

# Measurements of the total, elastic and inelastic pp cross sections with ATLAS

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the LHC

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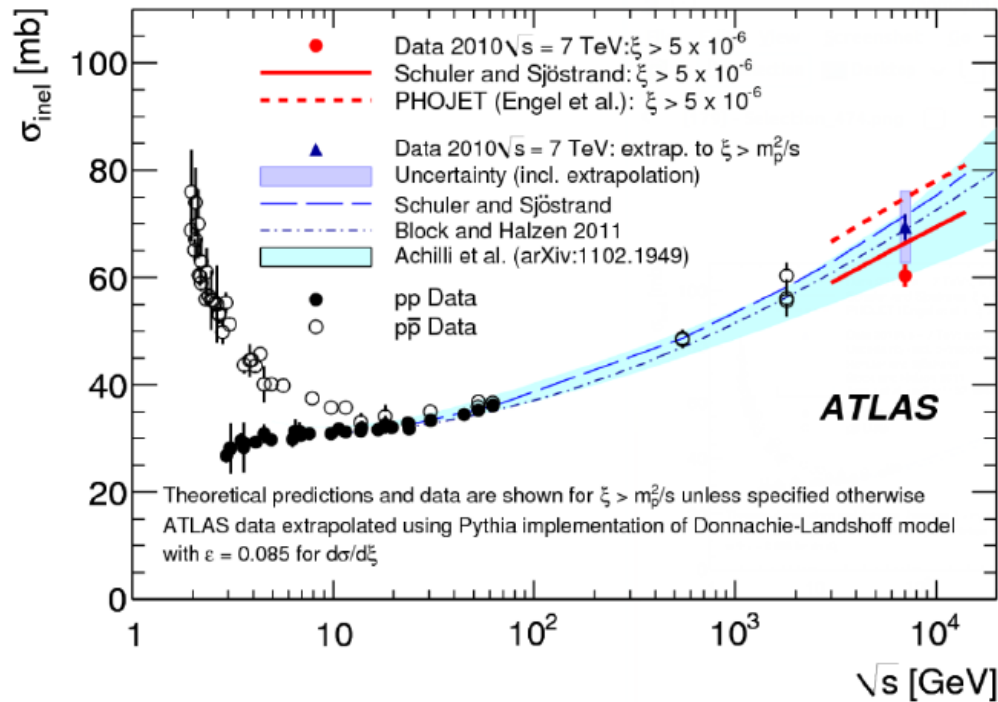


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# Motivation

- Probing the non-perturbative QCD regime
- Tuning of MC generators
- Predicting pile-up conditions at the HL-LHC
- Constraints on forward particle production in cosmic showers



Measurement of the inelastic cross section at  $\sqrt{s} = 7$  TeV

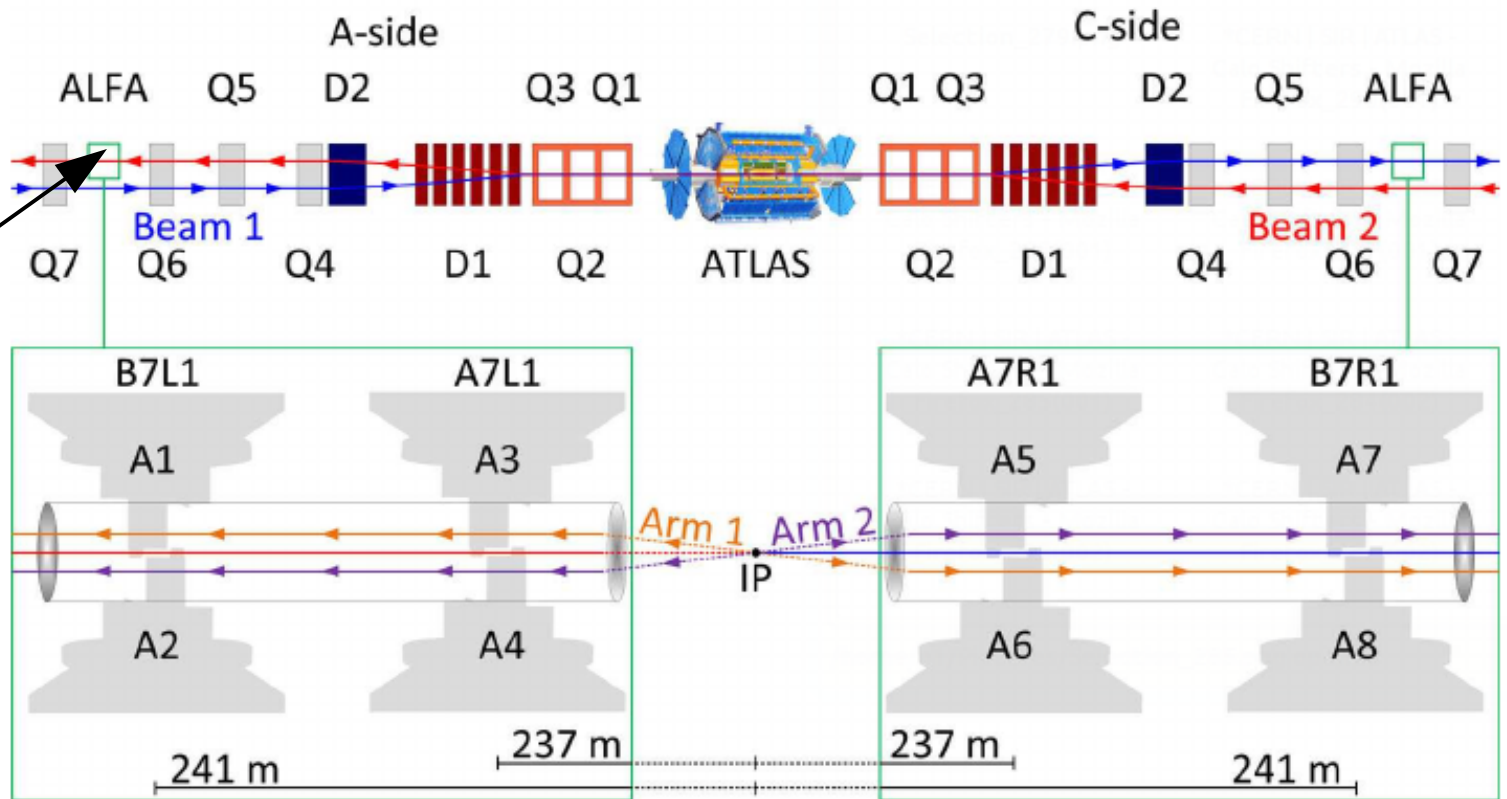
[Nature Commun. 2 (2011) 463]

# New measurements at 8 and 13 TeV

- First series of measurements at 7 TeV were performed by ATLAS where the basic methods were developed.
- New results recently published:
  - **Measurement of the total cross section at 8 TeV**  
[Phys. Lett B (2016) 158]
    - ALFA Roman Pot detector system used to measure the total cross section using the optical theorem and deriving the elastic and inelastic cross section
    - Dedicated LHC run at  $\beta^* = 90$  m optics with low average number of interaction per bunch crossing  $\mu \approx 0.1$
    - Collected about  $500 \mu\text{b}^{-1}$  of data
  - **Measurement of the inelastic cross section at 13 TeV**  
[Phys. Rev. Lett. 117 (2016) 18200]
    - MBTS forward scintillator detector used to measure the inelastic rate in the according fiducial volume
    - Extrapolating to full phase space giving the inelastic cross section
    - Special run at  $\mu \approx 2.3 \times 10^{-3}$
    - Collected about  $60 \mu\text{b}^{-1}$  of data

# ALFA Detector

- Sub detector of ATLAS at the LHC
- Composed of eight roman pot housed detectors, installed about 240 meters away from the ATLAS IP in both forward directions
- Elastically scattered protons detected in two “spectrometer arms”
- Goal in elastic analysis is to measure the differential elastic cross section as a function of the 4-momentum transfer ( $t$ )



# Reconstruction of scattering angle

- The **t-value** for each elastic event is given by its scattering angle at the IP and the beam momentum:

$$-t = (p \theta^*)^2$$

- The **scattering angle** (at IP) can be expressed in relation to the measured position and local angle (at the detector) by means of the transport matrix:

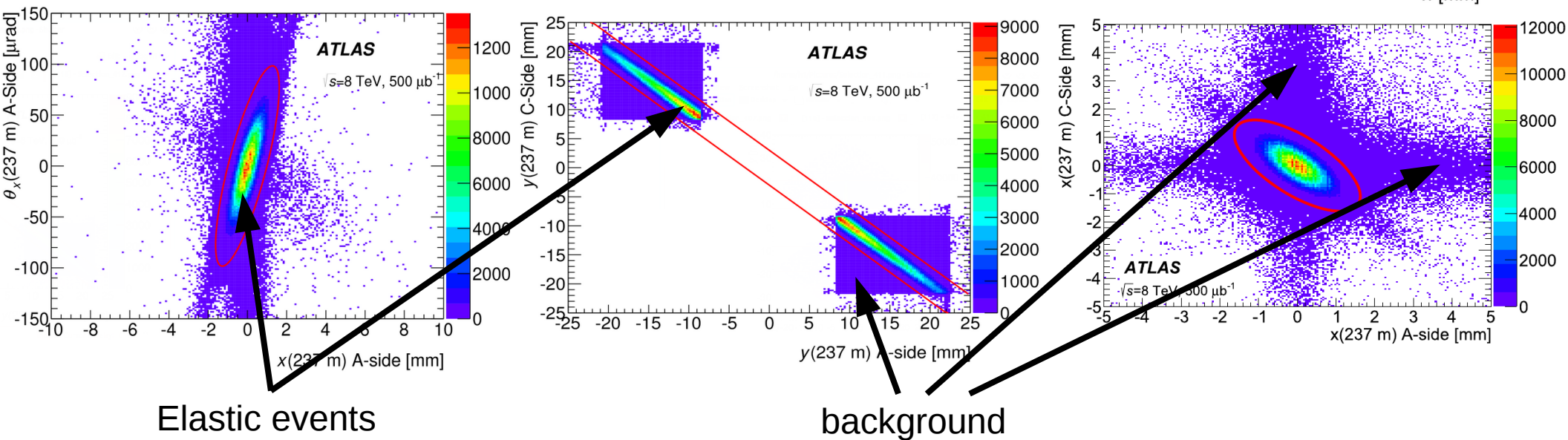
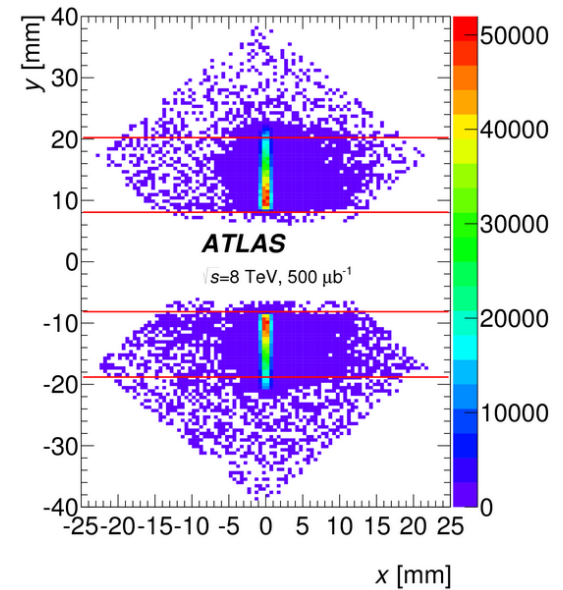
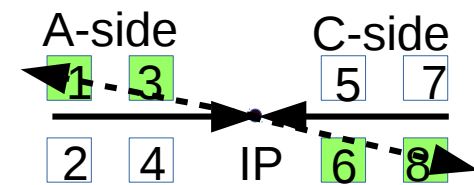
$$\begin{array}{l} \text{Position:} \\ \text{Angle:} \end{array} \begin{pmatrix} u(s) \\ u'(s) \end{pmatrix} = \begin{pmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{pmatrix} \begin{pmatrix} u^* \\ u^{*'} \end{pmatrix}$$

At Detector                      Transport matrix                      At ATLAS IP

- Several techniques exist to translate measured proton positions at the detectors into the scattering angle.
  - Dedicated beam optic with parallel-to-point focusing in y ( $M_{11}$  small)

# Event selection

- 3.8M elastic events selected
- Set several cuts on event selection to filter out background events:
  - Detector edge cuts
  - Elastic back-to-back topology cuts
- Event selection provides constraints for data driven beam optics model from which effective beam optic is derived



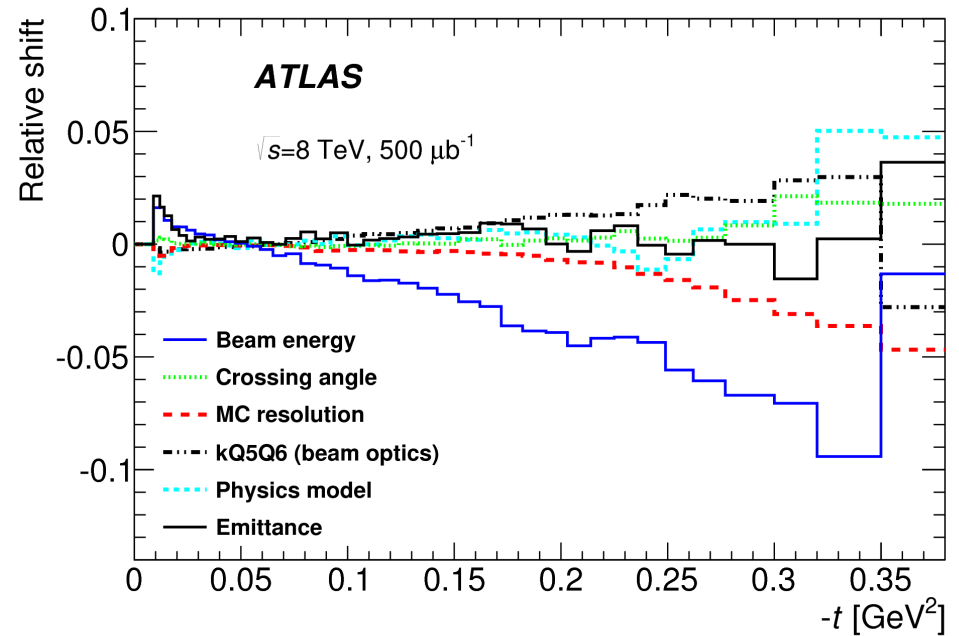
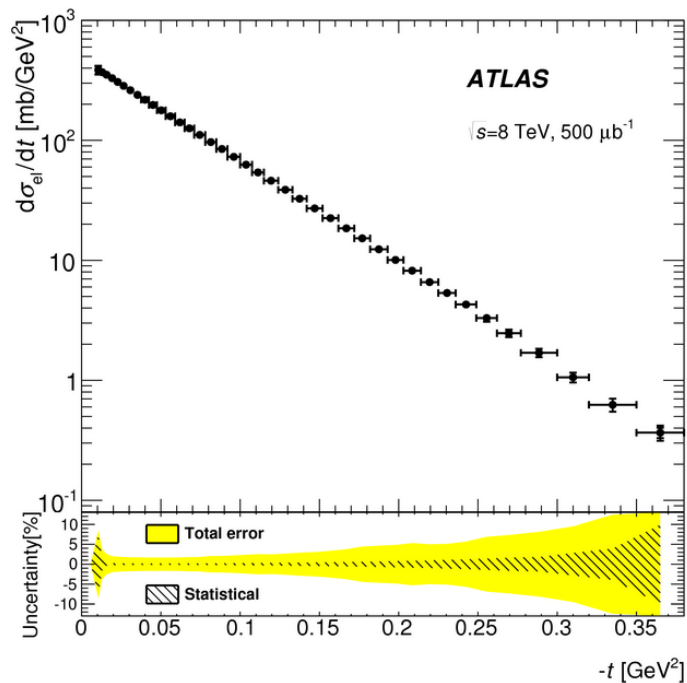
# Differential elastic cross section

- Count rate transformed into differential elastic cross section

$$\left(\frac{d\sigma}{dt}\right)_i = \frac{1}{t_i} \cdot \frac{M^{-1} [N_i - B_i]}{A_i \cdot \epsilon^{\text{reco}} \cdot \epsilon^{\text{trig}} \cdot \epsilon^{\text{DAQ}} \cdot L_{\text{int}}}$$

- Delivered luminosity determined by the ATLAS luminosity group in a dedicated analysis with an uncertainty of only 1.5%
  - makes up the main t-independent systematic contribution here
- Beam energy uncertainty of 0.65% makes up the main t-dependent systematic contribution

$M^{-1}$	Unfolding
$A_i$	Acceptance
$\epsilon^{\text{reco}}$	Reconstruction efficiency
$\epsilon^{\text{trig}}$	Trigger efficiency
$\epsilon^{\text{DAQ}}$	DAQ efficiency
$L_{\text{int}}$	Luminosity



(a)

# Theoretical prediction

- Model used to fit the differential elastic cross section consists of
  - The Coulomb term
  - The Coulomb-Nuclear-Interference term
  - The Nuclear term
- Total cross section and Nuclear B-Slope fitted

$$\frac{d\sigma}{dt} = \frac{4\pi\alpha^2(\hbar c)^2}{|t|^2} \times G^4(t)$$

Proton dipole form factor

Coulomb term

CNI term

$$- \sigma_{\text{tot}} \times \frac{\alpha G^2(t)}{|t|} [\sin(\alpha\phi(t)) + \rho \cos(\alpha\phi(t))] \times \exp\left(-\frac{B|t|}{2}\right)$$

Nuclear term

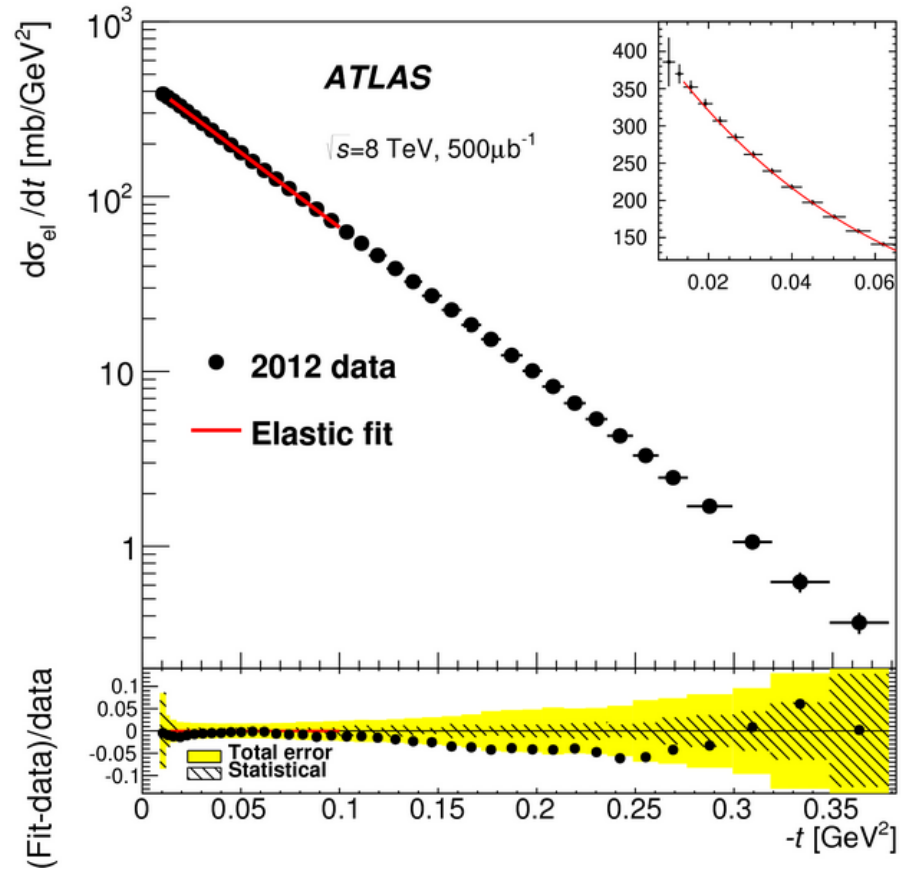
$$+ \sigma_{\text{tot}}^2 \frac{1 + \rho^2}{16\pi(\hbar c)^2} \times \exp(-B|t|)$$

Coulomb phase



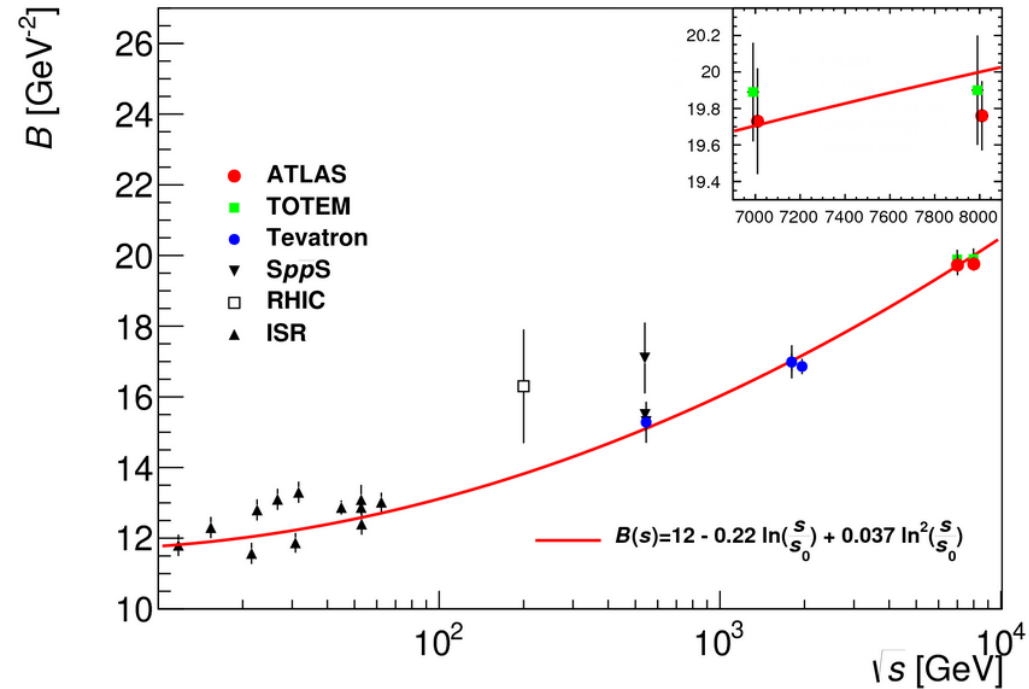
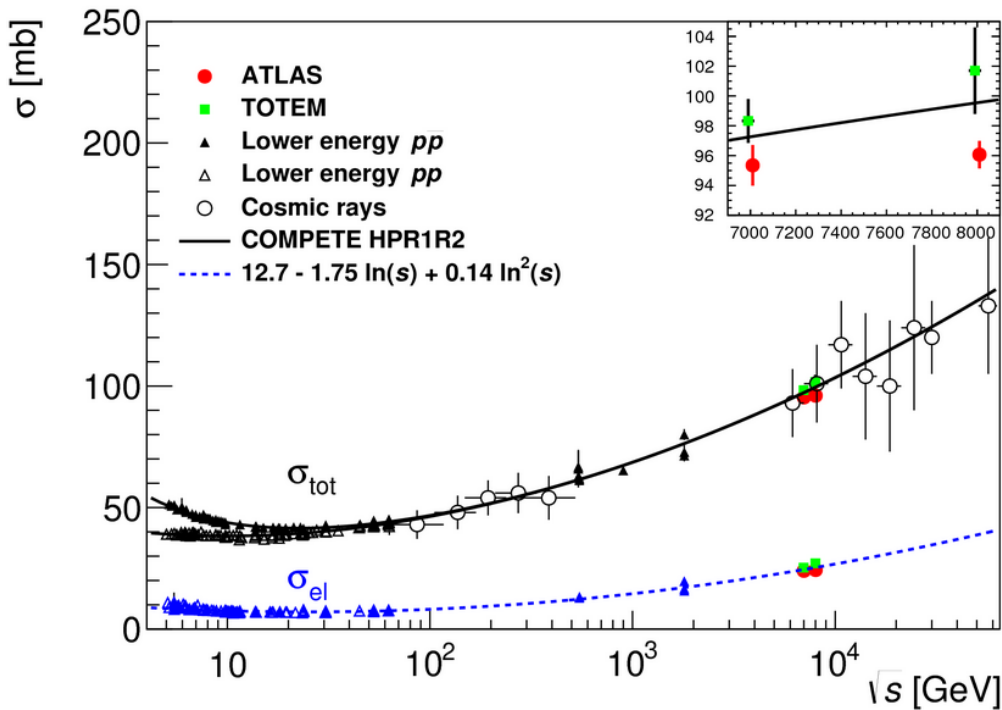
# Fit results

- Differential elastic cross section spectrum fitted with free parameters  $\sigma_{\text{tot}}$  and  $B$  in range:  $0.014 \leq t \leq 0.1$



$$\sigma_{\text{tot}}(pp \rightarrow X) = 96.07 \pm 0.18(\text{stat.}) \pm 0.85(\text{exp.}) \pm 0.31(\text{extr.}) \text{ mb}$$
$$B = 19.74 \pm 0.05(\text{stat.}) \pm 0.23(\text{syst.}) \text{ GeV}^{-2}$$

# Energy evolution of total cross section and nuclear B-slope



- Value for total cross section slightly smaller compared to COMPETE model as a function of center of mass energy
- Result on B slope in good agreement between ATLAS and TOTEM and also with model calculation including a linear and quadratic term in  $\ln(s)$

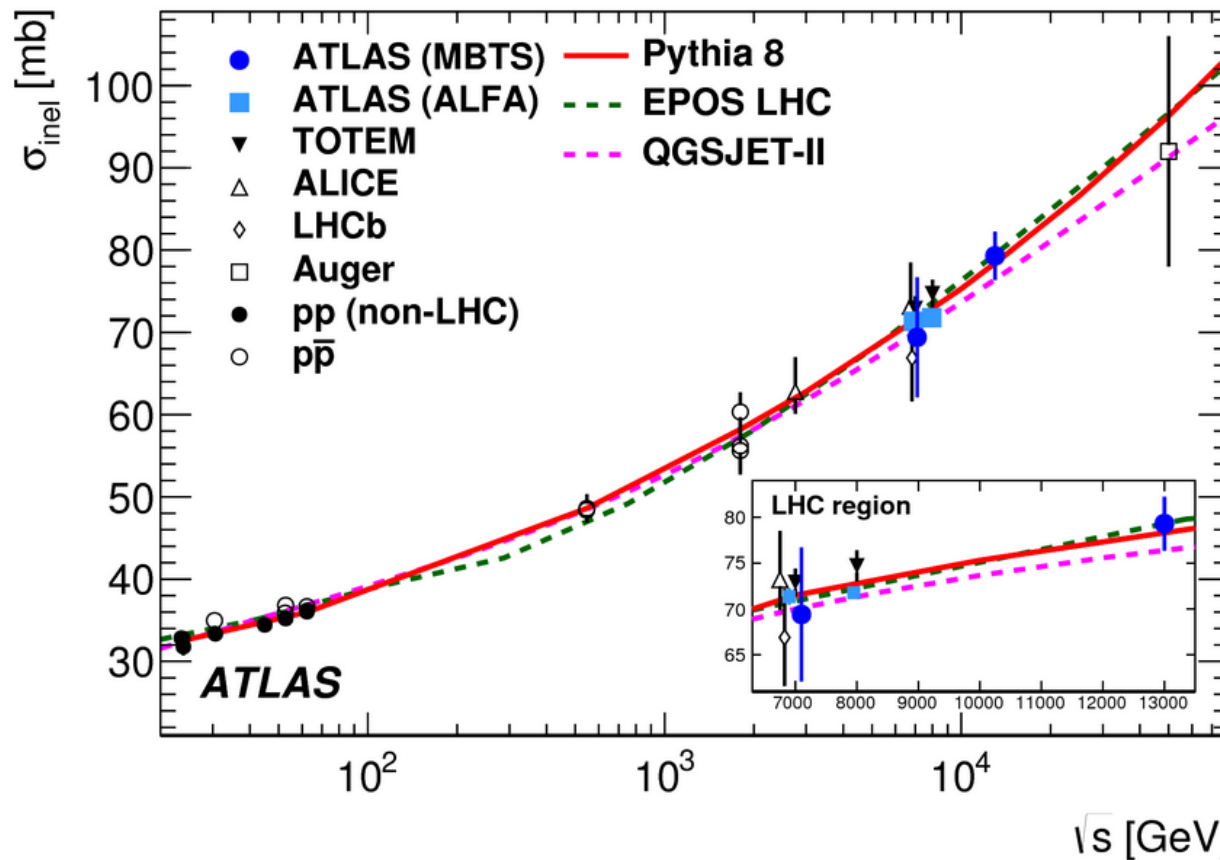
# Derived quantities

- Integration over the differential elastic cross section yields elastic cross section as derived quantity:

$$\sigma_{\text{el}, 8\text{TeV}} = 24.33 \pm 0.04(\text{stat.}) \pm 0.39(\text{syst.}) \text{ mb}$$

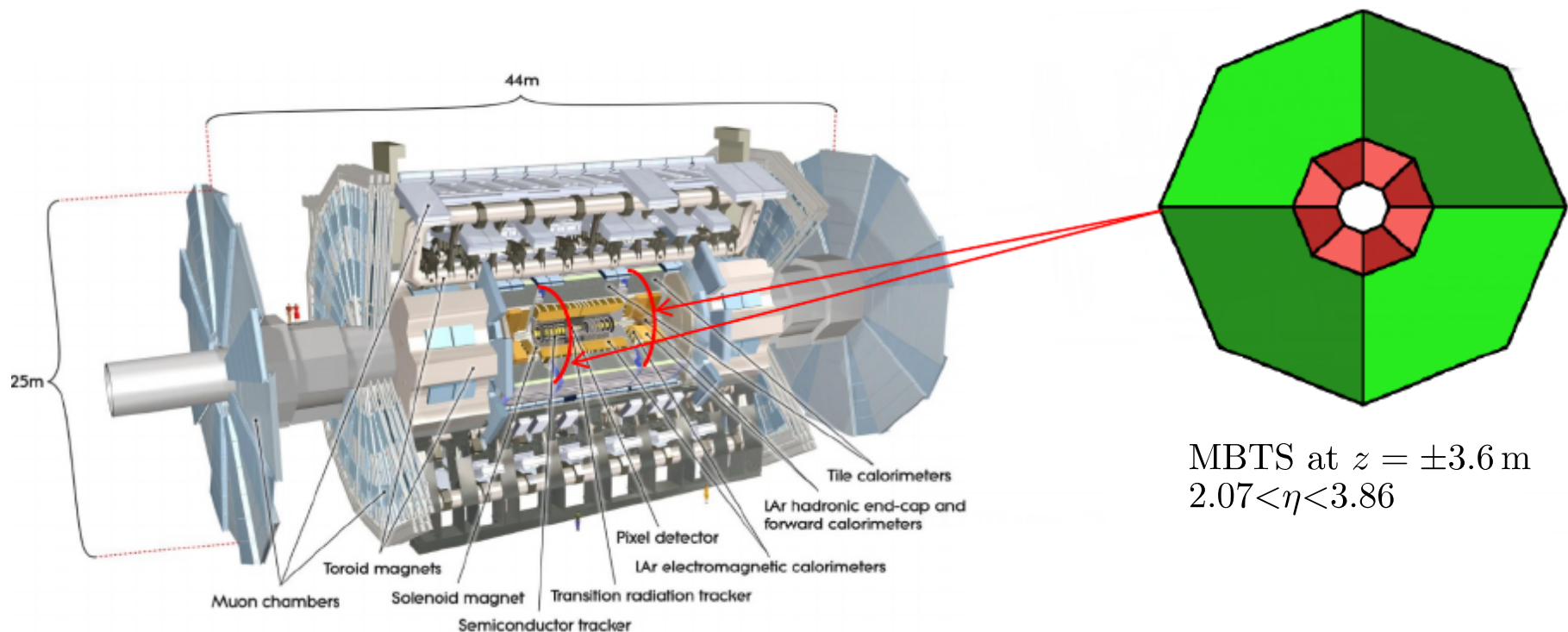
- Subtraction from total cross section yields inelastic cross section as derived quantity:

$$\sigma_{\text{inel}, 8\text{TeV}} = 71.73 \pm 0.15(\text{stat.}) \pm 0.69(\text{syst.}) \text{ mb}$$



# Inelastic measurement with the MBTS at 13 TeV

- Measurement done using the Minimum Bias Trigger Scintillator located in front of the endcap calorimeters to detect inelastic interactions
- New detector was built for run 2 with slightly larger acceptance
- Two counters of the MBTS are requested with hits above threshold to select inelastic events

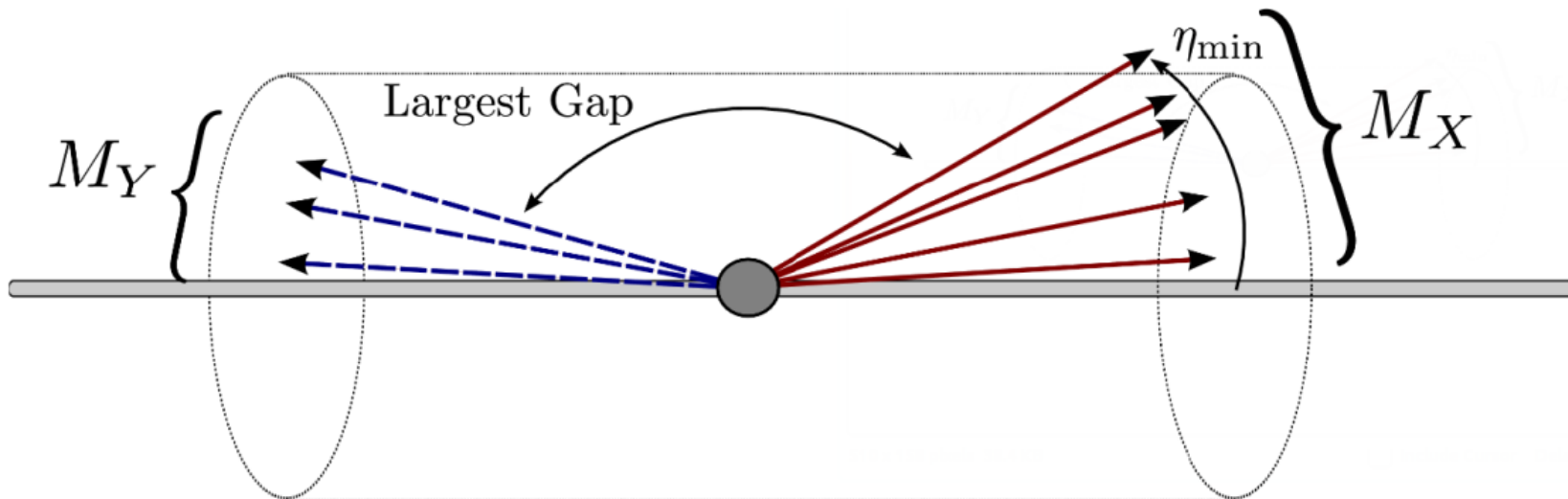
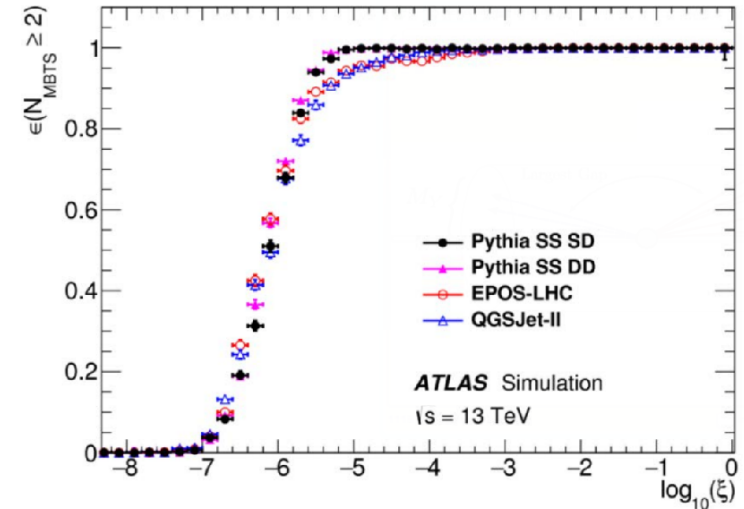


# The diffractive component

- The fiducial volume is determined by MC and accounts for particles which escape the detector undetected
- Selection efficiency in the fiducial volume is above 50%
- Mass of dissociated system:

$$M_X > 13 \text{ GeV}$$

$$\xi = M_X^2/s > 10^{-6}$$



# The fiducial cross section

$$\sigma_{\text{inel}}^{\text{fid}}(\xi > 10^{-6}) = \frac{N - N_{\text{BG}}}{\epsilon_{\text{trig}} \times \mathcal{L}} \times \frac{1 - f_{\xi < 10^{-6}}}{\epsilon_{\text{sel}}}$$

$N$	Number of observed events
$N_{\text{BG}}$	Number of background events (beam-gas, beam halo, detector activation)
$\epsilon^{\text{trig}}$	Trigger efficiency
$\epsilon^{\text{sel}}$	Selection efficiency
$f_{\xi}$	Migration of small $\xi$ events into the fiducial region
$\mathcal{L}$	Luminosity

- Simulation tuned by applying two selections:
  - Inclusive sample: at least 2 MBTS hits (4.2M events)
  - Single-sided sample: at least 2 MBTS hits on one side, veto on the other (440k events)

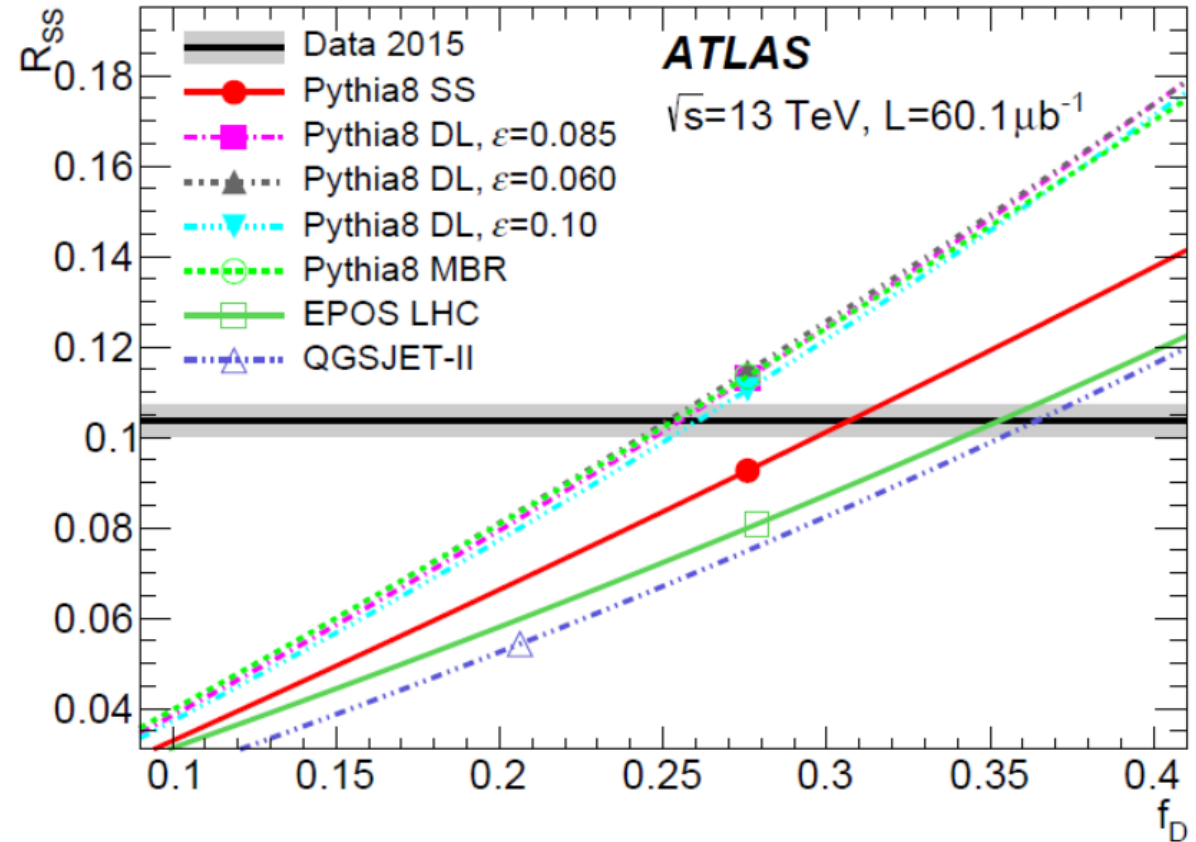
# Model tuning

- MC is tuned by measuring the ratio of event count of diffractive and non-diffractive processes

$$R_{SS} = \frac{N_{\text{single-sided}}}{N_{\text{inclusive}}} = (10.4 \pm 0.4)\%$$

$$f_D = \frac{\sigma_{SD} + \sigma_{DD}}{\sigma_{\text{inel}}}$$

- The tuned models are used to calculate  $\epsilon^{\text{sel}}$  and  $f_\xi$

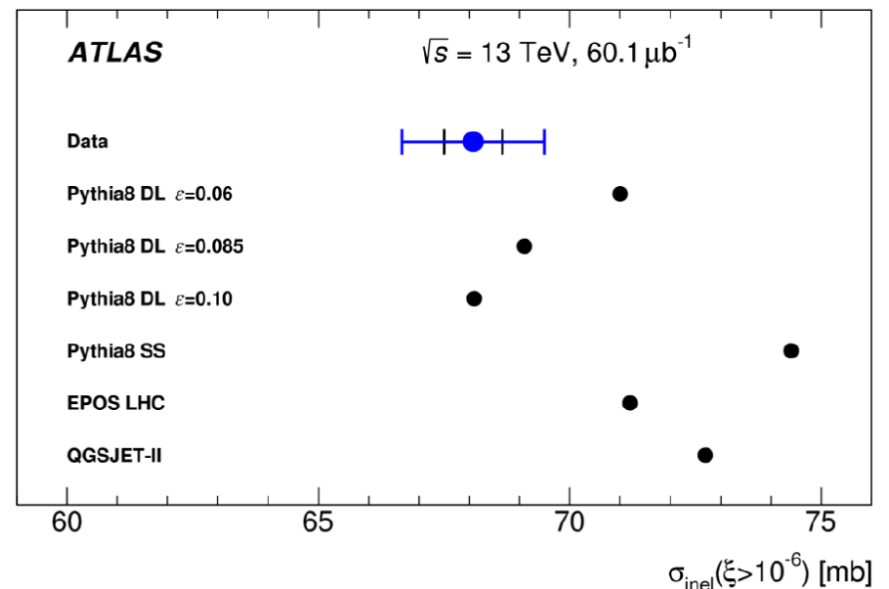


# Results on fiducial inelastic cross section

$$\sigma_{13 \text{ TeV}}^{\text{inel., fid.}} = 68.1 \pm 0.6(\text{exp}) \pm 1.3(\text{lum}) \text{ mb}$$

Factor	Value	Rel. uncertainty
Number of events passing the inclusive selection ( $N$ )	4159074	—
Number of background events ( $N_{\text{BG}}$ )	51187	$\pm 50\%$
Integrated luminosity [ $\mu\text{b}^{-1}$ ] ( $\mathcal{L}$ )	60.1	$\pm 1.9\%$
Trigger efficiency ( $\epsilon_{\text{trig}}$ )	99.7%	$\pm 0.3\%$
MC correction factor ( $C_{\text{MC}}$ )	99.3%	$\pm 0.5\%$

- Largest systematic contribution to fiducial cross section is the luminosity measurement
- Good agreement with PYTHIA DL models





# Full inelastic cross section

$$\sigma_{13 \text{ TeV}}^{\text{inel., full}} = 78.1 \pm 0.6(\text{exp}) \pm 1.3(\text{lum}) \pm 2.6(\text{extr}) \text{ mb}$$

- Extrapolation from the fiducial cross section to the full inelastic cross section done using 7 TeV measurements and MC correction:

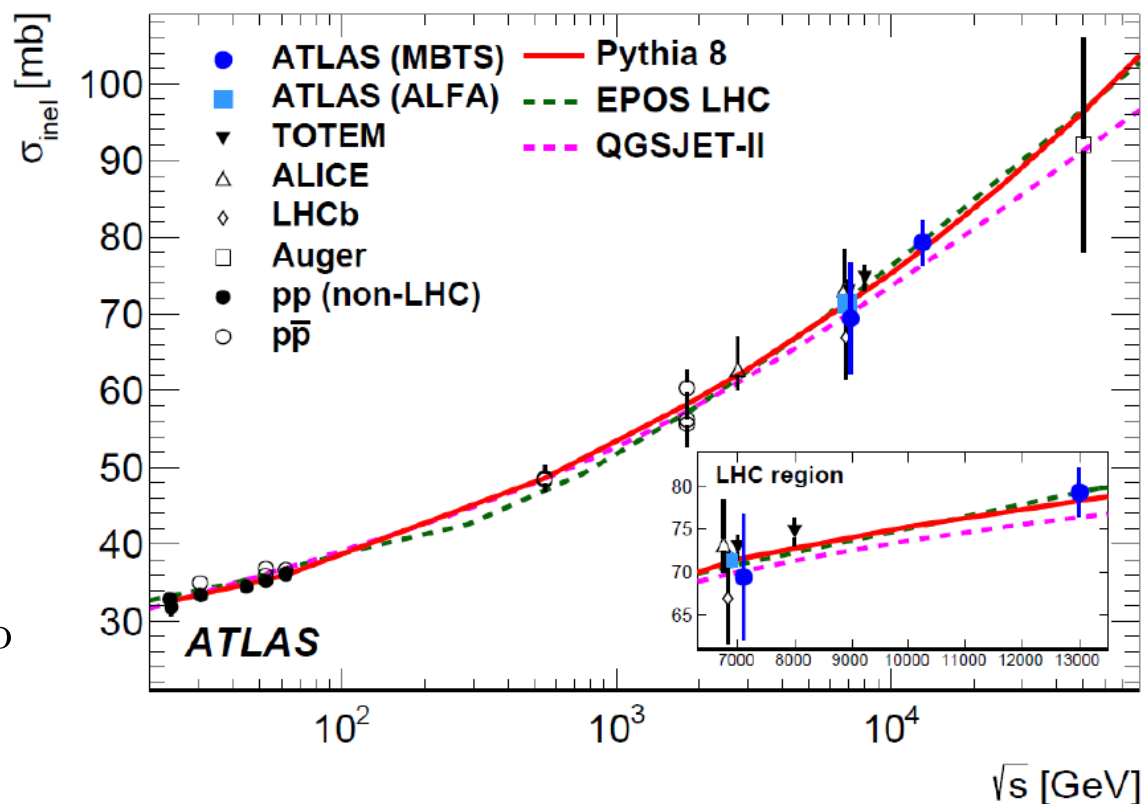
$$\sigma_{\text{inel}} = \sigma_{\text{inel}}^{\text{fid}} + \sigma^{7 \text{ TeV}}(\xi < 5 \times 10^{-6})$$

$$\times \frac{\sigma^{\text{MC}}(\xi < 10^{-6})}{\sigma^{7 \text{ TeV, MC}}(\xi < 5 \times 10^{-6})}$$

- Where

$$\sigma^{7 \text{ TeV}}(\xi < 5 \times 10^{-6}) = (11.0 \pm 2.3) \text{ mb}$$

is the difference between the full inelastic measurement from ALFA at 7 TeV and the fiducial measurement with the MBTS at 7 TeV



# Summary

- Results on total cross section at 8 TeV with the ALFA detector now published in

[Physics Letters B 761 (2016) 158–178]

$$\sigma_{\text{tot}}(pp \rightarrow X) = 96.07 \pm 0.18(\text{stat.}) \pm 0.85(\text{exp.}) \pm 0.31(\text{extr.}) \text{ mb}$$
$$\sigma_{\text{inel, 8TeV}} = 71.73 \pm 0.15(\text{stat.}) \pm 0.69(\text{syst.}) \text{ mb}$$

- Results on inelastic cross section measurement with MBTS now published

[Phys. Rev. Lett. 117 (2016) 18200]

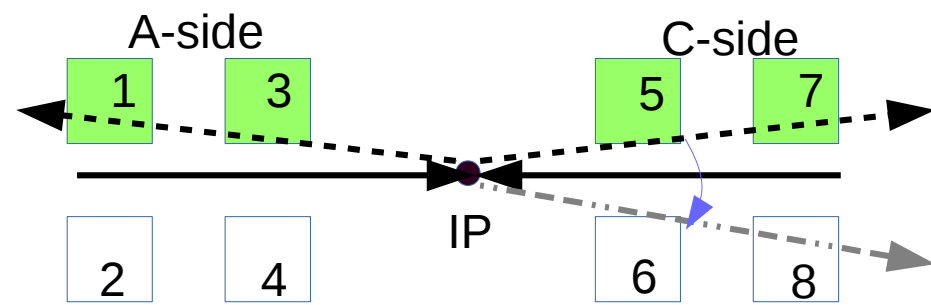
$$\sigma_{13 \text{ TeV}}^{\text{inel., full}} = 78.1 \pm 0.6(\text{exp}) \pm 1.3(\text{lum}) \pm 2.6(\text{extr}) \text{ mb}$$

**Thank you for your attention!**

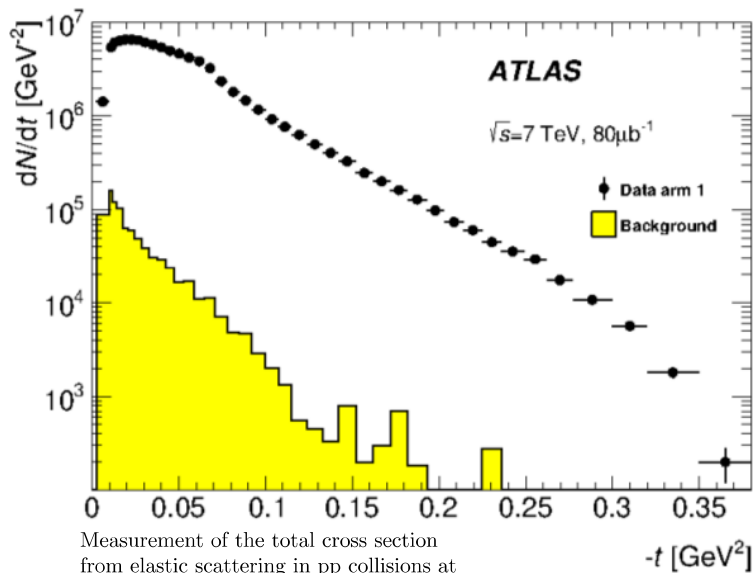


# Backup

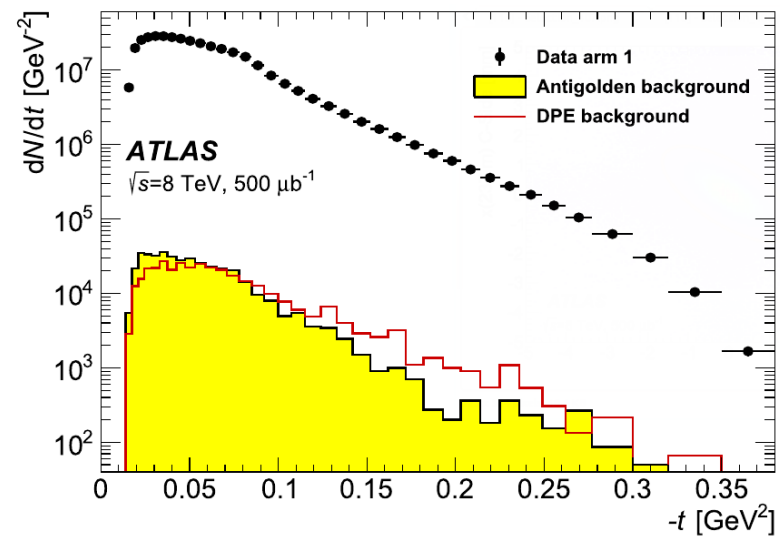
# Background



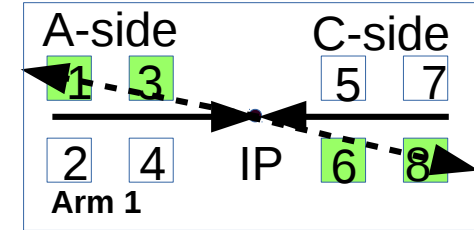
- Background pollution of event selection in this run very low ( as in 7TeV, 90m )
- Irreducible background estimated by counting events in the so called “anti-golden” event topology
- Background fraction of 0.5% at 7TeV and 0.12% at 8TeV
- Smaller Background fraction at 8 TeV due to larger distance of detectors from the beam
- PYTHIA8 simulation yields the possibility of a large contribution of background (~70%) events from DPE events (MBR model)



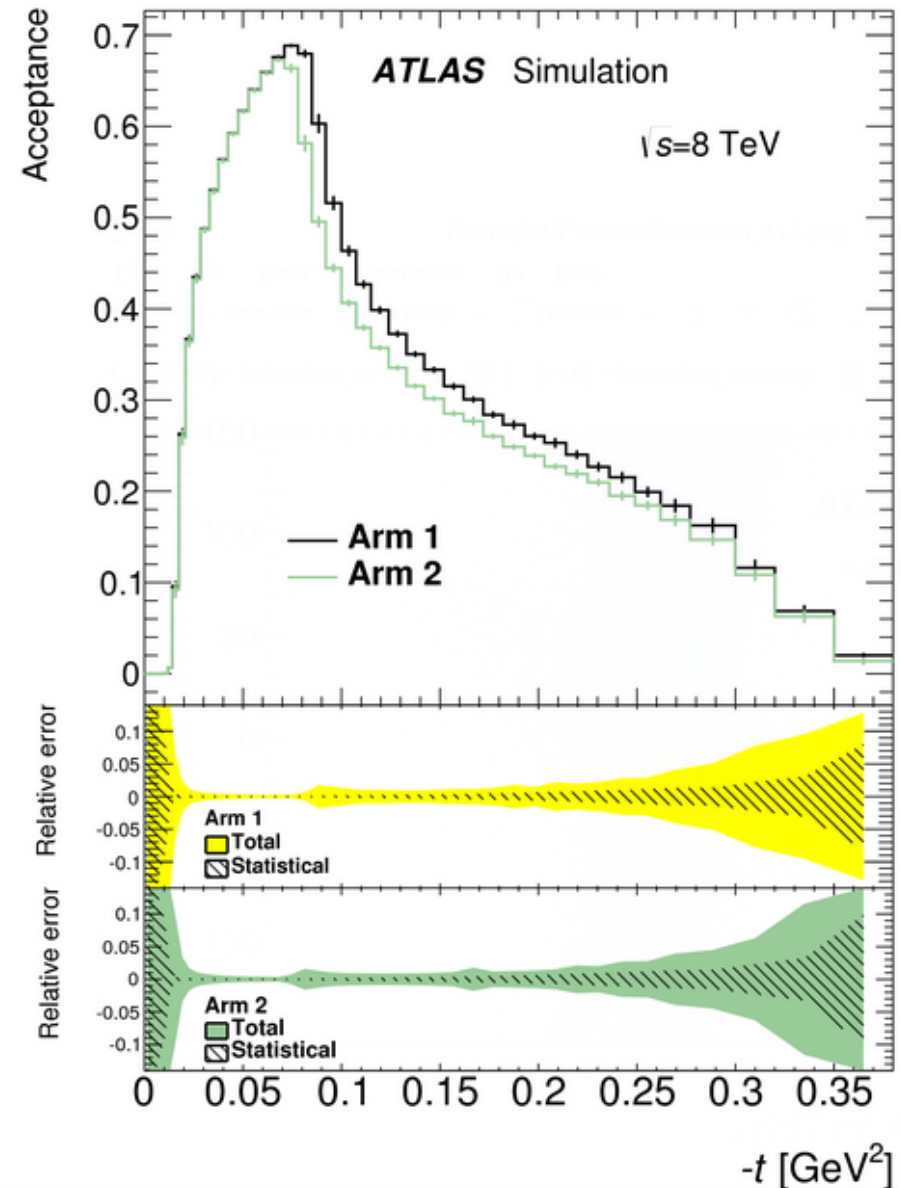
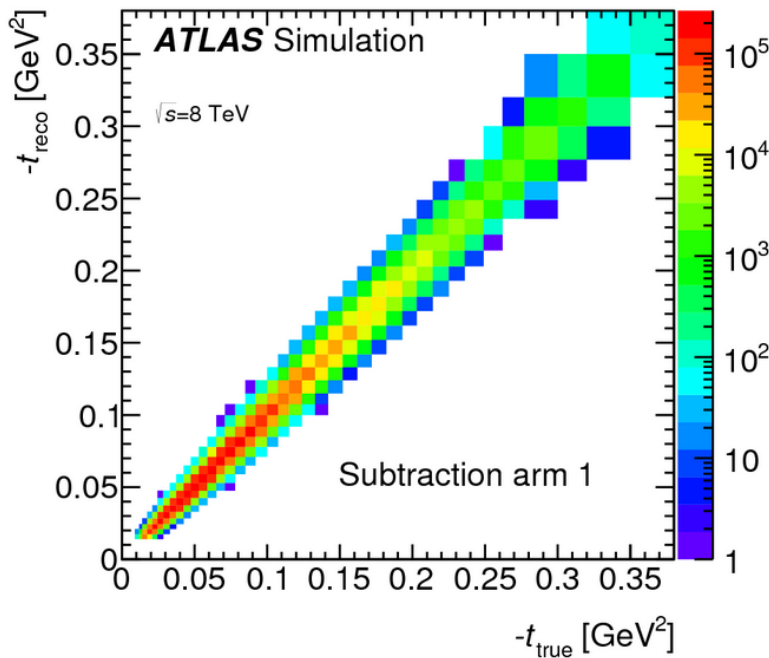
Measurement of the total cross section from elastic scattering in pp collisions at  $\sqrt{s} = 7 \text{ TeV}$  with the ATLAS detector  
 ATLAS Collaboration, Nucl.Phys. B889:486-548



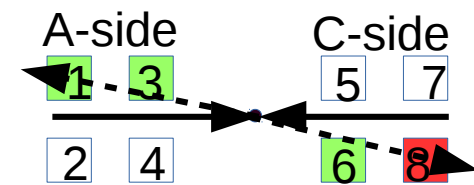
# Acceptance and unfolding



- Fast detector response simulated using PYTHIA8 and MadX to obtain transition matrix for  $t$  values and acceptance curve
- The acceptance is a combination of geometrical acceptance and background rejection cut efficiency
- Acceptance peaks around  $-t = 0.07 \text{ GeV}^2$
- For comparison:  
CNI region starts around  $10^{-3} \text{ GeV}^2$



# Reconstruction efficiency



- Data driven method to determine the fraction of elastic events, for which all four detectors have reconstructed tracks

$$\epsilon(t) = \frac{N_{4/4}}{N_{4/4} + N_{3/4} + N_{2/4} + N_{1/4} + N_{0/4}}$$

- Requires determination of the number of elastic events for all of the 30 cases where no track was reconstructed in any given detector(s)
- Easy when only one out of four detectors has not provided any tracks (template fit to compensate for any edge effects)
- Harder when two detectors have no tracks on a given side (background template fit required to suppress irreducible background)
- Number of elastic events with no tracks in any detector determined statistically
- Check was performed on 3/4 subsample to verify t-independence of reconstruction efficiency
- Final results per arm:

$$\epsilon_{\text{arm1}} = 90.50\% \pm 0.03\%(\text{stat.}) \pm 0.34\%(\text{syst.})$$

$$\epsilon_{\text{arm2}} = 88.83\% \pm 0.03\%(\text{stat.}) \pm 0.45\%(\text{syst.})$$

