

# Invariancia local de Lorentz y teoría de campos efectiva

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# Theoretical physics status

- Fundamental physics = GR + QM.
- Accurate empirical description.
- Theoretically inconsistent.
- Need a new theory (QG).



# Top down vs. bottom up

- Towards QG: top down vs. bottom up.
- This talk: phenomenological approach.
- Goal: find empirical evidence of new physics.
- We focus on testing Lorentz invariance.
- Typical expectation: need to reach the Planck scale.
- Effects could appear in low-energy sensitive experiments.

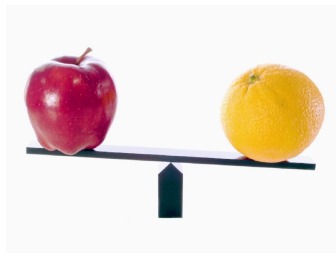


# Lorentz invariance

- Lorentz invariance states that *all* inertial frames are equivalent.
- Inertial frames = free-falling and nonrotating (linked by Lorentz transformations).
- Equivalent = same experiments will give same results.
- Test: perform the same experiment in different frames.
- No preferred (nondynamical) spacetime directions.



## Motivation for LV

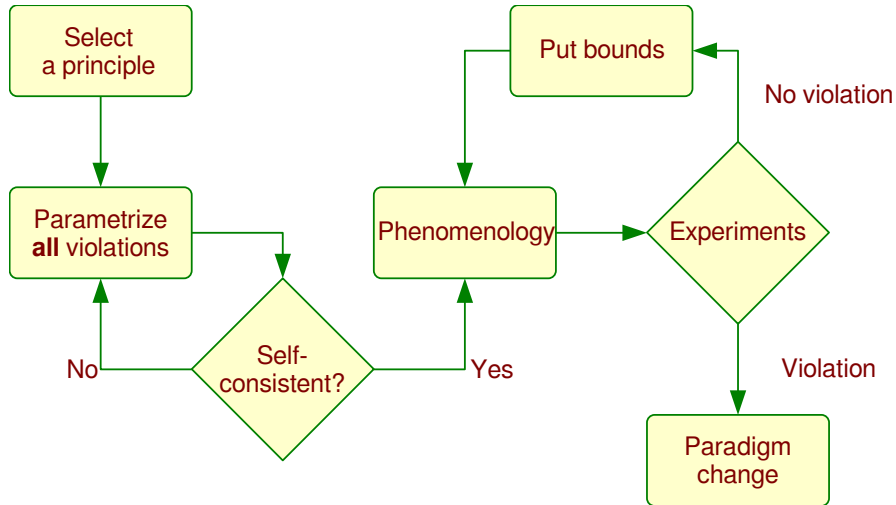


- LI is fundamental for both GR and QFT.
- LV includes CPT violation<sup>1</sup>.
- Accommodated by most QG candidates (e.g., ST, LQG).
- Possible discovery of new interactions.
- Clear phenomenology.

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<sup>1</sup>Greenberg PRL 2002

## (Idealized) phenomenologists' workflow



- Often, steps 2 and 3 not considered.
- Parametrization serves as a guide for theory and experiment.

# Effective field theory

- EFT is useful when the fundamental d.o.f. are unknown.
- Requires knowing the field content and symmetries.
- Field content = standard physics;  
symmetries = standard physics without LI.
- Result: Lagrange density<sup>1</sup>

$$\mathcal{L} = \mathcal{L}_{\text{GR}} + \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{LV}}.$$

where  $\mathcal{L}_{\text{LV}}$  contains *all* possible LV additions to SM + GR.

- Naive expectation:  $\mathcal{L}_{\text{LV}}$  is suppressed by  $E_{\text{EW}}/E_{\text{P}} \sim 10^{-17}$ .
- Terms of every dimensionality (higher dimensions are more suppressed).

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<sup>1</sup>“Standard Model Extension”: Colladay+Kostelecký PRD 1997; PRD 1998; Kostelecký PRD 2004;...

## Example: Free Dirac spinor minimal sector in flat spacetime

- Minimal = operators of renormalizable dimension:

$$\begin{aligned}\mathcal{L} &= \frac{i}{2}\bar{\psi}\Gamma^\mu\partial_\mu\psi - \frac{i}{2}(\partial_\mu\bar{\psi})\Gamma^\mu\psi - \bar{\psi}M\psi, \\ \Gamma^\mu &= \gamma^\mu - \eta^{\mu\nu}c_{\rho\nu}\gamma^\rho - \eta^{\mu\nu}d_{\rho\nu}\gamma_5\gamma^\rho - \eta^{\mu\nu}e_\nu \\ &\quad - i\eta^{\mu\nu}f_\nu\gamma_5 - \frac{1}{2}\eta^{\mu\nu}g_{\rho\sigma\nu}\sigma^{\rho\sigma}, \\ M &= m + im_5\gamma_5 + a_\mu\gamma^\mu + b_\mu\gamma_5\gamma^\mu + \frac{1}{2}H_{\mu\nu}\sigma^{\mu\nu}.\end{aligned}$$

- $\Gamma^\mu$  and  $M$  are the most general matrices (e.g.,  $m_5$ ).
- SME coefficients:  $a_\mu, b_\mu, c_{\mu\nu}, d_{\mu\nu}, e_\mu, f_\mu, g_{\mu\nu\rho}, H_{\mu\nu}$ .



# Experiments and bounds

## Experiments (partial list)

- Accelerator/collider.
- Astrophysical observations.
- Birefringence/dispersion.
- Clock-comparison.
- CMB polarization.
- Laboratory gravity tests.
- Matter interferometry.
- Neutrino oscillations.
- Particle vs. antiparticle.
- Resonant cavities and lasers.
- Sidereal/annual variations.
- Spin-polarized matter.

No evidence of LV  $\Rightarrow$  bounds:

“Data Tables for Lorentz and  
CPT Violation”

Kostelecký+Russell RMP (2011),  
(’16 version: arXiv:0801.0287v9)

- $> 150$  experimental results.
- Best bounds:  
matter  $\sim 10^{-34}$  GeV,  
photons  $\sim 10^{-43}$  GeV

# Selfconsistency

- Are there theoretical restrictions to rule out LV terms?
- In flat spacetime, few interesting tests.
  - Field redefinitions: Only some linear combinations of the coefficient's components are observable.
- Strong evidence that spacetime is not flat.
- Curved spacetime tests:
  - Field redefinitions.
  - Gravitational d.o.f.
  - Spacetime boundaries.
  - Diffeomorphism invariance.
  - Dirac algorithm and Cauchy problem.



# Field redefinitions in curved spacetime

- $\psi \rightarrow e^{i a_\mu x^\mu} \psi$  shows that  $a_\mu \bar{\psi} \gamma^\mu \psi$  is unphysical.
- In flat spacetime it is possible to map the (minkowskian) coordinates of any point to a vector  $x^\mu$ .
- This cannot be done covariantly in curved spacetime (no global minkowskian coordinates).
- Less field redefinitions  $\Rightarrow$  access more coefficients<sup>1</sup>.
- No need for curvature, only nonminkowskian coordinates<sup>2</sup>.
- The metric can be redefined  $\Rightarrow$  alternative constraints<sup>3</sup>.

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<sup>1</sup>Kostelecký+Tasson PRD 2011

<sup>2</sup>Bonder PRD 2013

<sup>3</sup>Bonder PRD 2015

# Gravitational degrees of freedom

- In GR, possible to take the metric and the connection as *a priori* dynamically independent variables.
- The action variation w.r.t. the connection yields  $\nabla_{\mu}g_{\nu\rho} = 0$ .
- This is known as the Palatini formulation of GR.
- Minimal gravitational LV:  $\mathcal{L}_{LV} = \sqrt{-g}k^{\mu\nu\rho\sigma}R_{\mu\nu\rho\sigma}$ .
- For the minimal gravitational LV, the standard and Palatini approaches are equivalent<sup>1</sup>.
- More general field redefinitions, no practical applications!
- For nonminimal LV, these approaches are inequivalent.
- Lesson: decide the gravitational d.o.f. beforehand.

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<sup>1</sup>Bonder PRD 2015

## Spacetime boundaries

- In GR, the metric variation (fixed at the boundaries) modifies Einstein equations.
- This action can be 'corrected' with a Gibbons-Hawking term:

$$\Delta S = 2 \int_{\text{boundary}} d^3x \sqrt{|h|} K_{\mu\nu} h^{\mu\nu},$$

where  $h_{\mu\nu} = g_{\mu\nu} \pm n_\mu n_\nu$  and  $K_{\mu\nu}$  are the induced metric and extrinsic curvature of the boundary, respectively.

- In the phenomenological applications of LV, spacetime is conformally flat, which has boundaries.
- For the minimal gravitational action, add<sup>1</sup>

$$\Delta S_{\text{LV}} = \pm 2 \int_{\text{boundary}} d^3x \sqrt{|h|} n_\mu n_\sigma k^{\mu\nu\rho\sigma} K_{\nu\rho}.$$

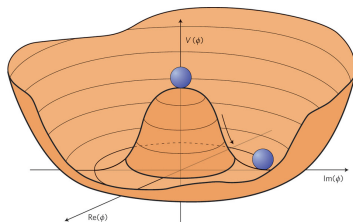
- There is no  $\Delta S_{\text{LV}}$  for the nonminimal part!

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<sup>1</sup>Bonder PRD 2015

# Diffeomorphism invariance

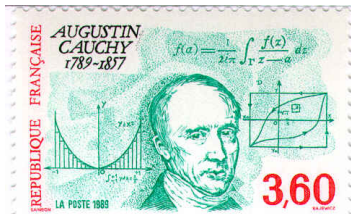
- Nondynamical fields break (active) diffeomorphism invariance.
- Here, need to assume the standard gravitational sector.
- Well-known: diffeomorphism invariance  $\Leftrightarrow \nabla_\mu T^{\mu\nu} = 0$ .
- Thus,  $\nabla_\mu T^{\mu\nu} \neq 0$ , which implies  $\nabla_\mu G^{\mu\nu} \neq 0$ .
- But that goes against the Bianchi identities!
- Conclusion: LV can only be broken spontaneously<sup>1</sup>.



<sup>1</sup>Kostelecký PRD 2004

# Dirac algorithm and Cauchy problem

- Dirac algorithm: Is there a Hamilton density for which the evolution respects the constraints?
- Cauchy problem:
  - Is the evolution uniquely determined by proper initial data?
  - Is the evolution continuous under changes of initial data.
  - Are the effects of modifying the initial data in agreement with spacetime causal structure?



- These conditions are difficult to verify without specifying the coefficients dynamics.

# Cauchy problem: concrete model

- Focus on a concrete model<sup>1</sup>:

$$\mathcal{L} = \frac{1}{2} D_\mu \phi D^\mu \phi^* - \frac{m^2}{2} \phi \phi^* - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} - \frac{\kappa}{4} (B_\mu B^\mu - b^2)^2$$

- Flat spacetime, complex scalar field  $\phi$  (matter), real vector field  $B^\mu$ .
  - $B_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu$  and  $D_\mu \phi = \partial_\mu \phi - ie B_\mu \phi$   
 $\Rightarrow \mathcal{L}_{LV} = -B^\mu J_\mu$  and no gauge freedom.
  - Generalization of the Mexican hat potential, its VEV is timelike.
  - $e$ ,  $\kappa$ , and  $b$  are real positive constants.
- Canonical momenta:

$$\pi^0 = \frac{\delta \mathcal{L}}{\delta \partial_0 B_0} = 0, \quad \pi^i = \frac{\delta \mathcal{L}}{\delta \partial_0 B_i} = B^{i0},$$
$$p = \frac{\delta \mathcal{L}}{\delta \partial_0 \phi} = \frac{1}{2} (\partial_0 \phi^* + ie B_0 \phi^*) = (p^*)^*.$$

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<sup>1</sup>Bonder+Escobar PRD 2016



## Cauchy problem: concrete model

$$\mathcal{L} = \frac{1}{2} D_\mu \phi D^\mu \phi^* - \frac{m^2}{2} \phi \phi^* - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} - \frac{\kappa}{4} (B_\mu B^\mu - b^2)^2$$

- Two second-class constraints:

$$\begin{aligned}\chi_1 &= \pi^0, \\ \chi_2 &= \partial_i \pi^i - \kappa B_0 (B_\mu B^\mu - b^2) + 2e \text{Im}(\phi p).\end{aligned}$$

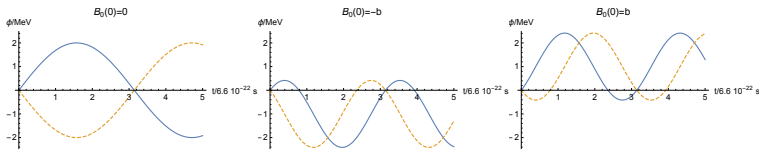
- The Dirac algorithm exhausted without inconsistencies.
- The well-known theorems don't apply for these e.o.m.
- D.o.f.:  $B_i$ ,  $\pi^i$ ,  $\phi$ , and  $p$  (only this initial data needed)  
 $\Rightarrow$  the initial  $B_0$  obtained through the constraints.
- No unique initial  $B_0 \Rightarrow$  ill-posed Cauchy problem!

## Cauchy problem: concrete model

- Example: initially  $B_i = 0$ ,  $\pi^i = 0$ ,  $\phi = 0$ , and  $p = a \in \mathbb{C}$ .

$$\chi_2 = [B_0(0)^2 - b^2] B_0(0) = 0 \quad \Rightarrow \quad B_0(0) = b, 0, -b.$$

- Numerically ( $\kappa = b/\text{MeV}^2 = e = m/\text{MeV} = \text{Re}(a)/\text{MeV} = \text{Im}(a)/\text{MeV} = 1$ ):

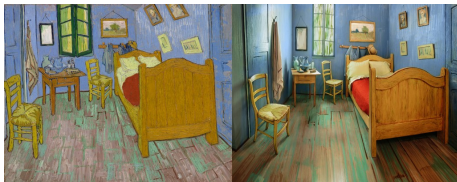


where the blue (yellow-dotted) line is for  $\text{Re}\phi$  ( $\text{Im}\phi$ ).

- $\phi$  represents matter  $\Rightarrow$  physical consequences!

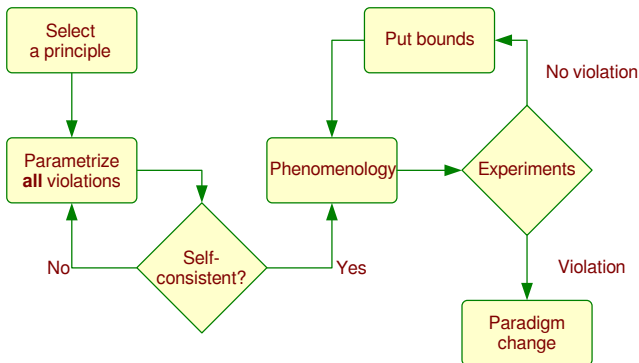
## Cauchy problem: concrete model

- Easy fix: change the kinetic term for  $B_\mu$ .
- Other options dependent on the connection and tend to damage the Cauchy problem for the metric.
- Alternatives:
  - “Only one measurement” .... per experiment (unlike a fundamental constant).
  - Consider  $B_0$  as a standard d.o.f. (*i.e.*, naive application of Lagrange's formalism  $\Rightarrow$  inequivalent quantizations?, discrete number of d.o.f.).
  - Construct a criteria to choose a special  $B_0$  (e.g., initial energy, but there are degeneracies).
- Longterm goal: study if we can rule out spontaneous LV.



# Conclusions

- LV promising way to empirically search for new physics.
- Effective field theory is a robust framework to parametrize LV.
- There are theoretical obstacles, mainly in curved spacetime:
  - Explicit LV is inconsistent.
  - The Cauchy problem could restrict spontaneous LV.



## Gibbons-Hawking term

- In the minimal gravitational LV action-variation:

$$\begin{aligned}\delta S &\supset \frac{1}{2\kappa} \int_M d^4x \sqrt{-g} (g^{\rho\mu} \delta_\sigma^\nu + k^{\mu\nu\rho}{}_\sigma) \delta R_{\mu\nu\rho}{}^\sigma \\ &= \frac{1}{\kappa} \int_M d^4x \sqrt{-g} (\nabla_\rho \nabla_\sigma k^{\rho\mu\nu\sigma}) \delta g_{\mu\nu} \\ &\quad + \frac{1}{\kappa} \int_{\partial M} d^3x \sqrt{|h|} n_\rho (2g^{\mu[\rho} g^{\nu]\sigma} + k^{\rho\mu\nu\sigma}) \nabla_\sigma \delta g_{\mu\nu}\end{aligned}$$

- In  $\partial M$ :  $\delta g_{\mu\nu} = 0$  (and  $\delta h_{\mu\nu} = \delta n^\mu = 0$ ) but  $n^\rho \nabla_\rho \delta g_{\mu\nu} \neq 0$ .
- $K_{\mu\nu} = h_\mu^\rho \nabla_\rho n_\nu \Rightarrow \delta K_{\mu\nu} = -h_\mu^\rho n_\sigma \delta C_{\rho\nu}{}^\sigma = \frac{1}{2} h_\mu^\rho n^\sigma \nabla_\sigma \delta g_{\nu\rho} \Rightarrow$   
 $n_\rho (2g^{\mu[\rho} g^{\nu]\sigma} + k^{\rho\mu\nu\sigma}) \nabla_\sigma \delta g_{\mu\nu} = -\delta[(2h^{\mu\nu} \pm 2n_\rho n_\sigma k^{\rho\mu\nu\sigma}) K_{\mu\nu}]$ ,
- To cancel the problematic term:

$$\Delta S = \frac{1}{\kappa} \int_{\partial M} d^3x \sqrt{|h|} (2h^{\nu\rho} \pm 2n_\mu n_\sigma k^{\mu\nu\rho\sigma}) K_{\nu\rho}.$$

## Variation under diffeomorphisms

- Nongravitational LV =  $S = \int d^4x \sqrt{-g} R + 2\kappa S_m(g, \phi; k)$ .
- Under a diffeo. assoc. with any  $w^\mu$  (of compact sup.):

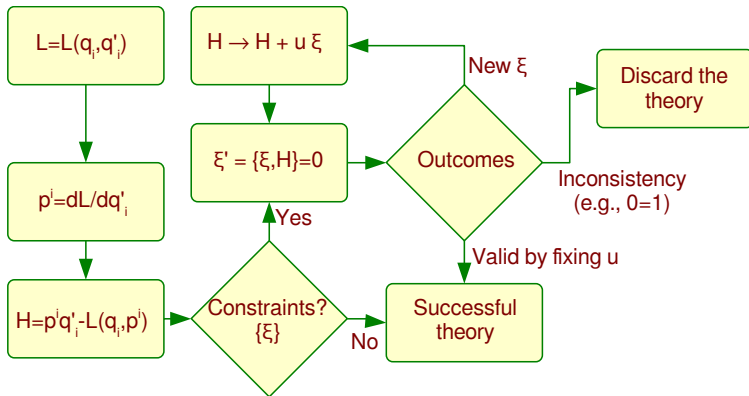
$$\begin{aligned}\delta S &= \int d^4x \left( \frac{\delta \sqrt{-g} R}{\delta g^{\mu\nu}} \delta g^{\mu\nu} + 2\kappa \frac{\delta \mathcal{L}_m}{\delta g^{\mu\nu}} \delta g^{\mu\nu} + 2\kappa \frac{\delta \mathcal{L}_{\text{EH}}}{\delta \phi} \delta \phi \right) \\ &= \int d^4x (-G_{\mu\nu} + \kappa T_{\mu\nu}) (-2\nabla^{(\mu} w^{\nu)}) \\ &= 2 \int d^4x (-\nabla^\mu G_{\mu\nu} + \kappa \nabla^\mu T_{\mu\nu}) w^\nu \\ &= 2\kappa \int d^4x w^\nu \nabla^\mu T_{\mu\nu}\end{aligned}$$

where we use that the fields  $\phi$  satisfy their e.o.m.,  $\delta g^{\mu\nu} = \mathcal{L}_w g^{\mu\nu} = -2\nabla^{(\mu} w^{\nu)}$ , and the Bianchi identity.

- Hence,  $\delta S = 0$  if and only if  $\nabla_\mu T^{\mu\nu} = 0$ .

# Dirac method

- Dirac's algorithm: method to construct *the* Hamiltonian.



- May reveal inconsistencies (example:  $L(q, \dot{q}) = q$ ).

# Cauchy theorems

- Cauchy-Kowalewski requires analytic initial data, which damages causality.

## Theorem

$(M, g_{\mu\nu})$  globally hyperbolic,  $\nabla_\mu$  any derivative operator. The following system of  $n$  linear equations for  $n$  unknown functions  $\Psi_1, \dots, \Psi_n$

$$g^{\mu\nu} \nabla_\mu \nabla_\nu \Psi_i + A_{ij}^\mu \nabla_\mu \Psi_j + B_{ij} \Psi_j + C_i = 0,$$

where  $A_{ij}^\mu$ ,  $B_{ij}$ ,  $C_i$  are smooth vector/scalar fields, has a well-posed Cauchy problem.

- There are more general theorems<sup>1</sup>.
- Most relevant: form of the second-derivative term.

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<sup>1</sup>Wald's GR book