

# NA62 – The Kaon Factory



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## Introduction and Motivation

Two approaches to test New Physics Scenarios:

- **Brut Force:** Highest Energy Collisions to produce new heavy particles
- **Elegant:** High Precision experiment to measure indirect effects of new particles

The two approaches are complimentary and both are necessary to disentangle what really is the new physics.

## Introduction and Motivation

Some examples:

- Masses of  $W^\pm$ ,  $Z^0$ , top-quark, Higgs, . . . , known before real production
- $B_S^0 \rightarrow \mu\mu$ ,  $\mathcal{B} = (3.1 \pm 0.7) \times 10^{-9}$  excludes most of SUSY (MSSM) phase space
- Smallest  $\mathcal{B}$  ever measured:  $K_L^0 \rightarrow e^+ e^-$ ,  
 $\mathcal{B} = (9_{-4}^{+6}) \times 10^{-12}$
- Null measurements (upper limits) also provide a lot a information on new physics scenarios.

# History of Kaon Experiments

Since the first accelerators

- CERN PS: Precision measurements
- Brookhaven: Several experiments, rare decays.  
Most famous: Indirect CP Violation  $K_{\pi 2}^0 / K_{\pi 3}^0$  (Cronin, Fitch)
- Fermilab (E732, KTeV), CERN SPS (NA32, NA48): Direct CP Violation  $\epsilon' / \epsilon \neq 0$
- KEK, J-PARC: Rare Kaon decays
- In last 15 years several proposals in the US:  
CKM, K0PIO, KPLUS, ORKA.  
All killed by different P5 processes.
- CERN SPS: Continuation of NA48: NA62

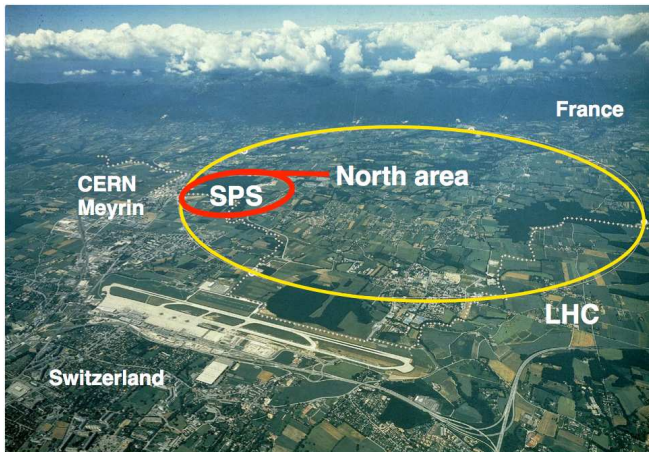
# Outline

- 1 Introduction and Motivation
- 2 NA62:  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- 3 Physics Results
  - $\pi^0$  Transition Form Factor
  - Lepton Universality
  - $K^\pm \rightarrow \pi^\pm \gamma \gamma$
- 4 Summary

# The NA62 Collaboration

- Birmingham
- Bratislava
- Bristol
- Bucharest
- CERN
- Dubna
- George Mason
- Ferrara
- Firenze
- Frascati
- Glasgow
- Liverpool
- Louvain-la-Neuve
- Mainz
- Merced
- INR Moscow
- Napoli
- Perugia
- Pisa
- Prague
- Protvino
- Roma I
- Roma II
- San Luis Potosí
- SLAC
- Sofia
- Torino
- TRIUMF
- Vancouver

approx 250 collaborators



# Recent history of CERN North Area experiments

1997–2001	NA48 ( $K_S/K_L$ )	$\text{Re}(\epsilon'/\epsilon)$ Discovery of direct CPV
2002	NA48/1 ( $K_S$ /hyperons)	Rare $K_S$ and hyperon decays
2003–2004	NA48/2 ( $K^+/K^-$ )	Direct CPV, Rare $K^\pm$ decays
2007–2008	NA62 $R_K$ -phase ( $K^+/K^-$ )	$R_K = K_{e2}^\pm / K_{\mu 2}^\pm$
2014–2018	NA62 ( $K^+$ )	$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ Rare $K^+$ , $\pi^+$ , and $\pi^0$ decays



# NA62

- Uses (most of the) beamline, and some detector from NA48
- Adds new detectors: GigaTracker, Straw, Photon Veto (Leadglass), RICH, muon veto, ...
- Data Taking with the available detectors before all detectors were ready.
- Physics Topics include:  $R_K$  (Lepton Universality),  $K^\pm \rightarrow \pi^\pm \gamma \gamma$ ,  $\pi^0$  transition form factor, ...

## NA62 (cont.)

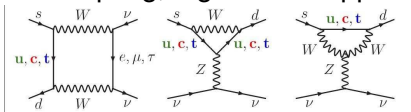
- Main Goal:  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ , 100 events ( $\sim 40/\text{year}$ )
- A long list of other rare  $K^+$ ,  $\pi^+$ , and  $\pi^0$  decays

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

- An “old” dream: CKM finally. . .

# $K \rightarrow \pi \nu \bar{\nu}$

- FCNC loop:  $s \rightarrow d$  coupling, high CKM suppression

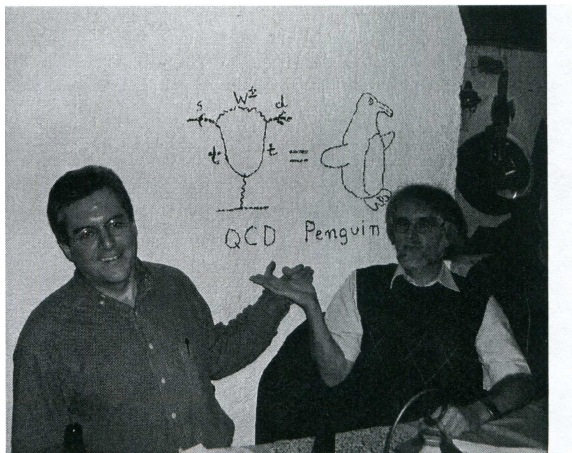


- Theoretically very clean: Short distance contribution. No hadronic uncertainties via weak isospin rotation of  $K^+ \rightarrow \pi^0 e^+ \nu$
- SM Prediction: Buras et al., arXiv:1502.02693 [hep-ph], JHEP 1511 (2015) 033

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11}$$

$$\mathcal{B}(K^0 \rightarrow \pi^0 \nu \bar{\nu}) = (3.00 \pm 0.30) \times 10^{-11}$$

- Experiments:  $\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (17.3_{-10.5}^{+11.5}) \times 10^{-11}$   
 Phys.Rev. D77, 052003 (2008), D78,092004 (2009)  
 $\mathcal{B}(K^0 \rightarrow \pi^0 \nu \bar{\nu}) < 2.6 \times 10^{-8}$  Phys.Rev D81, 072004 (2010)



Andrei Buras (right, with John Conway), Restaurante “Mamá Inés”,  
Zacatecas, November 2001

## $K \rightarrow \pi \nu \bar{\nu}$ : Sensitivity to New Physics

- Simplified  $Z, Z'$  models (sensitive up to  $M_{Z'} \sim 500 \text{ TeV}$ )  
[Buras, Buttazzo, Knegjens, arXiv:1507.08672 (2015)]
- Littlest Higgs with T-parity  
[Blanke, Buras, Recksiegel, arXiv:1507.06316 (2015)]
- Custodial Randall-Sundrum  
[Blanke, Buras, Duling, Gemmler, Gori, JHEP 0903 (2009) 108]
- MSSM non-MFV  
[Tanimoto, Yamamoto arXiv:1503.06270, Isidori et al. JHEP 0608 (2006) 064]

## Back-of-the-envelope design

- $\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \sim 10^{-10}$
- Precision 10% ( $\Rightarrow |V_{td}|$  to 5%)
- Statistics:  $\sim 100$  events,  $< 10$  background
- Signal acceptance  $\sim 10\%$
- $\Rightarrow$  Need  $10^{13}$  Kaon decays
- $\sim 10\%$  of  $K^+$  decay in decay volume
- Running time  $\sim 2$  CERN years, Duty cycle  $\sim 30\%$  (if no LHC fill)
- Unseparated beam contains 6% Kaons
- $\Rightarrow$  750 Million beam particles per second! On average every 1.3 nsec one particle! Non-synchronous.  
(LHC: collision every 26 nsec synchronous)

## Design (cont.): Backgrounds to $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

Main Background are the other Kaon decay modes:

$K^+ \rightarrow \mu^+ \nu_\mu (\gamma)$	$\mathcal{B} = 63.5\%$
$K^+ \rightarrow \pi^+ \pi^0 (\gamma)$	$\mathcal{B} = 20.7\%$
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	$\mathcal{B} = 5.6\%$
$K^+ \rightarrow \pi^0 e^+ \nu_e$	$\mathcal{B} = 5.1\%$
$K^+ \rightarrow \pi^0 \mu^+ \nu_\mu$	$\mathcal{B} = 3.3\%$
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu_e$	$\mathcal{B} = 4.1 \times 10^{-5}$
$K^+ \rightarrow \pi^0 \pi^0 e^+ \nu_e$	$\mathcal{B} = 2.2 \times 10^{-5}$
$K^+ \rightarrow \pi^+ \pi^- \mu^+ \nu_\mu$	$\mathcal{B} = 1.4 \times 10^{-5}$
$K^+ \rightarrow e^+ \nu_e (\gamma)$	$\mathcal{B} = 1.5 \times 10^{-5}$

Other Backgrounds: beam related (mostly upstream muons)

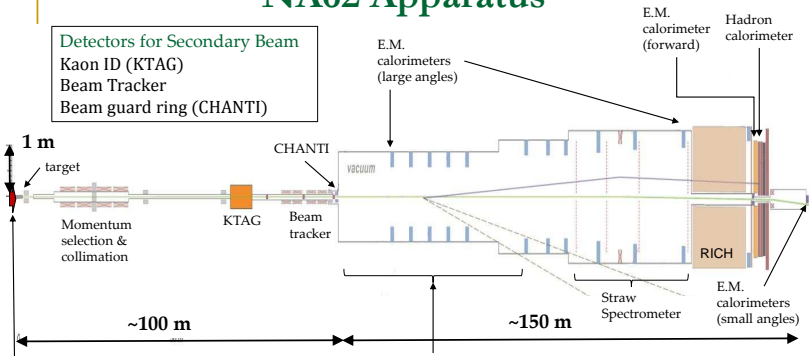
Background suppression:  $> 10^{12}$  required





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# NA62 Apparatus



SPS proton  
 400 GeV  
 $10^{12}$  p/s  
 3.5 s spill

Secondary Beam  
 75 GeV/c,  $\Delta p/p \sim 1\%$   
 X,Y Divergence  $< 100 \mu\text{rad}$   
 K(6%),  $\pi$ (70%), p(23%)  
 Total rate: 750 MHz  
 Beam size:  $6.0 \times 2.7 \text{ cm}^2$

Kaon Decay  
 $\sim 5 \text{ MHz}$   
 $4.5 \times 10^{12}/\text{year}$   
 60 m length  
 $10^{-6}$  mbar vacuum

Detectors for decay products  
 Charged particle tracking  
 Charged particle time stamping  
 Photon detection  
 Particle ID



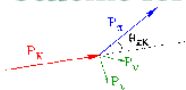




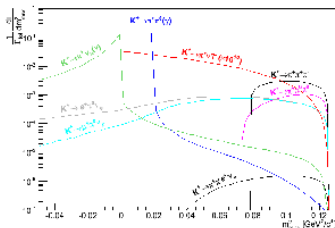
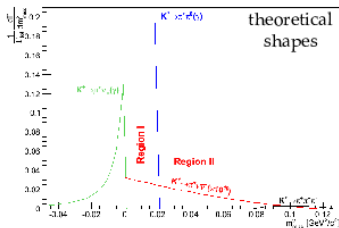
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## Scheme for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ Analysis

- Signal



- Background:  $K^+$  decay modes; beam activity
- Kinematics:  $m_{\text{miss}}^2 = (P_K - P_{\pi^+})^2$

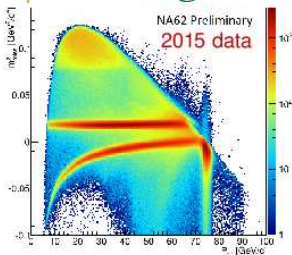


- Experimental principles:
  - 1) Precise kinematic reconstruction
  - 2) PID: K upstream,  $e/\mu/\pi$  downstream
  - 3) Hermetic  $\gamma$  detection
  - 4) Sub-ns timing

- Key analysis requirements
  - 2 signal regions in  $m_{\text{miss}}^2$
  - $15 < P_{\pi^+} < 35$  GeV/c
  - 65 m long decay region

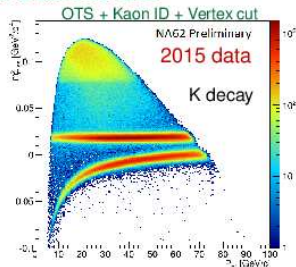
Expected 45 SM signal events / year with  $< 10$  background [ $O(10^{-12})$  SES]

## Signal Topology and Kaon ID



Kaon ID  
Track origin in the  
fiducial region

Not Kaon ID

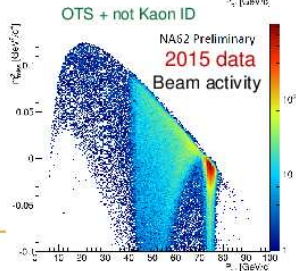


### One - track selection (OTS)

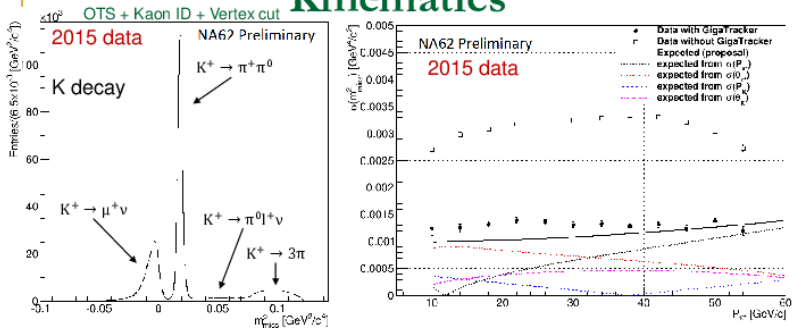
- Single downstream track topology
- Beam track matching the downstream track
- Beam track matching a K signal in Kaon ID
- Downstream track matching energy in calorimeters

### Time resolutions:

- Kaon ID < 100 ps
- Beam track < 200 ps
- Downstream track < 200 ps
- Calorimeters 1-2 ns

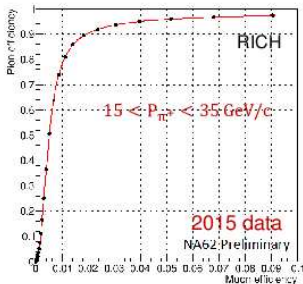
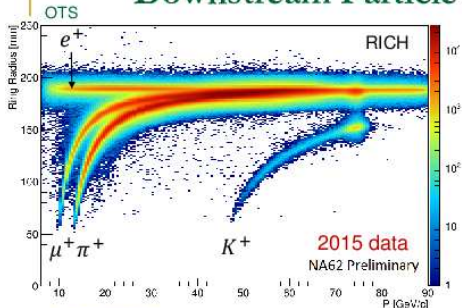


# Kinematics



- ✘ **Technique:** Si - pixel tracker; Straw tube tracker in vacuum
- ✘ **Goal:**  $O(10^4 \div 10^5)$  suppression factor of the main kaon decay modes
- ✘  $P_{\pi^+} < 35$  GeV/c: best  $K^+ \rightarrow \mu^+ \nu$  suppression.
- ✘ Kinematics studied on  $K^+ \rightarrow \pi^+ \pi^0$  selected using LKr calorimeter.
- ✘ Resolutions close to the design.
- ✘  $O(10^3)$  kinematic suppression factor in 2015.

## Downstream Particle Identification



- **Technique:** RICH and calorimeters
- **Goal:**  $O(10^7)$   $\mu/\pi$  separation to suppress mainly  $K^+ \rightarrow \mu^+ \nu$
- $15 < P_{\pi^+} < 35$  GeV/c: best  $\mu/\pi$  separation in RICH
- Pure samples of pions and muons selected using kinematics
- RICH:  $O(10^2)$   $\pi/\mu$  separation, 80%  $\pi^+$  efficiency in 2015.
- Calorimeters:  $(10^4 \div 10^6)$   $\mu$  suppression, (90%  $\div$  40%)  $\pi^+$  efficiency in 2015 using a cut analysis. Room for improvements.

## Further NA62 Physics Program

- **Standard Kaon Physics**
  - Precision measurements of the branching ratio of all the main K decay modes
  - $\chi$ PT studies:  $K^+ \rightarrow \pi^+ \gamma \gamma$ ,  $K^+ \rightarrow \pi^+ \pi^0 e^+ e^-$ ,  $K^+ \rightarrow \pi^{0(+)} \pi^{0(-)} l^+ \nu$
  - LU study with the precision measurement of  $R_K = \Gamma(K^+ \rightarrow e^+ \nu) / \Gamma(K^+ \rightarrow \mu^+ \nu)$
- **LFV with Kaons:**
  - $K^+ \rightarrow \pi^+ \mu^\pm e^\mp$ ,  $K^+ \rightarrow \pi^- \mu^+ e^+$ ,  $K^+ \rightarrow \pi^- l^+ l^+$
- **Heavy neutrino searches:**
  - $K^+ \rightarrow l^+ \nu_h$
  - $\nu_h$  from K, D decays and  $\nu_h \rightarrow \pi l$
- **$\pi^0$  decays:**
  - $\pi^0 \rightarrow$  invisible,  $\pi^0 \rightarrow 3/4 \gamma$ ,  $\pi^0 \rightarrow U \gamma$
- **Dark sector searches:**
  - Long living dark photon decaying in  $l^+ l^-$  and produced by  $\pi^0 / \eta / \eta' / \Phi / \rho / \omega$  decays
  - Long living axion-like decaying in  $\gamma \gamma$  produced in a beam-dump configuration



## NA62 sensitivity for LFNV decays



Decays in FV in  
 2 years of data

$$\left\{ \begin{array}{l} 1 \times 10^{13} K^+ \text{ decays} \\ 2 \times 10^{12} \pi^0 \text{ decays} \end{array} \right.$$

Single-event sensitivity  
 $1/(\text{decays} \times \text{acceptance})$

Mode	UL at 90% CL	Experiment	NA62 acceptance*
$K^+ \rightarrow \pi^+ \mu^+ e^-$	$1.3 \times 10^{-11}$	BNL 777/865	~10%
$K^+ \rightarrow \pi^+ \mu^- e^+$	$5.2 \times 10^{-10}$	BNL 865	
$K^+ \rightarrow \pi^- \mu^+ e^+$	$5.0 \times 10^{-10}$	BNL 865	~10%
$K^+ \rightarrow \pi^- e^+ e^+$	$6.4 \times 10^{-10}$	BNL 865	~5%
$K^+ \rightarrow \pi^- \mu^+ \mu^+$	$1.1 \times 10^{-9}$	NA48/2	~20%
$K^+ \rightarrow \mu^- \nu e^+ e^+$	$2.0 \times 10^{-8}$	Geneva Saclay	~2%
$K^+ \rightarrow e^- \nu \mu^+ \mu^+$	no data		~10%
$\pi^0 \rightarrow \mu^+ e^-$	$3.6 \times 10^{-10}$	KTeV	~2%
$\pi^0 \rightarrow \mu^- e^+$			

\* From fast Monte Carlo simulation with flat phase-space distribution. Includes trigger efficiency.

**NA62 single-event sensitivities:**  
 $\sim 10^{-12}$  for  $K^+$  decays  
 $\sim 10^{-11}$  for  $\pi^0$  decays

## Rare $\pi^0$ decays in NA62



$2 \times 10^{12}$   $\pi^0$  decays in FV in 2 years of data will allow substantial improvement of results in many channels

Mode	Current knowledge	Experiment	Expectation in SM	Physics interest
Neutral modes				
$\pi^0 \rightarrow 3\gamma$	$BR_{90CL} < 3.1 \times 10^{-8}$	Crystal Box	Forbidden	Violates C
$\pi^0 \rightarrow 4\gamma$	$BR_{90CL} < 2 \times 10^{-8}$	Crystal Box	$BR \sim 10^{-11}$	Scalar states $\pi^0 \rightarrow SS$
$\pi^0 \rightarrow inv$	$BR_{90CL} < 2.7 \times 10^{-7}$	BNL 949	$BR < 10^{-13}$ (cosm. limit)	$N_\nu$ , LFV
Charged modes				
$\pi^0 \rightarrow e^+e^-e^+e^-$	$BR = 3.34(16) \times 10^{-5}$	KTeV	$3.26(18) \times 10^{-5}$	Off-shell vectors
$\pi^0 \rightarrow e^+e^-\gamma$	$BR_{95CL}(\pi^0 \rightarrow U\gamma)$ : $< 1 \times 10^5, M_U = 30 \text{ MeV}$ $< 3 \times 10^6, M_U = 100 \text{ MeV}$	WASA/COSY	Null result	Dark forces

## Rare $\pi^0$ decays in NA62



### Search for $U$ boson in $\pi^0 \rightarrow e^+ e^- \gamma$ decay

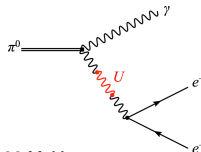
New, light vector gauge boson with weak couplings to charged SM fermions

Could mediate interactions of dark-matter constituents

**Expect to collect  $\sim 10^8$   $\pi^0 \rightarrow e^+ e^- \gamma$  decays/year**

Mass resolution  $M_{ee} \sim 1$  MeV

Potential for  $\sim 100\times$  improvement in BR limit for  $30 < M_U < 100$  MeV



### Search for $\pi^0 \rightarrow$ invisible

$\pi^0 \rightarrow \nu \bar{\nu}$  forbidden by angular momentum conservation if  $\nu$ s are massless

For a given flavor of massive  $\bar{\nu}$ ,  $\text{BR}(\pi^0 \rightarrow \nu \bar{\nu})$  directly related to  $m_\nu$

**Direct experimental limit:**

BNL 949 (2005)

**$\text{BR}(\pi^0 \rightarrow \text{inv}) < 2.7 \times 10^{-7}$  90%CL**

**Inferred limits on  $\text{BR}(\pi^0 \rightarrow \nu \bar{\nu})$  from:**

Measured  $\nu_\tau$  mass:  $< 5 \times 10^{-10}$

Astrophysics/cosmology:  $< 3 \times 10^{-13}$

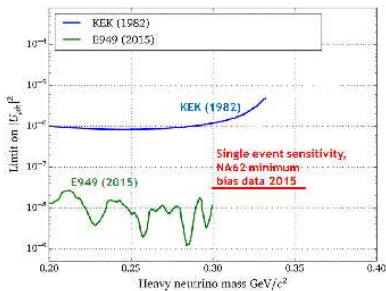
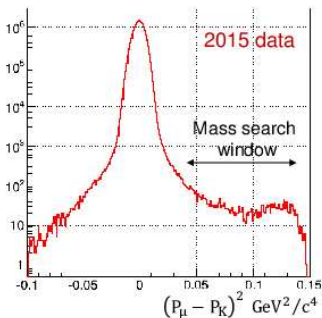
**Experimental signature identical to  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$**

Only difference: in  $K^+ \rightarrow \pi^+ \pi^0$ ,  $\pi^0 \rightarrow$  invisible,  $\pi^+$  has 2-body decay kinematics

**Limit  $\text{BR}(\pi^0 \rightarrow$  invisible) to less than  $10^{-9}$ ,  $\sim 100\times$  better than present limits**

## NA62 and Heavy Neutrino

- Search for heavy neutrinos produced in  $K^+ \rightarrow \mu^+ \nu_h$  and  $K^+ \rightarrow e^+ \nu_h$
- NA62 is perfectly suited to search for  $\nu_h$  in 100 – 380 MeV/c<sup>2</sup> mass range:
  - $K^+ \rightarrow l^+ \nu_h$  decays kinematically enhanced wrt to  $K^+ \rightarrow \mu^+ \nu_{SM}$
  - Background in the mass region search  $\sim 5$  order of magnitude below the  $K^+ \rightarrow l^+ \nu_{SM}$  peak



# NA62 Physics Analysis and Papers

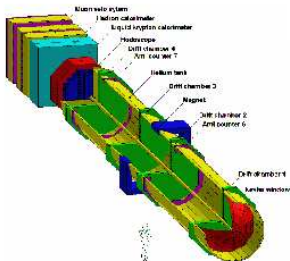
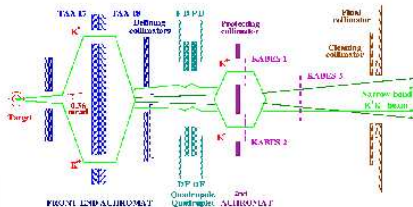
Data taken in 2007/2008, with (partially) rearranged detectors from NA48

Physics topics:

- $R_K$  (Lepton Universality):  
PLB 719 326-336 (2013), PLB 698 105-114 (2011)
- $K^\pm \rightarrow \pi^\pm \gamma \gamma$ , Chiral Perturbation tests:  
PLB 732 65-74 (2014)
- $\pi^0$  transition form factor (for  $(g - 2)_\mu$ ):  
Preliminary results, to be published later this year
- Others: Dark photon, heavy neutrino – mostly checks to prepare for full data taking

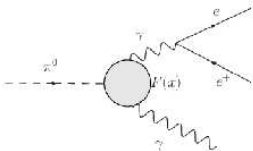
# NA62 2007 Layout

- $K^\pm$  beams:
  - $P_K = 75 \pm 2 \text{ GeV}/c$



- Main Detectors (NA48):
  - **Magnetic Spectrometer:**  
 $\sigma(P)/P = 0.48\% \oplus 0.009 P(\text{GeV}/c)\%$
  - **Hodoscope:** Fast trigger for charged particles and timing for the event ( $\sigma(t) = 200 \text{ ps}$ )
  - **Liquid Krypton e.m. calorimeter (LKr):**  
 $\sigma(E)/E = 3.2\%/\sqrt{E} \oplus 9\%/E \oplus 0.42\% (\text{GeV})$

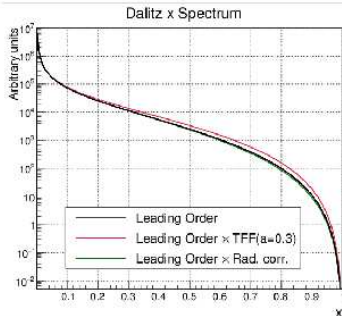
# $\pi^0 \rightarrow \gamma \gamma^*$ Transition Form Factor



$$\frac{1}{\Gamma(\pi_{2\gamma}^0)} \frac{d\Gamma(\pi_{D}^0)}{dx} = \frac{2\alpha (1-x)^3}{3\pi} \left(1 + \frac{r^2}{2x}\right) \sqrt{1 - \frac{r^2}{x}} (1 + \delta(x)) (1 + ax)^2$$

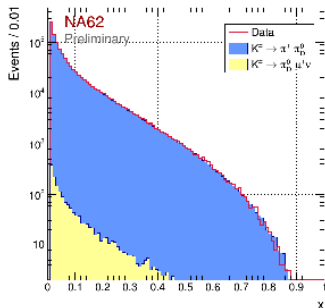
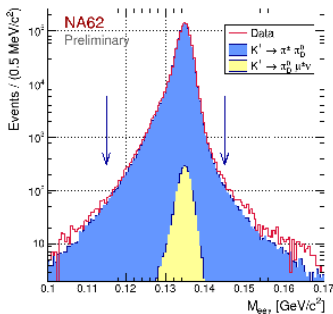
$$x = \frac{(\rho_e - \rho_{e^-})^2}{m_{\pi^0}^2} \quad r^2 = (2m_\theta/m_{\pi^0})^2 \quad \delta(x) \text{ radiative correction} \quad F(x) \approx 1 + ax$$

- ✗ TFF measurement: test prediction from theoretical models
- ✗ TFF models used for the hadronic light by light scattering contribution to  $(g-2)_\mu$
- ✗ NA62 2007 data
- ✗ Data taking conditions optimized for  $K^\pm \rightarrow e^\pm \nu$  [Phys. Lett. B 719 (2013) 326]
- ✗  $K^\pm \rightarrow \pi^\pm \pi^0, \pi^0 \rightarrow \gamma e^+ e^-$



## $\pi^0 \rightarrow \gamma e^+ e^-$ TFF: Selection

- ✗ Selection: 3-track topology, photon in LKr, full kinematic closure,  $x > 0.01$
- ✗  $1.05 \times 10^6$  fully reconstructed  $\pi^0 \rightarrow \gamma e^+ e^-$
- ✗ TFF obtained by adjusting the simulation to the data  $x$  spectrum.

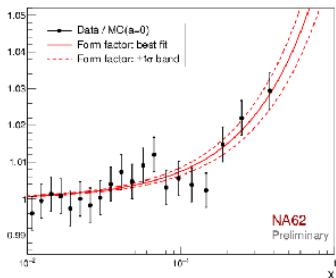




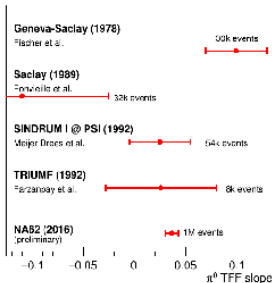


# $\pi^0 \rightarrow \gamma e^+ e^-$ TFF: Preliminary Result

$$a = (3.70 \pm 0.53_{\text{stat}} \pm 0.36_{\text{syst}}) \times 10^{-2}$$



$\pi^0$  TFF Slope Measurements from  $\pi^0$



✖ TFF Theory expectations:

- ✖  $a = (2.90 \pm 0.50) \times 10^{-2}$ ,  $\chi$ PT, [K. Kampf et al. EPJ C46 (2006), 191]
- ✖  $a = (3.07 \pm 0.06) \times 10^{-2}$ , dispersion theory, [M. Hoferichter et al. EPJ C74 (2014), 3180]
- ✖  $a = (2.92 \pm 0.04) \times 10^{-2}$ , two-hadron saturation, [T. Husek et al. EPJ C75 (2015) 12, 586]

## Lepton Universality

$$R_K: K^\pm \rightarrow e^\pm \nu_e, K^\pm \rightarrow \mu^\pm \nu_\mu$$

$$R_K: K^\pm \rightarrow e^\pm \nu_e, K^\pm \rightarrow \mu^\pm \nu_\mu$$

- Fermi's Golden Rule for decay of particle with mass  $M$ :

$$d\Gamma = \frac{(2\pi)^4}{M} \cdot |\mathcal{M}_{fi}|^2 \cdot \text{PS}$$

- $\mathcal{M}_{fi}$ : describes the physics of the decay (weak interaction, helicity suppressed) and “should” be identical for both decay modes:  
“Lepton Universality”:  $\mu$  and  $e$  are identical except for the mass.
- PS: Phase Space, describing the density of final states.
- for 2-body decays: PS simple to calculate

$$R_K: K^\pm \rightarrow e^\pm \nu_e, K^\pm \rightarrow \mu^\pm \nu_\mu$$

SM width of  $P^\pm \rightarrow \ell^\pm \nu$  ( $P = \pi, K, D, B$ )

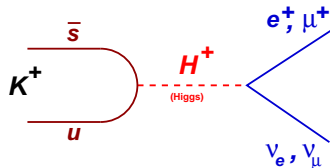
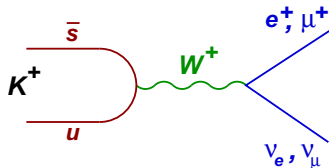
$$\Gamma^{\text{SM}}(P^\pm \rightarrow \ell^\pm \nu) = \frac{G_F^2 M_P M_\ell^2}{8\pi} \left(1 - \frac{M_\ell^2}{M_P^2}\right)^2 f_P^2 |V_{qq'}|^2,$$

$G_F$ : Fermi constant;  $M_P, M_\ell$ : meson and lepton masses  
 $f_P$  meson decay constant;  $V_{qq'}$ : CKM matrix element

$$\begin{aligned} \frac{\Gamma(K^\pm \rightarrow e^\pm \nu_e)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu_\mu)} &= R_K^{\text{SM}} = \left(\frac{M_e}{M_\mu}\right)^2 \left(\frac{M_K^2 - M_e^2}{M_K^2 - M_\mu^2}\right)^2 (1 + \delta R_{\text{QED}}) = \\ &= (2.477 \pm 0.001) \times 10^{-5} \end{aligned}$$

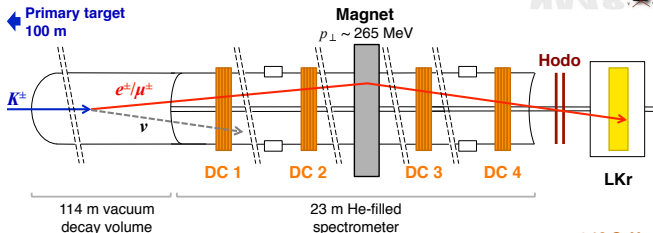
$\delta R_{\text{QED}} = (-3.79 \pm 0.04)\%$  EM (radiative) correction.

## $R_K$ beyond the Standard Model (one example)



- Charged Higgs boson exchange
  - MSSM:  $R_K$  enhanced by  $\sim 1\%$ .  
 But also constraint by  $B_s \rightarrow \mu^+ \mu^-$  and  $B^+ \rightarrow \tau^+ \nu_\tau$ .
  - $R_K$  also sensitive to 4. generation and sterile neutrinos.
- $\Rightarrow$  Need to measure  $R_K$  to better than 1 %

## NA48 setup used by NA62 for $R_K$

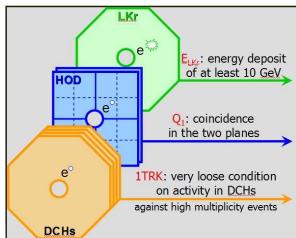


at 10 GeV

<b>Drift chambers</b>	$\sigma(p)/p = 0.48\% \oplus 0.009\% p \text{ [GeV]}$ $\sigma_{x,y} = 90 \mu\text{m}$	0.48%
<b>LKr calorimeter</b>	$\sigma_E/E = 3.2\%/\sqrt{E} \text{ [GeV]} \oplus 9\%/E \text{ [GeV]} \oplus 0.42\%$ $\sigma_x = \sigma_y = 4.2 \text{ mm}/\sqrt{E} \oplus 0.6 \text{ mm}$	1.4% 1.5 mm
<b>Hodoscope</b>	Fast trigger, good time resolution (150 ps)	

Kaon beam:  $74 \text{ GeV}/c$ ,  $10^5/\text{sec}$

## $R_K$ Trigger Logic



### Electron Trigger:

- Hodoscopes
- low multiplicity in DCH
- $> 10$  GeV in LKr

### Muon Trigger:

- Hodoscopes
- low multiplicity in DCH
- Downscale by 150

## Data Samples, Backgrounds

- $\sim 2 \times 10^{10}$   $K$  decays collected over 4 months
- Main backgrounds for  $K_{e2}$ :
  - Beam halo muons
  - Miss-Id of  $\mu$  as  $e$  (also:  $\mu \rightarrow e \nu_e \nu_\mu$ )
  - Strategy: Block one of the beams, measure the background
- Backgrounds for  $K_{\mu 2}$ :
  - Miss-Id of  $\mu$  as  $e$  (also:  $\mu \rightarrow e \nu_e \nu_\mu$ )
  - Strategy: Clean sample of  $\mu$  to measure Miss-Id in LKr  
add Pb block in front to absorb  $e$ .



# Analysis Strategy

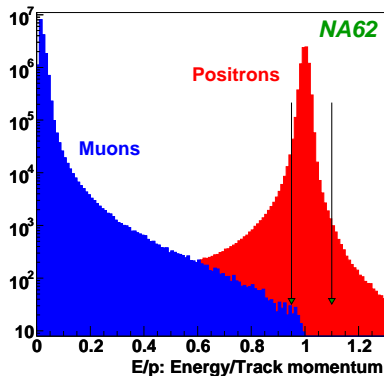
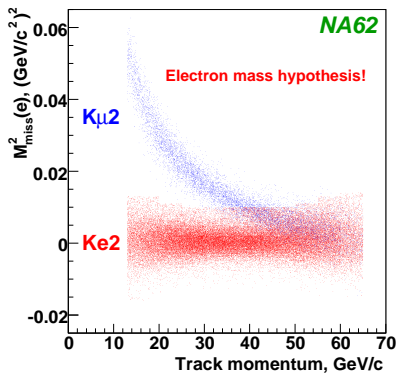
Acceptances different for  $K^+$ ,  $K^-$ , with/without Pb,  
function of lepton momentum

⇒ 40 independent measurements of

$$R_K = \frac{1}{D} \cdot \frac{N(K_{e2}) - N_B(K_{e2})}{N(K_{\mu 2}) - N_B(K_{\mu 2})} \cdot \frac{A(K_{\mu 2})}{A(K_{e2})} \cdot \frac{f_\mu \times \epsilon(K_{\mu 2})}{f_e \times \epsilon(K_{e2})} \cdot \frac{1}{f_{\text{LKr}}}$$

$N(K_{\ell 2})$ : selected candidates;  $N_B(K_{\ell 2})$ : background events;  
 $A(K_{\mu 2})/A(K_{e2})$ : ratio of the geometrical acceptances;  $f_\ell$ : lepton  
identification efficiencies;  $\epsilon(K_{\ell 2})$  trigger efficiencies;  $f_{\text{LKr}}$ : Global  
efficiency of the LKr readout;  $D = 150$ :  $K_{\mu 2}$  trigger downscaling  
factor.

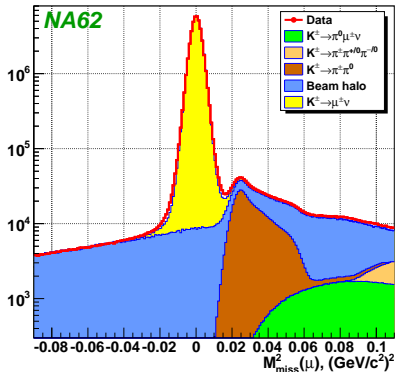
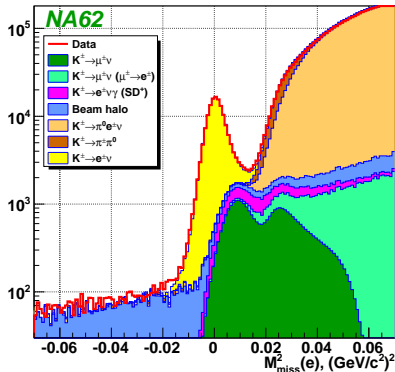
# Signal Events



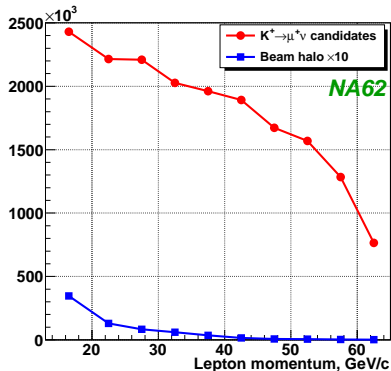
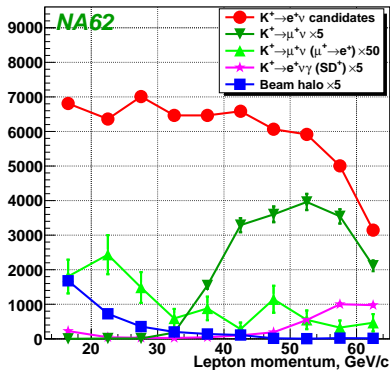
# Backgrounds

- $K_{\mu 2}$  in  $K_{e 2}$  sample: Measured via Pb
- $K^\pm \rightarrow e^\pm \nu \gamma$  in  $K_{e 2}$  sample: Determined via MC based on NA48 measurement
- $K^\pm \rightarrow \pi^0 e^\pm \nu$ ,  $K^\pm \rightarrow \pi^\pm \pi^0$ : Missing mass, measured missid of an  $\pi^\pm$  as  $e^\pm$
- $K^\pm \rightarrow \pi^0 \ell^\pm \nu$ ,  $K^\pm \rightarrow \pi^\pm \pi^0$ , with  $\pi^0 \rightarrow \gamma e^+ e^-$ : MC simulations.

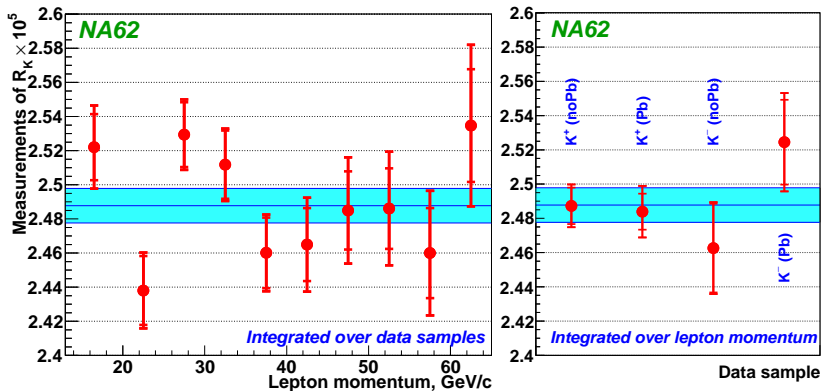
# Missing Mass Spectra



# Number of Events



# Systematics of $R_K$

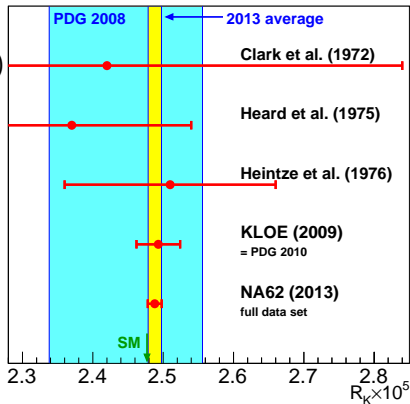


# Final result

$$R_K = (2.488 \pm 0.007_{\text{stat.}} \pm 0.007_{\text{syst.}})$$

$$= (2.488 \pm 0.010) \times 10^{-5}$$

Published: PLB 719, 326  
 Precision 0.4 %,  
 still factor 10 more than  
 theory precision.  
 $\Rightarrow$  New measurement with  
 improved statistics



$$K^\pm \rightarrow \pi^\pm \gamma \gamma$$



$K^\pm \rightarrow \pi^\pm \gamma \gamma$ 

Test of Chiral Perturbation Theory.

Kinematic Variables:

$$z = \frac{(q_1 + q_2)^2}{m_K^2} = \left( \frac{m_{\gamma\gamma}}{m_K} \right)^2, \quad y = \frac{p(q_1 - q_2)}{m_K^2}$$

$q_1, q_2, p$ : 4-momenta of photons and kaon

$m_{\gamma\gamma}$ : two photon invariant mass

$$0 \leq z \leq z_{\max} = (1 - m_\pi/m_K)^2 = 0.515$$

$$0 \leq y \leq y_{\max}(z) = \frac{1}{2} \sqrt{\lambda(1, (m_\pi/m_K)^2, z)},$$

$$\lambda(a, b, c) = a^2 + b^2 + c^2 - 2(ab + ac + bc)$$

# $K^\pm \rightarrow \pi^\pm \gamma \gamma$

- Considerable phenomenological understanding
- Only small samples observed:  $\mathcal{B} \approx 10^{-6}$ 
  - BNL E787 (1997): 31 candidates
  - NA48/2 (2014): 149 candidates,  $z > 0.2$
  - This measurement: 232 candidates,  $z > 0.2$

## Data sample and trigger

- Parallel datataking with  $R_K$
- Minimum bias trigger, downscaled
- Branching ratio measured relativ to  $K^\pm \rightarrow \pi^+ \pi^0, \pi^0 \rightarrow \gamma \gamma$

$$\mathcal{B}(K_{\pi\gamma\gamma}) = \frac{N'_{\pi\gamma\gamma}}{N'_{2\pi}} \cdot \frac{A_{2\pi}}{A_{\pi\gamma\gamma}} \cdot \frac{\varepsilon_{2\pi}}{\varepsilon_{\pi\gamma\gamma}} \cdot \mathcal{B}(K_{2\pi}) \mathcal{B}(\pi^0_{\gamma\gamma}),$$

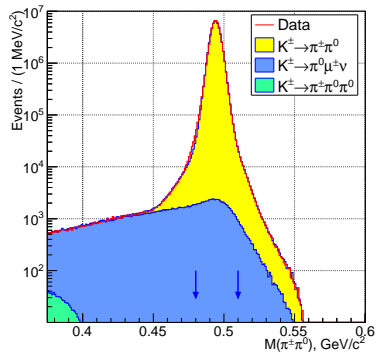
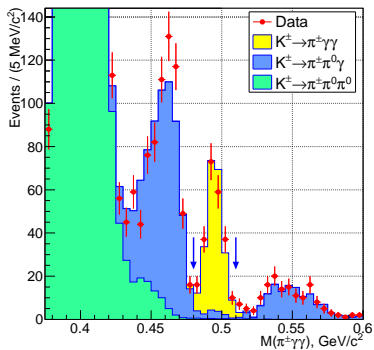
$N'_{\pi\gamma\gamma}, N'_{2\pi}$  signal and normalization events

$A_{\pi\gamma\gamma}, A_{2\pi}$ : Acceptances

$\varepsilon_{\pi\gamma\gamma}, \varepsilon_{2\pi}$ : Trigger efficiencies

$$\mathcal{B}(K_{2\pi}) \mathcal{B}(\pi^0_{\gamma\gamma}) = 0.204 \pm 0.001$$

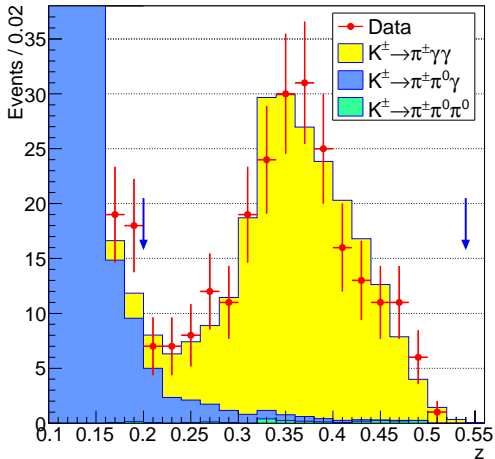
# Signal Events



$$\pi^\pm \gamma \gamma : z > 0.2 (m_{\gamma\gamma} > 0.22 \text{GeV}/c^2)$$

$$\pi^\pm \pi^0 : m_{\gamma\gamma} = m_{\pi^0} \pm 10 \text{MeV}/c^2$$

# z distribution of signal events



## Model Independent Branching Ratio in z-bins

Bin z range	$N_j$	$N_j^B$	$A_j$	$\mathcal{B}_j \times 10^6$
0.20–0.24	14	7.32	0.177	$0.024 \pm 0.013$
0.24–0.28	20	3.83	0.175	$0.058 \pm 0.016$
0.28–0.32	30	1.97	0.169	$0.104 \pm 0.020$
0.32–0.36	54	1.93	0.160	$0.204 \pm 0.029$
0.36–0.40	56	1.00	0.146	$0.237 \pm 0.032$
0.40–0.44	29	0.57	0.124	$0.144 \pm 0.027$
0.44–0.48	22	0.54	0.087	$0.155 \pm 0.034$
$z > 0.48$	7	0.25	0.026	$0.162 \pm 0.064$

$$\mathcal{B}_{\text{MI}}(z > 0.2) = \sum_{j=1}^8 \mathcal{B}_j = (1.088 \pm 0.093_{\text{stat}}) \times 10^{-6}$$

# Chiral Perturbation Theory

Differential decay rate:

$$\frac{\partial \Gamma}{\partial y \partial z}(\hat{c}, y, z) = \frac{m_K}{2^9 \pi^3} \left[ z^2 \left( |A(\hat{c}, z, y^2) + B(z)|^2 + |C(z)|^2 \right) + \left( y^2 - \frac{1}{4} \lambda(1, r_\pi^2, z) \right)^2 |B(z)|^2 \right].$$

$A(\hat{c}, z, y^2)$ ,  $B(z)$ ,  $C(z)$  from  $\mathcal{O}(p^4)$  and  $\mathcal{O}(p^6)$  ChPT  
Fit to  $z$ -distribution:

$$\hat{c}_4 = 1.93 \pm 0.26_{\text{stat}}, \quad \hat{c}_6 = 2.10 \pm 0.28_{\text{stat}}.$$

# Results

This measurement:

$$\mathcal{B}_{\text{MI}}(z > 0.2) = (1.088 \pm 0.093_{\text{stat}} \pm 0.027_{\text{syst}}) \times 10^{-6}.$$

$$\hat{c}_4 = 1.93 \pm 0.26_{\text{stat}} \pm 0.08_{\text{syst}}, \quad \hat{c}_6 = 2.10 \pm 0.28_{\text{stat}} \pm 0.18_{\text{syst}}$$

$$\mathcal{B}_{\text{ChPT}} = (1.058 \pm 0.066_{\text{stat}} \pm 0.044_{\text{syst}}) \times 10^{-6}.$$

Combined with NA48/2 results:

$$\mathcal{B}_{\text{MI}}(z > 0.2) = (0.965 \pm 0.061_{\text{stat}} \pm 0.014_{\text{syst}}) \times 10^{-6}.$$

$$\hat{c}_4 = 1.72 \pm 0.20_{\text{stat}} \pm 0.06_{\text{syst}}, \quad \hat{c}_6 = 1.86 \pm 0.23_{\text{stat}} \pm 0.11_{\text{syst}},$$

$$\mathcal{B}_{\text{ChPT}} = (1.003 \pm 0.051_{\text{stat}} \pm 0.024_{\text{syst}}) \times 10^{-6} = (1.003 \pm 0.056) \times 10^{-6}.$$



## Conclusions

- NA62 is a new rare kaon decay experiment at CERN SPS.
- Is taking data as we speak!
- Will measure  $\sim 100$  events of  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- Single event sensitivity  $\sim 10^{-12}$  for  $K^+$  decays
- A real factory of  $K^+$
- Also a factory of  $\pi^0$  and  $\pi^+$
- Already published some results
- Working on analysis of 2015 minimum bias data
- Continuation (after LS2) under discussion ( $K^0$  beam?)