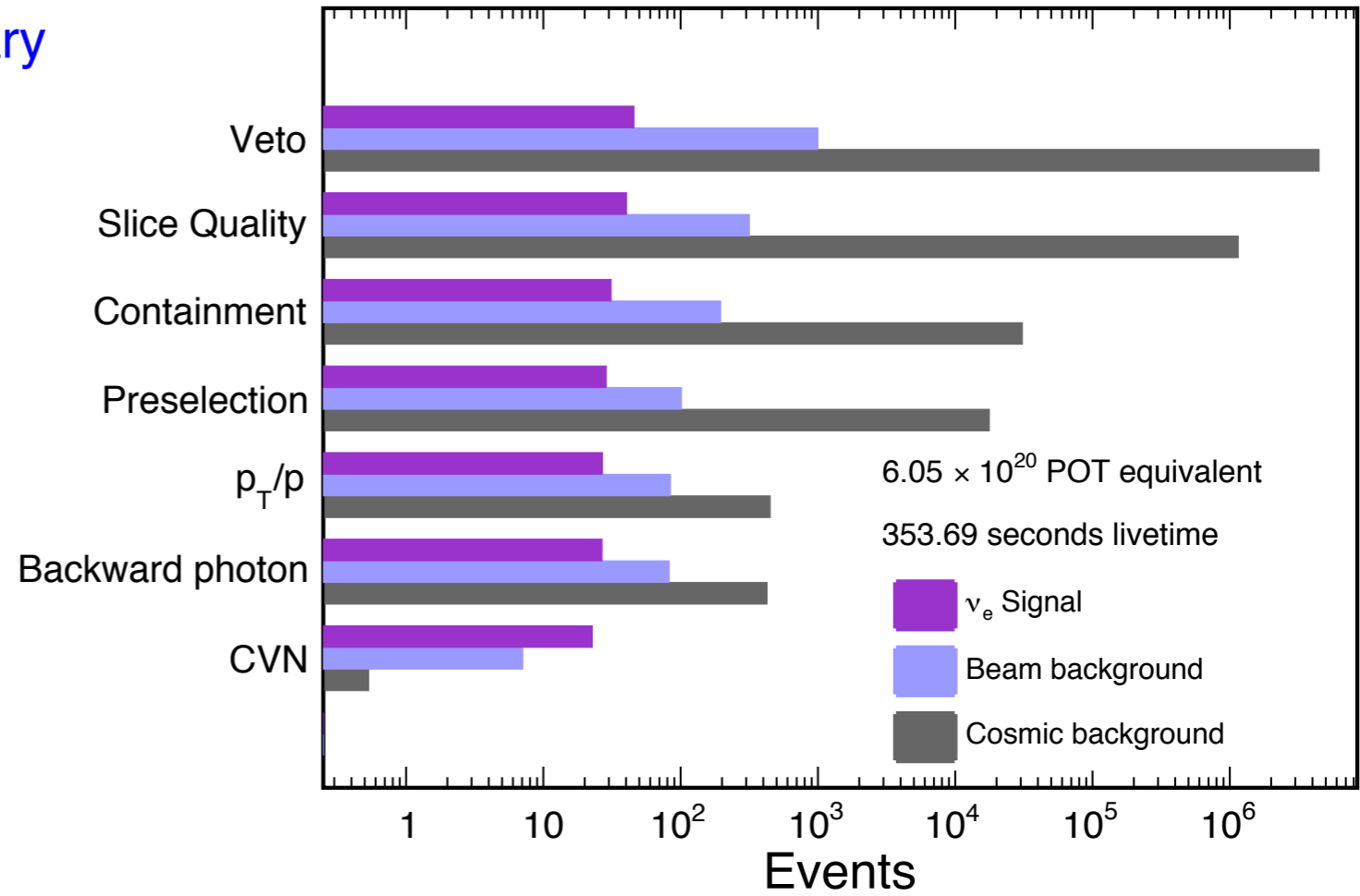
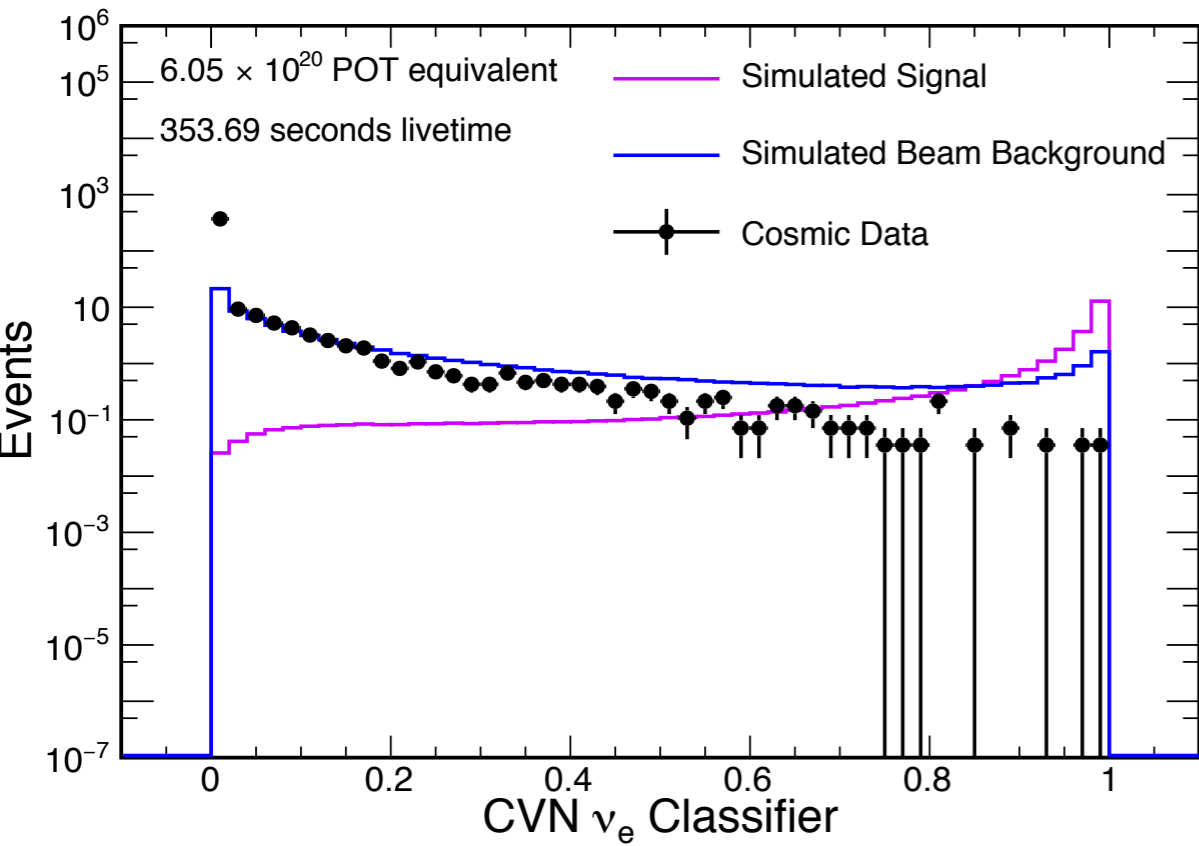
JUST STIR THE PILE UNTIL THEY START LOOKING RIGHT.



Performance on Cosmic Background

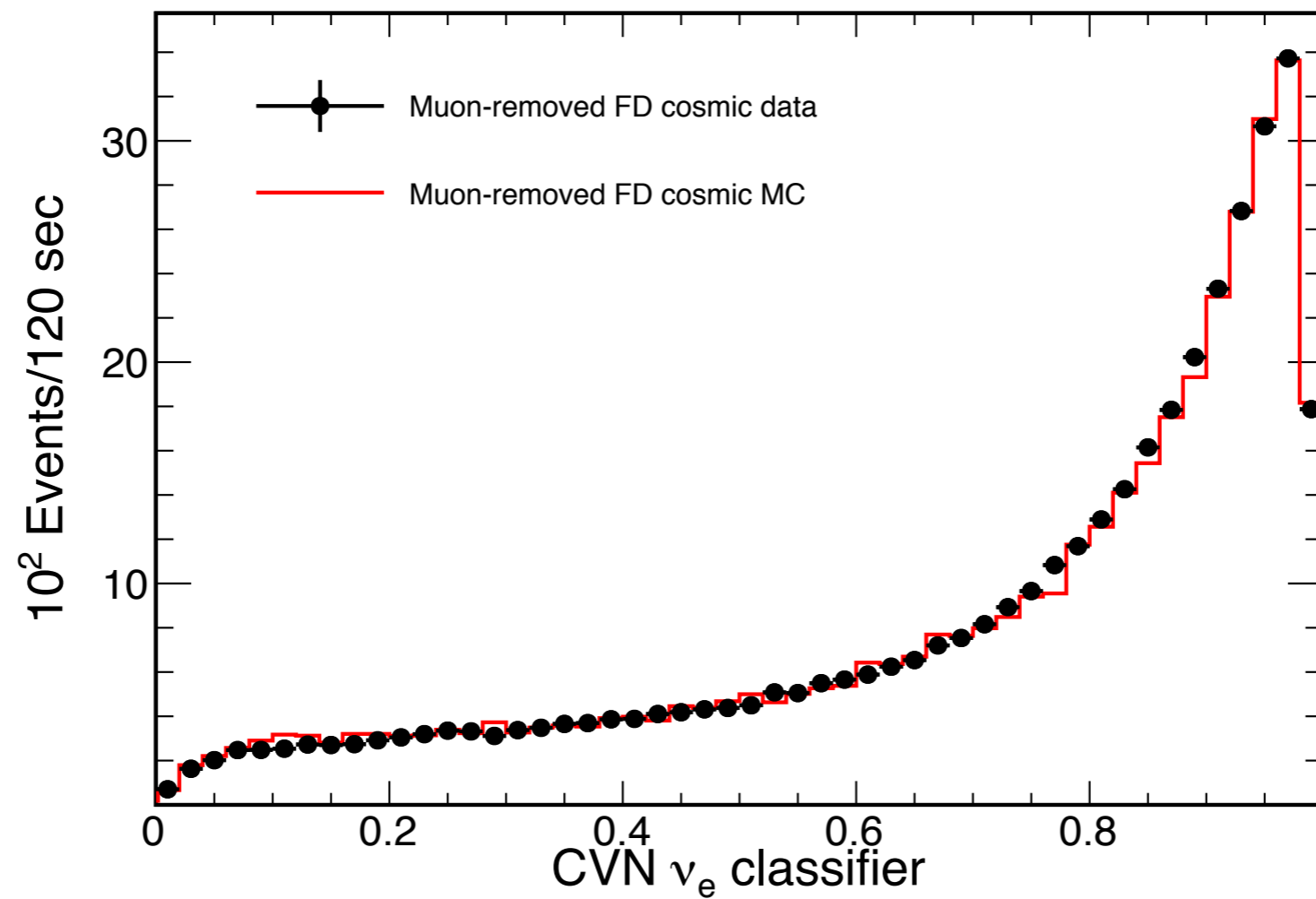
NOvA Preliminary

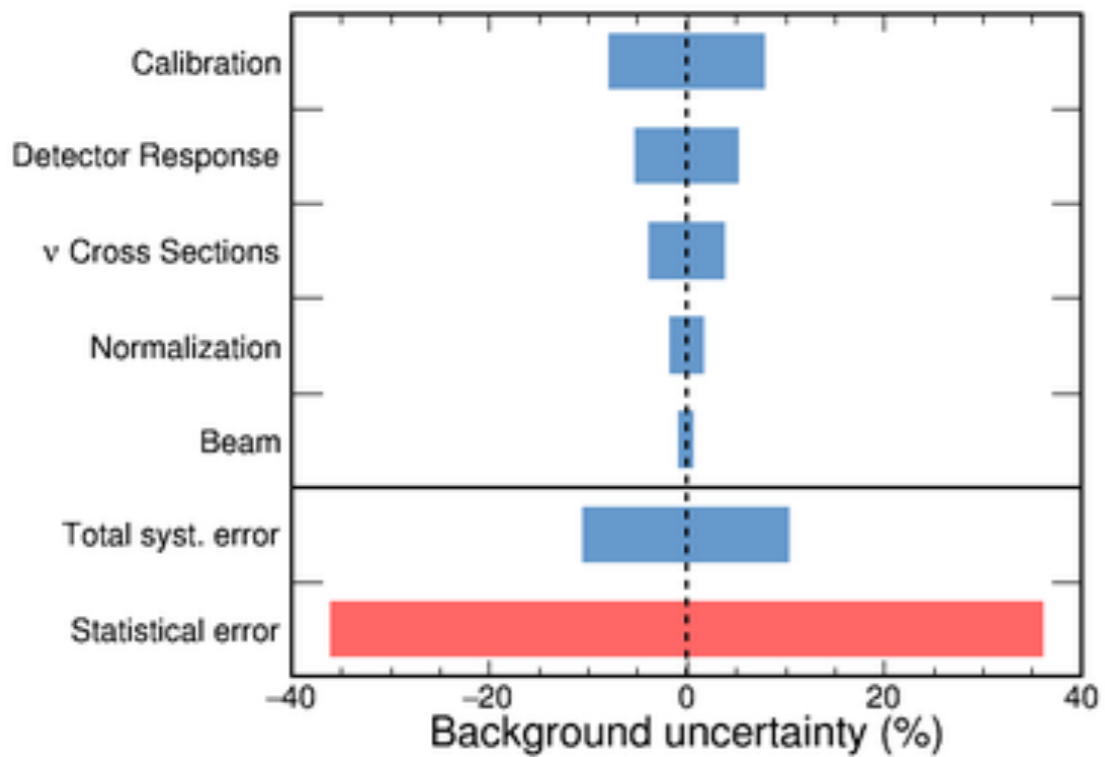
NOvA Preliminary



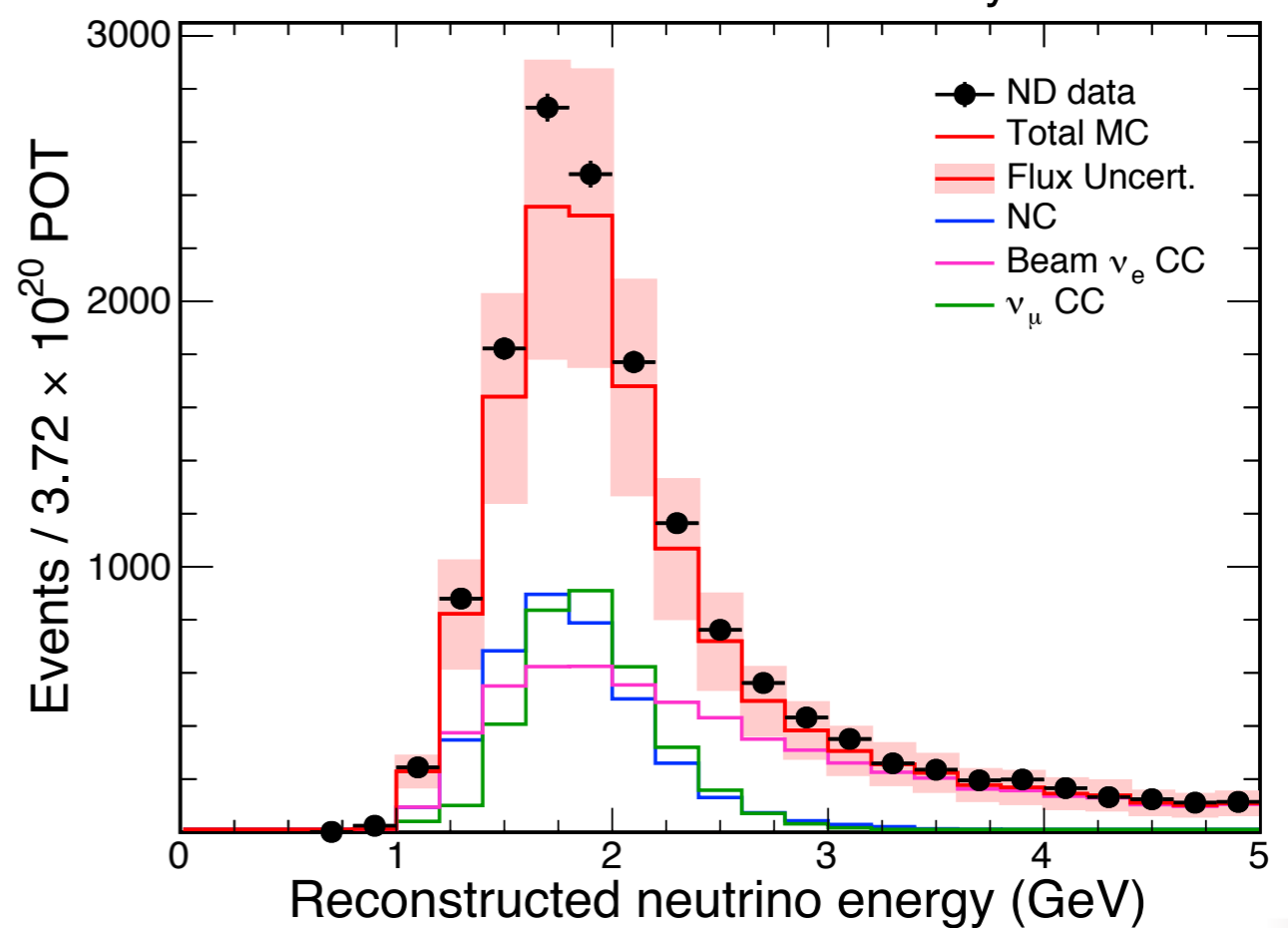
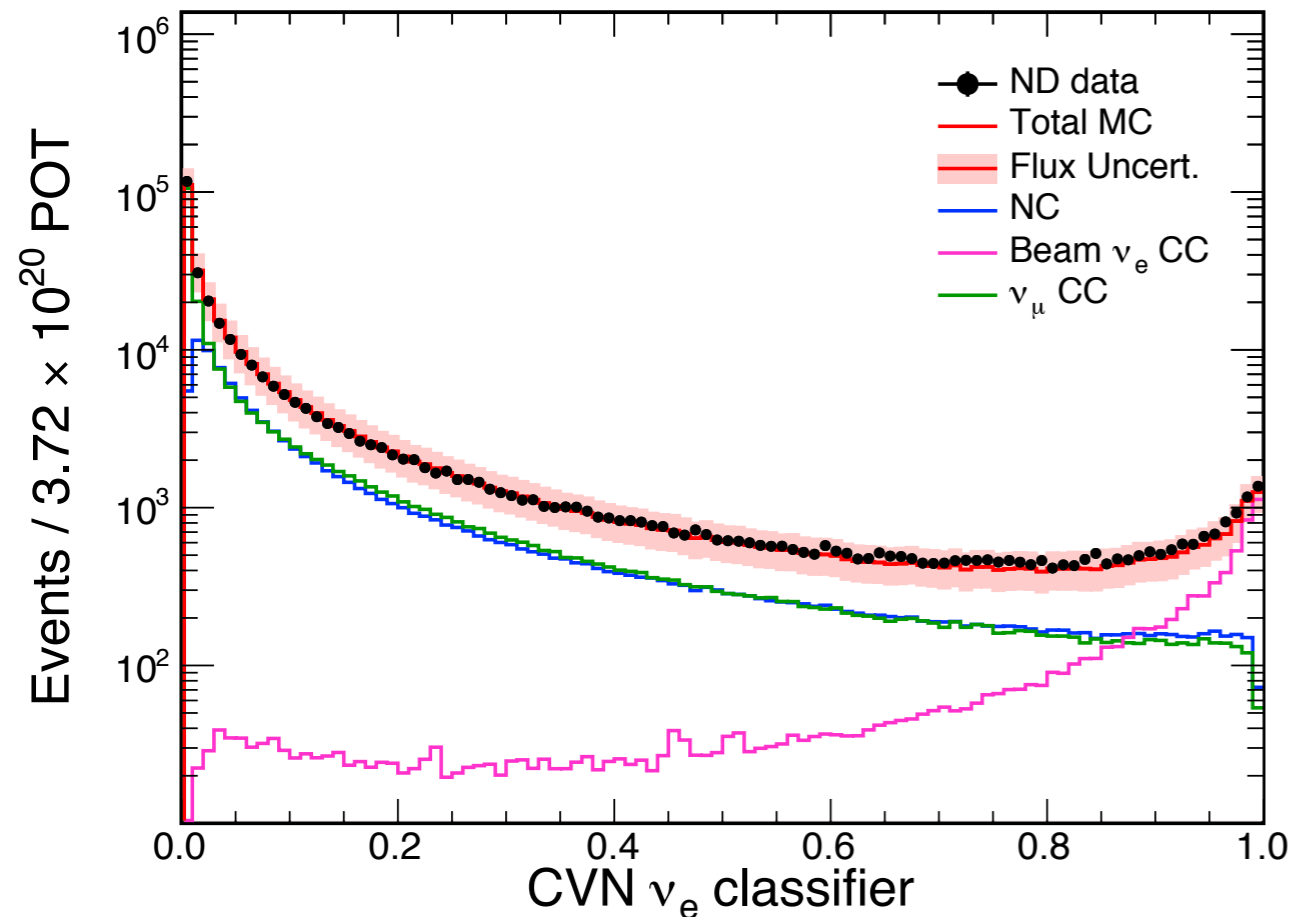
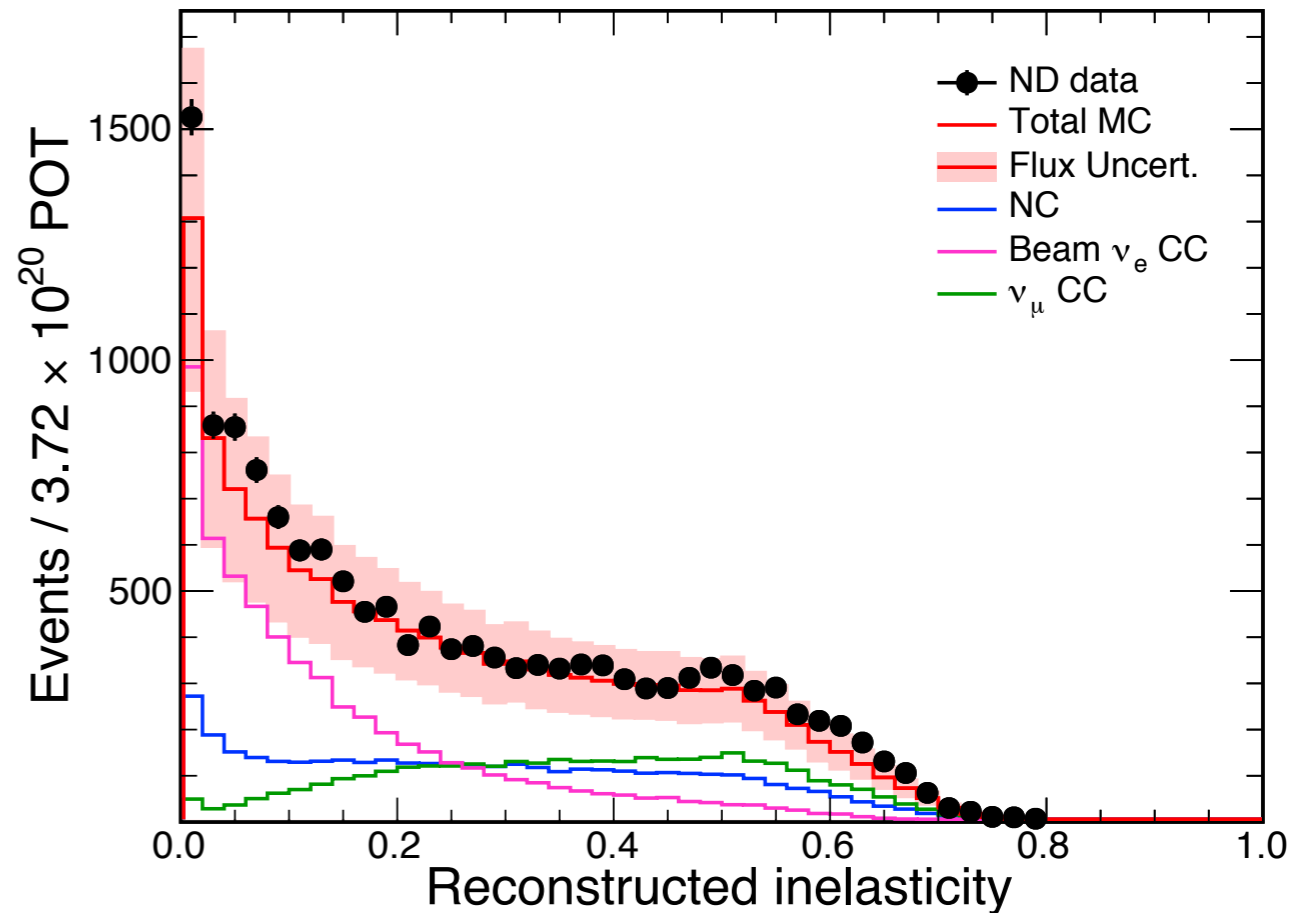
Data Driven Tests - MRBrem

NOvA Preliminary

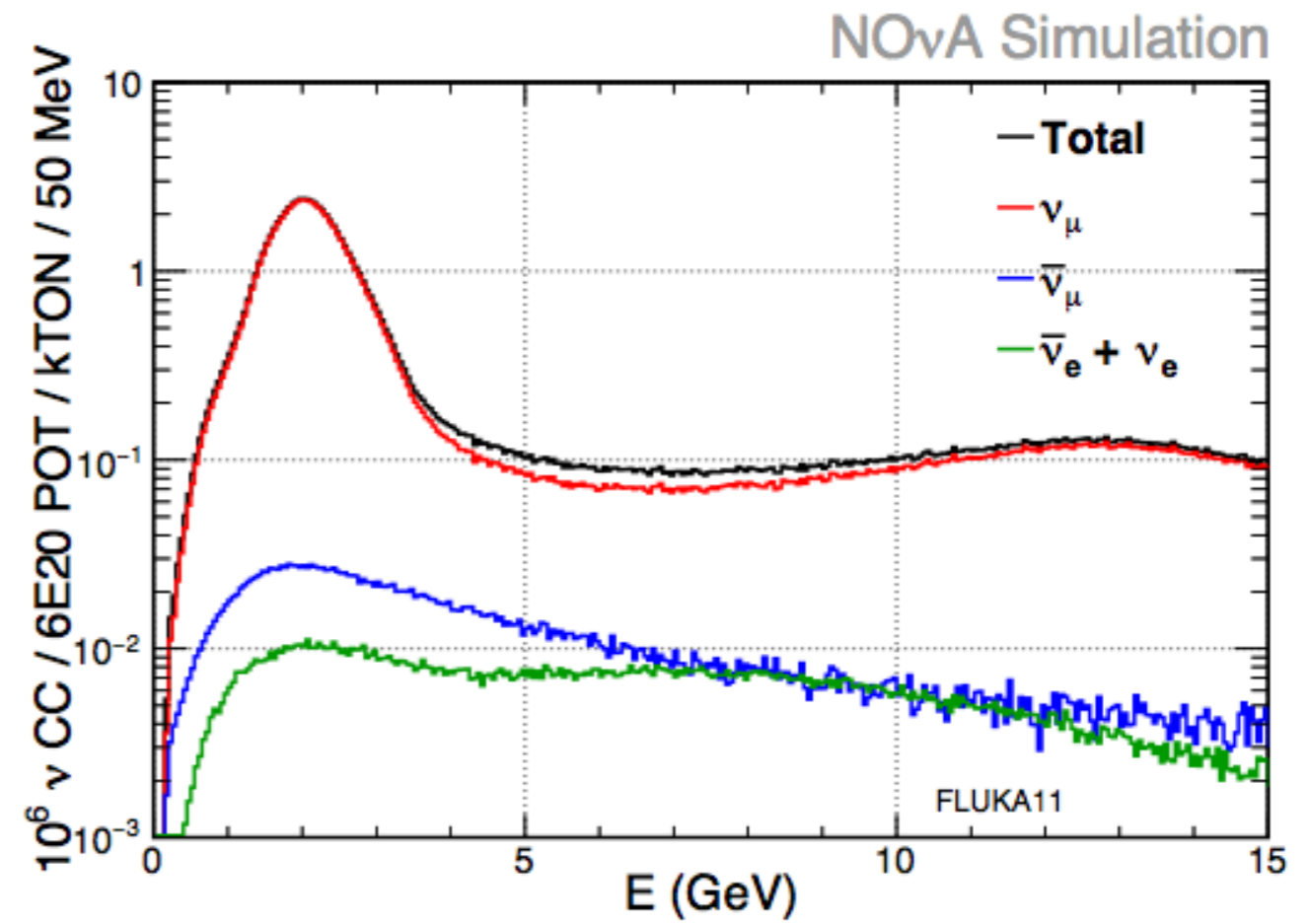
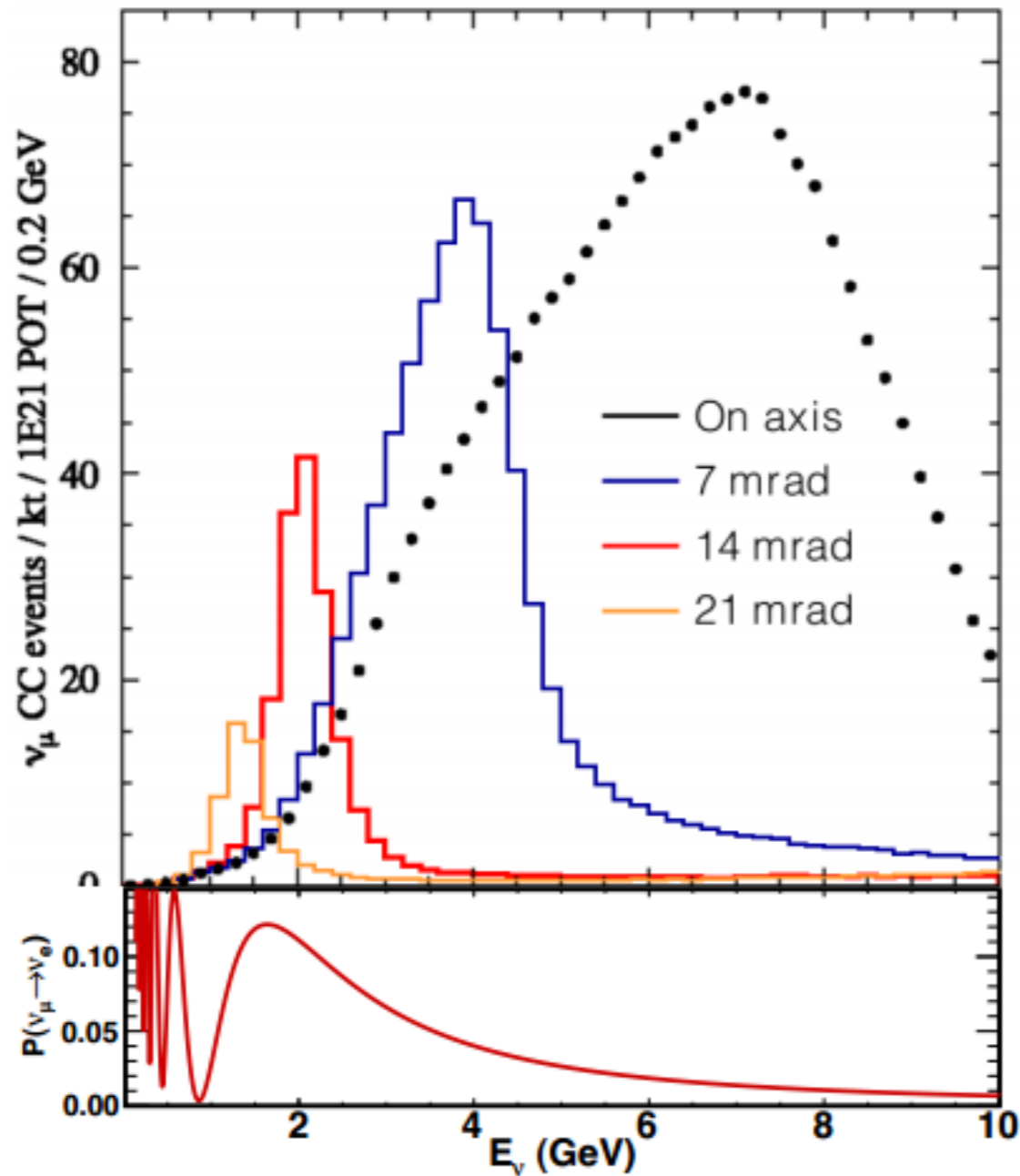




NOvA Preliminary



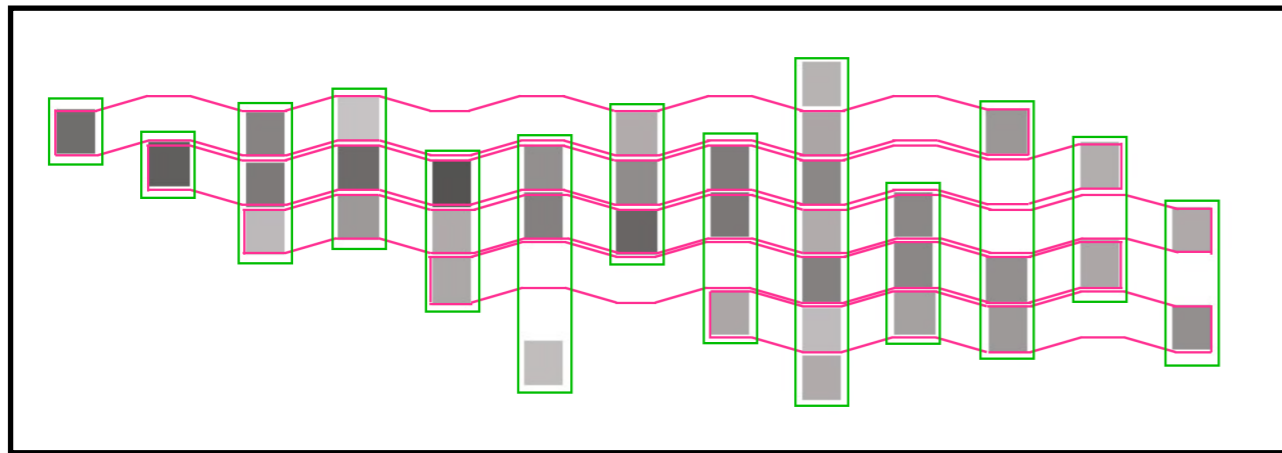
NuMI Beam



Traditional ID Methods

Mostly focused on identifying the lepton in the event. Extracted features (i.e. track length and scattering for muons, topology of energy depositions for electromagnetic showers)

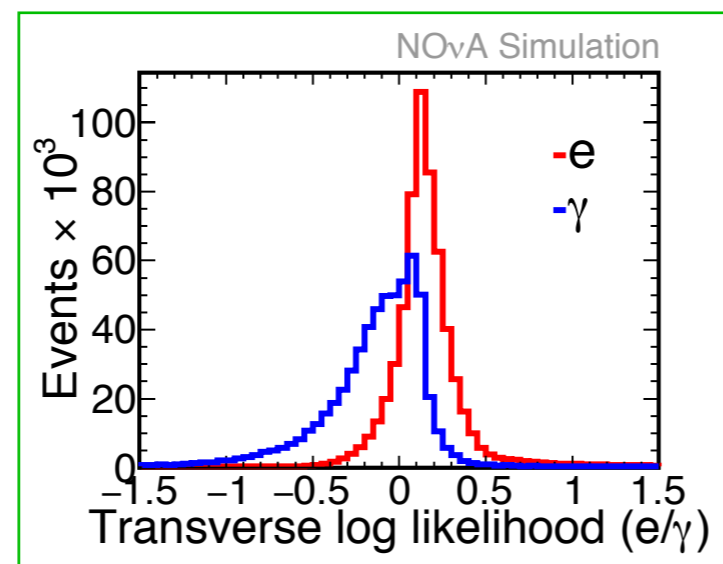
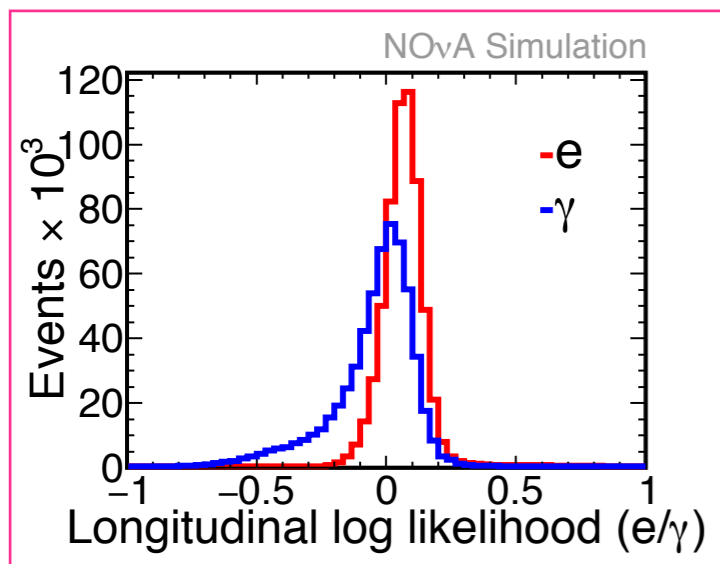
- ★ **Require Previous reconstruction.**
- ★ **Features are pre-defined, based on MC or test data.**



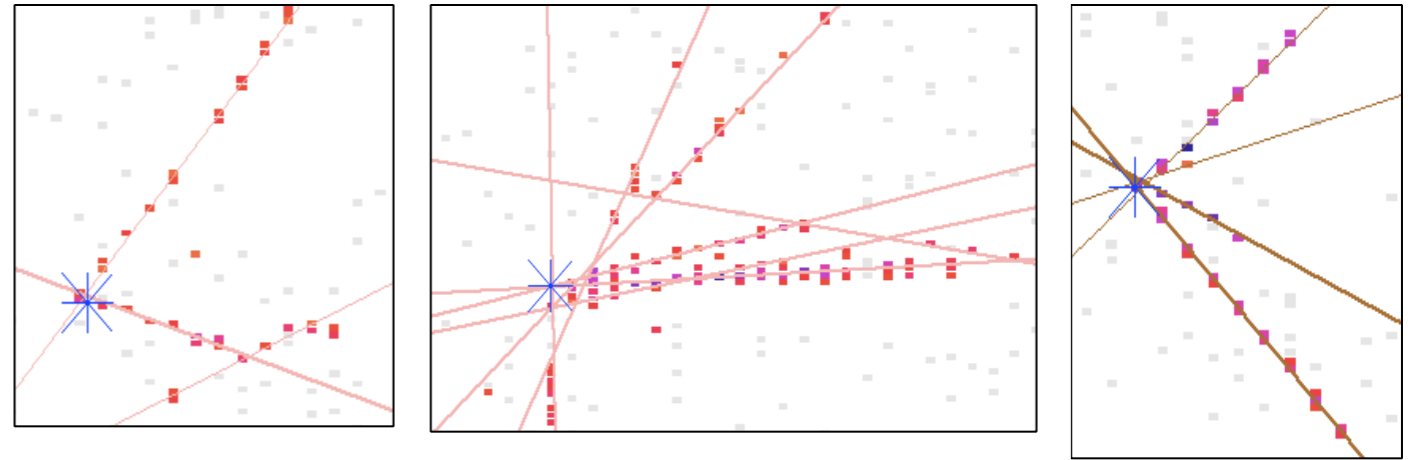
Example: The Likelihood ID method

- ★ Reconstruct electron shower.
- ★ Find likelihoods from its dE/dx profiles compared to particle hypotheses.

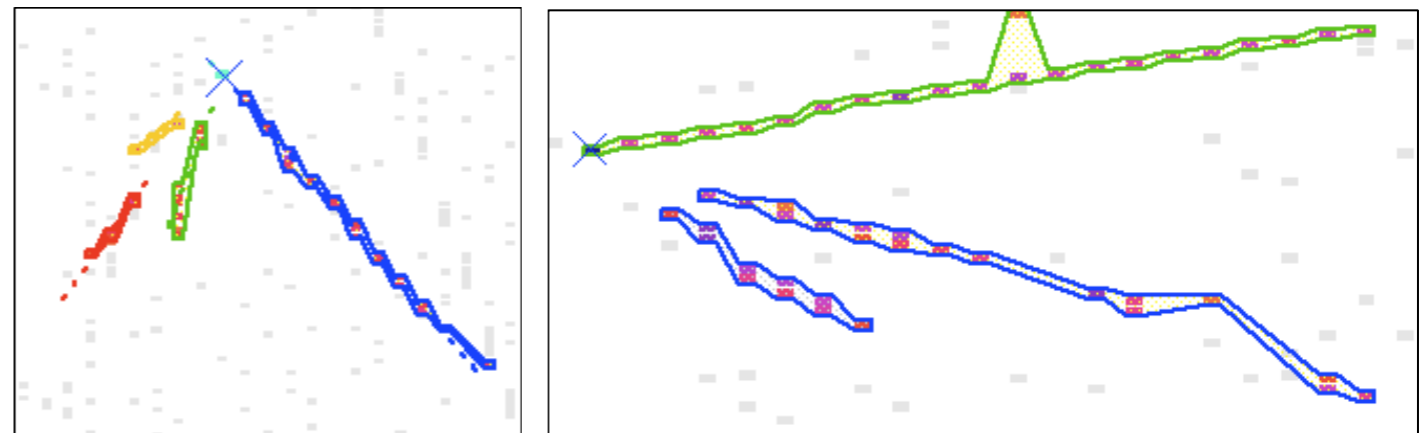
Likelihoods → *Traditional Neural Network*



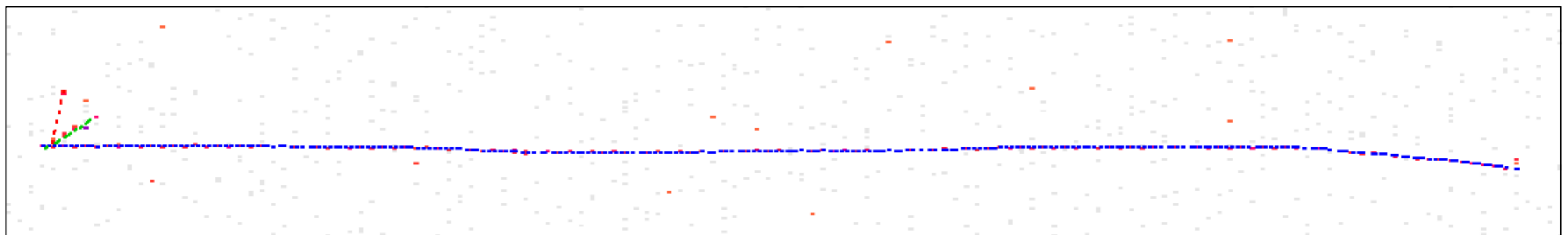
Vertexing: use lines of energy deposition formed with hough transforms to find intersections



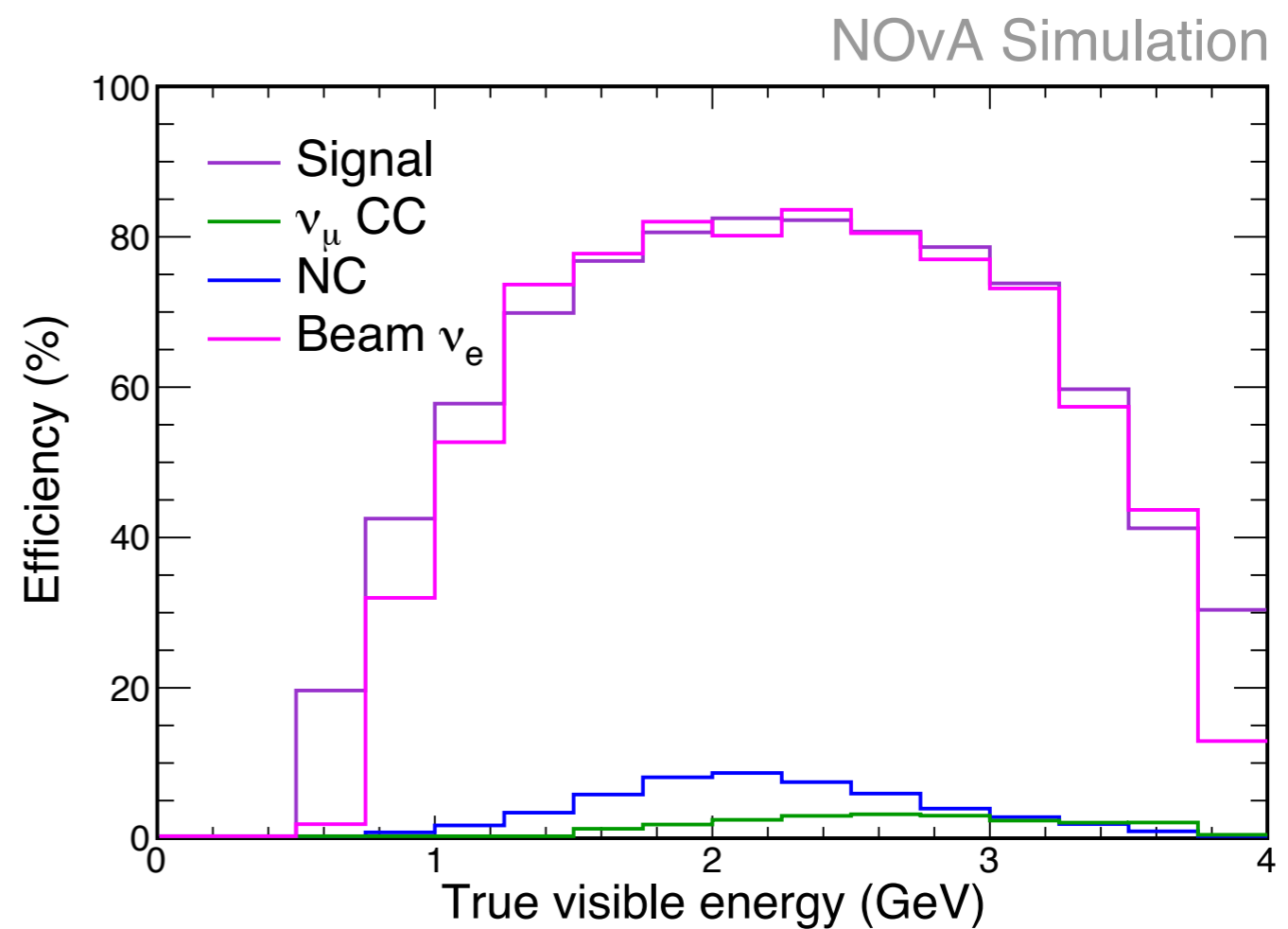
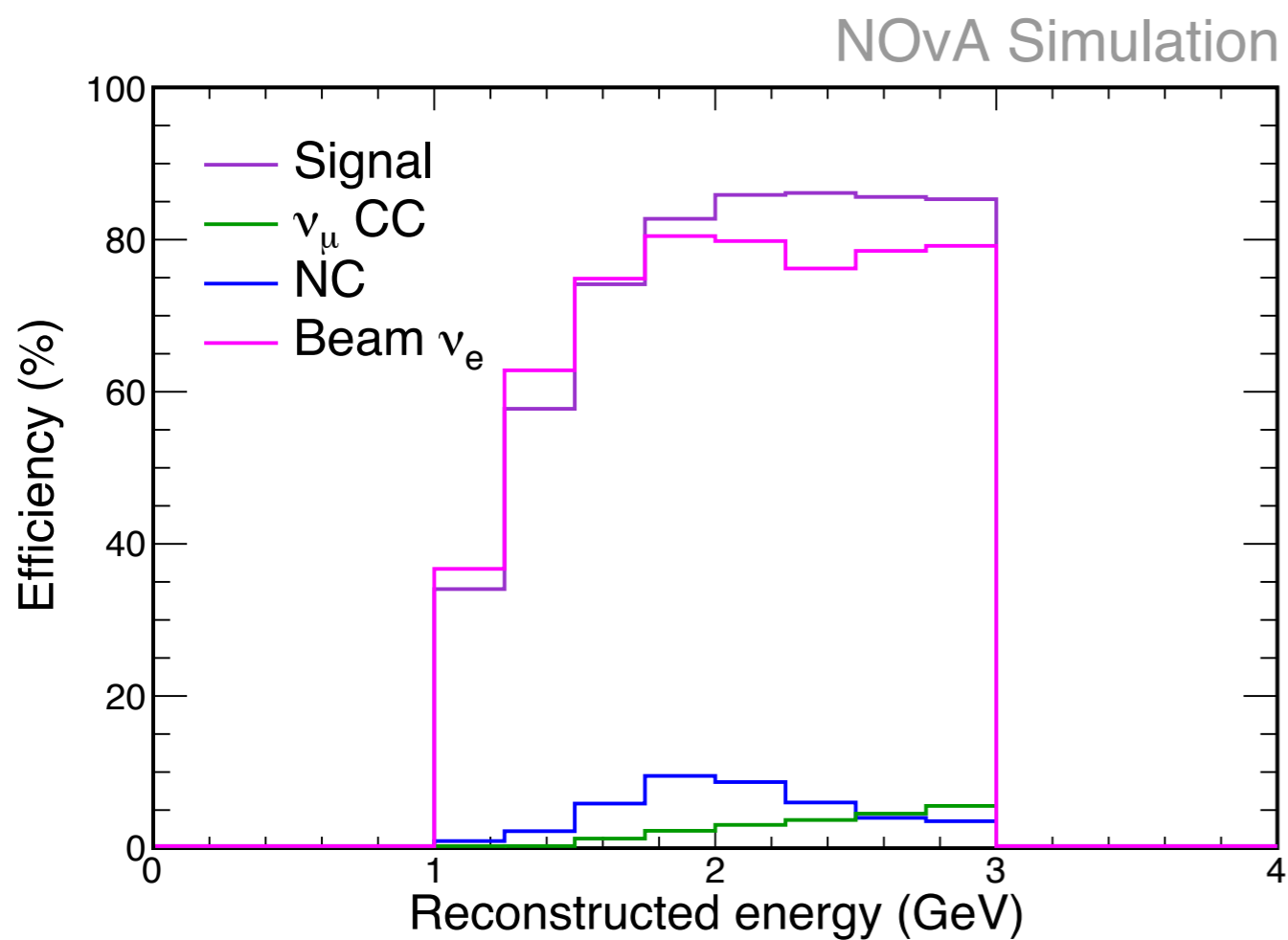
Clustering: find clusters in angular space around the vertex and merge views via topology and prong dE/dx



Tracking: Trace particle trajectories using a kalman filter, example below

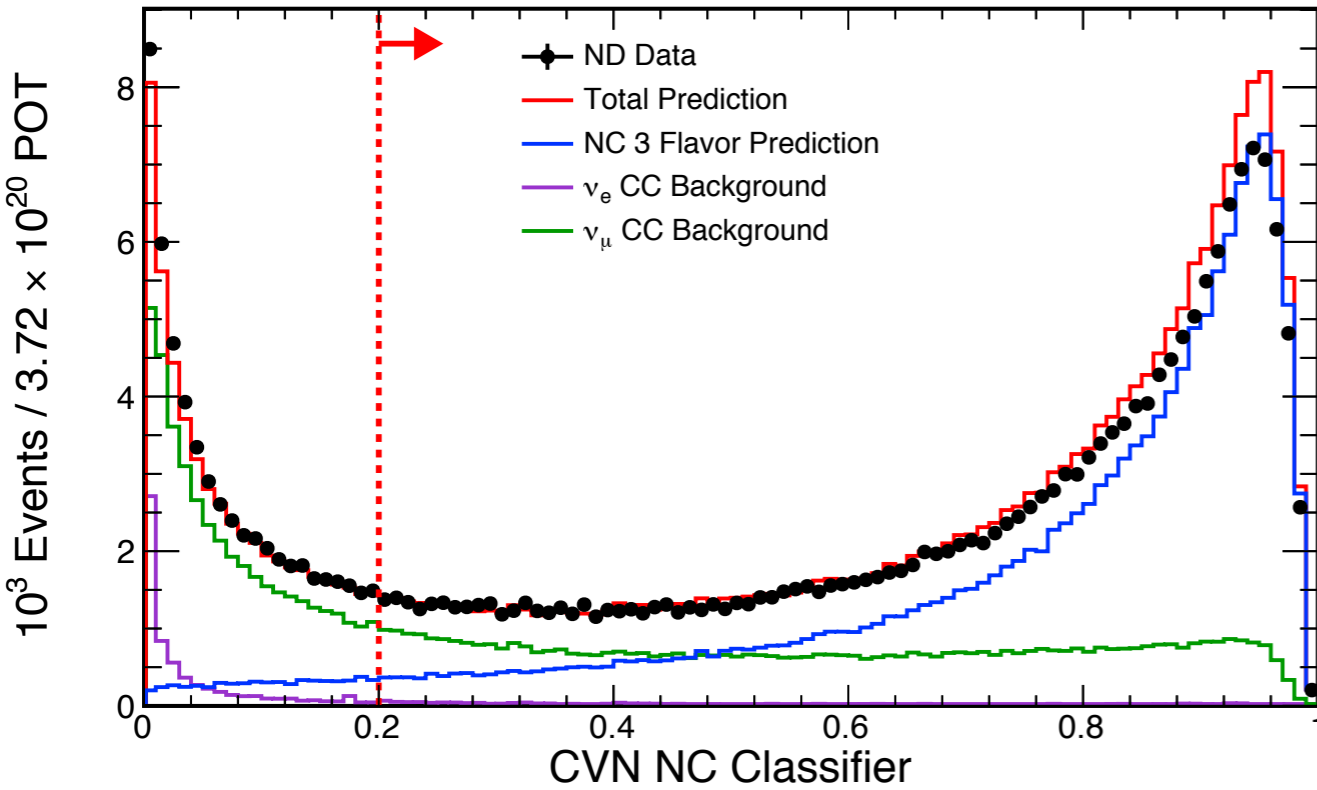


CVN MC Efficiency

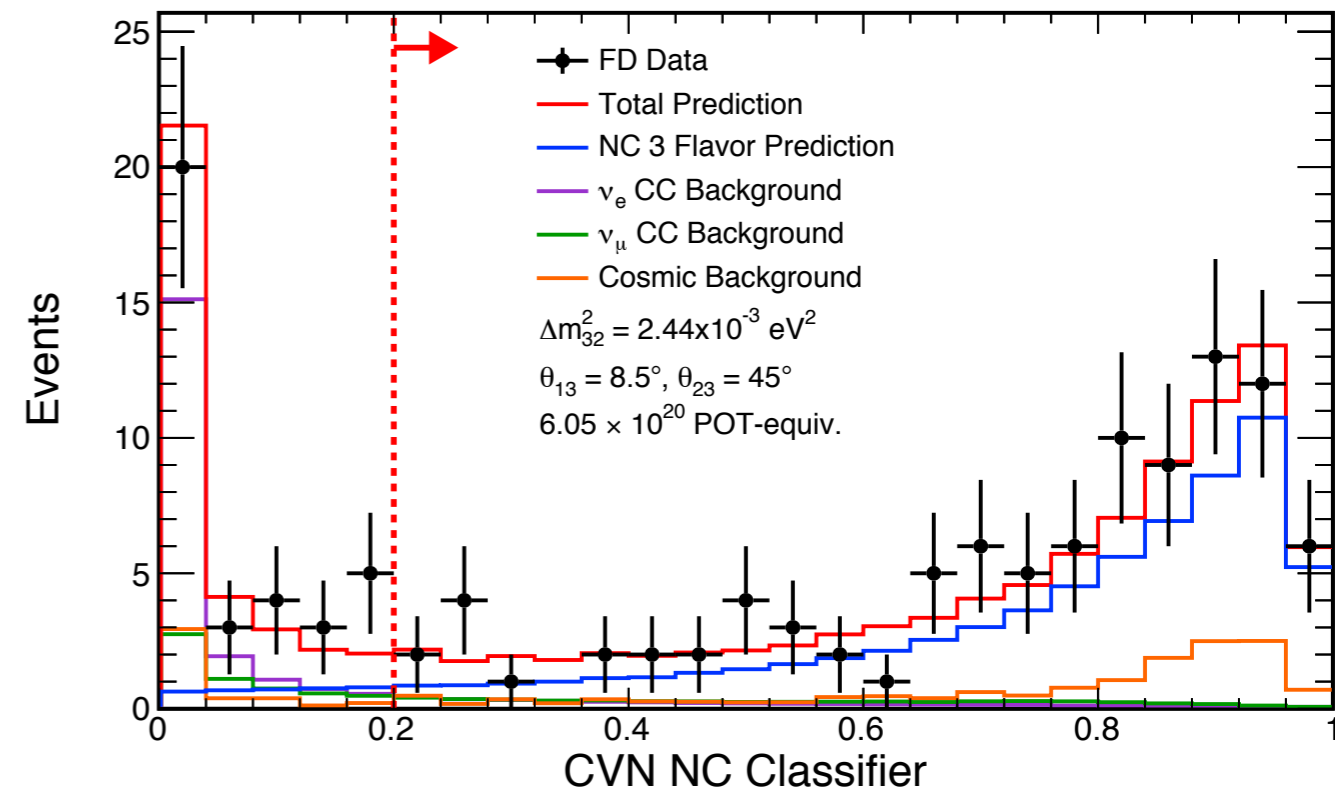


Neutral Current Neutrino Analysis

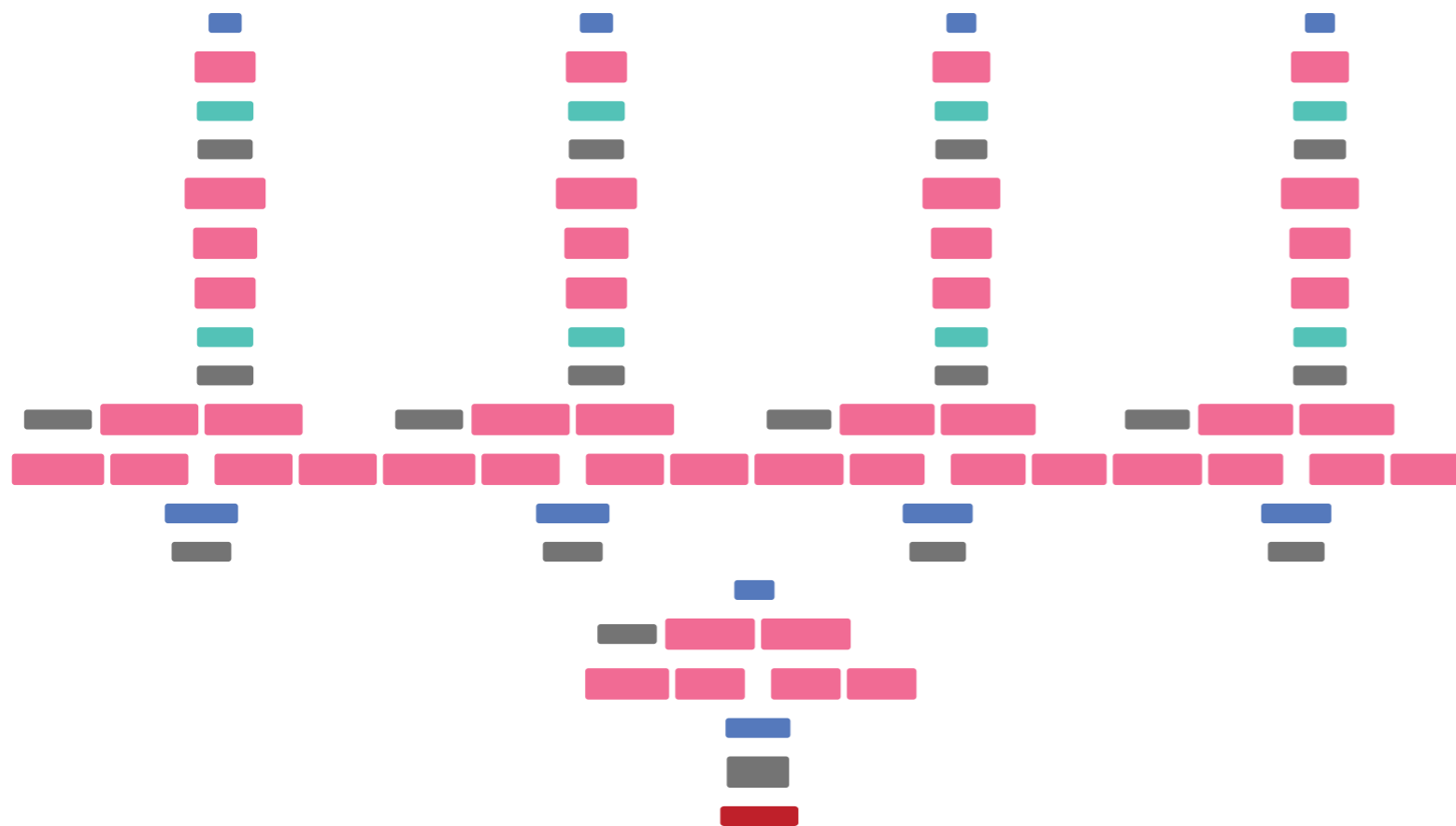
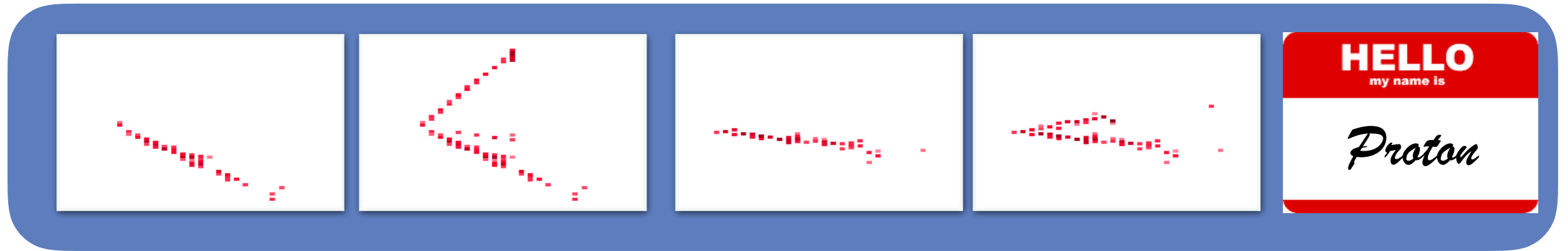
NOvA Preliminary



NOvA Preliminary



CVN Particle Tagging



Using the existing reconstruction.

Improved CVN network to optimize for running time

Modified to take 4 views (event + prong)

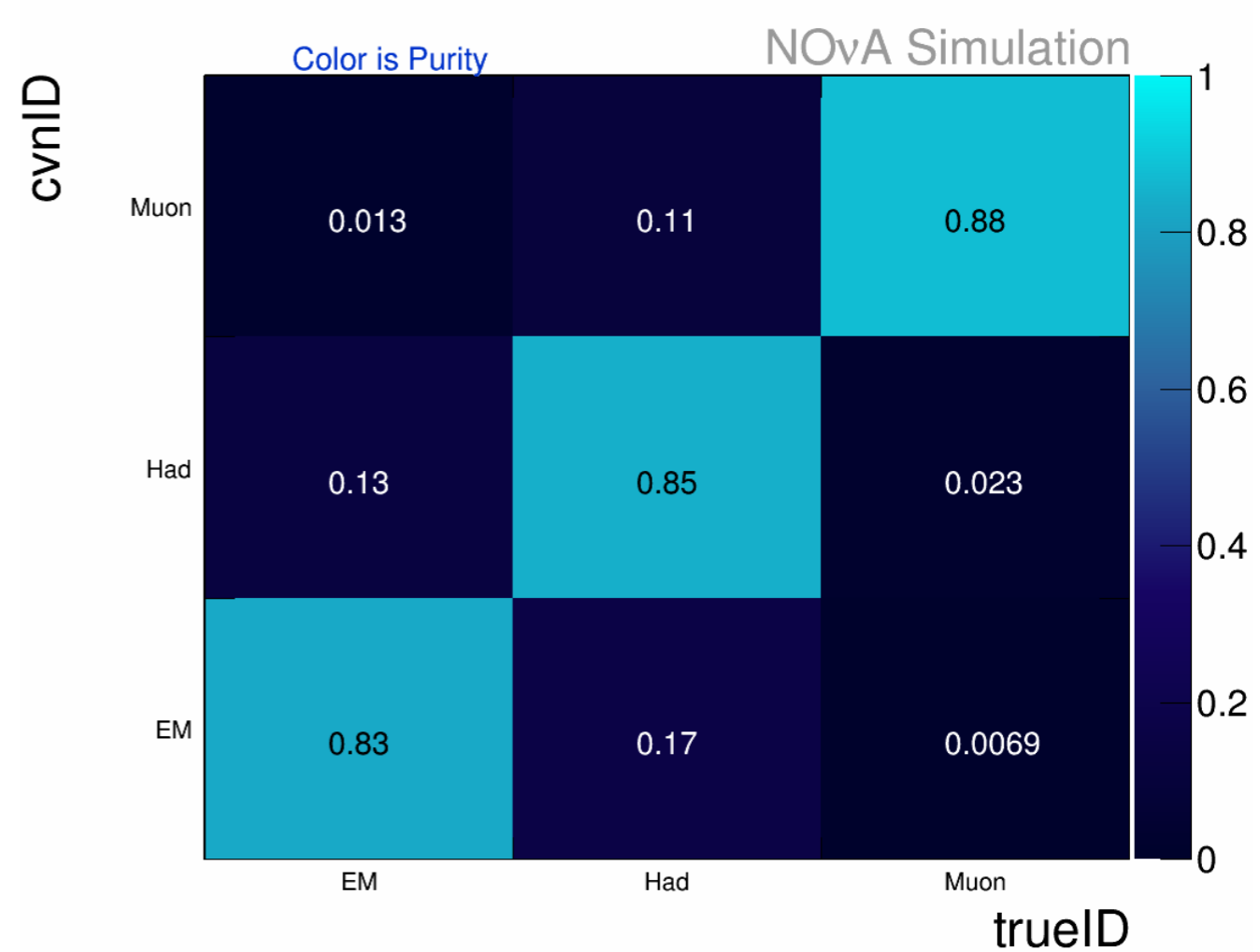
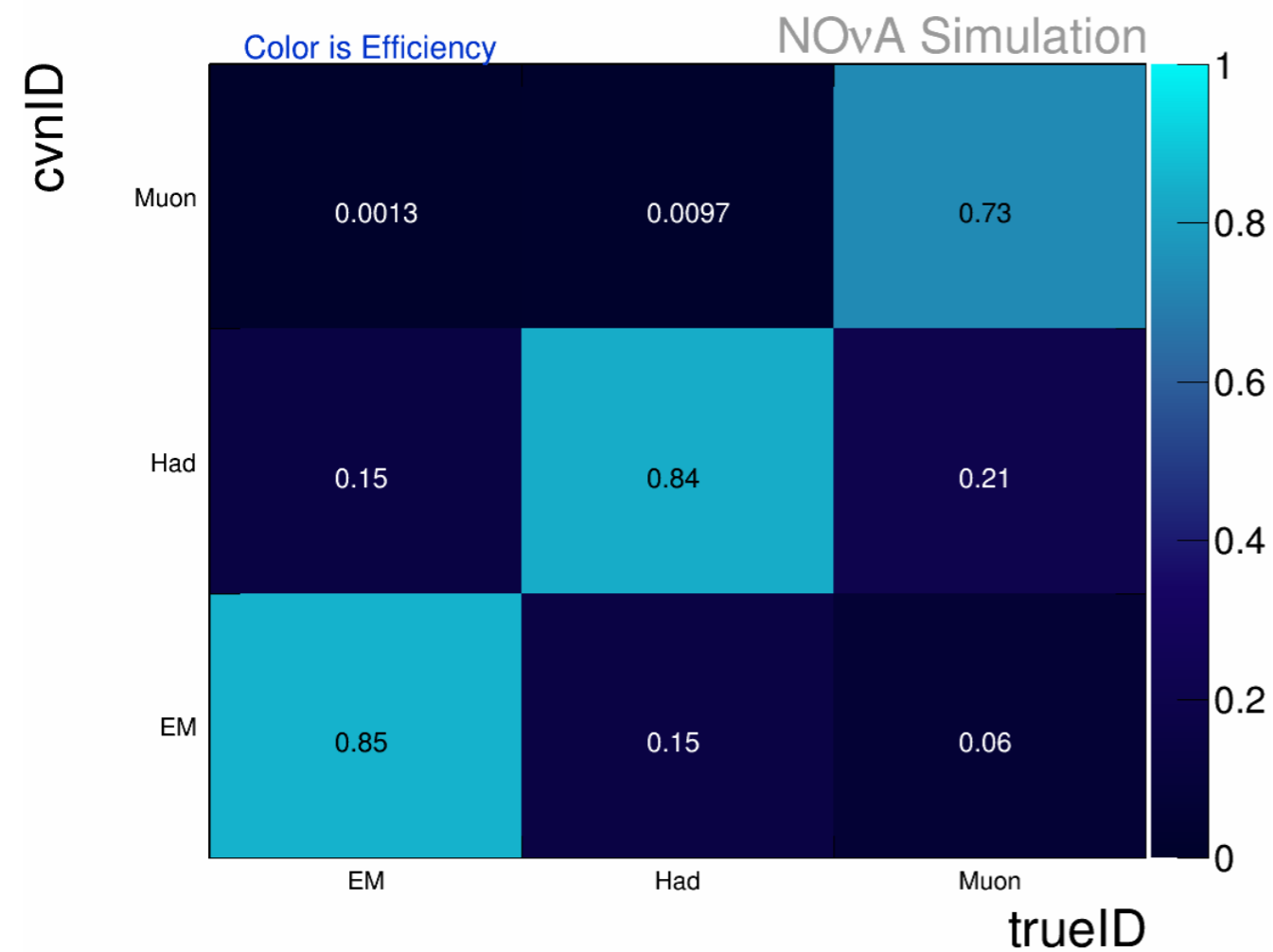
Trained on prongs from all events above some minimum purity

Caffe



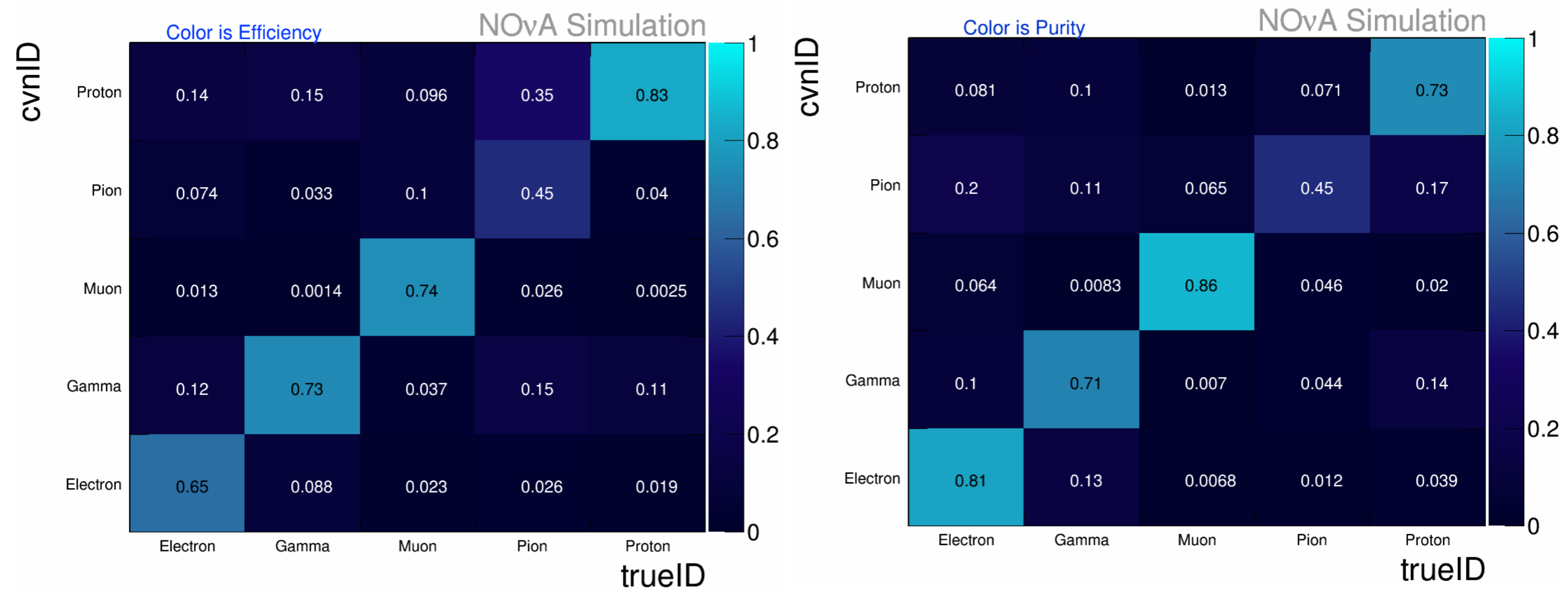
CVN prong results

Broad categories separate electromagnetic and hadronic contributions as well as muons.



CVN prong results

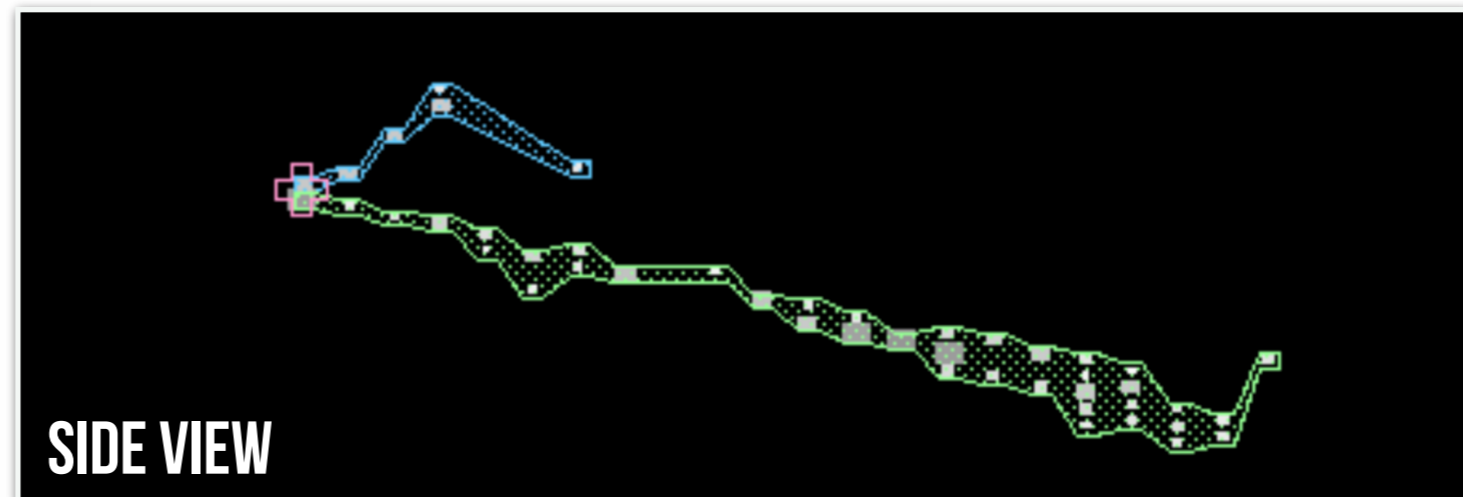
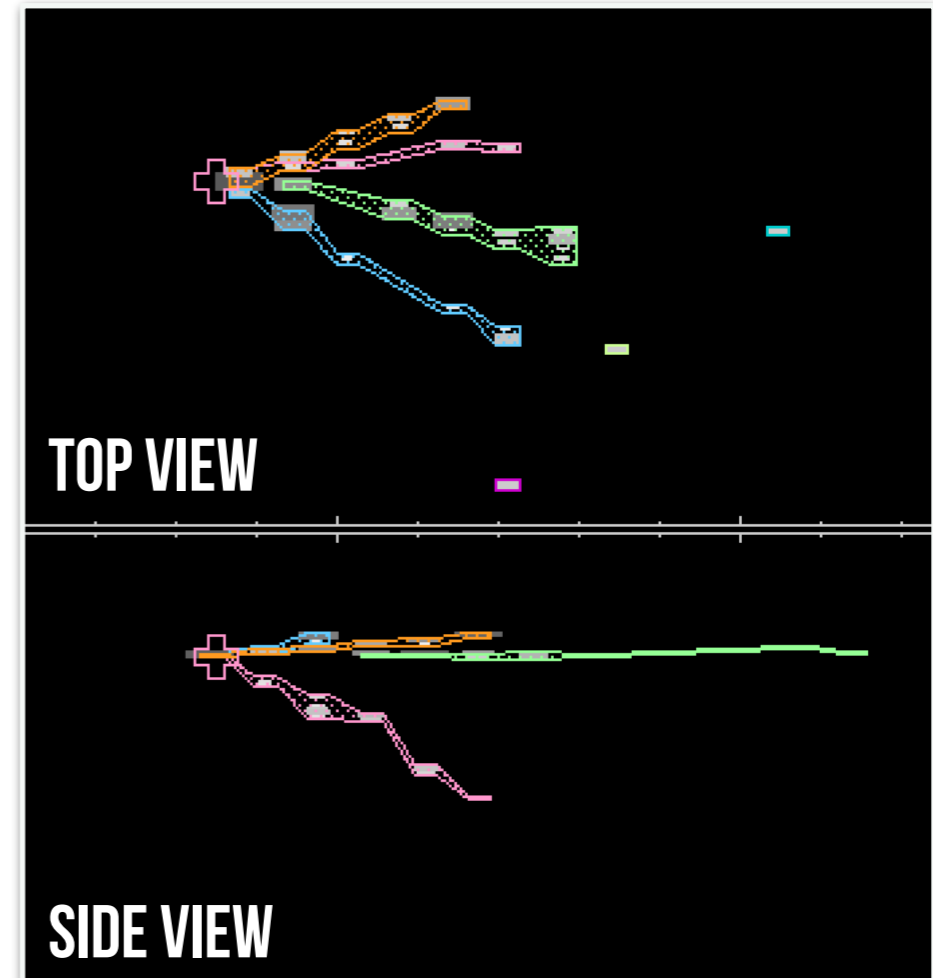
Full set of training categories for single particle tagging.



Caveats from the reconstruction

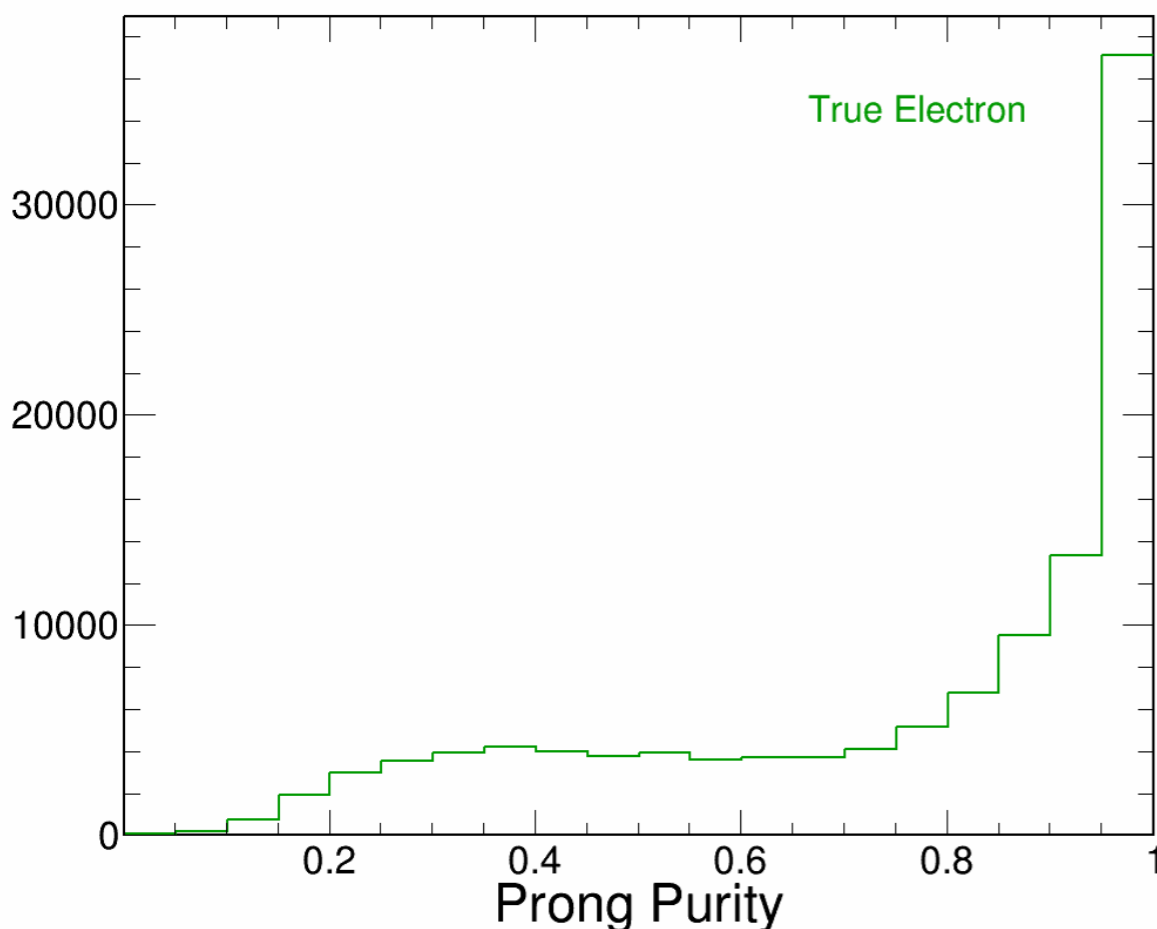
Prong quality depends on view matching and vertex reconstruction.

Purity impacts classifier performance.



NOvA Simulation

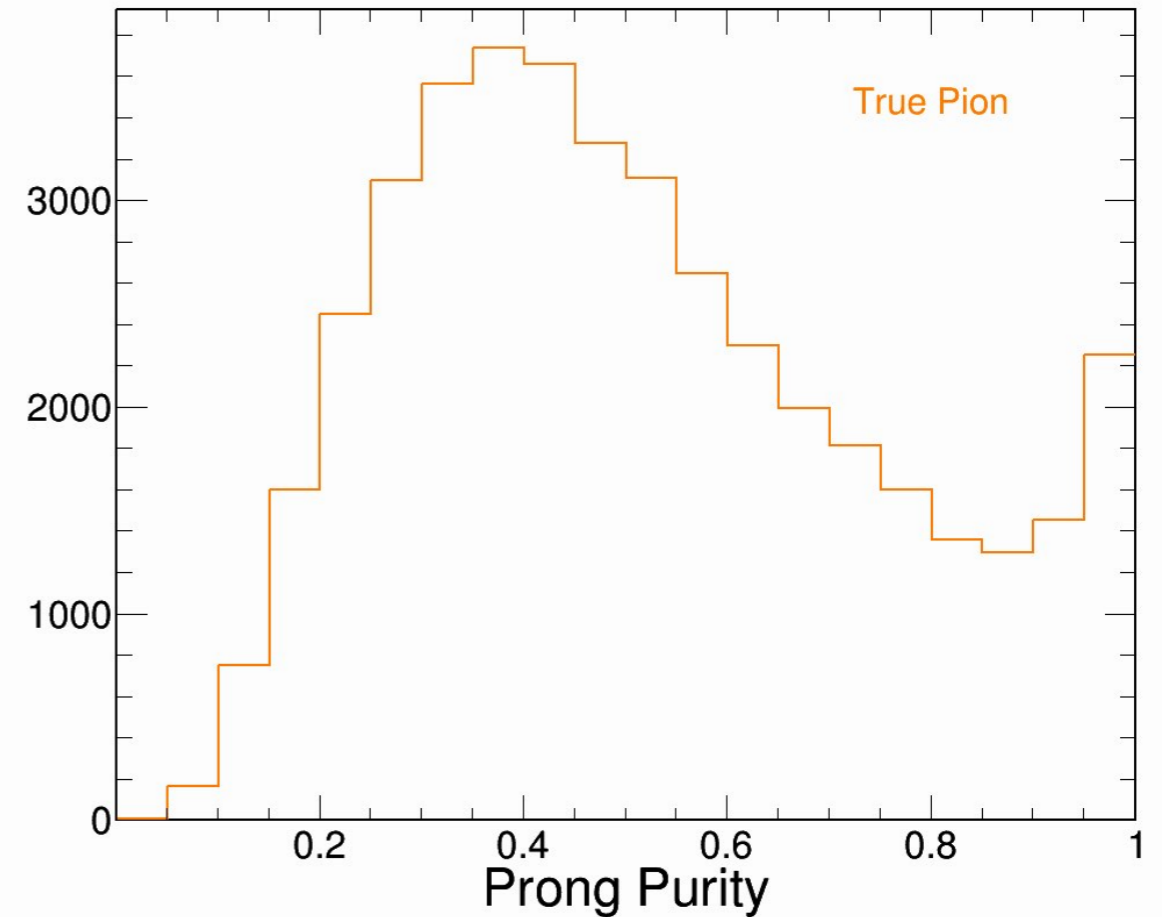
True Electron



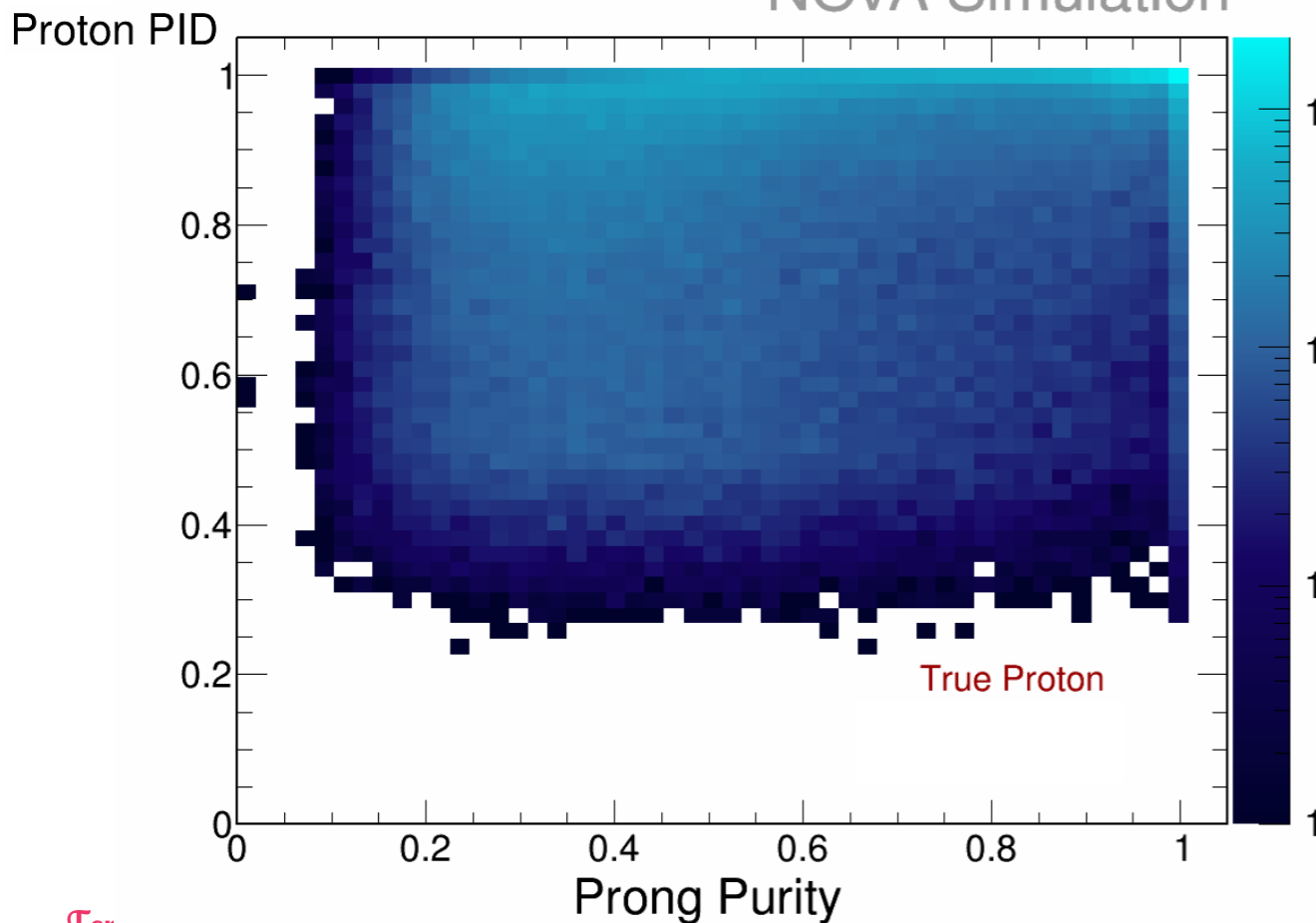
Caveats from the reconstruction

Prong quality impacts the performance of our classifier

NOvA Simulation



NOvA Simulation



NOvA Simulation

