

# Forward physics and the glue at small $x$

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**AMÉRICAS PUEBLA**

# Outline

A short introduction

High energy effective action (canceled)

BFKL & exclusive Vector Mesons

3 parton production in the presence of high gluon densities

Conclusion

# Low $x$ physics .... a small community

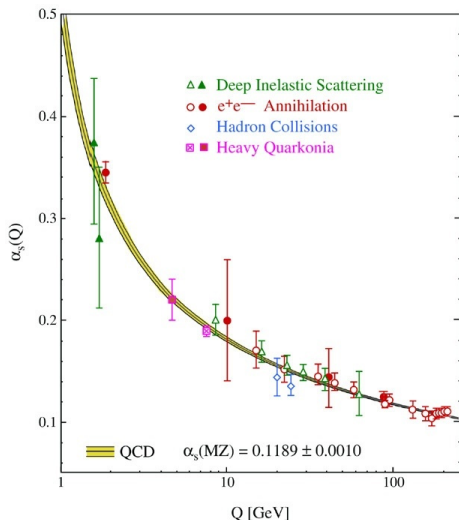
- ▶ yellow report on LHC forward physics (184 authors) [[arXiv:1611.05079](https://arxiv.org/abs/1611.05079)]
- ▶ typical workshop size: 89 participants (DIFFRACTION 2016, International Workshop on Diffraction in High-Energy Physics), 44 talks in Low  $x$  and Diffraction working group at DIS 2017
- ▶ overlap with various (QCD) communities
  - heavy ion/high multiplicity physics
  - transverse momentum dependence in parton distribution functions
  - physics of a future Electron Ion Collider
  - higher order corrections & precision physics (resummation!)
  - new physics searches in 'strange' hadronic processes
- ▶ forward physics in e.g.  $pp$ : rapidities close to the beam line probe 2nd proton at low  $x$  ...

# STANDARD MODEL OF ELEMENTARY PARTICLES

Q U A R K S	<b>UP</b> mass $2,3 \text{ MeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ 	<b>CHARM</b> mass $1,275 \text{ GeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ 	<b>TOP</b> mass $173,07 \text{ GeV}/c^2$ charge $\frac{2}{3}$ spin $\frac{1}{2}$ 	<b>GLUON</b> 0 0 1 	<b>HIGGS BOSON</b> mass $126 \text{ GeV}/c^2$ 0 0 
	<b>DOWN</b> mass $4,8 \text{ MeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ 	<b>STRANGE</b> mass $95 \text{ MeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ 	<b>BOTTOM</b> mass $4,18 \text{ GeV}/c^2$ charge $-\frac{1}{3}$ spin $\frac{1}{2}$ 	<b>PHOTON</b> 0 0 1 	G A U G E B O S O N S
	<b>ELECTRON</b> mass $0,511 \text{ MeV}/c^2$ -1 spin $\frac{1}{2}$ 	<b>MUON</b> mass $105,7 \text{ MeV}/c^2$ -1 spin $\frac{1}{2}$ 	<b>TAU</b> mass $1,777 \text{ GeV}/c^2$ -1 spin $\frac{1}{2}$ 	<b>Z BOSON</b> mass $91,2 \text{ GeV}/c^2$ 0 -1 1 	
	<b>ELECTRON NEUTRINO</b> mass $<2,2 \text{ eV}/c^2$ 0 spin $\frac{1}{2}$ 	<b>MUON NEUTRINO</b> mass $<0,17 \text{ MeV}/c^2$ 0 spin $\frac{1}{2}$ 	<b>TAU NEUTRINO</b> mass $<15,5 \text{ MeV}/c^2$ 0 spin $\frac{1}{2}$ 	<b>W BOSON</b> mass $80,4 \text{ GeV}/c^2$ ±1 1 	

interested in strong interactions, in particular the dynamics of the gluon in the high energy limit

# Important QCD property: asymptotic freedom



strong coupling strong  
at large distances  $\equiv$  low energy  
scales

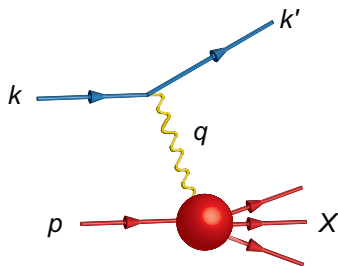
weak at small distances  $\equiv$  hard  
energy scales

$\alpha_s = \alpha_s(Q)$  running coupling

$\Rightarrow$  process with hard scale  
accessible to perturbative  
treatment

# Hadron structure from Deep Inelastic Scattering (DIS) of electrons on protons

- ▶ knowledge of scattering energy + nucleon mass  
+ measure scattered electron  $\rightarrow$  control kinematics



**Photon virtuality**

$$Q^2 = -q^2$$

**Resolution**

$$\lambda \sim \frac{1}{Q}$$

**Bjorken**  $x = \frac{Q^2}{2p \cdot q}$

# Interpretation of observation (MIT-SLAC '67)

Bjorken: parametrize cross-section in terms of

**proton structure functions  $F_1$  and  $F_2$**  ( $F_L = F_2 - 2xF_1$ )

$$\frac{d^2\sigma^{eh\rightarrow eX}}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} [(1 + (1 - y)^2)F_2(x, Q^2) - y^2 F_L(x, Q^2)]$$

Feynman [Feynman, August 1968]:

- ▶ proton composed of generic point-like free constituents, called “partons” – later identified as quarks & gluons

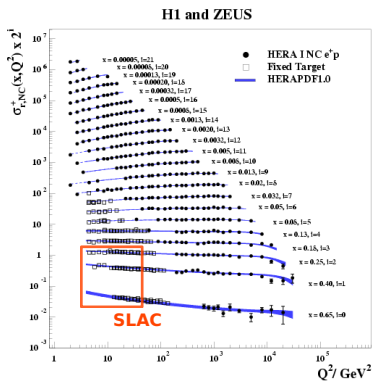
proton structure function

$$F_2(x, Q^2) = \sum_{\text{partons}} e_{\text{parton}}^2 \cdot f_{\text{parton}}(x)$$

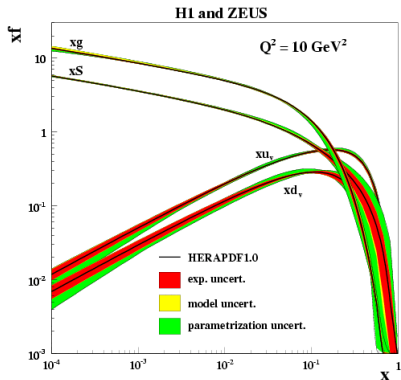
parton distribution function

$f_{\text{parton}}(x)$  probability to hit a parton with proton momentum fraction  $x$

## HERA collider at DESY (also hadron-hadron machines + fixed target)



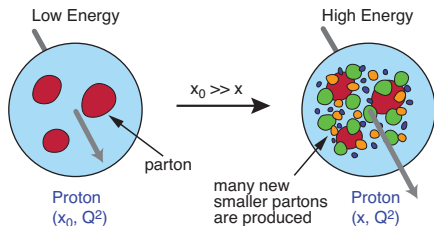
- fit  $x$ -dependence of pdf's at initial scale  $Q_0^2 \sim 2 \text{ GeV}^2$
- QCD (DGLAP equation): evolution from  $Q_0^2$  to  $Q^2$



$$\partial_{\ln \mu_f^2} f_k(x, \mu_f) = \sum_{l=q,g} P_{kl} \otimes f_l$$



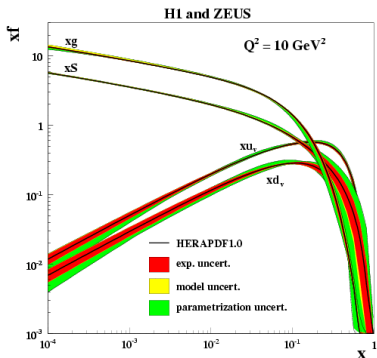
# The proton at high center of mass energies



- ▶ At small  $x$ : proton dominated by gluons (and sea-quarks)
- ▶ At small  $x$ : Parton fluctuations time dilated on strong interaction times scales

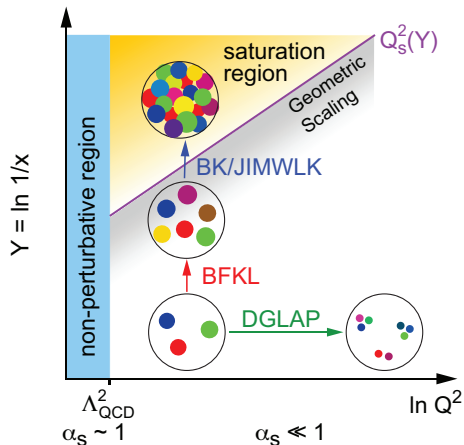
....

- ▶ .... long lived gluons radiate further small  $x$  gluons
- ▶ power-like rise of gluon and sea-quark distribution – probability distribution!



# The proton at high energies: saturation

theory considerations:



- ▶ effective finite size  $1/Q$  of partons at finite  $Q^2$
- ▶ at some  $x \ll 1$ , partons 'overlap' = recombination effects
- ▶ turning it around: system is characterized by *saturation scale*  $Q_s$
- ▶ grows with energy  $Q_s \sim x^{-\Delta}$ ,  $\Delta > 0$  & can reach in principle perturbative values  $Q_s > 1\text{GeV}$

# Can attempt description using models, ... ultimate understanding: QCD perturbation theory

requires:

expansion of perturbative  
amplitudes in  $x \ll 1$

naïve expansion breaks down due  
to large logs  $\alpha \ln \frac{1}{x} \sim 1$

+ resummation of enhanced terms  
 $(\alpha_s(Q^2) \ln \frac{1}{x})^n \sim 1$  to all orders in  
 $\alpha_s$

→ BFKL equation

LL: [Fadin, Kuraev, Lipatov, PLB 60 (1975) 50]  
[Balitsky, Lipatov, SJNP (1978 822)]

NLL: [Fadin, Lipatov; PLB 429 (1998) 127];  
[Ciafaloni, Camici; PLB 430 (1998) 349]

+RG: [Salam; hep-ph/9806482], [many others ...],  
[MH, Salas, Sabio Vera; 1209.1353]  
resummed/collinear improved  
NLO BFKL kernel

# linear vs. non-linear evolution

$$\partial_{\ln 1/x} G(x, \mathbf{k}) = K \otimes G \quad \text{BFKL} = \text{linear/low density evolution}$$

roughly speaking: powerlike rise  $G \sim x^{-\lambda}$  of gluon

assuming dense gluonic field  $A_\mu \sim \frac{1}{g}$ : non-linear extension of BFKL

$$\partial_{\ln 1/x} G(x, \mathbf{k}) = K \otimes G - G \otimes G \quad \text{BK-JIMWLK} = \text{non-linear evolution}$$

[Jalilian-Marian, Iancu, McLerran, Weigert, Leonidov, Kovern; 1996-2002], [Balitsky, 1996]; [Kovchegov, 1997]

fixed point at  $x = 0$ : gluon density constant for  $s \rightarrow \infty$

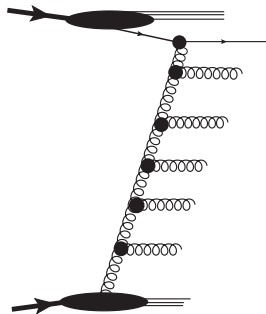
# Phenomenology at the LHC

- ▶ events close in rapidity to one of the rescattering protons  $\equiv$  forward events  $\Rightarrow$  probe second proton at low values of

$$x = \frac{p_t}{\sqrt{s}} e^{-\eta},$$

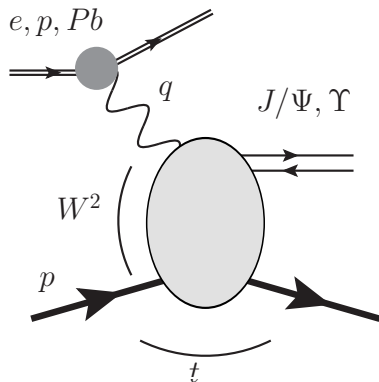
- ▶ want to find:

- evidence for BFKL evolution & fix the region of its applicability
- determine the region where saturation effects become relevant
- needed to arrive at a proper understanding of saturated matter  $\rightarrow$  determination of the initial state of heavy ion collisions
- want to understand which picture is correct (low density/DGLAP) or (low density/BFKL) or (high density/JIMWLK/BK)



# photo-production of $J/\Psi$ and $\Upsilon$ : explore proton at ultra-small $x$

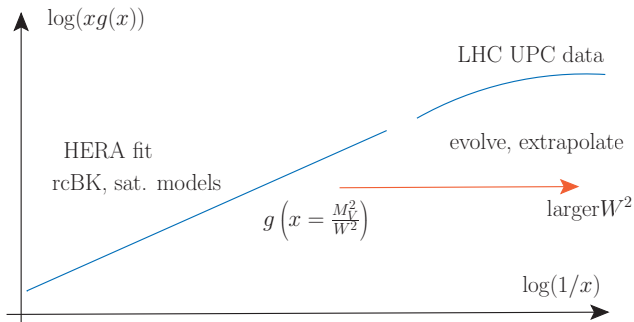
[Bautista, Fernandez-Tellez, MH; 1607.05203]



- ▶ measured at HERA ( $ep$ ) and LHC ( $pp$ , ultra-peripheral  $pPb$ )
- ▶ charm and bottom mass provide hard scale  $\rightarrow$  pQCD
- ▶ exclusive process, but allows to relate to inclusive gluon

reach values down to  $x = 4 \times 10^{-6} \rightarrow$  (unique ?) opportunity to explore the low  $x$  gluon

# DGLAP vs. saturation (I)

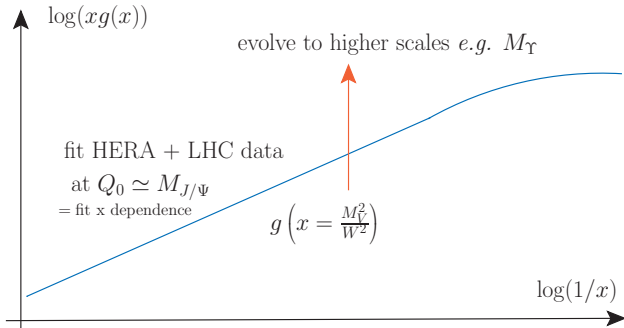


- ▶ describes data or not  $\rightarrow$  re-fit
- ▶ if yes: do we really see saturation effects?

*i.e.* BK type evolution

$$\frac{d}{d \ln 1/x} G(x) = K \otimes G(x) - \underbrace{G \otimes G}_{\text{present, relevant?}}$$

# DGLAP vs. saturation (II)



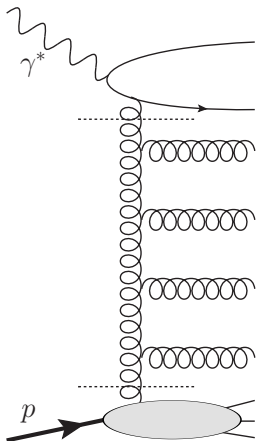
- ▶  $J/\Psi \rightarrow \Upsilon \simeq$  evolution  $2.4 \text{ GeV}^2 \rightarrow 22.4 \text{ GeV}^2$
- ▶ high density effects die away in collinear limit
- ▶ DGLAP unstable at ultra-small  $x$  and small scales ...
- ▶ convinced: pdf studies highly valuable  $\rightarrow$  constrain pdf's at ultra-small  $x$
- ▶ useful benchmark for saturation searches (?)



## Will argue in the following ...

- ▶ a far better dilute (!) benchmark might (?) be given by BFKL evolution (  $\rightarrow$  applies for UPCs@LHC, might be different for a future (US-)EIC ...  $\rightarrow$  phase space!)
- ▶ why? .... BFKL  $\equiv$  low  $x$  evolution *without* high density/saturation effects
- ▶ available up to NLO [Fadin, Lipatov; PLB 429 (1998) 127]; [Ciafaloni, Camici; PLB 430 (1998) 349], resummation schemes for coll. logs exist & to some degree well explored [Salam; hep-ph/9806482], ...
- ▶ not only explored in  $n = 0$  sector  $\rightarrow$  additional constrains from e.g. angular decorrelation studies of jets  $\rightarrow$  see talks on Wednesday

# The underlying NLO BFKL fit to DIS data



$$F_2(x, Q^2) = \int_0^\infty dk^2 \int_0^\infty \frac{dq^2}{q^2} \Phi_2\left(\frac{k^2}{Q^2}\right) \mathcal{F}_{\text{BFKL}}^{\text{DIS}}(x, k^2, q^2) \Phi_p\left(\frac{q^2}{Q_0^2}\right)$$

virtual photon: quarks mass-less,  $n_f = 4$  fixed

$$\text{proton impact factor: } \Phi_p\left(\frac{q^2}{Q_0^2}, \delta\right) = \frac{\mathcal{C}}{\pi\Gamma(\delta)} \left(\frac{q^2}{Q_0^2}\right)^\delta e^{-\frac{q^2}{Q_0^2}}$$

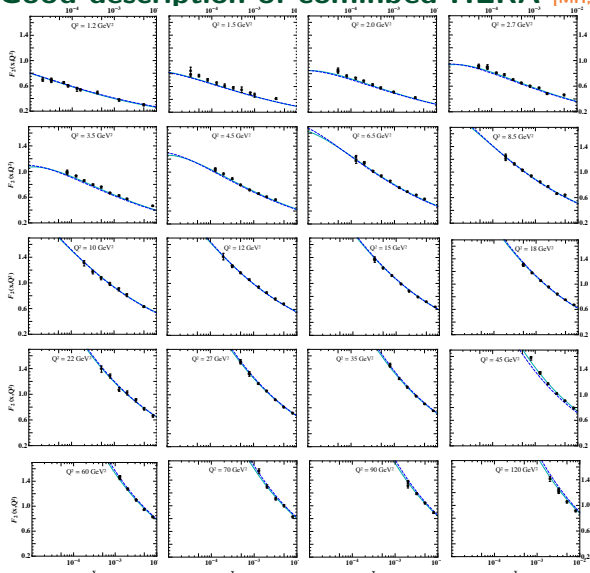
free parameters of proton impact factor from fit to combined HERA data [MH, Salas, Sabio Vera; 1209.1353; 1301.5283]

→ allows for definition of unintegrated gluon density [Chachamis, Deak, MH, Rodrigo, Sabio Vera; 1507.05778]

$$G(x, k^2, Q_0^2) = \int \frac{dq^2}{q^2} \mathcal{F}_{\text{BFKL}}^{\text{DIS}}(x, k^2, q^2) \Phi_p\left(\frac{q^2}{Q_0^2}\right)$$

	virt. photon impact factor	$Q_0/\text{GeV}$	$\delta$	$\mathcal{C}$	$\Lambda_{\text{QCD}}/\text{GeV}$
fit 1	leading order (LO)	0.28	8.4	1.50	0.21
fit 2	LO with kinematic improvements	0.28	6.5	2.35	0.21

# Good description of comined HERA [MH, Salas, Sabio Vera; 1209.1353; 1301.5283]



data: [H1 & ZEUS collab. 0911.0884]

Solve BFKL equation in conjugate ( $\gamma$ ) Mellin space

$$G(x, \mathbf{k}^2, M) = \frac{1}{\mathbf{k}^2} \int_{\frac{1}{2}-i\infty}^{\frac{1}{2}+i\infty} \frac{d\gamma}{2\pi i} \hat{g}\left(x, \frac{M^2}{Q_0^2}, \frac{\overline{M}^2}{M^2}, \gamma\right) \left(\frac{\mathbf{k}^2}{Q_0^2}\right)^\gamma$$

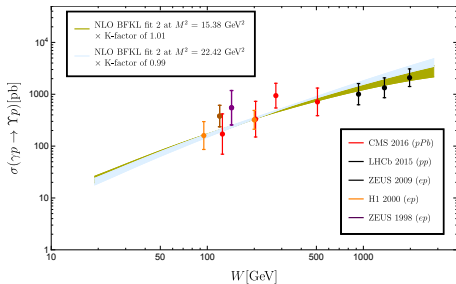
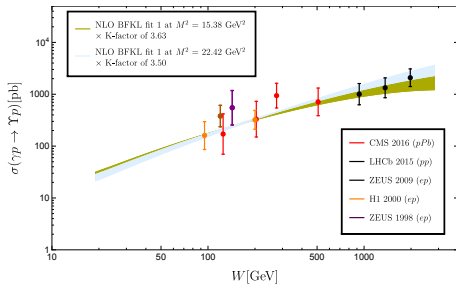
re-introduce two scales: hard scale of process ( $M$ ) and scale of running coupling ( $\overline{M}$ )

$\hat{g}$ : operator in  $\gamma$  space!

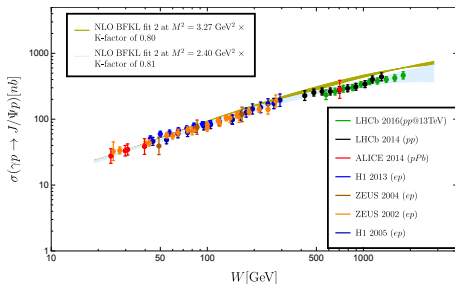
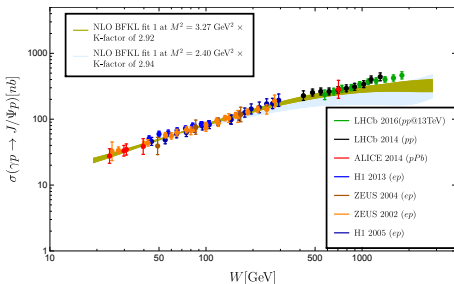
$$\hat{g}\left(x, \frac{M^2}{Q_0^2}, \frac{\overline{M}^2}{M^2}, \gamma\right) = \frac{\mathcal{C} \cdot \Gamma(\delta - \gamma)}{\pi \Gamma(\delta)} \cdot \left(\frac{1}{x}\right)^{\chi\left(\gamma, \frac{\overline{M}^2}{M^2}\right)} \cdot \left\{ 1 + \frac{\bar{\alpha}_s^2 \beta_0 \chi_0(\gamma)}{8N_c} \log\left(\frac{1}{x}\right) \left[ -\psi(\delta - \gamma) + \log\frac{M^2}{Q_0^2} - \partial_\gamma \right] \right\},$$

resummed NLO BFKL eigenvalue with optimal scale setting ( $\rightarrow$  modifies  $\chi_1(\gamma)$ ):

$$\chi\left(\gamma, \frac{\overline{M}^2}{M^2}\right) = \bar{\alpha}_s \chi_0(\gamma) + \bar{\alpha}_s^2 \tilde{\chi}_1(\gamma) - \frac{1}{2} \bar{\alpha}_s^2 \chi_0'(\gamma) \chi_0(\gamma) + \chi_{RG}(\bar{\alpha}_s, \gamma, \tilde{a}, \tilde{b}) - \frac{\bar{\alpha}_s^2 \beta_0}{8N_c} \chi_0(\gamma) \log \frac{\overline{M}^2}{M^2}.$$

comparison to data:  $\Upsilon$  production

- ▶ provide study for two hard scales:  
 photoproduction scale:  $M_{pp} = M_V/2$   
 impact factor motivated:  $M_{if}^2 = 8\mathcal{R}_V^{-2}$
- ▶ fix normalization by low energy H1 data point  $\rightarrow$  K-factor; no further adjustments

comparison to data:  $J/\Psi$  production

- ▶ NEW (wrt. [Bautista, Fernando Tellez, MH; 1607.05203]): 13 TeV LHCb data
- ▶ fix normalization by low energy ALICE data point  $\rightarrow$  K-factor believe: related to HERA fit (massless,  $n_f = 4$ ,  $(\mathcal{C}_1/\mathcal{C}_2)^2 = 2.45$ )
- ▶ often included (not here): GPD motivated factor (" $x' \neq x$ "); known for collinear [Shuvaev, Golec-Biernat, Martin, Ryskin, hep-ph/9902410]
  - $\rightarrow$  to be calculated for  $k_T$  factorized BFKL impact factor
  - $\sim$  kinematic improvements for  $\gamma \rightarrow V$

## Concluding remarks for Vector mesons

BFKL fits [MH, Salas, Sabio Vera; 1301.5283] somehow approach its limits, but so far works very well  $\rightarrow$  keep in mind: *most simple combination of existing NLO BFKL fit & existing VM impact factor*

first suggestion: no need for saturation effects, linear NLO evolution sufficient

why so hard to manifest saturation? .... two possible reasons:

a) BFKL simply appropriate framework, ... saturation effects not (yet) present

b) observable  $\sim \mathcal{N}^{\text{dipole}} \Leftrightarrow G_{\text{ugd}}^{\text{BFKL}} \Rightarrow$  high density effects (if at all present) only through evolution

$\rightarrow$  but evolve not even an order of magnitude w.r.t. HERA data;

## A possible way out ...

observables with higher order correlators of Wilson lines

→ inclusive observables (no gap) + resolved final states

(e.g. inclusive di- & tri-hadrons/jets)

$$\mathcal{N} \sim 1 - \frac{1}{N_c} \text{tr} [V(\mathbf{x})V^\dagger(\mathbf{y})] \quad \leftrightarrow \quad G^{\text{BFKL}}(x, \mathbf{k})$$

$$Q^{(4)} \sim 1 - \frac{1}{N_c} \text{tr} [V(\mathbf{x})V^\dagger(\mathbf{y})V(\mathbf{y}')V^\dagger(\mathbf{x}')] \quad \leftrightarrow \quad G + \#G^2 + \#G^4 + \dots$$



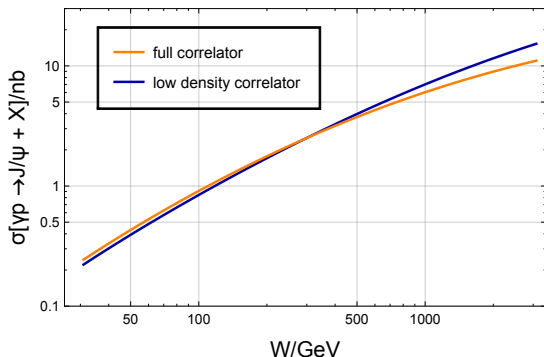
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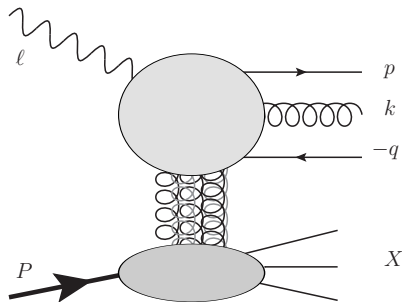


prelim. study using  
bCGC model

... work in progress,  
no conclusion to be  
drawn yet

# A new process: 3 particle production at high densities

[Ayala, MH, Jalilian-Marian, Tejada-Yeomans; 1604.08526, 1701.07143]



- ▶ assumption: high gluon densities exists  $\Rightarrow$  higher order correlators  $\equiv$  new dynamics w.r.t. BFKL
- ▶ access to  $Q^{(4)} \sim 1 - \frac{1}{N_c} \text{tr} [V(\mathbf{x})V^\dagger(\mathbf{y})V(\mathbf{y}')V^\dagger(\mathbf{x}')] \leftrightarrow G + \#G^2 + \#G^4 + \dots$  and more complicated stuff
- ▶ experimental difficult observable: relate to more inclusive quantity (energy loss etc.)

## Theory: Propagators in background field

use light-cone gauge, with  $k = n \cdot k$ ,  $(n^-)^2 = 0$ ,  $n^- \sim$  target momentum

$$\begin{aligned}
 & \text{Feynman diagram: fermion line with background field} \quad = (2\pi)^d \delta^{(d)}(p-q) \tilde{S}_F^{(0)}(p) + \tilde{S}_F^{(0)}(p) \text{Feynman diagram: fermion line with background field} \quad \tilde{S}_F^{(0)}(q) \\
 & \text{Feynman diagram: gluon line with background field} \quad = (2\pi)^d \delta^{(d)}(p-q) \tilde{G}_{\mu\nu}^{(0)}(p) + \tilde{G}_{\mu\nu}^{(0)}(p) \text{Feynman diagram: gluon line with background field} \quad \tilde{G}_{\mu\nu}^{(0)}(q)
 \end{aligned}$$

$$\tilde{S}_F^{(0)}(p) = \frac{i\not{p} + m}{p^2 - m^2 + i0} \quad \tilde{G}_{\mu\nu}^{(0)}(p) = \frac{id_{\mu\nu}(p)}{p^2 + i0}$$

$$d_{\mu\nu}(p) = -g_{\mu\nu} + \frac{n_\mu^- p_\nu + p_\mu n_\nu^-}{n^- \cdot p}$$

[Balitsky, Belitsky; NPB 629 (2002) 290], [Ayala, Jalilian-Marian, McLerran, Venugopalan, PRD 52 (1995) 2935-2943], ...

interaction with the background field:

$$\begin{aligned}
 & \text{Feynman diagram: fermion line with background field} \quad = 2\pi\delta(p^- - q^-) \not{n}^- \int d^{d-2} \mathbf{z} e^{-iz \cdot (p-q)} \\
 & \quad \cdot \left\{ \theta(p^-) [V(\mathbf{z}) - 1] - \theta(-p^-) [V^\dagger(\mathbf{z}) - 1] \right\} \\
 & \text{Feynman diagram: gluon line with background field} \quad = -2\pi\delta(p^- - q^-) 2p^- \int d^{d-2} \mathbf{z} e^{-iz \cdot (p-q)} \\
 & \quad \cdot \left\{ \theta(p^-) [U(\mathbf{z}) - 1] - \theta(-p^-) [U^\dagger(\mathbf{z}) - 1] \right\}
 \end{aligned}$$

$$\begin{aligned}
 V(\mathbf{z}) &\equiv V_{ij}(\mathbf{z}) \equiv \text{P exp } ig \int_{-\infty}^{\infty} dx^- A^{+,c}(x^-, \mathbf{z}) t^c \\
 U(\mathbf{z}) &\equiv U^{ab}(\mathbf{z}) \equiv \text{P exp } ig \int_{-\infty}^{\infty} dx^- A^{+,c}(x^-, \mathbf{z}) T^c
 \end{aligned}$$

strong background field resummed into path ordered exponentials (Wilson lines)

## Two-fold interest: phenomenology & further development of theory

- ▶ spinor helicity formalism  $\equiv$  expand elements of amplitudes (propagators, spinors, polarization vectors, ...) in terms of mass-less spinors with helicity  $\lambda = \pm$

$$|k_i^\pm\rangle \equiv \frac{1 \pm \gamma_5}{2} u(k_i), \quad \epsilon_\mu^\pm(k, n) = \pm \frac{\langle n^\mp | \gamma^\mu | k^\mp \rangle}{\sqrt{2} \langle n^\mp | k^\pm \rangle}$$

- ▶ important relations  $\langle i^\pm | j^\pm \rangle = 0$  and  $\langle i^\pm | i^\mp \rangle = 0$
- ▶ successfully employed in multi-loop & leg calculations

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- ▶ important relations  $\langle i^\pm | j^\pm \rangle = 0$  and  $\langle i^\pm | i^\mp \rangle = 0$
- ▶ successfully employed in multi-loop & leg calculations
- ▶ QCD in the high-energy limit: helicity conserved during interaction with high-energy gluon + use of axial gauge  $A \cdot n = 0$
- ▶ expansion in spinors reflects these symmetries in a (perfect?) way  $\rightarrow$  very compact expressions at amplitude level [Ayala, MH, Jalilian-Marian,

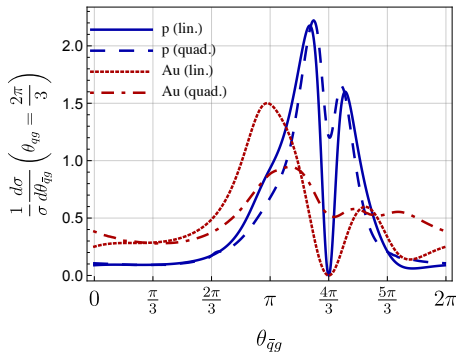
Tejeda-Yeomans; arXiv:1701.07143]

# First phenomenology on partonic level: angular decorrelation

- ▶ here: density effects through large nucleus (Au)
- ▶ fix one (transverse) angle at  $\theta_{qg} = \frac{2\pi}{3}$ , vary  $\theta_{\bar{q}g}$



- ▶ no  $p_T$  from the nucleus/proton  $Q_S \rightarrow 0$   
 $\Rightarrow$  Mercedes-Benz-star configuration dominant



- ▶ higher correlators:  
 Gaussian-approximation  
 expanded to quadratic order in  
 2-point correlator (model)

# Conclusions

- ▶ low  $x$ /forward physics: QFT under extreme conditions
- ▶ need to resum high energy logarithms and possibly high density effects
- ▶ leading order description often insufficient for precise quantitative understanding  $\Rightarrow$  higher order corrections
- ▶ require the development of new calculational techniques
- ▶ at the same time need to identify discriminative observables
- ▶ lots to be done, but exciting times!